# Intrinsic description of the Sz.-Nagy-Brehmer unitary dilation

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To the memory
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#### I. Introduction

1. In this paper, generalizing a theorem of [2] for a single contraction, we shall describe by "intrinsic" properties the Sz.-Nagy-Brehmer minimal unitary dilation, and also the Sz.-Nagy-Brehmer minimal isometric dilation, of an arbitrary family of commuting contractions on a Hilbert space (the scalars may be real, complex or quaternionic).

As a corollary we obtain, in a new way, necessary and sufficient conditions on commuting contractions, in order that a Sz.-Nagy-Brehmer unitary dilation should exist, conditions which were given previously by the writer in [3], and earlier, in less precise form, by Brehmer in [1].

2. We use the following terminology. J will denote an arbitrary set of indices a, and  $\tilde{J}$  will denote the set of those integer valued functions  $m \equiv m(a), -\infty < m(a) < \infty$ , for which  $\tilde{m} \equiv \{a \mid m(a) \neq 0\}$  is a finite subset of J. We write  $m \geqslant 0$  if  $m(a) \geqslant 0$  for all a; we call m, n positive-disjoint if:  $m \geqslant 0$ ,  $n \geqslant 0$ , and  $\tilde{m} \cap \tilde{n} = \emptyset$  (empty set). We write n = -m if n(a) = -m(a) for all a.

If  $E_a$ ,  $a \in J$ , are commuting operators on any Hilbert space and  $m \in \widetilde{J}$  with  $m \geq 0$  we define E(m) to mean  $E_1^{m(1)} \dots E_r^{m(r)}$  where  $\widetilde{m}$  has been denoted by  $\{1, \dots, r\}$  for convenience; we define  $E(\emptyset)$  to be 1; we define E(-m) to be  $(E(m))^*$ . I, M, N will always denote finite subsets (possibly empty) of J. Any such finite set I will be denoted  $\{1, \dots, r\}$  for convenience.

Throughout this paper  $T_a$ ,  $\alpha \, \epsilon \, J$ , will denote a fixed family of commuting contractions on a fixed Hilbert space H. Operators  $V_a$ ,  $\alpha \, \epsilon \, J$ , acting on a Hilbert space  $H \cap H$  will be called an *isometric dilation* of  $\{T_a\}$  if  $\{V_a\}$  are commuting isometric operators on H and for all  $x \, \epsilon \, H$ :

(1) 
$$T(m)x = P_H V(m)x \quad \text{for} \quad m \in \tilde{J}, \ m \geqslant 0.$$

 $P_{H}$  denotes the projection (orthogonal) onto H.

The dilation will be called a Sz.-Nagy-Brehmer isometric dilation if (stronger than (1)), for all  $x \in H$ .

(2)  $T(-n)T(m)x = P_H V(-n)V(m)x$  for m, n positive-disjoint.

The dilation will be called a *unitary* one (in place of an isometric one) if the  $V_a$  are all unitary on K.

An isometric, respectively unitary, dilation  $\{V_a\}$  acting on K will be called *minimal isometric*, respectively *minimal unitary* if  $K = [\{V(m)H | | m \in \tilde{J}, m \geq 0\}]$ , respectively  $K = [\{V(m)H | m \in \tilde{J}\}]$  (we write  $[A, B, \ldots]$  to denote the subspace spanned by  $A, B, \ldots$ ).

It was shown in [2], sharpening previous results of Sz.-Nagy-Brehmer [4] and Brehmer [1], that commuting contractions  $\{T_a\}$  possess a Sz.-Nagy-Brehmer minimal unitary dilation if and only if the following condition is satisfied:

(3) For every finite subset  $I \subseteq J$  the operator  $P_I$  is positive definite on H.

Here we write I as  $\{1,\ldots,r\}$  for convenience and we define  $P_I=P_r$ , and  $P_j$ ,  $0\leqslant j\leqslant r$ , by induction on j as follows:

$$P_0 = 1, \quad P_{j+1} = P_j - T_{j+1}^* P_j T_{j+1}.$$

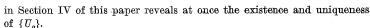
We adopt the convention:  $P_{\theta} = 1$ . Note that if (3) holds then  $1 = P_0 \geqslant P_1 \geqslant P_2 \geqslant \ldots \geqslant P_r$ .

3. If  $\{U_a\}$  acting on K is a unitary dilation of  $\{T_a\}$  we set  $K^+==[\{U(m)H|m\in \tilde{J},\ m\geqslant 0\}]$ . Then clearly,  $U_aK^+\subset K^+$  for all  $a\in J$  and the restrictions of the  $U_a$  to  $K^+$  will be a minimal isometric dilation of  $\{T_a\}$  (even a Sz.-Nagy-Brehmer one if  $\{U_a\}$  is a Sz.-Nagy-Brehmer unitary dilation).

Thus the problem of constructing a Sz.-Nagy-Brehmer minimal unitary dilation  $\{U_a\}$  breaks into two parts, (i) the construction of a Sz.-Nagy-Brehmer minimal isometric dilation  $\{V_a\}$  of given commuting contractions  $\{T_a\}$ , and (ii) the construction of  $\{U_a\}$  to be a minimal unitary dilation of given commuting isometries  $\{V_a\}$ .

It was shown by Brehmer [1] that for real or complex scalars (i) is possible under certain additional restrictions on  $\{T_a\}$ . The writer showed in [2] by another method (valid for real, complex or quaternionic scalars) that (3) is necessary and sufficient. In the present paper we obtain a description of  $\{V_a\}$  in terms of  $\{T_a\}$  which yields this condition (3) anew and throws light on its geometric significance.

As for (ii), this is always possible. This was shown by Brehmer [1] for real or complex scalars, by the writer [2] for real, complex or quaterionic scalars. The description of  $\{U_a\}$  in terms of  $\{V_a\}$  which we obtain



If J is finite the actual construction of  $\{U_a\}$  in terms of  $\{V_a\}$  is straightforward; but the construction when J is infinite seems to require either (i) a process of "identifications" which obscures the final result, or (ii) the use of transfinite induction (equivalently, the axiom of choice).

By combining (i) and (ii) a description of the Sz.-Nagy-Brehmer minimal unitary dilation  $\{U_a\}$  in terms of the given commuting contractions  $\{T_a\}$  (assuming (3) is satisfied) can be obtained. We shall not give the detailed description here. But we note that if  $\{T_a\}$  are doubly commuting (this means:  $T_aT_\beta=T_\beta T_a$  and  $T_a^*T_\beta=T_\beta T_a^*$  for all  $a\neq \beta$ ; this was the stronger hypothesis used by Sz.-Nagy in his original discovery [4] of the existence of a Sz.-Nagy-Brehmer minimal unitary dilation) then our description of  $\{U_a\}$  becomes more transparent.

This paper does not assume familiarity with previous work on dilations.

### II. Analysis of the Sz.-Nagy-Brehmer isometric dilation

**4.** In section II we shall assume that  $\{V_a\}$  acting on K exists as a Sz-Nagy-Brehmer minimal isometric dilation of  $\{T_a\}$ . We shall prove that (3) holds and we shall describe the behaviour of the V in terms of the given  $T_a$ .

For this purpose we define the operators  $D_I$ ,  $\overline{D}_I$  for each finite subset I of J as follows: Write  $I=\{1,\ldots,r\}$  for convenience, let  $D_0=\overline{D}_0=1$ ; for each j let  $\overline{D}_{j+1}=V_{j+1}\overline{D}_j-\overline{D}_jT_{j+1}$ ; let  $D_j=V_j^*\ldots V_1^*\overline{D}_j$ ; and let  $\overline{D}_I=\overline{D}_r,\ D_I=D_r$ . We let  $H_I$  denote the subspace  $[\overline{D}_IH]$  of K, with the convention:  $H_0=H$ .

We note that if  $a \in I$  then  $\overline{D}_I$  can be expanded into a sum of addends each of the form  $V(a)(V_a-T_a)T(b)$ .

We shall first prove:

(4) The subspaces  $\{V(m)H_I|m\in \widetilde{I},\ m\geqslant 0,\ I\subseteq J,\ I\ finite\}$  are mutually orthogonal and if  $\overline{K}$  denotes their orthogonal sum, then  $\overline{K}=K$ .

To prove (4) we first show that  $V(m)H_1 \perp V(n)H_1$  if  $m \in \tilde{I}$ ,  $m \ge 0$ ,  $n \in \tilde{I}$ ,  $n \ge 0$ , and  $m \ne n$ . It is sufficient to show that, for  $x \in H$ ,  $y \in H$ ,

$$E \equiv (V(n)\overline{D}_I x | V(n)\overline{D}_I y) = 0.$$

We must have for some  $\beta \in I$ :

$$m(\beta) > n(\beta) \geqslant 0$$
 or  $n(\beta) > m(\beta) \geqslant 0$ .

By symmetry we may suppose the former holds. Then, since the  $V_a$  are commuting isometries, we may even suppose that  $m(\beta) > n(\beta) = 0$ .

In the above expression for E, expand  $\overline{D}_I$  on the left so as to retain the factor  $(V_{\beta}-T_{\beta})$  and expand  $\overline{D}_I$  on the right completely. Then E becomes a sum of addends, each of the form  $(V(-b)\,V(a)(V_{\beta}-T_{\beta})\overline{x}\,|\,\overline{y})$  with  $\overline{x}\,\epsilon H,\ \overline{y}\,\epsilon H,\ a,b$  positive-disjoint,  $a(\beta)\geqslant 0$ , and  $b(\beta)=0$ . Since  $\{V_a\}$  is assumed to be a Sz.-Nagy-Brehmer dilation, (2) shows that such an addend has value  $(V(-b)\,V(a)(T_{\beta}-T_{\beta})\overline{x}\,|\,\overline{y})=0$ . Hence E=0 as required.

Next we prove that  $V(m)H_M \perp V(n)H_N$  if  $m \in \tilde{M}$ ,  $m \ge 0$ ,  $n \in \tilde{N}$ ,  $n \ge 0$  and  $M \ne N$ . We may assume that for some  $\beta \colon \beta \in M$ ,  $\beta \notin N$ , and we need only show that, for all  $x \in H$ ,  $y \in H$ ,

$$E \equiv (V(m)\overline{D}_M x | V(n)\overline{D}_N y) = 0.$$

If we expand  $\overline{D}_M$  so as to retain the factor  $(V_{\beta}-T_{\beta})$  and expand  $\overline{D}_N$  completely, we express E as a sum of addends each of the form  $(V(-b)V(a)(V_{\beta}-T_{\beta})\overline{x}|\overline{y})$  with a,b positive-disjoint,  $a(\beta)\geqslant 0$  and  $b(\beta)=0$ . By (2), each such addend has value  $(V(-b)V(a)(T_{\beta}-T_{\beta})\overline{x}|\overline{y})=0$ , so E=0, as required.

To complete the proof of (4) we need only show that  $K \subset \overline{K}$ . It is sufficient to show that for  $m \in \tilde{J}$ ,  $m \ge 0$ , and  $x \in H$  the element U(m)x is in  $\overline{K}$ .

Write  $I=\tilde{m}=\{1,\ldots,r\}$ . We shall prove by induction on r that  $V(m)x\in \overline{K}$  for each  $x\in H$ . Clearly, if r=0,  $V(m)x=V(0)x=1x=x\in \overline{K}$  since  $\overline{K}\supset H_\theta=H$ .

Assume now that r>0 and that  $V(n)x \in \overline{K}$  whenever  $\tilde{n}$  has less than r indices.

We shall use the identity

(5) 
$$V^{i}W = \sum_{j=1}^{i} V^{i-j} (VW - WT)T^{j-1} + WT^{i}.$$

Let  $m_1$  be defined by  $m_1(1) = 0$ ,  $m_1(\alpha) = m(\alpha)$  for  $\alpha \neq 1$  and apply (5) with  $V = V_1$ ,  $T = T_1$ ,  $W = V(m_1)$  and i = m(1). We obtain

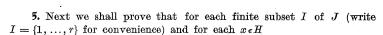
$$V(m)x = \sum_{j=1}^{i} V_{1}^{i-j} \left( V_{1}V(m_{1}) - V(m_{1})T_{1} \right) T_{1}^{j-1}x + V(m_{1})T_{1}^{m(1)}x.$$

By the inductive assumption,  $V(m_1)y \in \overline{K}$  when  $y = T_1^{m(1)}x \in H$ , so it is sufficient to show that  $V_1^{s_1} \left( V_1 V(m_1) - V(m_1) T_1 \right) x \in \overline{K}$  for all  $s_1 \geqslant 0$  and all  $x \in H$ .

Now for each  $u=1,\ldots,r$  let  $m_u(\alpha)=0$  if  $\alpha=1,\ldots,u$ , and let  $m_u(\alpha)=m(\alpha)$  otherwise. By induction on u, we need only show for a single u  $(1\leqslant u\leqslant r)$ :

$$V_1^{s_1}...V_u^{s_u}(V(m_u)\overline{D}_ux)\epsilon \overline{K}$$
 for all  $x \epsilon H$  and  $s_i \geqslant 0, 1 \leqslant i \leqslant u$ .

But for u=r,  $V(m_r)=V(0)=1$  and  $\bar{D}_r x=\bar{D}_I x \in H_I$  so  $V_1^{s_1} \dots V_r^{s_r} \bar{D}_I x \in \bar{K}$ . This completes the proof of (4).



- (6) (i)  $V_1 \dots V_r x$  is the orthogonal sum of its projections onto the subspaces  $H_M$ , M varying over all subsets of I; its projection onto  $\overline{H}_I$  is  $\overline{D}_I x$ ;
  - (ii)  $(P_I x | x) = \| projection \text{ of } V_1 \dots V_r x \text{ onto } H_I \|^2$ ;
  - (iii) The relation  $W_I P_I^{1/2} x = projection$  of  $V_1 \dots V_r x$  onto  $H_I$  determines a linear isometric mapping  $W_I$  of [range of  $P_I$ ] onto  $H_I$ ;
  - (iv)  $V_1 \dots V_r x = \sum \bigoplus W_M P_M^{1/2} T(I-M) x$ , where T(I-M) denotes the product of the  $T_a$  for which  $a \in I-M$  (by convention:  $T(\emptyset) = 1$ ).

Proof of (i). We first prove identity

(7) 
$$V_1 \dots V_r = \sum (\overline{D}_M T(I - M) | M \subset I)$$

(I is denoted  $\{1, \ldots, r\}$  for convenience). If r = 0,  $I = \emptyset$ , and (7) holds trivially; if (7) holds for some r, then

$$\begin{split} V_1 \dots V_{r+1} &= \sum \left( V_{r+1} \overline{D}_M T(I_r - M) | M \subset I_r \right) \\ &= \sum \left( V_{r+1} \overline{D}_M - \overline{D}_M T_{r+1} \right) T(I_r - M) | M \subset I_r \right) + \\ &+ \sum \left( \overline{D}_M T_{r+1} T(I_r - M) | M \subset I_r \right) \\ &= \sum \left( \overline{D}_M T(I_{r+1} - M) | M \subset I_{r+1} \right). \end{split}$$

Thus, by induction, (7) holds for all finite I. But for  $x \in H$ ,  $\overline{D}_M T(I-M)x \in H_M$  and, by (4), the subspaces  $H_M$ ,  $M \subset I$ , are mutually orthogonal; (i) now follows.

Proof of (ii). From (i) we deduce:

$$E \equiv \|\operatorname{Projection} \ \operatorname{of} \ V_1 \dots V_r x \ \operatorname{onto} \ H_I\|^2 = (\overline{D}_I x | \, V_1 \dots V_r x) = (D_I x | \, x).$$

Since  $\{V_a\}$  is a Sz.-Nagy-Brehmer isometric dilation,  $(D_Ix|x)$  may be evaluated by expanding  $D_I$  and then replacing each  $V_a^*$  by  $T_a^*$ . This will show that  $E = (P_Ix|x)$ . This proves (ii) and this establishes the necessity of condition (3).

Proof of (iii). By (i) and (ii), for arbitrary  $x \in H$ ,  $\overline{D}_I x$  is the projection of  $V_1 \dots V_r x$  onto  $H_I$  and  $||\overline{D}_I x|| = ||P_I^{1/2} x||$ . Since  $[P_I^{1/2} H]$  = [range of  $P_I$ ] and  $[\overline{D}_I H] = H_I$ , (iii) follows.

Proof of (iv). This follows from (7), because of (iii).

- **6.** We can now describe the Sz.-Nagy-Brehmer minimal isometric dilation  $\{V_a\}$  acting on K in terms of the given  $\{T_a\}$  as follows:
  - (i) K itself is the orthogonal sum of subspaces

(8) 
$$K = \sum \bigoplus (H_{I,m} | m \in \tilde{I}, \ m \geqslant 0, \ I \subset J, \ I \ \text{finite}),$$

where each  $H_{I,v}$  is the map by an isometry  $W_{I,v} = V(v)W_I$  defined on  $\lceil P_I H \rceil$ .

(ii) The behaviour of each  $V_{\beta}$  on K can be described as follows: If  $\beta \in I$ , then  $V_{\beta}$  on  $H_{I,v}$  coincides with  $W_{I,v'}(W_{I,v})^{-1}$  where  $v'(\alpha) = v(\alpha)$  if  $\alpha \neq \beta$  and  $v'(\beta) = v(\beta) + 1$ .

But if  $\beta \notin I$  then write I' for  $\{\beta, \alpha \mid \alpha \in I\}$ . If  $y \in H_{I,v}$  and y is of the form  $W_{I,v}P_I^{1/2}x$  with  $x \in H$  (such y are dense in  $H_{I,v}$ ), then  $V_{\beta}y = W_{I',v}P_I^{1/2}x + W_{I,v}P_I^{1/2}T_{\beta}x$ , where  $v'(\alpha) = v(\alpha)$  if  $\alpha \in I$  and  $v'(\beta) = 0$ . By continuity, these relations determine  $V_{\beta}y$  for all  $y \in H_{I,v}$ .

We note: in the preceding paragraph,  $V_a$  is determined uniquely, that is, if  $P_I^{1/2}x_1 = P_I^{1/2}x_2$ , then  $P_I^{1/2}x_1 = P_I^{1/2}x_2$  and  $P_I^{1/2}T_{\beta}x_1 = P_I^{1/2}T_{\beta}x_2$ . For (setting  $x = x_1 - x_2$ ) we have in turn:

$$P_I^{1/2}x = 0, \quad (P_I x | x) = \|P_I^{1/2}x\|^2 = 0, \\ 0 \leqslant (P_I x | x) = (P_I x | x) - (T_\beta^* P_I T_\beta x | x) = -(P_I T_\beta x | T_\beta x).$$

Since  $P_I\geqslant 0$ , it follows that  $P_IT_{\beta}x=0$ , hence  $P_{I'}x=0$  and  $\|P_I^{I'2}T_{\beta}x\|^2=(T_{\beta}^*P_IT_{\beta}x|x)=0$ ,  $P_I^{I'2}T_{\beta}x=0$ .

## III. Existence and uniqueness of the Sz.-Nagy-Brehmer minimal isometric dilation

- 7. It is clear from sections 5, 6 that if  $\{T_a\}$  possess a Sz.-Nagy-Brehmer minimal isometric dilation  $\{V_a\}$  acting on some  $K \supset H$ , then the condition (3) holds and K and  $\{V_a\}$  are determined uniquely (to within a unitary isomorphism).
- 8. On the other hand, section 6 indicates how K and  $\{V_a\}$  can be constructed if (3) holds. Simply choose K to be the orthogonal sum of subspaces  $K = \sum \bigoplus (H_{I,v}|v\,\epsilon\,\tilde{I},\,v\geqslant 0\,,\,\,I\subset J\,,\,\,I$  finite) with each  $H_{I,v}$  a copy of  $[P_IH]$ , all subspaces  $H_{\theta,v}$  to be interpreted by convention, to be the single space  $H_{\theta} = H$ .

Then for each finite, non-empty  $I \subset J$  and for each  $v \in \tilde{I}$ ,  $v \ge 0$ , choose a fixed, but arbitrary, isometric mapping  $W_{I,v}$  of  $[P_IH]$  onto  $H_{I,v}$  (we adopt the convention:  $W_{\theta,v} = 1$ ).

Finally, for each  $\beta \epsilon J$  we define an operator  $V_{\beta}$  on K as follows. Let I be an arbitrary finite subset of J, possibly empty, and let  $v \epsilon \tilde{I}$  with  $v \geqslant 0$ .

If  $\beta \in I$  (then  $I \neq \emptyset$ ), define  $V_{\beta}$  on  $H_{I,v}$  to coincide with the isometry  $W_{I,v'}(W_{I,v})^{-1}$  where v'(a) = v(a) if  $a \neq \beta$  and  $v'(\beta) = v(\beta) + 1$ .

If  $\beta \in I$  (then possibly  $I = \emptyset$ ), write I' for  $\{\beta, \alpha \mid \alpha \in I\}$ . Now if  $y \in H_{I,v}$  and if  $y = W_{I,v}P_I^{1/2}x$  for some  $x \in H$  (such y are dense in  $H_{I,v}$ ) then set

$$V_{\beta}y = W_{I,v}P_{I}^{1/2}T_{\beta}x + W_{I',v'}P_{I'}^{1/2}x,$$

where v'(a) = v(a) for  $a \in I$  and  $v'(\beta) = 0$ . Then by continuity define  $V_{\beta}y$  for all  $y \in H_{I,v}$ , and then by linearity and continuity define  $V_{\beta}y$  for all  $y \in K$ .



It remains to verify that these relations actually determine each  $V_{\beta}$  uniquely on K, that  $\{V_a\}$  are commuting isometric operators on K and they are a Sz.-Nagy-Brehmer minimal isometric dilation of  $\{T_a\}$ .

But if one uses the arguments used in sections 5, 6 this verification offers no difficulties.

### IV. The minimal unitary dilation of commuting isometries

- 9. In section IV we suppose  $\{V_a\}$  is a given family of commuting isometries on H (so  $V_a^*V_a=1$  for all a). For each  $m \in \tilde{J}$  with  $m \geqslant 0$  set  $A(m)=H \ominus V(m)H$ .
- 10. Now suppose  $\{U_a\}$  acting on  $K \supset H$  is a minimal unitary dilation of  $\{V_a\}$ . Set B(m) = U(-m)A(m) and let B denote the subspace of K spanned by  $\{B(m) | m \in \widetilde{J}, m \ge 0\}$ . We shall now prove:
- (9) If  $n \ge m$  then  $B(n) \supset B(m)$ .
- · (10)  $B \perp H$  and  $K = B \oplus H$ .

Proof of (9) and (10). Let  $p \in \tilde{J}$  be defined by p(a) = n(a) - m(a) for all a. Then, since  $U_a x = V_a x$  for  $x \in H$ ,

$$\begin{split} B(m) &= U(-m) \big( H \ominus V(m) H \big) = U(-m) H \ominus H \\ &= U(-n) V(p) H \ominus H \subset U(-n) H \ominus H \\ &= U(-n) \big( H - V(n) H \big) = U(-n) A(n) = B(n). \end{split}$$

This proves (9) and since  $B(m) = U(-m)H \ominus H$ , so  $B(m) \perp H$  for all  $m \in \tilde{J}$ ,  $m \ge 0$ . Hence  $B \perp H$ .

Now if  $m \in \tilde{J}$ , U(m) = U(-b)U(a) for some  $a \in \tilde{J}$ ,  $b \in \tilde{J}$  with  $a \ge 0$ ,  $b \ge 0$ . Then  $U(m)H = U(-b)V(a)H \subset U(-b)H = B(b) + H \subset B + H$ . So  $B+H \supset U(m)H$  for all  $m \in \tilde{J}$  and since B+H is closed, so  $B+H \supset [\{U(m)H \mid m \in \tilde{J}\}] = K$ . This implies, B+H = K and proves (10).

- 11. We can now describe K and  $\{U_a\}$  as follows (using identifications).
- (i)  $K \ominus H$  is spanned by subspaces  $\{B(m) | m \epsilon \tilde{J}, m \geqslant 0\}$  where each B(m) is mapped onto A(m) by an isometric mapping  $W_m = U(m)$  extended to an isometric mapping of  $B(m) \oplus H$  onto H by defining  $W_m x = V(m) x$  for  $x \epsilon H$ .

 $x_1 \epsilon B(m) + H$  is to be identified with  $x_2 \epsilon B(n) + H$  if and only if  $V(n)W_m x_1 = V(m)W_n x_2$ .

(ii) For each  $\beta \in J$ , and  $y \in B(m) + H$ ,  $U_{\beta}y$  coincides with  $W_m^{-1}(V_{\beta}W_my)$ . By continuity, this determines  $U_{\beta}y$  for all  $y \in B + H$ .

Note that in (ii),  $U_{\beta}y$  is determined uniquely. For if  $y=x_1\epsilon B(m)+H$  and  $y=x_2\epsilon B(m)+H$ , then  $V(n)W_mx_1=V(m)W_nx_2$ , so  $V_{\beta}V(n)W_mx_1=V_{\beta}V(m)W_nx_2$  and hence  $V(n)V_{\beta}W_mx_1=V(m)V_{\beta}W_nx_2$ , which implies that  $W_m^{-1}V_{\beta}W_mx_1$  is identified with  $W_n^{-1}V_{\beta}W_nx_2$ .

12. The relations established in section 11 show that K and  $\{U_a\}$  are determined uniquely by  $\{V_a\}$ . But they also indicate how to show the existence of K and  $\{U_a\}$  by actual construction.

Simply choose, for each  $m \in \tilde{J}$  with  $m \ge 0$  a copy B(m) of A(m) with  $B(m) \perp H$  and an isometric mapping  $W_m$  of B(m) onto A(m). Extend  $W_m$  to an isometric mapping of B(m) + H onto H by defining  $W_m x = V(m)x$  for  $x \in H$ .

If  $y_1 \in H$ ,  $y_2 \in H$ , identify  $W_m^{-1}y_1$  with  $W_m^{-1}y_2$  if and only if  $V(n)y_1 = V(m)y_2$ . After such identifications the set union of H and all B(m), form a (possibly incomplete) inner-product space  $K' \supset H$ . On K' define the operator  $U_\beta$ : if  $y \in B(m) + H$  so  $y = W_m^{-1}x$  for some  $x \in H$ , define  $U_\beta y$  to be  $W_m^{-1}V_\beta x$ .

There is no difficulty in proving that the extensions of  $\{U_a\}$  to the completion of K' form a minimal unitary dilation of  $\{V_a\}$ .

13. The use of identifications in sections 11 and 12 can be avoided if J is finite and, by use of suitable (transfinite) induction, if J is infinite, as follows.

Assume now that  $J=J_{\varOmega}$  consists of all ordinal numbers  $\alpha<\varOmega$  for some  $\varOmega$  (finite or infinite) and let  $J_{\gamma}$  (for  $\gamma\leqslant\varOmega$ ) consist of all  $\alpha<\gamma$ .

If  $\{U_a\}$  acting on K is a minimal unitary dilation of  $\{V_a\}$ , let  $K_{\gamma} = [\{U(m)H | m \in \widetilde{I_{\gamma}}\}]$ . Then  $U_{\beta}K_{\gamma} \subset K_{\gamma}$  for all  $\beta \in J$  and each  $U_{\beta}$  is isometric on  $K_{\gamma}$ . Moreover,  $U_{\beta}$  is unitary on  $K_{\gamma}$  for  $\beta < \gamma$  and the restrictions to  $K_{\gamma}$  or  $\{U_{\beta} | \beta < \gamma\}$  are a minimal unitary dilation of  $\{V_{\beta} | \beta < \gamma\}$ . Finally,  $K_{\beta} \subset K_{\gamma}$  if  $\beta \leqslant \gamma$ .

So  $\{U_{\alpha}\}$  on K can be obtained by step-by-step extension of all  $\{U_{\alpha} | \alpha < Q\}$  from  $K_{\beta}$  to  $K_{\beta+1}$ .

Thus  $K_1$  is of the form  $H \oplus \sum_{i=1}^{\infty} \oplus E_i$  where each  $E_i$  is a copy of  $H \ominus T_1H$ ; there exists an isometric mapping  $W_i = U_1^i$  which maps  $E_i$  onto  $H \ominus T_1H$ ;  $U_1$  is unitary on  $K_1$  under the relations  $U_1 x = V_1 x$  if  $x \in H$  and  $U_1 W_i^{-1} x = W_{i-1}^{-1} x$  for  $x \in H \ominus T_1H$  with the convention  $W_0 = W_0^{-1} = 1$ . On  $K_1$ , the extended  $V_\beta$  ( $\beta \in J$ ) satisfy the relations  $V_\beta W_i^{-1} x = W_i^{-1} V_\beta x$  where  $W_i^{-1}$  is to be extended to all  $x \in H$  by the relation  $W_i^{-1} = W_i^{-1} P_{H \ominus T_1 H} + W_{i-1}^{-1} P_{H \ominus T_1 H} V_1^* + \dots + W_1^{-1} P_{H \ominus T_1 H} (V_1^*)^{i-1} + (V_1^*)^{i}$ .

It is clear how to use this procedure to show the existence of K and  $\{U_{\alpha}\}$  by actual construction.



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Reçu par la Rédaction le 6.3.1962