

Sharp bounds for oscillatory singular integrals on Hardy spaces

by

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Abstract. We establish the optimal bound for oscillatory singular integrals with polynomial phase functions of arbitrary degrees on the Hardy space $H^1(\mathbb{R}^n)$. We also prove that such a bound remains valid in the setting of weighted Hardy spaces.

1. Introduction. Let $n \in \mathbb{N}$, $d \in \mathbb{N} \cup \{0\}$, and let $\mathcal{P}(n, d)$ denote the set of all polynomials on \mathbb{R}^n with real coefficients and degrees not exceeding d .

Let $K(x)$ be a Calderón–Zygmund kernel on \mathbb{R}^n . For any $P \in \mathcal{P}(n, d)$, we consider the following oscillatory singular integral operator:

$$(1) \quad T_P : f \mapsto \text{p.v.} \int_{\mathbb{R}^n} e^{iP(x-y)} K(x-y) f(y) dy.$$

Thanks to the work of Ricci–Stein [10] and Chanillo–Christ [4], it has been known for quite some time that T_P is bounded from $L^p(\mathbb{R}^n)$ to $L^p(\mathbb{R}^n)$ when $1 < p < \infty$, and from $L^1(\mathbb{R}^n)$ to $L^{1,\infty}(\mathbb{R}^n)$, with bounds on $\|T_P\|_{L^p \rightarrow L^p}$ and $\|T_P\|_{L^1 \rightarrow L^{1,\infty}}$ dependent on K, n and d , but not on the coefficients of P . However, an example given in [9] revealed that analogous uniform boundedness of T_P does not hold on the space $H^1(\mathbb{R}^n)$.

Various estimates for $\|T_P\|_{H^1 \rightarrow H^1}$, initially for phase polynomials which have no first order terms and subsequently for more general phases, have been obtained in [9], [8] and [1]. The following theorem from [1] provides an estimate on $\|T_P\|_{H^1 \rightarrow H^1}$ for each P in $\mathcal{P}(n, d)$ in terms of its coefficients.

THEOREM 1.1 ([1]). *Let $n \in \mathbb{N}$, $d \in \mathbb{N} \cup \{0\}$ and $P(x) = \sum_{0 \leq |\alpha| \leq d} a_\alpha x^\alpha \in \mathcal{P}(n, d)$. Let K be a Calderón–Zygmund kernel and T_P be given as in (1).*

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Then there exists a positive constant C such that

$$(2) \quad \|T_P f\|_{H^1(\mathbb{R}^n)} \leq C \left(1 + \frac{\sum_{|\alpha|=1} |a_\alpha|}{\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|}} \right) \|f\|_{H^1(\mathbb{R}^n)}$$

for all $f \in H^1(\mathbb{R}^n)$. The constant C may depend on n, d and K , but is independent of the coefficients $\{a_\alpha\}$ of P .

In exploring the best possible bound on $\|T_P\|_{H^1 \rightarrow H^1}$, an example was given in [1] to show that, as $A := \sum_{|\alpha|=1} |a_\alpha| / \sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|} \rightarrow \infty$, any bound on $\|T_P\|_{H^1 \rightarrow H^1}$ must increase at least at the rate of $\log(A)$. This naturally led to the speculation on whether

$$(3) \quad \|T_P f\|_{H^1(\mathbb{R}^n)} \leq C_{n,d} \left(1 + \log^+ \left(\frac{\sum_{|\alpha|=1} |a_\alpha|}{\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|}} \right) \right) \|f\|_{H^1(\mathbb{R}^n)}$$

in fact holds for all $f \in H^1(\mathbb{R}^n)$.

Recently, the above bound was confirmed to be valid when the phase polynomial P is quadratic (i.e. $d = 2$):

THEOREM 1.2 ([2]). *Let $n \in \mathbb{N}$ and $P(x) = \sum_{0 \leq |\alpha| \leq 2} a_\alpha x^\alpha$ be a quadratic polynomial in \mathbb{R}^n with real coefficients. Let K be a Calderón–Zygmund kernel and T_P be given as in (1). Then there exists a positive constant C such that*

$$(4) \quad \|T_P f\|_{H^1(\mathbb{R}^n)} \leq C \left(1 + \log^+ \left(\frac{\sum_{|\alpha|=1} |a_\alpha|}{\sum_{|\alpha|=2} |a_\alpha|^{1/2}} \right) \right) \|f\|_{H^1(\mathbb{R}^n)}$$

for all $f \in H^1(\mathbb{R}^n)$. The constant C may depend on n and K , but is independent of the coefficients $\{a_\alpha\}$ of P .

The main purpose of this paper is to establish (3) for phase polynomials of arbitrary degrees. The arguments used here are more flexible than those in [2]: not only do they allow the lifting of the restriction $d = 2$, but also the extension to weighted Hardy spaces with Muckenhoupt’s A_1 weights. Namely, we have

THEOREM 1.3. *Let $n \in \mathbb{N}$, $d \in \mathbb{N} \cup \{0\}$, $w \in A_1(\mathbb{R}^n)$ and suppose $P(x) = \sum_{0 \leq |\alpha| \leq d} a_\alpha x^\alpha \in \mathcal{P}(n, d)$. Let K be a Calderón–Zygmund kernel and T_P be given as in (1). Then there exists a positive constant C such that*

$$(5) \quad \|T_P f\|_{H_w^1(\mathbb{R}^n)} \leq C \left(1 + \log^+ \left(\frac{\sum_{|\alpha|=1} |a_\alpha|}{\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|}} \right) \right) \|f\|_{H_w^1(\mathbb{R}^n)}$$

for all $f \in H_w^1(\mathbb{R}^n)$. The constant C may depend on n, d, K and the A_1 constant of w , but is independent of the coefficients $\{a_\alpha\}$ of P . The bound given in (5) is the best possible in the sense that the logarithmic function cannot be replaced by any function with a slower rate of growth.

2. Some lemmas. For $x \in \mathbb{R}^n$ and $r > 0$, let $B(x, r)$ denote the open ball centered at x with radius r , and $|B(x, r)|$ the Euclidean volume of $B(x, r)$.

The statement below is [3, Corollary 7.3], with the cube $[0, 1]^n$ replaced by $[-1, 1]^n$.

LEMMA 2.1. *For $n, d \in \mathbb{N}$, there exists an absolute constant $C_{n,d} > 0$ such that*

$$(6) \quad \left| \int_{[-1,1]^n} e^{iP(x)} dx \right| \leq C_{n,d} \left(\sum_{1 \leq |\alpha| \leq d} |a_\alpha| \right)^{-1/d}$$

for all $P(x) = \sum_{0 \leq |\alpha| \leq d} a_\alpha x^\alpha \in \mathcal{P}(n, d)$.

The next lemma is from [10].

LEMMA 2.2. *For $n, d \in \mathbb{N}$ and $0 < \sigma < 1/d$, there exists an absolute constant $C_{n,d,\sigma} > 0$ such that*

$$(7) \quad \int_{B(0,1)} |P(x)|^{-\sigma} \leq C_{n,d,\sigma} \left(\sum_{0 \leq |\alpha| \leq d} |a_\alpha| \right)^{-\sigma}$$

for all $P(x) = \sum_{0 \leq |\alpha| \leq d} a_\alpha x^\alpha \in \mathcal{P}(n, d)$.

LEMMA 2.3. *For $n \in \mathbb{N}$, $d \geq 2$ and $P(x) = \sum_{0 \leq |\alpha| \leq d} a_\alpha x^\alpha \in \mathcal{P}(n, d)$, let the operator G_P be given by*

$$G_P f(x) = \int_{B(0,1)} e^{iP(x-y)} f(y) dy.$$

Then, for each $p \in [2, \infty)$, there exists a $C_{n,d,p} > 0$ such that

$$(8) \quad \|G_P f\|_{L^p(B(0,t))} \leq C_{n,d,p} t^{(2nd-1)/(2pd)} \left(\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|} \right)^{-1/(pd)} \|f\|_{L^p(\mathbb{R}^n)}$$

for all $f \in L^p(\mathbb{R}^n)$ and $t \geq 1$. The constant $C_{n,d,p}$ is independent of t and the coefficients of P .

Proof. Since

$$\|G_P f\|_{L^\infty(B(0,t))} \leq |B(0, 1)| \|f\|_{L^\infty(\mathbb{R}^n)},$$

it suffices to show that

$$(9) \quad \|G_P f\|_{L^2(B(0,t))} \leq C_{n,d} t^{(2nd-1)/(4d)} \left(\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|} \right)^{-1/(2d)} \|f\|_{L^2(\mathbb{R}^n)}$$

for all $f \in L^2(\mathbb{R}^n)$.

For $x, y \in \mathbb{R}^n$ and $t \geq 1$, let

$$(10) \quad \Omega_t(x, y) = \int_{[-t, t]^n} e^{i(P(z-x)-P(z-y))} dz = t^n \int_{[-1, 1]^n} e^{i(P(tz-x)-P(tz-y))} dz.$$

Using standard multi-index notation, we have

$$\begin{aligned} & P(tz - x) - P(tz - y) \\ &= \sum_{0 \leq |\alpha| \leq d} a_\alpha \left(\sum_{\beta \leq \alpha} \binom{\alpha}{\beta} (-1)^{|\alpha-\beta|} (tz)^\beta (x^{\alpha-\beta} - y^{\alpha-\beta}) \right) \\ &= \sum_{0 \leq |\beta| \leq d} t^{|\beta|} \left(\sum_{0 \leq |\gamma| \leq d-|\beta|} \binom{\beta+\gamma}{\beta} (-1)^{|\gamma|} a_{\beta+\gamma} (x^\gamma - y^\gamma) \right) z^\beta. \end{aligned}$$

Let $\alpha_0 \in (\mathbb{N} \cup \{0\})^n$ be such that $2 \leq |\alpha_0| \leq d$ and

$$(11) \quad |a_{\alpha_0}|^{1/|\alpha_0|} = \max\{|a_\alpha|^{1/|\alpha|} : \alpha \in (\mathbb{N} \cup \{0\})^n \text{ and } 2 \leq |\alpha| \leq d\}.$$

Then there exist $\beta_0, \gamma_0 \in (\mathbb{N} \cup \{0\})^n$ such that $1 \leq |\beta_0| \leq d-1$, $|\gamma_0| = 1$ and

$$(12) \quad \beta_0 + \gamma_0 = \alpha_0.$$

It follows from Lemma 2.1 that

$$|\Omega_t(x, y)| \leq Ct^n \left| t^{|\beta_0|} \left(\sum_{0 \leq |\gamma| \leq d-|\beta_0|} \binom{\beta_0+\gamma}{\beta_0} (-1)^{|\gamma|} a_{\beta_0+\gamma} (x^\gamma - y^\gamma) \right) \right|^{-1/d}.$$

By (10), (12), $1/d < 1/(d-|\beta_0|)$ and Lemma 2.2, we have

$$(13) \quad \sup_{y \in \mathbb{R}^n} \int_{B(0,1)} |\Omega_t(x, y)| dx \leq \min\{Ct^n (t^{|\beta_0|} |a_{\alpha_0}|)^{-1/d}, 2^n t^n\}.$$

By $0 < 1/|\alpha_0| < 1$, $|\beta_0|/|\alpha_0| \geq 1/2$, $t \geq 1$, (11) and (13), we get

$$\sup_{y \in \mathbb{R}^n} \int_{B(0,1)} |\Omega_t(x, y)| dx \leq Ct^n t^{-1/(2d)} \left(\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|} \right)^{-1/d}.$$

Similarly,

$$\sup_{x \in \mathbb{R}^n} \int_{B(0,1)} |\Omega_t(x, y)| dy \leq Ct^n t^{-1/(2d)} \left(\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|} \right)^{-1/d}.$$

Thus,

$$\begin{aligned}
 & \|G_P f\|_{L^2(B(0,t))}^2 \\
 & \leq \int_{[-t,t]^n} \left(\int_{B(0,1)} e^{iP(z-x)} f(x) dx \right) \left(\int_{B(0,1)} e^{-iP(z-y)} \overline{f(y)} dy \right) dz \\
 & = \int_{B(0,1)} \int_{B(0,1)} \Omega_t(x,y) f(x) \overline{f(y)} dx dy \\
 & \leq \left(\sup_{y \in \mathbb{R}^n} \int_{B(0,1)} |\Omega_t(x,y)| dx \right)^{1/2} \left(\sup_{x \in \mathbb{R}^n} \int_{B(0,1)} |\Omega_t(x,y)| dy \right)^{1/2} \|f\|_{L^2(\mathbb{R}^n)}^2 \\
 & \leq C t^n t^{-1/(2d)} \left(\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|} \right)^{-1/d} \|f\|_{L^2(\mathbb{R}^n)}^2,
 \end{aligned}$$

which implies (9). ■

Next, let us recall the definition of A_p weights for $1 \leq p < \infty$. Let $w(\cdot)$ be a nonnegative, locally integrable function on \mathbb{R}^n and

$$w(E) = \int_E w(y) dy$$

for every measurable set $E \subseteq \mathbb{R}^n$.

DEFINITION 2.1. For $1 < p < \infty$, w is said to be in the *Muckenhoupt weight class* $A_p(\mathbb{R}^n)$ if there exists a constant $C > 0$ such that

$$(14) \quad \left(\frac{1}{|B|} \int_B w(y) dy \right) \left(\frac{1}{|B|} \int_B w(y)^{-1/(p-1)} dy \right)^{p-1} \leq C$$

for all balls B in \mathbb{R}^n . Further, w is said to be in $A_1(\mathbb{R}^n)$ if there exists a constant $C > 0$ such that

$$(15) \quad \frac{w(B)}{|B|} \leq C w(x)$$

for all balls B and a.e. $x \in B$.

The smallest such constant C in (14) or (15) is the corresponding A_p constant of w .

We recall that $A_{p_1}(\mathbb{R}^n) \subset A_{p_2}(\mathbb{R}^n)$ when $p_1 < p_2$ and

LEMMA 2.4 ([6]). *For every $w \in A_1(\mathbb{R}^n)$, there exists a $\delta \in (0, 1)$ such that $w^{1+\delta} \in A_1(\mathbb{R}^n)$. Both δ and the A_1 constant of $w^{1+\delta}$ depend on n and the A_1 constant of w only.*

Let ϕ be a function in the Schwartz space $\mathcal{S}(\mathbb{R}^n)$ such that $\int_{\mathbb{R}^n} \phi(x) dx = 1$. For each $f \in L^1_{loc}(\mathbb{R}^n)$ and $x \in \mathbb{R}^n$, we let

$$M_\phi f(x) = \sup_{s>0} |(f * \phi_s)(x)|$$

where $\phi_s(x) = s^{-n} \phi(x/s)$.

DEFINITION 2.2. For a nonnegative, locally integrable function w on \mathbb{R}^n , we define the *weighted Hardy space* $H_w^1(\mathbb{R}^n)$ by

$$H_w^1(\mathbb{R}^n) = \{f \in L_{loc}^1(\mathbb{R}^n) : \|M_\phi f\|_{L_w^1(\mathbb{R}^n)} < \infty\}$$

and we set $\|f\|_{H_w^1(\mathbb{R}^n)} = \|M_\phi f\|_{L_w^1(\mathbb{R}^n)} = \int_{\mathbb{R}^n} M_\phi f(x)w(x) dx$.

DEFINITION 2.3. Let $w \in A_1(\mathbb{R}^n)$. A measurable function ψ on \mathbb{R}^n is called an H_w^1 *atom* if there exist $\zeta \in \mathbb{R}^n$ and $r > 0$ such that

(16) $\text{supp}(\psi) \subseteq B(\zeta, r),$

(17) $\|\psi\|_\infty \leq \frac{1}{w(B(\zeta, r))},$

(18) $\int_{\mathbb{R}^n} \psi(x) dx = 0.$

LEMMA 2.5 ([5], [6], [12]). Let $w \in A_1(\mathbb{R}^n)$ and $f \in H_w^1(\mathbb{R}^n)$. Then there exist H_w^1 atoms $\{\psi_\nu\}$ and coefficients $\{b_\nu\}$ such that

$$f = \sum_\nu b_\nu \psi_\nu$$

and

$$C_1 \|f\|_{H_w^1(\mathbb{R}^n)} \leq \inf \left\{ \sum_\nu |b_\nu| : f = \sum_\nu b_\nu \psi_\nu \right\} \leq C_2 \|f\|_{H_w^1(\mathbb{R}^n)},$$

where C_1, C_2 are positive constants which may depend on the A_1 constant of w , but are independent of f .

DEFINITION 2.4. A C^1 function $K : \mathbb{R}^n \setminus \{0\} \rightarrow \mathbb{C}$ is called a *Calderón–Zygmund kernel* if

(19) $\int_{a < |x| < b} K(x) dx = 0$

for all $0 < a < b$ and there exists a $C_K > 0$ such that

(20) $|K(x)| + |x| |\nabla K(x)| \leq C_K |x|^{-n}$

for all $x \in \mathbb{R}^n \setminus \{0\}$.

We shall refer to the smallest C_K in (20) as the *CZ constant* of K .

3. Proof of the main theorem. We shall let C denote an absolute constant whose value may change from line to line.

We begin with a proposition concerning the action of T_P on a particular class of atoms.

PROPOSITION 3.1. *Let $n, d, K(x), w(x), P(x)$ and T_P be as in Theorem 1.3. Then there exists a positive constant C such that*

$$(21) \quad \|T_P\psi\|_{L_w^1(\mathbb{R}^n)} \leq C \left(1 + \log^+ \left(\frac{\sum_{|\alpha|=1} |a_\alpha|}{\sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|}} \right) \right)$$

for every H_w^1 atom ψ which satisfies (16)–(18) with $\zeta = 0$ and $r = 1$. The constant C depends on the following parameters only: n, d , the CZ constant of K and the A_1 constant of w .

Proof. Since (21) holds trivially when $\deg(P) \leq 1$, we may assume that $\deg(P) \geq 2$. Let

$$\eta(P) = \sum_{|\alpha|=1} |a_\alpha|, \quad \theta(P) = \sum_{2 \leq |\alpha| \leq d} |a_\alpha|^{1/|\alpha|}.$$

We also let

$$\mu = \max\{2, (\eta(P))^{-1}\}, \quad \lambda = \max\{\mu, (\theta(P))^{-2}\}.$$

Suppose that ψ satisfies (16)–(18) with $\zeta = 0$ and $r = 1$. By (15), for $s > 1$ and $t > 0$,

$$(22) \quad \begin{aligned} w(B(0, st)) &\leq C|B(0, st)| \operatorname{ess\,inf}_{x \in B(0, st)} w(x) \\ &\leq Cs^n|B(0, t)| \operatorname{ess\,inf}_{x \in B(0, t)} w(x) \leq Cs^n w(B(0, t)). \end{aligned}$$

To obtain the desired estimate for $\|T_P\psi\|_{L_w^1(\mathbb{R}^n)}$, we shall split the domain of integration into four regions: $B(0, 2)$, $B(0, \mu) \setminus B(0, 2)$, $B(0, \lambda) \setminus B(0, \mu)$ and $B(0, \lambda)^c$, and treat each region separately.

Since $w \in A_1(\mathbb{R}^n) \subset A_2(\mathbb{R}^n)$, it follows from (16)–(17) and the uniform boundedness of T_P on $L_w^2(\mathbb{R}^n)$ that

$$(23) \quad \begin{aligned} \|T_P\psi\|_{L_w^1(B(0, 2))} &\leq (w(B(0, 2)))^{1/2} \|T_P\psi\|_{L_w^2(\mathbb{R}^n)} \\ &\leq C(w(B(0, 1)))^{1/2} \|\psi\|_{L_w^2(B(0, 1))} \leq C. \end{aligned}$$

By Lemma 2.4, there exists a $\delta \in (0, 1)$ such that $w^{1+\delta} \in A_1(\mathbb{R}^n)$. Let

$$Q(x) = P(0) + \sum_{2 \leq |\alpha| \leq d} a_\alpha x^\alpha.$$

It follows from [9, Theorem 1] that

$$(24) \quad \|T_Q\psi\|_{L_w^1(\mathbb{R}^n)} \leq C.$$

Let $N_0 = \lceil \log_2 \mu \rceil$. By (16)–(17) and (20) we have

$$\begin{aligned}
 (25) \quad & \int_{2 \leq |x| \leq \mu} |T_P \psi(x) - T_Q \psi(x)| w(x) dx \\
 & \leq \int_{2 \leq |x| \leq \mu} \int_{|y| \leq 1} |e^{iP(x-y)} - e^{iQ(x-y)}| |K(x-y)| |\psi(y)| w(x) dy dx \\
 & \leq \frac{C\eta(P)}{w(B(0,1))} \int_{2 \leq |x| \leq \mu} \frac{w(x) dx}{|x|^{n-1}} \\
 & \leq \frac{C\eta(P)}{w(B(0,1))} \left(\int_{2 \leq |x| \leq \mu} \frac{dx}{|x|^{n-1}} \right)^{\delta/(1+\delta)} \left(\int_{2 \leq |x| \leq \mu} \frac{(w(x))^{1+\delta} dx}{|x|^{n-1}} \right)^{1/(1+\delta)} \\
 & \leq C \frac{\eta(P)(\mu - 2)^{\delta/(1+\delta)}}{w(B(0,1))} \left(\sum_{j=1}^{N_0} 2^{-j(n-1)} \int_{B(0,2^{j+1})} (w(x))^{1+\delta} dx \right)^{1/(1+\delta)} \\
 & \leq C \frac{\eta(P)(\mu - 2)^{\delta/(1+\delta)}}{w(B(0,1))} \left(\sum_{j=1}^{N_0} 2^j \operatorname{ess\,inf}_{x \in B(0,2^{j+1})} (w(x))^{1+\delta} \right)^{1/(1+\delta)} \\
 & \leq C \frac{\eta(P)(\mu - 2)^{\delta/(1+\delta)}}{w(B(0,1))} \cdot 2^{N_0/(1+\delta)} \operatorname{ess\,inf}_{x \in B(0,1)} w(x) \\
 & \leq C \mu^{1/(1+\delta)} (\mu - 2)^{\delta/(1+\delta)} \eta(P) \leq C.
 \end{aligned}$$

It follows from (24) and (25) that

$$\begin{aligned}
 (26) \quad & \|T_P \psi\|_{L_w^1(B(0,\mu) \setminus B(0,2))} \\
 & \leq \int_{B(0,\mu) \setminus B(0,2)} |T_P \psi(x) - T_Q \psi(x)| w(x) dx + \|T_Q \psi\|_{L_w^1(\mathbb{R}^n)} \leq C.
 \end{aligned}$$

For $x \in \mathbb{R}^n$ and $y \in B(0,1)$, we have

$$(27) \quad |e^{iP(x-y)} - e^{i(\sum_{|\alpha|=1} a_\alpha x^\alpha + Q(x-y))}| \leq \min\{2, \eta(P)\} \leq 4\mu^{-1}.$$

By (24) and (27), we have

$$\begin{aligned}
 & \|T_P \psi\|_{L_w^1(B(0,\lambda) \setminus B(0,\mu))} \\
 & \leq \int_{\mu \leq |x| \leq \lambda} |e^{i(\sum_{|\alpha|=1} a_\alpha x^\alpha)} T_Q \psi(x)| w(x) dx \\
 & \quad + \int_{\mu \leq |x| \leq \lambda} |T_P \psi(x) - e^{i(\sum_{|\alpha|=1} a_\alpha x^\alpha)} T_Q \psi(x)| w(x) dx \\
 & \leq C + \frac{C\mu^{-1}}{w(B(0,1))} \int_{\mu \leq |x| \leq \lambda} \frac{w(x) dx}{|x|^n} \\
 & = C + \frac{C\mu^{-1}}{w(B(0,1))} \left[\frac{1}{\lambda^n} \int_{\mu \leq |x| \leq \lambda} w(x) dx + n \int_\mu^\lambda \left(\int_{\mu \leq |x| \leq t} w(x) dx \right) \frac{dt}{t^{n+1}} \right]
 \end{aligned}$$

$$\begin{aligned} &\leq C + \frac{C\mu^{-1}}{w(B(0,1))} \left(\lambda^{-n} w(B(0,\lambda)) + n \int_{\mu}^{\lambda} \frac{w(B(0,t)) dt}{t^{n+1}} \right) \\ &\leq C + \frac{C\mu^{-1}}{w(B(0,1))} \left(Cw(B(0,1)) + Cw(B(0,1)) \int_{\mu}^{\lambda} \frac{dt}{t} \right) \\ &\leq C(1 + \mu^{-1} \log(\lambda/\mu)). \end{aligned}$$

If $\mu \geq (\theta(P))^{-2}$, then $\lambda = \mu$ and $\mu^{-1} \log(\lambda/\mu) = 0$.

If $\mu < (\theta(P))^{-2}$, then $\lambda = (\theta(P))^{-2}$ and

$$\frac{\lambda}{\mu^2} \leq \frac{(\theta(P))^{-2}}{(\eta(P))^{-2}} = \left(\frac{\eta(P)}{\theta(P)} \right)^2.$$

In this case,

$$\mu^{-1} \log\left(\frac{\lambda}{\mu}\right) \leq \sup_{t \geq 2} \left(\frac{\log t}{t}\right) + \mu^{-1} \log\left(\frac{\lambda}{\mu^2}\right) \leq C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)}\right)\right).$$

It follows that

$$(28) \quad \|T_P\psi\|_{L^1_w(B(0,\lambda)\setminus B(0,\mu))} \leq C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)}\right)\right).$$

By (20), we have

$$\begin{aligned} (29) \quad &\int_{|x| \geq \lambda} \left| T_P\psi(x) - K(x) \int_{B(0,1)} e^{iP(x-y)} \psi(y) dy \right| w(x) dx \\ &\leq \int_{|x| \geq 2} \int_{|y| \leq 1} |K(x-y) - K(x)| |\psi(y)| w(x) dy dx \\ &\leq \frac{C}{w(B(0,1))} \int_{|x| \geq 2} \frac{w(x) dx}{|x|^{n+1}} \\ &\leq \frac{C}{w(B(0,1))} \sum_{j=1}^{\infty} 2^{-(n+1)j} w(B(0,2^{j+1}) \setminus B(0,2^j)) \\ &\leq C \sum_{j=1}^{\infty} 2^{-(n+1)j} 2^{n(j+1)} \leq C. \end{aligned}$$

Since $(1 + \delta)/\delta > 2$, it follows from (16)–(17), (20), (22) and Lemma 2.3 that

$$\begin{aligned} (30) \quad &\int_{|x| \geq \lambda} \left| K(x) \int_{B(0,1)} e^{iP(x-y)} \psi(y) dy \right| w(x) dx \\ &\leq C \int_{|x| \geq \lambda} |G_P\psi(x)| w(x) \frac{dx}{|x|^n} = n \int_{\lambda}^{\infty} \left(\int_{B(0,t)\setminus B(0,\lambda)} |G_P\psi(x)| w(x) dx \right) \frac{dt}{t^{n+1}} \end{aligned}$$

$$\begin{aligned}
 &\leq C \int_{\lambda}^{\infty} \|G_P \psi\|_{L^{(1+\delta)/\delta}(B(0,t))} \left(\int_{B(0,t)} (w(x))^{1+\delta} dx \right)^{1/(1+\delta)} \frac{dt}{t^{n+1}} \\
 &\leq C(\theta(P))^{-\delta/(d(1+\delta))} \|\psi\|_{L^{(1+\delta)/\delta}(\mathbb{R}^n)} \\
 &\quad \times \int_{\lambda}^{\infty} t^{\delta(2nd-1)/(2d(1+\delta))} t^{n/(1+\delta)} w(B(0,1)) t^{-n-1} dt \\
 &\leq C(\theta(P))^{-\delta/(d(1+\delta))} \int_{\lambda}^{\infty} t^{-1-\delta/(2d(1+\delta))} dt \leq C(\lambda(\theta(P))^2)^{-\delta/(2d(1+\delta))} \leq C.
 \end{aligned}$$

By (29) and (30), we have

$$(31) \quad \|T_P \psi\|_{L_w^1(\mathbb{R}^n \setminus B(0,\lambda))} \leq C.$$

Combining (23), (26), (28) and (31), we obtain (21). ■

We recall the following result concerning Riesz transforms and Hardy spaces:

PROPOSITION 3.2 ([7], [11], [13]). *For $1 \leq j \leq n$, let R_j denote the j th Riesz transform, i.e.*

$$\widehat{R_j f}(\xi) = \frac{i\xi_j}{|\xi|} \hat{f}(\xi).$$

Then, for every $w \in A_1(\mathbb{R}^n)$, there exist $C_w, C_{1,w}, C_{2,w} > 0$ such that

$$(32) \quad \|R_j f\|_{H_w^1(\mathbb{R}^n)} \leq C_w \|f\|_{H_w^1(\mathbb{R}^n)}$$

for $1 \leq j \leq n$ and

$$(33) \quad C_{1,w} \|f\|_{H_w^1(\mathbb{R}^n)} \leq \|f\|_{L_w^1(\mathbb{R}^n)} + \sum_{j=1}^n \|R_j f\|_{L_w^1(\mathbb{R}^n)} \leq C_{2,w} \|f\|_{H_w^1(\mathbb{R}^n)}$$

for all $f \in H_w^1(\mathbb{R}^n)$. The constants $C_w, C_{1,w}$ and $C_{2,w}$ depend on n and the A_1 constant of w only.

Proof of Theorem 1.3. Let $f \in H_w^1(\mathbb{R}^n)$, $\{b_\nu\}$ be a sequence of complex numbers and $\{\psi_\nu\}$ be a sequence of H_w^1 atoms such that

$$f = \sum_{\nu} b_\nu \psi_\nu.$$

For each ν , let $\zeta_\nu \in \mathbb{R}^n$ and $r_\nu > 0$ be such that $\text{supp}(\psi_\nu) \subseteq B(\zeta_\nu, r_\nu)$ and $\|\psi_\nu\|_\infty \leq 1/w(B(\zeta_\nu, r_\nu))$.

Let $P_\nu(x) = P(r_\nu x)$, $K_\nu(x) = r_\nu^n K(r_\nu x)$, $\tilde{\psi}_\nu(x) = r_\nu^n \psi_\nu(r_\nu x + \zeta_\nu)$ and $w_\nu(x) = w(r_\nu x + \zeta_\nu)$. Observe that, for each ν , K_ν satisfies (19)–(20) with the same CZ constant as K , and $\tilde{\psi}_\nu$ satisfies (16)–(18) with $\zeta = 0$, $r = 1$, and w_ν in place of w . We also point out that w_ν is an A_1 weight with the same A_1 constant as w .

By Proposition 3.1,

$$\begin{aligned} \|T_P \psi_\nu\|_{L_w^1(\mathbb{R}^n)} &= \int_{\mathbb{R}^n} \left| \int_{\mathbb{R}^n} e^{iP_\nu(x-y)} K_\nu(x-y) \tilde{\psi}_\nu(y) dy \right| w_\nu(x) dx \\ &\leq C \left(1 + \log^+ \left(\frac{\eta(P_\nu)}{\theta(P_\nu)} \right) \right) = C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)} \right) \right), \end{aligned}$$

which implies

$$\|T_P f\|_{L_w^1(\mathbb{R}^n)} \leq C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)} \right) \right) \sum_\nu |b_\nu|.$$

It follows from Lemma 2.5 that

$$(34) \quad \|T_P f\|_{L_w^1(\mathbb{R}^n)} \leq C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)} \right) \right) \|f\|_{H_w^1(\mathbb{R}^n)}.$$

By the translation invariance of T_P , and by (32) and (34), we have

$$\begin{aligned} (35) \quad \sum_{j=1}^n \|R_j T_P f\|_{L_w^1(\mathbb{R}^n)} &= \sum_{j=1}^n \|T_P R_j f\|_{L_w^1(\mathbb{R}^n)} \\ &\leq C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)} \right) \right) \sum_{j=1}^n \|R_j f\|_{H_w^1(\mathbb{R}^n)} \\ &\leq C \left(1 + \log^+ \left(\frac{\eta(P)}{\theta(P)} \right) \right) \|f\|_{H_w^1(\mathbb{R}^n)}. \end{aligned}$$

Applying (33)–(35), we obtain (5). ■

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