## The Daugavet property and translation-invariant subspaces

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**Abstract.** Let G be an infinite, compact abelian group and let  $\Lambda$  be a subset of its dual group  $\Gamma$ . We study the question which spaces of the form  $C_{\Lambda}(G)$  or  $L^{1}_{\Lambda}(G)$  and which quotients of the form  $C(G)/C_{\Lambda}(G)$  or  $L^{1}(G)/L^{1}_{\Lambda}(G)$  have the Daugavet property.

We show that  $C_A(G)$  is a rich subspace of C(G) if and only if  $\Gamma \setminus \Lambda^{-1}$  is a semi-Riesz set. If  $L^1_A(G)$  is a rich subspace of  $L^1(G)$ , then  $C_A(G)$  is a rich subspace of C(G) as well. Concerning quotients, we prove that  $C(G)/C_A(G)$  has the Daugavet property if  $\Lambda$  is a Rosenthal set, and that  $L^1_A(G)$  is a poor subspace of  $L^1(G)$  if  $\Lambda$  is a nicely placed Riesz set.

**1. Introduction.** I. K. Daugavet [3] proved in 1963 that all compact operators T on C[0, 1] satisfy the norm identity

$$\|\mathrm{Id} + T\| = 1 + \|T\|$$

which has become known as the Daugavet equation. C. Foiaş and I. Singer [5] extended this result to all weakly compact operators on C[0, 1] and A. Pełczyński [5, p. 446] observed that their argument can also be used for weakly compact operators on C(K) provided that K is a compact space without isolated points. Shortly afterwards, G. Ya. Lozanovskiĭ [20] showed that the Daugavet equation holds for all compact operators on  $L^1[0, 1]$ , and J. R. Holub [12] extended this result to all weakly compact operators on  $L^1(\Omega, \Sigma, \mu)$  where  $\mu$  is a  $\sigma$ -finite non-atomic measure. V. M. Kadets, R. V. Shvidkoy, G. G. Sirotkin, and D. Werner [17] proved that the validity of the Daugavet equation for weakly compact operators already follows from the corresponding statement for operators of rank one. This result led to the following definition: A Banach space X is said to have the Daugavet property if every operator  $T: X \to X$  of rank one satisfies the Daugavet equation.

Examples include the aforementioned spaces C(K) and  $L^1(\Omega, \Sigma, \mu)$ , certain function algebras such as the disk algebra  $A(\mathbb{D})$  or the algebra of bounded analytic functions  $H^{\infty}$  [28, 29], and non-atomic C<sup>\*</sup>-algebras [23].

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If X has the Daugavet property, not only all weakly compact operators on X satisfy the Daugavet equation but also all strong Radon–Nikodým operators [17], meaning operators T for which  $\overline{T[B_X]}$  is a Radon–Nikodým set, and operators not fixing a copy of  $\ell^1$  [27]. Furthermore, X fails the Radon–Nikodým property [29], contains a copy of  $\ell^1$  [17], does not have an unconditional basis [13], and does not even embed into a space with an unconditional basis [17].

The listed properties give the impression that spaces with the Daugavet property are "big". It is therefore an interesting question which subspaces of a space X with the Daugavet property inherit this property. One approach is to look at closed subspaces Y such that the quotient space X/Y is "small". For this purpose, V. M. Kadets and M. M. Popov [15] introduced on C[0, 1] and  $L^1[0, 1]$  the class of *narrow* operators, a generalization of the class of compact operators, and called a subspace *rich* if the corresponding quotient map is narrow. This concept was transferred to spaces with the Daugavet property by V. M. Kadets, R. V. Shvidkoy, and D. Werner [18]. Rich subspaces inherit the Daugavet property and the class of narrow operators, and all operators which do not fix copies of  $\ell^1$  [18].

If Y is a rich subspace of a Banach space X with the Daugavet property, then not only Y inherits the Daugavet property but also every closed subspace of X which contains Y. In view of this property, V. M. Kadets, V. Shepelska, and D. Werner introduced a similar notion for quotients of X and called a closed subspace Y poor if X/Z has the Daugavet property for every closed subspace  $Z \subset Y$ . They also showed that poverty is a dual property to richness [16].

Let us consider an infinite, compact abelian group G with its Haar measure m. Since G has no isolated points and m has no atoms, the spaces C(G) and  $L^1(G)$  have the Daugavet property. Using the group structure of G, we can translate functions that are defined on G and look at closed, translation-invariant subspaces of C(G) or  $L^1(G)$ . These subspaces can be described via subsets  $\Lambda$  of the dual group  $\Gamma$  and are of the form  $C_{\Lambda}(G) = \{f \in C(G) : \operatorname{spec} f \subset \Lambda\}$  and  $L^1_{\Lambda}(G) = \{f \in L^1(G) : \operatorname{spec} f \subset \Lambda\}$ , where

spec 
$$f = \{ \gamma \in \Gamma : \hat{f}(\gamma) \neq 0 \}.$$

We are going to study the question which closed, translation-invariant subspaces of C(G) and  $L^1(G)$  and which quotients of the form  $C(G)/C_A(G)$ or  $L^1(G)/L^1_A(G)$  have the Daugavet property. We will show that  $C_A(G)$  is a rich subspace of C(G) if and only if  $\Gamma \setminus \Lambda^{-1}$  is a semi-Riesz set, and that  $C_A(G)$  is rich in C(G) if  $L^1_A(G)$  is rich in  $L^1(G)$ . We will prove that  $C(G)/C_A(G)$  has the Daugavet property if  $L^1_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of  $L^1(G)$ , and that  $L^1(G)/L^1_A(G)$  has the Daugavet property if  $C_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of C(G). We will furthermore identify a big class of poor, translation-invariant subspaces of  $L^1(G)$ .

2. Preliminaries. Let  $\mathbb{T}$  be the *circle group*, i.e., the multiplicative group of all complex numbers with absolute value one. In what follows, G will be an infinite, compact abelian group with addition as group operation and  $e_G$  as identity element.  $\mathcal{B}(G)$  will denote its Borel  $\sigma$ -algebra, mits normalized Haar measure,  $\Gamma$  its (discrete) dual group, i.e., the group of all continuous homomorphisms from G into  $\mathbb{T}$ , and  $\Lambda$  a subset of  $\Gamma$ . Linear combinations of elements of  $\Gamma$  are called *trigonometric polynomials* and we set  $T(G) = \lim \Gamma$ . We will write  $\mathbf{1}_G$  for the identity element of  $\Gamma$ , which coincides with the function identically equal to one.

LEMMA 2.1. If O is an open neighborhood of  $e_G$ , then there exists a covering of G by disjoint Borel sets  $B_1, \ldots, B_n$  with  $B_k - B_k \subset O$  for  $k = 1, \ldots, n$ .

Proof. Let V be an open neighborhood of  $e_G$  with  $V - V \subset O$ . Since G is compact, we can choose  $x_1, \ldots, x_n \in G$  with  $G = \bigcup_{k=1}^n (x_k + V)$ . Set  $B_1 = x_1 + V$  and  $B_k = (x_k + V) \setminus \bigcup_{l=1}^{k-1} B_l$  for  $k = 2, \ldots, n$ . Then  $B_1, \ldots, B_n$  is a covering of G by disjoint Borel sets and for every  $k \in \{1, \ldots, n\}$ ,

$$B_k - B_k \subset (x_k + V) - (x_k + V) \subset V - V \subset O. \blacksquare$$

 $L^1(G)$  and M(G), the space of all regular Borel measures on G, are commutative Banach algebras with respect to convolution, and  $L^1(G)$  is a closed ideal of M(G) [25, Theorems 1.1.7, 1.3.2, and 1.3.5]. If  $\mu \in M(G)$ , its *Fourier–Stieltjes transform* is defined by

$$\hat{\mu}(\gamma) = \int_{G} \overline{\gamma} \, d\mu \quad (\gamma \in \Gamma),$$

and the map  $\mu \mapsto \hat{\mu}$  is injective, multiplicative, and continuous [25, Theorems 1.3.3 and 1.7.3].  $L^1(G)$  does not have a unit, unless G is discrete. But we always have an approximate unit [11, Remark VIII.32.33(c) and Theorem VIII.33.12].

PROPOSITION 2.2. There is a net  $(v_j)_{j \in J}$  in  $L^1(G)$  with the following properties:

- (i)  $||f f * v_j||_1 \to 0$  for every  $f \in L^1(G)$ ;
- (ii)  $||f f * v_i||_{\infty} \to 0$  for every  $f \in C(G)$ ;
- (iii)  $v_j \ge 0, v_j \in T(G)$  and  $\hat{v}_j \ge 0$  for every  $j \in J$ ;
- (iv)  $||v_j||_1 = 1$  for every  $j \in J$ ;
- (v)  $\hat{v}_i(\gamma) \to 1$  for every  $\gamma \in \Gamma$ .

If  $f: G \to \mathbb{C}$  is a function and x an element of G, the translate  $f_x$  of f is defined by

$$f_x(y) = f(y - x) \quad (y \in G).$$

A subspace X of  $L^1(G)$  or C(G) is called *translation-invariant* if X contains with a function f all possible translates  $f_x$ . As already mentioned in the introduction, all closed, translation-invariant subspaces of C(G) or  $L^1(G)$ are of the form  $C_A(G)$  or  $L^1_A(G)$  [11, Theorem IX.38.7], where  $\Lambda$  is a subset of  $\Gamma$ . We define  $T_A(G)$ ,  $L^{\infty}_A(G)$ , and  $M_A(G)$  analogously. Note that by Proposition 2.2 the space  $T_A(G)$  is  $\|\cdot\|_{\infty}$ -dense in  $C_A(G)$  and  $\|\cdot\|_1$ -dense in  $L^1_A(G)$ .

We will need the following characterization of the Daugavet property [17, Lemma 2.2].

LEMMA 2.3. Let X be a Banach space. The following assertions are equivalent:

- (i) X has the Daugavet property.
- (ii) For every  $x \in S_X$ ,  $x^* \in S_{X^*}$ , and  $\varepsilon > 0$  there is some  $y \in S_X$  such that  $\operatorname{Re} x^*(y) \ge 1 \varepsilon$  and  $||x + y|| \ge 2 \varepsilon$ .
- (iii) For every  $x \in S_X$ ,  $x^* \in S_{X^*}$ , and  $\varepsilon > 0$  there is some  $y^* \in S_{X^*}$ such that  $\operatorname{Re} y^*(x) \ge 1 - \varepsilon$  and  $||x^* + y^*|| \ge 2 - \varepsilon$ .

3. Structure-preserving isometries. The Daugavet property depends crucially on the norm of a space and is preserved under isometries but in general not under isomorphisms. Considering translation-invariant subspaces of C(G) and  $L^1(G)$ , it would be useful to know isometries that map translationinvariant subspaces onto translation-invariant subspaces.

DEFINITION 3.1. Let  $G_1$  and  $G_2$  be locally compact abelian groups with dual groups  $\Gamma_1$  and  $\Gamma_2$ . Let  $H: G_1 \to G_2$  be a continuous homomorphism. The *adjoint homomorphism*  $H^*: \Gamma_2 \to \Gamma_1$  is defined by

$$H^*(\gamma) = \gamma \circ H \quad (\gamma \in \Gamma_2).$$

The adjoint homomorphism  $H^*$  is continuous [10, Theorem VI.24.38],  $H^{**} = H$  [10, VI.24.41(a)], and  $H^*[\Gamma_2]$  is dense in  $\Gamma_1$  if and only if H is one-to-one [10, VI.24.41(b)].

LEMMA 3.2. Let  $H: G \to G$  be a continuous and surjective homomorphism. Then H is measure-preserving, i.e., each Borel set B of G satisfies  $m(H^{-1}[B]) = m(B)$ .

*Proof.* Denote by  $\mu$  the push-forward of m under H. It is easy to see that  $\mu$  is regular and  $\mu(G) = 1$ . Since the Haar measure is uniquely determined, it suffices to show that  $\mu$  is translation-invariant.

Fix  $B \in \mathcal{B}(G)$  and  $x \in G$ . *H* is surjective and thus there is  $y \in G$  with H(y) = x. It is not difficult to check that  $H^{-1}[B + H(y)] = H^{-1}[B] + y$ . Using this equality, we get

$$\begin{split} \mu(B+x) &= m(H^{-1}[B+H(y)]) = m(H^{-1}[B]+y) \\ &= m(H^{-1}[B]) = \mu(B). ~\bullet \end{split}$$

PROPOSITION 3.3. Let  $H : \Gamma \to \Gamma$  be a one-to-one homomorphism and let  $\Lambda$  be a subset of  $\Gamma$ . Then  $C_{\Lambda}(G) \cong C_{H[\Lambda]}(G)$  and  $L^{1}_{\Lambda}(G) \cong L^{1}_{H[\Lambda]}(G)$ .

*Proof.* If we define  $T: C(G) \to C(G)$  by

$$T(f) = f \circ H^* \quad (f \in C(G)),$$

then T is well-defined and an isometry because  $H^*$  is continuous and surjective. (Note that  $H^*[G]$  is compact and therefore closed.) For every trigonometric polynomial  $f = \sum_{k=1}^{n} a_k \gamma_k$  and every  $x \in G$  we get

$$T(f)(x) = \sum_{k=1}^{n} a_k \gamma_k(H^*(x)) = \sum_{k=1}^{n} a_k H(\gamma_k)(x).$$

Hence for every  $\Lambda \subset \Gamma$ , T maps the space  $T_{\Lambda}(G)$  onto  $T_{H[\Lambda]}(G)$  and by density the space  $C_{\Lambda}(G)$  onto  $C_{H[\Lambda]}(G)$ .

Let us look at the same T but now as an operator from  $L^1(G)$  into itself. It is again an isometry because  $H^*$  is measure-preserving by Lemma 3.2. For every  $\Lambda \subset \Gamma$ , it still maps the space  $T_{\Lambda}(G)$  onto  $T_{H[\Lambda]}(G)$  and so by density  $L^1_{\Lambda}(G)$  onto  $L^1_{H[\Lambda]}(G)$ .

COROLLARY 3.4. Let  $H : \Gamma \to \Gamma$  be a one-to-one homomorphism. If  $C_{\Lambda}(G)$  has the Daugavet property, then  $C_{H[\Lambda]}(G)$  has the Daugavet property as well. Analogously, if  $L^{1}_{\Lambda}(G)$  has the Daugavet property, then  $L^{1}_{H[\Lambda]}(G)$  has the Daugavet property as well.

Let us give an example. Every one-to-one homomorphism on  $\mathbb{Z}$  is of the form  $k \mapsto nk$  where *n* is a fixed non-zero integer. So  $C_A(\mathbb{T}) \cong C_{nA}(\mathbb{T})$  and  $L^1_A(\mathbb{T}) \cong L^1_{nA}(\mathbb{T})$  for every non-zero integer *n*.

## 4. Rich subspaces

DEFINITION 4.1. Let X be a Banach space with the Daugavet property and let E be an arbitrary Banach space. An operator  $T \in L(X, E)$  is called *narrow* if for any  $x, y \in S_X$ ,  $x^* \in X^*$ , and  $\varepsilon > 0$  there is an element  $z \in S_X$ such that  $||T(y-z)|| + |x^*(y-z)| \le \varepsilon$  and  $||x+z|| \ge 2-\varepsilon$ . A closed subspace Y of X is said to be *rich* if the quotient map  $\pi : X \to X/Y$  is narrow.

A rich subspace inherits the Daugavet property. But even a little bit more is true [18, Theorem 5.2].

PROPOSITION 4.2. Let X be a Banach space with the Daugavet property and let Y be a rich subspace. Then (Y, X) is a Daugavet pair, i.e., for every  $x \in S_X, y^* \in S_{Y^*}, and \varepsilon > 0$  there is some  $y \in S_Y$  with  $\operatorname{Re} y^*(y) \ge 1 - \varepsilon$ and  $||x + y|| \ge 2 - \varepsilon$ .

Proof. Fix  $x \in S_X$ ,  $y^* \in S_{Y^*}$ , and  $\varepsilon > 0$ . Choose  $\delta > 0$  with  $\frac{1-3\delta}{1+\delta} \ge 1-\varepsilon$ and  $z \in S_Y$  with  $\operatorname{Re} y^*(z) \ge 1-\delta$ . Since Y is a rich subspace of X, there exists  $x_0 \in S_X$  with  $d(x_0, Y) = d(z - x_0, Y) < \delta$ ,  $|y^*(z - x_0)| \le \delta$ , and  $||x + x_0|| \ge 2 - \delta$ . Fix  $y_0 \in Y$  with  $||x_0 - y_0|| \le \delta$  and set  $y = y_0/||y_0||$ . Then

$$\operatorname{Re} y^*(y_0) \ge \operatorname{Re} y^*(z) - |y^*(z - x_0)| - ||x_0 - y_0|| \ge 1 - 3\delta$$

and

 $||x_0 - y|| \le ||x_0 - y_0|| + ||y_0 - y|| \le 2\delta.$ 

So by our choice of  $\delta$  we get  $\operatorname{Re} y^*(y) \ge 1 - \varepsilon$  and  $||x + y|| \ge 2 - \varepsilon$ .

Let us recall the following characterizations of narrow operators on C(K) spaces [18, Theorem 3.7] and on  $L^1(\Omega, \Sigma, \mu)$  spaces [14, Theorem 2.1], [18, Theorem 6.1].

PROPOSITION 4.3. Let K be a compact space without isolated points and let E be a Banach space. An operator  $T \in L(C(K), E)$  is narrow if and only if for every non-empty open set O and every  $\varepsilon > 0$  there is a function  $f \in S_{C(K)}$  with  $f|_{K\setminus O} = 0$  and  $||T(f)|| \le \varepsilon$ .

REMARK. In Proposition 4.3, the function f can be chosen to be realvalued and non-negative. This was proven for  $C(K, \mathbb{R})$  in [15, Lemma 1.4]. The same proof works with minor modifications for  $C(K, \mathbb{C})$  as well.

PROPOSITION 4.4. Let  $(\Omega, \Sigma, \mu)$  be a non-atomic probability space and let E be a Banach space. A function  $f \in L^1(\Omega)$  is said to be a balanced  $\varepsilon$ -peak on  $A \in \Sigma$  if f is real-valued,  $f \geq -1$ ,  $\chi_A f = f$ ,  $\int_{\Omega} f d\mu = 0$ , and  $\mu(\{f = -1\}) \geq \mu(A) - \varepsilon$ . An operator  $T \in L(L^1(\Omega), E)$  is narrow if and only if for every  $A \in \Sigma$  and every  $\delta, \varepsilon > 0$  there is a balanced  $\varepsilon$ -peak f on Awith  $||T(f)|| \leq \delta$ .

COROLLARY 4.5. If  $C_{\Lambda}(G)$  is a rich subspace of C(G), then for every  $x \in G$ , every open neighborhood O of  $e_G$ , and every  $\varepsilon > 0$  there exists a real-valued and non-negative  $f \in S_{C(G)}$  with f(x) = 1,  $f|_{G \setminus (x+O)} = 0$ , and  $d(f, C_{\Lambda}(G)) \leq \varepsilon$ .

Proof. Let V be a symmetric open neighborhood of  $e_G$  with  $V + V \subset O$ . Since  $C_A(G)$  is a rich subspace of C(G), we can pick a real-valued, nonnegative  $g \in S_{C(G)}$  with  $g|_{G\setminus V} = 0$  and  $d(g, C_A(G)) \leq \varepsilon$ . Fix  $x_0 \in V$  with  $g(x_0) = 1$  and set  $f = g_{x-x_0}$ . This function is still at a distance of at most  $\varepsilon$ from  $C_A(G)$  because  $C_A(G)$  is translation-invariant. Furthermore, f(x) = 1 and  $f|_{G\setminus(x+O)} = 0$  by our choice of V. In fact, if we pick  $y \in G$  with  $f(y) \neq 0$ , we get  $g(y - x + x_0) = f(y) \neq 0$ . Consequently,  $y - x + x_0 \in V$  and  $y \in x - x_0 + V \subset x + V + V \subset x + O$ .

We have seen in Proposition 4.2 that a rich subspace inherits the Daugavet property. But even more is true. A closed subspace Y of X is rich if and only if every closed subspace Z of X with  $Y \subset Z \subset X$  has the Daugavet property [18, Theorem 5.12]. In order to prove that a translation-invariant subspace Y of C(G) or  $L^1(G)$  is rich, we do not have to consider all subspaces of C(G) or  $L^1(G)$  containing Y but only the translation-invariant ones.

LEMMA 4.6. Let X be a Banach space. If for every  $\varepsilon > 0$  there is a Banach space Y that has the Daugavet property and is  $(1 + \varepsilon)$ -isomorphic to X, then X has the Daugavet property.

Since the proof is straightforward, it will be omitted.

PROPOSITION 4.7. Suppose  $\Lambda$  is a subset of  $\Gamma$  such that  $C_{\Theta}(G)$  has the Daugavet property for all  $\Lambda \subset \Theta \subset \Gamma$ . Then  $C_{\Lambda}(G)$  is a rich subspace of C(G). The analogous statement is valid for subspaces of  $L^1(G)$ .

*Proof.* We will only prove the result for subspaces of C(G). The proof for subspaces of  $L^1(G)$  works the same way.

It suffices to show that for arbitrary  $f_1, f_2 \in S_{C(G)}$  the linear span of  $C_A(G)$ ,  $f_1$  and  $f_2$  has the Daugavet property [18, Lemma 5.6]. In order to do this, we are going to prove that  $X = \lim \{C_A(G) \cup \{f_1, f_2\}\}$  meets the assumptions of Lemma 4.6.

Fix  $\varepsilon > 0$ , and suppose that  $f_1$  does not belong to  $C_A(G)$  and  $f_2$  does not belong to  $\lim\{C_A(G) \cup \{f_1\}\}$ ; the other cases can be treated similarly. Then X is isomorphic to  $C_A(G) \oplus_1 \inf\{f_1\} \oplus_1 \inf\{f_2\}$  and there exists M > 0with

$$M(\|h\|_{\infty} + |\alpha| + |\beta|) \le \|h + \alpha f_1 + \beta f_2\|_{\infty} \quad (h \in C_A(G), \, \alpha, \beta \in \mathbb{C}).$$

Using the density of T(G) in C(G), we choose  $g_1, g_2 \in S_{T(G)}$  with  $||f_k - g_k||_{\infty} \le M\varepsilon$  for k = 1, 2. If we define  $T: X \to \lim\{C_A(G) \cup \{g_1, g_2\}\}$  by

 $T(h + \alpha f_1 + \beta f_2) = h + \alpha g_1 + \beta g_2 \quad (h \in C_A(G), \, \alpha, \beta \in \mathbb{C}),$ 

then T is surjective and  $||T^{-1}|| ||T|| \le (1 + \varepsilon)/(1 - \varepsilon)$  since

$$\begin{aligned} \|T(h+\alpha f_1+\beta f_2)-(h+\alpha f_1+\beta f_2)\|_{\infty} &\leq M\varepsilon(|\alpha|+|\beta|)\\ &\leq \varepsilon \|h+\alpha f_1+\beta f_2\|_{\infty} \end{aligned}$$

for  $h \in C_A(G)$  and  $\alpha, \beta \in \mathbb{C}$ .

To complete the proof, we have to show that  $Y = \lim\{C_A(G) \cup \{g_1, g_2\}\}$ has the Daugavet property. Set  $\Delta = \operatorname{spec} g_1 \cup \operatorname{spec} g_2$ . Since  $g_1$  and  $g_2$  are trigonometric polynomials, the set  $\Delta$  is finite. By assumption,  $C_{A\cup\Delta}(G)$  has the Daugavet property. The space Y is a finite-codimensional subspace of  $C_{A\cup\Delta}(G)$ , and has therefore the Daugavet property as well [17, Theorem 2.14].

Not all translation-invariant subspaces of C(G) or  $L^1(G)$  which have the Daugavet property must be rich. The subspace  $C_{2\mathbb{Z}}(\mathbb{T})$  has the Daugavet property because  $C(\mathbb{T}) \cong C_{2\mathbb{Z}}(\mathbb{T})$  by Corollary 3.4. But every  $f \in C_{2\mathbb{Z}}(\mathbb{T})$ satisfies

$$f(t) = f(-t) \quad (t \in \mathbb{T}),$$

and therefore  $C_{2\mathbb{Z}}(\mathbb{T})$  cannot be a rich subspace of  $C(\mathbb{T})$ . Similarly,  $L^1_{2\mathbb{Z}}(\mathbb{T})$  has the Daugavet property but is not a rich subspace of  $L^1(\mathbb{T})$ .

If X is a Banach space with the Daugavet property, then all operators on X which do not fix  $\ell^1$  are narrow [18, Theorem 4.13]. This implies that Y is a rich subspace of X if the quotient space X/Y contains no copy of  $\ell^1$  or if  $(X/Y)^*$  has the Radon–Nikodým property [18, Proposition 5.3]. Let us apply these results to translation-invariant subspaces of C(G) or  $L^1(G)$ .

DEFINITION 4.8.

- (a)  $\Lambda$  is called a *Rosenthal set* if every equivalence class of  $L^{\infty}_{\Lambda}(G)$  contains a continuous member, i.e.,  $L^{\infty}_{\Lambda}(G) = C_{\Lambda}(G)$ .
- (b)  $\Lambda$  is called a *Riesz set* if every  $\mu \in M_{\Lambda}(G)$  is absolutely continuous with respect to the Haar measure, i.e.,  $M_{\Lambda}(G) = L^{1}_{\Lambda}(G)$ .

Every Sidon set is a Rosenthal set. (Recall that  $\Lambda \subset \Gamma$  is said to be a Sidon set if there exists a constant M > 0 such that  $\sum_{\gamma \in \Lambda} |\hat{f}(\gamma)| \leq M ||f||_{\infty}$  for all  $f \in T_{\Lambda}(G)$ .) But  $\bigcup_{n=1}^{\infty} (2n)! \{1, \ldots, 2n\}$  is an example of a Rosenthal set which is not a Sidon set [24, Corollary 4]. Every Rosenthal set is a Riesz set [21, Théorème 3] and it is a classical result due to F. and M. Riesz that  $\mathbb{N}$  is a Riesz set [26, Theorem 17.13].

PROPOSITION 4.9. If  $\Lambda$  is a Riesz set, then  $C_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of C(G), and if  $\Lambda$  is a Rosenthal set, then  $L^1_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of  $L^1(G)$ .

Proof. Suppose  $\Lambda$  is a Riesz set. Since  $T_{\Gamma \setminus \Lambda^{-1}}(G)$  is dense in  $C_{\Gamma \setminus \Lambda^{-1}}(G)$ , we have  $C_{\Gamma \setminus \Lambda^{-1}}(G)^{\perp} = M_{\Lambda}(G)$ . Hence  $M_{\Lambda}(G)$  can be identified with the dual space of  $C(G)/C_{\Gamma \setminus \Lambda^{-1}}(G)$ . Since  $\Lambda$  is a Riesz set,  $M_{\Lambda}(G)$  has the Radon–Nikodým property [21, Théorème 2] and  $C_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of C(G) [18, Proposition 5.3].

Suppose now that  $\Lambda$  is a Rosenthal set. We apply the same reasoning as before and use the fact that  $L^{\infty}_{\Lambda}(G)$  has the Radon–Nikodým property if  $\Lambda$  is a Rosenthal set [21, Théorème 1].

In Section 5, we will give an example of a non-Rosenthal set  $\Lambda$  such that  $L^1_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of  $L^1(G)$ . In the case of translation-invariant subspaces of C(G), the previous result can be strengthened.

DEFINITION 4.10. A measure  $\mu \in M(G)$  is said to be diffuse or nonatomic if  $\mu(B) = 0$  for all countable sets  $B \subset G$ . We denote by  $M_{\text{diff}}(G)$  the set of all diffuse members of M(G). A subset  $\Lambda$  of  $\Gamma$  is called a *semi-Riesz* set if every  $\mu \in M_{\Lambda}(G)$  is diffuse.

If G is infinite, then the Haar measure on G is diffuse and every Riesz set of  $\Gamma$  is a semi-Riesz set. The set  $\{\sum_{k=0}^{n} \varepsilon_k 4^k : n \in \mathbb{N}, \varepsilon_k \in \{-1, 0, 1\}\}$  is an example of a proper semi-Riesz set [28, p. 126].

D. Werner showed that  $C_{\Gamma \setminus A^{-1}}(G)$  has the Daugavet property if  $\Lambda$  is a semi-Riesz set [28, Theorem 3.7]. Combining this result with the fact that every subset of a semi-Riesz set is still a semi-Riesz set, by Proposition 4.7 we get the following corollary.

COROLLARY 4.11. If  $\Lambda$  is a semi-Riesz set, then  $C_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of C(G).

The converse implication is also valid.

PROPOSITION 4.12. If  $C_{\Lambda}(G)$  is a rich subspace of C(G), then  $C_{\Lambda}(G)^{\perp}$  consists of diffuse measures.

Proof. Let  $[\mu]$  denote the equivalence class of  $\mu$  in  $M(G)/C_A(G)^{\perp}$ . It suffices to show the following: For every  $x \in G$ , every  $\alpha \in \mathbb{C}$ , and every  $\mu \in M(G)$  with  $\mu(\{x\}) = 0$  we have  $\|[\alpha \delta_x] + [\mu]\| = |\alpha| + \|[\mu]\|$ . Indeed, if the preceding statement is true, for every  $\mu \in C_A(G)^{\perp}$  and every  $x \in G$  we get

$$0 = \|[\mu]\| = \|[\mu(\{x\})\delta_x] + [\mu - \mu(\{x\})\delta_x]\| = |\mu(\{x\})| + \|[\mu - \mu(\{x\})\delta_x]\|.$$

Hence  $|\mu(\{x\})| = 0$  and  $\mu$  is a diffuse measure.

Fix  $x \in G$ ,  $\alpha \in \mathbb{C} \setminus \{0\}$ ,  $\mu \in M(G)$  with  $\mu(\{x\}) = 0$ , and  $\varepsilon > 0$ . Choose  $f \in S_{C_A(G)}$  with  $\operatorname{Re} \int_G f d\mu \geq \|[\mu]\| - \varepsilon$ . Since  $|\mu|$  is a regular Borel measure and f is a continuous function, there is an open neighborhood O of  $e_G$  with  $|\mu|(x+O) < \varepsilon$  and  $|f(x) - f(x+y)| < \varepsilon$  for all  $y \in O$ . As  $C_A(G)$  is a rich subspace of C(G), by Corollary 4.5 we can pick a real-valued, non-negative  $g_0 \in S_{C(G)}$  with  $g_0(x) = 1$ ,  $g_0|_{G \setminus (x+O)} = 0$ , and  $d(g_0, C_A(G)) < \varepsilon$ .

Let g be an element of  $C_A(G)$  with  $||g - g_0||_{\infty} \leq \varepsilon$ . If we set  $h_0 = f + (|\alpha|/\alpha - f(x))g_0$  and  $h = f + (|\alpha|/\alpha - f(x))g$ , then  $h \in C_A(G)$  and  $||h - h_0||_{\infty} \leq 2\varepsilon$ . Furthermore,

(4.1) 
$$\alpha h_0(x) = |\alpha|$$

and

(4.2) 
$$\operatorname{Re} \int_{G} h_{0} d\mu = \operatorname{Re} \int_{G} (f + (|\alpha|/\alpha - f(x))g_{0}) d\mu$$
$$\geq \|[\mu]\| - \varepsilon - 2 \int_{G} g_{0} d|\mu| = \|[\mu]\| - \varepsilon - 2 \int_{x+O} g_{0} d|\mu|$$
$$\geq \|[\mu]\| - \varepsilon - 2|\mu|(x+O) \geq \|[\mu]\| - 3\varepsilon.$$

Let us estimate the norm of h. For  $y \in G \setminus (x + O)$  we get

$$\begin{split} |h(y)| &= |f(y) + (|\alpha|/\alpha - f(x))g(y)| \\ &\leq \|f\|_{\infty} + 2\|g|_{G\setminus (x+O)}\|_{\infty} \leq 1 + 2\varepsilon, \end{split}$$

and for  $y \in x + O$ ,

$$\begin{aligned} |h(y)| &= |f(y) + (|\alpha|/\alpha - f(x))g(y)| \\ &\leq |f(y) - f(x)g_0(y)| + g_0(y) + 2||g - g_0||_{\infty} \\ &\leq |f(y) - f(x)| + |f(x)|(1 - g_0(y)) + g_0(y) + 2\varepsilon \\ &\leq \varepsilon + (1 - g_0(y)) + g_0(y) + 2\varepsilon = 1 + 3\varepsilon. \end{aligned}$$

Hence  $||h||_{\infty} \leq 1 + 3\varepsilon$ . Combining this estimate with (4.1) and (4.2), we get

$$(1+3\varepsilon)\|[\alpha\delta_x] + [\mu]\| \ge \left| \int_G h \, d(\alpha\delta_x + \mu) \right|$$
$$\ge \left| \int_G h_0 \, d(\alpha\delta_x + \mu) \right| - 2\varepsilon \|\alpha\delta_x + \mu\|$$
$$\ge |\alpha| + \|[\mu]\| - 3\varepsilon - 2\varepsilon \|\alpha\delta_x + \mu\|.$$

We can choose  $\varepsilon > 0$  arbitrarily small, and so  $\|[\alpha \delta_x] + [\mu]\| = |\alpha| + \|[\mu]\|$ .

Combining the last two results, we get the following characterization of the closed, translation-invariant subspaces of C(G) that are rich.

THEOREM 4.13. The space  $C_{\Lambda}(G)$  is a rich subspace of C(G) if and only if  $\Gamma \setminus \Lambda^{-1}$  is a semi-Riesz set.

A linear projection P on a Banach space X is called an *L*-projection if

$$||x|| = ||P(x)|| + ||x - P(x)|| \quad (x \in X).$$

A closed subspace of X is called an *L*-summand if it is the range of an *L*-projection. In the proof of Proposition 4.12 we showed that every Dirac measure  $\delta_x$  still has norm one and still spans an *L*-summand if we consider it as an element of  $C_A(G)^*$ . Such subspaces are called *nicely embedded* and were studied by D. Werner [28]. His proof of the fact that  $C_A(G)$  has the Daugavet property if  $\Gamma \setminus \Lambda^{-1}$  is a semi-Riesz set is as well based on the observation that then  $C_A(G)$  is nicely embedded.

278

Let us present an alternative proof of Theorem 4.13 for the case that Gis metrizable. It is based on results of V. M. Kadets and M. M. Popov [15]. We say that an operator  $T \in L(C(G), E)$  vanishes at a point  $x \in G$  and write  $x \in \operatorname{van} T$  if there exist a sequence  $(O_n)_{n \in \mathbb{N}}$  of open neighborhoods of x with diam  $O_n \to 0$  and a sequence  $(f_n)_{n \in \mathbb{N}}$  of real-valued and non-negative functions satisfying  $f_n \in S_{C(G)}$ ,  $f_n|_{G \setminus O_n} = 0$ ,  $(f_n)_{n \in \mathbb{N}}$  converges pointwise to  $\chi_{\{x\}}$ , and  $||T(f_n)|| \to 0$ . An operator T is narrow if and only if van T is dense in G [15, Lemma 1.6]. Furthermore,  $x \in \operatorname{van} T$  if and only if for any functional  $e^* \in E^*$  the point x is not an atom of the measure corresponding to  $T^*(e^*)$ [15, Lemma 1.7]. Let  $\Lambda$  be a subset of  $\Gamma$ , let  $\pi : C(G) \to C(G)/C_{\Lambda}(G)$  be the canonical quotient map, and note that

$$\operatorname{ran}(\pi^*) = C_{\Lambda}(G)^{\perp} = M_{\Gamma \setminus \Lambda^{-1}}(G).$$

If  $\Gamma \setminus \Lambda^{-1}$  is a semi-Riesz set, then every element of  $M_{\Gamma \setminus \Lambda^{-1}}(G)$  is a diffuse measure. Therefore van  $\pi = G$ , and  $\pi$  is a narrow operator. Conversely, if  $\pi$  is narrow, it is an easy consequence of Corollary 4.5 that van  $\pi = G$ . Therefore,  $M_{\Gamma \setminus \Lambda^{-1}}(G)$  must consist of diffuse measures and  $\Gamma \setminus \Lambda^{-1}$  is a semi-Riesz set.

Let  $\Lambda$  be a subset of  $\mathbb{Z}$  and let  $\lambda_1, \lambda_2, \ldots$  be an enumeration of  $\Lambda$  with  $|\lambda_1| \leq |\lambda_2| \leq \cdots$ . We say that  $\Lambda$  is *uniformly distributed* if

$$\frac{1}{n}\sum_{k=1}^{n}t^{\lambda_{k}}\to 0 \quad (t\in\mathbb{T},\,t\neq 1).$$

R. Demazeux proved that  $C_{\Lambda}(\mathbb{T})$  is a rich subspace of  $C(\mathbb{T})$  if  $\Lambda$  is uniformly distributed [4, Théorème I.1.7]. Theorem 4.13 shows that  $\mathbb{Z} \setminus (-\Lambda)$  is a semi-Riesz set if  $\Lambda$  is uniformly distributed.

THEOREM 4.14. If  $L^1_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of  $L^1(G)$ , then  $\Lambda$  is a semi-Riesz set.

*Proof.* The proof is based on arguments used by G. Godefroy, N. J. Kalton, and D. Li [8, Proposition III.10] and N. J. Kalton [19, Theorem 5.4].

Suppose that  $\Lambda$  is not a semi-Riesz set. We will show that  $L^1_{\Gamma \setminus \Lambda^{-1}}(G)$  is not a rich subspace of  $L^1(G)$ .

Let  $\mu \in M_A(G)$  be a non-diffuse measure and assume that  $\mu(\{e_G\}) = 1$ , i.e.,  $\mu = \delta_{e_G} + \nu$  with  $\nu(\{e_G\}) = 0$ . (If  $\mu$  is not of this form, fix  $x \in G$ with  $\mu(\{x\}) \neq 0$  and consider the measure  $\mu(\{x\})^{-1}(\mu * \delta_{-x}) \in M_A(G)$ .) Let  $R, S, T : L^1(G) \to L^1(G)$  be the convolution operators defined by  $R(f) = \mu * f, S(f) = \nu * f, \text{ and } T(f) = |\nu| * f$ . Note that R = Id + S. Recall that for every  $\lambda \in M(G)$  and  $f \in L^1(G)$  we have

$$(\lambda * f)(x) = \int_{G} f(x - y) d\lambda(y)$$

for *m*-almost all  $x \in G$  [10, Theorem V.20.12]. Therefore,

$$||S(\chi_E)||_1 \le ||T(\chi_E)||_1 \quad (E \in \mathcal{B}(G)).$$

We will first show that there exists  $A \in \mathcal{B}(G)$  with m(A) > 0 such that  $R|_{L^1(A)}$  is an isomorphism onto its image. (We write  $L^1(A)$  for the subspace  $\{f \in L^1(G) : \chi_A f = f\}$ .) Since  $\nu(\{e_G\}) = 0$ , we can choose a sequence  $(O_n)_{n \in \mathbb{N}}$  of open neighborhoods of  $e_G$  with  $|\nu|(O_n) \to 0$ . For each  $n \in \mathbb{N}$ , use Lemma 2.1 to find a covering of G by disjoint Borel sets  $B_{n,1}, \ldots, B_{n,N_n}$  with  $B_{n,k} - B_{n,k} \subset O_n$  for  $k = 1, \ldots, N_n$ . For every  $n \in \mathbb{N}$  set

$$R_n = \sum_{k=1}^{N_n} P_{B_{n,k}} R P_{B_{n,k}}, \quad S_n = \sum_{k=1}^{N_n} P_{B_{n,k}} S P_{B_{n,k}}, \quad T_n = \sum_{k=1}^{N_n} P_{B_{n,k}} T P_{B_{n,k}},$$

where for every  $E \in \mathcal{B}(G)$ ,  $P_E$  denotes the projection from  $L^1(G)$  onto  $L^1(E)$ defined by  $P_E(f) = \chi_E f$ . For every  $n \in \mathbb{N}$  let  $\rho_n$  be the map defined by

$$\rho_n(E) = \|T_n(\chi_E)\|_1 \quad (E \in \mathcal{B}(G)).$$

Since  $T_n$  is continuous and maps positive functions to positive functions, it is a consequence of the monotone convergence theorem that  $\rho_n$  is a positive Borel measure on G. Every  $\rho_n$  is absolutely continuous with respect to the Haar measure m and has Radon–Nikodým derivative  $\omega_n$ . For each  $n \in \mathbb{N}$ , we get

$$\rho_n(G) = \|T_n(\chi_G)\|_1 = \sum_{k=1}^{N_n} \int_{B_{n,k}} T(\chi_{B_{n,k}})(x) \, dm(x)$$
  
$$= \sum_{k=1}^{N_n} \int_{B_{n,k}} \int_G \chi_{B_{n,k}}(x-y) \, d|\nu|(y) \, dm(x)$$
  
$$= \sum_{k=1}^{N_n} \int_{B_{n,k}} |\nu|(x-B_{n,k}) \, dm(x)$$
  
$$\leq \sum_{k=1}^{N_n} \int_{B_{n,k}} |\nu|(B_{n,k}-B_{n,k}) \, dm(x) \leq |\nu|(O_n).$$

Therefore,  $\rho_n(G) \to 0$ , and in particular  $\omega_n \to 0$  in *m*-measure. So there exists a Borel set  $B_0$  of G with  $m(B_0) > 0$  and  $n_0 \in \mathbb{N}$  satisfying

$$\omega_{n_0}(x) \le 1/2 \quad (x \in B_0).$$

Consequently,  $||S_{n_0}(\chi_E)||_1 \leq ||T_{n_0}(\chi_E)||_1 \leq \frac{1}{2}m(E)$  for all Borel sets  $E \subset B_0$ , and  $||S_{n_0}|_{L^1(B_0)}|| \leq 1/2$ . Therefore  $(\mathrm{Id} + S_{n_0})|_{L^1(B_0)} = R_{n_0}|_{L^1(B_0)}$  is an isomorphism onto its image. Fix  $k_0 \in \{1, \ldots, N_{n_0}\}$  with  $m(B_0 \cap B_{n_0,k_0}) > 0$  and set  $A = B_0 \cap B_{n_0,k_0}$ . Then  $R|_{L^1(A)}$  is an isomorphism onto its image because  $||R_{n_0}(f)||_1 \leq ||R(f)||_1$  for all  $f \in L^1(G)$ .

We will now finish the proof by showing that  $L^1_{\Gamma \setminus A^{-1}}(G)$  is not a rich subspace of  $L^1(G)$ . Let  $\pi : L^1(G) \to L^1(G)/\ker(R)$  be the canonical quotient map and let  $\tilde{R} : L^1(G)/\ker(R) \to L^1(G)$  be a bounded operator with  $R = \tilde{R} \circ \pi$ . Since  $R|_{L^1(A)}$  is an isomorphism,  $\pi|_{L^1(A)}$  is bounded from below. By Proposition 4.4,  $\pi$  cannot be a narrow operator. Then  $L^1_{\Gamma \setminus A^{-1}}(G)$  is contained in  $\ker(R)$ , and is therefore not a rich subspace of  $L^1(G)$ .

COROLLARY 4.15. If  $L^1_{\Lambda}(G)$  is a rich subspace of  $L^1(G)$ , then  $C_{\Lambda}(G)$  is a rich subspace of C(G).

The space  $C_{\mathbb{N}}(\mathbb{T})$  is a rich subspace of  $C(\mathbb{T})$ , but  $L^{1}_{\mathbb{N}}(\mathbb{T})$  has the Radon– Nikodým property and therefore not the Daugavet property. So the converse of Corollary 4.15 is not true.

5. Products of compact abelian groups. Let  $G_1$  and  $G_2$  be compact abelian groups with normalized Haar measures  $m_1$  and  $m_2$ . The direct product  $G = G_1 \times G_2$  is again a compact abelian group if we endow it with the product topology. If  $f: G_1 \to \mathbb{C}$  and  $g: G_2 \to \mathbb{C}$ , we denote by  $f \otimes g$ the function  $(x, y) \mapsto f(x)g(y)$ . The dual group of G can now be identified with  $\Gamma_1 \times \Gamma_2$  because every  $\gamma \in \Gamma$  is of the form  $\gamma_1 \otimes \gamma_2$  with  $\gamma_1 \in \Gamma_1$  and  $\gamma_2 \in \Gamma_2$  [25, Theorem 2.2.3]. Furthermore, the Haar measure on G coincides with the product measure  $m_1 \times m_2$  [10, Example IV.15.17(i)].

PROPOSITION 5.1. Let  $G_1$  be an infinite, compact abelian group, let  $G_2$  be an arbitrary compact abelian group, let  $\Lambda_1$  be a subset of  $\Gamma_1$ , and let  $\Lambda_2$  be a subset of  $\Gamma_2$ .

- (a) Suppose that  $C_{\Lambda_1}(G_1)$  is a rich subspace of  $C(G_1)$  and  $C_{\Lambda_2}(G_2)$  is a rich subspace of  $C(G_2)$  (or, if  $G_2$  is finite, that  $\Lambda_2 = \Gamma_2$ ). Then  $C_{\Lambda_1 \times \Lambda_2}(G_1 \times G_2)$  is a rich subspace of  $C(G_1 \times G_2)$ .
- (b) Suppose that  $C_{\Lambda_1}(G_1)$  is a rich subspace of  $C(G_1)$  and  $\Lambda_2$  is nonempty. Then  $C_{\Lambda_1 \times \Lambda_2}(G_1 \times G_2)$  has the Daugavet property.

*Proof.* Set  $G = G_1 \times G_2$  and  $\Lambda = \Lambda_1 \times \Lambda_2$ .

We start with part (a). Let O be a non-empty open set of G, and  $\varepsilon > 0$ . By Proposition 4.3, we have to find  $f \in S_{C(G)}$  with  $f|_{G \setminus O} = 0$  and  $d(f, C_A(G)) \leq \varepsilon$ .

Pick non-empty open sets  $O_1 \subset G_1$  and  $O_2 \subset G_2$  with  $O_1 \times O_2 \subset O$ , and  $\delta > 0$  with  $2\delta + \delta^2 \leq \varepsilon$ . By assumption, there exist  $f_k \in S_{C(G_k)}$  and  $g_k \in T_{A_k}(G_k)$  with  $f_k|_{G_k \setminus O_k} = 0$  and  $||f_k - g_k||_{\infty} \leq \delta$  for k = 1, 2. If we set  $f = f_1 \otimes f_2$  and  $g = g_1 \otimes g_2$ , then  $f \in S_{C(G)}$ ,  $g \in T_A(G)$ , and  $f|_{G \setminus O} = 0$ . Furthermore,

$$d(f, C_A(G)) \le \|f - g\|_{\infty} \le \|f_1\|_{\infty} \|f_2 - g_2\|_{\infty} + \|g_2\|_{\infty} \|f_1 - g_1\|_{\infty}$$
  
$$\le \delta + (1 + \delta)\delta \le \varepsilon.$$

Let us now consider part (b). The space  $C_{\Gamma_1 \times \Lambda_2}(G)$  can canonically be identified with  $C(G_1, C_{\Lambda_2}(G_2))$ , the space of all continuous functions from  $G_1$ into  $C_{\Lambda_2}(G_2)$ , and has therefore the Daugavet property [13, Theorem 4.4]. We will prove that  $C_{\Lambda}(G)$  is a rich subspace of  $C_{\Gamma_1 \times \Lambda_2}(G)$ . For this, it is sufficient to show that for every non-empty open set O of  $G_1$ , every  $g \in T_{\Lambda_2}(G_2)$  with  $\|g\|_{\infty} = 1$ , and every  $\varepsilon > 0$ , there exists  $f \in S_{C(G_1)}$  with  $f|_{G_1 \setminus O} = 0$  and  $d(f \otimes g, C_{\Lambda}(G)) \leq \varepsilon$  [1, Proposition 4.3(a)]. Since  $C_{\Lambda_1}(G_1)$  is a rich subspace of  $C(G_1)$ , there exist  $f \in S_{C(G_1)}$  and  $h \in T_{\Lambda_1}(G_1)$  with  $f|_{G_1 \setminus O} = 0$  and  $\|f - h\|_{\infty} \leq \varepsilon$ . Then  $h \otimes g \in T_{\Lambda}(G)$  and

$$d(f \otimes g, C_A(G)) \le \|f \otimes g - h \otimes g\|_{\infty} \le \|f - h\|_{\infty} \|g\|_{\infty} \le \varepsilon. \bullet$$

PROPOSITION 5.2. Let G be the product of two compact abelian groups  $G_1$ and  $G_2$  and denote by p the projection from  $\Gamma = \Gamma_1 \times \Gamma_2$  onto  $\Gamma_1$ . If  $C_A(G)$ is a rich subspace of C(G), then  $C_{p[A]}(G_1)$  is a rich subspace of  $C(G_1)$  (or  $p[\Lambda] = \Gamma_1$  if  $G_1$  is finite).

*Proof.* Let O be a non-empty open set of  $G_1$ , and  $\varepsilon > 0$ . By Proposition 4.3, we have to find  $f \in S_{C(G_1)}$  with  $f|_{G_1 \setminus O} = 0$  and  $d(f, C_{p[\Lambda]}(G_1)) \leq \varepsilon$ . (Note that this is sufficient in the case of finite  $G_1$  as well.)

Since  $C_A(G)$  is a rich subspace of C(G), there exist  $f_0 \in S_{C(G)}$  and  $g_0 \in T_A(G)$  with  $f_0|_{G \setminus (O \times G_2)} = 0$  and  $||f_0 - g_0||_{\infty} \leq \varepsilon$ . Fix  $(x_0, y_0) \in G$  with  $|f_0(x_0, y_0)| = 1$ . Setting  $f = f_0(\cdot, y_0)$  and  $g = g_0(\cdot, y_0)$ , we get  $f \in S_{C(G_1)}$ ,  $g \in T_{p[A]}(G_1)$ , and  $f|_{G_1 \setminus O} = 0$ . Finally,

$$d(f, C_{p[\Lambda]}(G_1)) \le \|f - g\|_{\infty} \le \|f_0 - g_0\|_{\infty} \le \varepsilon. \blacksquare$$

PROPOSITION 5.3. Let  $G_1$  and  $G_2$  be infinite compact abelian groups, let  $\Lambda_1$  be a subset of  $\Gamma_1$ , and let  $\Lambda_2$  be a subset of  $\Gamma_2$ .

- (a) If  $L^1_{\Lambda_2}(G_2)$  is a rich subspace of  $L^1(G_2)$ , then  $L^1_{\Gamma_1 \times \Lambda_2}(G_1 \times G_2)$  is a rich subspace of  $L^1(G_1 \times G_2)$ .
- (b) Suppose that  $L^1_{\Lambda_1}(G_1)$  is a rich subspace of  $L^1(G_1)$  and  $\Lambda_2$  is nonempty. Then  $L^1_{\Lambda_1 \times \Lambda_2}(G_1 \times G_2)$  has the Daugavet property.

*Proof.* Set  $G = G_1 \times G_2$  and  $\Lambda = \Lambda_1 \times \Lambda_2$ .

We start with part (a). The space  $L^1(G)$  can canonically be identified with the Bochner space  $L^1(G_1, L^1(G_2))$ , and  $L^1_{\Gamma_1 \times \Lambda_2}(G)$  with the subspace  $L^1(G_1, L^1_{\Lambda_2}(G_2))$ . Since  $L^1_{\Lambda_2}(G_2)$  is a rich subspace of  $L^1(G_2)$ , the space  $L^1(G_1, L^1_{\Lambda_2}(G_2))$  is rich in  $L^1(G_1, L^1(G_2))$  [14, Lemma 2.8]. Let us now consider part (b). Identifying again  $L^1_{\Gamma_1 \times \Lambda_2}(G)$  with the Bochner space  $L^1(G_1, L^1_{\Lambda_2}(G_2))$ , we see that  $L^1_{\Gamma_1 \times \Lambda_2}(G)$  has the Daugavet property [17, Example after Theorem 2.3]. We will show that  $L^1_{\Lambda}(G)$  is a rich subspace of  $L^1_{\Gamma_1 \times \Lambda_2}(G)$ . For this, for every Borel set A of  $G_1$ , every  $g \in T_{\Lambda_2}(G_2)$  with  $||g||_1 = 1$ , and every  $\delta, \varepsilon > 0$  it is sufficient to find a balanced  $\varepsilon$ -peak f on A with  $d(f \otimes g, L^1_{\Lambda}(G)) \leq \delta$  [2, Theorem 2.4]. Since  $L^1_{\Lambda_1}(G_1)$  is a rich subspace of  $L^1(G_1)$ , there exist a balanced  $\varepsilon$ -peak f on Aand  $h \in T_{\Lambda_1}(G_1)$  with  $||f - h||_1 \leq \delta$ . Then  $h \otimes g \in T_{\Lambda}(G)$  and

$$d(f \otimes g, L^{1}_{A}(G)) \leq \|f \otimes g - h \otimes g\|_{1} = \|f - h\|_{1}\|g\|_{1} \leq \delta.$$

PROPOSITION 5.4. Let G be the product of two compact abelian groups  $G_1$  and  $G_2$ , and denote by p the projection from  $\Gamma = \Gamma_1 \times \Gamma_2$  onto  $\Gamma_1$ . If  $L^1_{\Lambda}(G)$  is a rich subspace of L(G), then  $L^1_{p[\Lambda]}(G_1)$  is a rich subspace of  $L^1(G_1)$  (or  $p[\Lambda] = \Gamma_1$  if  $G_1$  is finite).

*Proof.* If  $p[\Lambda] = \Gamma_1$ , we have nothing to show. So let us assume that there exists  $\gamma \in \Gamma_1 \setminus p[\Lambda]$ . Set  $\vartheta = \overline{\gamma} \otimes \mathbf{1}_{G_2}$  and  $\Theta = \vartheta \Lambda$ . The map  $f \mapsto \vartheta f$  is an isometry from  $L^1(G)$  onto  $L^1(G)$  and maps  $L^1_{\Lambda}(G)$  onto  $L^1_{\Theta}(G)$ . Analogously, the map  $f \mapsto \overline{\gamma}f$  is an isometry from  $L^1(G_1)$  onto  $L^1(G_1)$  and maps  $L^1_{p[\Lambda]}(G_1)$  onto  $L^1_{\overline{\gamma}p[\Lambda]}(G_1)$ . Note that

$$\overline{\gamma}p[\Lambda] = p[(\overline{\gamma}, \mathbf{1}_{G_2})\Lambda] = p[\Theta]$$

and  $\mathbf{1}_{G_1} \notin \overline{\gamma}p[\Lambda]$ . Taking into account that  $L^1_{\Lambda}(G)$  is a rich subspace of  $L^1(G)$  if and only if  $L^1_{\Theta}(G)$  is a rich subspace of  $L^1(G)$  and that  $L^1_{p[\Lambda]}(G_1)$  is a rich subspace of  $L^1(G_1)$  if and only if  $L^1_{p[\Theta]}(G_1)$  is a rich subspace of  $L^1(G_1)$ , we may assume that  $\mathbf{1}_{G_1} \notin p[\Lambda]$ .

Fix a Borel subset A of  $G_1$  and  $\delta, \varepsilon > 0$ . By Proposition 4.4, we have to find a balanced  $\varepsilon$ -peak f on A with  $d(f, L^1_{p[A]}(G_1)) \leq \delta$ . By assumption,  $L^1_A(G)$  is a rich subspace of  $L^1(G)$ , and therefore there exist a balanced  $(\varepsilon/3)$ -peak  $f_0$  on  $A \times G_2$  and  $g \in T_A(G)$  with  $||f_0 - g||_1 \leq \delta/6$ . Set

$$B = \{ y \in G_2 : m_1(\{f_0(\cdot, y) = -1\}) > m_1(A) - \varepsilon \},\$$
  
$$C = \{ y \in G_2 : \|f_0(\cdot, y) - g(\cdot, y)\|_1 \le \delta/2 \}.$$

Note we may assume that B and C are measurable [10, Theorem III.13.8]. We then get

$$m_1(A) - \varepsilon/3 \le m(\{f_0 = -1\}) = \int_{G_2} \int_{G_1} \chi_{\{f_0 = -1\}}(x, y) \, dm_1(x) \, dm_2(y)$$
  
= 
$$\int_{G_2} m_1(\{f_0(\cdot, y) = -1\}) \, dm_2(y)$$
  
$$\le m_2(B)m_1(A) + (1 - m_2(B))(m_1(A) - \varepsilon)$$
  
= 
$$m_1(A) + m_2(B)\varepsilon - \varepsilon$$

and

$$\frac{\delta}{6} \ge \|f_0 - g\|_1 = \int_{G_2} \|f_0(\cdot, y) - g(\cdot, y)\|_1 \, dm_2(y)$$
$$\ge \frac{\delta}{2} (1 - m_2(C)).$$

Hence  $m_2(B) \ge 2/3$  and  $m_2(C) \ge 2/3$ . Therefore  $B \cap C \neq \emptyset$  and we can choose  $y_0 \in B \cap C$ .

Let us gather the properties of  $f_0(\cdot, y_0) \in L^1(G_1)$ . It is clear that  $f_0(\cdot, y_0)$  is real-valued,  $f_0(\cdot, y_0) \geq -1$ , and  $\chi_A f_0(\cdot, y_0) = f_0(\cdot, y_0)$ . As  $y_0$  belongs to B and C, we have  $m_1(\{f_0(\cdot, y_0) = -1\}) > m_1(A) - \varepsilon$  and  $\|f_0(\cdot, y_0) - g(\cdot, y_0)\|_1 \leq \delta/2$ . The function  $g(\cdot, y_0)$  belongs to  $T_{p[A]}(G)$  and  $\mathbf{1}_{G_1} \notin p[A]$ . So  $\int_{G_1} g(x, y_0) dm_1(x) = 0$  and  $|\int_{G_1} f_0(x, y_0) dm_1(x)| \leq \delta/2$ . Modifying  $f_0(\cdot, y_0)$  a little bit, we get a balanced  $\varepsilon$ -peak f on A with  $\|f - g(\cdot, y_0)\|_1 \leq \delta$ .

Set  $\Lambda = \mathbb{Z} \times \{0\}$ . Then  $\Lambda$  is not a Rosenthal set because  $C_{\Lambda}(\mathbb{T}^2) \cong C(\mathbb{T})$ contains a copy of  $c_0$  [22, Proof of Theorem 3]. But  $\mathbb{Z}^2 \setminus (-\Lambda) = \mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$ and  $L^1_{\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})}(\mathbb{T}^2)$  is a rich subspace of  $L^1(\mathbb{T}^2)$  by Proposition 5.3(a). So the converse of Proposition 4.9 is not true.

Let us come back to examples of translation-invariant subspaces that have the Daugavet property but are not rich. The examples mentioned in Section 4 are of the following type: We take a one-to-one homomorphism  $H: \Gamma \to \Gamma$  that is not onto. Then  $C_{H[\Gamma]}(G)$  and  $L^1_{H[\Gamma]}(G)$  have the Daugavet property but are not rich subspaces of C(G) or  $L^1(G)$ . In this case  $\bigcap_{\gamma \in H[\Gamma]} \ker(\gamma)$  contains  $\ker(H^*) \neq \{e_G\}$ . Set  $\Lambda = \mathbb{Z} \times \{1\}$ . Using Propositions 5.1(b) and 5.3(b), we see that  $C_{\Lambda}(\mathbb{T}^2)$  and  $L^1_{\Lambda}(\mathbb{T}^2)$  have the Daugavet property. But they are not rich subspaces of  $C(\mathbb{T}^2)$  or  $L^1(\mathbb{T}^2)$  by Propositions 5.2 and 5.4. Furthermore,  $\bigcap_{\gamma \in \Lambda} \ker(\gamma) = \{(1,1)\}$ .

6. Quotients with respect to translation-invariant subspaces. We will now study quotients of the form  $C(G)/C_{\Lambda}(G)$  and  $L^{1}(G)/L_{\Lambda}^{1}(G)$ . The following lemma is the key ingredient for all results of this section.

LEMMA 6.1. If we interpret  $f \in C(G)$  as a functional on M(G), then

$$||f|_{L^1_A(G)}|| = ||f|_{M_A(G)}||.$$

Analogously, if we interpret  $g \in L^1(G)$  as a functional on  $L^{\infty}(G)$ , then

$$||g|_{C_A(G)}|| = ||g|_{L^{\infty}_A(G)}||.$$

*Proof.* We will just show the first statement. The proof of the second statement works the same way.

284

It is clear that  $||f|_{L^1_A(G)}|| \leq ||f|_{M_A(G)}||$  because  $L^1_A(G) \subset M_A(G)$ . In order to prove the reverse inequality, we may assume without loss of generality that  $||f|_{M_A(G)}|| = 1$ . Fix  $\varepsilon > 0$  and an approximate unit  $(v_j)_{j \in J}$  of  $L^1(G)$  that has the properties listed in Proposition 2.2. Pick  $\mu \in M_A(G)$  with  $||\mu|| = 1$  and  $|\int_G f d\mu| \geq 1 - \varepsilon/2$ . Using  $\hat{v}_j(\gamma) \to 1$  for every  $\gamma \in \Gamma$ , we can deduce that

$$\int_{G} g \, d(\mu * v_j) \to \int_{G} g \, d\mu$$

for every  $g \in T(G)$ . So  $\mu$  is the weak\*-limit of  $(\mu * v_j)_{j \in J}$  because T(G) is dense in C(G). Fix  $j_0 \in J$  with  $|\int_G f d(\mu * v_{j_0})| \ge 1 - \varepsilon$ . Since  $\mu * v_{j_0} \in L^1_A(G)$  and  $\|\mu * v_{j_0}\|_1 \le 1$ , we have  $\|f|_{L^1_A(G)}\| \ge 1 - \varepsilon$ . As  $\varepsilon > 0$  was arbitrarily chosen, this finishes the proof.

THEOREM 6.2. If  $L^1_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of  $L^1(G)$ , then the quotient  $C(G)/C_{\Lambda}(G)$  has the Daugavet property.

*Proof.* Note that  $C_{\Lambda}(G)^{\perp} = M_{\Gamma \setminus \Lambda^{-1}}(G)$  because  $T_{\Lambda}(G)$  is dense in  $C_{\Lambda}(G)$ . We can therefore identify the dual space of  $C(G)/C_{\Lambda}(G)$  with  $M_{\Gamma \setminus \Lambda^{-1}}(G)$ .

Fix  $[f] \in C(G)/C_A(G)$  with ||[f]|| = 1,  $\mu \in M_{\Gamma \setminus A^{-1}}(G)$  with  $||\mu|| = 1$ , and  $\varepsilon > 0$ . By Lemma 2.3, we have to find  $\nu \in M_{\Gamma \setminus A^{-1}}(G)$  with  $||\nu|| = 1$ , Re  $\int_G f \, d\nu \ge 1 - \varepsilon$ , and  $||\mu + \nu|| \ge 2 - \varepsilon$ . Let  $\mu = \mu_s + g \, dm$  be the Lebesgue decomposition of  $\mu$  where  $\mu_s$  and m are singular and  $g \in L^1(G)$ .

If we interpret f as a functional on M(G), then by Lemma 6.1 we have

$$\|f|_{L^{1}_{\Gamma \setminus \Lambda^{-1}}(G)}\| = \|f|_{M_{\Gamma \setminus \Lambda^{-1}}(G)}\| = 1.$$

 $L^1_{\Gamma \setminus A^{-1}}(G)$  is a rich subspace of  $L^1(G)$ , and so by Proposition 4.2 there exists a function  $h \in L^1_{\Gamma \setminus A^{-1}}(G)$  with  $\|h\|_1 = 1$ ,  $\operatorname{Re} \int_G fh \, dm \ge 1 - \varepsilon$ , and  $\|g/\|g\|_1 + h\|_1 \ge 2 - \varepsilon$ . Setting  $\nu = h \, dm$ , we therefore get

$$\begin{aligned} \|\mu + \nu\| &= \|\mu_s\| + \|g + h\|_1 \\ &= \|\mu_s\| + \|g/\|g\|_1 + h - (1 - \|g\|_1)g/\|g\|_1\|_1 \\ &\geq \|\mu_s\| + \|g/\|g\|_1 + h\|_1 - (1 - \|g\|_1) \\ &\geq \|\mu_s\| + (2 - \varepsilon) - (1 - \|g\|_1) \\ &= \|\mu\| + 1 - \varepsilon = 2 - \varepsilon. \quad \bullet \end{aligned}$$

COROLLARY 6.3. If  $\Lambda$  is a Rosenthal set, then  $C(G)/C_{\Lambda}(G)$  has the Daugavet property.

THEOREM 6.4. If  $C_{\Gamma \setminus \Lambda^{-1}}(G)$  is a rich subspace of C(G), then the quotient  $L^1(G)/L^1_{\Lambda}(G)$  has the Daugavet property.

*Proof.* Let us begin as in the proof of Theorem 6.2. We can identify the dual space of  $L^1(G)/L^1_A(G)$  with  $L^{\infty}_{\Gamma \setminus A^{-1}}(G)$ , because  $T_A(G)$  is dense in  $L^1_A(G)$ , and therefore  $L^1_A(G)^{\perp} = L^{\infty}_{\Gamma \setminus A^{-1}}(G)$ . Fix  $[f] \in L^1(G)/L^1_{\Lambda}(G)$  with ||[f]|| = 1,  $g \in L^{\infty}_{\Gamma \setminus \Lambda^{-1}}(G)$  with  $||g||_{\infty} = 1$ , and  $\varepsilon > 0$ . By Lemma 2.3, we have to find  $h \in L^{\infty}_{\Gamma \setminus \Lambda^{-1}}(G)$  with  $||h||_{\infty} = 1$ ,  $\operatorname{Re} \int_G fh \, dm \ge 1 - \varepsilon$ , and  $||g + h||_{\infty} \ge 2 - \varepsilon$ .

Choose  $\delta \in (0, 1)$  with  $(1 - 5||f||_1 \delta)/(1 + 3\delta) \ge 1 - \varepsilon/2$ ,  $\eta > 0$  such that  $\int_A |f| \, dm \le \delta$  for all  $A \in \mathcal{B}(G)$  with  $m(A) \le \eta$ , and  $t \in \mathbb{T}$  with

$$m(\{\operatorname{Re}(t^{-1}g) \ge 1 - \varepsilon/2\}) > 0.$$

If we interpret f as a functional on  $L^{\infty}(G)$ , then by Lemma 6.1 we have

$$\|f|_{C_{\Gamma \setminus \Lambda^{-1}}(G)}\| = \|f|_{L^{\infty}_{\Gamma \setminus \Lambda^{-1}}(G)}\| = 1$$

Pick  $h_0 \in C_{\Gamma \setminus A^{-1}}(G)$  with  $||h_0||_{\infty} = 1$  and  $\operatorname{Re} \int_G fh_0 \, dm \ge 1 - \delta$ . Since  $h_0$  is uniformly continuous, there exists an open neighborhood O of  $e_G$  with

$$|h_0(x) - h_0(y)| \le \delta \quad (x - y \in O)$$

and  $m(O) \leq \eta$ . By assumption,  $C_{\Gamma \setminus A^{-1}}(G)$  is a rich subspace of C(G), and so by Corollary 4.5 there exist a real-valued non-negative  $p_0 \in S_{C(G)}$  with  $p_0|_{G \setminus O} = 0$  and  $p_0(e_G) = 1$  and  $p \in C_{\Gamma \setminus A^{-1}}(G)$  with  $\|p_0 - p\|_{\infty} \leq \delta$ . Then  $V = \{p_0 > 1 - \delta\}$  is an open neighborhood of  $e_G$  and  $V \subset O$ . An easy compactness argument shows that there exists  $x_0 \in G$  with

$$m(\{x \in x_0 + V : \operatorname{Re}(t^{-1}g(x)) \ge 1 - \varepsilon/2\}) > 0.$$

If we set

$$h_1 = h_0 + (t - h_0(x_0))p_{x_0}$$
 and  $h = h_1/||h_1||_{\infty}$ ,

then h is normalized and belongs by construction to  $C_{\Gamma \setminus \Lambda^{-1}}(G)$ . Let us estimate the norm of  $h_1$ . For  $x \in G \setminus (x_0 + O)$  we get

$$|h_1(x)| = |h_0(x) + (t - h_0(x_0))p(x - x_0)| \le ||h_0||_{\infty} + 2||p|_{G \setminus O}||_{\infty} \le 1 + 2\delta,$$

and for  $x \in x_0 + O$ ,

$$\begin{aligned} |h_1(x)| &= |h_0(x) + (t - h_0(x_0))p(x - x_0)| \\ &\leq |h_0(x) - h_0(x_0)p_0(x - x_0)| + p_0(x - x_0) + 2||p - p_0||_{\infty} \\ &\leq |h_0(x) - h_0(x_0)| + |h_0(x_0)|(1 - p_0(x - x_0)) + p_0(x - x_0) + 2\delta \\ &\leq \delta + (1 - p_0(x - x_0)) + p_0(x - x_0) + 2\delta = 1 + 3\delta. \end{aligned}$$

Consequently,  $||h_1||_{\infty} \leq 1 + 3\delta$ . Let us check that h is as desired. We first observe that

$$\operatorname{Re} \int_{G} fh_{1} dm \geq \operatorname{Re} \int_{G} fh_{0} dm - 2 \int_{G} |fp_{x_{0}}| dm$$
$$\geq (1 - \delta) - 2 \int_{x_{0} + O} |f| dm - 2 ||f||_{1} ||p_{0} - p||_{\infty}$$
$$\geq (1 - \delta) - 2\delta - 2 ||f||_{1} \delta = 1 - (3 + 2 ||f||_{1}) \delta.$$

Therefore,  $\operatorname{Re} \int_G fh \, dm \ge 1 - \varepsilon$  by our choice of  $\delta$ . If  $x \in x_0 + V$ , we get  $\operatorname{Re}(t^{-1}h_1(x)) \ge \operatorname{Re}(t^{-1}h_0(x)) + \operatorname{Re}(1 - t^{-1}h_0(x_0))p_0(x - x_0) - 2||p_0 - p||_{\infty}$   $\ge \operatorname{Re}(t^{-1}h_0(x)) + \operatorname{Re}(1 - t^{-1}h_0(x_0))(1 - \delta) - 2\delta$  $\ge 1 - 3\delta - |h_0(x) - h_0(x_0)| \ge 1 - 4\delta$ ,

and hence  $\operatorname{Re}(t^{-1}h(x)) \geq 1 - \varepsilon/2$  by our choice of  $\delta$ . Thus

$$m(\{|g+h| \ge 2 - \varepsilon\}) \ge m(\{\operatorname{Re}(t^{-1}(g+h)) \ge 2 - \varepsilon\})$$
  
$$\ge m(\{\operatorname{Re}(t^{-1}g) \ge 1 - \varepsilon/2\} \cap \{\operatorname{Re}(t^{-1}h) \ge 1 - \varepsilon/2\})$$
  
$$\ge m(\{\operatorname{Re}(t^{-1}g) \ge 1 - \varepsilon/2\} \cap (x_0 + V)) > 0$$

and  $||g+h||_{\infty} \ge 2-\varepsilon$ .

COROLLARY 6.5. If  $\Lambda$  is a semi-Riesz set, then  $L^1(G)/L^1_{\Lambda}(G)$  has the Daugavet property.

7. Poor subspaces of  $L^1(G)$ . In Section 6, we have seen some cases in which the quotient space  $L^1(G)/L^1_A(G)$  has the Daugavet property. Recall that a closed subspace Y of a Banach space X with the Daugavet property is rich if and only if every closed subspace Z of X with  $Y \subset Z \subset X$  has the Daugavet property. A similar notion for quotients of X was introduced by V. M. Kadets, V. Shepelska, and D. Werner [16].

DEFINITION 7.1. Let X be a Banach space with the Daugavet property. A closed subspace Y of X is called *poor* if X/Z has the Daugavet property for every closed subspace  $Z \subset Y$ .

The poor subspaces of a Banach space with the Daugavet property can be described using a generalized concept of narrow operators [16]. In the case of  $L^1(\Omega, \Sigma, \mu)$  this leads to the following characterization [16, Corollary 6.6]:

PROPOSITION 7.2. Let  $(\Omega, \Sigma, \mu)$  be a non-atomic probability space. A subspace X of  $L^1(\Omega)$  is poor if and only if for every  $A \in \Sigma$  of positive measure and every  $\varepsilon > 0$  there exists  $f \in S_{L^{\infty}(\Omega)}$  with  $\chi_A f = f$  and  $\|f\|_X \| \leq \varepsilon$ , where we interpret f as a functional on  $L^1(\Omega)$ .

Using this characterization, we can build a link to a property that was studied by G. Godefroy, N. J. Kalton, and D. Li [8]. In the following,  $(\Omega, \Sigma, \mu)$ denotes a non-atomic probability space and P the natural projection from  $L^1(\Omega)^{**}$  onto  $L^1(\Omega)$ . For every  $A \in \Sigma$ , we write  $L^1(A)$  for the subspace  $\{f \in L^1(\Omega) : \chi_A f = f\}$  and  $P_A$  for the projection from  $L^1(\Omega)$  onto  $L^1(A)$ defined by  $P_A(f) = \chi_A f$ .

DEFINITION 7.3. A closed subspace X of  $L^1(\Omega)$  is said to be *small* if there is no  $A \in \Sigma$  of positive measure such that  $P_A$  maps X onto  $L^1(A)$ .

## S. Lücking

If X is a poor subspace of  $L^1(\Omega)$ , then X is small [16, Corollary 6.7]. The converse is valid too.

PROPOSITION 7.4. If X is a small subspace of  $L^1(\Omega)$ , then X is a poor subspace of  $L^1(\Omega)$ .

*Proof.* Fix  $A \in \Sigma$  with  $\mu(A) > 0$  and  $\varepsilon > 0$ . By Proposition 7.2, we have to find  $f \in S_{L^{\infty}(\Omega)}$  with  $\chi_A f = f$  and  $||f|_X || \le \varepsilon$ .

Since X is small, the projection  $P_A : L^1(\Omega) \to L^1(A)$  does not map X onto  $L^1(A)$ . By the (proof of the) open mapping theorem,  $P_A[\varepsilon^{-1}B_X]$  is nowhere dense in  $L^1(A)$ . Pick  $g \in B_{L^1(A)}$  with  $g \notin \overline{P_A[\varepsilon^{-1}B_X]}$ . The set  $\overline{P_A[\varepsilon^{-1}B_X]}$  is absolutely convex, and so by the Hahn–Banach theorem there exists a function  $f \in S_{L^\infty(A)}$  with

$$\sup\left\{\left|\int_{A} fh \, d\mu\right| : h \in \frac{1}{\varepsilon} B_X\right\} \le \operatorname{Re} \int_{A} fg \, d\mu.$$

Using this inequality, we get

$$\|f|_X\| = \sup\left\{\left|\int_A fh \, d\mu\right| : h \in B_X\right\} \le \varepsilon \operatorname{Re} \int_A fg \, d\mu \le \varepsilon. \quad \bullet$$

An important tool in the study of small subspaces is the topology of convergence in measure.

Definition 7.5.

- (a) A subspace X of  $L^1(\Omega)$  is called *nicely placed* if  $B_X$  is closed with respect to convergence in measure.
- (b)  $\Lambda$  is said to be *nicely placed* if  $L^1_{\Lambda}(G)$  is a nicely placed subspace of  $L^1(G)$ .
- (c)  $\Lambda$  is said to be a *Shapiro set* if every subset of  $\Lambda$  is nicely placed.

These terms were coined by G. Godefroy [6, 7], who showed that every Shapiro set is a Riesz set [9, Proposition IV.4.5]. The natural numbers are a Shapiro set in  $\mathbb{Z}$  [9, Example IV.4.11] and  $\Lambda = \bigcup_{n=0}^{\infty} \{k2^n : |k| \leq 2^n\}$  is a nicely placed Riesz set which is not a Shapiro set [9, Example IV.4.12].

LEMMA 7.6. Let X be a nicely placed subspace of  $L^1(G)$  and suppose that there exists  $A \in \mathcal{B}(G)$  with m(A) > 0 such that  $P_A$  maps X onto  $L^1(A)$ , i.e., suppose that X is not small. Then there exists a continuous operator  $T: L^1(A) \to X$  with  $j_A = P_A T$  where  $j_A: L^1(A) \to L^1(G)$  is the natural injection.

*Proof.* This proof is a modification of a proof by G. Godefroy, N. J. Kalton, and D. Li [8, Lemma III.5]. We identify  $X^{**}$  with  $X^{\perp\perp} \subset L^1(G)^{**}$  and recall that A. V. Bukhvalov and G. Ya. Lozanovskiĭ showed that  $P[B_{X^{\perp\perp}}] = B_X$  if X is nicely placed in  $L^1(G)$  [9, Theorem IV.3.4].

Denote by  $\mathcal{N}$  the directed set of open neighborhoods of  $e_G$ . (We turn  $\mathcal{N}$  into a directed set by setting  $V \leq W$  if and only if V contains W.) Let  $\mathcal{U}$  be an ultrafilter on  $\mathcal{N}$  which contains the filter base

$$\{\{W \in \mathcal{N} : V \le W\} : V \in \mathcal{N}\}.$$

 $P_A$  is an open map by the open mapping theorem. So we can fix M > 0with  $B_{L^1(A)} \subset MP_A[B_X]$ . For every  $V \in \mathcal{N}$ , use Lemma 2.1 to choose disjoint Borel sets  $B_{V,1}, \ldots, B_{V,N_V}$  with  $A = \bigcup_{k=1}^{N_V} B_{V,k}$  and  $B_{V,k} - B_{V,k} \subset V$ for  $k = 1, \ldots, N_V$ . Picking  $f_{V,k} \in MB_X$  with  $P_A(f_{V,k}) = m(B_{V,k})^{-1}\chi_{B_{V,k}}$ for  $k = 1, \ldots, N_V$ , we define  $S_V : L^1(A) \to X$  by

$$S_V(f) = \sum_{k=1}^{N_V} \left( \int_{B_{V,k}} f \, dm \right) f_{V,k} \quad (f \in L^1(A)).$$

As the norm of every  $S_V$  is bounded by M, we can define  $S: L^1(A) \to X^{\perp \perp}$  by

$$S(f) = w^* - \lim_{V, \mathcal{U}} S_V(f) \quad (f \in L^1(A))$$

and set T = PS.

Let us check that  $j_A = P_A T$ . Fix  $f \in L^1(A)$ . Since C(G) is dense in  $L^1(G)$ , we may assume that f is the restriction to A of a continuous function. Let  $(S_{\varphi(j)}(f))_{j\in J}$  be a subnet of  $(S_V(f))_{V\in\mathcal{N}}$  with  $S(f) = w^* - \lim_j S_{\varphi(j)}(f)$ . Since f is uniformly continuous, it is easy to construct an increasing sequence  $(j_n)_{n\in\mathbb{N}}$  in J with

(7.1) 
$$\sup\left\{\|f - P_A S_{\varphi(j)}(f)\|_{\infty} : j \ge j_n\right\} \to 0.$$

Furthermore, there exists a sequence  $(g_n)_{n \in \mathbb{N}}$  in  $L^1(G)$  that converges m-almost everywhere to PS(f) with  $g_n \in \operatorname{co}\{S_{\varphi(j)}(f) : j \geq j_n\}$  for all  $n \in \mathbb{N}$  [9, Lemma IV.3.1]. Hence by (7.1) for m-almost all  $x \in A$  we have

$$T(f)(x) = PS(f)(x) = \lim_{n \to \infty} g_n(x) = f(x),$$

and therefore  $j_A = P_A T$ .

THEOREM 7.7. If  $\Lambda$  is a nicely placed Riesz set, then  $L^1_{\Lambda}(G)$  is a small subspace of  $L^1(G)$ .

*Proof.* Assume that  $\Lambda$  is a nicely placed Riesz set such that  $L^1_{\Lambda}(G)$  is not a small subspace of  $L^1(G)$ .

Since  $L^1_A(G)$  is not small, there exists a Borel set A of positive measure such that  $P_A$  maps  $L^1_A(G)$  onto  $L^1(A)$ . Using Lemma 7.6, we find  $T : L^1(A) \to L^1_A(G)$  with  $j_A = P_A T$ . This operator is an isomorphism onto its image and  $L^1_A(G)$  contains a copy of  $L^1(A)$ . So  $L^1_A(G)$  fails the Radon–Nikodým property. But this contradicts our assumption because A

is a Riesz set if and only if  $L^1_{\Lambda}(G)$  has the Radon–Nikodým property [21, Théorème 2].

COROLLARY 7.8. If  $\Lambda$  is a Shapiro set, then  $L^1_{\Lambda}(G)$  is a poor subspace of  $L^1(G)$ .

Theorem 7.7 can be strengthened if G is metrizable. Let  $\Lambda$  be nicely placed. Then  $L^1_{\Lambda}(G)$  is a poor subspace of  $L^1(G)$  if and only if  $\Lambda$  is a semi-Riesz set [8, Proposition III.10].

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