

## Isometries of the unitary groups in $C^*$ -algebras

by

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*To the memory of Professor Junzo Wada*

**Abstract.** We give a complete description of the structure of surjective isometries between the unitary groups of unital  $C^*$ -algebras. While any surjective isometry between the unitary groups of von Neumann algebras can be extended to a real-linear Jordan  $*$ -isomorphism between the relevant von Neumann algebras, this is not the case for general unital  $C^*$ -algebras. We show that the unitary groups of two  $C^*$ -algebras are isomorphic as metric groups if and only if the  $C^*$ -algebras are isomorphic in the sense that each of them can be decomposed as the direct sum of two  $C^*$ -algebras with the first parts being linear  $*$ -algebra isomorphic and the second parts being conjugate-linear  $*$ -algebra isomorphic. We emphasize that in this paper by an isometry we merely mean a distance preserving transformation; we do not assume that it respects any algebraic operation.

**1. Introduction.** The study of linear isometries between Banach spaces or Banach algebras has a long tradition dating back to the 1930's. For an excellent comprehensive treatment of related results we refer to the two-volume set [5, 6]. The most prominent results in this area are the Banach–Stone theorem, which describes the structure of linear surjective isometry between the Banach algebras of all continuous functions on compact Hausdorff spaces, and its non-commutative generalization, Kadison's theorem [14], which describes the structure of a linear surjective isometry between general unital  $C^*$ -algebras: it is a Jordan  $*$ -isomorphism followed by left multiplication by a fixed unitary element. Recall that a Jordan  $*$ -isomorphism between  $C^*$ -algebras is a complex-linear bijection which preserves the  $*$ -operation and the power structure (and hence the Jordan structure). In this paper a real-linear (resp. conjugate-linear) Jordan  $*$ -isomorphism is a real-linear (resp. conjugate-linear) bijection which preserves the  $*$ -operation and the power structure. We also mention a classical result of similar spirit which also concerns isometries—the celebrated Mazur–Ulam theorem, stating that

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any surjective isometry between normed real-linear spaces is automatically a real-linear isometry followed by a translation.

Recently, several attempts have been made to describe the structure of isometries on non-linear substructures of Banach algebras including  $C^*$ -algebras (cf. [18, 19, 8, 13]). Molnár [18] and Molnár and Nagy [20] obtained a result concerning the structure of surjective Thompson isometries between the sets of invertible positive operators on Hilbert spaces. Isometries on quantum states were also considered [22, 21] (cf. [17, Section 2.4]).

The author, Hirasawa, Miura and Molnár [9] have developed a new technique for the study of isometries between substructures of the groups of invertible elements in unital Banach algebras: a Mazur–Ulam theorem for groups. Applying it, the author and Molnár gave a complete description of the structure of a surjective isometry from the unitary group of one von Neumann algebra onto the unitary group of another von Neumann algebra and showed that it can be uniquely extended to an isometry between these von Neumann algebras [11, 12]. The above mentioned result on Thompson isometries was generalized to general unital  $C^*$ -algebras by applying the Mazur–Ulam theorem for groups [12]. Another application of the theorem concerns isometries on Lie groups [1].

Our primary aim in this paper is to substantially generalize the above mentioned result on surjective isometries between the unitary groups of von Neumann algebras, namely to generalize them to the setting of general unital  $C^*$ -algebras; we give a complete description of the structure of isometries between the unitary groups of general unital  $C^*$ -algebras. In particular, we show that a surjective isometry between the principal components of the unitary groups of unital  $C^*$ -algebras can be extended to a direct sum of a Jordan  $*$ -isomorphism and a conjugate-linear Jordan  $*$ -isomorphism. This generalizes the above mentioned result for von Neumann algebras. On the other hand, we also show that an isometry between the unitary groups need not extend to an isometry between the underlying  $C^*$ -algebras in general. Such an isometry always exists if the corresponding unitary groups are disconnected.

The problem of equivalence of  $C^*$ -algebras with equivalent unitary groups probably dates back at least to the study of isomorphic unitary groups by Dye [4] and Sakai [23] in the 1950's. Al-Rawashdeh, Booth and Giordano [2] proved that within some classes of unital  $C^*$ -algebras, two algebras are complex-linear  $*$ -algebra isomorphic or conjugate-linear  $*$ -algebra isomorphic if and only if their unitary groups are isomorphic as abstract groups or topological groups. A simple example shows that this is not the case for general unital  $C^*$ -algebras: there are unital commutative  $C^*$ -algebras which are not isomorphic as real algebras while their unitary groups are isomorphic as topological groups (cf. Example 6.1). Applying our main results we shed

new light on this problem from a slightly different point of view. Let  $A_j$  be a unital  $C^*$ -algebra and  $U_j$  the unitary group of  $A_j$  for  $j = 1, 2$ . Suppose that  $\phi : U_1 \rightarrow U_2$  is a group isomorphism and  $K$  is a positive constant such that

$$\frac{1}{K} \|u - v\| \leq \|\phi(u) - \phi(v)\| \leq K \|u - v\|, \quad u, v \in U_1.$$

Does it follow that  $A_1$  is real-linear  $*$ -algebra isomorphic to  $A_2$ ? We give a partial answer to this question. As an application of our main result (Theorem 4.1) we show in Corollary 6.3 that if the unitary groups of two  $C^*$ -algebras are isomorphic as metric groups, then the  $C^*$ -algebras are real-linear  $*$ -algebra isomorphic; this is the case for  $K = 1$ . On the other hand, as is shown in Example 6.1, it is not the case for  $K \geq 3$ .

**2. Preliminaries.** To make the presentation complete, in this section we recall the necessary definitions and briefly summarize the results of [9] that we shall need in the proofs in Section 3. In Definitions 2.1, 2.2, and Proposition 2.3,  $(X_j, d_j)$  denotes a metric space, and  $X_j$  is a twisted subgroup of a group  $G_j$  in the sense that

$$yx^{-1}y \in X_j \quad \text{for all } x, y \in X_j.$$

DEFINITION 2.1 (Condition  $B(\cdot, \cdot)$ ). Let  $a, b \in X_j$ . We say that  $B(a, b)$  holds for  $(X_j, d_j)$  if:

(B1) For all  $x, y \in X_j$  we have

$$d_j(bx^{-1}b, by^{-1}b) = d_j(x, y).$$

(B2) There exists a constant  $K > 1$  such that

$$d_j(bx^{-1}b, x) \geq K d_j(x, b)$$

for all  $x \in L_{a,b} = \{x \in X_j : d_j(a, x) = d_j(ba^{-1}b, x) = d_j(a, b)\}$ .

DEFINITION 2.2 (Condition  $C_1(\cdot, \cdot)$ ). Let  $a, b \in X_j$ . We say that  $C_1(a, b)$  holds for  $(X_j, d_j)$  if:

(C1) For every  $x \in X_j$  we have  $ax^{-1}b, bx^{-1}a \in X_j$ .

(C2)  $d_j(ax^{-1}b, ay^{-1}b) = d_j(x, y)$  for all  $x, y \in X_j$ .

PROPOSITION 2.3. *Let  $\phi : X_1 \rightarrow X_2$  be a surjective isometry. Pick  $a, b \in X_1$ . Suppose that the condition  $B(a, b)$  holds for  $(X_1, d_1)$ , and  $C_1(\phi(a), \phi(ba^{-1}b))$  holds for  $(X_2, d_2)$ . Then*

$$\phi(ba^{-1}b) = \phi(b)(\phi(a))^{-1}\phi(b).$$

Theorem 6 in [11] is an analogue of the Mazur–Ulam theorem for groups of isometries and is stated only for self-maps of subgroups of full unitary groups, but a similar statement for surjective isometries between any two such subgroups acting on any two Banach spaces is possible. For a complex

Banach space  $\mathcal{B}$  we denote by  $\text{Iso}(\mathcal{B})$  the group of complex-linear isometries from  $\mathcal{B}$  onto itself. Proposition 2.4 below plays an important role in the proof of Lemma 3.3, describing the algebraic structure of isometries between the principal components of unitary groups.

**PROPOSITION 2.4.** *Let  $\mathcal{B}_j$  be a complex Banach space and  $\mathcal{G}_j$  be a subgroup of  $\text{Iso}(\mathcal{B}_j)$  equipped with the metric  $d_j$  coming from the operator norm for  $j = 1, 2$ . Suppose that  $\phi : \mathcal{G}_1 \rightarrow \mathcal{G}_2$  is a surjective isometry, that is,  $\phi$  is just a distance preserving surjection. Then*

$$(2.1) \quad \phi(VU^{-1}V) = \phi(V)(\phi(U))^{-1}\phi(V)$$

for all  $U, V \in \mathcal{G}_1$  that satisfy  $d_1(U, V) < 1/2$ .

The proof is an application of Proposition 2.3, which is very similar to the one of Theorem 6 given in [11], and is omitted.

Note that the assumption  $d_1(U, V) < 1/2$  in Proposition 2.4 is essential: see the example just after the proof of Theorem 6 in [11].

**3. Isometries between the principal components.** Let  $A_j$  be a unital  $C^*$ -algebra. The real-linear space of all self-adjoint elements in  $A_j$  is denoted by  $A_{jS}$ . The principal component of the unitary group  $U_j$  of  $A_j$  is denoted by  $U_j^0$ . The principal component is a normal subgroup of  $U_j$ . The quotient group  $U_j/U_j^0$  is denoted by  $A_j$ . We exhibit the form of isometries (distance preserving maps without additional assumptions about respecting any algebraic operations) between the principal components of the unitary groups of two unital  $C^*$ -algebras.

**THEOREM 3.1.** *Let  $A_j$  be a unital  $C^*$ -algebra for  $j = 1, 2$ . Suppose that  $\phi$  is a map from  $U_1^0$  into  $U_2^0$ . The map  $\phi$  is a surjective isometry if and only if there exists a central projection  $p$  in  $A_2$  and a Jordan  $*$ -isomorphism  $J$  from  $A_1$  onto  $A_2$  such that*

$$(3.1) \quad \phi(a) = \phi(1)(pJ(a) + (1 - p)J(a)^*), \quad a \in U_1^0.$$

The unitary group of a von Neumann algebra is connected, hence the principal component of the unitary group is the unitary group itself. Theorem 3.1 thus generalizes Corollary 3 in [12], which describes the structure of surjective isometries between the unitary groups of von Neumann algebras. The proof of Corollary 3 in [12] depends on the fact that the unitary group of a von Neumann algebra consists precisely of the elements of the form  $\exp(ix)$  for self-adjoint elements  $x$  in the algebra. This is not the case for the principal component of a general unital  $C^*$ -algebra (cf. [16, 4.6.9]), hence the proof of [12, Corollary 3] does not work for Theorem 3.1.

To prove Theorem 3.1 we employ two lemmas. The first one concerns the structure of the principal components of unitary groups.

LEMMA 3.2. *We have*

$$U_j^0 = \{\exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n) : \\ n \text{ is a positive integer, } x_k \in A_{jS} \text{ for } 0 \leq k \leq n\}.$$

*Proof.* Denote by  $V_j$  the right hand side above. Then  $1 \in V_j$  by taking  $n = 1$  and  $x_1 = x_0 = 0$ . We claim that  $V_j$  is open and closed in  $U_j$ . Let

$$v = \exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n) \in V_j$$

be arbitrary. Suppose  $w \in U_j$  and  $\|w - v\| < 2$ . As  $\exp(ix_k)$  is a unitary for each  $x_k \in A_{jS}$ , we have

$$\|\exp(-ix_0/2) \exp(-ix_1) \cdots \exp(-ix_n) w \exp(-ix_n) \cdots \exp(-ix_1) \exp(-ix_0/2) - 1\| < 2.$$

We infer

$$\sigma(\exp(-ix_0/2) \exp(-ix_1) \cdots \exp(-ix_n) w \exp(-ix_n) \cdots \exp(-ix_1) \exp(-ix_0/2)) \\ \subset \{z \in \mathbb{C} : |z| = 1, z \neq -1\},$$

where  $\sigma(\cdot)$  denote the spectrum. Therefore there exists  $y \in A_{jS}$  such that

$$\exp(iy) = \exp(-ix_0/2) \exp(-ix_1) \cdots \exp(-ix_n) w \exp(-ix_n) \cdots \exp(-ix_1) \exp(-ix_0/2),$$

whence

$$w = \exp(ix_n) \cdots \exp(ix_1) \exp(ix_0/2) \exp(iy) \exp(ix_0/2) \exp(ix_1) \cdots \exp(ix_n)$$

is in  $V_j$ . Now, for a general metric space  $(S, d)$ , a subset  $K$  of  $S$  for which there is a positive real number  $r$  (independent of the choice of an element  $s$  of  $K$ ) such that

$$\{t \in S : d(t, s) < r\} \subset K$$

for every  $s \in K$ , is open and closed in  $S$ . Thus in our case  $V_j$  is open and closed in  $U_j$ .

We assert that  $V_j$  is connected. Let

$$\exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n) \in V_j.$$

For  $0 \leq t \leq 1$ , put

$$a_t = \exp(itx_n) \cdots \exp(itx_1) \exp(itx_0) \exp(itx_1) \cdots \exp(itx_n).$$

Then  $a_t \in V_j$ ,  $a_0 = 1$  and

$$a_1 = \exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n).$$

Hence  $V_j$  is arcwise connected. Thus we conclude that  $V_j$  is a connected component of  $U_j$  which contains 1, i.e.,  $V_j = U_j^0$ . ■

Suppose that  $\phi : U_1^0 \rightarrow U_2^0$  is a surjective isometry. Then  $\phi_0$  defined by  $\phi_0(\cdot) = (\phi(1))^{-1} \phi(\cdot)$  is a surjective isometry from  $U_1^0$  onto  $U_2^0$  with  $\phi_0(1) = 1$ . The second lemma we employ in the proof of Theorem 3.1 is the following.

LEMMA 3.3. *Let  $A_j$  be a unital  $C^*$ -algebra for  $j = 1, 2$ . Suppose that  $\phi$  is a map from  $U_1^0$  into  $U_2^0$ . If  $\phi$  is a surjective isometry, then for any positive integer  $n$  and any  $n + 1$  elements  $x_0, x_1, \dots, x_n \in A_{1S}$ ,*

$$(3.2) \quad \begin{aligned} & \phi_0(\exp(ix_n) \dots \exp(ix_1) \exp(ix_0) \exp(ix_1) \dots \exp(ix_n)) \\ &= \phi_0(\exp(ix_n)) \cdots \phi_0(\exp(ix_1)) \phi_0(\exp(ix_0)) \phi_0(\exp(ix_1)) \cdots \phi_0(\exp(ix_n)). \end{aligned}$$

*Proof.* We apply the Mazur–Ulam theorem for groups (Proposition 2.4), and then a one-parameter-group argument. Suppose that  $\phi$  is a surjective isometry. As already noted,  $\phi_0$  is also an isometry from  $U_1^0$  onto  $U_2^0$ . We prove (3.2) by induction on  $n$ .

Suppose that  $n = 1$ . Let  $x, x_1 \in A_{1S}$ . Choose any real numbers  $t_1$  and  $t_2$  and set  $a_1 = \exp(ix_1)$ ,  $a = \exp(it_1x)$  and  $b = \exp(it_2x)$ . We shall prove

$$(3.3) \quad \phi_0(a_1 b a b a_1) = \phi_0(a_1 b a_1) (\phi_0(a_1 a^{-1} a_1))^{-1} \phi_0(a_1 b a_1).$$

Select a positive integer  $m$  such that

$$\exp(\|(t_1 + t_2)x\|/2^m) - 1 < 1/2.$$

Clearly,

$$(3.4) \quad \|\exp(i(t_1 + t_2)x/2^m) - 1\| \leq \exp(\|(t_1 + t_2)x\|/2^m) - 1 < 1/2.$$

For  $j = 0, 1, \dots, 2^{m+1}$  let

$$c_j = a^{-1} \exp(ij(t_1 + t_2)x/2^m).$$

Then  $c_0 = a^{-1}$ ,  $c_{2^m} = b$ ,  $c_{2^{m+1}} = bab$ . It is easy to check by (3.4) that

$$\|a_1 c_{j+1} a_1 - a_1 c_j a_1\| < 1/2$$

for  $j = 0, 1, \dots, 2^{m+1} - 1$ . By the Gelfand–Naimark theorem any  $C^*$ -algebra is isometrically  $*$ -isomorphic to a  $C^*$ -algebra of operators on a Hilbert space. Hence we can apply Proposition 2.4 to infer that

$$(3.5) \quad \begin{aligned} & \phi_0(a_1 c_{j+1} a_1 (a_1 c_j a_1)^{-1} a_1 c_{j+1} a_1) \\ &= \phi_0(a_1 c_{j+1} a_1) (\phi_0(a_1 c_j a_1))^{-1} \phi_0(a_1 c_{j+1} a_1) \end{aligned}$$

for all  $j = 0, 1, \dots, 2^{m+1} - 2$ . By a simple calculation we obtain

$$(a_1 c_{j+1} a_1) (a_1 c_j a_1)^{-1} (a_1 c_{j+1} a_1) = a_1 c_{j+2} a_1$$

for every  $j = 0, 1, \dots, 2^{m+1} - 2$ . Applying the technical Lemma 7 in [11] for the sequence  $\{a_1 c_j a_1\}_{j=1}^{2^{m+1}}$ , we find that (3.5) implies that the inverted Jordan product of  $a_1 c_0 a_1$ ,  $a_1 c_{2^m} a_1$  is also preserved:

$$\phi_0(a_1 c_{2^m} a_1 (a_1 c_0 a_1)^{-1} a_1 c_{2^m} a_1) = \phi_0(a_1 c_{2^m} a_1) (\phi_0(a_1 c_0 a_1))^{-1} \phi_0(a_1 c_{2^m} a_1).$$

Hence we obtain (3.3):

$$\begin{aligned}\phi_0(a_1 b a b a_1) &= \phi_0(a_1 c_{2^m} a_1 (a_1 c_0 a_1)^{-1} a_1 c_{2^m} a_1) \\ &= \phi_0(a_1 c_{2^m} a_1) (\phi_0(a_1 c_0 a_1))^{-1} \phi_0(a_1 c_{2^m} a_1) \\ &= \phi_0(a_1 b a_1) (\phi_0(a_1 a^{-1} a_1))^{-1} \phi_0(a_1 b a_1)\end{aligned}$$

for any  $x, x_1$  and any  $t_1, t_2$ . In particular, putting  $x_1 = 0, t_1 = 0$ , we get

$$(3.6) \quad \phi_0(b^2) = (\phi_0(b))^2$$

as  $\phi_0(1) = 1$ .

Define  $\phi_1 : U_1^0 \rightarrow U_2^0$  by  $\phi_1(c) = (\phi_0(a_1))^{-1} \phi_0(c) (\phi_0(a_1))^{-1}$ . As  $\phi_0$  is a surjective isometry we infer that  $\phi_1$  is well defined and also a surjective isometry. By (3.3) we have

$$\begin{aligned}(3.7) \quad \phi_1(a_1 b a b a_1) &= (\phi_0(a_1))^{-1} \phi_0(a_1 b a b a_1) (\phi_0(a_1))^{-1} \\ &= (\phi_0(a_1))^{-1} \phi_0(a_1 b a_1) (\phi_0(a_1 a^{-1} a_1))^{-1} \phi_0(a_1 b a_1) (\phi_0(a_1))^{-1} \\ &= ((\phi_0(a_1))^{-1} \phi_0(a_1 b a_1) (\phi_0(a_1))^{-1}) ((\phi_0(a_1))^{-1} \phi_0(a_1 a^{-1} a_1) (\phi_0(a_1))^{-1})^{-1} \\ &\quad \times (\phi_0(a_1))^{-1} \phi_0(a_1 b a_1) (\phi_0(a_1))^{-1} \\ &= \phi_1(a_1 b a_1) (\phi_1(a_1 a^{-1} a_1))^{-1} \phi_1(a_1 b a_1).\end{aligned}$$

We infer from the definition of  $\phi_1$  that

$$(3.8) \quad \phi_1(a_1^2) = (\phi_0(a_1))^{-1} \phi_0(a_1^2) (\phi_0(a_1))^{-1} = 1$$

as (3.6) also holds for  $x = x_1$  and  $t_2 = 1, b = a_1$ . Substituting  $t_2 = 0$  in (3.7) we see by (3.8) that

$$(3.9) \quad \phi_1(a_1 a a_1) = ((\phi_0(a_1))^{-1} \phi_0(a_1 a^{-1} a_1) (\phi_0(a_1))^{-1})^{-1} = (\phi_1(a_1 a^{-1} a_1))^{-1}.$$

Substituting (3.9) in (3.7) we have

$$(3.10) \quad \phi_1(a_1 b a b a_1) = \phi_1(a_1 b a_1) \phi_1(a_1 a a_1) \phi_1(a_1 b a_1).$$

One can easily deduce from (3.8)–(3.10) that

$$(3.11) \quad \phi_1(a_1 b^l a_1) = (\phi_1(a_1 b a_1))^l$$

for  $b, a_1 \in U_1^0$  of the form  $b = \exp(itx), a_1 = \exp(ix_1)$  with any  $x, x_1 \in A_{1S}, t \in \mathbb{R}$ , and for every integer  $l$ .

Define a map  $S_x : \mathbb{R} \rightarrow U_2^0$  by

$$S_x(t) = \phi_1(a_1 \exp(itx) a_1), \quad t \in \mathbb{R}.$$

We assert that  $S_x$  is a continuous one-parameter unitary group in  $A_2$ . Since  $\phi_1$  is continuous, we only need to prove that  $S_x(t+t') = S_x(t)S_x(t')$  for any real  $t, t'$ . First select rational  $r$  and  $r'$  such that  $r = n/m$  and  $r' = n'/m'$  with integers  $m, m', n, n'$ . We compute, by (3.11),

$$\begin{aligned} S_x(r+r') &= \phi_1\left(a_1\left(\exp\left(i\frac{nm'+mn'}{mm'}x\right)\right)a_1\right) = \phi_1\left(a_1\left(\exp\left(i\frac{1}{mm'}x\right)\right)a_1\right)^{nm'+mn'} \\ &= \phi_1\left(a_1\left(\exp\left(i\frac{1}{mm'}x\right)\right)a_1\right)^{nm'} \phi_1\left(a_1\left(\exp\left(i\frac{1}{mm'}x\right)\right)a_1\right)^{mn'} = S_x(r)S_x(r'). \end{aligned}$$

Since  $\phi_1$  is continuous we obtain  $S_x(t+t') = S_x(t)S_x(t')$  for all real  $t, t'$ .

As already mentioned, we may consider  $A_1, A_2$  as unital  $C^*$ -algebras of operators that act on Hilbert spaces  $H_1, H_2$ , respectively. Applying Stone's theorem (see [3, Chapter X, Section 5]) for the norm continuous one-parameter unitary group  $(S_x(t))_{t \in \mathbb{R}}$ , we infer that there exists a unique bounded self-adjoint operator  $y$  on  $H_2$  such that  $S_x(t) = \exp(it y)$  for every  $t \in \mathbb{R}$ . Since the generator  $y$  can be obtained by differentiating  $\exp(it y)$  with respect to  $t$ , where the limit of difference quotients is taken in the norm topology, it follows that  $y \in A_{2S}$ . Defining  $f(x) = y$  we obtain a map  $f : A_{1S} \rightarrow A_{2S}$  for which

$$(3.12) \quad \phi_1(a_1(\exp(itx))a_1) = S_x(t) = \exp(itf(x)), \quad t \in \mathbb{R}, x \in A_{1S}.$$

We claim that  $f$  is surjective. Define  $\psi : U_2^0 \rightarrow U_1^0$  by

$$\psi(c) = a_1^{-1} \phi_1^{-1}(c) a_1^{-1}.$$

Then  $\psi$  is clearly a surjective isometry. Let  $y \in A_{2S}$ . Choose any real numbers  $t_1$  and  $t_2$  and set  $c = \exp(it_1 y)$ ,  $d = \exp(it_2 y)$ . Select a positive integer  $m$  such that

$$\exp(\|(t_1 + t_2)y\|/2^m) - 1 < 1/2.$$

For  $j = 0, 1, \dots, 2^{m+1}$  let

$$c_j = c^{-1} \exp(ij(t_1 + t_2)y/2^m).$$

Applying Proposition 2.4 as before we see that

$$\psi(c_{j+1}c_j^{-1}c_{j+1}) = \psi(c_{j+1})(\psi(c_j))^{-1}\psi(c_{j+1})$$

for every  $j = 0, 1, \dots, 2^{m+1} - 2$  and

$$(3.13) \quad \begin{aligned} \psi(dcd) &= \psi(c_{2^m}c_0^{-1}c_{2^m}) = \psi(c_{2^m})(\psi(c_0))^{-1}\psi(c_{2^m}) \\ &= \psi(d)(\psi(c^{-1}))^{-1}\psi(d). \end{aligned}$$

Since  $\psi(1) = a_1^{-1} \phi_1^{-1}(1) a_1^{-1}$  and  $\phi_1(a_1^2) = 1$ , we infer that  $\psi(1) = 1$  and hence  $\psi(c) = (\psi(c^{-1}))^{-1}$  by substituting  $d = 1$  in (3.13), so

$$\psi(dcd) = \psi(d)\psi(c)\psi(d).$$

Just as for  $S_x$ , we see that the map  $T_y : \mathbb{R} \rightarrow U_1^0$  defined by  $T_y(t) = \psi(\exp(it y))$  is a one-parameter unitary group in  $A_1$  and there is a map  $g : A_{2S} \rightarrow A_{1S}$  with

$$\psi(\exp(it y)) = T_y(t) = \exp(itg(y)).$$



Then

$$\begin{aligned} \exp(itf(g(y))) &= \phi_1(a_1(\exp(it(g(y))))a_1) \\ &= \phi_1(a_1(\psi(\exp(ity)))a_1) = \phi_1(\phi_1^{-1}(\exp(ity))) = \exp(ity), \quad t \in \mathbb{R}. \end{aligned}$$

It follows that  $f(g(y)) = y$  for every  $y \in A_{2S}$ , which means that  $f$  is surjective.

We claim that  $f$  is an isometry. Let  $x, x' \in A_{1S}$ . Since  $\phi_1$  is an isometry and  $a_1$  is unitary we have

$$\begin{aligned} &\left\| \frac{\exp(itf(x)) - \exp(itf(x'))}{t} \right\| \\ &= \left\| \frac{\phi_1(a_1(\exp(itx))a_1) - \phi_1(a_1(\exp(itx'))a_1)}{t} \right\| \\ &= \left\| \frac{a_1(\exp(itx))a_1 - a_1(\exp(itx'))a_1}{t} \right\| = \left\| \frac{\exp(itx) - \exp(itx')}{t} \right\|. \end{aligned}$$

Letting  $t \rightarrow 0$ , we obtain

$$\frac{\exp(itx) - \exp(itx')}{t} = \frac{\exp(itx) - 1}{t} - \frac{\exp(itx') - 1}{t} \rightarrow ix - ix',$$

and similarly

$$\frac{\exp(itf(x)) - \exp(itf(x'))}{t} \rightarrow if(x) - if(x').$$

Hence  $\|f(x) - f(x')\| = \|x - x'\|$  for any  $x, x' \in A_{1S}$ . This shows that  $f$  is an isometry. Since  $f(0) = 0$  by (3.12) and (3.8), we infer that  $f$  is a surjective real-linear isometry from  $A_{1S}$  onto  $A_{2S}$ , by the Mazur–Ulam theorem.

Consider the case where  $x_1 = 0$ . Then  $\phi_1 = \phi_0$  by the definition of  $\phi_1$ . By (3.12) we have a surjective isometry  $f_0$  from  $A_{1S}$  onto  $A_{2S}$  such that

$$(3.14) \quad \phi_0(\exp itx) = \phi_1(\exp itx) = \exp(itf_0(x)), \quad t \in \mathbb{R}, x \in A_{1S}.$$

We claim  $f = f_0$  for any  $x_1$ . Since

$$\exp(ix_1) - \exp(-itx) = 0$$

for  $t = 1$  and  $x = -x_1$ , there exists  $\varepsilon > 0$  such that

$$\|\exp(ix_1) - \exp(-itx)\| < 1/2$$

for all real  $t$  with  $|t - 1| < \varepsilon$  and  $x \in A_{1S}$  with  $\|x + x_1\| < \varepsilon$ . By Proposition 2.4 we observe that

$$\begin{aligned} &\phi_0(\exp(ix_1) \exp(itx) \exp(ix_1)) \\ &= \phi_0(\exp(ix_1))(\phi_0(\exp(-itx)))^{-1} \phi_0(\exp(ix_1)), \quad |t - 1| < \varepsilon, \|x + x_1\| < \varepsilon. \end{aligned}$$

As  $\phi_0(1) = 1$  we can easily deduce that

$$(3.15) \quad \begin{aligned} & \phi_0(\exp(ix_1) \exp(itx) \exp(ix_1)) \\ &= \phi_0(\exp(ix_1)) \phi_0(\exp(itx)) \phi_0(\exp(ix_1)), \quad |t - 1| < \varepsilon, \|x + x_1\| < \varepsilon. \end{aligned}$$

By (3.12) we have

$$(3.16) \quad \phi_0(a_1(\exp(itx))a_1) = \phi_0(a_1) \exp(itf(x)) \phi_0(a_1), \quad t \in \mathbb{R}, x \in A_{1S}.$$

As  $a_1 = \exp(ix_1)$  we infer from (3.14)–(3.16) that

$$(3.17) \quad \begin{aligned} \exp(itf_0(x)) = \phi_0(\exp(itx)) = \exp(itf(x)), \\ |t - 1| < \varepsilon, \|x + x_1\| < \varepsilon. \end{aligned}$$

In particular,

$$\exp(if_0(x)) = \exp(if(x)), \quad \|x + x_1\| < \varepsilon.$$

Differentiating both sides of (3.17) at  $t = 1$  we get

$$if_0(x) \exp(if_0(x)) = if(x) \exp(if(x)), \quad \|x + x_1\| < \varepsilon,$$

so that

$$f_0(x) = f(x), \quad \|x + x_1\| < \varepsilon.$$

Since  $f_0$  and  $f$  are surjective real-linear isometries from  $A_{1S}$  onto  $A_{2S}$  and  $f_0 = f$  on a connected open subset  $\{x \in A_{1S} : \|x + x_1\| < \varepsilon\}$  of  $A_{1S}$ , we infer that  $f_0 = f$  on  $A_{1S}$ . Then by (3.14) and (3.16),

$$\begin{aligned} \phi_0(\exp(ix_1) \exp(ix) \exp(ix_1)) &= \phi_0(\exp(ix_1)) \exp(if_0(x)) \phi_0(\exp(ix_1)) \\ &= \phi_0(\exp(ix_1)) \phi_0(\exp(ix)) \phi_0(\exp(ix_1)) \end{aligned}$$

for every  $x \in A_{1S}$ . As  $x, x_1 \in A_{1S}$  are arbitrary, we conclude that (3.2) holds for  $n = 1$ .

Suppose that (3.2) holds for  $n = k$ . We claim it also holds for  $n = k + 1$ . Let  $x, x_1, \dots, x_{k+1} \in A_{1S}$ . Choose any real numbers  $t_1$  and  $t_2$  and set  $a = \exp(it_1x)$ ,  $b = \exp(it_2x)$ ,  $a_1 = \exp(ix_1)$ ,  $\dots$ ,  $a_{k+1} = \exp(ix_{k+1})$ . Define the product

$$\mathbf{P} = a_1 \cdots a_{k+1}$$

and the reverse product

$$\mathbf{R} = a_{k+1} \cdots a_1$$

just for the simplicity of formulae. We claim

$$(3.18) \quad \phi_0(\mathbf{R}bab\mathbf{P}) = \phi_0(\mathbf{R}b\mathbf{P})(\phi_0(\mathbf{R}a^{-1}\mathbf{P}))^{-1}\phi_0(\mathbf{R}b\mathbf{P}).$$

Select a positive integer  $m$  such that

$$\exp(\|(t_1 + t_2)x\|/2^m) - 1 < 1/2,$$

and set

$$c_j = a^{-1} \exp(ij(t_1 + t_2)x/2^m).$$

for  $j = 0, 1, \dots, 2^{m+1}$ . Then  $c_0 = a^{-1}$ ,  $c_{2^m} = b$ ,  $c_{2^{m+1}} = bab$ . It is also easy to check that

$$\|Rc_{j+1}P - Rc_jP\| < 1/2$$

for every  $j = 0, 1, \dots, 2^{m+1} - 1$  and

$$(Rc_{j+1}P)(Rc_jP)^{-1}(Rc_{j+1}P) = Rc_{j+2}P$$

for every  $j = 0, 1, \dots, 2^{m+1} - 2$ . Applying Proposition 2.4 and [11, Lemma 7] as in the case of  $n = 1$ , we see that

$$\phi_0((Rc_{j+1}P)(Rc_jP)^{-1}(Rc_{j+1}P)) = \phi_0(Rc_{j+1}P)(\phi_0(Rc_jP))^{-1}\phi_0(Rc_{j+1}P)$$

and

$$\begin{aligned} (3.19) \quad \phi_0(RbabP) &= \phi_0((Rc_{2^m}P)(Rc_0P)^{-1}(Rc_{2^m}P)) \\ &= \phi_0(Rc_{2^m}P)(\phi_0(Rc_0P))^{-1}\phi_0(Rc_{2^m}P) \\ &= \phi_0(RbP)(\phi_0(Ra^{-1}P))^{-1}\phi_0(RbP). \end{aligned}$$

Define  $\phi_{k+1} : U_1^0 \rightarrow U_2^0$  by

$$\phi_{k+1}(c) = (\phi_0(a_1))^{-1} \cdots (\phi_0(a_{k+1}))^{-1} \phi_0(c) (\phi_0(a_{k+1}))^{-1} \cdots (\phi_0(a_1))^{-1}$$

for  $c \in U_1^0$ . Then by (3.19) we have

$$(3.20) \quad \phi_{k+1}(RbabP) = \phi_{k+1}(RbP)(\phi_{k+1}(Ra^{-1}P))^{-1}\phi_{k+1}(RbP).$$

By (3.6) and the induction hypothesis we obtain

$$\begin{aligned} \phi_0(RP) &= \phi_0(a_{k+1}) \cdots \phi_0(a_2)\phi_0(a_1^2)\phi_0(a_2) \cdots \phi_0(a_{k+1}) \\ &= \phi_0(a_{k+1}) \cdots \phi_0(a_2)\phi_0(a_1)\phi_0(a_1)\phi_0(a_2) \cdots \phi_0(a_{k+1}), \end{aligned}$$

so that

$$(3.21) \quad \phi_{k+1}(RP) = 1.$$

Substituting  $t_2 = 0$  (hence  $b = 0$ ) in (3.20) we obtain

$$(3.22) \quad \begin{aligned} \phi_{k+1}(RaP) &= \phi_{k+1}(RP)(\phi_{k+1}(Ra^{-1}P))^{-1}\phi_{k+1}(RP) \\ &= (\phi_{k+1}(Ra^{-1}P))^{-1}. \end{aligned}$$

Then by (3.20) we obtain

$$(3.23) \quad \phi_{k+1}(RbabP) = \phi_{k+1}(RbP)\phi_{k+1}(RaP)\phi_{k+1}(RbP).$$

By (3.21)–(3.23) one can easily deduce that

$$\phi_{k+1}(Rb^lP) = (\phi_{k+1}(RbP))^l$$

for  $b \in U_1^0$  of the form  $b = \exp(itx)$  with any  $x \in A_{1S}$ ,  $t \in \mathbb{R}$  and for any integer  $l$ .

Define  $S_x^{(k+1)} : \mathbb{R} \rightarrow U_2^0$  by

$$S_x^{(k+1)}(t) = \phi_{k+1}(R(\exp(itx))P), \quad t \in \mathbb{R}.$$

Similarly to the case where  $n = 1$ , we see that  $S_x^{(k+1)}$  is a continuous one-parameter unitary group in  $A_2$  and there is a unique  $y \in A_{2S}$  such that

$$S_x^{(k+1)}(t) = \exp(it y), \quad t \in \mathbb{R}.$$

Then the map  $f_{k+1} : A_{1S} \rightarrow A_{2S}$  is defined by  $f_{k+1}(x) = y$ , i.e.,

$$(3.24) \quad \phi_{k+1}(\mathbf{R}(\exp(itx))\mathbf{P}) = S_x^{(k+1)}(t) = \exp(it f_{k+1}(x)), \quad x \in A_{1S}, t \in \mathbb{R},$$

hence

$$(3.25) \quad \begin{aligned} & \phi_0(\mathbf{R}(\exp(itx))\mathbf{P}) \\ &= \phi_0(a_{k+1}) \cdots \phi_0(a_1) (\exp(it f_{k+1}(x)) \phi_0(a_1) \cdots \phi_0(a_{k+1})), \quad x \in A_{1S}, t \in \mathbb{R}. \end{aligned}$$

We prove that  $f_{k+1}$  is surjective. Define  $\psi : U_2^0 \rightarrow U_1^0$  by

$$\psi(c) = a_1^{-1} \cdots a_{k+1}^{-1} \phi_{k+1}^{-1}(c) a_{k+1}^{-1} \cdots a_1^{-1}.$$

Since  $\phi_{k+1}$  is a surjective isometry,  $\psi$  is well defined and is a surjective isometry from  $U_2^0$  onto  $U_1^0$ . Choose any  $y \in A_{2S}$  and  $t_1, t_2 \in \mathbb{R}$ . Set  $c = \exp(it_1 y)$ ,  $d = \exp(it_2 y)$ . Just as for  $n = 1$ , applying Proposition 2.4 and [11, Lemma 7] we see that

$$\psi(dcd) = \psi(d)\psi(c)\psi(d).$$

We also see that the map  $T_y^{(k+1)} : \mathbb{R} \rightarrow U_1^0$  defined by

$$T_y^{(k+1)}(t) = \psi(\exp(it y)), \quad t \in \mathbb{R},$$

is continuous one-parameter unitary group in  $A_1$ . Therefore there is a map  $g_{k+1} : A_{2S} \rightarrow A_{1S}$  with

$$\psi(\exp(it y)) = T_y^{(k+1)}(t) = \exp(it g_{k+1}(y)), \quad y \in A_{2S}, t \in \mathbb{R}.$$

Then

$$\begin{aligned} \exp(it f_{k+1}(g_{k+1}(y))) &= \phi_{k+1}(\mathbf{R}(\exp(it g_{k+1}(y)))\mathbf{P}) \\ &= \phi_{k+1}(\mathbf{R}(\psi(\exp(it y)))\mathbf{P}) \\ &= \phi_{k+1}(\phi_{k+1}^{-1}(\exp(it y))) = \exp(it y), \quad y \in A_{2S}, t \in \mathbb{R}. \end{aligned}$$

It follows that

$$f_{k+1}(g_{k+1}(y)) = y, \quad y \in A_{2S},$$

and so  $f_{k+1}$  is a surjection from  $A_{1S}$  onto  $A_{2S}$ .

We claim that  $f_{k+1}$  is an isometry. Let  $x, x' \in A_{1S}$ . Then as  $\phi_{k+1}$  is an isometry and  $a_1, \dots, a_{k+1} \in U_1^0$ , we have

$$\begin{aligned}
 & \left\| \frac{\exp(itf_{k+1}(x)) - \exp(itf_{k+1}(x'))}{t} \right\| \\
 &= \left\| \frac{\phi_{k+1}(\mathbf{R}(\exp(itx))\mathbf{P}) - \phi_{k+1}(\mathbf{R}(\exp(itx'))\mathbf{P})}{t} \right\| \\
 &= \left\| \frac{\exp(itx) - \exp(itx')}{t} \right\|.
 \end{aligned}$$

Letting  $t \rightarrow 0$  yields

$$\|f_{k+1}(x) - f_{k+1}(x')\| = \|x - x'\|.$$

Therefore  $f_{k+1}$  is a surjective isometry from  $A_{1S}$  onto  $A_{2S}$ . Since  $f_{k+1}(0) = 0$  by (3.24) and (3.21), we infer that  $f_{k+1}$  is a surjective real-linear isometry from  $A_{1S}$  onto  $A_{2S}$ , by the Mazur–Ulam theorem.

If  $t = 1$  and  $x = -2x_1$ , then

$$a_1(\exp(itx))a_1 = \exp(ix_1) \exp(itx) \exp(ix_1) = 1.$$

Hence there exists an  $\varepsilon > 0$  such that

$$\|a_1(\exp(itx))a_1 - 1\| < 2$$

for every  $t$  with  $|t - 1| < \varepsilon$  and every  $x \in A_{1S}$  with  $\|x + 2x_1\| < \varepsilon$ . Since  $a_1(\exp(itx))a_1$  is unitary we infer that for every  $t$  with  $|t - 1| < \varepsilon$  and every  $x \in A_{1S}$  with  $\|x + 2x_1\| < \varepsilon$  there exists  $x_t \in A_{1S}$  such that

$$a_1(\exp(itx))a_1 = \exp(ix_t).$$

Thus

$$\mathbf{R}(\exp(itx))\mathbf{P} = a_{k+1} \cdots a_2(\exp(ix_t))a_2 \cdots a_{k+1}.$$

By the induction assumption we infer that

$$\begin{aligned}
 \phi_0(\mathbf{R}(\exp(itx))\mathbf{P}) &= \phi_0(a_{k+1} \cdots a_2(\exp(ix_t))a_2 \cdots a_{k+1}) \\
 &= \phi_0(a_{k+1}) \cdots \phi_0(a_2)\phi_0(\exp(ix_t))\phi_0(a_2) \cdots \phi_0(a_{k+1}).
 \end{aligned}$$

We already know that (3.2) holds for  $n = 1$ , hence

$$\phi_0(\exp(ix_t)) = \phi_0(\exp(ix_1))\phi_0(\exp(itx))\phi_0(\exp(ix_1)),$$

so

$$\begin{aligned}
 (3.26) \quad \phi_0(\mathbf{R}(\exp(itx))\mathbf{P}) &= \phi_0(\exp(ix_{k+1})) \cdots \phi_0(\exp(ix_1))\phi_0(\exp(itx))\phi_0(\exp(ix_1)) \cdots \phi_0(\exp(ix_{k+1})), \\
 & \qquad |t - 1| < \varepsilon, \|x + 2x_1\| < \varepsilon.
 \end{aligned}$$

Recall that the isometry  $f_0$  satisfies (3.14). Hence, by (3.25) and (3.26),

$$\exp(itf_0(x)) = \phi_0(\exp(itx)) = \exp(itf_{k+1}(x)), \quad |t - 1| < \varepsilon, \|x + 2x_1\| < \varepsilon.$$

As in the case where  $n = 1$  we find that  $f_0 = f_{k+1}$  on  $A_{1S}$ . Then by (3.14) and (3.25),

$$\begin{aligned}
& \phi_0(\exp(ix_{k+1}) \cdots \exp(ix_1) \exp(ix) \exp(ix_1) \cdots \exp(ix_{k+1})) \\
&= \phi_0(\exp(ix_{k+1})) \cdots \phi_0(\exp(ix_1) \exp(if_0(x))) \phi_0(\exp(ix_1)) \cdots \phi_0(\exp(ix_{k+1})) \\
&= \phi_0(\exp(ix_{k+1})) \cdots \phi_0(\exp(ix_1) \phi_0(\exp(ix)) \phi_0(\exp(ix_1)) \cdots \phi_0(\exp(ix_{k+1}))).
\end{aligned}$$

As  $x, x_1, \dots, x_{k+1} \in A_{1S}$  are arbitrary, we conclude that (3.2) holds for  $n = k + 1$ . ■

*Proof of Theorem 3.1.* Suppose that  $\phi$  is a surjective isometry. Let  $a \in U_1^0$ . Then by Lemma 3.2 there are a finite number of points  $x_0, x_1, \dots, x_n \in A_{1S}$  with

$$a = \exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n).$$

Recall that  $f_0$  is a surjective isometry from  $A_{1S}$  onto  $A_{2S}$  defined by (3.14). The form of such an isometry is already known by [15, Theorem 2]: there exists a central projection  $p \in A_2$  and a Jordan  $*$ -isomorphism  $J$  from  $A_1$  onto  $A_2$  such that  $f_0(x) = (2p - 1)J(x)$  for every  $x \in A_{1S}$ . Looking at this isometry we obtain the partial form of  $\phi_0$ . Since  $(p - (1 - p))^n = p + (-1)^n(1 - p)$  for all positive integers  $n$ , we can compute in the same way as in [12, Theorem 1] that

$$\begin{aligned}
\phi_0(\exp ix) &= \exp(if_0(x)) = \exp(i(2p - 1)J(x)) \\
&= \exp(i(p - (1 - p))J(x)) = \sum_{n=0}^{\infty} \frac{((i(p - (1 - p)))J(x))^n}{n!} \\
&= \sum_{n=0}^{\infty} \frac{i^n(p - (1 - p))^n J(x)^n}{n!} = \sum_{n=0}^{\infty} \frac{i^n(p + (-1)^n(1 - p))J(x)^n}{n!} \\
&= pJ\left(\sum_{n=0}^{\infty} \frac{(ix)^n}{n!}\right) + (1 - p)J\left(\sum_{n=0}^{\infty} \frac{(-ix)^n}{n!}\right) \\
&= pJ(\exp(ix)) + (1 - p)J(\exp(ix))^*
\end{aligned}$$

for every  $x \in A_{1S}$ . Then by (3.2) and the properties of Jordan  $*$ -algebras,

$$\begin{aligned}
\phi_0(a) &= \phi_0(\exp(ix_n)) \cdots \phi_0(\exp(ix_1)) \phi_0(\exp(ix_0)) \phi_0(\exp(ix_1)) \cdots \phi_0(\exp(ix_n)) \\
&= (pJ(\exp(ix_n)) + (1 - p)J(\exp(ix_n))^*) \cdots (pJ(\exp(ix_1)) + (1 - p)J(\exp(ix_1))^*) \\
&\quad \times (pJ(\exp(ix_0)) + (1 - p)J(\exp(ix_0))^*) \\
&\quad \times (pJ(\exp(ix_1)) + (1 - p)J(\exp(ix_1))^*) \cdots (pJ(\exp(ix_n)) + (1 - p)J(\exp(ix_n))^*) \\
&= pJ(\exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n)) \\
&\quad + (1 - p)J(\exp(ix_n) \cdots \exp(ix_1) \exp(ix_0) \exp(ix_1) \cdots \exp(ix_n))^* \\
&= pJ(a) + (1 - p)J(a)^*.
\end{aligned}$$

Suppose conversely that (3.1) holds for a central projection  $p$  and a Jordan  $*$ -isomorphism  $J$ . Define an extension  $\tilde{\phi}$  of  $\phi$  by

$$\tilde{\phi}(a) = \phi(1)(pJ(a) + (1 - p)J(a)^*), \quad a \in A_1.$$

One can easily check that  $\tilde{\phi}$  is a surjective isometry from  $A_1$  onto  $A_2$ , by using the properties of central projections and Jordan  $*$ -isomorphisms. Since  $J(U_1) = U_2$  [5, Lemma 6.2.4, Theorem 6.2.5] and  $U_j^0$  is a connected component of  $U_j$  for  $j = 1, 2$ , we have  $J(U_1^0) = U_2^0$ . We infer that  $\tilde{\phi}(U_1^0) = U_2^0$ . As  $\tilde{\phi}|_{U_1^0} = \phi$ , we conclude that  $\phi$  is a surjective isometry from  $U_1^0$  onto  $U_2^0$ . ■

Recall that  $A_j$  denotes the quotient group  $U_j/U_j^0$  for the unitary group  $U_j$  of a unital  $C^*$ -algebra  $A_j$  and the principal component  $U_j^0$  of  $U_j$ . For  $a \in U_j$ , the coset  $\{au : u \in U_j^0\}$  is denoted by  $[a]$ .

LEMMA 3.4. *Let  $\lambda$  and  $\lambda'$  be different elements in  $A_j$ . If  $a \in \lambda$  and  $a' \in \lambda'$ , then  $\|a - a'\| = 2$ .*

*Proof.* Suppose that  $\|a - a'\| \neq 2$ . Then  $\|a - a'\| < 2$ , hence  $\|a'^{-1}a - 1\| < 2$ . As in the proof of Lemma 3.2, we see that  $a = a' \exp(ix)$  for some  $x \in A_jS$ . This is a contradiction as  $a \in \lambda$  and  $\lambda \neq \lambda'$ . ■

Since  $U_j^0$  is closed and open and connected,  $U_j$  can be written as the disjoint union of the connected sets of the form  $uU_j^0$ , where each  $u$  is taken in a different coset in  $A_j$ ; hence the connected components of  $U_j$  are exactly the cosets in  $A_j$ .

COROLLARY 3.5. *Let  $A_j$  be a unital  $C^*$ -algebra and  $u_j \in U_j$  for  $j = 1, 2$ . Let  $\phi: u_1U_1^0 \rightarrow u_2U_2^0$ . Then  $\phi$  is a surjective isometry if and only if there exists a central projection  $p \in A_2$ , a Jordan  $*$ -isomorphism  $J$  and a  $u \in u_2(pJ(u_1^{-1}) + (1 - p)J(u_1^{-1})^*)U_2^0$  such that*

$$(3.27) \quad \phi(a) = u(pJ(a) + (1 - p)J(a)^*), \quad a \in u_1U_1^0.$$

*Proof.* Suppose that  $\phi$  is of the form (3.27). Then the natural extension defined by  $\tilde{\phi}(a) = u(pJ(a) + (1 - p)J(a)^*)$ ,  $a \in A_1$ , is a surjective isometry from  $A_1$  onto  $A_2$  since  $p$  is a central projection and  $J$  is a surjective isometry. The map  $pJ + (1 - p)J^*$  is also an isometry from  $A_1$  onto  $A_2$  and  $(pJ + (1 - p)J^*)(U_1) = U_2$  as  $J(U_1) = U_2$ . Thus  $(pJ + (1 - p)J^*)(u_1U_1^0)$  is a connected component of  $U_2$  which contains  $pJ(u_1) + (1 - p)J(u_1)^*$ . Hence

$$(pJ + (1 - p)J^*)(u_1U_1^0) = (pJ(u_1) + (1 - p)J(u_1)^*)U_2^0.$$

As  $u \in u_2(pJ(u_1^{-1}) + (1 - p)J(u_1^{-1})^*)U_2^0$  and  $U_2^0$  is a normal subgroup of  $U_2$ , we have  $u \in u_2U_2^0(pJ(u_1^{-1}) + (1 - p)J(u_1^{-1})^*)$ . Hence we infer that

$$u(pJ + (1 - p)J^*)(u_1U_1^0) = u_2U_2^0.$$

Thus  $\phi$  is a surjective isometry from  $u_1U_1^0$  onto  $u_2U_2^0$ .

Suppose conversely that  $\phi : u_1U_1^0 \rightarrow u_2U_2^0$  is a surjective isometry. Define  $\phi' : U_1^0 \rightarrow U_2^0$  by  $\phi'(v) = u_2^{-1}\phi(u_1v)$ ,  $v \in U_1^0$ . It is clear that  $\phi'$  is a surjective isometry. Then by Theorem 3.1 there is a central projection  $p$  in  $A_2$  and a Jordan  $*$ -isomorphism  $J'$  from  $A_1$  onto  $A_2$  such that

$$\phi'(v) = u_2^{-1}\phi(u_1)(pJ'(v) + (1-p)J'(v)^*), \quad v \in U_1^0,$$

hence

$$\phi(a) = \phi(u_1)(pJ'(u_1^{-1}a) + (1-p)J'(u_1^{-1}a)^*), \quad a \in u_1U_1^0.$$

Define  $J : A_1 \rightarrow A_2$  by

$$J(x) = pJ'(u_1)J'(u_1^{-1}x) + (1-p)J'(u_1^{-1}x)J'(u_1), \quad x \in A_1.$$

Then  $J(1) = 1$  and  $J$  is complex-linear. Since  $J'$  is surjective, a simple calculation shows that  $J$  is surjective. As every Jordan  $*$ -isomorphism is an isometry, so is  $J'$ . Since  $p$  is a central projection and  $J'$  is an isometry,

$$\begin{aligned} \|J(x)\| &= \max\{\|pJ'(u_1)J'(u_1^{-1}x)\|, \|(1-p)J'(u_1^{-1}x)J'(u_1)\|\} \\ &= \max\{\|pJ'(u_1^{-1}x)\|, \|(1-p)J'(u_1^{-1}x)\|\} \\ &= \|pJ'(u_1^{-1}x) + (1-p)J'(u_1^{-1}x)\| = \|J'(u_1^{-1}x)\| = \|x\| \end{aligned}$$

for every  $x \in A_1$ . By the theorem of Kadison [14],  $J$  is a Jordan  $*$ -isomorphism. Put

$$u = \phi(u_1)(pJ(u_1^{-1}) + (1-p)J(u_1^{-1})^*).$$

Since  $U_2^0$  is a normal subgroup of  $U_2$ , and  $\phi(u_1)$  and  $u_2$  are in the same coset of  $U_2$ , we infer that

$$u \in u_2(pJ(u_1^{-1}) + (1-p)J(u_1^{-1})^*)U_2^0.$$

As  $J(u_1) = J'(u_1)$  we have

$$\begin{aligned} u(pJ(a) + (1-p)J(a)^*) &= u(pJ'(u_1)J'(u_1^{-1}a) + (1-p)(J'(u_1^{-1}a)J'(u))^*) \\ &= u(pJ'(u_1)J'(u_1^{-1}a) + (1-p)(J'(u)^*J'(u_1^{-1}a)^*)) \\ &= u(pJ'(u_1) + (1-p)J'(u_1)^*)(pJ'(u_1^{-1}a) + (1-p)J'(u_1^{-1}a)^*) \\ &= \phi(u_1)(pJ'(u_1^{-1}a) + (1-p)J'(u_1^{-1}a)^*) = \phi(a), \quad a \in u_1U_1^0. \end{aligned}$$

Therefore (3.27) holds. ■

**4. Isometries between unitary groups.** Let  $A_j$  be a unital  $C^*$ -algebra for  $j = 1, 2$ . Suppose that  $[\phi] : A_1 \rightarrow A_2$  is a bijection, and  $\phi_\lambda : \lambda \rightarrow [\phi](\lambda)$  is a surjective isometry for each  $\lambda \in A_1$ . Then  $\phi_\lambda$  is of the form (3.27). If  $\phi : U_1 \rightarrow U_2$  is defined by

$$\phi(a) = \phi_\lambda(a), \quad a \in \lambda, \lambda \in A_1,$$

then  $\phi$  is a surjective isometry by Lemma 3.4. We will show that any surjective isometry from  $U_1$  onto  $U_2$  has this form, obtaining a complete de-



scription of the surjective isometries between unitary groups. Note that the corresponding result for commutative  $C^*$ -algebras has been proved in [12, Theorem 7]. Observe that Theorem 1 in [12] gives a partial description of surjective isometries between the unitary groups of unital  $C^*$ -algebras. Recall that by an isometry we merely mean a distance preserving transformation.

**THEOREM 4.1.** *Let  $A_j$  be a unital  $C^*$ -algebra and  $U_j$  its unitary group,  $j = 1, 2$ . Suppose that  $\phi$  is a map from  $U_1$  into  $U_2$ . Then  $\phi$  is a surjective isometry from  $U_1$  onto  $U_2$  if and only if the following hold. First, for each  $\lambda \in \Lambda_1$  there exists a unitary  $u_\lambda \in U_2$ , a central projection  $p_\lambda \in A_2$ , and a Jordan  $*$ -isomorphism  $J_\lambda$  from  $A_1$  onto  $A_2$  such that*

$$(4.1) \quad \phi(a) = u_\lambda(p_\lambda J_\lambda(a) + (1 - p_\lambda)J_\lambda(a)^*), \quad a \in \lambda.$$

*Second, the map from  $\Lambda_1$  to  $\Lambda_2$  defined by  $[a] \mapsto [\phi(a)]$  is well defined and bijective. In this case,  $u_\lambda$ ,  $p_\lambda$ , and  $J_\lambda$  are unique for each  $\lambda \in \Lambda$ .*

*Proof.* Assume first that  $\phi$  is of the form (4.1) and the map  $[a] \mapsto [\phi(a)]$  is well defined and bijective. One can easily check that for each  $\lambda$  in  $\Lambda_1$ , the natural extension  $\tilde{\phi}_\lambda$  of  $\phi|_\lambda$  defined by

$$\tilde{\phi}_\lambda(a) = u_\lambda(p_\lambda J_\lambda(a) + (1 - p_\lambda)J_\lambda(a)^*), \quad a \in A_1,$$

is a surjective isometry from  $A_1$  onto  $A_2$ , by using the properties of central projections and Jordan  $*$ -isomorphisms. Then  $\tilde{\phi}_\lambda|_\lambda = \phi|_\lambda$  is a surjective isometry from  $\lambda$  onto  $\phi(\lambda)$  for every  $\lambda \in \Lambda$ . As  $[a] \mapsto [\phi(a)]$  is a bijection from  $\Lambda_1$  onto  $\Lambda_2$  we infer that  $\phi$  is a surjective isometry from  $U_1$  onto  $U_2$ , by Lemma 3.4.

Assume conversely that  $\phi$  is a surjective isometry from  $U_1$  onto  $U_2$ . As the connected components of  $U_1$  are exactly the cosets in  $\Lambda_1$ , the map  $[a] \mapsto [\phi(a)]$ ,  $a \in U_1$ , is a well defined bijective map from  $\Lambda_1$  onto  $\Lambda_2$ . Let  $\lambda \in \Lambda_1$ . Then  $\phi|_\lambda$  is a surjective isometry from  $\lambda$  onto  $\phi(\lambda)$ . By Corollary 3.5 there exists a unitary  $u_\lambda \in U_2$ , a central projection  $p_\lambda \in A_2$ , a Jordan  $*$ -isomorphism  $J_\lambda$  such that

$$(4.2) \quad \phi(a) = u_\lambda(p_\lambda J_\lambda(a) + (1 - p_\lambda)J_\lambda(a)^*), \quad a \in \lambda.$$

To prove the uniqueness of this representation, assume that  $\lambda \in \Lambda_1$  and

$$(4.3) \quad u_\lambda(p_\lambda J_\lambda(a) + (1 - p_\lambda)J_\lambda(a)^*) = u'_\lambda(p'_\lambda J'_\lambda(a) + (1 - p'_\lambda)J'_\lambda(a)^*), \quad a \in \lambda,$$

for  $u_\lambda, u'_\lambda \in U_2$ , central projections  $p_\lambda, p'_\lambda$ , and Jordan  $*$ -isomorphisms  $J_\lambda, J'_\lambda$ . Multiplying (4.3) by the central element  $ip_\lambda$  we have

$$u_\lambda(ip_\lambda J_\lambda(a)) = u'_\lambda(ip_\lambda p'_\lambda J'_\lambda(a) + ip_\lambda(1 - p'_\lambda)J'_\lambda(a)^*)$$

for any  $a \in \lambda$ . Substituting  $ia$  instead of  $a$  in (4.3) and then multiplying by  $p_\lambda$  we obtain

$$u_\lambda(ip_\lambda J_\lambda(a)) = u'_\lambda(ip_\lambda p'_\lambda J'_\lambda(a) - ip_\lambda(1 - p'_\lambda)J'_\lambda(a)^*)$$

for any  $a \in \lambda$ . Hence  $p_\lambda(1-p'_\lambda) = 0$ . In the same way we see that  $p'_\lambda(1-p_\lambda) = 0$ . It follows that  $p_\lambda = p'_\lambda$ , and by (4.3),

$$u_\lambda(p_\lambda J_\lambda(a) + (1-p_\lambda)J_\lambda(a)^*) = u'_\lambda(p_\lambda J'_\lambda(a) + (1-p_\lambda)J'_\lambda(a)^*), \quad a \in \lambda.$$

Let  $a_\lambda \in \lambda$ . Then

$$(4.4) \quad u_\lambda(p_\lambda J_\lambda(a_\lambda b) + (1-p_\lambda)J_\lambda(a_\lambda b)^*) \\ = u'_\lambda(p_\lambda J'_\lambda(a_\lambda b) + (1-p_\lambda)J'_\lambda(a_\lambda b)^*), \quad b \in U_1^0.$$

In particular,

$$(4.5) \quad u_\lambda(p_\lambda J_\lambda(a_\lambda) + (1-p_\lambda)J_\lambda(a_\lambda)^*) = u'_\lambda(p_\lambda J'_\lambda(a_\lambda) + (1-p_\lambda)J'_\lambda(a_\lambda)^*).$$

Putting

$$J_1(b) = p_\lambda J_\lambda(a_\lambda^{-1})J_\lambda(a_\lambda b) + (1-p_\lambda)J_\lambda(a_\lambda b)J_\lambda(a_\lambda^{-1}), \quad b \in A_1, \\ J'_1(b) = p_\lambda J'_\lambda(a_\lambda^{-1})J'_\lambda(a_\lambda b) + (1-p_\lambda)J'_\lambda(a_\lambda b)J'_\lambda(a_\lambda^{-1}), \quad b \in A_1,$$

as in the proof of Corollary 3.5 we see that  $J_1$  and  $J'_1$  are Jordan \*-isomorphisms from  $A_1$  onto  $A_2$  and

$$(4.6) \quad u_\lambda(p_\lambda J_\lambda(a_\lambda b) + (1-p_\lambda)J_\lambda(a_\lambda b)^*) \\ = u_\lambda(p_\lambda J_\lambda(a_\lambda) + (1-p_\lambda)J_\lambda(a_\lambda)^*)(p_\lambda J_1(b) + (1-p_\lambda)J_1(b)^*), \quad b \in A_1,$$

and

$$(4.7) \quad u'_\lambda(p_\lambda J'_\lambda(a_\lambda b) + (1-p_\lambda)J'_\lambda(a_\lambda b)^*) \\ = u'_\lambda(p_\lambda J'_\lambda(a_\lambda) + (1-p_\lambda)J'_\lambda(a_\lambda)^*)(p_\lambda J'_1(b) + (1-p_\lambda)J'_1(b)^*), \quad b \in A_1.$$

Thus by (4.4), (4.6) and (4.7) we have

$$(4.8) \quad u_\lambda(p_\lambda J_\lambda(a_\lambda) + (1-p_\lambda)J_\lambda(a_\lambda)^*)(p_\lambda J_1(b) + (1-p_\lambda)J_1(b)^*) \\ = u'_\lambda(p_\lambda J'_\lambda(a_\lambda) + (1-p_\lambda)J'_\lambda(a_\lambda)^*)(p_\lambda J'_1(b) + (1-p_\lambda)J'_1(b)^*), \quad b \in U_1^0.$$

From (4.5) we infer

$$p_\lambda J_1(b) + (1-p_\lambda)J_1(b)^* = p_\lambda J'_1(b) + (1-p_\lambda)J'_1(b)^*, \quad b \in U_1^0,$$

and hence  $J_1 = J'_1$  on  $U_1^0$ . Furthermore,  $J_1 = J'_1$  on  $A_1$ : To prove this, take an arbitrary  $x$  in  $A_{1S}$ . Then

$$n \left( \exp \left( \frac{iJ_1(x)}{n} \right) - 1 \right) = n \left( J_1 \left( \exp \left( \frac{ix}{n} \right) \right) - 1 \right) \\ = n \left( J'_1 \left( \exp \left( \frac{ix}{n} \right) \right) - 1 \right) = n \left( \exp \left( \frac{iJ'_1(x)}{n} \right) - 1 \right)$$

for  $\exp(ix/n) \in U_1^0$ . Letting  $n \rightarrow \infty$  we obtain  $iJ_1(x) = iJ'_1(x)$ . Since  $x \in A_{1S}$  is arbitrary and  $J_1, J'_1$  are complex-linear, we infer that  $J_1 = J'_1$  on  $A_1$ .

Putting  $b = a_\lambda^{-1}$  in (4.6)–(4.7) we get

$$1 = (p_\lambda J_\lambda(a_\lambda) + (1 - p_\lambda)J_\lambda(a_\lambda)^*)(p_\lambda J_1(a_\lambda^{-1}) + (1 - p_\lambda)J_1(a_\lambda^{-1})^*),$$

$$1 = (p_\lambda J'_\lambda(a_\lambda) + (1 - p_\lambda)J'_\lambda(a_\lambda)^*)(p_\lambda J'_1(a_\lambda^{-1}) + (1 - p_\lambda)J'_1(a_\lambda^{-1})^*).$$

As  $J_1(a_\lambda^{-1}) = J'_1(a_\lambda^{-1})$ , we obtain

$$p_\lambda J_\lambda(a_\lambda) + (1 - p_\lambda)J_\lambda(a_\lambda)^* = p_\lambda J'_\lambda(a_\lambda) + (1 - p_\lambda)J'_\lambda(a_\lambda)^*,$$

hence  $J_\lambda(a_\lambda) = J'_\lambda(a_\lambda)$ . From (4.5) we infer that  $u_\lambda = u'_\lambda$ . Hence by (4.6) and (4.7) we have

$$p_\lambda J_\lambda(a_\lambda b) + (1 - p_\lambda)J_\lambda(a_\lambda b)^* = p_\lambda J'_\lambda(a_\lambda b) + (1 - p_\lambda)J'_\lambda(a_\lambda b)^*, \quad b \in A_1.$$

Therefore  $J_\lambda = J'_\lambda$  on  $A_1$ . This completes the proof of the uniqueness of the representation (4.2) of  $\phi$  on each  $\lambda \in \Lambda$ . ■

**5. Extensibility.** In this section we exhibit a necessary and sufficient condition so that the isometries between the unitary groups of two unital  $C^*$ -algebras can be extended to isometries between these  $C^*$ -algebras. Note that a corresponding result for commutative  $C^*$ -algebras is proved in [12, Corollary 8]. Roughly speaking, a surjective isometry  $\phi : U_1 \rightarrow U_2$  extends to an isometry between the corresponding  $C^*$ -algebras if and only if  $u_\lambda, p_\lambda$  and  $J_\lambda$  which appear in the representation (4.1) coincide with each other for any  $\lambda \in \Lambda_1$ . More precisely, we have the following.

**COROLLARY 5.1.** *Let  $\phi : U_1 \rightarrow U_2$  be a surjective isometry. Consider the representation of  $\phi$  given in Theorem 4.1, i.e., for every  $\lambda \in \Lambda_1$  take the unitary  $u_\lambda$ , the central projection  $p_\lambda$  and the Jordan  $*$ -isomorphism  $J_\lambda$  such that*

$$(5.1) \quad \phi(a) = u_\lambda(p_\lambda J_\lambda(a) + (1 - p_\lambda)J_\lambda(a)^*), \quad a \in \lambda.$$

*The map  $\phi$  can be extended to a surjective isometry from  $A_1$  onto  $A_2$  if and only if all  $u_\lambda$ 's coincide with  $\phi(1)$  and all  $p_\lambda$ 's as well as all  $J_\lambda$ 's coincide. Moreover, denoting  $p = p_\lambda$  and  $J = J_\lambda$ , the map*

$$\tilde{\phi}(a) = \phi(1)(pJ(a) + (1 - p)J(a)^*), \quad a \in A_1,$$

*extends  $\phi$ .*

*Proof.* Suppose that  $\phi$  extends to a surjective isometry  $\widehat{\phi}$  from  $A_1$  onto  $A_2$ . Then by the celebrated Mazur–Ulam theorem  $\widehat{\phi}_0 = \widehat{\phi} - \widehat{\phi}(0)$  is real-linear. Applying (5.1) for  $\lambda = [1]$  we infer by a simple calculation that for every positive integer  $n$ ,

$$\begin{aligned}
\widehat{\phi}_0\left(n\left(\exp\left(\frac{iy}{n}\right)-1\right)\right) &= n\left(\widehat{\phi}_0\left(\exp\left(\frac{iy}{n}\right)\right)-\widehat{\phi}_0(1)\right) = n\left(\phi\left(\exp\left(\frac{iy}{n}\right)\right)-\phi(1)\right) \\
&= n\left\{\phi(1)\left(p_{[1]}J_{[1]}\left(\exp\left(\frac{iy}{n}\right)\right)+(1-p_{[1]})J_{[1]}\left(\exp\left(\frac{iy}{n}\right)\right)^*\right)-\phi(1)\right\} \\
&= \phi(1)\left\{p_{[1]}J_{[1]}\left(n\left(\exp\left(\frac{iy}{n}\right)-1\right)\right)+(1-p_{[1]})J_{[1]}\left(n\left(\exp\left(\frac{iy}{n}\right)-1\right)\right)^*\right\}, \quad y \in A_{1S}.
\end{aligned}$$

As  $\widehat{\phi}(i) = \phi(i) = \phi(1)(ip_{[1]} - i(1 - p_{[1]}))$  we also have

$$\begin{aligned}
&\widehat{\phi}_0\left(ni\left(\exp\left(\frac{ix}{n}\right)-1\right)\right) \\
&= \phi(1)\left\{p_{[1]}J_{[1]}\left(ni\left(\exp\left(\frac{ix}{n}\right)-1\right)\right)+(1-p_{[1]})J_{[1]}\left(ni\left(\exp\left(\frac{ix}{n}\right)-1\right)\right)^*\right\}, \\
&\hspace{25em} x \in A_{1S}.
\end{aligned}$$

Letting  $n \rightarrow \infty$  for each of the above equations we get

$$(5.2) \quad \widehat{\phi}_0(iy) = \phi(1)\{p_{[1]}J_{[1]}(iy) + (1 - p_{[1]})J_{[1]}(iy)^*\}, \quad y \in A_{1S},$$

and

$$\begin{aligned}
(5.3) \quad -\widehat{\phi}_0(x) &= \widehat{\phi}(i^2x) = \phi(1)\{p_{[1]}J_{[1]}(i^2x) + (1 - p_{[1]})J_{[1]}(i^2x)^*\} \\
&= -\phi(1)\{p_{[1]}J_{[1]}(x) + (1 - p_{[1]})J_{[1]}(x)^*\}, \quad x \in A_{1S}.
\end{aligned}$$

Since  $\widehat{\phi}_0$  is real-linear we observe from (5.2) and (5.3) that

$$\widehat{\phi}_0(a) = \phi(1)(p_{[1]}J_{[1]}(a) + (1 - p_{[1]})J_{[1]}(a)^*), \quad a \in A_1.$$

It follows that  $\widehat{\phi}(0) = 0$  and

$$\phi(1)(p_{[1]}J_{[1]}(a) + (1 - p_{[1]})J_{[1]}(a)^*) = u_\lambda(p_\lambda J_\lambda(a) + (1 - p_\lambda)J_\lambda(a)^*), \quad a \in \lambda,$$

for every  $\lambda \in \Lambda$  since  $\widehat{\phi}$  is an extension of  $\phi$ . Due to Theorem 4.1 this representation is unique for each  $\lambda \in \Lambda$ , we have  $\phi(1) = u_\lambda$ ,  $p_{[1]} = p_\lambda$ , and  $J_{[1]} = J_\lambda$  for every  $\lambda \in \Lambda$ .

Conversely, assume  $\phi(1) = u_\lambda$ ,  $p = p_\lambda$ ,  $J = J_\lambda$  for every  $\lambda \in \Lambda$ . Then the map defined by

$$\widetilde{\phi}(a) = \phi(1)(pJ(a) + (1 - p)J(a)^*), \quad a \in A_1$$

clearly extends  $\phi$ , and  $\widetilde{\phi}$  is a surjective isometry since  $p$  is a central projection. ■

**COROLLARY 5.2.** *Let  $A_j$  be a unital  $C^*$ -algebra such that  $U_j = U_j^0$ ,  $j = 1, 2$ . A map  $\phi : U_1 \rightarrow U_2$  is a surjective isometry if and only if there is a central projection  $p$  in  $A_2$  and a Jordan  $*$ -isomorphism  $J : A_1 \rightarrow A_2$  such that*

$$(5.4) \quad \phi(a) = \phi(1)(pJ(a) + (1 - p)J(a)^*), \quad a \in U_1.$$

The proof is straightforward from Theorem 3.1. Note that the unitary group  $U_M$  coincides with  $\exp(iM_s)$  for any von Neumann algebra  $M$ . Hence  $U_M = U_M^0$ .

**6. An application and a problem.** We say that two unital  $C^*$ -algebras  $A_1$  and  $A_2$  are *real-linear* (resp. *complex-linear*, *conjugate-linear*) *\*-algebra isomorphic* if there is a real-linear (resp. complex-linear, conjugate-linear) bijection from  $A_1$  onto  $A_2$  which preserves multiplication and the \*-operation.

Al-Rawashdeh, Booth and Giordano [2] proved that two unital AH-algebras of slow dimension growth and of real rank zero are complex-linear \*-algebra isomorphic or conjugate-linear \*-algebra isomorphic if and only if their unitary groups are isomorphic as topological groups. They also showed that two unital Kirchberg algebras are complex-linear \*-algebra isomorphic or conjugate-linear \*-algebra isomorphic if and only if their unitary groups are isomorphic as abstract groups.

In general there exists a pair of unital commutative  $C^*$ -algebras whose unitary groups are topologically isomorphic while the  $C^*$ -algebras themselves are not isomorphic as real algebras. Let  $X$  be a compact Hausdorff space. We denote by  $C(X)$  (resp.  $C_{\mathbb{R}}(X)$ ) the Banach algebra (resp. real Banach algebra) of all complex-valued (resp. real-valued) continuous functions on  $X$ . Then  $C(X)$  is a unital commutative  $C^*$ -algebra. By the Gelfand–Naimark theorem any unital commutative  $C^*$ -algebra is isometrically complex-linear \*-algebra isomorphic to  $C(X)$  for some  $X$ . The unitary group of  $C(X)$  is denoted by  $UC(X)$ .

The following example is essentially due to Żelazko [24, Remark 7.8].

EXAMPLE 6.1. Let  $X_1 = [0, 1]$  be the closed unit interval and  $X_2 = \{(x, y) \in \mathbb{R}^2 : x \in [0, 2/3], y = 0\} \cup \{(x, y) \in \mathbb{R}^2 : x = 1/3, y \in [0, 1/3]\}$ . Let  $\phi : UC(X_1) \rightarrow UC(X_2)$  be defined as

$$\phi(f)(x, y) = \begin{cases} f(x), & 0 \leq x \leq 2/3, y = 0, \\ \frac{f(1/3)}{f(2/3)} f(y + 2/3), & x = 1/3, 0 < y \leq 1/3, \end{cases}$$

for every  $f \in UC(X_1)$ . By a simple calculation we have

$$(6.1) \quad \frac{1}{3} \|f - g\| \leq \|\phi(f) - \phi(g)\| \leq 3 \|f - g\|,$$

and hence  $\phi$  and  $\phi^{-1}$  are continuous group isomorphisms. On the other hand,  $\phi$  cannot be extended to a real-algebra isomorphism from  $C(X_1)$  onto  $C(X_2)$ . The reason is as follows. The maximal ideal space of  $C(X_j)$  is homeomorphic to  $X_j$  for  $j = 1, 2$  while  $X_1$  and  $X_2$  are not homeomorphic to each other. Therefore  $C(X_1)$  is not isomorphic to  $C(X_2)$  as a real Banach algebra (cf. [10, Theorem 3.1]). Note that the first cohomotopy group

on  $X_j$  is isomorphic to the first Čech cohomology group on  $X_j$  with integer coefficients [7, 7.4. Corollary, p. 91] and it vanishes. It follows that  $\exp iC_{\mathbb{R}}(X_i) = UC(X_i)$  for  $i = 1, 2$ . Note also that the constant 3 in (6.1) is the best possible in the sense that if  $\frac{1}{K}\|f - g\| \leq \|\phi(f) - \phi(g)\| \leq K\|f - g\|$  for all  $f, g \in C(X_1)$ , then  $K \geq 3$ . The reason is as follows. Let  $0 < \theta \leq \pi/3$ . Choose  $f \in C(X_1)$  such that  $f(X_1) \subset \{z = \exp it : t \in \mathbb{R}, |t| \leq \theta\}$ ,  $f(1/3) = \exp i\theta$ ,  $f(2/3) = \exp(-i\theta)$ , and  $f(1) = \exp i\theta$ . Put  $g = 1$ . Then  $\|f - g\| = |\exp i\theta - 1|$  and  $\|\phi(f) - \phi(g)\| = |\exp 3i\theta - 1|$ . The constant  $\theta$  can be arbitrarily small, hence  $K \geq 3$ .

As a corollary of Theorem 3.1 we will prove the following (cf. [12]).

**COROLLARY 6.2.** *Let  $A_j$  be a unital  $C^*$ -algebra for  $j = 1, 2$ . The following are equivalent:*

- (1)  $A_1$  is Jordan  $*$ -isomorphic to  $A_2$ ,
- (2)  $U_1$  is isometric to  $U_2$  as a metric space,
- (3)  $U_1^0$  is isometric to  $U_2^0$  as a metric space.

*Proof.* Suppose that (1) holds. Let  $J : A_1 \rightarrow A_2$  be a Jordan  $*$ -isomorphism. Then  $J$  is a surjective isometry and  $J(U_1) = U_2$  (cf. [5, Lemma 6.2.4, Theorem 6.2.5]), hence  $U_1$  is isometric to  $U_2$ , so (2) holds.

Suppose that (2) holds. Let  $\phi : U_1 \rightarrow U_2$  be a surjective isometry. Then  $\phi_0$  defined by  $\phi_0(\cdot) = (\phi(1))^{-1}\phi(\cdot)$  is also a surjective isometry from  $U_1$  onto  $U_2$  such that  $\phi_0(1) = 1$ . Hence  $\phi_0(U_1^0) = U_2^0$  as  $U_j^0$  is the connected component of  $U_j$  which contains 1, for  $j = 1, 2$ . Thus  $U_1^0$  is isometric to  $U_2^0$ , and (3) holds.

Suppose that (3) holds. We see at once that  $A_1$  is Jordan  $*$ -isomorphic to  $A_2$  by Theorem 3.1, so (1) holds. ■

From Theorem 3.1 we will deduce the following.

**COROLLARY 6.3.** *Let  $A_j$  be a unital  $C^*$ -algebra for  $j = 1, 2$ . The following are equivalent:*

- (1) *there exists a central projection  $p$  in  $A_2$  and a (complex-linear) Jordan  $*$ -isomorphism  $J$  from  $A_1$  onto  $A_2$  such that  $pJ$  is multiplicative and  $(1 - p)J$  is anti-multiplicative,*
- (2)  $U_1$  is isometrically isomorphic to  $U_2$  as a metric group,
- (3)  $U_1^0$  is isometrically isomorphic to  $U_2^0$  as a metric group.

*Proof.* Suppose that there exists a central projection  $p$  in  $A_2$  and a Jordan  $*$ -isomorphism  $J$  from  $A_1$  onto  $A_2$  such that  $pJ$  is multiplicative and  $(1 - p)J$  is anti-multiplicative. Put  $\tilde{\phi} = pJ + (1 - p)J^*$ . It is well known that  $J(U_1) = U_2$ , hence  $\tilde{\phi}(U_1) = U_2$ . As  $(1 - p)J$  is anti-multiplicative and  $1 - p$  is a central projection, we infer that  $(1 - p)J^*$  is multiplicative. Thus  $\tilde{\phi}$  is

an real-linear \*-algebra isomorphism. Since  $p$  is a central projection and  $J$  is an isometry we deduce that  $\tilde{\phi}$  is also an isometry. It follows that  $\tilde{\phi}|_{U_1}$  is an isometrical isomorphism from  $U_1$  onto  $U_2$ .

Suppose next that (2) holds and  $\phi$  is an isometrical isomorphism from  $U_1$  onto  $U_2$ . Then  $\phi(1) = 1$  ensures that  $\phi(U_1^0) = U_2^0$ , since  $U_j^0$  is the connected component which contains 1, and  $\phi$  is an isometry. Thus  $U_1^0$  is isometrically isomorphic to  $U_2^0$ .

Suppose that (3) holds and  $\phi$  is an isometrical isomorphism from  $U_1^0$  onto  $U_2^0$ . We claim (1) holds. As  $\phi$  is a surjective isometry, Theorem 3.1 ensures that there exists a central projection  $p$  in  $A_2$  and a Jordan \*-isomorphism  $J$  from  $A_1$  onto  $A_2$  such that  $\phi(u) = pJ(u) + (1 - p)(J(u))^*$  for every  $u \in U_1^0$ . Let  $\tilde{\phi}$  be defined by

$$\tilde{\phi}(a) = pJ(a) + (1 - p)(J(a))^*, \quad a \in A_1,$$

which is an extension of  $\phi$  to  $A_1$ . Let  $t$  be a non-zero real number and  $x, y \in A_{1S}$ . Since  $\exp(itx), \exp(ity) \in U_1^0$ , and  $\tilde{\phi}$  is real-linear, and

$$\begin{aligned} \tilde{\phi}(\exp(itx) \exp(ity)) &= \phi(\exp(itx) \exp(ity)) \\ &= \phi(\exp(itx))\phi(\exp(ity)) = \tilde{\phi}(\exp(itx))\tilde{\phi}(\exp(ity)), \end{aligned}$$

a calculation yields

$$\tilde{\phi}\left(\frac{(\exp(itx) - 1)(\exp(ity) - 1)}{t^2}\right) = \tilde{\phi}\left(\frac{\exp(itx) - 1}{t}\right)\tilde{\phi}\left(\frac{\exp(ity) - 1}{t}\right).$$

Similarly,

$$\begin{aligned} \tilde{\phi}\left(\frac{i(\exp(itx) - 1)(\exp(ity) - 1)}{t^2}\right) &= \tilde{\phi}\left(\frac{i(\exp(itx) - 1)}{t}\right)\tilde{\phi}\left(\frac{\exp(ity) - 1}{t}\right), \\ \tilde{\phi}\left(\frac{(\exp(itx) - 1)i(\exp(ity) - 1)}{t^2}\right) &= \tilde{\phi}\left(\frac{\exp(itx) - 1}{t}\right)\tilde{\phi}\left(\frac{i(\exp(ity) - 1)}{t}\right), \\ \tilde{\phi}\left(\frac{i(\exp(itx) - 1)i(\exp(ity) - 1)}{t^2}\right) &= \tilde{\phi}\left(\frac{i(\exp(itx) - 1)}{t}\right)\tilde{\phi}\left(\frac{i(\exp(ity) - 1)}{t}\right). \end{aligned}$$

Letting  $t \rightarrow 0$  in the above four equations we get

$$\begin{aligned} \tilde{\phi}(ixiy) &= \tilde{\phi}(ix)\tilde{\phi}(iy), \\ \tilde{\phi}(xiy) &= \tilde{\phi}(x)\tilde{\phi}(iy), \\ \tilde{\phi}(ixy) &= \tilde{\phi}(ix)\tilde{\phi}(y), \\ \tilde{\phi}(xy) &= \tilde{\phi}(x)\tilde{\phi}(y). \end{aligned}$$

Since  $\tilde{\phi}$  is real-linear, we obtain

$$\tilde{\phi}(ab) = \tilde{\phi}(a)\tilde{\phi}(b), \quad a, b \in A_1.$$

Thus  $pJ = p\tilde{\phi}$  and  $(1-p)J^* = (1-p)\tilde{\phi}$  are multiplicative. Hence  $(1-p)J$  is anti-multiplicative, for  $(1-p)J = (J^*(1-p)^*)^* = ((1-p)J^*)^*$ . Consequently, (1) holds. ■

Let  $A_j$  be a unital  $C^*$ -algebra for  $j = 1, 2$ . Suppose that  $\phi : U_1^0 \rightarrow U_2^0$  is a surjective isometrical group isomorphism. As is shown in the proof of Corollary 6.3,  $\phi$  can be extended to a real-linear  $*$ -algebra isomorphism from  $A_1$  onto  $A_2$ . Note that a surjective isometrical group isomorphism from  $U_1$  onto  $U_2$  need not extend to an isometry from  $A_1$  onto  $A_2$ . To give an example let  $\mathbb{T}$  be the unit circle in the complex plane and  $A_1 = A_2 = C(\mathbb{T})$ . Then  $U_j = \{z^n \exp(if) : n \in \mathbb{Z}, f \in C_{\mathbb{R}}(\mathbb{T})\}$  and  $A_j = \mathbb{Z}$  for  $j = 1, 2$ , where  $\mathbb{Z}$  denotes the additive group of all integers. Let  $\phi : U_1 \rightarrow U_2$  be defined as  $\phi(z^n \exp(if)) = z^{-n} \exp(if)$  for every  $z^n \exp(if) \in U_1$ . Then  $\phi$  is a surjective isometrical group isomorphism from  $U_1$  onto  $U_2$ . But  $\phi$  cannot be extended to an isometry from  $A_1$  onto  $A_2$  by Corollary 5.1.

For a von Neumann algebra  $M$  the unitary group  $U$  coincides with the principal component  $U^0$  of  $U$ . Hence every surjective isometrical group isomorphism between two unitary groups of von Neumann algebras can be extended to a real-linear  $*$ -algebra isomorphism between these von Neumann algebras.

**COROLLARY 6.4.** *Let  $M_j$  be a von Neumann algebra for  $j = 1, 2$ . The following are equivalent:*

- (1) *there exists a central projection  $p$  in  $M_2$  and a (complex-linear) Jordan  $*$ -isomorphism  $J$  from  $M_1$  onto  $M_2$  such that  $pJ$  is multiplicative and  $(1-p)J$  is anti-multiplicative,*
- (2)  *$M_1$  is Jordan  $*$ -isomorphic to  $M_2$ ,*
- (3)  *$U_1$  is isometric to  $U_2$  as a metric space,*
- (4)  *$U_1$  is isometrically isomorphic to  $U_2$  as a metric group.*

*Proof.* We have already proved that (2) and (3) are equivalent. (1) and (4) are also equivalent by Corollary 6.3. It is apparent that (1) implies (2).

Suppose that (2) holds and  $J : M_1 \rightarrow M_2$  is a Jordan  $*$ -isomorphism. Then by a theorem of Kadison [14, Theorem 10],  $J$  is a direct sum of a multiplicative part and an anti-multiplicative part, that is, there is a central projection  $p$  in  $M_2$  such that  $pJ$  is multiplicative and  $(1-p)J$  is anti-multiplicative; thus (1) holds. ■

Note that Sakai [23] proved that topological group isomorphisms between two  $AW^*$ -factors are implemented by complex-linear  $*$ -algebra isomorphisms or conjugate-linear  $*$ -algebra isomorphisms of the factors.

We conclude the paper with a problem: for which constant  $K$ , the existence of a group isomorphism  $\phi : U_1 \rightarrow U_2$  (resp.  $U_1^0 \rightarrow U_2^0$ ) with



$$\frac{1}{K}\|a - b\| \leq \|\phi(a) - \phi(b)\| \leq K\|a - b\|, \quad a, b \in U_1 \text{ (resp. } U_1^0)$$

ensures that  $A_1$  is real-linear \*-algebra isomorphic to  $A_2$ ? This is the case for  $K = 1$  by Corollary 6.3, but due to Example 6.1 it is not the case for  $K \geq 3$ . The author does not know whether the statement holds or not for  $1 < K < 3$  even if the  $C^*$ -algebras are commutative.

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