

the terms in  $Z$  is for  $k > c_{15}$

$$(4.5) \quad \leq 2 \log k \cdot c_7 \log(k(1 + \log k)) < 3c_7 \log^2 k.$$

Hence if we determine  $\omega+1$  as the exponent realizing the maximum on the left of (1.7) and take

$$N = 3c_7 \log^2 k,$$

(2.3) is not violated and thus

$$|Z| \geq \left( \frac{3c_7 \log^2 k}{22(\log^2 k \log \log k + 3c_7 \log^2 k)} \right)^{3c_7 \log^2 k}$$

or for  $k > c_{16}$

$$|Z| > e^{-4c_7 \log^2 k \log \log \log k}$$

Putting this, (4.1) and (4.2) into (3.4) and taking in account that owing to  $\gamma \geq \frac{1}{2}$  we have for  $k > c_{17}$

$$P^{\frac{2}{5}} < \frac{1}{2} P^{\frac{1}{2}} \frac{3c_7+1}{\log \log k} \cdot e^{-4c_7 \log^2 k \log \log \log k} \leq \frac{1}{2} P^{\gamma - \frac{3c_7+1}{\log \log k}} \cdot e^{-4c_7 \log^2 k \log \log \log k},$$

we get, using also (2.3),

$$\begin{aligned} \max_{1 \leq v \leq P} |U(v, \chi)| &> (2e \log \log k)^{-(\omega+1)} \frac{1}{2} P^{\gamma - \frac{3c_7+1}{\log \log k}} \cdot e^{-4c_7 \log^2 k \log \log \log k} \\ &> P^{\gamma - \frac{4c_7}{\log \log k}} \cdot e^{-\frac{5}{2} \log^2 k \log \log k \log \log \log k} \\ &> P^{\gamma} \cdot e^{-2 \log^2 k \log \log k \log \log \log k} = P^{\gamma - 2 \frac{\log \log \log k}{\log \log k}}, \end{aligned}$$

which proves the theorem.

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## On complete caps and ovaloids in three-dimensional Galois spaces of characteristic two

by

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#### Summary

- § 1. Introduction.
- § 2. Construction of a complete  $(2q+4)_{3,q}$  for  $q = 4$ .
- § 3. Construction of a complete  $(3q+2)_{3,q}$  for any  $q = 2^h$ .
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- § 5. The polarity defined by an ovaloid.
- § 6. On the plane sections of an ovaloid.
- § 7. On ovaloids of  $S_{3,q}$  which are not quadrics.

#### § 1. Introduction

The study of the geometry of a Galois space  $S_{r,q}$ , i. e. of a projective  $r$ -dimensional space over a Galois field of order

$$q = p^h,$$

where  $p, h$  are positive integers and  $p$  is a prime (the characteristic of the field), has recently been pursued and developed along new lines<sup>(1)</sup>. In it, both algebraic-geometric and arithmetical methods have been applied, including the use of electronic calculating machines; moreover, some of the problems dealt with are deeply connected with information theory, especially with the construction of  $q$ -ary error-correcting codes. It is actually a chapter of *arithmetical geometry*, which reduces to the investigation of certain questions on congruences mod  $p$  in the particular case when  $h = 1$ .

A set of  $k$  distinct points of  $S_{r,q}$ , no three of which lie on a line, is denoted by  $k_{r,q}$  and called a  $k$ -arc if  $r = 2$  and a  $k$ -cap if  $r \geq 3$ ; any such  $k_{r,q}$  is said to be *complete* when it is not a subset of a  $(k+1)_{r,q}$ . For given  $r$  and  $q$ , a  $k_{r,q}$  having maximum  $k$  is called an *oval* if  $r = 2$  and an *ovaloid* if  $r \geq 3$ , and then it is consequently always complete.

<sup>(1)</sup> See especially [8]; further historical and bibliographical informations are contained in [7].

When  $q$  is odd (i. e., if  $p > 2$ ), every *oval* is given by the points of an irreducible conic (cf. [5], the converse being also true), and consists of  $k = q+1$  points. Likewise, when  $q$  is odd, every *ovaloid* of  $S_{3,q}$  is given by the points of an *elliptic* (i. e., non-ruled) quadric ([1], [2]), and consists of  $k = q^2+1$  points.

The situation is not so simple when  $q$  is even (i. e.,  $p = 2$  and  $q = 2^h$ ). Then we obtain an *oval* (having  $k = q+2$ ) by aggregating to the points of an irreducible conic the nucleus of the conic, namely, the point of concurrence of its tangents (the existence of such a point being a consequence of the fact that the ground field has now the characteristic  $p = 2$ ); but, with the only exception of some first few values of  $h$ , there are *ovals not obtainable in this way* [6]. As for the *ovaloids* of  $S_{3,q}$  with even  $q$ , it is known that, if  $q = 2$ , they are given by the  $k = 8$  points of  $S_{3,2}$  outside a plane; if  $q > 2$  (i. e.,  $h > 1$ ), they consist of  $k = q^2+1$  points, an example being offered even now by the points of an *elliptic quadric*, which is the only possible case of ovaloid if  $q = 4$  ([3], [1]).

In the present paper we show that, if  $q = 2^h \geq 8$ , there may exist *ovaloids* of  $S_{3,q}$  which are not quadric, an explicit example being constructed for  $q = 8$  (§ 7). We also prove that any ovaloid defines a null polarity (§ 5), and establish a number of results on plane sections of an ovaloid (§ 6), as well as the existence of some *complete*  $(2q+4)_{3,q}$  for  $q = 4$  (§ 2), and of some *complete*  $(3q+2)_{3,q}$  for any  $q = 2^h$  (§ 3).

We now recall some simple known results (see e. g. [4], [8]), required later on.

The number of points of any  $S_{r,q}$  is  $q^r + q^{r-1} + \dots + q + 1$ , and so  $q+1$  is the number of the points lying on a line (as well as of the lines of a pencil, etc. The section of an arbitrary  $k_{r,q}$  of  $S_{r,q}$  with any subspace  $S_{r',q}$  of  $S_{r,q}$ , if not empty, is necessarily a  $k'_{r',q}$  (where  $1 \leq r' \leq r-1$ ,  $1 \leq k' \leq k$ ).

In particular, with respect to a given  $k_{r,q}$ , the lines of the ambient  $S_{r,q}$  can be classified in three kinds: (i) *secant* lines or *chords*, containing two distinct points of  $k_{r,q}$ ; (ii) *external* lines, containing no point of  $k_{r,q}$ ; (iii) *tangent* lines, containing a single point of  $k_{r,q}$ , called the *point of contact* between the line and  $k_{r,q}$ ; then we say that each of these lines *touches*  $k_{r,q}$  at their respective point of contact. At every point  $P$  of  $k_{r,q}$  there is always the same number (possibly zero) of tangents, i. e., of lines having  $P$  as their point of contact with  $k_{r,q}$ , this number being  $q^{r-1} + q^{r-2} + \dots + q - k + 2$ .

The  $q+1$  lines touching an ovaloid of  $S_{3,q}$  at any of its points,  $P$  say, are the  $q+1$  lines of a pencil [1], and so they lie on a plane, which is called the *tangent plane* of the ovaloid at  $P$ . It follows easily that the planes of  $S_{3,q}$  which are not tangent planes are  $q^3+q$  in number, and that each of them intersects the ovaloid in a  $(q+1)$ -arc.

## § 2. Construction of a complete $(2q+4)_{3,q}$ for $q = 4$

We begin by proving the following

LEMMA I. If  $\mathcal{C}$  and  $\mathcal{C}'$  are any two ovals of a given  $S_{2,4}$ , free from common points, then no line of  $S_{2,4}$  can be external to both  $\mathcal{C}$  and  $\mathcal{C}'$ .

In fact, both  $\mathcal{C}$  and  $\mathcal{C}'$  consist of  $q+2 = 6$  points. Through each point of  $\mathcal{C}$  there are on the whole  $q+1 = 5$  lines of  $S_{2,4}$ , and each of these lines meets  $\mathcal{C}$  at a further point; moreover, exactly 3 among the 5 lines just considered meet  $\mathcal{C}'$  (in a pair of points), the remaining 2 lines being external to  $\mathcal{C}'$ . It follows that the number of the lines of  $S_{2,4}$  meeting both  $\mathcal{C}$  and  $\mathcal{C}'$  is given by  $6 \cdot 3/2 = 9$ ; and that the number of the lines of  $S_{2,4}$  meeting  $\mathcal{C}$  but not  $\mathcal{C}'$  (or, likewise, meeting  $\mathcal{C}'$  but not  $\mathcal{C}$ ) is given by  $6 \cdot 2/2 = 6$ . The number of the lines of  $S_{2,4}$  having some point in common with  $\mathcal{C} \cup \mathcal{C}'$  is consequently  $9+6+6 = 21$ , which is also the total number  $(1+4+4^2)$  of the lines of  $S_{2,4}$ , whence the lemma.

We can now establish

THEOREM I. If  $\pi$  and  $\pi_1$  are two distinct planes of an  $S_{3,4}$ , and  $r$  is their line of intersection, let us consider in  $\pi$  an oval  $\mathcal{C}$  and in  $\pi_1$  an oval  $\mathcal{C}_1$ , both ovals having no common point with  $r$ . Then the  $6+6 = 12$  points of  $\mathcal{C} \cup \mathcal{C}_1$  constitute a complete  $12_{3,4}$ .

First of all, it is clear that no three points of  $\mathcal{C} \cup \mathcal{C}_1$  can be collinear, and so the set of the points of  $\mathcal{C} \cup \mathcal{C}_1$  is in fact a 12-caps of  $S_{3,4}$ . In order to prove the completeness of this  $12_{3,4}$ , it suffices to show that through every point  $P$  of  $S_{3,4}$  there is some line meeting  $\mathcal{C} \cup \mathcal{C}_1$  at two distinct points. This is obvious if  $P$  lies in  $\pi$  or in  $\pi_1$ , on account of the completeness of the ovals  $\mathcal{C}$  and  $\mathcal{C}_1$ . If  $P$  lies outside  $\pi$  and  $\pi_1$ , let us project  $\mathcal{C}_1$  from  $P$  upon  $\pi$ ; the projection is an oval  $\mathcal{C}'$  of  $\pi$ , and both  $\mathcal{C}$  and  $\mathcal{C}'$  have then no common point with  $r$ . From the lemma it follows that  $\mathcal{C}$  and  $\mathcal{C}'$  must consequently have some point in common: the line joining such a point with  $P$  meets actually  $\mathcal{C} \cup \mathcal{C}_1$  in two distinct points, and this completes the proof of the theorem.

## § 3. Construction of a complete $(3q+2)_{3,q}$ for any $q = 2^h$

We now assume that the character  $q$  has an arbitrary even value ( $q = 2^h$ ), and we establish the following

LEMMA II. If  $\pi$  and  $\pi_1$  denote two distinct planes of an  $S_{3,q}$ , and  $r$  is their line of intersection, let us consider in  $\pi$  an irreducible conic  $\mathcal{C}$  and in  $\pi_1$  an irreducible conic  $\mathcal{C}_1$ , both conics touching  $r$  at the same point  $T$  and having the same nucleus  $O$  (necessarily situated on  $r$  and distinct from  $T$ ). Moreover, we denote by  $A$  any of the  $q$  points of  $\mathcal{C}$  distinct from  $T$ , and by  $A_1$  any of the  $q$  points of  $\mathcal{C}_1$  distinct from  $T$ . Then every point  $A_2$  of intersection

of two of the  $q^2$  lines  $AA_1$ , and not situated on either  $\pi$  or  $\pi_1$ , lies always on  $q$  of these lines exactly: the points  $A_2$  just considered are  $q$  in number, lie all on a certain plane  $\pi_2$  (distinct from  $\pi$ ,  $\pi_1$ ) which contains the line  $r$ , and — together with  $T$  — they constitute the points of an irreducible conic,  $\mathcal{C}_2$ , which touches  $r$  at  $T$  and has  $O$  as its nucleus.

Let  $AA_1$ ,  $A'A'_1$  be two of the  $q^2$  lines defined above — where  $A$ ,  $A'$  are two points of  $\mathcal{C}$  and  $A_1$ ,  $A'_1$  are two points of  $\mathcal{C}_1$  — and suppose that they meet at a point,  $A_2$ , not situated on either  $\pi$  or  $\pi_1$  (so that the four points  $A$ ,  $A'$ ,  $A_1$ ,  $A'_1$  are distinct). Then the projection of  $\mathcal{C}_1$  from  $A_2$  upon  $\pi$  is an irreducible conic having in common with the given conic  $\mathcal{C}$  the points  $T$ ,  $A$ ,  $A'$  and having the same nucleus  $O$ ; consequently, the two conics just considered on  $\pi$  have at those three points the same tangents,  $TO$ ,  $AO$ ,  $A'O$ , and so they coincide. It follows that  $A_2$  must actually lie on exactly  $q$  of the  $q^2$  lines defined above.

Conversely, if we fix arbitrarily one of these lines,  $AA_1$  say, we see that precisely  $q-1$  of the remaining ones are meeting it, and so the latter intersect  $AA_1$  all at the same point,  $A_2$ . We obtain in fact each of the required lines by considering any one,  $R$  say, of the  $q-1$  points of  $r$  distinct from  $T$  and  $O$ : if  $A'$ ,  $A'_1$  denote the intersections of  $\mathcal{C}$ ,  $\mathcal{C}_1$  with  $RA$ ,  $RA_1$  residual to  $A$ ,  $A_1$  respectively, then the points  $A$ ,  $A_1$ ,  $A'$ ,  $A'_1$  are in a plane, and so the lines  $AA_1$ ,  $A'A'_1$  intersect; and conversely.

If  $A_2$  is — as above — the intersection of  $AA_1$ ,  $A'A'_1$ , and  $A'_2$  denotes the intersection of  $AA'_1$ ,  $A'A_1$ , then the points  $A_2$ ,  $A'_2$ ,  $R$  are the diagonal points of the quadrangle of vertices  $A$ ,  $A_1$ ,  $A'$ ,  $A'_1$ . Hence they are collinear, since the ground field has now the characteristic  $p = 2$  ([4], n. 103), and so the line  $A_2A'_2$  meets  $r$ .

The previous argument gives immediately that the meeting points outside  $\pi$ ,  $\pi_1$  of two (and therefore of  $q$ ) lines  $AA_1$  are  $q$  in number; and that the join of any one of them with any point of  $\mathcal{C}$  distinct from  $T$  meets  $\mathcal{C}_1$  at a point (also distinct from  $T$ ). If  $A_2$  and  $A'_2$  are any two distinct of those meeting points, let us choose any point  $A$  of  $\mathcal{C}$  distinct from  $T$ , and denote by  $A_1$ ,  $A'_1$  the points where the lines  $AA_2$ ,  $AA'_2$  respectively intersect  $\mathcal{C}_1$ . Then the line  $A_1A'_1$  will meet  $r$  at a point,  $R$  say (distinct from  $T$ ,  $O$ ), and the line  $RA$  will intersect  $\mathcal{C}$  — residually to  $A$  — at a point  $A'$  (distinct from  $T$ ). From the above, it follows that the lines  $A_2A'_2$  and  $r$  intersect; hence the  $q$  meeting points defined in the lemma are two by two in a plane through  $r$ , and so they must all lie in a single fixed plane,  $\pi_2$  say, containing  $r$ . Those  $q$  points can therefore be obtained by projecting on  $\pi_2$  the points  $A_1$  ( $\neq T$ ) of  $\mathcal{C}_1$  from any chosen point  $A$  ( $\neq T$ ) of  $\mathcal{C}$ ; consequently, they all lie on a conic  $\mathcal{C}_2$  of  $\pi_2$ , which touches  $r$  at  $T$  and has  $O$  as its nucleus.

Lemma II is thus established. We see, moreover, that the relation among the three conics  $\mathcal{C}$ ,  $\mathcal{C}_1$ ,  $\mathcal{C}_2$  is symmetric, any two of them being perspective from a point — distinct from  $T$  — arbitrarily chosen on the third conic.

We prove now

**THEOREM II.** *With the notation of lemma II, the point-set  $\mathcal{C} \cup \mathcal{C}_1 \cup O$  constitutes an incomplete  $(2q+2)$ -cap. Every  $k_{3,q}$  containing this  $(2q+2)$ -cap can be obtained by aggregating to it some points conveniently chosen on the plane  $\pi_2$ ; it follows that the number  $k$  of its points satisfies the limitation  $k \leq 3q+2$ , the maximum  $k = 3q+2$  being actually reached by certain  $(3q+2)_{3,q}$ , each of which is therefore complete.*

First of all, the definition of  $k$ -cap (§ 1) gives at once that  $\mathcal{C} \cup \mathcal{C}_1 \cup O$  is a  $(2q+2)_{3,q}$ . Since  $\mathcal{C} \cup O$  is an oval of  $\pi$  (§ 1), no further point of  $\pi$  (and, likewise, of  $\pi_1$ ) can be aggregated to this  $(2q+2)_{3,q}$ , if we wish to obtain still a cap. On the other hand, each line  $AA_1$  (joining a point  $A \neq T$  of  $\mathcal{C}$  and a point  $A_1 \neq T$  of  $\mathcal{C}_1$ , and so containing a point  $A_2$  of  $\mathcal{C}_2$ ) has exactly  $(q+1)-3 = q-2$  points outside the planes  $\pi$ ,  $\pi_1$ ,  $\pi_2$ ; since the lines  $AA_1$  are  $q^2$  in number and — by lemma II — none of the points just considered can be situated on more than one of those lines, thus the total number of these points is  $q^2(q-2)$ , and so it coincides with the number

$$(q^3 + q^2 + q + 1) - 3(q^2 + q + 1) + 2(q + 1)$$

of the points of  $S_{3,q}$  which lie outside the three planes  $\pi$ ,  $\pi_1$ ,  $\pi_2$ . It follows that each of the latter points lies on one, and only one, line  $AA_1$ ; therefore none of them can be aggregated to  $(2q+2)_{3,q}$ , if we wish to obtain still a cap.

In conclusion, in order to amplify  $(2q+2)_{3,q}$  in a cap, we may only aggregate to it some points of  $\pi_2$ . From lemma II, none of the additional points can lie on  $\mathcal{C}_2$ ; moreover, since  $\pi_2$  meets  $(2q+2)_{3,q}$  in the two points  $T$  and  $O$ , the additional points can be chosen freely in  $\pi_2$ , outside  $\mathcal{C}_2$ , with the only further condition that the set of points obtained by aggregating  $T$  and  $O$  to them is a  $k'$ -arc of  $\pi_2$ . As  $k' \leq q+2$  (§ 1), the number of additional points is never greater than  $(q+2)-2 = q$ , this maximum being reached if (and only if) the  $q$  additional points — together with the points  $T$  and  $O$  — constitute an oval, having no point distinct from  $T$  in common with  $\mathcal{C}_2$ .

We obtain such an oval by considering in  $\pi_2$  the pencil of conics determined by  $\mathcal{C}_2$  and the line  $r$  counted twice, and aggregating the point  $O$  to the  $q+1$  points of any of its conics distinct from the two conics by means of which we have defined the pencil. Theorem II is thus completely proved.

#### § 4. Two additional lemmas

We now give a couple of additional lemmas, to be applied later on, the first of which can be conveniently compared with lemma II (§ 3).

LEMMA III. If  $\pi$  and  $\pi_1$  are two distinct planes of an  $S_3$  over an arbitrary perfect (possibly infinite) field of characteristic 2, and  $r$  denotes their line of intersection, let us consider in  $\pi$  an irreducible conic  $\mathcal{C}$  and in  $\pi_1$  an irreducible conic  $\mathcal{C}_1$ , the two conics having the same nucleus,  $O$  (situated on  $r$ ), and touching  $r$  at two distinct points  $T, T_1$ . Then a third plane  $\omega$  — passing through  $r$  — is defined, the points of which not lying on  $r$  constitute the locus of those points of  $S_3 - (\pi \cup \pi_1)$  which lie on just one line meeting both  $\mathcal{C}$  and  $\mathcal{C}_1$ .

We can introduce in  $S_3$  homogeneous coordinates  $(x_1, x_2, x_3, x_4)$ , in such a way that  $T, T_1$  have the coordinates (1000), (0100), and that (0010), (0001) are two further points of  $\mathcal{C}, \mathcal{C}_1$  respectively. Then, by a proper choice of the unity point, the coordinates of  $O$  become (1100) and the equations of  $\mathcal{C}, \mathcal{C}_1$  can be reduced to the form:

$$\mathcal{C}: \quad x_4 = 0, \quad (x_1 + x_2)x_3 + x_2^2 = 0,$$

$$\mathcal{C}_1: \quad x_3 = 0, \quad (x_1 + x_2)x_4 + x_1^2 = 0.$$

The points  $A, A_1$  of  $\mathcal{C}, \mathcal{C}_1$ , different from  $T, T_1$  respectively, are those of coordinates

$$A: \quad x_1 = \lambda^2 + \lambda, \quad x_2 = \lambda, \quad x_3 = 1, \quad x_4 = 0,$$

$$A_1: \quad x_1 = \mu, \quad x_2 = \mu^2 + \mu, \quad x_3 = 0, \quad x_4 = 1,$$

where the parameters  $\lambda, \mu$  vary arbitrarily in the ground field. The coordinates of any point  $P$  of  $S_3$  not situated in  $\pi$  or  $\pi_1$  can be written in the form

$$P: \quad x_1 = a, \quad x_2 = b, \quad x_3 = c, \quad x_4 = 1,$$

with  $c \neq 0$ ; then  $A, A_1, P$  are collinear if, and only if,

$$a = c(\lambda^2 + \lambda) + \mu, \quad b = c\lambda + (\mu^2 + \mu).$$

On eliminating  $\mu$  among these relations, we obtain:

$$c^2\lambda^4 + c(c+1)\lambda^2 + (a+b+a^2) = 0;$$

and the last equation has just one root  $\lambda$  in the ground field if, and only if,  $c+1=0$ : this is tantamount to supposing that the point  $P$  lies on the plane  $x_3 + x_4 = 0$ , which is therefore the plane  $\omega$  of the lemma.

We pass now to

LEMMA IV. Let  $K$  be a  $k$ -cap contained in an irreducible quadric  $Q$  of  $S_{3,q}$ , with arbitrary (even or odd)  $q \geq 4$ ; and suppose that

$$k \geq (q^2 + q + 4)/2.$$

Then  $Q$  is elliptic, and every cap containing  $K$  lies entirely in  $Q$ .

$Q$  is elliptic, since otherwise  $K$  could have at most two points on each of the  $q+1$  generators of  $Q$  of one system, and so  $k \leq 2q+2$ , in contrast with our hypotheses.

If a cap containing  $K$  does not lie entirely on  $Q$ , and so it possesses (at least) one point —  $O$  say — not situated on  $Q$ , then there are exactly  $q+1$  points of  $Q$  joined to  $O$  by a tangent and the  $k$  points of  $K$  are joined to  $O$  by  $k$  distinct lines. Hence at least

$$k - (q+1) \geq (q^2 - q + 2)/2$$

of these lines do not touch  $Q$ , and each of them meets consequently  $Q$  in two distinct points. The points thus defined on  $Q$  and the points of contact of the tangents of  $Q$  passing through  $O$  are distinct, and at least

$$(q^2 - q + 2) + (q+1) = q^2 + 3$$

in number. But this is impossible, since the quadric  $Q$  — being elliptic — contains  $q^2+1$  points exactly; and this contradiction completes the proof of the lemma.

#### § 5. The polarity defined by an ovaloid

We now consider an arbitrary ovaloid  $K$  of  $S_{3,q}$ , with  $q = 2^h \geq 4$ , and any plane  $\pi$  of  $S_{3,q}$ . From § 1 there are only two cases to be distinguished, according as  $\pi$  intersects  $K$  in a single point,  $P$  say (and then  $\pi$  is the tangent plane of  $K$  at  $P$ ), or in a  $(q+1)$ -arc. In the first case, the tangent lines of  $K$  lying in  $\pi$  are clearly the  $q+1$  lines of  $\pi$  containing  $P$ . In the second case, the  $(q+1)$ -arc is contained in just one oval ([8], n. 30), obtainable by aggregating to it a uniquely determined point,  $P$  say; in other words, the  $q+1$  tangents to the  $(q+1)$ -arc (one at each of its points) are the lines of the pencil of centre  $P$ , and they are manifestly the only tangents of  $K$  lying in  $\pi$ . In either case, the above defined point  $P$  will be called the pole of  $\pi$  with respect to  $K$ .

We shall prove

THEOREM III. The correspondence associating to every plane of  $S_{3,q}$  its pole with respect to  $K$  is always a null polarity. The linear complex of the lines of  $S_{3,q}$  which are transformed into themselves by this polarity, consists precisely of the tangent lines of  $K$ ; hence the tangent lines of  $K$  containing an arbitrarily given point of  $S_{3,q}$  are  $q+1$  in number, and constitute



a pencil. Moreover, the polarity transforms every chord of  $K$  into an external line, and conversely.

We show first that the correspondence  $\pi \rightarrow P$  — defined in the first paragraph of the present section — is one-to-one, namely that each point  $P$  of  $S_{3,q}$  lies on exactly  $q+1$  tangents of  $K$ , constituting a pencil. For this purpose, we denote by  $t_P$  the number of tangents of  $K$  containing  $P$ , and we remark firstly that

$$t_P \geq q+1.$$

In fact — if we suppose  $t_P \leq q$  — we deduce the existence of some plane,  $\alpha$  say, containing  $P$  but none of the  $t_P$  tangents of  $K$  issued from  $P$ ; this, however, would lead to a contradiction, since we know that on  $\alpha$  there is a pencil of tangent lines of  $K$ , and so at least one of these lines should contain  $P$ .

On the other hand,  $K$  admits  $q+1$  tangents at each of its  $q^2+1$  points, and  $(q+1)(q^2+1)$  is the total number of points of  $S_{3,q}$  (§1). By evaluating in two different manners the number of pairs formed by a tangent of  $K$  and one of its  $q+1$  points, we then obtain the equality

$$\sum_P t_P = (q+1)^2(q^2+1),$$

where the sum runs over all the points  $P$  of  $S_{3,q}$ . Hence in none of the previous limitations the inequality sign may hold, i. e., we must have  $t_P = q+1$  for every  $P$ , since otherwise — by adding them — we should obtain a contradiction. We notice now that the  $q+1$  tangents of  $K$  issued from  $P$  lie necessarily on a plane (to be called the *polar plane* of  $P$ ). For, if that would not be so, there should be some plane containing  $P$  and none of these tangents; but this, from what we have previously seen, would not be possible.

In order to complete the proof of theorem III, there remains only to be shown that, if  $r$  denotes any line of  $S_{3,q}$ , when a plane  $\pi$  of  $S_{3,q}$  turns about  $r$  its pole  $P$  describes a line,  $r'$  say; and that  $r'$  coincides with  $r$  if  $r$  is a tangent, while otherwise  $r'$  is external or is a secant with respect to  $K$  according as  $r$  is a secant or is an external line.

The stated properties being all obvious when  $r$  is a tangent, let us suppose that  $r$  is a secant of  $K$ . If  $A, B$  are the two (distinct) points of  $K$  lying on  $r$ , we denote by  $\alpha, \beta$  the tangent planes of  $K$  at  $A, B$  respectively, and by  $r'$  the line of intersection of  $\alpha, \beta$ . Clearly,  $r'$  contains none of the points  $A, B$ , and so it is external with respect to  $K$  ( $A, B$  being the only points of  $K$  lying on  $\alpha, \beta$ ). Any plane  $\pi$  containing  $r$  intersects  $\alpha, \beta$  in two lines touching  $K$  (at  $A, B$  respectively); hence these two lines meet at a point  $P$ , intersection of  $\pi$  and  $r'$ , which — from the very defin-

ition of pole — is precisely the pole of  $\pi$  with respect to  $K$ . And we should notice that the pencil described by  $\pi$  and the line described by  $P$  are homographic, since they are mutually perspective.

Finally, let us consider the remaining case — when  $r$  is external to  $K$  — and denote by  $\pi, \pi_1, \pi_2$  any three distinct planes of  $S_{3,q}$  containing  $r$ , and by  $P, P_1, P_2$  the poles of  $\pi, \pi_1, \pi_2$  with respect to  $K$ . We remark that none of these points can lie on  $r$ , as otherwise  $r$  would touch  $K$  at such a point, and so  $P, P_1, P_2$  are certainly distinct. Hence any point  $O$  of  $r$  is joined to  $P, P_1, P_2$  by three distinct lines, which — from the definition of pole — are three tangents of  $K$  issued from  $O$ , and so they are coplanar. Since  $O$  is an arbitrary point of  $r$ , it follows that  $P, P_1, P_2$  must lie on a line (skew to  $r$ ). By keeping  $\pi_1$  and  $\pi_2$  fixed, and making  $\pi$  turn about  $r$ , we see consequently that the pole  $P$  of  $\pi$  lies on the fixed line  $r' = P_1P_2$ . This line is certainly not a tangent, as this would imply the coincidence of  $r$  and  $r'$ . In order to prove that  $r'$  is now a chord of  $K$ , on using the results of the previous paragraph it suffices to show that:

*Any ovaloid admits the same number of chords and of external lines.*

In fact, the total number of lines of  $S_{3,q}$  is ( $[4]$ , n. 159):

$$(q^2+1)(q^2+q+1);$$

moreover, an ovaloid of  $S_{3,q}$  has manifestly  $(q+1)(q^2+1)$  tangents and  $\binom{q^2+1}{2}$  chords. Hence the latter number is actually the same as that of the external lines, since

$$(q^2+1)(q^2+q+1) = (q+1)(q^2+1) + 2 \binom{q^2+1}{2}.$$

Theorem III is thus established. From it we deduce at once

**COROLLARY I.** *When a point describes a tangent line of an ovaloid, its polar plane turns about the same line, corresponding homographically to it.*

Another immediate consequence of theorem III is expressed by

**COROLLARY II.** *The tangent planes of any given ovaloid constitute the dual of an ovaloid, the lines situated on two (and so on only two) distinct of those planes being the lines external to the given ovaloid.*

## § 6. On the plane sections of an ovaloid

We shall establish later on (§ 7) the existence of ovaloids which are not quadrics; and now we investigate some properties of the plane sections of such ovaloids.

If  $K$  is any ovaloid of  $S_{3,q}$ , with even  $q$ , let  $\pi$  be any of the  $q^3+q$  planes of  $S_{3,q}$  which do not touch  $K$ ; then  $\pi$  intersects  $K$  in a  $(q+1)$ -arc, I say, giving

an oval by aggregating to it the pole  $P$  of  $\pi$  with respect to  $K$  (§ 5). About  $\Gamma$ , we can distinguish from § 1 the following three possibilities:

(i)  $\Gamma$  is a conic (and then  $P$  is its nucleus).  
 (ii)  $\Gamma$  can be obtained from a conic by aggregating its nucleus,  $O$ , and suppressing one of its points, coinciding with  $P$ . We shall then say that  $\Gamma$  is a *pointed conic*, having as *nucleus* the point  $O$  (which is a well defined point of  $\Gamma$  if  $q > 4$ ); and we notice that the tangent of  $\Gamma$  at  $O$  is the line  $OP$ .

(iii) The oval  $\Gamma \cup P$  can be obtained in no way from a conic, by aggregating the nucleus to it.

If  $K$  is a quadric, only case (i) can arise; and the converse is also true (cf. theorem V). We shall say that  $K$  is *singular* or *regular* according as some or none of its plane sections presents case (iii). No singular ovaloid can therefore be a quadric. On the other hand, from known results [6], we have that *every ovaloid is regular for  $h = 4$  and for  $h = 8$* ; and we shall not investigate the question of existence of singular ovaloids for  $h > 8$ .

We prove first

**THEOREM IV.** *All the pointed conics lying on an ovaloid  $K$  of  $S_{3,q}$ , which have as nucleus a given point  $O$  of  $K$  (if any), must admit a common tangent at  $O$ .*

It suffices to show that, if  $\pi, \pi_1$  are two distinct planes of  $S_{3,q}$  containing  $O$  and meeting  $K$  in two pointed conics,  $\Gamma, \Gamma_1$  say, of nucleus  $O$ , then the line  $r = \pi \cap \pi_1$  touches  $K$  at  $O$ . For this purpose, let us suppose that  $r$  intersects  $K$  at a point,  $T$  say, distinct from  $O$ , so that the poles of  $\pi, \pi_1$  with respect to  $K$  are two points  $P, P_1$  (of  $\pi, \pi_1$  respectively) not lying on  $r$ . Moreover, on  $\pi, \pi_1$  we have two conics  $\mathcal{C}, \mathcal{C}_1$ , both having  $O$  as nucleus and touching  $r$  at  $T$ , such that

$$\Gamma \cup P = \mathcal{C} \cup O, \quad \Gamma_1 \cup P_1 = \mathcal{C}_1 \cup O;$$

we then designate by  $\mathcal{H}, \mathcal{H}_1$  the quadric cones projecting  $\mathcal{C}, \mathcal{C}_1$  from  $P_1, P$  respectively.

From lemma II and the proof of theorem II (§ 3), we see that  $\mathcal{C}, \mathcal{C}_1$  define a certain plane  $\pi_2$  containing  $r$ , and that the lines joining the single points of

$$\Gamma - (O \cup T) = \mathcal{C} - (P \cup T)$$

with the single points of

$$\Gamma_1 - (O \cup T) = \mathcal{C}_1 - (P_1 \cup T)$$

fill up

$$S_{3,q} - (\pi_2 \cup \mathcal{H} \cup \mathcal{H}_1)$$

completely. Consequently, since  $K$  is a cap, the points of  $K - (\Gamma \cup \Gamma_1)$  must lie on  $\pi_2 \cup \mathcal{H} \cup \mathcal{H}_1$ , and so their number cannot be greater than  $3q$ . But the total number of the points just considered actually is  $(q^2 + 1) - 2q$ ; and this number is greater than  $3q$ , since now  $q \geq 8$  (otherwise  $K$  would not contain any pointed conic (cf. § 1)).

This contradiction proves the theorem, as an immediate consequence of which we obtain

**COROLLARY III.** *Any point of an ovaloid of  $S_{3,q}$  is the nucleus of at most  $q$  of its pointed conics.*

We proceed to establish

**THEOREM V.** *Any ovaloid  $K$  of  $S_{3,q}$  ( $q \geq 8$ ) containing (at least)  $(q^3 - q^2 + 2q)/2$  conics is consequently an elliptic quadric.*

We begin by showing that we can find on  $K$  two distinct points,  $A, B$  say, such that:

(i) there exist (at least)  $q^2/2 + 1$  distinct conics lying on  $K$  and containing  $A$ ;

(ii) there exist (at least)  $q/2 + 1$  distinct conics lying on  $K$  and containing both  $A$  and  $B$ .

If it should be impossible to choose a point  $A$  for which (i) holds, then each of the  $q^2 + 1$  points of  $K$  would lie on at most  $q^2/2$  conics. By evaluating in two different ways the number of pairs formed by a conic of  $K$  and one of its  $q + 1$  points, we then obtain:

$$(q + 1) \cdot (q^3 - q^2 + 2q)/2 \leq (q^2 + 1) \cdot q^2/2.$$

This inequality being not satisfied, it follows that we can in fact choose  $A$  such as (i) holds.

If it should be impossible to associate to  $A$  another point  $B$  of  $K$  for which (ii) holds, then  $A$  and any of the  $q^2$  points of  $K$  distinct from  $A$  would lie both on no more than  $q/2$  conics of  $K$ . By evaluating in two different ways the number of pairs formed by a conic of  $K$  containing  $A$  and one of its  $q$  points distinct from  $A$ , and using (i), we then obtain:

$$(q^2/2 + 1) \cdot q \leq q^2 \cdot q/2.$$

This inequality being not satisfied, also part (ii) of our assertion is proved.

If  $A, B$  are two distinct points of  $K$  for which (i) and (ii) hold, we denote by  $\alpha, \beta$  the planes touching  $K$  at  $A, B$ , by  $\mathcal{C}_0, \mathcal{C}_1, \dots, \mathcal{C}_{q/2}$   $q/2 + 1$  distinct conics of  $K$  containing  $A, B$ , and by  $\mathcal{D}$  a conic of  $K$  containing  $A$  but not  $B$ . The plane of  $\mathcal{D}$  will then meet  $\alpha$  in a line touching at most one of the  $\mathcal{C}$ 's at  $A$ . Hence it is not restrictive to suppose that the plane of  $\mathcal{D}$  intersects the planes of  $\mathcal{C}_1, \dots, \mathcal{C}_{q/2}$  in chords of  $K$ ; if  $P_1, \dots, P_{q/2}$  denote the points distinct from  $A$  where these chords meet  $K$ , then  $P_i$  is a common point of  $\mathcal{C}_i$  and  $\mathcal{D}$  ( $i = 1, \dots, q/2$ ).

The quadric  $-Q$  say — defined by the condition of containing  $\mathcal{C}_1$ ,  $\mathcal{C}_2$  and  $P_3$ , meets  $\mathcal{D}$  at  $P_1, P_2, P_3$  and (having  $\alpha$  as its tangent plane at  $A$ ) it touches  $\mathcal{D}$  at  $A$ . Therefore the conic  $\mathcal{D}$  lies on  $Q$ ; and so does the conic  $\mathcal{C}_i$  ( $i = 3, \dots, q/2$ ), since  $\mathcal{C}_i$  contains the point  $P_i$  of  $\mathcal{D}$ , hence of  $Q$ , and it touches  $Q$  at  $A$  and  $B$ .

From (i), (ii) we see that on  $K$  there exist conics containing  $A$ , but not  $B$ , which do not touch  $\mathcal{C}_0$  at  $A$ . By substituting such a conic for  $\mathcal{D}$  in the previous argument, we infer that  $\mathcal{C}_0$  must lie on a quadric containing at least  $q/2 - 1$  of the conics  $\mathcal{C}_1, \dots, \mathcal{C}_{q/2}$ ; hence also  $\mathcal{C}_0$  is situated on  $Q$ .

The points of  $\mathcal{C}_0 \cup \mathcal{C}_1 \cup \dots \cup \mathcal{C}_{q/2} \cup \mathcal{D}$  are at least

$$2 + (q/2 + 1)(q - 1) + (q/2 - 1) > (q^2 + q + 4)/2$$

in number. Since they lie on both  $K$  and  $Q$ , from lemma IV (§ 4) it follows that  $K$  is an elliptic quadric, and that  $K$  is contained in  $Q$ . Hence  $K$  and  $Q$  must coincide, as they contain the same number  $(q^2 + 1)$  of points.

Thus theorem V is proved. From it we shall draw as a consequence (to be compared with corollary III) the following:

**COROLLARY IV.** *On every regular ovaloid  $K$  of  $S_{3,q}$ , which is not a quadric, there exist some point which is the nucleus of at least  $q/2 + 1$  of its pointed conics.*

For, if every point of  $K$  should be the nucleus of no more than  $q/2$  pointed conics, then  $K$  could contain on the whole at most  $(q^2 + 1)q/2$  pointed conics, and so at least

$$(q^3 + q) - (q^2 + 1)q/2 > (q^3 - q^2 + 2q)/2$$

conics, in contrast with theorem V.

## § 7. On ovaloids of $S_{3,q}$ which are not quadrics

Let us now suppose that  $K$  is an ovaloid of  $S_{3,q}$  ( $q = 2^h \geq 8$ ), containing  $l + 2$  ( $\geq 2$ ) pointed conics

$$\Gamma, \Gamma_1, A_1, \dots, A_l$$

with the same nucleus,  $O$  say, and denote by  $\omega$  the tangent plane of  $K$  at  $O$ . Then, from theorem IV, the planes  $\pi, \pi_1, \chi_1, \dots, \chi_l$  of these pointed conics must all contain a fixed line,  $r$  say, touching  $K$  at  $O$ ; moreover, from theorem III (§ 5), their respective poles

$$T, T_1, U_1, \dots, U_l$$

must be distinct points of  $r$ . If  $l > 0$ , we see from corollary I (§ 5) that the points  $O, T, T_1, U_1, \dots, U_l$  must correspond homographically to the

planes  $\omega, \pi, \pi_1, \chi_1, \dots, \chi_l$ . In any case, from the definition of pointed conics, we obtain the existence of  $l + 2$  conics

$$\mathcal{C}, \mathcal{C}_1, \mathcal{D}_1, \dots, \mathcal{D}_l,$$

lying in  $\pi, \pi_1, \chi_1, \dots, \chi_l$  and touching  $r$  at  $T, T_1, U_1, \dots, U_l$  respectively, such that

$$\Gamma = (\mathcal{C} \cup O) - T, \quad \dots, \quad A_l = (\mathcal{D} \cup O) - U_l.$$

The plane through  $r$  associated — from lemma III (§ 4) — with any two of the conics  $\mathcal{C}, \mathcal{C}_1, \mathcal{D}_1, \dots, \mathcal{D}_l$ , e. g. with  $\mathcal{C}$  and  $\mathcal{C}_1$ , has certainly no point in common with  $K$  outside  $r$ ; hence it must coincide with the plane  $\omega$  previously considered, which touches  $K$  at  $O$ .

In order to construct an ovaloid  $K$  presenting the peculiarities specified above, in the case when  $l$  reaches its maximum value,  $q - 2$  (see corollary III, § 6), we define  $GF(2^h)$  as the field generated over the field  $GF(2)$  (consisting of the elements 0 and 1, to be added and multiplied mod 2) by a root  $x$  of an irreducible equation of degree  $h$  over  $GF(2)$ , e. g. — if  $h = 3$  or  $h = 4$  (but not if  $h = 5$ ) — of the equation

$$x^h = x + 1;$$

and we denote by  $a_1, a_2, \dots, a_{q-2}$  the elements of  $GF(q)$  different from 0 and 1 taken in any order. Moreover, we recall ([4], n. 80) that an element  $a$  of  $GF(2^h)$  is said to be of the 1st or of the 2nd category according as the equation

$$\xi^2 + \xi + a = 0$$

has two roots  $\xi$  or has no roots in  $GF(2^h)$  (which is tantamount to saying that  $a^{2^{h-1}} + a^{2^{h-2}} + \dots + a^2 + a$  has then the value 0 or 1 respectively); and that the sum of two elements of the same or opposite categories is always of the 1st or 2nd category respectively.

We shall presently give the required construction, leading to the following

**THEOREM VI.** *In  $S_{3,q}$  (with  $q = 2^h \geq 8$ ) there exists some ovaloid containing  $q$  pointed conics with the same nucleus, if it is possible to choose  $q - 2$  (not necessarily distinct) elements  $b_1, b_2, \dots, b_{q-2}$  of the 2nd category of  $GF(q)$ , such that also each of the elements  $a_i b_i + a_j b_j$  ( $i, j = 1, 2, \dots, q - 2; i \neq j$ ) is of the 2nd category.*

Let us consider the Galois space  $S_{3,q}$ , with homogeneous point coordinates  $(x_1, x_2, x_3, x_4)$  over  $GF(q)$ , and denote by  $r$  the line  $x_3 = x_4 = 0$ . The  $q + 1$  points of  $r$  are then

$$O(1000), \quad T(0100), \quad T_1(1100), \quad U_i(\sqrt{a_i}100),$$

where  $i = 1, 2, \dots, q-2$ , and they correspond homographically to the planes

$$\omega: x_3 = 0, \quad \pi: x_4 = 0, \quad \pi_1: x_4 = x_3, \quad \chi_i: x_4 = \sqrt{a_i}x_3$$

containing  $r$ . The conics

$$\begin{aligned} \mathcal{C}: \quad x_4 &= 0, & x_1^2 + x_2x_3 &= 0, \\ \mathcal{C}_1: \quad x_4 &= x_3, & x_1^2 + x_2x_3 + x_2^2 &= 0, \\ \mathcal{D}_i: \quad x_4 &= \sqrt{a_i}x_3, & x_1^2 + x_2x_3 + a_ix_2^2 + b_ix_3^2 &= 0 \end{aligned}$$

lie on the planes  $\pi, \pi_1, \chi_i$ , touch  $r$  at the points  $T, T_1, U_i$  respectively, and each of them has  $O$  as its nucleus.

Theorem VI will now be proved if we show that, on taking the  $b$ 's in the demanded manner, we obtain a cap by aggregating the point  $O$  to the  $q \cdot q = q^2$  points which lie on the  $q$  conics just defined, and are distinct from their respective point of contact with  $r$ . This is tantamount to proving that three of these  $q^2$  points, arbitrarily chosen on three different conics, are then never collinear. Later on, we shall refer to the last requirement as the  $\vartheta$ -condition for the three conics.

We begin by noticing that the  $q$  points of the  $q$  point-sets  $\mathcal{C}-T$ ,  $\mathcal{C}_1-T_1$ ,  $\mathcal{D}_i-U_i$  ( $i = 1, 2, \dots, q-2$ ) are those given by

$$\begin{aligned} P: \quad x_1 &= \lambda, & x_2 &= \lambda^2, & x_3 &= 1, & x_4 &= 0, \\ P_1: \quad x_1 &= \mu^2 + \mu, & x_2 &= \mu^2, & x_3 &= 1, & x_4 &= 1, \\ Q_i: \quad x_1 &= \sqrt{a_i}v_i^2 + v_i + \sqrt{a_i}b_i, & x_2 &= v_i^2 + b_i, & x_3 &= 1, & x_4 &= \sqrt{a_i} \end{aligned}$$

respectively, when the parameters  $\lambda, \mu, v_i$  vary in  $GF(q)$ .

Next we remark that, from the above, the line  $PP_1$  meets the plane  $\chi_i$  ( $x_4 = \sqrt{a_i}x_3$ ) at the point

$$\begin{aligned} x_1 &= (\sqrt{a_i}+1)\lambda + \sqrt{a_i}(\mu^2 + \mu), & x_2 &= (\sqrt{a_i}+1)\lambda^2 + \sqrt{a_i}\mu^2, \\ x_3 &= 1, & x_4 &= \sqrt{a_i}; \end{aligned}$$

hence, by expressing that this point lies on  $\mathcal{D}_i$ , we obtain

$$b_i = \xi^2 + \xi \quad \text{where} \quad \xi = (a_i + \sqrt{a_i})(\lambda^2 + \mu^2).$$

The last two equations have no common roots  $\xi, \lambda, \mu$  in  $GF(q)$  if, and only if,  $b_i$  is of the 2nd category; this is therefore the  $\vartheta$ -condition for the conics  $\mathcal{C}$ ,  $\mathcal{C}_1$  and  $\mathcal{D}_i$ .

We now denote by  $i, j$  any two distinct numbers  $1, 2, \dots, q-2$ , and notice that the line  $Q_iQ_j$  meets the plane  $\pi$  ( $x_4 = 0$ ) at the point of coordinates:

$$\begin{aligned} x_1 &= \sqrt{a_j}(\sqrt{a_i}v_i^2 + v_i + \sqrt{a_i}b_i) + \sqrt{a_i}(\sqrt{a_j}v_j^2 + v_j + \sqrt{a_j}b_j), \\ x_2 &= \sqrt{a_j}(v_i^2 + b_i) + \sqrt{a_i}(v_j^2 + b_j), \\ x_3 &= \sqrt{a_i} + \sqrt{a_j}, \\ x_4 &= 0; \end{aligned}$$

hence, by expressing that this point lies on  $\mathcal{C}$ , we obtain

$$a_ib_j + a_jb_i = \xi^2 + \xi, \quad \text{where} \quad \xi = \sqrt{a_i a_j}(v_i^2 + v_j^2 + b_i + b_j).$$

The last two equations have no common roots  $\xi, v_i, v_j$  in  $GF(q)$  if, and only if,  $a_ib_j + a_jb_i$  is of the 2nd category; this is therefore the  $\vartheta$ -condition for the conics  $\mathcal{D}_i, \mathcal{D}_j$  and  $\mathcal{C}$ .

We see likewise that the line  $Q_iQ_j$  meets the plane  $\pi_1$  ( $x_4 = x_3$ ) at the point of coordinates:

$$\begin{aligned} x_1 &= (1 + \sqrt{a_j})(\sqrt{a_i}v_i^2 + v_i + \sqrt{a_i}b_i) + (1 + \sqrt{a_i})(\sqrt{a_j}v_j^2 + v_j + \sqrt{a_j}b_j), \\ x_2 &= (1 + \sqrt{a_j})(v_i^2 + b_i) + (1 + \sqrt{a_i})(v_j^2 + b_j), \\ x_3 &= x_4 = \sqrt{a_i} + \sqrt{a_j}; \end{aligned}$$

hence, by expressing that this point lies on  $\mathcal{C}_1$ , we obtain

$$\begin{aligned} b_i + b_j + (a_ib_j + a_jb_i) &= \xi^2 + \xi, \\ \xi &= (1 + \sqrt{a_i})(1 + \sqrt{a_j})(v_i^2 + v_j^2 + b_i + b_j). \end{aligned}$$

The last two equations have no common roots  $\xi, v_i, v_j$  in  $GF(q)$  if, and only if,  $b_i + b_j + (a_ib_j + a_jb_i)$  is of the 2nd category; this is therefore the  $\vartheta$ -condition for the conics  $\mathcal{D}_i, \mathcal{D}_j$  and  $\mathcal{C}_1$ , and — from the above — it is a consequence of the  $\vartheta$ -conditions for the triplets  $(\mathcal{C}, \mathcal{C}_1, \mathcal{D}_i)$ ,  $(\mathcal{C}, \mathcal{C}_1, \mathcal{D}_j)$  and  $(\mathcal{D}_i, \mathcal{D}_j, \mathcal{C})$ .

Finally, on denoting by  $i, j, l$  any three distinct numbers  $1, 2, \dots, q-2$ , we remark that the line  $Q_iQ_j$  meets the plane  $\chi_l$  ( $x_4 = \sqrt{a_l}x_3$ ) at the point of coordinates

$$\begin{aligned} x_1 &= (\sqrt{a_j} + \sqrt{a_l})(\sqrt{a_i}v_i^2 + v_i + \sqrt{a_i}b_i) + (\sqrt{a_i} + \sqrt{a_l})(\sqrt{a_j}v_j^2 + v_j + \sqrt{a_j}b_j), \\ x_2 &= (\sqrt{a_j} + \sqrt{a_l})(v_i^2 + b_i) + (\sqrt{a_i} + \sqrt{a_l})(v_j^2 + b_j), \\ x_3 &= \sqrt{a_i} + \sqrt{a_j}, \\ x_4 &= \sqrt{a_l}(\sqrt{a_i} + \sqrt{a_j}); \end{aligned}$$



hence, by expressing that this point lies on  $\mathcal{D}_i$ , we obtain

$$(a_j b_i + a_i b_j) + (a_i b_i + a_i b_i) + (a_i b_j + a_j b_i) = \xi^2 + \xi$$

where

$$\xi = (a_i + \sqrt{a_j a_i + a_i a_i + a_i a_j})(v_i^2 + v_j^2 + b_i + b_j).$$

These two equations have no common roots  $\xi, v_i, v_j$  in  $GF(q)$  if  $(a_j b_i + a_i b_j) + (a_i b_i + a_i b_i) + (a_i b_j + a_j b_i)$  is of the 2nd category; hence the last property implies the  $\vartheta$ -condition for the conics  $\mathcal{D}_i, \mathcal{D}_j$  and  $\mathcal{D}_i$ , and — from the above — it is a consequence of the  $\vartheta$ -conditions for the triplets  $(\mathcal{D}_i, \mathcal{D}_i, \mathcal{C}), (\mathcal{D}_i, \mathcal{D}_i, \mathcal{C})$  and  $(\mathcal{D}_i, \mathcal{D}_j, \mathcal{C})$ .

Theorem VI now follows at once. We shall complete its content in the first two cases,  $h = 3$  and  $h = 4$ , by establishing

**THEOREM VII.** *While it is possible to satisfy all the conditions stated in theorem VI if we suppose  $q = 8$ , these conditions are incompatible for  $q = 16$ .*

On assuming firstly  $q = 8$  (i. e.,  $h = 3$ ), we can define  $GF(8)$  in the manner specified in the paragraph before theorem VI, and assume precisely:

$$\begin{aligned} a_1 &= x, & a_3 &= x^2, & a_5 &= x^2 + x, \\ a_2 &= x+1, & a_4 &= x^2+1, & a_6 &= x^2+x+1, \end{aligned}$$

where  $x^3 = x+1$ . From the obvious rules of addition and multiplication among these elements (for the multiplication cf. [6], § V), we see that

$$0, a_1, a_3, a_5$$

are of the 1st category, and

$$1, a_2, a_4, a_6$$

are of the 2nd category; and that all the conditions stated in theorem VI are verified if e. g. we assume

$$b_1 = b_6 = a_4, \quad b_2 = b_3 = a_6, \quad b_4 = b_5 = a_2.$$

Let us secondly suppose  $q = 16$  (i. e.,  $h = 4$ ). Now we define  $GF(16)$  in the manner specified in the paragraph before theorem VI, and assume precisely:

$$\begin{aligned} a_1 &= x, & a_2 &= x+1, & a_3 &= x^2, \\ a_4 &= x^2+1, & a_5 &= x^2+x, & a_6 &= x^2+x+1, \\ a_7 &= x^3, & a_8 &= x^3+1, & a_9 &= x^3+x, \\ a_{10} &= x^3+x+1, & a_{11} &= x^3+x^2, & a_{12} &= x^3+x^2+1, \\ a_{13} &= x^3+x^2+x, & a_{14} &= x^3+x^2+x+1, \end{aligned}$$

where now  $x^4 = x+1$ . We see without difficulty that, at present,

$$0, 1, a_1, a_2, \dots, a_6$$

are the elements of the 1st category, and so

$$a_7, a_8, \dots, a_{14}$$

are those of the 2nd category; besides, we can dress the table

	$a_7$	$a_8$	$a_9$	$a_{10}$	$a_{11}$	$a_{12}$	$a_{13}$	$a_{14}$
$a_7$	*	*	*	*	*	*	*	*
$a_8$	*	*	*	*	*	*	*	*
$a_9$	*	*	*	*	*	*	*	*
$a_{10}$	*	*	*	*	*	*	*	*
$a_{11}$	*	*	*	*	*	*	*	*
$a_{12}$	*	*	*	*	*	*	*	*
$a_{13}$	*	*	*	*	*	*	*	*
$a_{14}$	*	*	*	*	*	*	*	*

where the crossing of a line  $a_i$  and a column  $a_j$  is empty or is marked by an asterisk, according as the product  $a_i a_j$  is of the 1st or 2nd category.

From the above, in order that  $b_7$  and  $b_8$  are of the 2nd category we must have

$$b_7 = a_i, \quad b_8 = a_j,$$

where  $i, j$  are any two (possibly coincident) among the numbers 7, 8, ..., 14. Then, on using the table, and recalling that the sum of two elements of  $GF(q)$  is of the 2nd category if and only if the two elements are of opposite categories, we see that the condition for  $a_7 b_8 + a_8 b_7 = a_7 a_i + a_8 a_j$  to be of the 2nd category gives:

$$i \equiv j \pmod{2}.$$

If  $l$  denotes any of the numbers 9, 10, ..., 14, the table shows that  $a_7 b_l$  and  $a_8 b_l$  are of opposite categories. Hence, in order that

$$a_7 b_l + a_7 b_l \quad \text{and} \quad a_8 b_l + a_8 b_l$$

are of the 2nd category, it is necessary that

$$a_7 b_l = a_l a_i \quad \text{and} \quad a_8 b_l = a_l a_j$$

are of opposite categories. But now, again from the table, we see that (for no choice of  $i, j$  satisfying the conditions given in the preceding paragraph) the last property actually holds for all values of  $l = 9, 10, \dots, 14$ ; and this proves the second part of theorem VII.

As an immediate consequence of theorems III, VI and of the first part of theorem VII, we obtain

**THEOREM VIII.** *In  $S_{3,8}$  there exist ovaloids which are not quadrics. However, each of them defines a null polarity, exactly in the same way as it was a quadric.*

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## Les points exceptionnels rationnels sur certaines cubiques du premier genre

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### § 1. Les points exceptionnels sur une cubique

1. Soit donnée la cubique  $C$  de genre un représentée par l'équation

$$(1) \quad F(x, y, z) = 0$$

en coordonnées homogènes. Soit  $P$  un point sur la cubique. La tangente à la cubique en ce point rencontre la cubique en un second point  $P_1$ , le point tangentiel de  $P$ . Soit ensuite  $P_2$  le point tangentiel de  $P_1$  et soit  $P_3$  le point tangentiel de  $P_2$  et ainsi de suite. Nous aurons alors une suite infinie de points,

$$(2) \quad P = P_0, P_1, P_2, P_3, \dots, P_m, \dots,$$

où  $P_m$  est le point tangentiel de  $P_{m-1}$ . Si tous ces points sont distincts, nous appelons le point  $P$  point normal. Dans le cas contraire, il n'y a qu'un nombre fini de points distincts, et nous appelons le point  $P$  point exceptionnel. J'ai proposé cette notion dans un travail publié en 1935, voir [1], [2] et [3] <sup>(1)</sup>. Si le point  $P$  est exceptionnel, tous les autres points dans la suite (2) sont aussi exceptionnels.

Les neuf points d'inflexion sont évidemment des points exceptionnels.

Choisissons la représentation paramétrique des coordonnées de la cubique (1) par des fonctions elliptiques de telle façon que le point d'argument  $u = 0$  corresponde à un point d'inflexion. Soit  $u$  l'argument du point  $P$ . L'argument du point tangentiel  $P_1$  est alors  $-2u$  et l'argument du point  $P_m$  dans la suite (2) est  $(-2)^m u$ . Si, dans la suite (2), tous les points coïncident, le point initial  $P$  est un point d'inflexion.

<sup>(1)</sup> Les numéros figurant entre crochets renvoient à la Bibliographie placée à la fin de ce travail.