

A Mahler-type estimate of weighted Fekete sums on the Berkovich projective line

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1. Introduction. Let K be an algebraically closed field of possibly positive characteristic that is complete with respect to a non-trivial and possibly non-archimedean absolute value $|\cdot|$. Recall that K is said to be *non-archimedean* if the *strong triangle inequality*

$$|z + w| \leq \max\{|z|, |w|\}$$

holds for every $z, w \in K$; otherwise K is *archimedean*. It is known that $K \cong \mathbb{C}$ if and only if K is archimedean. The *Berkovich* projective line $\mathbb{P}^1 = \mathbb{P}^1(K)$ is a compact augmentation of the (classical) projective line $\mathbb{P}^1 = \mathbb{P}^1(K)$. It is known that $\mathbb{P}^1 \cong \mathbb{P}^1$ if and only if K is archimedean.

For archimedean $K \cong \mathbb{C}$, the (log of the) classical *Mahler's estimate* of the Fekete *product* $\prod_{i=1}^N \prod_{j:j \neq i} |z_i - z_j|$ for any N distinct points z_1, \dots, z_N in K is

$$(1.1) \quad \sum_{i=1}^N \sum_{j:j \neq i} (\log |z_i - z_j| - \log \max\{1, |z_i|\} - \log \max\{1, |z_j|\}) \leq N \log N$$

([15, Theorem 1]). Aiming to study the field of definition for periodic points of a rational function over a number field, Benedetto [4, Lemma 4.1] (in the case of $f \in K[z]$) and Baker [1, Theorem 1.1] (in the case of $f \in K(z)$) generalized (1.1) as follows; for every $f \in K(z)$ of degree > 1 ,

$$(1.2) \quad \sum_{i=1}^N \sum_{j:j \neq i} (\log [z_i, z_j] - g_f(z_i) - g_f(z_j)) \leq C \cdot N \log N$$

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for any N distinct points z_1, \dots, z_N in \mathbb{P}^1 , where $C \geq 0$ is an effective constant independent of z_1, \dots, z_N . Here, $[z, w]$ is the normalized chordal distance on \mathbb{P}^1 (see Notation 1.1 for the definition) and g_f is the dynamical Green function of f on \mathbb{P}^1 (see Fact 1.2 for the definition of g_f).

The proofs of (1.1) and (1.2) were based on Hadamard’s inequality, taking into account the geometry of the (homogeneous) filled Julia set of (the non-degenerate homogeneous lift of) f . One of our aims in this article is to give a simple proof of (1.2) with, in general, the asymptotically *best possible* lower estimate of the constant $C > 0$. Our proof is based on a simple formula for *weighted Fekete sums* and some upper and lower estimates of *regularized Fekete sums* (see §2.5 and §2.6, respectively) from potential theory on \mathbb{P}^1 . For the foundation of potential theory on \mathbb{P}^1 for non-archimedean K , see Baker–Rumely [2], Favre–Rivera-Letelier [9], Thuillier [17], and also Jönsson [13]. In the following, we adopt the notation of [16].

NOTATION 1.1 (Potential theory on \mathbb{P}^1). Let $\pi : K^2 \setminus \{(0, 0)\} \rightarrow \mathbb{P}^1 = \mathbb{P}^1(K)$ be the canonical projection so that $\pi(p_0, p_1) = p_1/p_0 \in K$ if $p_0 \neq 0$ and $\pi(0, 1) = \infty$. On K^2 , let $\|(p_0, p_1)\|$ be the maximal norm $\max\{|p_0|, |p_1|\}$ (for non-archimedean K) or the Euclidean norm $\sqrt{|p_0|^2 + |p_1|^2}$ (for archimedean K). With the wedge product $(z_0, z_1) \wedge (w_0, w_1) := z_0w_1 - z_1w_0$ on K^2 , the *normalized chordal metric* $[z, w]$ on \mathbb{P}^1 is the function

$$(z, w) \mapsto [z, w] := |p \wedge q| / (\|p\| \cdot \|q\|)$$

on $\mathbb{P}^1 \times \mathbb{P}^1$, where $p \in \pi^{-1}(z)$ and $q \in \pi^{-1}(w)$. For non-archimedean K , the *generalized Hsia kernel* $[\mathcal{S}, \mathcal{S}']_{\text{can}}$ on \mathbb{P}^1 with respect to \mathcal{S}_{can} is the unique (jointly) upper semicontinuous and separately continuous extension to $\mathbb{P}^1 \times \mathbb{P}^1$ of the chordal distance function $(z, w) \mapsto [z, w]$ on $\mathbb{P}^1 \times \mathbb{P}^1$ (see §2.2 for the definition of $[\mathcal{S}, \mathcal{S}']_{\text{can}}$). For archimedean K , by convention, the kernel function $[z, w]_{\text{can}}$ on $\mathbb{P}^1 \cong \mathbb{P}^1$ is defined to be $[z, w]$ itself.

Let $\delta_{\mathcal{S}}$ be the Dirac measure on \mathbb{P}^1 at a point $\mathcal{S} \in \mathbb{P}^1$. The probability Radon measure Ω_{can} on \mathbb{P}^1 is defined as

$$\Omega_{\text{can}} := \begin{cases} \delta_{\mathcal{S}_{\text{can}}} & \text{if } K \text{ is non-archimedean,} \\ \omega & \text{if } K \text{ is archimedean,} \end{cases}$$

where \mathcal{S}_{can} is the *canonical* (or *Gauss*) point in \mathbb{P}^1 for non-archimedean K (see §2.1 for the definition), and ω is the Fubini–Study area element on \mathbb{P}^1 normalized as $\omega(\mathbb{P}^1) = 1$ for archimedean K . The Laplacian Δ on \mathbb{P}^1 is normalized so that for each $\mathcal{S}' \in \mathbb{P}^1$,

$$\Delta \log[\cdot, \mathcal{S}']_{\text{can}} = \delta_{\mathcal{S}'} - \Omega_{\text{can}}$$

on \mathbb{P}^1 (for non-archimedean K , see [2, §5.4], [9, §2.4]; in [2] the opposite sign convention on Δ is adopted).

A *continuous weight* on \mathbb{P}^1 is a continuous function g on \mathbb{P}^1 such that $\mu^g := \Delta g + \Omega_{\text{can}}$ is a probability Radon measure on \mathbb{P}^1 . For a continuous weight g on \mathbb{P}^1 , the *g -potential kernel* on \mathbb{P}^1 (or the negative of an Arakelov Green kernel function on \mathbb{P}^1 relative to μ^g [2, §8.10]) is a (jointly) upper semicontinuous function

$$(1.3) \quad \Phi_g(\mathcal{S}, \mathcal{S}') := \log[\mathcal{S}, \mathcal{S}']_{\text{can}} - g(\mathcal{S}) - g(\mathcal{S}')$$

on $\mathbb{P}^1 \times \mathbb{P}^1$, and the *g -equilibrium energy* V_g of \mathbb{P}^1 (in fact $V_g \in \mathbb{R}$) is the supremum of the g -energy functional $\nu \mapsto \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_g d(\nu \times \nu) \in [-\infty, +\infty)$ over all probability Radon measures ν on \mathbb{P}^1 . A probability Radon measure ν on \mathbb{P}^1 at which the above g -energy functional attains the supremum V_g is called a *g -equilibrium mass distribution* on \mathbb{P}^1 ; in fact, μ^g is the unique g -equilibrium mass distribution on \mathbb{P}^1 (for non-archimedean K , see [2, Theorem 8.67, Proposition 8.70]).

A *normalized weight* on \mathbb{P}^1 is a continuous weight g on \mathbb{P}^1 satisfying $V_g = 0$ (for every continuous weight g on \mathbb{P}^1 , $\bar{g} := g + V_g/2$ is the unique normalized weight on \mathbb{P}^1 such that $\mu^{\bar{g}} = \mu^g$).

One of our principal results is the following Mahler-type estimate.

THEOREM 1. *Let K be an algebraically closed field of possibly positive characteristic that is complete with respect to a non-trivial and possibly non-archimedean absolute value. Let g be a normalized weight on \mathbb{P}^1 , and suppose that the restriction $g|_{\mathbb{P}^1}$ is a $1/\kappa$ -Hölder continuous function on $(\mathbb{P}^1, [z, w])$ for some $\kappa \geq 1$. Then setting $C := \sup_{z, w \in \mathbb{P}^1, z \neq w} |g(z) - g(w)|/[z, w]^{1/\kappa} \in \mathbb{R}_{\geq 0}$, for every non-empty finite subset F in \mathbb{P}^1 , we have*

$$(3.1') \quad \sum_{z \in F} \sum_{w \in F \setminus \{z\}} \Phi_g(z, w) \leq \kappa \cdot (\#F) \log(\#F) + 2(\#F) \left(C' + \epsilon_K \cdot (\#F)^{1-\kappa} + \sup_{\mathbb{P}^1} |g| \right),$$

where we also set $C' := C \cdot 2^{1/\kappa}$ if K is non-archimedean, and $C' := C$ otherwise, and $\epsilon_K := 1$ if K is archimedean, and $\epsilon_K := 0$ otherwise.

In particular,

$$(1.4) \quad \limsup_{N \rightarrow \infty} \left(\sup_{F \subset \mathbb{P}^1: 0 < \#F \leq N} \frac{\sum_{z \in F} \sum_{w \in F \setminus \{z\}} \Phi_g(z, w)}{(\#F) \log(\#F)} \right) \leq \kappa.$$

Theorem 1 is a consequence of (3.1) in Theorem 2 stated and shown in Section 3, which is a little technical but applies to any normalized weight g on \mathbb{P}^1 , involving the *restricted* modulus of continuity

$$(1.5) \quad \eta_{g,F}(\epsilon) := \max_{z \in F} \left(\sup_{\mathcal{S} \in \mathbb{P}^1: d(z, \mathcal{S}) \leq \epsilon} |g(z) - g(\mathcal{S})| \right) \quad \text{on } [0, 1]$$

of g around a non-empty finite subset F in \mathbb{P}^1 with respect to the metric \mathbf{d} on \mathbb{P}^1 (see §2.2 for the definition of \mathbf{d}). The estimate (1.2) is obtained as a special case of Theorem 1 by recalling the following.

FACT 1.2. For every $f \in K(z)$ of degree $d > 1$, whose action on \mathbb{P}^1 canonically extends to that on \mathbb{P}^1 , the weak limit $\mu_f = \lim_{n \rightarrow \infty} (f^n)^* \Omega_{\text{can}} / d^n$ exists on \mathbb{P}^1 , which is called the *f-equilibrium* (or *canonical*) measure on \mathbb{P}^1 (for non-archimedean K , see [2, §10], [6, §2], [9, §3.1]). We call the unique normalized weight g on \mathbb{P}^1 such that $\mu^g = \mu_f$ on \mathbb{P}^1 the *f-dynamical Green function* on \mathbb{P}^1 and denote it by g_f . It is known that $f : (\mathbb{P}^1, [z, w]) \rightarrow (\mathbb{P}^1, [z, w])$ is Lipschitz continuous (for non-archimedean K , see [14, Theorem 2]) and that if for every $n \in \mathbb{N}$, $f^n : (\mathbb{P}^1, [z, w]) \rightarrow (\mathbb{P}^1, [z, w])$ is M_n -Lipschitz continuous for some $M_n > d^n$, then $g_f|_{\mathbb{P}^1}$ is $1/\kappa$ -Hölder continuous on $(\mathbb{P}^1, [z, w])$ for every $\kappa > \limsup_{n \rightarrow \infty} (\log(M_n^{1/n})) / \log d$ (see e.g. [8, §6.6]).

Organization of the article. In Section 2, we recall the background from potential theory on \mathbb{P}^1 including a few preparatory lemmas and facts, and some details on the regularization of Dirac measures supported in \mathbb{P}^1 . In Section 3, we state and show Theorem 2, and then deduce Theorem 1 from it. In Section 4, we include a deduction of the lower estimate (2.6) of regularized Fekete sums, which plays a key role in the proof of Theorem 2. In Section 5, we include a few examples for which (1.4) is optimal.

2. Background from potential theory on \mathbb{P}^1 . Let K be an algebraically closed field that is complete with respect to a non-trivial absolute value $|\cdot|$.

For more details concerning this section, including references, see [16].

2.1. The Berkovich projective line \mathbb{P}^1 for non-archimedean K . Suppose that K is non-archimedean. A subset B in K is a (K -closed) *disk in K* if $B = \{z \in K : |z - a| \leq r\}$ for some $a \in K$ and $r \geq 0$. By the strong triangle inequality, *two disks in K are either nested or disjoint*. This alternative extends to any two decreasing infinite sequences of disks in K so that they are either *infinitely nested* or *eventually disjoint*, and induces the so called *cofinal equivalence* relation among them. As a set, the set of all cofinal equivalence classes \mathcal{S} of decreasing infinite sequences (B_n) of disks in K together with $\infty \in \mathbb{P}^1$ is nothing but \mathbb{P}^1 [5, p. 17]; if $\mathcal{S} \neq \infty$, then $B_{\mathcal{S}} := \bigcap_n B_n$ is independent of the choice of (B_n) and is itself a disk in K unless $B_{\mathcal{S}} = \emptyset$. For example, the *canonical* (or *Gauss*) *point* \mathcal{S}_{can} in \mathbb{P}^1 is the cofinal equivalence class of the constant sequence (B_n) of disks $B_n \equiv \mathcal{O}_K$ in K , where $\mathcal{O}_K := \{z \in K : |z| \leq 1\}$ is the ring of K -integers. Each $z \in \mathbb{P}^1$ is identified with the cofinal equivalence class of the constant sequence (B_n) of disks $B_n \equiv \{z\}$ in K .

The above alternative for decreasing infinite sequences of disks in K also induces a partial ordering \succeq on \mathbb{P}^1 so that for any $\mathcal{S}, \mathcal{S}' \in \mathbb{P}^1 \setminus \{\infty\}$ satisfying $B_{\mathcal{S}}, B_{\mathcal{S}'} \neq \emptyset$, $\mathcal{S} \succeq \mathcal{S}'$ if and only if $B_{\mathcal{S}} \supset B_{\mathcal{S}'}$ (the description of \succeq when $B_{\mathcal{S}}$ or $B_{\mathcal{S}'}$ is empty is a little complicated) and that $\infty \succeq \mathcal{S}$ for every $\mathcal{S} \in \mathbb{P}^1$, and endows \mathbb{P}^1 with the canonical *tree* structure in the sense of Jonsson [13, §2, Definition 2.2].

The topology of \mathbb{P}^1 coincides with the weak topology induced by the tree structure of \mathbb{P}^1 , and \mathbb{P}^1 is uniquely arcwise-connected and contains both \mathbb{P}^1 and $\mathbb{H}^1 = \mathbb{H}^1(K) := \mathbb{P}^1 \setminus \mathbb{P}^1$ as dense subsets.

2.2. The kernels $[\mathcal{S}, \mathcal{S}']_{\text{can}}$ and $|\mathcal{S} - \mathcal{S}'|_{\infty}$ and the distance d on \mathbb{P}^1 . Suppose first that K is non-archimedean. Let $\text{diam } B$ be the diameter of a disk B in K with respect to $|\cdot|$. For every $\mathcal{S} \in \mathbb{P}^1 \setminus \{\infty\}$ represented by a decreasing infinite sequence (B_n) of disks in K , set

$$\text{diam } \mathcal{S} := \lim_{n \rightarrow \infty} \text{diam } B_n \quad (= \text{diam } B_{\mathcal{S}} \text{ unless } B_{\mathcal{S}} = \emptyset),$$

which is independent of the choice of (B_n) , and set $\text{diam } \infty = \infty$. For every $\mathcal{S}, \mathcal{S}' \in \mathbb{P}^1$, let $\mathcal{S} \wedge \mathcal{S}'$ be the smallest $\mathcal{S}'' \in \mathbb{P}^1$ satisfying $\mathcal{S}'' \succeq \mathcal{S}$ and $\mathcal{S}'' \succeq \mathcal{S}'$. Under the convention that $\infty / \infty^2 = 0$, the generalized Hsia kernel $[\mathcal{S}, \mathcal{S}']_{\text{can}}$ on \mathbb{P}^1 with respect to \mathcal{S}_{can} is defined by the function

$$(2.1) \quad [\mathcal{S}, \mathcal{S}']_{\text{can}} := \frac{\text{diam } \mathcal{S}''}{(\text{diam}(\mathcal{S}_{\text{can}} \wedge \mathcal{S}''))^2}$$

on $\mathbb{P}^1 \times \mathbb{P}^1$, where \mathcal{S}'' is the unique point in \mathbb{P}^1 lying between \mathcal{S} and \mathcal{S}' , between \mathcal{S}' and \mathcal{S}_{can} , and between \mathcal{S}_{can} and \mathcal{S} with respect to \succeq (see [8, §3.4], [2, §4.4]). Then, as mentioned in Section 1, the kernel function $(\mathcal{S}, \mathcal{S}') \mapsto [\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$ is the unique (jointly) upper semicontinuous and separately continuous extension to $\mathbb{P}^1 \times \mathbb{P}^1$ of the chordal distance function $(z, w) \mapsto [z, w]$ on $\mathbb{P}^1 \times \mathbb{P}^1$. If K is archimedean, then $[z, w]_{\text{can}}$ is defined by $[z, w]$ itself on $\mathbb{P}^1 \cong \mathbb{P}^1$, by convention.

No matter whether K is archimedean or non-archimedean, set

$$(2.2) \quad d(\mathcal{S}, \mathcal{S}') := [\mathcal{S}, \mathcal{S}']_{\text{can}} - \frac{[\mathcal{S}, \mathcal{S}]_{\text{can}} + [\mathcal{S}', \mathcal{S}']_{\text{can}}}{2}$$

on $\mathbb{P}^1 \times \mathbb{P}^1$. If K is archimedean, then the function $d(z, w)$ on $\mathbb{P}^1 \times \mathbb{P}^1$ is nothing but the chordal metric $[z, w]$ on $\mathbb{P}^1 \cong \mathbb{P}^1$. If K is non-archimedean, the function d extends $[z, w]$ to \mathbb{P}^1 as a metric on \mathbb{P}^1 (see [8, §4.7], [2, §2.7]), and is called the *small model metric* on \mathbb{P}^1 .

Although the difference $\mathcal{S} - \mathcal{S}'$ between $\mathcal{S}, \mathcal{S}' \in \mathbb{P}^1$ is defined only if both $\mathcal{S}, \mathcal{S}'$ are in K , set

$$(2.3) \quad |\mathcal{S} - \mathcal{S}'|_{\infty} := \frac{[\mathcal{S}, \mathcal{S}']_{\text{can}}}{[\mathcal{S}, \infty]_{\text{can}} \cdot [\mathcal{S}', \infty]_{\text{can}}}$$

on $\mathbb{P}^1 \times \mathbb{P}^1$, under the convention $0/0^2 = \infty$. If K is archimedean, then the restriction $|z - w|_\infty$ to $K \times K$ is precisely the euclidean metric $|z - w|$ on $K \cong \mathbb{C}$. If K is non-archimedean, $|\mathcal{S} - \mathcal{S}'|_\infty$ is the unique (jointly) upper semicontinuous and separately continuous extension to $\mathbb{P}^1 \times \mathbb{P}^1$ of the distance function $(z, w) \mapsto |z - w| = [z, w]/([z, \infty] \cdot [w, \infty])$ on $K \times K$, and is called the (original) *Hsia kernel* on \mathbb{P}^1 (see [2, §4.4]).

LEMMA 2.1. *On $\mathbb{P}^1 \times \mathbb{P}^1$, $d(z, \mathcal{S}) \geq [z, \mathcal{S}]_{\text{can}}/2$.*

Proof. There is nothing to show for archimedean K , so suppose that K is non-archimedean. For every $z \in \mathbb{P}^1$ and every $\mathcal{S} \in \mathbb{P}^1$, by the definition (2.2) of the metric d , we have $d(z, \mathcal{S}) = [z, \mathcal{S}]_{\text{can}} - [\mathcal{S}, \mathcal{S}]_{\text{can}}/2$, and by the definition (2.1) of the kernel $[\mathcal{S}, \mathcal{S}']_{\text{can}}$, we have

$$\begin{aligned} [z, \mathcal{S}]_{\text{can}} &= \begin{cases} \text{diam}(z \wedge \mathcal{S}) & \text{if } \mathcal{S}_{\text{can}} \succeq \mathcal{S} \text{ and } \mathcal{S}_{\text{can}} \succeq z, \\ \text{diam } \mathcal{S}_{\text{can}} & \text{if } \mathcal{S}_{\text{can}} \succeq \mathcal{S} \text{ and } \mathcal{S}_{\text{can}} \not\succeq z, \\ 1/\text{diam}(\mathcal{S}_{\text{can}} \wedge z) & \text{if } \mathcal{S}_{\text{can}} \not\succeq \mathcal{S} \text{ and } \mathcal{S}_{\text{can}} \wedge \mathcal{S} \succeq \mathcal{S}_{\text{can}} \wedge z, \\ 1/\text{diam}(\mathcal{S}_{\text{can}} \wedge \mathcal{S}) & \text{if } \mathcal{S}_{\text{can}} \not\succeq \mathcal{S} \text{ and } \mathcal{S}_{\text{can}} \wedge z \succeq \mathcal{S}_{\text{can}} \wedge \mathcal{S} \end{cases} \\ &\geq \begin{cases} \text{diam } \mathcal{S} & \text{if } \mathcal{S}_{\text{can}} \succeq \mathcal{S}, \\ (\text{diam}(\mathcal{S}_{\text{can}} \wedge \mathcal{S})) / (\text{diam}(\mathcal{S}_{\text{can}} \wedge \mathcal{S}))^2 & \text{if } \mathcal{S}_{\text{can}} \not\succeq \mathcal{S} \end{cases} \\ &\geq \begin{cases} \text{diam } \mathcal{S} & \text{if } \mathcal{S}_{\text{can}} \succeq \mathcal{S}, \\ (\text{diam } \mathcal{S}) / (\text{diam}(\mathcal{S}_{\text{can}} \wedge \mathcal{S}))^2 & \text{if } \mathcal{S}_{\text{can}} \not\succeq \mathcal{S} \end{cases} \\ &= [\mathcal{S}, \mathcal{S}]_{\text{can}}, \end{aligned}$$

which completes the proof. ■

2.3. The isometry group U_K on (\mathbb{P}^1, d) . The action on \mathbb{P}^1 of a linear fractional transformation $h \in \text{PGL}(2, K)$ uniquely extends to \mathbb{P}^1 as a continuous automorphism of \mathbb{P}^1 , and induces the pullback h^* and the push-forward $h_* = (h^{-1})^*$ on the space of all continuous functions on \mathbb{P}^1 and, by duality, on the space of all probability Radon measures on \mathbb{P}^1 (see e.g. [2, §2.3]). Let U_K be either the subgroup $\text{PSU}(2, K)$ (for archimedean $K \cong \mathbb{C}$) or the subgroup $\text{PGL}(2, \mathcal{O}_K)$ (for non-archimedean K) in $\text{PGL}(2, K)$. Each $h \in U_K$ acts on $(\mathbb{P}^1, [z, w])$ isometrically (for non-archimedean K , see e.g. [3, §1]). Hence each $h \in U_K$ not only satisfies $[h(\mathcal{S}), h(\mathcal{S}')]_{\text{can}} = [\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$ by the separate continuity of both sides on $\mathbb{P}^1 \times \mathbb{P}^1$ and the density of \mathbb{P}^1 in \mathbb{P}^1 , but also acts on (\mathbb{P}^1, d) isometrically (recall the definition (2.2) of d). Moreover, for every $h \in U_K$, we have $h^* \Omega_{\text{can}} = \Omega_{\text{can}}$ on \mathbb{P}^1 ; indeed, fixing $\mathcal{S} \in \mathbb{P}^1$, we have

$$\begin{aligned} h^* \Omega_{\text{can}} &= h^* \delta_{\mathcal{S}} - \Delta h^* \log[\cdot, \mathcal{S}]_{\text{can}} = h^* \delta_{\mathcal{S}} - \Delta \log[h(\cdot), h(h^{-1}(\mathcal{S}))]_{\text{can}} \\ &= \delta_{h^{-1}(\mathcal{S})} - \Delta \log[\cdot, h^{-1}(\mathcal{S})]_{\text{can}} = \delta_{h^{-1}(\mathcal{S})} - (\delta_{h^{-1}(\mathcal{S})} - \Omega_{\text{can}}) = \Omega_{\text{can}} \quad \text{on } \mathbb{P}^1 \end{aligned}$$

(for the functoriality $h^* \Delta = \Delta h^*$ for non-archimedean K , see e.g. [2, §9]).

LEMMA 2.2. *For every normalized weight g on \mathbb{P}^1 and every $h \in U_K$, $g \circ h$ is also a normalized weight on \mathbb{P}^1 .*

Proof. We first compute

$$\mu^{g \circ h} = \Delta(g \circ h) + \Omega_{\text{can}} = h^*(\Delta g) + \Omega_{\text{can}} = h^*\mu^g - h^*\Omega_{\text{can}} + \Omega_{\text{can}} = h^*\mu^g,$$

which is a probability Radon measure on \mathbb{P}^1 , so $g \circ h$ is a continuous weight on \mathbb{P}^1 . Next, from $[h(\mathcal{S}), h(\mathcal{S}')]_{\text{can}} = [\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$ and the characterization of μ^g (resp. $\mu^{g \circ h}$) as the (unique) g -equilibrium (resp. $g \circ h$ -equilibrium) mass distribution on \mathbb{P}^1 , we have

$$V_{g \circ h} = \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_{g \circ h} d((h^*\mu^g) \times (h^*\mu^g)) = \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_g d(\mu^g \times \mu^g) = V_g = 0,$$

which completes the proof. ■

2.4. A regularization of an effective divisor on \mathbb{P}^1 . First, for every $z \in K$ and every $\epsilon > 0$, we define the ϵ -regularization of the Dirac measure δ_z on \mathbb{P}^1 to be the probability Radon measure

$$\begin{aligned} [z]_\epsilon &:= \Delta \log \max\{\epsilon, |\cdot - z|_\infty\} + \delta_\infty \\ &= \begin{cases} \delta_{\pi_\epsilon(z)} & \text{for non-archimedean } K, \\ m_{\{w \in K : |w - z| = \epsilon\}} & \text{for archimedean } K \end{cases} \end{aligned}$$

on \mathbb{P}^1 ; for non-archimedean K , the continuous mapping $\pi_\epsilon : \mathbb{P}^1 \rightarrow \mathbb{H}^1$ is defined so that for every $z \in K$, $\pi_\epsilon(z) \in \mathbb{H}^1$ is represented by the constant sequence (B_n) of disks $B_n \equiv \{w \in K : |w - z| \leq \epsilon\}$ in K , and for archimedean K , $m_{\partial\mathbb{D}(z, \epsilon)}$ is the 1-dimensional Lebesgue measure on the circle $\partial\mathbb{D}(z, \epsilon) := \{w \in K : |w - z| = \epsilon\}$ in $K \cong \mathbb{C}$ normalized as $m_{\partial\mathbb{D}(z, \epsilon)}(\partial\mathbb{D}(z, \epsilon)) = 1$. Next, with the involution $\iota(z) := 1/z \in U_K$ on \mathbb{P}^1 , for every $\epsilon > 0$, set

$$[\infty]_\epsilon := \iota_*[0]_\epsilon.$$

Then for every $z \in \mathbb{P}^1$ and every $\epsilon > 0$, $[z]_\epsilon$ has no atoms on \mathbb{P}^1 . The following justifies the terminology (cf. [8, Lemme 4.8], [12, §2.1]).

LEMMA 2.3. *For every $z \in \mathbb{P}^1$ and every $\epsilon > 0$, the chordal potential $U_{[z]_\epsilon}^\#(\cdot) := \int_{\mathbb{P}^1} \log[\cdot, \mathcal{S}']_{\text{can}} d[z]_\epsilon(\mathcal{S}')$ of the ϵ -regularization $[z]_\epsilon$ of δ_z on \mathbb{P}^1 is a continuous function on \mathbb{P}^1 .*

Proof. Fix $z \in \mathbb{P}^1$ and $\epsilon > 0$. For non-archimedean K , if $z \in K$, then $U_{[z]_\epsilon}^\#(\cdot) \equiv \log[\cdot, \pi_\epsilon(z)]_{\text{can}}$ is continuous on \mathbb{P}^1 by $\pi_\epsilon(z) \in \mathbb{H}^1$ and the separate continuity of $[\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$. For archimedean $K \cong \mathbb{C}$, if $z \in K$, then

$$\begin{aligned}
 w \mapsto U_{[z]_\epsilon}^\#(w) &= \int_0^{2\pi} \log[w, z + \epsilon e^{i\theta}] \frac{d\theta}{2\pi} \\
 &= \int_0^{2\pi} \log |(w - z) - \epsilon e^{i\theta}| \frac{d\theta}{2\pi} - \log \sqrt{1 + |w|^2} - \int_0^{2\pi} \log \sqrt{1 + |z + \epsilon e^{i\theta}|^2} \frac{d\theta}{2\pi} \\
 &\equiv \log(\max\{|w - z|, \epsilon\}) / \sqrt{1 + |w|^2} + U_{[z]_\epsilon}^\#(\infty)
 \end{aligned}$$

is continuous on $\mathbb{P}^1 \cong \mathbb{P}^1$. Finally, no matter whether K is archimedean or non-archimedean, $U_{[\infty]_\epsilon}^\# \equiv U_{[0]_\epsilon}^\# \circ \iota$ is continuous on \mathbb{P}^1 by the above continuity of $U_{[0]_\epsilon}^\#$ on \mathbb{P}^1 and the continuity of $\iota : \mathbb{P}^1 \rightarrow \mathbb{P}^1$. ■

2.5. The g -Fekete sum with respect to an effective divisor on \mathbb{P}^1 .

Every effective divisor \mathcal{Z} on $\mathbb{P}^1 = \mathbb{P}^1(K)$ can be regarded as a positive and discrete Radon measure

$$\sum_{w \in \text{supp } \mathcal{Z}} (\text{ord}_w \mathcal{Z}) \cdot \delta_w$$

on \mathbb{P}^1 , which is denoted by the same \mathcal{Z} . Let $\text{diag}_{\mathbb{P}^1}$ be the diagonal in $\mathbb{P}^1 \times \mathbb{P}^1$.

For a continuous weight g on \mathbb{P}^1 , the g -Fekete sum with respect to an effective divisor \mathcal{Z} on \mathbb{P}^1 is defined by

$$\begin{aligned}
 (\mathcal{Z}, \mathcal{Z})_g &:= \int_{(\mathbb{P}^1 \times \mathbb{P}^1) \setminus \text{diag}_{\mathbb{P}^1}} \Phi_g d(\mathcal{Z} \times \mathcal{Z}) \\
 &= \sum_{z \in \text{supp } \mathcal{Z}} \sum_{w \in \text{supp } \mathcal{Z} \setminus \{z\}} (\text{ord}_z \mathcal{Z})(\text{ord}_w \mathcal{Z}) \cdot \Phi_g(z, w) \in \mathbb{R},
 \end{aligned}$$

where the sign convention is opposite to those of Favre–Rivera-Letelier’s Dirichlet forms in [8], and is compatible with the log of the original Fekete product [10, 11] in Section 1.

Every non-empty finite subset F in \mathbb{P}^1 is canonically regarded as the effective divisor \mathcal{Z}_F on \mathbb{P}^1 such that $\text{supp } \mathcal{Z}_F = F$ and that $\text{ord}_w \mathcal{Z}_F = 1$ for every $w \in F$. For a continuous weight g on \mathbb{P}^1 and a non-empty finite subset F in \mathbb{P}^1 , we also define the g -Fekete sum with respect to F by

$$(F, F)_g := (\mathcal{Z}_F, \mathcal{Z}_F)_g = \sum_{z \in F} \sum_{w \in F \setminus \{z\}} \Phi_g(z, w),$$

which satisfies

$$(2.4) \quad (F, F)_g = 2 \cdot \sum_{w \in F \setminus \{z\}} \Phi_g(z, w) + (F \setminus \{z\}, F \setminus \{z\})_g$$

for every $z \in F$. Recall Lemma 2.2 here.

LEMMA 2.4. For every normalized weight g on \mathbb{P}^1 , every effective divisor \mathcal{Z} on \mathbb{P}^1 , and every $h \in U_K$,

$$(\mathcal{Z}, \mathcal{Z})_g = (h^*\mathcal{Z}, h^*\mathcal{Z})_{g \circ h}.$$

Proof. From $[h^{-1}(\mathcal{S}), h^{-1}(\mathcal{S}')]_{\text{can}} = [\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$ for every $h \in U_K$, we obtain

$$\begin{aligned} (\mathcal{Z}, \mathcal{Z})_g &= \int_{(\mathbb{P}^1 \times \mathbb{P}^1) \setminus \text{diag}_{\mathbb{P}^1}} \Phi_g d(\mathcal{Z} \times \mathcal{Z}) \\ &= \int_{(\mathbb{P}^1 \times \mathbb{P}^1) \setminus \text{diag}_{\mathbb{P}^1}} (\log[h^{-1}(\mathcal{S}), h^{-1}(\mathcal{S}')]_{\text{can}} - g(\mathcal{S}) - g(\mathcal{S}')) d(\mathcal{Z} \times \mathcal{Z})(\mathcal{S}, \mathcal{S}') \\ &= \int_{(\mathbb{P}^1 \times \mathbb{P}^1) \setminus \text{diag}_{\mathbb{P}^1}} \Phi_{g \circ h} d((h^*\mathcal{Z}) \times (h^*\mathcal{Z})) = (h^*\mathcal{Z}, h^*\mathcal{Z})_{g \circ h}, \end{aligned}$$

which completes the proof. ■

2.6. Estimates of regularized Fekete sums. For every $\epsilon > 0$ and every effective divisor \mathcal{Z} on \mathbb{P}^1 , the ϵ -regularization of \mathcal{Z} is defined by $\mathcal{Z}_\epsilon := \sum_{w \in \mathcal{Z}} (\text{ord}_w \mathcal{Z}) \cdot [w]_\epsilon$ on \mathbb{P}^1 , and for every continuous weight g on \mathbb{P}^1 , the ϵ -regularized g -Fekete sum with respect to \mathcal{Z} is

$$(\mathcal{Z}_\epsilon, \mathcal{Z}_\epsilon)_g := \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_g d(\mathcal{Z}_\epsilon \times \mathcal{Z}_\epsilon) \in \mathbb{R}.$$

FACT 2.5. If the continuous weight g is a normalized weight on \mathbb{P}^1 , then for every $\epsilon > 0$ and every effective divisor \mathcal{Z} on \mathbb{P}^1 , the continuity of $U_{[\mathcal{Z}]_\epsilon}^\#(\cdot)$ on \mathbb{P}^1 (Lemma 2.3) implies the *negativity*

$$(2.5) \quad (\mathcal{Z}_\epsilon, \mathcal{Z}_\epsilon)_g \leq V_g = 0$$

(cf. [8, §2.5 et §4.5]), and if in addition $\epsilon \in (0, 1]$, then also

$$\begin{aligned} (2.6) \quad (\mathcal{Z}_\epsilon, \mathcal{Z}_\epsilon)_g &\geq (\mathcal{Z}, \mathcal{Z})_g + 2 \sum_{w \in \text{supp } \mathcal{Z} \setminus \{\infty\}} (\text{ord}_w \mathcal{Z})^2 \cdot \log[w, \infty] \\ &\quad - 2 \sum_{w \in \text{supp } \mathcal{Z}} (\text{ord}_w \mathcal{Z})^2 g(w) \\ &\quad + (\log \epsilon) \cdot (\mathcal{Z} \times \mathcal{Z})(\text{diag}_{\mathbb{P}^1}) - 2(\text{deg } \mathcal{Z})^2 \cdot \hat{\eta}_{g, \text{supp } \mathcal{Z}}(\epsilon), \end{aligned}$$

where for every non-empty subset F in \mathbb{P}^1 , recalling the definition (1.5) of the restricted modulus of continuity $\eta_{g,F} : [0, 1] \rightarrow \mathbb{R}_{\geq 0}$ of g around F with respect to \mathbf{d} , the function $\hat{\eta}_{g,F} : [0, 1] \rightarrow \mathbb{R}_{\geq 0}$ is defined by

$$(2.7) \quad [0, 1] \ni \epsilon \mapsto \hat{\eta}_{g,F}(\epsilon) := \eta_{g,F}(\epsilon) + \begin{cases} 0 & \text{for non-archimedean } K, \\ \epsilon & \text{for archimedean } K \end{cases}$$

(cf. [8, §2.6 et §4.7]).

We will include a deduction of (2.6) in Section 4.

3. Proofs of Theorems 1 and 2. Let K be an algebraically closed field that is complete with respect to a non-trivial absolute value, and let g be a normalized weight on \mathbb{P}^1 . Recall the definition (2.7) of $\hat{\eta}_{g,F}$ (and (1.5) of $\eta_{g,F}$). The following could be regarded as a refinement of Favre–Rivera-Letelier [8, Propositions 2.8 et 4.9].

THEOREM 2. *Let K be an algebraically closed field of possibly positive characteristic that is complete with respect to a non-trivial and possibly non-archimedean absolute value, and let g be a normalized weight on \mathbb{P}^1 . Then for every non-empty finite subset F in \mathbb{P}^1 and every $\epsilon \in (0, 1]$,*

$$(3.1) \quad (F, F)_g \leq (\#F) \log(\epsilon^{-1}) + 2(\#F)^2 \cdot \hat{\eta}_{g,F}(\epsilon) + 2(\#F) \sup_{\mathbb{P}^1} |g|.$$

REMARK 3.1. By [8, Propositions 2.8 et 4.9] mentioned above, we could only assert that for every non-empty and finite subset F in $\mathbb{P}^1 \setminus \{\infty\}$ and every $\epsilon \in (0, 1]$,

$$(3.2) \quad (F, F)_g \leq (\#F) \log(\epsilon^{-1}) + 2(\#F)^2 \hat{\eta}_{g,F}(\epsilon) + 2(\#F) \sup_{\mathbb{P}^1} |g| - 2 \sum_{w \in F} \log[w, \infty].$$

We note that the term $-2 \sum_{w \in F} \log[w, \infty]$ is strictly positive. Even to obtain the sharper (3.1) in the case $F \subset \mathbb{P}^1 \setminus \{\infty\}$, we needed (2.5) and (2.6) applicable to F possibly containing ∞ , and the formula (2.4).

Proof of Theorem 2. Fix $\epsilon \in (0, 1]$. Let us show (3.1) for every non-empty finite subset F in \mathbb{P}^1 by induction on $\#F$. First of all, for every singleton F in \mathbb{P}^1 , we have $(F, F)_g = 0$, so (3.1) holds. Let $N \in \mathbb{N}$ be more than 1, and suppose that (3.1) holds for every $F \subset \mathbb{P}^1$ satisfying $\#F = N - 1$.

Fix $F \subset \mathbb{P}^1$ satisfying $\#F = N$. If $\infty \in F$, then $\#(F \setminus \{\infty\}) = N - 1$. By the upper and lower estimates (2.5) and (2.6) of $((\mathcal{Z}_F)_\epsilon, (\mathcal{Z}_F)_\epsilon)_g$, we have

$$\begin{aligned} 2 \cdot \sum_{w \in F \setminus \{\infty\}} \Phi_g(w, \infty) &= 2 \cdot \sum_{w \in F \setminus \{\infty\}} (\log[w, \infty] - g(w) - g(\infty)) \\ &= 2 \cdot \sum_{w \in F \setminus \{\infty\}} \log[w, \infty] - 2 \left(\sum_{w \in F \setminus \{\infty\}} g(w) + (N - 1) \cdot g(\infty) \right) \\ &\leq \left(-(F, F)_g + 2 \sum_{w \in F} g(w) - N \cdot \log \epsilon + 2N^2 \cdot \hat{\eta}_{g,F}(\epsilon) \right) \quad (\text{by (2.5) and (2.6)}) \\ &\quad - 2 \left(\sum_{w \in F \setminus \{\infty\}} g(w) + (N - 1) \cdot g(\infty) \right) \\ &= -(F, F)_g + N \cdot \log(\epsilon^{-1}) + 2N^2 \cdot \hat{\eta}_{g,F}(\epsilon) - 2(N - 2)g(\infty), \end{aligned}$$

which together with the formula (2.4) on $(F, F)_g$ for $z = \infty$ yields

$$2(F, F)_g \leq N \cdot \log(\epsilon^{-1}) + 2N^2 \cdot \hat{\eta}_{g,F}(\epsilon) + 2N \cdot \sup_{\mathbb{P}^1} |g| + (F \setminus \{\infty\}, F \setminus \{\infty\})_g,$$

and we also note that $\eta_{g,F \setminus \{\infty\}}(\epsilon) \leq \eta_{g,F}(\epsilon)$. Hence the induction assumption applied to $(F \setminus \{\infty\}, F \setminus \{\infty\})_g$ completes the proof of (3.1) in this case. If $\infty \notin F$, then there is $h \in U_K$ satisfying $\infty \in h^{-1}(F)$. Then $\#(h^{-1}(F)) = N$. By (Lemma 2.2 and) Lemma 2.4, we have $(F, F)_g = (h^{-1}(F), h^{-1}(F))_{g \circ h}$, and by $d(h(\mathcal{S}), h(\mathcal{S}')) = d(\mathcal{S}, \mathcal{S}')$ on $\mathbb{P}^1 \times \mathbb{P}^1$, we also have $\eta_{g,F} \equiv \eta_{g \circ h, h^{-1}(F)}$ on $[0, 1]$. Hence (3.1) applied to $(h^{-1}(F), h^{-1}(F))_{g \circ h}$ completes the proof of (3.1) in this case. ■

Proof of Theorem 1. Suppose that $g|_{\mathbb{P}^1}$ is $1/\kappa$ -Hölder continuous on $(\mathbb{P}^1, [z, w])$ for some $\kappa \geq 1$ so that $C := \sup_{z, w \in \mathbb{P}^1, z \neq w} |g(z) - g(w)|/[z, w]^{1/\kappa} \in \mathbb{R}_{\geq 0}$. Recall the definition of $C' \in \mathbb{R}_{\geq 0}$ in Theorem 1.

LEMMA 3.2. *On $\mathbb{P}^1 \times \mathbb{P}^1$, $|g(z) - g(\mathcal{S})| \leq C' \cdot d(z, \mathcal{S})^{1/\kappa}$.*

Proof. If K is archimedean, then there is nothing to show by $\mathbb{P}^1 \cong \mathbb{P}^1$, $d(z, w) = [z, w]$ on $\mathbb{P}^1 \times \mathbb{P}^1$, and $C' := C$. Suppose that K is non-archimedean. Then $C' := C \cdot 2^{1/\kappa}$, and for every $z \in \mathbb{P}^1$, by the continuity of g and $[z, \cdot]_{\text{can}}$ on \mathbb{P}^1 and the density of \mathbb{P}^1 in \mathbb{P}^1 , we have $|g(z) - g(\cdot)| \leq C[z, \cdot]_{\text{can}}^{1/\kappa}$ on \mathbb{P}^1 , and in turn $|g(z) - g(\cdot)| \leq (C \cdot 2^{1/\kappa}) \cdot d(z, \cdot)^{1/\kappa}$ on \mathbb{P}^1 by Lemma 2.1. ■

Once Lemma 3.2 is at our disposal, for every non-empty finite subset F in \mathbb{P}^1 we have $\eta_{g,F}(\epsilon) \leq C' \epsilon^{1/\kappa}$ on $[0, 1]$, so by setting $\epsilon = (\#F)^{-\kappa} \in (0, 1]$ in (3.1), we obtain (3.1'). Now the proof of Theorem 1 is complete. ■

4. On deduction of (2.6). Let K be an algebraically closed field that is complete with respect to a non-trivial absolute value $|\cdot|$. Let g be a continuous weight on \mathbb{P}^1 and, for every non-empty finite subset F in \mathbb{P}^1 , recall the definitions (1.5) and (2.7) of the functions $\eta_{g,F} : [0, 1] \rightarrow \mathbb{R}_{\geq 0}$ and $\hat{\eta}_{g,F} : [0, 1] \rightarrow \mathbb{R}_{\geq 0}$. Let us see that for every $\epsilon \in (0, 1]$ and every $z, w \in \mathbb{P}^1$,

$$(4.1) \quad \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_g d([z]_\epsilon \times [w]_\epsilon) \geq \begin{cases} \Phi_g(z, w) - 2\hat{\eta}_{g,\{z,w\}}(\epsilon) & \text{if } z \neq w, \\ \log \epsilon + 2 \log [z, \infty] - 2g(z) - 2\hat{\eta}_{g,\{z\}}(\epsilon) & \text{if } z = w \in K, \\ \log \epsilon - 2g(\infty) - 2\hat{\eta}_{g,\{\infty\}}(\epsilon) & \text{if } z = w = \infty. \end{cases}$$

Once (4.1) is at our disposal, (2.6) will follow by a computation similar to that in [16, proof of Lemma 6.1].

REMARK 4.1. An estimate similar to (4.1) was obtained in [16, Lemma 3.2], where for archimedean K , the definition of $[z]_\epsilon$ was slightly different (or, more precisely, $[z]_\epsilon$ was defined to be more smooth for archimedean K).

Proof of (4.1). We recall that for every $\epsilon > 0$ and every $z, w \in K$,

$$(4.2) \quad \int_{\mathbb{P}^1 \times \mathbb{P}^1} \log |\mathcal{S} - \mathcal{S}'|_\infty d([z]_\epsilon \times [w]_\epsilon)(\mathcal{S}, \mathcal{S}') \geq \begin{cases} \log |z - w| & \text{if } z \neq w, \\ \log \epsilon & \text{if } z = w \end{cases}$$

(see Favre–Rivera-Letelier [8, Lemme 4.11] and Fili–Pottmeyer [12, Lemma 4] for non-archimedean and archimedean K , respectively), and for every $\epsilon \in (0, 1]$ and every $z \in K$, we have

$$(4.3) \quad \text{supp } [z]_\epsilon \subset \{\mathcal{S} \in \mathbb{P}^1 : |\mathcal{S} - z|_\infty \leq \epsilon\} \subset \{\mathcal{S} \in \mathbb{P}^1 : d(\mathcal{S}, z) \leq \epsilon\}.$$

By the first inclusion of (4.3) and the density of \mathbb{P}^1 in \mathbb{P}^1 , a direct computation shows that for every $z \in K$ and every $\epsilon \in (0, 1]$,

$$(4.4) \quad \sup_{\mathcal{S} \in \text{supp } [z]_\epsilon} |\log[\mathcal{S}, \infty]_{\text{can}} - \log[z, \infty]| \leq \begin{cases} \epsilon & \text{for archimedean } K, \\ 0 & \text{for non-archimedean } K. \end{cases}$$

By (4.2)–(4.4) (and the definitions (1.3) and (2.3) of Φ_g and $|\mathcal{S} - \mathcal{S}'|_\infty$, respectively), we immediately deduce (4.1) for every $z, w \in K$ and every $\epsilon \in (0, 1]$, and also by $[\iota(\mathcal{S}), \iota(\mathcal{S}')]_{\text{can}} = [\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$, for every $\epsilon \in (0, 1]$ we have

$$\begin{aligned} & \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_g d([\infty]_\epsilon \times [\infty]_\epsilon) \\ & \geq \int_{\mathbb{P}^1 \times \mathbb{P}^1} \log[\mathcal{S}, \mathcal{S}']_{\text{can}} d([0]_\epsilon \times [0]_\epsilon)(\mathcal{S}, \mathcal{S}') - 2g(\infty) - 2\eta_{g, \{\infty\}}(\epsilon) \\ & \geq \log \epsilon + 2 \log[0, \infty] - 2g(\infty) - 2\hat{\eta}_{g, \{\infty\}}(\epsilon) = \log \epsilon - 2g(\infty) - 2\hat{\eta}_{g, \{\infty\}}(\epsilon). \end{aligned}$$

There remains the case where $z = \infty$ and $w \in K$. By $d(\iota(\mathcal{S}), \iota(\mathcal{S}')) = d(\mathcal{S}, \mathcal{S}')$ on $\mathbb{P}^1 \times \mathbb{P}^1$ and (4.3), for every $\epsilon \in (0, 1]$ and every $z \in K$, we obtain

$$\begin{aligned} & \int_{\mathbb{P}^1 \times \mathbb{P}^1} \Phi_g d([\infty]_\epsilon \times [z]_\epsilon) \\ & \geq \int_{\mathbb{P}^1 \times \mathbb{P}^1} \log[\mathcal{S}, \mathcal{S}']_{\text{can}} d([\infty]_\epsilon \times [z]_\epsilon)(\mathcal{S}, \mathcal{S}') - g(\infty) - g(z) - 2\eta_{g, \{\infty, z\}}(\epsilon); \end{aligned}$$

moreover, (i) for archimedean $K \cong \mathbb{C}$, also by $\iota^2 = \text{Id}$ on \mathbb{P}^1 , we have

$$\begin{aligned} & \int_{\mathbb{P}^1 \times \mathbb{P}^1} \log[\mathcal{S}, \mathcal{S}']_{\text{can}} d([\infty]_\epsilon \times [z]_\epsilon)(\mathcal{S}, \mathcal{S}') \\ & = \int_{\mathbb{P}^1 \times \mathbb{P}^1} \log[\mathcal{S}, \mathcal{S}']_{\text{can}} d([0]_\epsilon \times \iota_*[z]_\epsilon)(\mathcal{S}, \mathcal{S}') \end{aligned}$$

$$\begin{aligned}
 &= \int_{\mathbf{P}^1 \times \mathbf{P}^1} \log |\mathcal{S} - \mathcal{S}'|_\infty d([0]_\epsilon \times \iota_*[z]_\epsilon)(\mathcal{S}, \mathcal{S}') \\
 &\quad + \int_{\mathbf{P}^1} \log[\mathcal{S}, \infty]_{\text{can}} d[0]_\epsilon(\mathcal{S}) + \int_{\mathbf{P}^1} \log[\mathcal{S}', 0]_{\text{can}} d[z]_\epsilon(\mathcal{S}') \\
 &\geq \int_{\mathbf{P}^1} \log[\mathcal{S}, \infty]_{\text{can}} d[0]_\epsilon(\mathcal{S}) + \int_{\mathbf{P}^1} \log[\mathcal{S}', \infty]_{\text{can}} d[z]_\epsilon(\mathcal{S}') \\
 &\geq \log[0, \infty] + \log[z, \infty] - 2\epsilon = \log[z, \infty] - 2\epsilon,
 \end{aligned}$$

the former inequality in which holds because

$$\begin{aligned}
 &\int_{\mathbf{P}^1 \times \mathbf{P}^1} \log |\mathcal{S} - \mathcal{S}'|_\infty d([0]_\epsilon \times \iota_*[z]_\epsilon)(\mathcal{S}, \mathcal{S}') \\
 &= \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{2\pi} \log \left| \epsilon e^{i\theta} - \frac{1}{z + \epsilon e^{i\phi}} \right| \frac{d\theta}{2\pi} = \int_0^{2\pi} \max \left\{ \log \left| \frac{1}{z + \epsilon e^{i\phi}} \right|, \log \epsilon \right\} \frac{d\phi}{2\pi} \\
 &\geq - \int_0^{2\pi} \log |(z + \epsilon e^{i\phi}) - 0| \frac{d\phi}{2\pi} = - \int_{\mathbf{P}^1} \log |\mathcal{S}' - 0|_\infty d[z]_\epsilon(\mathcal{S}') \\
 &= - \int_{\mathbf{P}^1} \log[\mathcal{S}', 0]_{\text{can}} d[z]_\epsilon(\mathcal{S}') + \int_{\mathbf{P}^1} \log[\mathcal{S}', \infty]_{\text{can}} d[z]_\epsilon(\mathcal{S}'),
 \end{aligned}$$

and (ii) for non-archimedean K , also by the definition (2.1) of $[\mathcal{S}, \mathcal{S}']_{\text{can}}$, we have

$$\begin{aligned}
 &\int_{\mathbf{P}^1 \times \mathbf{P}^1} \log[\mathcal{S}, \mathcal{S}']_{\text{can}} d([\infty]_\epsilon \times [z]_\epsilon)(\mathcal{S}, \mathcal{S}') \\
 &\quad = \log[\iota(\pi_\epsilon(0)), \pi_\epsilon(z)]_{\text{can}} \\
 &\quad \left(= \begin{cases} \log \text{diam } \mathcal{S}_{\text{can}} = 1 & \text{if } |z| \leq 1 \\ \log[\pi_\epsilon(0), \iota(\pi_\epsilon(z))]_{\text{can}} \geq \log[0, \iota(z)] & \text{otherwise} \end{cases} \right) \\
 &\quad \geq \log[\infty, z].
 \end{aligned}$$

Hence $\int_{\mathbf{P}^1 \times \mathbf{P}^1} \Phi_g d([\infty]_\epsilon \times [z]_\epsilon) \geq \Phi_g(\infty, z) - 2\hat{\eta}_{g, \{\infty, z\}}(\epsilon)$ for every $\epsilon \in (0, 1]$ and every $z \in K$, and the proof of (4.1) is complete. ■

5. On the optimality of (1.4). Let K be an algebraically closed field that is complete with respect to a non-trivial absolute value $|\cdot|$. We include a few examples of normalized weights g on \mathbf{P}^1 for which (1.4) is optimal.

For archimedean K , the function $z \mapsto \log \max\{1, |z|\} + \log[z, \infty]$ on $K \cong \mathbb{C}$ extends to a normalized weight g_0 on $\mathbf{P}^1 \cong \mathbb{P}^1$, which is Lipschitz, i.e., $1/\kappa$ -Hölder continuous on $(\mathbb{P}^1, [z, w])$ for $\kappa = 1$, by the piecewise smoothness of g_0 on \mathbf{P}^1 . Moreover, for every $N \in \mathbb{N}$, we have $g_0 \equiv \log[\cdot, \infty]$ on $F_N :=$

$\{e^{2i\pi k/N} : k \in \{0, 1, \dots, N - 1\}\}$ and

$$\begin{aligned} & \frac{\sum_{z \in F_N} \sum_{w \in F_N \setminus \{z\}} \Phi_{g_0}(z, w)}{(\#F_N) \log(\#F_N)} \\ &= \frac{\sum_{k=0}^{N-1} \sum_{j \in \{0, 1, \dots, N-1\} \setminus \{k\}} \log |e^{2i\pi k/N} - e^{2i\pi j/N}|}{N \log N} \\ &= \frac{\sum_{k=0}^{N-1} \log |(z^N - 1)'|_{z=e^{2i\pi k/N}}|}{N \log N} = \frac{N \log |N|}{N \log N} = 1, \end{aligned}$$

which implies that (1.4) is optimal for g_0 .

For non-archimedean K , we can fix $d \in \mathbb{N}$ such that $d > 1$ and $|d| = 1$ (note that if $k \in \mathbb{N}$ satisfies $|k| < 1$, then $|k + 1| = \max\{|k|, |1|\} = 1$). Fix $\lambda \in K$ such that $|\lambda| > d^{d/(d-1)}$ (> 1) and set $f_\lambda(z) := z^d + \lambda \in K[z]$. Then $g_{f_\lambda}(\mathcal{S}) = -\lim_{n \rightarrow \infty} (\log[f_\lambda^n(\mathcal{S}), \infty]_{\text{can}})/d^n + \log[\mathcal{S}, \infty]_{\text{can}}$ on \mathbb{P}^1 .

For every $z \in K$ and every $w \in K \setminus \{z\}$, we have $(f_\lambda(z) - f_\lambda(w))/(z - w) = \sum_{j=0}^{d-1} z^j w^{d-1-j}$, so that by the strong triangle inequality, we have

$$(5.1) \quad \frac{|f_\lambda(z) - f_\lambda(w)|}{|z - w|} \leq \max\{|z|, |w|\}^{d-1}.$$

By $|\lambda| > 1$ (and the strong triangle inequality), we also have $f_\lambda(\{z \in K : |z| \leq |\lambda|^{1/d}\}) \subset \{z \in K : |z| \leq |\lambda|\}$, $f_\lambda(\{z \in K : |z| < |\lambda|^{1/d}\}) \subset \{z \in K : |z| = |\lambda|\}$ and $f_\lambda(\{z \in K : |z| > |\lambda|^{1/d}\}) \subset \{z \in K : |z| > |\lambda|^{1/d}\}$, and on $\{z \in K : |z| > |\lambda|^{1/d}\}$, $f_\lambda(z) = z^d$ (so $|f_\lambda(z)| = |z|^d > |z| > |\lambda|^{1/d} > 1$). In particular,

$$(5.2) \quad \sup_{z \in K, n \in \mathbb{N}} \frac{\max\{1, |z|\}}{\max\{1, |f_\lambda^n(z)|\}} \leq |\lambda|^{1/d},$$

and for every $n \in \mathbb{N}$, setting $P_n := \{z \in K : f_\lambda^n(z) = z\}$, we obtain $P_n \subset \{z \in K : |z| = |\lambda|^{1/d}\}$, $\#P_n = d^n$, and $g_{f_\lambda} \equiv \log[\cdot, \infty]$ on P_n , and also by the chain rule and $|d| = 1$, we have $|(f_\lambda^n)'| \equiv (|d| |\lambda|^{(d-1)/d})^n = (|\lambda|^{(d-1)/d})^n (> 1)$ on P_n .

Hence, for every $n \in \mathbb{N}$, using also the strong triangle inequality, we get

$$\begin{aligned} & \frac{\sum_{z \in P_n} \sum_{w \in P_n \setminus \{z\}} \Phi_{g_{f_\lambda}}(z, w)}{(\#P_n) \log(\#P_n)} = \frac{\sum_{w \in P_n} \log |(f_\lambda^n(z) - z)'|_{z=w}|}{d^n \cdot \log(d^n)} \\ &= \frac{\log((|\lambda|^{(d-1)/d})^n)}{n \log d} = \frac{\log(|\lambda|^{(d-1)/d})}{\log d}. \end{aligned}$$

On the other hand, for every $n \in \mathbb{N}$, every $z \in K$ and every $w \in K \setminus \{z\}$, setting

$$t := \min\{j \in \{0, 1, \dots, n - 1\} : \max\{|f^j(z)|, |f^j(w)|\} > |\lambda|^{1/d}\}$$

(under the convention that $\min \emptyset = -\infty$) and $L_t := \max\{|f^t(z)|, |f^t(w)|\}$ unless $t = -\infty$, recalling the definition of $[z, w]$ in Notation 1.1, and using

(5.1) and (5.2), we obtain

$$\begin{aligned} & \frac{[f_\lambda^n(z), f_\lambda^n(w)]}{[z, w]} \\ & \leq \left(\prod_{j=0}^{n-1} \max\{|f_\lambda^j(z)|, |f_\lambda^j(w)|\} \right)^{d-1} \cdot \frac{\max\{1, |z|\}}{\max\{1, |f_\lambda^n(z)|\}} \cdot \frac{\max\{1, |w|\}}{\max\{1, |f_\lambda^n(w)|\}} \\ & \leq \begin{cases} ((|\lambda|^{1/d})^n)^{(d-1)} \cdot (|\lambda|^{1/d})^2 & \text{if } t = -\infty, \\ \frac{(\max\{|z|, |w|\})^{(d^n-1)/(d-1)} \cdot \max\{|z|, |w|\}}{\max\{|z|, |w|\}^{d^n}} \cdot |\lambda|^{1/d} & \text{if } t = 0, \\ ((|\lambda|^{1/d})^{t-1})^{d-1} \cdot \frac{(L_t^{(d^{n-t}-1)/(d-1)})^{(d-1)} \cdot L_t}{L_t^{d^{n-t}}} \cdot |\lambda|^{1/d} & \text{if } t \in \{1, \dots, n-1\} \end{cases} \\ & \leq ((|\lambda|^{1/d})^n)^{(d-1)} \cdot (|\lambda|^{1/d})^2, \end{aligned}$$

so that for every $n \in \mathbb{N}$, using also $|\lambda| > d^{d/(d-1)}$, we deduce that

$$\sup_{z, w \in \mathbb{P}^1, z \neq w} \frac{[f_\lambda^n(z), f_\lambda^n(w)]}{[z, w]} \leq ((|\lambda|^{1/d})^n)^{d-1} \cdot (|\lambda|^{1/d})^2 > d^n.$$

In particular, by Fact 1.2, $g_{f_\lambda}|_{\mathbb{P}^1}$ is $1/\kappa$ -Hölder continuous on $(\mathbb{P}^1, [z, w])$ for every $\kappa > (\log(|\lambda|^{(d-1)/d}))/\log d$. Hence (1.4) is optimal for g_{f_λ} .

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