

## NEW CONGRUENCES MODULO 5 FOR OVERPARTITIONS

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**Abstract.** Let  $\bar{p}(n)$  denote the number of overpartitions of  $n$ . Recently, several congruences for  $\bar{p}(n)$  modulo 5 have been proved by Chen and Xia, by Chen, Sun, Wang and Zhang, and by Wang. In this paper, we prove new congruences for  $\bar{p}(n)$  modulo 5 by using some theta function identities and the generating function for  $\bar{p}(4n)$ .

**1. Introduction.** An *overpartition* of  $n$  is a partition of  $n$  where the first occurrence of each distinct part may be overlined. Let  $\bar{p}(n)$  denote the number of overpartitions of  $n$ . For example,  $\bar{p}(4) = 14$  as there are 14 overpartitions for 4:

$$4, \bar{4}, 3 + 1, \bar{3} + 1, 3 + \bar{1}, \bar{3} + \bar{1}, 2 + 2, \bar{2} + 2, 2 + 1 + 1, \\ \bar{2} + 1 + 1, 2 + \bar{1} + 1, \bar{2} + \bar{1} + 1, 1 + 1 + 1 + 1, \bar{1} + 1 + 1 + 1.$$

As usual, set  $\bar{p}(0) = 1$  and  $\bar{p}(n) = 0$  if  $n < 0$ . Corteel and Lovejoy [CL] showed that the generating function of  $\bar{p}(n)$  is given by

$$\sum_{n=0}^{\infty} \bar{p}(n)q^n = \frac{(q^2; q^2)_{\infty}}{(q; q)_{\infty}^2},$$

where

$$(a; q)_{\infty} = \prod_{n=0}^{\infty} (1 - aq^n).$$

We will also write

$$(a_1, \dots, a_k; q)_{\infty} = (a_1; q)_{\infty} \dots (a_k; q)_{\infty}.$$

A number of congruences for overpartitions have been discovered during the past ten years. Congruences modulo powers of 2 and 3 were proved by Chen, Hou, Sun and Zhang [CHSZ], Fortin, Jacob and Mathieu [FJM], Hirschhorn and Sellers [HS], Kim [K], Lovejoy and Osburn [LO], Mahlburg [M], Wang [W], Xia [X] and Yao and Xia [YX]. Treener [T] proved that  $\bar{p}(5m^3n) \equiv 0 \pmod{5}$  for any  $n$  that is coprime to  $m$ , where  $m$  is a prime

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satisfying  $m \equiv -1 \pmod{5}$ . Hirschhorn and Sellers [HS] also conjectured that for  $n \geq 0$ ,

$$(1.1) \quad \bar{p}(40n + 35) \equiv 0 \pmod{5}.$$

This conjecture was confirmed by Chen and Xia [CX] by using  $(p, k)$ -parametrization of theta functions. Recently, using half-integral weight modular forms, Chen, Sun, Wang and Zhang [CSWZ] discovered three infinite families of congruences for  $\bar{p}(n)$  modulo 5. Wang [W] provided a new elementary proof for (1.1), and found some new congruences for the overpartition function modulo 5 and 9.

In this paper, we establish the following five new congruences for overpartitions modulo 5 by using the generating function for  $\bar{p}(4n)$  and some theta function identities due to Ramanujan.

**THEOREM 1.1.** *For  $n \geq 0$ ,*

$$(1.2) \quad \bar{p}(80n + 8) \equiv 0 \pmod{5},$$

$$(1.3) \quad \bar{p}(80n + 52) \equiv 0 \pmod{5},$$

$$(1.4) \quad \bar{p}(80n + 68) \equiv 0 \pmod{5},$$

$$(1.5) \quad \bar{p}(80n + 72) \equiv 0 \pmod{5},$$

and

$$(1.6) \quad \sum_{i+j=n} \bar{p}(80i + 32)\bar{p}(80j + 28) \equiv \sum_{i+j=n} \bar{p}(80i + 12)\bar{p}(80j + 48) \pmod{5}.$$

**2. Proof of the main results.** The following generating function for  $\bar{p}(4n)$  was established by Fortin, Jacob and Mathieu [FJM], and Hirschhorn and Sellers [HS]:

$$(2.1) \quad \sum_{n=0}^{\infty} \bar{p}(4n)q^n = \frac{(q^2; q^2)_{\infty}^{19}}{(q; q)_{\infty}^{14}(q^4; q^4)_{\infty}^6}.$$

Thanks to the binomial theorem,

$$(2.2) \quad (q; q)_{\infty}^5 \equiv (q^5; q^5)_{\infty} \pmod{5}.$$

By (2.2), we can write (2.1) as

$$(2.3) \quad \sum_{n=0}^{\infty} \bar{p}(4n)q^n \equiv \frac{(q; q)_{\infty}(q^2; q^2)_{\infty}^4(q^{10}; q^{10})_{\infty}^3}{(q^4; q^4)_{\infty}(q^5; q^5)_{\infty}^3(q^{20}; q^{20})_{\infty}} \pmod{5}.$$

Replacing  $q$  by  $-q$  in (2.3), applying the fact that

$$(-q; -q)_{\infty} = \frac{(q^2; q^2)_{\infty}^3}{(q; q)_{\infty}(q^4; q^4)_{\infty}}$$

and then using (2.2), we get

$$(2.4) \quad \sum_{n=0}^{\infty} (-1)^n \bar{p}(4n) q^n \equiv \frac{(q^2; q^2)_{\infty}^7 (q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty}^2}{(q; q)_{\infty} (q^4; q^4)_{\infty}^2 (q^{10}; q^{10})_{\infty}^6} \\ \equiv \frac{(q^2; q^2)_{\infty}^2}{(q; q)_{\infty}} (q^4; q^4)_{\infty}^3 \frac{(q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty}}{(q^{10}; q^{10})_{\infty}^5} \pmod{5}.$$

It follows from [B, Corollary (ii), p. 49] that

$$(2.5) \quad \frac{(q^2; q^2)_{\infty}^2}{(q; q)_{\infty}} = (-q^{10}, -q^{15}, q^{25}; q^{25})_{\infty} \\ + q(-q^5, -q^{20}, q^{25}; q^{25})_{\infty} + q^3 \frac{(q^{50}; q^{50})_{\infty}^2}{(q^{25}; q^{25})_{\infty}}.$$

We have the well-known result of Jacobi [A, p. 176] which states that

$$(q; q)_{\infty}^3 = \sum_{n=0}^{\infty} (-1)^n (2n + 1) q^{n(n+1)/2}.$$

This can be written as

$$(2.6) \quad (q; q)_{\infty}^3 \equiv (q^{10}, q^{15}, q^{25}; q^{25})_{\infty} - 3q(q^5, q^{20}, q^{25}; q^{25})_{\infty} \pmod{5}.$$

Substituting (2.5) and (2.6) into (2.4) and using (2.2), we get

$$(2.7) \quad \sum_{n=0}^{\infty} (-1)^n \bar{p}(4n) q^n \\ \equiv \left( (-q^{10}, -q^{15}, q^{25}; q^{25})_{\infty} + q(-q^5, -q^{20}, q^{25}; q^{25})_{\infty} + q^3 \frac{(q^{50}; q^{50})_{\infty}^2}{(q^{25}; q^{25})_{\infty}} \right) \\ \times \left( (q^{40}, q^{60}, q^{100}; q^{100})_{\infty} - 3q^4(q^{20}, q^{80}, q^{100}; q^{100})_{\infty} \right) \frac{(q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty}}{(q^{10}; q^{10})_{\infty}^5} \\ \equiv A_0(q) + qA_1(q) + q^3A_3(q) + q^4A_4(q) + q^5A_5(q) + q^7A_7(q) \pmod{5},$$

where

$$A_0(q) = \frac{(q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty} (-q^{10}, -q^{15}, q^{25}; q^{25})_{\infty} (q^{40}, q^{60}, q^{100}; q^{100})_{\infty}}{(q^{10}; q^{10})_{\infty}^5}, \\ A_1(q) = \frac{(q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty} (-q^5, -q^{20}, q^{25}; q^{25})_{\infty} (q^{40}, q^{60}, q^{100}; q^{100})_{\infty}}{(q^{10}; q^{10})_{\infty}^5}, \\ A_3(q) = \frac{(q^{20}; q^{20})_{\infty} (q^{50}; q^{50})_{\infty} (q^{40}, q^{60}, q^{100}; q^{100})_{\infty}}{(q^5; q^5)_{\infty}^2}, \\ A_4(q) = 2 \frac{(q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty} (-q^{10}, -q^{15}, q^{25}; q^{25})_{\infty} (q^{20}, q^{80}, q^{100}; q^{100})_{\infty}}{(q^{10}; q^{10})_{\infty}^5}, \\ A_5(q) = 2 \frac{(q^5; q^5)_{\infty}^3 (q^{20}; q^{20})_{\infty} (-q^5, -q^{20}, q^{25}; q^{25})_{\infty} (q^{20}, q^{80}, q^{100}; q^{100})_{\infty}}{(q^{10}; q^{10})_{\infty}^5},$$

$$A_7(q) = 2 \frac{(q^{20}; q^{20})_\infty (q^{50}; q^{50})_\infty (q^{20}, q^{80}, q^{100}; q^{100})_\infty}{(q^5; q^5)_\infty^2}.$$

If we extract the terms of the form  $q^{5n+2}$  (resp.  $q^{5n+3}$ ) in (2.7), divide by  $q^2$  (resp.  $q^3$ ) and replace  $q^5$  by  $q$ , we see that

$$(2.8) \quad \sum_{n=0}^\infty (-1)^n \bar{p}(4(5n+2)) q^n \equiv 2q \frac{(q^4; q^4)_\infty (q^{10}; q^{10})_\infty (q^4, q^{16}, q^{20}; q^{20})_\infty}{(q; q)_\infty^2} \pmod{5}$$

and

$$(2.9) \quad \sum_{n=0}^\infty (-1)^{n+1} \bar{p}(4(5n+3)) q^n \equiv \frac{(q^4; q^4)_\infty (q^{10}; q^{10})_\infty (q^8, q^{12}, q^{20}; q^{20})_\infty}{(q; q)_\infty^2} \pmod{5}.$$

It follows from [B, Entry 25, p. 40] that

$$(2.10) \quad \frac{1}{(q; q)_\infty^2} = \frac{(q^8; q^8)_\infty^5}{(q^2; q^2)_\infty^5 (q^{16}; q^{16})_\infty^2} + 2q \frac{(q^4; q^4)_\infty^2 (q^{16}; q^{16})_\infty^2}{(q^2; q^2)_\infty^5 (q^8; q^8)_\infty}.$$

Substituting (2.10) into (2.8) and (2.9), and then applying (2.2), we get

$$(2.11) \quad \sum_{n=0}^\infty (-1)^n \bar{p}(20n+8) q^n \equiv 2q (q^4; q^4)_\infty (q^{10}; q^{10})_\infty (q^4, q^{16}, q^{20}; q^{20})_\infty \times \left( \frac{(q^8; q^8)_\infty^5}{(q^2; q^2)_\infty^5 (q^{16}; q^{16})_\infty^2} + 2q \frac{(q^4; q^4)_\infty^2 (q^{16}; q^{16})_\infty^2}{(q^2; q^2)_\infty^5 (q^8; q^8)_\infty} \right) \equiv 2q \frac{(q^4; q^4)_\infty (q^8; q^8)_\infty^5 (q^4, q^{16}, q^{20}; q^{20})_\infty}{(q^{16}; q^{16})_\infty^2} + 4q^2 \frac{(q^4; q^4)_\infty^3 (q^{16}; q^{16})_\infty^2 (q^4, q^{16}, q^{20}; q^{20})_\infty}{(q^8; q^8)_\infty} \pmod{5}$$

and

$$(2.12) \quad \sum_{n=0}^\infty (-1)^{n+1} \bar{p}(20n+12) q^n \equiv (q^4; q^4)_\infty (q^{10}; q^{10})_\infty (q^8, q^{12}, q^{20}; q^{20})_\infty \times \left( \frac{(q^8; q^8)_\infty^5}{(q^2; q^2)_\infty^5 (q^{16}; q^{16})_\infty^2} + 2q \frac{(q^4; q^4)_\infty^2 (q^{16}; q^{16})_\infty^2}{(q^2; q^2)_\infty^5 (q^8; q^8)_\infty} \right) \equiv \frac{(q^4; q^4)_\infty (q^8; q^8)_\infty^5 (q^8, q^{12}, q^{20}; q^{20})_\infty}{(q^{16}; q^{16})_\infty^2} + 2q \frac{(q^4; q^4)_\infty^3 (q^{16}; q^{16})_\infty^2 (q^8, q^{12}, q^{20}; q^{20})_\infty}{(q^8; q^8)_\infty} \pmod{5}.$$

Congruences (1.2) and (1.4) follow from (2.11), and congruences (1.3) and (1.5) follow from (2.12). Moreover, congruences (2.11) and (2.12) imply that

$$(2.13) \quad \sum_{n=0}^{\infty} \bar{p}(80n + 12)q^n \equiv 4 \frac{(q; q)_{\infty} (q^2; q^2)_{\infty}^5 (q^2, q^3, q^5; q^5)_{\infty}}{(q^4; q^4)_{\infty}^2} \pmod{5},$$

$$(2.14) \quad \sum_{n=0}^{\infty} \bar{p}(80n + 28)q^n \equiv 3 \frac{(q; q)_{\infty} (q^2; q^2)_{\infty}^5 (q, q^4, q^5; q^5)_{\infty}}{(q^4; q^4)_{\infty}^2} \pmod{5},$$

$$(2.15) \quad \sum_{n=0}^{\infty} \bar{p}(40n + 32)q^n \equiv 2 \frac{(q; q)_{\infty}^3 (q^4; q^4)_{\infty}^2 (q^2, q^3, q^5; q^5)_{\infty}}{(q^2; q^2)_{\infty}} \pmod{5},$$

$$(2.16) \quad \sum_{n=0}^{\infty} \bar{p}(80n + 48)q^n \equiv 4 \frac{(q; q)_{\infty}^3 (q^4; q^4)_{\infty}^2 (q, q^4, q^5; q^5)_{\infty}}{(q^2; q^2)_{\infty}} \pmod{5}.$$

In view of (2.13)–(2.16), we have

$$\begin{aligned} \sum_{n=0}^{\infty} \sum_{i+j=n} \bar{p}(80i + 12)\bar{p}(80j + 48)q^n \\ \equiv \sum_{n=0}^{\infty} \sum_{i+j=n} \bar{p}(80i + 28)\bar{p}(80j + 32)q^n \pmod{5}, \end{aligned}$$

which yields (1.6). This completes the proof.

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