

## A counterexample concerning products in the shape category

by

J. Dydak (Knoxville) and S. Mardešić (Zagreb)

**Abstract.** We exhibit a metric continuum  $X$  and a polyhedron  $P$  such that the Cartesian product  $X \times P$  fails to be the product of  $X$  and  $P$  in the shape category of topological spaces.

**1. Introduction.** In every category  $\mathcal{C}$  the product of two objects  $X$  and  $Y$  is defined as the triple consisting of an object  $X \times Y$  and two morphisms  $\pi_X: X \times Y \rightarrow X$  and  $\pi_Y: X \times Y \rightarrow Y$  having the following universal property. For an arbitrary object  $Z$  and arbitrary morphisms  $f: Z \rightarrow X$  and  $g: Z \rightarrow Y$  there is a unique morphism  $h: Z \rightarrow X \times Y$  such that  $\pi_X h = f$  and  $\pi_Y h = g$ . If a product exists, it is unique up to natural isomorphism. It is well known that in the category  $\text{Top}$  of topological spaces and continuous mappings the products exist and are represented by the Cartesian product  $X \times Y$ . More precisely, the product consists of the space  $X \times Y = \{(x, y) \mid x \in X, y \in Y\}$  and of the canonical projections  $\pi_X: X \times Y \rightarrow X$  and  $\pi_Y: X \times Y \rightarrow Y$ , given by  $\pi_X(x, y) = x$  and  $\pi_Y(x, y) = y$ . Similarly, the Cartesian product  $X \times Y$  and the homotopy classes  $[\pi_X]: X \times Y \rightarrow X$  and  $[\pi_Y]: X \times Y \rightarrow Y$  of the canonical projections  $\pi_X$  and  $\pi_Y$  form a product in the homotopy category  $\text{H}(\text{Top})$  of topological spaces and homotopy classes of mappings. Since shape theory is a modification of homotopy theory, it is natural to ask whether products exist in the category  $\text{Sh}(\text{Top})$  of topological spaces and shape morphisms. In particular, one can ask whether the Cartesian product  $X \times Y$  of two spaces  $X$  and  $Y$  is a product in the shape category  $\text{Sh}(\text{Top})$ . More precisely, let  $S: \text{H}(\text{Top}) \rightarrow \text{Sh}(\text{Top})$  denote the shape functor. Does the Cartesian product  $X \times Y$  together with the morphisms  $S[\pi_X]: X \times Y \rightarrow X$  and  $S[\pi_Y]: X \times Y \rightarrow Y$  form a product in  $\text{Sh}(\text{Top})$ ? Equivalently, does the shape functor  $S$  preserve products?

---

2000 *Mathematics Subject Classification*: 54C56, 55P55, 54B10.

*Key words and phrases*: direct product, Cartesian product, shape, strong shape, solenoid.

The answer to the above question is positive when  $X$  and  $Y$  are polyhedra, because shape morphisms into spaces having the homotopy type of polyhedra reduce to homotopy classes of mappings and thus, the question reduces to the analogous question in the category  $\mathbf{H}(\mathbf{Top})$ . In 1974 J. E. Keesling [2] proved that the Cartesian product of two compact Hausdorff spaces is a product in  $\mathbf{Sh}(\mathbf{Top})$ . In the same paper he also exhibited a separable metric space  $X$  such that  $X \times X$  is not a product in  $\mathbf{Sh}(\mathbf{Top})$ . In a recent paper S. Mardešić [6] proved that, for arbitrary topological spaces  $X, Y$ , the Cartesian product  $X \times Y$  is a product in  $\mathbf{Sh}(\mathbf{Top})$  provided  $X \times P$  is a product in  $\mathbf{Sh}(\mathbf{Top})$ , for all polyhedra  $P$ . These facts show the importance of the following question. Is the Cartesian product  $X \times P$  of a compact Hausdorff space  $X$  and a polyhedron  $P$  a product in the shape category  $\mathbf{Sh}(\mathbf{Top})$ ? In this paper we give a negative answer by proving the following theorem.

**THEOREM.** *The Cartesian product  $X \times P$  of the dyadic solenoid  $X$  and the wedge  $P = P_1 \vee P_2 \vee \cdots$  of a sequence of 1-spheres is not a product in the shape category of topological spaces  $\mathbf{Sh}(\mathbf{Top})$ .*

Since solenoids are not movable, the following problem remains open.

**PROBLEM.** *Is the Cartesian product  $X \times P$  of a movable compactum  $X$  and a polyhedron  $P$  a product in the shape category  $\mathbf{Sh}(\mathbf{Top})$ ?*

A positive answer would imply a positive answer to a problem of Y. Kodama [3], raised in 1977. Kodama asked if a product in  $\mathbf{Sh}(\mathbf{Top})$  exists for every movable compactum and every metric space. For information on movable compacta see [1], [7].

It is easy to show that every shape morphism  $F: Z \rightarrow X$  of a space  $Z$  to the dyadic solenoid  $X$  is induced by a mapping  $f: Z \rightarrow X$ , i.e.,  $F = S[f]$  (apply the first two assertions of Lemma 1 below). Since  $P$  is a polyhedron, every shape morphism  $G: Z \rightarrow P$  admits a mapping  $g: Z \rightarrow P$  such that  $G = S(g)$ . It follows that the diagonal mapping  $h = (f, g): Z \rightarrow X \times P$  induces a shape morphism  $H = S[h]: Z \rightarrow X \times P$  such that  $S[\pi_X]H = S[\pi_X]S[h] = S[\pi_X h] = S[f] = F$  and analogously,  $S[\pi_P]H = G$ . This means that the existence part of the universal property defining a product of  $X$  and  $P$  is fulfilled. Therefore, to prove the Theorem we need a space  $Z$  and two different shape morphisms  $H, H': Z \rightarrow X \times P$  such that  $S[\pi_X]H = S[\pi_X]H'$  and  $S[\pi_P]H = S[\pi_P]H'$ .

Actually, we will exhibit two mappings  $h, h': P \rightarrow X \times P$  such that

- (1)  $S[\pi_X]S[h] = S[\pi_X]S[h']$ ,
- (2)  $S[\pi_P]S[h] = S[\pi_P]S[h']$ ,
- (3)  $S[h] \neq S[h']$ .

**2. The dyadic solenoid.** Let  $S^1 = \{\zeta = e^{2\pi it} \mid 0 \leq t \leq 1\}$  denote the unit circle in the complex plane and let  $p: S^1 \rightarrow S^1$  be the mapping given by  $p(\zeta) = \zeta^2$ ,  $\zeta \in S^1$ . Let  $\mathbf{X} = (X_i, p_{ii'}, \mathbb{N})$ , where  $X_i = S^1$ ,  $p_{ii'} = p^{i'-i}$ ,  $i \leq i'$ , and  $\mathbb{N} = \{1, 2, \dots\}$ . Then  $\mathbf{X}$  is an inverse sequence whose limit space  $X = \lim \mathbf{X}$  is the dyadic solenoid and the canonical projections  $p_i: X \rightarrow X_i$  map  $\xi = (\xi_1, \xi_2, \dots) \in X$  to  $\xi_i$ ,  $i \in \mathbb{N}$ . For completeness of exposition we prove the following elementary lemma.

LEMMA 1. *Let  $Z$  be a topological space and let  $f_i: Z \rightarrow X_i$ ,  $i \in \mathbb{N}$ , be mappings such that  $f_{i-1} \simeq p_{i-1i}f_i$ . Then there exist mappings  $f'_i: Z \rightarrow X_i$  such that  $f_i \simeq f'_i$  and  $f'_{i-1} = p_{i-1i}f'_i$ . The unique mapping  $f': Z \rightarrow X = \lim \mathbf{X}$  such that  $f'_i = p_i f'$ ,  $i \in \mathbb{N}$ , has the property that  $f_i \simeq p_i f'$ ,  $i \in \mathbb{N}$ . If  $Z_0$  is a subset of  $Z$  and  $f_{i-1} \simeq p_{i-1i}f_i \text{ (rel } Z_0)$ , then one can achieve that  $f_i \simeq f'_i \text{ (rel } Z_0)$ .*

*Proof.* We construct the mappings  $f'_i$  by induction on  $i$ . Put  $f'_1 = f_1$ . If we have already constructed  $f'_{i-1}: Z \rightarrow X_{i-1}$ , then  $p_{i-1i}f_i \simeq f_{i-1} \simeq f'_{i-1}$ . Since  $p_{i-1i} = p$  is a covering mapping, we can lift the homotopy  $H_{i-1}: Z \times I \rightarrow X_{i-1}$  realizing  $p_{i-1i}f_i \simeq f'_{i-1}$  to a homotopy  $H_i: Z \times I \rightarrow X_i$  whose initial stage is  $f_i$ . Then its terminal stage is a mapping  $f'_i: Z \rightarrow X_i$  such that  $f_i \simeq f'_i$  and  $p_{i-1i}f'_i = f'_{i-1}$ . If  $p_{i-1i}f_i \simeq f_{i-1} \text{ (rel } Z_0)$  and  $f_{i-1} \simeq f'_{i-1} \text{ (rel } Z_0)$ , then one can assume that  $H_{i-1}$  is a homotopy rel  $Z_0$ . Since the fibers of  $p_{i-1i}$  are discrete, the lift  $H_i$  of  $H_{i-1}$  will also be a homotopy rel  $Z_0$ . ■

**3. The mappings  $h$  and  $h'$ .** Let  $P = \bigvee_{i=1}^{\infty} P_i$  be the wedge of a sequence of copies of 1-spheres  $P_i = S^1$ , obtained from the coproduct  $\bigsqcup_{i=1}^{\infty} P_i$  by identifying all the base points  $1 \in S^1$  in the various summands  $P_i$  to a single base point  $*$  of  $P$ .

For any fixed point  $x \in X$ , we define  $h^x: P \rightarrow X \times P$  by putting

$$(4) \quad h^x(t) = (x, t), \quad t \in P.$$

LEMMA 2. *For an arbitrary choice of points  $x, x' \in X$ , the mappings  $h = h^x$ ,  $h' = h^{x'}$  satisfy conditions (1) and (2).*

*Proof.* Since  $X$  is a continuum, any two constant mappings into  $X$  induce the same shape morphism. In particular, for  $x, x' \in X$ ,  $S[x] = S[x']$ . Furthermore, for  $t \in P$ ,  $\pi_X h^x(t) = \pi_X(x, t) = x$  and  $\pi_X h^{x'}(t) = \pi_X(x', t) = x'$ . Consequently,  $S[\pi_X]S[h^x] = S[\pi_X h^x] = S[x] = S[x'] = S[\pi_X h^{x'}] = S[\pi_X]S[h^{x'}]$  so that  $h$  and  $h'$  satisfy condition (1). Furthermore,  $\pi_P h^x(t) = \pi_P(x, t) = t$ , i.e.,  $\pi_P h^x$  is the identity mapping  $1_P: P \rightarrow P$ . Analogously,  $\pi_P h^{x'} = 1_P$  so that  $h$  and  $h'$  also satisfy condition (2). ■

The Theorem is an immediate consequence of Lemma 2 and the following Lemma 3.

LEMMA 3. *There exist points  $x, x' \in X$  such that  $h = h^x, h' = h^{x'}$  satisfy condition (3).*

To prove Lemma 3, it suffices to exhibit points  $x, x' \in X$ , a CW-complex  $Q$  and a mapping  $q: X \times P \rightarrow Q$  such that the mappings  $qh^x$  and  $qh^{x'}$  are not homotopic. Indeed, in that case we cannot have  $S[h^x] = S[h^{x'}]$ , because this would imply  $S[qh^x] = S[q]S[h^x] = S[q]S[h^{x'}] = S[qh^{x'}]$ . However, since  $Q$  has the homotopy type of a polyhedron,  $S[qh^x] = S[qh^{x'}]$  would imply  $qh^x \simeq qh^{x'}$ , which contradicts the assumption.

**4. The CW-complex  $Q$  and the mapping  $q: X \times P \rightarrow Q$ .** Consider the space

$$(5) \quad \tilde{Q} = (X_1 \times *) \sqcup \bigsqcup_{i=1}^{\infty} (X_i \times P_i)$$

and consider the equivalence relation  $\sim$  on  $\tilde{Q}$  generated by the requirement that

$$(6) \quad (p_{1i}(\zeta), *) \sim (\zeta, *), \quad \zeta \in X_i, \quad i \in \mathbb{N}.$$

Note that  $(p_{ii'}(\zeta), *) \sim (\zeta, *)$  for  $i < i'$  and  $\zeta \in X_{i'}$ . This is so because  $(p_{ii'}(\zeta), *) \sim (p_{1i}p_{ii'}(\zeta), *) = (p_{1i'}(\zeta), *) \sim (\zeta, *)$ . Put  $Q = \tilde{Q}/\sim$  and let  $\phi: \tilde{Q} \rightarrow Q$  be the corresponding quotient mapping. Note that for  $(\zeta, *), (\zeta', *) \in X_1 \times *$  one has  $(\zeta, *) \sim (\zeta', *)$  if and only if  $\zeta = \zeta'$ . Therefore,  $X_1 \times *$  can be identified with  $\phi(X_1 \times *)$  and can be viewed as a subspace of  $Q$ .

In order to define  $q$ , we first define mappings  $q_i: X \times P_i \rightarrow Q$ ,  $i \in \mathbb{N}$ , by putting

$$(7) \quad q_i(\xi, t) = \phi(p_i(\xi), t), \quad \xi \in X, \quad t \in P_i, \quad i \in \mathbb{N}.$$

Note that, for  $\xi = (\xi_1, \xi_2, \dots) \in X$  and the base point  $* \in P_i$ , (7) yields the value

$$(8) \quad q_i(\xi, *) = \phi(p_i(\xi), *) = \phi(p_{1i}p_i(\xi), *) = \phi(p_1(\xi), *) = (\xi_1, *) \in X_1 \times *.$$

Since this value does not depend on  $i$ , there is a well-defined mapping  $q: X \times P \rightarrow Q$  such that  $q|_{X \times P_i} = q_i$ ,  $i \in \mathbb{N}$ .

It is now clear that to prove Lemma 3, we only need to prove that  $Q$  is a CW-complex (this will be accomplished in Lemma 10) and that the following lemma holds.

LEMMA 4. *There exist points  $x, x' \in X$  such that, for the above described mapping  $q: X \times P \rightarrow Q$ ,*

$$(9) \quad qh^x \not\sim qh^{x'}.$$

REMARK 1. The space  $Q$  and the mapping  $q$  were suggested by the standard HPol-resolution for the general case of a product  $X \times P$  of a compact

Hausdorff space and a polyhedron (see [5]). (For information on resolutions see [7] or [4].)

**5. Points  $x, x'$  and paths  $u_i$  and  $c_i$ .** Let  $\mathbf{i} = (i_0 = 0 < i_1 < \dots < i_k < \dots)$  be a sequence of integers and let  $x = (x_1, x_2, \dots)$  be an arbitrary point in  $X$ . We will associate with  $\mathbf{i}$  and  $x$  a point  $x' = (x'_1, x'_2, \dots) \in X$ . We first construct a sequence of paths  $u_i: I = [0, 1] \rightarrow X_i$  with initial points  $x_i = u_i(0)$ . Then we define  $x'_i$  to be the terminal points of these paths,  $x'_i = u_i(1)$ . To describe the paths  $u_i$  we also need some loops  $a_i: I \rightarrow X_i$  in  $X_i$ , based at  $x_i$ . By definition,

$$(10) \quad a_i(t) = x_i e^{2\pi i t}, \quad t \in I.$$

The paths  $u_i$  are completely determined by the next lemma.

LEMMA 5. *Let  $u_1: I \rightarrow X_1$  be an arbitrary path in  $X_1$  whose initial point is  $u_1(0) = x_1$ . Then there exists a unique sequence of paths  $u_i$  in  $X_i$  beginning at  $u_i(0) = x_i$ ,  $i \in \mathbb{N}$ , and having the following properties:*

- (i) *For  $i \neq i_k + 1$ ,  $k \in \mathbb{N}$ , the path  $u_i$  is a lift of the path  $u_{i-1}$  with respect to the mapping  $p_{i-1} = p: X_i \rightarrow X_{i-1}$ , i.e.,  $pu_i = u_{i-1}$ ;*
- (ii) *For  $i = i_k + 1$ ,  $k \in \mathbb{N}$ ,  $u_i$  is a lift of the concatenation of paths  $a_{i_k} \cdot u_{i_k}$ .*

The terminal points  $x'_i = u_i(1)$ ,  $i \in \mathbb{N}$ , form a point  $x' \in X$ .

Recall that the concatenation  $\eta_1 \cdot \eta_2$  of two paths is defined by the formula

$$(11) \quad (\eta_1 \cdot \eta_2)(t) = \begin{cases} \eta_1(2t), & 0 \leq t \leq 1/2, \\ \eta_2(2t - 1), & 1/2 \leq t \leq 1. \end{cases}$$

By definition,  $\eta_1 \cdot \eta_2 \cdots \eta_n = (\eta_1 \cdot \eta_2 \cdots \eta_{n-1}) \cdot \eta_n$ . It is well known that concatenation of paths is associative up to homotopy  $\text{rel } \partial I$ . Every path  $\eta$  determines its reversed path  $\eta^{-1}$ . By definition,  $\eta^{-1}(t) = \eta(1 - t)$ . It is well known that  $\eta \cdot \eta^{-1} \simeq \eta(0)$  and  $\eta^{-1} \cdot \eta \simeq \eta(1)$ .

*Proof of Lemma 5.* Since  $p: S^1 \rightarrow S^1$  is a covering mapping, the initial point  $x_i$  and the path  $u_{i-1}$  completely determine the path  $u_i$ . If  $i \neq i_k + 1$ , then  $p(x'_i) = (pu_i)(1) = u_{i-1}(1) = x'_{i-1}$ . If  $i = i_k + 1$ , then  $p(x'_i) = p(x'_{i_k+1}) = (pu_{i_k+1})(1) = (a_{i_k} \cdot u_{i_k})(1) = u_{i_k}(1) = u_{i-1}(1) = x'_{i-1}$ . Therefore,  $x' = (x'_1, x'_2, \dots) \in X$ . ■

In the proof of Lemma 4 we will impose additional conditions on the sequence  $\mathbf{i}$  (see Section 10).

With every path  $\eta$  in  $\tilde{Q}$  the mapping  $\phi$  associates a path  $\phi_{\#}(\eta)$  in  $Q$  defined by  $(\phi_{\#}(\eta))(t) = (\phi\eta)(t)$ ,  $t \in I$ . In particular, since  $u_i \times *$  is a path in  $X_i \times * \subseteq \tilde{Q}$  given by  $(u_i \times *)(t) = (u_i(t), *)$ , we see that

$$(12) \quad c_i = \phi_{\#}(u_i \times *), \quad i \in \mathbb{N},$$

is a path in  $\phi(X_i \times *) \subseteq X_1 \times * \subseteq Q$ . It connects the point  $\phi(u_i(0), *) = \phi(x_i, *) = \phi(p_{1i}(x_i), *) = \phi(x_1, *) = (x_1, *)$  to the point  $\phi(u_i(1), *) = \phi(x'_i, *) = (x'_1, *)$ .

In the next lemma we will give explicit formulae determining  $c_i$  up to homotopy of paths, i.e., homotopy rel  $\partial I$ . To be able to write the formulae in a concise way, we associate with every sequence  $\mathbf{i} = (i_0 = 0 < i_1 < \dots < i_k < \dots)$  of integers an integral-valued function  $m$  whose domain consists of the integers  $i \geq i_1 + 1$ . By definition,

$$(13) \quad m(i) = 2^{i_1-1} + 2^{i_2-1} + \dots + 2^{i_k-1}, \quad i_k + 1 \leq i \leq i_{k+1}, \quad k \in \mathbb{N}.$$

LEMMA 6.

$$(14) \quad \begin{aligned} c_i &= c_1, & 1 \leq i \leq i_1, \\ c_i &\simeq (a_1^{m(i)} \times *) \cdot c_1, & i_1 + 1 \leq i. \end{aligned}$$

*Proof.* It suffices to prove the following two formulae:

$$(15) \quad c_i = c_{i-1}, \quad i_k + 1 < i \leq i_{k+1}, \quad k \in \{0, 1, \dots\},$$

$$(16) \quad c_{i_{k+1}} \simeq (a_1^{2^{i_k-1}} \times *) \cdot c_{i_k}, \quad k \in \mathbb{N}.$$

Proof of (15):

$$(17) \quad c_i = \phi_{\#}(u_i \times *) = \phi_{\#}(p_{i-1} u_i \times *),$$

because  $(u_i(\zeta), *) \sim (p_{i-1} u_i(\zeta), *)$  for  $\zeta \in X_i$ . However,  $p_{i-1} u_i = p$  and  $p u_i = u_{i-1}$  for  $i_k + 1 < i \leq i_{k+1}$  and  $k \in \{0, 1, \dots\}$ . Consequently,  $c_i = \phi_{\#}(u_{i-1} \times *) = c_{i-1}$ .

Proof of (16): By (12),

$$(18) \quad c_{i_{k+1}} = \phi_{\#}(u_{i_{k+1}} \times *) = \phi_{\#}(p_{i_k} u_{i_{k+1}} \times *).$$

Since  $p_{i_k} u_{i_{k+1}} = p$  and  $p u_{i_{k+1}} = a_{i_k} \cdot u_{i_k}$ , it follows that

$$(19) \quad \begin{aligned} c_{i_{k+1}} &= \phi_{\#}(a_{i_k} \cdot u_{i_k} \times *) = \phi_{\#}((a_{i_k} \times *) \cdot (u_{i_k} \times *)) \\ &= \phi_{\#}(a_{i_k} \times *) \cdot \phi_{\#}(u_{i_k} \times *). \end{aligned}$$

Since by (12),  $\phi_{\#}(u_{i_k} \times *) = c_{i_k}$ , it remains to show that

$$(20) \quad \phi_{\#}(a_{i_k} \times *) \simeq a_1^{2^{i_k-1}} \times *.$$

This is a special case of the formula

$$(21) \quad \phi_{\#}(a_i \times *) \simeq a_1^{2^{i-1}} \times *,$$

valid for all  $i \in \mathbb{N}$ . Since

$$(22) \quad \phi_{\#}(a_i \times *) = \phi(a_i \times *) = \phi(p_{1i} a_i \times *) = \phi(p^{i-1} a_i \times *),$$

to prove (21), it suffices to show that

$$(23) \quad p^{i-1} a_i \simeq a_1^{2^{i-1}},$$

because then

$$(24) \quad \phi(p^{i-1}a_i \times *) \simeq \phi(a_1^{2^{i-1}} \times *) = a_1^{2^{i-1}} \times *.$$

Formula (23) follows by induction on  $i$ , using the formula

$$(25) \quad pa_i = a_{i-1}^2.$$

Indeed, if (23) holds, we see, by (25) for  $i + 1$ , that

$$(26) \quad p^i a_{i+1} = p^{i-1} p a_{i+1} = p^{i-1} a_i^2 = p^{i-1} a_i \cdot p^{i-1} a_i \simeq a_1^{2^{i-1}} \cdot a_1^{2^{i-1}} \simeq a_1^{2^i}.$$

To verify (25), note that, for  $t \in I$ ,

$$(27) \quad pa_i(t) = p(x_i e^{2\pi i t}) = p(x_i) p(e^{2\pi i t}) = x_{i-1} e^{4\pi i t}.$$

On the other hand,

$$(28) \quad (a_{i-1}^2)(t) = \begin{cases} a_{i-1}(2t) = x_{i-1} e^{4\pi i t}, & 0 \leq t \leq 1/2, \\ a_{i-1}(2t-1) = x_{i-1} e^{2\pi i(2t-1)}, & 1/2 \leq t \leq 1. \end{cases}$$

Since  $e^{2\pi i(2t-1)} = e^{4\pi i t}$ , we conclude that also  $(a_{i-1}^2)(t) = x_{i-1} e^{4\pi i t}$  for  $t \in I$ . ■

**6. Loops  $b_i$  and  $b'_i$ .** For every  $i \in \mathbb{N}$  we now define two loops  $\tilde{b}_i, \tilde{b}'_i: I \rightarrow X_i \times P_i \subseteq \tilde{Q}$  by putting

$$(29) \quad \tilde{b}_i(t) = (x_i, e^{2\pi i t}), \quad \tilde{b}'_i(t) = (x'_i, e^{2\pi i t}).$$

Note that these loops are based at the points  $(x_i, *)$  and  $(x'_i, *)$ , respectively. Next put

$$(30) \quad b_i = \phi_{\#}(\tilde{b}_i), \quad b'_i = \phi_{\#}(\tilde{b}'_i).$$

The loop  $b_i$  is based at  $\phi(x_i, *) = \phi(p_{1i}(x_i), *) = \phi(x_1, *) = (x_1, *)$  and  $b'_i$  is based at  $\phi(x'_i, *) = (x'_1, *)$ . Recall that  $c_i$  is a path in  $Q$  connecting  $(x_1, *)$  to  $(x'_1, *)$ . Denoting by  $c_i^{-1}$  the inverse path of  $c_i$ , i.e., the path given by  $c_i^{-1}(t) = c_i(1-t)$ , we conclude that  $c_i^{-1} \cdot b_i \cdot c_i$  is a well-defined loop in  $Q$ , based at  $(x'_1, *)$ . The next lemma plays an important role in the proof of the Theorem.

LEMMA 7. *In  $Q$  the following homotopy of loops based at  $(x'_1, *)$  holds:*

$$(31) \quad c_i^{-1} \cdot b_i \cdot c_i \simeq b'_i, \quad i \in \mathbb{N}.$$

*Proof.* We first prove the analogous formula in  $\tilde{Q}$ , which reads as follows:

$$(32) \quad (u_i^{-1} \times *) \cdot \tilde{b}_i \cdot (u_i \times *) \simeq \tilde{b}'_i, \quad i \in \mathbb{N}.$$

Since  $X_i \times P_i \subseteq \tilde{Q}$ , it suffices to exhibit a homotopy  $H_i: I \times I \rightarrow X_i \times P_i$  (rel  $\partial I$ ) which connects the left side of (32) to its right side. Using the

product structure of  $X_i \times P_i$ , one readily obtains a homotopy  $H'_i: I \times I \rightarrow X_i \times P_i$  (rel  $\partial I$ ) connecting the left side of (32) to the concatenation  $x'_i \cdot \tilde{b}'_i \cdot x'_i$ , where  $x'_i$  is now viewed as a constant path. Indeed, it suffices to put

$$(33) \quad H'_i(s, t) = ((u_{is}^{-1} \times *) \cdot \tilde{b}_{is} \cdot (u_{is} \times *))(t),$$

where  $u_{is}^{-1}(t) = u_i(1 - t(1 - s))$ ,  $\tilde{b}_{is}(t) = (u_i(s), e^{2\pi it})$  and  $u_{is}(t) = u_i(s + t(1 - s))$ . It is easy to find a homotopy  $H''_i: I \times I \rightarrow X_i \times P_i$  (rel  $\partial I$ ) which connects  $x'_i \cdot \tilde{b}'_i \cdot x'_i$  to  $\tilde{b}'_i$ . Then the concatenation  $H = H' \cdot H''$  is a homotopy which realizes (32).

To obtain (31), it now suffices to apply  $\phi_{\#}$  to (32). Indeed, by (12),  $\phi_{\#}(u_i \times *) = c_i$ . Similarly,  $\phi_{\#}(u_i^{-1} \times *) = c_i^{-1}$ , because  $\phi(u_i^{-1}(t), *) = \phi(u_i(1 - t), *) = (\phi_{\#}(u_i \times *))(1 - t) = c_i(1 - t) = c_i^{-1}(t)$ . Finally,  $\phi(\tilde{b}_i) = b_i$  and  $\phi(\tilde{b}'_i) = b'_i$ . ■

**7. A consequence of the assumption  $qh^x \simeq qh^{x'}$ .** The next lemma shows that the assumption  $qh^x \simeq qh^{x'}$  has an important consequence for the loops  $b_i$ .

LEMMA 8. *If for some points  $x, x' \in X$  the mappings  $qh^x, qh^{x'}: P \rightarrow Q$  are homotopic, then there exists a path  $l: I \rightarrow Q$  which connects the points  $(x_1, *)$  and  $(x'_1, *)$  and is such that, for all  $i \in \mathbb{N}$ ,*

$$(34) \quad l^{-1} \cdot b_i \cdot l \simeq b'_i.$$

*Proof.* Choose a homotopy  $L: P \times I \rightarrow Q$  which connects the mappings  $qh^x$  and  $qh^{x'}$ . Let  $l: I \rightarrow Q$  be the path in  $Q$  given by the restriction of  $L$  to  $* \times I$ , i.e., let  $l(s) = L(*, s)$  for  $s \in I$ . Note that  $l$  connects  $l(0) = L(*, 0) = qh^x(*) = q(x, *) = (x_1, *)$  and  $l(1) = L(*, 1) = qh^{x'}(*) = q(x', *) = (x'_1, *)$  and it does not depend on  $i \in \mathbb{N}$ . Denote by  $\omega_i: I \rightarrow P_i \subseteq P$  the loop given by the formula

$$(35) \quad \omega_i(t) = e^{2\pi it}, \quad t \in I.$$

Then, by (4), (7), (28) and (29),

$$(36) \quad qh^x \omega_i(t) = q(x, e^{2\pi it}) = \phi(p_i(x), e^{2\pi it}) = \phi(x_i, e^{2\pi it}) = \phi(\tilde{b}_i(t)) = b_i(t)$$

and thus,

$$(37) \quad L(\omega_i(t), 0) = b_i(t), \quad t \in I.$$

Analogously,

$$(38) \quad L(\omega_i(t), 1) = b'_i(t), \quad t \in I.$$

Now consider the product  $P \times I$  and the path  $\lambda: I \rightarrow P \times I$  given by the formula  $\lambda(s) = (*, s)$ . Also consider the loops  $\omega_i \times 0, \omega_i \times 1: I \rightarrow P \times I$  for  $i \in \mathbb{N}$ . Let us first note that in  $P_i \times I \subseteq P \times I$  the following homotopy



of paths holds:

$$(39) \quad \lambda^{-1} \cdot (\omega_i \times 0) \cdot \lambda \simeq \omega_i \times 1.$$

To verify (39), consider the homotopy  $G'_i: I \times I \rightarrow P_i \times I$  (rel  $\partial I$ ) given by the formula

$$(40) \quad G'_i(s, t) = \lambda_s^{-1} \cdot (\omega_i \times s) \cdot \lambda_s,$$

where  $\lambda_s^{-1}(t) = (*, 1 - t(1 - s))$  and  $\lambda_s(t) = (*, s + t(1 - s))$ . It readily follows that  $G'_i$  connects the left side of (39) to the concatenation  $(* \times 1) \cdot (\omega_i \times 1) \cdot (* \times 1)$ , where we view  $* \times 1$  as a constant path. Let  $G = G'_i \cdot G''_i$ , where  $G''_i$  is a homotopy which connects  $(* \times 1) \cdot (\omega_i \times 1) \cdot (* \times 1)$  to  $\omega_i \times 1$ . Then  $G$  is a homotopy which realizes (39).

Now apply the homotopy  $L$  to (39). Note that  $L\lambda = l$ , because  $L\lambda(s) = L(*, s) = l(s)$ . Moreover, (37) and (38) show that  $L(\omega_i \times 0) = b_i$  and  $L(\omega_i \times 1) = b'_i$ . Consequently, one obtains the desired formula (34). ■

In the space  $Q$  choose a base point  $*$  by putting  $* = (x_1, *)$ . Since  $a_1 \times *, b_1, b_2, \dots$  are loops in  $Q$  based at  $*$ , they determine elements  $\alpha = [a_1 \times *], \beta_1 = [b_1], \beta_2 = [b_2], \dots$  of the fundamental group  $\pi_1(Q, *)$ . The next lemma is crucial in our argument.

LEMMA 9. *Let  $\mathbf{i} = (0 < i_1 < \dots < i_k < \dots)$  be a sequence of integers and let  $x, x' \in X$  be points chosen in accordance with Lemma 5. If  $qh^x \simeq qh^{x'}$ , then there exists an element  $\kappa \in \pi_1(Q, *)$  such that*

$$(41) \quad (\alpha^{m(i)} \kappa) \beta_i = \beta_i (\alpha^{m(i)} \kappa)$$

for all  $i > i_1$ .

*Proof.* Since both  $l$  and  $c_1$  are paths in  $Q$  from  $* = (x_1, *)$  to  $(x'_1, *)$ , it follows that  $k = c_1 \cdot l^{-1}$  is a well-defined loop in  $Q$  based at  $*$ . Then  $\kappa = [k]$  is a well-defined element of the fundamental group  $\pi_1(Q, *)$ . Comparing (31) and (34), we conclude that

$$(42) \quad c_i^{-1} \cdot b_i \cdot c_i \simeq l^{-1} \cdot b_i \cdot l$$

for all  $i \in \mathbb{N}$ . Moreover, for  $i > i_1$ , (42) and Lemma 6 imply

$$(43) \quad c_1^{-1} \cdot (a_1^{m(i)} \times *)^{-1} \cdot b_i \cdot (a_1^{m(i)} \times *) \cdot c_1 \simeq l^{-1} \cdot b_i \cdot l.$$

Since  $c_1 \cdot l^{-1} = k$ , (43) assumes the form

$$(44) \quad (a_1^{m(i)} \times *) \cdot k \cdot b_i \simeq b_i \cdot (a_1^{m(i)} \times *) \cdot k.$$

Passing to homotopy classes, we conclude that  $\kappa$  satisfies (41) for all  $i > i_1$ . ■

**8. The fundamental group of  $Q$ .** In this section we will prove the following lemma.

LEMMA 10.  $Q$  is a connected CW-complex whose fundamental group  $\pi_1(Q, *)$  has generators  $\alpha, \beta_1, \beta_2, \dots$  and relations

$$(45) \quad \alpha^{2^{i-1}} \beta_i = \beta_i \alpha^{2^{i-1}}, \quad i \in \mathbb{N}.$$

*Proof.* First note that  $Q$  can also be obtained by attaching to the 1-sphere  $X_1 \times *$  the 2-tori  $X_i \times P_i$ ,  $i \in \mathbb{N}$ , via the mappings  $p_{1i} \times *: X_i \times * \rightarrow X_1 \times *$ . Next notice that the 2-torus  $X_i \times P_i$  is obtained by attaching a 2-cell  $D_i = I \times I$  to the wedge of two 1-spheres

$$(46) \quad W_i = (X_i \times *) \vee (x_i \times P_i)$$

via a mapping  $\chi_i: \partial D_i \rightarrow W_i$  of the boundary  $\partial D_i = (I \times \partial I) \cup (\partial I \times I)$ . The mapping  $\chi_i$  is given by the formulae

$$(47) \quad \chi_i|_{I \times 0} = \chi_i|_{I \times 1} = a_i \times *,$$

$$(48) \quad \chi_i|_{0 \times I} = \chi_i|_{1 \times I} = \tilde{b}_i.$$

It is now clear that  $Q$  is a connected 2-dimensional CW-complex whose 0-skeleton is the base point  $*$ , the 1-skeleton is the wedge of 1-spheres

$$(49) \quad W = (X_1 \times *) \vee \left( \bigvee_{i=1}^{\infty} \phi(x_i \times P_i) \right),$$

and  $Q$  is obtained by attaching to  $W$  the 2-cells  $D_i$ ,  $i \in \mathbb{N}$ , via the mappings  $\psi_i = \phi\chi_i: \partial D_i \rightarrow W$ . Consequently,  $\pi_1(W, *)$  is a free group whose generators are the homotopy classes of the loops  $a_1 \times *, \tilde{b}_1, \tilde{b}_2, \dots$ . The group  $\pi_1(Q, *)$  is the quotient of  $\pi_1(W, *)$  by the normal subgroup generated by the homotopy classes of the loops

$$(50) \quad \phi((a_i \times *) \cdot \tilde{b}_i \cdot (a_i \times *)^{-1} \cdot \tilde{b}_i^{-1}) = \phi(a_i \times *) \cdot \phi(\tilde{b}_i) \cdot (\phi(a_i \times *))^{-1} \cdot (\phi(\tilde{b}_i))^{-1}.$$

However, by (21),  $\phi(a_i \times *) \simeq a_1^{2^{i-1}} \times * = (a_1 \times *)^{2^{i-1}}$  and by (30),  $\phi(\tilde{b}_i) = b_i$ . Therefore, the homotopy class of the loop (50) equals  $\alpha^{2^{i-1}} \beta_i (\alpha^{2^{i-1}})^{-1} \beta_i^{-1}$ . ■

**9. Two lemmas from group theory.** In the proof of the Theorem we also need two lemmas on groups.

LEMMA 11. Let  $n \geq 2$  be an integer and let  $G$  be the group with two generators  $\alpha, \beta$  and one relation  $\alpha^n \beta = \beta \alpha^n$ . If for some  $m \in \mathbb{Z}$  the power  $\alpha^m$  commutes with  $\beta$ , then  $m$  is divisible by  $n$ .

*Proof.* Consider the symmetric group  $S(n+1)$  of all permutations of the set  $\{0, 1, \dots, n\}$ . Let  $a$  be the permutation which keeps the point 0 fixed and permutes the set  $\{1, \dots, n\}$  cyclically, i.e.,  $a(0) = 0$ ,  $a(i) = i+1$  for  $1 \leq i \leq n-1$ , and  $a(n) = 1$ . Let  $b$  be the permutation which keeps  $n$  fixed and permutes  $\{0, \dots, n-1\}$  cyclically. Clearly,  $a^n$  equals the identity permutation 1 and therefore,

$$(51) \quad a^n b = b a^n.$$

On the other hand,

$$(52) \quad a^k b \neq b a^k, \quad 1 \leq k \leq n-1.$$

Indeed,  $a^k(0) = 0$  and therefore,  $b a^k(0) = b(0) = 1$ . For  $1 \leq k \leq n-1$ ,  $a^k b(0) = a^k(1) = k+1 \neq 1$ , which establishes (52).

Now define a homomorphism  $\varphi$  of the free group  $F$  with basis  $\{\alpha, \beta\}$  to  $S(n+1)$  by putting  $\varphi(\alpha) = a$  and  $\varphi(\beta) = b$ . Note that (51) implies that  $\varphi(\alpha^n \beta \alpha^{-n} \beta^{-1}) = a^n b a^{-n} b^{-1} = 1$  and therefore,  $\varphi$  induces a homomorphism  $\phi: G \rightarrow S(n+1)$  with  $\phi(\alpha) = a$  and  $\phi(\beta) = b$ . It is now readily seen that the elements  $\alpha, \alpha^2, \dots, \alpha^{n-1}$  do not commute with  $\beta$ . Indeed, for  $1 \leq k \leq n-1$ ,  $\alpha^k \beta = \beta \alpha^k$  would imply  $a^k b = b a^k$ , contrary to (52). Now assume that a power  $\alpha^m$  commutes with  $\beta$ . Note that there are integers  $l$  and  $r$  such that  $m = ln + r$  and  $0 \leq r \leq n-1$ . Since  $\alpha^{ln} = (\alpha^n)^l$  commutes with  $\beta$ , one concludes that also  $\alpha^r$  commutes with  $\beta$ . By (52), one cannot have  $1 \leq r \leq n-1$  and thus,  $r = 0$ , i.e.,  $m = ln$  is divisible by  $n$ . ■

The next lemma generalizes Lemma 11.

LEMMA 12. *Let  $n_1, n_2, \dots$  be a sequence of integers  $\geq 2$  and let  $G$  be the group with generators  $\alpha, \beta_1, \beta_2, \dots$  and relations  $\alpha^{n_i} \beta_i = \beta_i \alpha^{n_i}$ ,  $i \in \mathbb{N}$ . If for some  $j \in \mathbb{N}$  and some  $m \in \mathbb{Z}$  the power  $\alpha^m$  commutes with  $\beta_j$ , then  $m$  is divisible by  $n_j$ .*

*Proof.* If  $F$  is the free group with basis  $\{\alpha, \beta_1, \beta_2, \dots\}$  and  $N \subseteq F$  is the normal subgroup generated by the elements  $\alpha^{n_i} \beta_i \alpha^{-n_i} \beta_i^{-1}$ ,  $i \in \mathbb{N}$ , then  $G = F/N$ . Let  $F'$  be the free group with basis  $\{a, b\}$  and let  $N' \subseteq F'$  be the normal subgroup generated by the element  $a^{n_j} b a^{-n_j} b^{-1}$ . Then  $G' = F'/N'$  is the group with generators  $a, b$  and with the only relation  $a^{n_j} b = b a^{n_j}$ . Consider the homomorphism  $\varphi: F \rightarrow F'$  determined by putting  $\varphi(\alpha) = a$ ,  $\varphi(\beta_j) = b$  and  $\varphi(\beta_i) = 1$  for  $i \neq j$ . Note that  $\varphi(\alpha^{n_j} \beta_j \alpha^{-n_j} \beta_j^{-1}) = a^{n_j} b a^{-n_j} b^{-1}$  and  $\varphi(\alpha^{n_i} \beta_i \alpha^{-n_i} \beta_i^{-1}) = a^{n_i} a^{-n_i} = 1$  for  $i \neq j$  and thus,  $\varphi(N) \subseteq N'$ . Therefore,  $\varphi$  induces a homomorphism  $\phi: G \rightarrow G'$  such that  $\phi(\alpha) = a$ ,  $\phi(\beta_j) = b$  and  $\phi(\beta_i) = 1$  for  $i \neq j$ . Applying  $\phi$  to  $\alpha^m \beta_j = \beta_j \alpha^m$ , one concludes that  $a^m$  commutes with  $b$ . We now apply Lemma 11 to  $n_j$ , to the group  $G'$  and to the power  $a^m$  and we conclude that  $m$  is divisible by  $n_j$ . ■

**10. Proof of Lemma 4.** With every sequence  $\mathbf{i} = \{0 = i_0 < \dots < i_k < \dots\}$  we associate a sequence  $\mathbf{s}$  of integers  $s_k$ ,  $k \geq 2$ , defined by the formula

$$(53) \quad s_k = 2^{i_k-1} - m(i_k) = 2^{i_k-1} - (2^{i_1-1} + 2^{i_2-1} + \dots + 2^{i_{k-1}-1}).$$

Clearly,

$$(54) \quad s_{k+1} - s_k = 2^{i_{k+1}-1} - 2^{i_k} = 2^{i_k} (2^{i_{k+1}-i_k-1} - 1), \quad k \geq 2.$$

Since  $i_k + 1 \leq i_{k+1}$ , (53) and (54) imply that  $0 < s_2 < \cdots < s_k < s_{k+1} < \cdots$ , i.e.,  $\mathbf{s}$  is a strictly increasing sequence. Moreover, if for some  $k \geq 2$ ,

$$(55) \quad i_k + 2 \leq i_{k+1},$$

then (54) implies that

$$(56) \quad s_{k+1} > s_{k+1} - s_k \geq 2^{i_k} \geq 2^k.$$

LEMMA 13. *Let  $\mathbf{i} = \{0 = i_0 < \cdots < i_k < \cdots\}$  be a sequence of integers such that (55) holds for infinitely many integers  $k \geq 2$ . Then, for an arbitrary point  $x \in X$  and for  $x' \in X$  determined by  $\mathbf{i}$  and  $x$  as in Lemma 5, the mappings  $qh^x$  and  $qh^{x'}$  are not homotopic.*

*Proof.* Assume that  $qh^x \simeq qh^{x'}$ . Then Lemma 9 yields an element  $\kappa \in \pi_1(Q, *)$  such that (41) holds for all  $i > i_1$ . By Lemma 10,  $\pi_1(Q, *)$  is the quotient group  $G = F/N$ , where  $F$  is the free group with basis  $\{\alpha, \beta_1, \beta_2, \dots\}$  and  $N \subseteq F$  is the normal subgroup generated by the elements  $\alpha^{2^{i-1}} \beta_i \alpha^{-2^{i-1}} \beta_i^{-1}$ ,  $i \in \mathbb{N}$ . Since the elements  $\alpha, \beta_1, \beta_2, \dots$  generate  $G$ ,  $\kappa$  is a product of the form  $\kappa = \gamma_1 \gamma_2 \cdots \gamma_s$ , where every  $\gamma_l$ ,  $1 \leq l \leq s$ , is either a power of  $\alpha$  or a power of one of the generators  $\beta_i$ . There are only finitely many such  $\beta_i$ , hence there is an integer  $r \geq 1$  such that, for  $1 \leq l \leq s$  and  $i > r$ ,  $\gamma_l$  is not a power of  $\beta_i$ . Without loss of generality we can assume that  $r \geq i_1$ .

We now consider the group  $G' = F'/N'$ , where  $F'$  is the free group with basis  $\{a, b_{r+1}, b_{r+2}, \dots\}$  and  $N' \subseteq F'$  is the normal subgroup generated by the elements  $a^{2^{i-1}} b_i a^{-2^{i-1}} b_i^{-1}$ ,  $i \geq r+1$ . Let  $\varphi: F \rightarrow F'$  be the homomorphism determined by putting  $\varphi(\alpha) = a$ ,  $\varphi(\beta_i) = 1$  for  $1 \leq i \leq r$ , and  $\varphi(\beta_i) = b_i$  for  $i \geq r+1$ . Note that  $\varphi(\alpha^{2^{i-1}} \beta_i \alpha^{-2^{i-1}} \beta_i^{-1}) = 1 \in N'$  for  $1 \leq i \leq r$ , and  $\varphi(\alpha^{2^{i-1}} \beta_i \alpha^{-2^{i-1}} \beta_i^{-1}) = a^{2^{i-1}} b_i a^{-2^{i-1}} b_i^{-1} \in N'$  for  $i \geq r+1$ , and thus,  $\varphi(N) \subseteq N'$ . Consequently,  $\varphi$  induces a homomorphism  $\phi: G \rightarrow G'$  such that  $\phi(\alpha) = a$ ,  $\phi(\beta_i) = 1$  for  $1 \leq i \leq r$ , and  $\phi(\beta_i) = b_i$  for  $i \geq r+1$ . Putting  $\phi(\kappa) = c$  and applying  $\phi$  to (41) for  $i \geq r+1$ , we conclude that  $c$  is an element of  $G'$  such that

$$(57) \quad (a^{m(i)} c) b_i = b_i (a^{m(i)} c), \quad i \geq r+1.$$

Now note that  $c = \phi(\gamma_1) \cdots \phi(\gamma_s)$ . If for some  $l$ ,  $1 \leq l \leq s$ ,  $\gamma_l$  is a power of  $\alpha$ , say,  $\gamma_l = \alpha^n$ , then  $\phi(\gamma_l) = a^n$  is a power of  $a$ . If  $\gamma_l$  is a power of  $\beta_i$ , then  $i \leq r$  and thus  $\phi(\gamma_l) = 1$ . Consequently,  $c \in G'$  is a power of  $a$ , say  $c = a^M$ , and thus,  $a^{m(i)} c = a^{m(i)+M}$  is also a power of  $a$ . Moreover, by (57), for any  $j \geq r+1$ ,  $a^{m(j)+M}$  commutes with  $b_j$ . This enables us to apply Lemma 12 to the sequence of integers  $2^{i-1}$ ,  $i \geq r+1$ , to the group  $G'$  with generators  $a, b_i$  and relations  $a^{2^{i-1}} b_i a^{-2^{i-1}} b_i^{-1}$  for  $i \geq r+1$ , and to the element  $a^{m(j)+M}$ . We conclude that, for  $j \geq r+1$ ,  $m(j) + M$  is divisible by  $2^{j-1}$ .

Now choose an integer  $k_0$  so large that, for  $k \geq k_0$ ,  $i_k \geq r+1$ . Then, if we put  $j = i_k$ , the above assertion shows that there is an integer  $n_k$  such that

$$(58) \quad m(i_k) + M = n_k 2^{i_k-1}, \quad k \geq k_0.$$

Let us first show that there is an integer  $k_1 \geq \max\{3, k_0\}$  such that  $k \geq k_1$  implies  $n_k \geq 1$ . Indeed, if  $n_k \leq 0$ , then  $-M = m(i_k) - n_k 2^{i_k-1} \geq m(i_k)$ . However, by (13),

$$(59) \quad m(i_k) = 2^{i_1-1} + 2^{i_2-1} + \dots + 2^{i_{k-1}-1}, \quad k \geq 2,$$

and therefore,  $k \geq 3$  implies  $m(i_k) \geq 2k-3$ . One cannot have infinitely many  $k \geq \max\{3, k_0\}$  such that  $n_k \leq 0$ , because that would imply that there are infinitely many  $k$  such that  $-M \geq 2k-3$ , which is obviously false. Consequently, there is an integer  $k_1$  having the desired properties. Now assume that  $k+1 \geq k_1$  and thus,  $n_{k+1} \geq 1$ . Then, by (58) for  $k+1$ ,

$$(60) \quad M = n_{k+1} 2^{i_{k+1}-1} - m(i_{k+1}) \geq 2^{i_{k+1}-1} - m(i_{k+1}) = s_{k+1}.$$

However, by (56), for infinitely many  $k$ , one has  $s_{k+1} > 2^k$  and thus,  $M > 2^k$ , which is obviously false. ■

*Proof of Lemma 4.* Choose a sequence  $\mathbf{i} = \{0 = i_0 < \dots < i_k < \dots\}$  such that (55) holds for infinitely many  $k \geq 2$ . Choose an arbitrary point  $x \in X$  and determine  $x' \in X$  by  $\mathbf{i}$  and  $x$  as in Lemma 5. Then Lemma 13 shows that the mappings  $qh^x$  and  $qh^{x'}$  are not homotopic. This completes the proofs of Lemma 4 and of the Theorem.

We will now state and prove a sharper version of Lemma 3.

**PROPOSITION 1.** *If two points  $x, x' \in X$  belong to the same path component of  $X$ , then  $h^x \simeq h^{x'}$  and thus,  $S[h^x] = S[h^{x'}]$ . Conversely, if  $S[h^x] = S[h^{x'}]$ , then  $x, x'$  belong to the same path component of  $X$ .*

*Proof.* First assume that  $x$  and  $x'$  belong to the same path component of  $X$ . Choose a path  $\varphi: I \rightarrow X$  which connects  $x$  to  $x'$ . Then the formula  $\phi(t, s) = (\varphi(s), t)$  defines a homotopy  $\phi: P \times I \rightarrow X \times P$  such that  $\phi(t, 0) = (\varphi(0), t) = (x, t) = h^x(t)$  and  $\phi(t, 1) = (\varphi(1), t) = (x', t) = h^{x'}(t)$ . Consequently,  $h^x \simeq h^{x'}$  and thus,  $S[h^x] = S[h^{x'}]$ .

Now assume that  $S[h^x] = S[h^{x'}]$ . We first construct, by induction on  $i \geq 1$ , a sequence of paths  $u_i$  in  $X_i$  which begin at  $x_i$  and end at  $x'_i$ . For  $u_1$  we choose an arbitrary path in  $X_1$  which connects  $x_1$  and  $x'_1$ . Assume that we have already constructed the path  $u_i$  in  $X_i$ ,  $i \geq 1$ . Consider the lifts of  $u_i$  and  $a_i \cdot u_i$  with respect to the mapping  $p_{i+1} = p: X_{i+1} \rightarrow X_i$ , having  $x_{i+1}$  for its initial point. Clearly, either the first or the second of the lifted paths must have  $x'_{i+1}$  for its terminal point. Let that path be  $u_{i+1}$ .

If there are only finitely many integers  $i \geq 1$  for which the second case occurs, then there is an  $i_0 \in \mathbb{N}$  such that, for  $i \geq i_0$ ,  $u_{i+1}$  is the lift of  $u_i$ ,

i.e.,  $pu_{i+1} = u_i$ . In this case the paths  $u_i: I \rightarrow X_i$ ,  $i \geq i_0$ , determine a path  $u: I \rightarrow X$  such that  $p_i u = u_i$ . Since  $p_i u(0) = u_i(0) = x_i = p_i(x)$ , we conclude that  $u(0) = x$ . Analogously,  $u(1) = x'$  and we see that the points  $x, x'$  are connected by the path  $u$ . Hence, they belong to the same path component.

Now consider the case where the integers  $i \geq 1$  for which  $u_{i+1}$  lifts  $a_i \cdot u_i$  form a sequence  $i_1 < \dots < i_k < \dots$ . Since  $u_{i_k+1}$  is a lift of  $a_{i_k} \cdot u_{i_k}$ , we see that the sequence  $\mathbf{i} = \{0 = i_0 < i_1 < \dots < i_k < \dots\}$  of integers and the points  $x, x'$  have all the properties stated in Lemma 5. We claim that there are only finitely many integers  $k \geq 1$  for which condition (55) holds. Indeed, in the opposite case, Lemma 13 would imply that  $qh^x \not\cong qh^{x'}$  and thus,  $S[h^x] \neq S[h^{x'}]$ , which contradicts the present assumption. Consequently, there is an integer  $k_0 \geq 1$  such that for all  $k \geq k_0$ ,  $i_k + 1 = i_{k+1}$  and the sequence  $\mathbf{i}$  is of the form  $\mathbf{i} = \{0 < i_1 < \dots < i_{k_0} < i_{k_0} + 1 < i_{k_0} + 2 < \dots\}$ , i.e., starting from the term  $i_{k_0}$ , it consists of consecutive integers. Therefore, for  $i \geq i_{k_0}$ ,  $pu_{i+1} = a_i \cdot u_i$ . To complete the proof it now suffices to construct a sequence of paths  $v_i$  in  $X_i$ ,  $i \geq i_{k_0}$ , such that  $v_i$  connects  $x'_i$  and  $x_i$  and  $v_{i+1}$  is a lift of  $v_i$ , i.e.,  $pv_{i+1} = v_i$ . Indeed, such a sequence of paths determines a unique path  $v: I \rightarrow X = \lim \mathbf{X}$  such that  $p_i v = v_i$ ,  $i \geq i_{k_0}$ , and the endpoints of  $v$  are  $x'$  and  $x$ . Hence,  $x, x'$  again belong to the same path component of  $X$ .

To define the paths  $v_i$  consider the fundamental groupoid  $\pi(X)$  of  $X$ . Let  $w_i$  be a representative of the homotopy class  $[u_i]^{-1}[a_i] \in \pi(X)$ . Then  $w_i(0) = x'_i$ ,  $w_i(1) = x_i$  and  $[u_i][w_i] = [a_i]$ . Therefore, for  $i \geq i_{k_0}$ ,  $[a_i]([u_i][pw_{i+1}]) = ([a_i][u_i])[pw_{i+1}] = [pu_{i+1}][pw_{i+1}] = [p(u_{i+1} \cdot w_{i+1})] = [pa_{i+1}] = [a_i \cdot a_i] = [a_i][a_i]$ . Consequently,  $[u_i][pw_{i+1}] = [a_i] = [u_i][w_i]$ . However, this implies that  $[pw_{i+1}] = [w_i]$ , i.e.,  $pw_{i+1} \simeq w_i$  (rel  $\partial I$ ). Applying Lemma 1, we see that there exist paths  $v_i: I \rightarrow X$  such that  $pv_{i+1} = v_i$  and  $v_i \simeq w_i$  (rel  $\partial I$ ). However, the latter relation implies that  $v_i(0) = w_i(0) = x'_i$ ,  $v_i(1) = w_i(1) = x_i$ . ■

REMARK 2. The restrictions of  $qh^x$  and  $qh^{x'}$  to the wedge  $P^r = P_1 \vee \dots \vee P_r$  of finitely many summands  $P_i$  are homotopic. This is so because  $P^r$  is compact and therefore, the compact subsets  $h^x(X \times P^r)$  and  $h^{x'}(X \times P^r)$  of  $X \times P$  must be contained in a product of the form  $X \times P^{r'}$  for some  $r' \in \mathbb{N}$ . Since  $X$  and  $P^{r'}$  are compact,  $X \times P^{r'}$  is a product in the category  $\text{Sh}(\text{Top})$ . It follows that conditions (1) and (2), for the restrictions  $h^x|P^r$  and  $h^{x'}|P^r$ , imply  $S[h^x|P^r] = S[h^{x'}|P^r]$  and thus,  $S[qh^x|P^r] = S[q]S[h^x|P^r] = S[q]S[h^{x'}|P^r] = S[qh^{x'}|P^r]$ , which is equivalent to  $qh^x|P^r \simeq qh^{x'}|P^r$ .

In homotopy theory one studies *phantom mappings* (of the second kind), i.e., mappings between CW-complexes  $f: X \rightarrow Y$  whose restrictions to all compact subsets of  $X$  are homotopically trivial. A phantom mapping is

called *essential* if the mapping is homotopically nontrivial [8]. A generalization is the notion of *essential phantom pairs* of mappings. These are pairs of nonhomotopic mappings  $f, g: X \rightarrow Y$  whose restrictions to every compact subset of  $X$  are homotopic. The above constructed pair of mappings  $qh^x, qh^{x'}: P \rightarrow Q$  is an example of an essential phantom pair. Phantom pairs of the first kind (restrictions to all  $n$ -skeleta are homotopic) were introduced in [9].

### 11. Is $X \times P$ a product in the strong shape category?

QUESTION. *Is the Cartesian product  $X \times P$  of the dyadic solenoid  $X$  and the wedge  $P = P_1 \vee P_2 \vee \dots$  of a sequence of 1-spheres a product in the strong shape category of topological spaces,  $\text{SSh}(\text{Top})$ ?*

The mappings  $h^x, h^{x'}: P \rightarrow X \times P$  cannot be used to prove that  $X \times P$  is not a product in the strong shape category  $\text{SSh}(\text{Top})$ , because of the following proposition, where  $\bar{S}: \text{H}(\text{Top}) \rightarrow \text{SSh}(\text{Top})$  denotes the strong shape functor.

PROPOSITION 2. *For arbitrary points  $x = (x_1, x_2 \dots)$ ,  $x' = (x'_1, x'_2 \dots)$  in  $X$  the mappings  $h^x, h^{x'}: P \rightarrow X \times P$  satisfy the condition*

$$(61) \quad \bar{S}[\pi_X]\bar{S}[h^x] = \bar{S}[\pi_X]\bar{S}[h^{x'}]$$

*if and only if the points  $x = (x_1, x_2 \dots)$  and  $x' = (x'_1, x'_2 \dots)$  belong to the same path component of  $X$ . In that case  $\bar{S}[h^x] = \bar{S}[h^{x'}]$ .*

*Proof.* If  $x$  and  $x'$  belong to the same path component of  $X$ , then Proposition 1 implies that  $[h^x] = [h^{x'}]$  and thus,  $\bar{S}[h^x] = \bar{S}[h^{x'}]$  and (61) holds. Conversely, assume that (61) holds and hence, also  $\bar{S}[\pi_X h^x] = \bar{S}[\pi_X h^{x'}]$ . Recall that  $\pi_X h^x = x$  and  $\pi_X h^{x'} = x'$  are constant mappings  $x, x': P \rightarrow X$ . Composing them with the inclusion  $* \rightarrow P$ , we obtain constant mappings  $x, x': \{*\} \rightarrow X$  for which  $\bar{S}[x] = \bar{S}[x']$ .

Denote by  $f_i, f'_i: * \rightarrow X_i$  the constant mappings  $f_i, f'_i: \{*\} \rightarrow X_i$ , where  $f_i(*) = x_i$ ,  $f'_i(*) = x'_i$ . Since  $p_{i-1}i(x_i) = x_{i-1}$ , the mappings  $f_i$  form a mapping  $\mathbf{f} = (f_i): * \rightarrow \mathbf{X}$ . Similarly, the mappings  $f'_i$  form a mapping  $\mathbf{f}' = (f'_i): * \rightarrow \mathbf{X}$ . The induced coherent mapping  $C(\mathbf{f}): * \rightarrow \mathbf{X}$  consists of the mappings  $f_{i_0 \dots i_n}: * \times \Delta^n \rightarrow X_{i_0}$ ,  $i_0 \leq i_1 \leq \dots$ , where  $f_{i_0 \dots i_n}(*, t) = f_{i_0}(*, t) = x_{i_0}$  (see [4, §1.4]). By the description of the strong shape functor  $\bar{S}$  in terms of coherent mappings (see [4, §8.2]),  $\bar{S}[x] = \bar{S}[x']$  implies the existence of a coherent homotopy  $\mathbf{F} = (F_{i_0 \dots i_n}): * \rightarrow \mathbf{X}$  which connects  $C(\mathbf{f})$  to  $C(\mathbf{f}')$  (see [4, §2.1]). In particular, one has mappings  $F_{i_0}: * \times I \rightarrow X_{i_0}$  such that, for  $i_0 \in \mathbb{N}$ ,

$$(62) \quad F_{i_0}(*, 0) = f_{i_0}(*, 0) = x_{i_0}, \quad F_{i_0}(*, 1) = f'_{i_0}(*, 1) = x'_{i_0},$$

and one has mappings  $F_{i_0 i_1}: * \times I \times \Delta^1 \rightarrow X_{i_0}$  such that, for  $i_0 \leq i_1$ ,  $s \in I$  and  $\tau \in \Delta^1$ ,

$$(63) \quad F_{i_0 i_1}(*, s, e_1) = p_{i_0 i_1} F_{i_1}(*, s), \quad F_{i_0 i_1}(*, s, e_0) = F_{i_0}(*, s),$$

$$(64) \quad F_{i_0 i_1}(*, 0, \tau) = f_{i_0 i_1}(*, \tau) = x_{i_0}, \quad F_{i_0 i_1}(*, 1, \tau) = f'_{i_0 i_1}(*, \tau) = x'_{i_0}.$$

Formulae (62)–(64) show that  $u_i: I \rightarrow X_i$  defined by putting  $u_i(s) = F_i(*, s)$  is a path in  $X_i$  which connects the point  $x_i$  to  $x'_i$ , while  $u_{i-1 i}: I \times I \rightarrow X_{i-1}$  defined by  $u_{i-1 i}(s, t) = F_{i-1 i}(*, s, (1-t)e_0 + te_1)$  is a homotopy which connects the path  $u_{i-1}$  to  $p_{i-1 i} u_i$ . Moreover, this homotopy is fixed for  $s = 0$  and  $s = 1$ , i.e.,  $u_{i-1} \simeq p_{i-1 i} u_i$  (rel  $\partial I$ ). Indeed,  $u_{i-1 i}(0, t) = F_{i-1 i}(*, 0, (1-t)e_0 + te_1) = x_{i_0}$  and  $u_{i-1 i}(1, t) = F_{i-1 i}(*, 1, (1-t)e_0 + te_1) = x'_{i_0}$ . We now apply Lemma 1 to the sequence of paths  $u_i: I \rightarrow X_i$  and we obtain a new sequence of paths  $v_i: I \rightarrow X_i$  which connect  $x_i$  and  $x'_i$ , and satisfy  $p_{i-1 i} v_i = v_{i-1}$  and  $u_i \simeq v_i$  (rel  $\partial I$ ). The paths  $v_i$  determine a unique path  $v: I \rightarrow X$  such that  $p_i v = v_i$ . Moreover,  $v$  connects  $x$  and  $x'$ . ■

## References

- [1] J. Dydak and J. Segal, *Shape Theory: An Introduction*, Lecture Notes in Math. 688, Springer, Berlin, 1978.
- [2] J. E. Keesling, *Products in the shape category and some applications*, in: Symp. Mat. Istituto Nazionale di Alta Matematica 16, Academic Press, New York, 1974, 133–142.
- [3] Y. Kodama, *On product of shape and a question of Sher*, Pacific J. Math. 72 (1977), 115–134.
- [4] S. Mardešić, *Strong Shape and Homology*, Springer Monogr. Math., Springer, Berlin, 2000.
- [5] —, *A resolution for the product of a compactum with a polyhedron*, Topology Appl. 133 (2003), 37–63.
- [6] —, *Products of compacta with polyhedra and topological spaces in the shape category*, Mediterr. J. Math. 1 (2004), 43–49.
- [7] S. Mardešić and J. Segal, *Shape Theory*, North-Holland, Amsterdam, 1982.
- [8] C. A. McGibbon, *Phantom maps*, Chapter 25 of: Handbook of Algebraic Topology, I. M. James (ed.), Elsevier, Amsterdam, 1995, 1209–1257.
- [9] N. Oda and Y. Shitanda, *Localization, completion and detecting equivariant maps on skeletons*, Manuscripta Math. 65 (1989), 1–18.

Department of Mathematics  
University of Tennessee  
Knoxville, TN 37996, U.S.A.  
E-mail: dydak@math.utk.edu

Department of Mathematics  
University of Zagreb  
Bijenička cesta 30  
P.O. Box 335  
10 002 Zagreb, Croatia  
E-mail: smardes@math.hr

Received 15 October 2004;  
in revised form 10 May 2005