

Boundedness criterion for multilinear oscillatory integrals with rough kernels

by

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Abstract. We study a multilinear oscillatory integral with rough kernel and establish a boundedness criterion.

1. Introduction. Let Ω be a homogeneous function of degree zero satisfying some size condition, for example, $\Omega \in L(\log L)^\alpha(S^{n-1})$ for some $\alpha \geq 1$. This size condition is weaker than $\Omega \in \bigcup_{q>1} L^q(S^{n-1})$. Under this assumption, we consider a multilinear oscillatory integral, which is related to Calderón commutators and defined by

$$(1) \quad T^A f(x) = \text{p.v.} \int_{\mathbb{R}^n} e^{iP(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy,$$

where $n \geq 2$, m is a positive integer, $P(x, y)$ is a real-valued polynomial defined on $\mathbb{R}^n \times \mathbb{R}^n$ and $P_m(A; x, y)$ denotes the m th order Taylor series remainder of A at x expanded about y , more precisely

$$P_m(A; x, y) = A(x) - \sum_{|\alpha| < m} \frac{1}{\alpha!} D^\alpha A(y) (x - y)^\alpha.$$

Generally, it is impossible to derive L^2 boundedness of T^A from the standard T1 theorem (see [2]) or nonstandard T1 theorem (see [3]), it is therefore necessary to establish some boundedness criterions. According to these criterions, the L^p boundedness properties of these singular integrals are reduced to those of some truncated operators. The idea is hidden in the paper [5] of Ricci and Stein and put forward concretely by Lu and Zhang in [4].

Now, we introduce some notation. Let (X, μ) be a measure space and let Φ be a Young function. The Orlicz space $L_\Phi(X, \mu)$ consists of all μ -measurable functions f (modulo the a.e. equivalence relation) such that

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$$\int_X \Phi(\varepsilon|f(x)|) d\mu(x) < \infty$$

for some $\varepsilon > 0$. The norm

$$\|f\|_\Phi = \inf \left\{ \lambda > 0 : \int_X \Phi\left(\frac{|f(x)|}{\lambda}\right) d\mu(x) \leq 1 \right\}$$

turns L_Φ into a Banach space. The space L_Φ can be endowed with another equivalent norm which is defined by

$$\|f\|_{L_\Phi} = \inf \left\{ \frac{1}{\varepsilon} \left(1 + \int_X \Phi(\varepsilon|f|) \right) : \int_X \Phi(\varepsilon|f|) d\mu < \infty \right\}.$$

When $X = S^{n-1}$, the unit sphere of \mathbb{R}^n , $d\mu = d\sigma$, the element of Lebesgue measure on S^{n-1} so that the measure of S^{n-1} is 1, and $\Phi(t) = t \log^\alpha(2+t)$, $1 \leq \alpha < \infty$, we denote L_Φ by $L(\log L)^\alpha(S^{n-1})$. We define the Φ -average of a function f over a cube Q by

$$\|f\|_{\Phi,Q} = \inf \left\{ \lambda > 0 : \frac{1}{|Q|} \int_Q \Phi\left(\frac{|f(y)|}{\lambda}\right) dy \leq 1 \right\}.$$

Then the generalized Hölder inequality

$$\frac{1}{|Q|} \int_Q |f(y)g(y)| dy \leq \|f\|_{\Phi,Q} \|g\|_{\bar{\Phi},Q}$$

holds, where $\bar{\Phi}$ is the complementary Young function associated to Φ .

DEFINITION 1. A real-valued polynomial $P(x, y)$ is called *non-degenerate* if there exist positive integers k, l such that $P(x, y) = \sum_{|\alpha| \leq k, |\beta| \leq l} a_{\alpha\beta} x^\alpha y^\beta$ and $\sum_{|\alpha|=k, |\beta|=l} |a_{\alpha\beta}| > 0$.

DEFINITION 2. We will say that the non-degenerate polynomial $P(x, y)$ has *property \mathcal{P}* if

$$P(x + h, y + h) = P(x, y) + P_0(x, h) + P_1(y, h),$$

where P_0 and P_1 are real polynomials.

The purpose of this paper is to establish the following boundedness criterion.

THEOREM 1. *Let $\Omega \in L(\log L)^2(S^{n-1})$ be homogeneous of degree zero. If A has derivatives of order $m - 1$ in $BMO(\mathbb{R}^n)$, then for any $1 < p < \infty$, the following two facts are equivalent:*

- (i) *If $P(x, y)$ is a non-degenerate real-valued polynomial, then T^A is bounded on L^p with bound $C \sum_{|\alpha|=m-1} \|D^\alpha A\|_{BMO}$, and the positive constant C can be taken to be independent of the coefficients of the polynomial $P(x, y)$.*

(ii) *The truncated operator*

$$S^A f(x) = \int_{|x-y|<1} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy$$

is bounded on L^p with bound $C \sum_{|\alpha|=m-1} \|D^\alpha A\|_{\text{BMO}}$.

2. Proof of Theorem 1. To prove Theorem 1, we will use some lemmas.

LEMMA 1 (see [1]). *Let $b(x)$ be a function on \mathbb{R}^n with m th order derivatives in $L^s(\mathbb{R}^n)$ for some s with $n < s \leq \infty$. Then*

$$|P_m(b; x, y)| \leq C_{m,n} |x-y|^m \sum_{|\alpha|=m} \left(\frac{1}{|I_x^y|} \int_{I_x^y} |D^\alpha b(z)|^s dz \right)^{1/s},$$

where I_x^y is the cube centered at x , with sides parallel to the axes and whose diameter is $2\sqrt{n}|x-y|$.

LEMMA 2. *Let Ω be a homogeneous function of degree zero and belong to $L \log L(S^{n-1})$ and A have derivatives of order $m-1$ in $\text{BMO}(\mathbb{R}^n)$. Define*

$$S_{\Omega,r}^A f(x) = r^{-(n+m-1)} \int_{|x-y|<r} |\Omega(x-y) P_m(A; x, y) f(y)| dy.$$

Then for any $1 < p < \infty$,

$$\|S_{\Omega,r}^A f\|_p \leq C \left(1 + \int_{S^{n-1}} |\Omega(x)| \log(2 + |\Omega(x)|) d\sigma(x) \right) \|f\|_p,$$

where the constant $C > 0$ is independent of r .

Proof. By dilation invariance, it suffices to consider the case $r = 1$. By an almost orthogonality argument, we may assume that f has support in a cube Q with side length 1. Without loss of generality, we may also assume $\sum_{|\alpha|=m-1} \|D^\alpha A\|_{\text{BMO}} = 1$. Define

$$\Omega_k(x) = \Omega(x) \chi_{E_k}(x)$$

with

$$E_k(x) = \{x \in S^{n-1} : 2^{k-1} \leq |\Omega(x)| < 2^k\}, \quad k \in \mathbb{Z},$$

and for any $k \in \mathbb{Z}$, define an operator T_k by

$$T_k g(x) = \int_{|x-y|\leq 1} |\Omega_k(x-y)| g(y) dy.$$

Denote by T_k^* the dual operator of T_k . Then

$$T_k^* g(x) = \int_{|x-y|\leq 1} |\Omega_k(y-x)| g(y) dy.$$

We claim that there exists a positive constant $C = C(n, m)$ which is independent of k such that for any $1 \leq p < \infty$,

$$(2) \quad \| |T_k^* g|^p \|_{L(\log L)^p, Q} \leq C \left(2^{-|k|} + \int_{E_k} |\Omega_k(x)| \log(2 + |\Omega_k(x)|) d\sigma(x) \right)^p \|g\|_p^p$$

for any $g \in L^p$ with $\text{supp } g \subset 100nQ$. In fact, without loss of generality, we may assume that $\|g\|_p = 1$. By the Young inequality, there exists some $C_0 = C(n) > 1$ such that

$$\begin{aligned} \|T_k^* g\|_\infty &\leq \|\Omega_k\|_\infty \|g\|_1 \leq C_0 \|\Omega_k\|_\infty, \\ \|T_k^* g\|_p &\leq \|\Omega_k\|_1 \|g\|_p = \|\Omega_k\|_1. \end{aligned}$$

Write

$$\begin{aligned} \| |T_k^* g|^p \|_{L(\log L)^p, Q} &= \inf \left\{ \lambda > 0 : \int_Q \frac{|T_k^* g(x)|^p}{\lambda} \log^p \left(2 + \frac{|T_k^* g(x)|^p}{\lambda} \right) dx \leq 1 \right\} \\ &\leq \inf \left\{ \lambda > 0 : \frac{\|\Omega_k\|_1^p}{\lambda} \log^p \left(2 + \frac{C_0^p \|\Omega_k\|_\infty^p}{\lambda} \right) \leq 1 \right\} \\ &\leq C_0^p \left(\inf \left\{ \lambda > 0 : \frac{2\|\Omega_k\|_1}{\lambda} \log \left(2 + \frac{\|\Omega_k\|_\infty}{\lambda} \right) \leq 1 \right\} \right)^p. \end{aligned}$$

Note that

$$\frac{2\|\Omega_k\|_1}{2\lambda} \log \left(2 + \frac{\|\Omega_k\|_\infty}{2\lambda} \right) \leq \int_{E_k} \frac{|\Omega_k(x)|}{\lambda} \log \left(2 + \frac{|\Omega_k(x)|}{\lambda} \right) d\sigma(x).$$

Therefore,

$$\| |T_k^* g|^p \|_{L(\log L)^p, Q} \leq C \|\Omega_k\|_{L \log L(S^{n-1})}^p.$$

For $k \geq 1$, since

$$\int_{E_k} 2^k |\Omega_k(x)| \log(2 + 2^k |\Omega_k(x)|) d\sigma(x) \leq 2^k \cdot 2^k \cdot 2k \cdot |E_k| < \infty,$$

by the equivalence of the two norms, we have

$$\begin{aligned} \|\Omega_k\|_{L \log L(S^{n-1})} &\leq C \left(\frac{1}{2^k} + \int_{E_k} |\Omega_k(x)| \log(2 + 2^k |\Omega(x)|) d\sigma(x) \right) \\ &\leq C \left(2^{-k} + \int_{E_k} |\Omega_k(x)| \log(2 + |\Omega(x)|) d\sigma(x) \right). \end{aligned}$$

For $k \leq 0$, since

$$\int_{E_k} 2^{-k} |\Omega_k(x)| \log(2 + 2^{-k} |\Omega_k(x)|) d\sigma(x) \leq 2^{-k} \cdot 2^k \cdot \log 3 \cdot |E_k| < \infty,$$

similarly, we have

$$\begin{aligned} \|\Omega_k\|_{L \log L(S^{n-1})} &\leq C \left(\frac{1}{2^{-k}} + \int_{E_k} |\Omega_k(x)| \log(2 + 2^{-k}|\Omega(x)|) d\sigma(x) \right) \\ &\leq C \left(2^k + \int_{E_k} |\Omega_k(x)| d\sigma(x) \right). \end{aligned}$$

Therefore,

$$\| |T_k^* g|^p \|_{L(\log L)^p, Q} \leq C \left(2^{-|k|} + \int_{E_k} |\Omega_k(x)| \log(2 + |\Omega_k(x)|) d\sigma(x) \right)^p \|g\|_p^p.$$

Let $\phi \in C_0^\infty(\mathbb{R}^n)$, $0 \leq \phi \leq 1$, and let ϕ be identically one on $10\sqrt{n}Q$ and vanish outside of $50\sqrt{n}Q$, $\|\phi^{(\gamma)}\|_\infty \leq C_\gamma$ for all multi-indices γ . Let x_0 be a point on the boundary of $80\sqrt{n}Q$. Define

$$A_\phi(y) = P_{m-1} \left(A(\cdot) - \sum_{|\alpha|=m-1} \frac{1}{\alpha!} m_{\tilde{Q}}(A^{(\alpha)})(\cdot)^\alpha; y, x_0 \right) \phi(y),$$

where $m_Q(f) = |Q|^{-1} \int_Q f$ and $\tilde{Q} = 100nQ$. Note that for any multi-index β , $|\beta| < m - 1$,

$$\begin{aligned} D^\beta A_\phi(y) &= \sum_{\beta=\mu+\nu} C_{\mu,\nu} P_{m-|\mu|-1} \left(D^\mu \left(A(\cdot) - \sum_{|\alpha|=m-1} \frac{1}{\alpha!} m_{\tilde{Q}}(D^\alpha A)(\cdot)^\alpha \right); y, x_0 \right) \\ &\quad \times D^\nu \phi(y). \end{aligned}$$

Since $\text{supp } \phi \subset 50\sqrt{n}Q$, by Lemma 1 we have

$$|D^\beta A_\phi(y)| \leq C \sum_{|\alpha|=m-1} \left(\frac{1}{|I_{x_0}^y|} \int_{I_{x_0}^y} |D^\alpha A(z) - m_{\tilde{Q}}(D^\alpha A)|^t dz \right)^{1/t} \leq C,$$

where $t > n$. If $|\beta| = m - 1$, then

$$\begin{aligned} D^\beta A_\phi(y) &= \sum_{\beta=\mu+\nu, |\mu|<m-1} C_{\mu,\nu} \\ &\quad \times P_{m-1-|\mu|} \left(D^\mu \left(A(\cdot) - \sum_{|\alpha|=m-1} \frac{1}{\alpha!} m_{\tilde{Q}}(D^\alpha A)(\cdot)^\alpha \right); y, x_0 \right) D^\nu \phi(y) \\ &\quad + \sum_{|\alpha|=m-1} (D^\alpha A(y) - m_{\tilde{Q}}(D^\alpha A)) \phi(y). \end{aligned}$$

Thus, it follows that

$$|D^\beta A_\phi(y)| \leq C \left(1 + \sum_{|\alpha|=m-1} |D^\alpha A(y) - m_{\tilde{Q}}(D^\alpha A)| \right).$$

Since

$$S_{\Omega;1}^A f(x) \leq \sum_{k=-\infty}^{\infty} \left(|A_\phi(x)| T_k(|f|)(x) + \sum_{|\alpha| \leq m-1} \frac{1}{\alpha!} T_k(|D^\alpha A_\phi f|)(x) \right),$$

by the fact that the operator T_k is bounded on L^p together with the above inequalities, we obtain

$$\|S_{\Omega;1}^A f\|_p \leq C \sum_{k=-\infty}^{\infty} \left(\|\Omega_k\|_1 \|f\|_p + \sum_{|\alpha|=m-1} \|T_k(|D^\alpha A(\cdot) - m_{\tilde{Q}}(D^\alpha A)| |f|)\|_p \right).$$

For $|\alpha| = m - 1$, by the generalized Hölder inequality and the fact that

$$\|D^\alpha A - m_{\tilde{Q}}(D^\alpha)\|_{\text{exp } L, \tilde{Q}} \leq C \|D^\alpha A\|_{\text{BMO}},$$

we have

$$\begin{aligned} & \|T_k(|D^\alpha A(\cdot) - m_{\tilde{Q}}(D^\alpha A)| |f|)\|_p \\ &= \sup_{\text{supp } g \subset \tilde{Q}, \|g\|_{p'}=1} \left| \int_{\tilde{Q}} T_k(|D^\alpha A(\cdot) - m_{\tilde{Q}}(D^\alpha A)| |f|)(x) g(x) dx \right| \\ &= \sup_{\text{supp } g \subset \tilde{Q}, \|g\|_{p'}=1} \left| \int_{\tilde{Q}} |D^\alpha A(x) - m_{\tilde{Q}}(D^\alpha A)| |f(x)| T_k^* g(x) dx \right| \\ &\leq \sup_{\text{supp } g \subset \tilde{Q}, \|g\|_{p'}=1} \left(\int_{\tilde{Q}} |D^\alpha A(x) - m_{\tilde{Q}}(D^\alpha A)|^{p'} |T_k^* g(x)|^{p'} dx \right)^{1/p'} \|f\|_p \\ &\leq C \sup_{\text{supp } g \subset \tilde{Q}, \|g\|_{p'}=1} \| [D^\alpha A(\cdot) - m_{\tilde{Q}}(D^\alpha A)]^{p'} \|_{(\text{exp } L)^{1/p'}, \tilde{Q}}^{1/p'} \| |T_k^* g|^{p'} \|_{L(\log L)^{p'}, \tilde{Q}}^{1/p'} \|f\|_p \\ &\leq C \sup_{\text{supp } g \subset \tilde{Q}, \|g\|_{p'}=1} \| |T_k^* g|^{p'} \|_{L(\log L)^{p'}, \tilde{Q}}^{1/p'} \|f\|_p \\ &\leq C \left(2^{-|k|} + \int_{E_k} |\Omega_k(x)| \log(2 + |\Omega_k(x)|) d\sigma(x) \right) \|f\|_p. \end{aligned}$$

Finally, we obtain

$$\|S_{\Omega;1}^A f\|_p \leq C \left(1 + \int_{S^{n-1}} |\Omega(x)| \log(2 + |\Omega(x)|) d\sigma(x) \right) \|f\|_p.$$

LEMMA 3. Let $\Omega \in L \log L(S^{n-1})$ be homogeneous of degree zero. Suppose that A has derivatives of order $m - 1$ in $\text{BMO}(\mathbb{R}^n)$, $b \in L^\infty(\mathbb{R}^n \times \mathbb{R}^n)$ and $1 < p < \infty$. If the operator

$$Tf(x) = \text{p.v.} \int_{\mathbb{R}^n} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) b(x, y) f(y) dy$$

is bounded on L^p with bound $B \sum_{|\alpha|=m-1} \|D^\alpha A\|_{\text{BMO}}$, then the truncated

operator

$$T_1 f(x) = \int_{|x-y|<1} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) b(x, y) f(y) dy$$

is bounded on L^p with bound $C(B + \|b\|_\infty) \sum_{|\alpha|=m-1} \|D^\alpha A\|_{\text{BMO}}$.

Proof. Without loss of generality, we may assume that

$$\sum_{|\alpha|=m-1} \|D^\alpha A\|_{\text{BMO}} = 1.$$

For each fixed $h \in \mathbb{R}^n$, we split $f = f_1 + f_2 + f_3$, where

$$f_1(y) = f(y)\chi_{\{|y-h|<1/2\}}(y), \quad f_2(y) = f(y)\chi_{\{1/2 \leq |y-h| < 5/4\}}(y).$$

It is easy to verify that if $|x-h| < 1/4$, then

$$T_1 f_1(x) = \int_{\mathbb{R}^n} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) b(x, y) f_1(y) dy.$$

Thus

$$\int_{|x-h|<1/4} |T_1 f_1(x)|^p dx \leq B^p \|f_1\|_p^p.$$

If $|x-h| < 1/4$ and $1/2 \leq |y-h| < 5/4$, then $1/4 < |x-y| < 3/2$. So we see that for $|x-h| < 1/4$,

$$\begin{aligned} |T_1 f_2(x)| &\leq \|b\|_\infty \int_{1/4 < |x-y| < 3/2} \left| \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f_2(y) \right| dy \\ &\leq C \|b\|_\infty S_{\Omega, 3/2}^A f_2(x). \end{aligned}$$

Lemma 2 now tells us that

$$\int_{|x-h|<1/4} |T_1 f_2(x)|^p dx \leq C \|b\|_\infty^p \|f_2\|_p^p.$$

Obviously, we have $T_1 f_3 = 0$ for $|x-h| < 1/4$. Combining the above inequalities leads to

$$\int_{|x-h|<1/4} |T_1 f(x)|^p dx \leq C(B^p + \|b\|_\infty^p) \int_{|y-h|<2} |f(y)|^p dy.$$

Integrating the last inequality with respect to h gives

$$\|T_1 f\|_p \leq C(B + \|b\|_\infty) \|f\|_p.$$

This completes the proof of Lemma 3.

Proof of Theorem 1. We only deal with the case that

$$\sum_{|\alpha|=m-1} \|D^\alpha A\|_{\text{BMO}} = 1.$$

First we show that (ii) implies (i). Let k and l be two positive integers, and $P(x, y)$ be a non-degenerate real-valued polynomial with degree k in x and l in y . Write

$$P(x, y) = \sum_{|\alpha| \leq k, |\beta| \leq l} a_{\alpha\beta} x^\alpha y^\beta.$$

By dilation invariance, we may assume that $\sum_{|\alpha|=k, |\beta|=l} |a_{\alpha\beta}| = 1$. Decompose

$$\begin{aligned} T^A f(x) &= \int_{|x-y| < 1} e^{iP(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy \\ &\quad + \sum_{d=1}^{\infty} \int_{2^{d-1} \leq |x-y| < 2^d} e^{iP(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy \\ &= T_0^A f(x) + \sum_{d=1}^{\infty} T_d^A f(x). \end{aligned}$$

We first consider T_d^A , $d \geq 1$. Split

$$T_d^A f(x) = \sum_{l=0}^{\infty} T_{\Omega_l, d}^A f(x),$$

where

$$\begin{aligned} T_{\Omega_l, d}^A f(x) &= \int_{2^{d-1} \leq |x-y| < 2^d} e^{iP(x,y)} \frac{\Omega_l(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy, \\ \Omega_l(x') &= \Omega(x') \chi_{E_l}(x') \end{aligned}$$

with

$$\begin{aligned} E_0 &= \{x' \in S^{n-1} : |\Omega(x')| < 1\}, \\ E_l &= \{x' \in S^{n-1} : 2^{l-1} \leq |\Omega(x')| < 2^l\}, \quad l \in \mathbb{N}. \end{aligned}$$

If we can prove that for some $\delta > 0$,

$$(3) \quad \|T_{\Omega_l, d}^A f\|_p \leq C 2^{-\delta d} \|\Omega_l\|_\infty \|f\|_p,$$

and

$$(4) \quad \|T_{\Omega_l, d}^A f\|_p \leq C \left(2^{-l} + \int_{E_l} |\Omega_l(x)| \log(2 + |\Omega_l(x)|) d\sigma(x) \right) \|f\|_p,$$

then, for a suitably chosen integer $M > \delta^{-1}$, we have

$$\begin{aligned} \left\| \sum_{d=1}^{\infty} T_d^A f \right\|_p &\leq \sum_{d=1}^{\infty} \sum_{l=0}^{\infty} \|T_{\Omega_l, d}^A f\|_p \\ &= \sum_{d=1}^{\infty} \|T_{\Omega_0, d}^A f\|_p + \sum_{l=1}^{\infty} \sum_{1 \leq d < Ml} \|T_{\Omega_l, d}^A f\|_p + \sum_{l=1}^{\infty} \sum_{d \geq Ml} \|T_{\Omega_l, d}^A f\|_p \end{aligned}$$

$$\begin{aligned} &\leq C\|\Omega_0\|_\infty\|f\|_p + \sum_{l=1}^\infty Ml(2^{-l} + 2^l|E_l|)\|f\|_p + \sum_{l=1}^\infty \sum_{d \geq Ml} 2^{-\delta d} 2^l \|f\|_p \\ &\leq C\left(1 + \int_{S^{n-1}} |\Omega(x)| \log^2(2 + |\Omega(x)|) d\sigma(x)\right)\|f\|_p. \end{aligned}$$

Inequality (4) can be seen from the proof of Lemma 2. To prove (3), define

$$\tilde{T}_{\Omega_l,d}^A f(x) = \int_{1 < |x-y| \leq 2} e^{iP(2^{d-1}x, 2^{d-1}y)} \frac{\Omega_l(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy.$$

By dilation invariance, it is enough to prove that

$$(5) \quad \|\tilde{T}_{\Omega_l,d}^A f\|_p \leq C2^{-\delta d} \|\Omega_l\|_\infty \|f\|_p.$$

By an almost orthogonality argument, we may assume that f has support in a cube Q with side length 1. Let

$$A_\phi(y) = P_{m-1}\left(A(\cdot) - \sum_{|\alpha|=m-1} \frac{1}{\alpha!} m_{\tilde{Q}}(D^\alpha A)(\cdot)^\alpha; y, x_0\right)\phi(y),$$

where ϕ is as in the proof of Lemma 2. For a multi-index α , define

$$\tilde{T}_{\Omega_l,d}^\alpha f(x) = \int_{1 < |x-y| \leq 2} e^{iP(2^{d-1}x, 2^{d-1}y)} \frac{\Omega_l(x-y)}{|x-y|^{n+m-1}} (x-y)^\alpha f(y) dy.$$

It is easy to see that

$$\begin{aligned} \tilde{T}_{\Omega_l,d}^A f(x) &= \int_{1 < |x-y| \leq 2} e^{iP(2^{d-1}x, 2^{d-1}y)} \frac{\Omega_l(x-y)}{|x-y|^{n+m-1}} P_m(A_\phi; x, y) f(y) dy \\ &= A_\phi(x) \tilde{T}_{\Omega_l,d}^0 f(x) - \sum_{|\alpha| < m-1} \frac{1}{\alpha!} \tilde{T}_{\Omega_l,d}^\alpha (D^\alpha A_\phi f)(x) \\ &\quad - \sum_{|\alpha|=m-1} \frac{1}{\alpha!} \tilde{T}_{\Omega_l,d}^\alpha (D^\alpha A_\phi f)(x) \\ &= I + II + III. \end{aligned}$$

Before we estimate these terms, we define

$$T_{\Omega_l,d}^\alpha f(x) = \int_{2^{d-1} \leq |x-y| < 2^d} e^{iP(x,y)} \frac{\Omega_l(x-y)}{|x-y|^{n+m-1}} (x-y)^\alpha f(y) dy.$$

Recall that $P(x, y) = \sum_{|\alpha| \leq k, |\beta| \leq l} a_{\alpha\beta} x^\alpha y^\beta$ and $\sum_{|\alpha|=k, |\beta|=l} |a_{\alpha\beta}| = 1$. By a similar argument to that in [4], we can prove

LEMMA 4. *There exists a $\delta > 0$ such that*

$$(6) \quad \|T_{\Omega_l,d}^\alpha f\|_p \leq C2^{-d(\delta+m-1-|\alpha|)} \|\Omega_l\|_\infty \|f\|_p,$$

and $C > 0$ can be taken to be independent of d and the coefficients of $P(x, y)$.

We return to the estimates of I, II and III . Note that for a multi-index β with $|\beta| < m - 1$,

$$\|D^\beta A_\phi\|_\infty \leq C.$$

Thus, it follows from Lemma 4 that

$$\|I\|_p \leq \|A_\phi\|_\infty \|\tilde{T}_{\Omega_l, d}^0 f\|_p \leq C2^{-\delta d} \|f\|_p.$$

Similarly, we have

$$\|II\|_p \leq C2^{-\delta d} \|f\|_p.$$

It remains to estimate the third term III . Note that for any $0 < \gamma < n$,

$$\begin{aligned} |\tilde{T}_{\Omega_l, d}^\alpha f(x)| &\leq C \int_{1 < |x-y| \leq 2} |\Omega_l(x-y)f(y)| dy \\ &\leq C_\gamma \|\Omega_l\|_\infty \int_{1 < |x-y| \leq 2} \frac{|f(y)|}{|x-y|^{n-\gamma}} dy \\ &\leq C_\gamma \|\Omega_l\|_\infty I_\gamma(|f|)(x), \end{aligned}$$

where I_γ denotes the usual fractional integral of order γ . For any $\sigma > 0$ such that $1/(p + \sigma) = 1/p - \gamma/n$, by the Hardy–Littlewood–Sobolev theorem [6], we get

$$(7) \quad \|\tilde{T}_{\Omega_l, d}^\alpha f\|_{p+\sigma} \leq C \|\Omega_l\|_\infty \|f\|_p.$$

Lemma 4, inequality (7), and interpolation give

$$(8) \quad \|\tilde{T}_{\Omega_l, d}^\alpha f\|_p \leq C2^{-\delta' d} \|\Omega_l\|_\infty \|f\|_{p-\sigma},$$

where δ' is another positive constant and $0 < \sigma < \sigma_p$. On the other hand, if $|\beta| = m - 1$, then

$$|D^\beta A_\phi(y)| \leq C \left(1 + \sum_{|\alpha|=m-1} |D^\alpha A(y) - m_{\tilde{Q}}(D^\alpha A)| \right),$$

and this shows that for any $t > 1$,

$$(9) \quad \|D^\beta A_\phi\|_t \leq C_t.$$

By inequalities (8) and (9), we obtain

$$\begin{aligned} \|III\|_p &\leq C2^{-\delta' d} \sum_{|\alpha|=m-1} \|D^\alpha A_\phi f\|_{p-\sigma} \leq C2^{-\delta' d} \sum_{|\alpha|=m-1} \|D^\alpha A_\phi\|_t \|f\|_p \\ &\leq C2^{-\delta' d} \|f\|_p, \end{aligned}$$

where we choose $0 < \sigma < \sigma_p$ and $1 < t < \infty$ such that $1/p + 1/t = 1/(p - \sigma)$. All the above estimates imply that inequality (3) is true.

We turn our attention to the operator T_0^A . The estimate for this operator comes from the following lemma.

LEMMA 5. Let $\Omega \in L \log L(S^{n-1})$ be homogeneous of degree zero and A have derivatives of order $m - 1$ in $BMO(\mathbb{R}^n)$. Suppose that condition (ii) in Theorem 1 holds. Then for any real-valued polynomial $\tilde{P}(x, y)$, the operator

$$U^A f(x) = \int_{|x-y|<1} e^{i\tilde{P}(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy$$

satisfies

$$(10) \quad \|U^A f\|_p \leq C \|f\|_p.$$

Proof. We shall argue by a double induction on the degree of the polynomial in x and y . If the polynomial $\tilde{P}(x, y)$ depends only on x or y , it is obvious that condition (ii) implies (10). Let u and v be two positive integers and suppose the polynomial has degree u in x and v in y . We assume that (10) holds for all polynomials which are sums of monomials of degree less than u in x times monomials of any degree in y , together with monomials which are of degree u in x times monomials which are of degree less than v in y . Write $\tilde{P}(x, y)$ as

$$\tilde{P}(x, y) = \sum_{|\alpha|=u, |\beta|=v} b_{\alpha\beta} x^\alpha y^\beta + P_0(x, y),$$

where $P_0(x, y)$ satisfies the inductive assumption. Without loss of generality, we may assume that $\sum_{|\alpha|=u, |\beta|=v} |b_{\alpha\beta}| \leq 1$. Rewrite

$$\tilde{P}(x, y) = \sum_{|\alpha|=u, |\beta|=v} b_{\alpha\beta} (x^\alpha y^\beta - y^{\alpha+\beta}) + \tilde{P}_0(x, y),$$

where $\tilde{P}_0(x, y)$ satisfies the inductive assumption. It follows that

$$\begin{aligned} U^A f(x) &= \int_{|x-y|<1} e^{i\tilde{P}_0(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy \\ &+ \int_{|x-y|<1} (e^{i\tilde{P}(x,y)} - e^{i\tilde{P}_0(x,y)}) \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy \\ &= U_1^A f(x) + U_2^A f(x). \end{aligned}$$

Our inductive assumption now states that

$$\|U_1^A f\|_p \leq C \|f\|_p.$$

Set $\tilde{f}(y) = f(y)\chi_{\{|y|\leq 2\}}$. It is easy to see $U_2^A f(x) = U_2^A \tilde{f}(x)$ for $|x| \leq 1$. Thus, when $|x| \leq 1$,

$$\begin{aligned}
 |U_2^A f(x)| &\leq C \int_{|x-y|<1} \left| \frac{\Omega(x-y)}{|x-y|^{n+m-2}} P_m(A; x, y) \tilde{f}(y) \right| dy \\
 &\leq C \sum_{d=-\infty}^0 2^d 2^{-d(n+m-1)} \int_{2^{d-1} \leq |x-y| < 2^d} |\Omega(x-y) P_m(A; x, y) \tilde{f}(y)| dy \\
 &\leq C \sum_{d=-\infty}^0 2^d S_{\Omega; 2^d}^A \tilde{f}(x).
 \end{aligned}$$

By Lemma 2, we get

$$\begin{aligned}
 \left(\int_{|x| \leq 1} |U_2^A f|^p dx \right)^{1/p} &\leq C \sum_{d=-\infty}^0 2^d \|S_{\Omega; 2^d}^A \tilde{f}\|_p \\
 &\leq C \sum_{d=-\infty}^0 2^d \left(1 + \int_{S^{n-1}} |\Omega(x)| \log(2 + |\Omega(x)|) d\sigma(x) \right) \left(\int_{|y| \leq 2} |f(y)|^p dy \right)^{1/p} \\
 &\leq C \left(1 + \int_{S^{n-1}} |\Omega(x)| \log(2 + |\Omega(x)|) d\sigma(x) \right) \left(\int_{|y| \leq 2} |f(y)|^p dy \right)^{1/p},
 \end{aligned}$$

from which the same argument as that in [5, p. 189] shows that the inequality

$$\left(\int_{|x-h| \leq 1} |U_2^A f|^p dx \right)^{1/p} \leq C \left(\int_{|y-h| \leq 2} |f(y)|^p dy \right)^{1/p}$$

holds for all $h \in \mathbb{R}^n$ and $C > 0$ is independent of h . Integrating the last inequality with respect to h and using Hölder’s inequality, we finally obtain

$$\|U_2^A f\|_p \leq C \|f\|_p.$$

Now we return to the proof of Theorem 1 and show that (i) implies (ii). To do this, we need to use Definition 2. We choose $Q(x, y)$ such that $Q(x, y)$ has property \mathcal{P} and decompose

$$\begin{aligned}
 T^A f(x) &= \int_{|x-y|<1} e^{iQ(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy \\
 &\quad + \int_{|x-y| \geq 1} e^{iQ(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) dy \\
 &= T_0^A f(x) + T_\infty^A f(x).
 \end{aligned}$$

By Lemma 3, T_0^A is bounded on L^p . The same argument as in the proof of Lemma 3 tells us that

$$\left(\int_{|x-h|<1} |T_0^A f(x)|^p dx \right)^{1/p} \leq C \left(\int_{|y-h|<2} |f(y)|^p dy \right)^{1/p},$$

where C is independent of h . Since $Q(x, y)$ has property \mathcal{P} , we have

$$Q(x, y) = Q(x - h, y - h) + P_0(x, h) + P_1(y, h),$$

where P_0, P_1 are real polynomials. When $|x - h| < 1$, it follows that

$$\begin{aligned} S^A f(x) &= \int_{|x-y|<1} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) f(y) \chi_{B(h,2)}(y) dy \\ &= e^{-iP_0(x,h)} \int_{|x-y|<1} e^{iQ(x,y)} \frac{\Omega(x-y)}{|x-y|^{n+m-1}} P_m(A; x, y) \\ &\quad \times e^{-iQ(x-h,y-h)} e^{-iP_1(y,h)} f(y) \chi_{B(h,2)}(y) dy. \end{aligned}$$

Observe that the Taylor expression of $e^{-iQ(x-h,y-h)}$ is

$$\begin{aligned} e^{-iQ(x-h,y-h)} &= \sum_{m=0}^{\infty} \frac{i^m}{m!} \left(\sum_{\alpha,\beta} a_{\alpha\beta} (x-h)^\alpha (y-h)^\beta \right)^m \\ &= \sum_{m=0}^{\infty} \frac{i^m}{m!} \sum_{u,v} a_{m,u,v} (x-h)^u (y-h)^v. \end{aligned}$$

If we set $a = (1, 1, \dots, 1) \in \mathbb{R}^n$ and $b = (2, 2, \dots, 2) \in \mathbb{R}^n$, then we have

$$\begin{aligned} &\left(\int_{|x-h|<1} |S^A f(x)|^p dx \right)^{1/p} \\ &\leq \sum_{m=0}^{\infty} \frac{1}{m!} \sum_{u,v} |a_{m,u,v}| \left(\int_{|x-h|<1} |(x-h)^u|^p \right. \\ &\quad \left. \times |T_0^A(e^{-iP_1(\cdot,h)} f(\cdot) \chi_{B(h,2)}(\cdot) (\cdot-h)^v)(x)|^p dx \right)^{1/p} \\ &\leq \sum_{m=0}^{\infty} \frac{1}{m!} \sum_{u,v} |a_{m,u,v}| a^u \left(\int_{|y-h|<2} |f(y)|^p |(y-h)^v|^p dy \right)^{1/p} \\ &\leq \sum_{m=0}^{\infty} \frac{1}{m!} \sum_{u,v} |a_{m,u,v}| a^u b^v \left(\int_{|y-h|<2} |f(y)|^p dy \right)^{1/p} \\ &= \sum_{m=0}^{\infty} \frac{1}{m!} \left(\sum_{\alpha,\beta} |a_{\alpha\beta}| a^\alpha b^\beta \right)^m \left(\int_{|y-h|<2} |f(y)|^p dy \right)^{1/p} \\ &= \exp \left\{ \sum_{\alpha,\beta} |a_{\alpha\beta}| a^\alpha b^\beta \right\} \left(\int_{|y-h|<2} |f(y)|^p dy \right)^{1/p}. \end{aligned}$$

Hence,

$$\|S^A f\|_p \leq C\|f\|_p.$$

This completes the proof of Theorem 1.

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