

# On non-equivalent bases and conditional bases in Banach spaces

by

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## Introduction

A basis  $\{x_n\}$  of a Banach space  $E$  is called *equivalent* (cf. Banach [4]) to a basis  $\{y_n\}$  of a Banach space  $F$  provided that  $\sum_{i=1}^{\infty} a_i x_i$  is convergent in  $E$  if and only if  $\sum_{i=1}^{\infty} a_i y_i$  is convergent in  $F$ . This happens if and only if there exists an isomorphism  $A$  of  $E$  onto  $F$  such that  $A(x_n) = y_n$  for  $n = 1, 2, \dots$  ([2], [7]). A basis  $\{x_n\}$  is said to be *conditional* (*unconditional*) if there exists (if there does not exist) a series  $\sum_{i=1}^{\infty} a_i x_i$  which is convergent but not unconditionally convergent. A basis  $\{x_n\}$  is said to be *normalized* if  $\|x_n\| = 1$  ( $n = 1, 2, \dots$ ).

In finite-dimensional spaces all normalized bases are equivalent and unconditional. In the present paper we shall show that each of these properties characterizes finite-dimensional spaces among Banach spaces with a basis, by proving the following

**THEOREM.** *In every infinite-dimensional Banach space with a basis there exist two non-equivalent normalized bases, one of which is conditional* <sup>(1)</sup>.

In the usual concrete infinite-dimensional separable Banach spaces, excepting Hilbert spaces, it is easy to give examples of non-equivalent normalized bases and conditional bases (see e. g. [24], [14]). In the case of Hilbert spaces the problem becomes considerably more difficult. The first example of a normalized conditional basis (and hence non-equivalent to an orthogonal basis) in a separable Hilbert space has been given by Babenko [3] (see also [15], [1], [13]).

Since every infinite-dimensional Banach space contains a basic sequence <sup>(2)</sup>, i. e. a sequence  $\{x_n\}$  which is a basis of its closed linear hull

<sup>(1)</sup> Added in proof. This theorem substantiates a conjecture of Bonnice and Klee [31], p. 26.

<sup>(2)</sup> This result has been given without proof by Banach [5], p. 238; for various proofs see [7], [16], [8].

$\{x_n\}$ , it follows from the above theorem as an immediate consequence that every Banach space contains a conditional basic sequence and two non-equivalent normalized basic sequences. The first of these results has been obtained by Gurarii [19] with the aid of a profound result of Dvoretzky [10], and, as has been remarked by C. Bessaga, the second result may be obtained by a similar method.

We prove our theorem by the following method: we reduce the problem to symmetric spaces (see definition 1 below) and then from symmetric spaces to Hilbert spaces, where we apply the result of Babenko [3]. For the second step, in symmetric spaces we introduce analogues of the classical function systems of Haar and Rademacher [22] and prove a certain abstract analogue of the Khinchin inequality ([22], p. 131-132) which may also be of some interest for other applications.

In the last part of the paper we make some remarks and formulate some unsolved problems.

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### § 1. Symmetric Banach spaces

A basis  $\{x_j\}$  of a Banach space  $\mathcal{B}$  is called [27] <sup>(3)</sup> *symmetric*, if

$$(1) \quad \|x\| = \sup_{\sigma \in P(N)} \sup_{1 \leq n \leq +\infty} \left\| \sum_{i=1}^n \delta_i w_i^*(x) w_{\sigma(i)} \right\| < +\infty \quad \text{for all } x \in \mathcal{B},$$

where  $P(N)$  denotes the set of all permutations of the set  $N = \{1, 2, \dots\}$  and  $\{w_i^*\}$  is the sequence of continuous linear functionals biorthogonal to  $\{x_j\}$ . For a symmetric basis  $\{x_j\}$ , formula (1) defines a new norm on  $\mathcal{B}$ , equivalent to the original norm and “*symmetric with respect to  $\{x_j\}$* ”, i. e. such that

$$(2) \quad \left\| \sum_{i=1}^{\infty} \varepsilon_i w_{\sigma(i)}^*(x) w_{\tau(i)} \right\| = \left\| \sum_{i=1}^{\infty} w_i^*(x) w_i \right\|$$

for all  $x \in \mathcal{B}$ ,  $\sigma, \tau \in P(N)$  and  $|\varepsilon_i| = 1$ ,  $i = 1, 2, \dots$  (see [27], theorem 1).

**Definition 1.** We shall call a *symmetric space* any couple  $(\mathcal{B}, \{x_j\})$ , where  $\mathcal{B}$  is a Banach space with a symmetric basis and  $\{x_j\}$  a symmetric basis of  $\mathcal{B}$ , such that the original norm of  $\mathcal{B}$  is symmetric with respect to  $\{x_j\}$ .

In the sequel we shall denote by  $n$  an arbitrary positive integer.

<sup>(3)</sup> See also [28], [23].

**Definition 2.** Let  $(\mathcal{B}_{2^n}, \{x_j\})$  be a  $2^n$ -dimensional symmetric space. We shall call the *Haar system* the sequence  $\{y_j\}_{j=1}^{2^n}$  defined by <sup>(4)</sup>

$$(3) \quad y_1 = \sum_{i=1}^{2^n} x_i, \quad y_{2^k+l} = \sum_{i=1}^{2^n} \beta_i^{(k,l)} x_i$$

$$(l = 1, 2, \dots, 2^k; k = 0, 1, \dots, n-1),$$

where

$$(4) \quad \beta_i^{(k,l)} = \begin{cases} 1 & \text{for } (2l-2)2^{n-k-1} + 1 \leq i \leq (2l-1)2^{n-k-1}, \\ -1 & \text{for } (2l-1)2^{n-k-1} + 1 \leq i \leq 2l \cdot 2^{n-k-1}, \\ 0 & \text{for } 1 \leq i \leq (2l-2)2^{n-k-1} \text{ and } 2l \cdot 2^{n-k-1} + 1 \leq i \leq 2^n. \end{cases}$$

**Definition 3.** Let  $(\mathcal{B}_{2^n}, \{x_j\})$  be a  $2^n$ -dimensional symmetric space. We shall call the *Rademacher system* the sequence  $\{r_k\}_{k=1}^{2^n}$  defined by

$$(5) \quad r_k = \sum_{i=1}^{2^{k-1}} y_{2^{k-1}+i} \quad (k = 1, 2, \dots, n),$$

where  $\{y_j\}$  is the Haar system in  $(\mathcal{B}_{2^n}, \{x_j\})$ .

**PROPOSITION 1.** Let  $(\mathcal{B}_{2^n}, \{x_j\})$  be a  $2^n$ -dimensional symmetric space. Then the Haar system  $\{y_j\}$  is a monotone basis <sup>(5)</sup> of  $\mathcal{B}_{2^n}$ .

**Proof.** Let  $m$  be an arbitrary integer such that  $1 \leq m \leq 2^n - 1$ , and let  $a_1, a_2, \dots, a_{m+1}$  be arbitrary scalars. Then, since  $\{x_j\}$  is a basis of  $\mathcal{B}_{2^n}$ , there exists a sequence of scalars  $\{b_j\}_{j=1}^{2^n}$  such that

$$(6) \quad \sum_{j=1}^m a_j y_j = \sum_{i=1}^{2^n} b_i x_i.$$

Let  $(k, l)$  be the couple of non-negative integers determined by the following properties:  $1 \leq l \leq 2^k$ ,  $2^k + l = m + 1$ . Then, by (6), (3) and (4),

$$\begin{aligned} \left\| \sum_{j=1}^{m+1} a_j y_j \right\| &= \left\| \sum_{i=1}^{2^n} b_i x_i + a_{m+1} \sum_{i=1}^{2^n} \beta_i^{(k,l)} x_i \right\| \\ &= \left\| \sum_{i=1}^{(2l-2)2^{n-k-1}} b_i x_i + \sum_{i=(2l-1)2^{n-k-1}+1}^{(2l-1)2^{n-k-1}} (b_i + a_{m+1}) x_i + \right. \\ &\quad \left. + \sum_{i=(2l-1)2^{n-k-1}+1}^{2l \cdot 2^{n-k-1}-1} (b_i - a_{m+1}) x_i + \sum_{i=2l \cdot 2^{n-k-1}+1}^{2^n} b_i x_i \right\|. \end{aligned}$$

<sup>(4)</sup> A similar construction of Haar system for certain function spaces has been made by Ellis and Halperin [12]. More general definition than our Definition 3, see Rutovitz [32].

<sup>(5)</sup> A basis  $\{x_j\}$  in a Banach space  $\mathcal{B}$  is said to be *monotone* (cf. [9], p. 67) provided that  $\|t_1 x_1 + t_2 x_2 + \dots + t_k x_k\| \leq \|t_1 x_1 + t_2 x_2 + \dots + t_k x_k + t_{k+1} x_{k+1}\|$  for every scalars  $t_1, t_2, \dots, t_{k+1}$  ( $k = 1, 2, \dots$ ).

Since  $(E_{2^n}, \{x_j\})$  is a symmetric space, this number is equal to

$$\left\| \sum_{i=1}^{(2l-2)2^{n-k}-1} b_i x_i + \sum_{i=(2l-2)2^{n-k}-1+1}^{(2l-1)2^{n-k}-1} (b_i - a_{m+1}) x_i + \sum_{i=(2l-1)2^{n-k}-1+1}^{2l \cdot 2^{n-k}-1} (b_i + a_{m+1}) x_i + \sum_{i=2l \cdot 2^{n-k}-1+1}^{2^n} b_i x_i \right\|.$$

Adding these equalities and multiplying by  $\frac{1}{2}$ , we obtain

$$\left\| \sum_{j=1}^{m+1} a_j y_j \right\| \geq \left\| \sum_{i=1}^{2^n} b_i x_i \right\| = \left\| \sum_{j=1}^m a_j y_j \right\|,$$

which completes the proof.

**COROLLARY.** Let  $(E_{2^n}, \{x_j\})$  be a  $2^n$ -dimensional symmetric space. Then the Rademacher system  $\{r_k\}_{k=1}^n$  is a monotone block basic sequence<sup>(6)</sup> with respect to the Haar system  $\{y_j\}_{j=1}^{2^n}$ .

We shall now prove the following abstract analogue of the Khinchin inequality:

**PROPOSITION 2.** Let  $(E_{2^n}, \{x_j\})$  be a  $2^n$ -dimensional symmetric space and let  $\{r_k / \|r_k\|\}_{k=1}^n$  be the normalized Rademacher system in this space. Then for any scalars  $a_1, a_2, \dots, a_n$  we have

$$(7) \quad \left\| \sum_{k=1}^n a_k \frac{r_k}{\|r_k\|} \right\| \geq \frac{1}{8} \sqrt{\sum_{k=1}^n |a_k|^2}.$$

**Proof.** Since  $\{x_j\}$  is a basis of  $E_{2^n}$ , there exists for each integer  $k$  ( $1 \leq k \leq n$ ) a unique sequence of scalars  $\{r_{kj}\}_{j=1}^{2^n}$  such that

$$(8) \quad r_k = \sum_{i=1}^{2^n} r_{ki} x_i \quad (k = 1, 2, \dots, n).$$

Moreover, it is easy to compute that

$$(9) \quad r_{ki} = \begin{cases} 1 & \text{for } (2l-2)2^{n-k}+1 \leq i \leq (2l-1)2^{n-k}, \\ -1 & \text{for } (2l-1)2^{n-k}+1 \leq i \leq 2l \cdot 2^{n-k} \end{cases}$$

( $l = 1, 2, \dots, 2^{k-1}$ ;  $k = 1, 2, \dots, n$ ).

<sup>(6)</sup> Let us recall that if  $\|x_j\|$  is a basis of a Banach space  $E$ , any sequence  $\{z_j\} \subset E$  of the form  $z_j = \sum_{i=m_{j-1}+1}^{m_j} \alpha_i x_i$ ,  $z_j \neq 0$  ( $j = 1, 2, \dots$ ), where  $\{m_j\}$  is an increasing sequence of positive integers,  $m_0 = 0$ , and where  $\{\alpha_j\}$  is a sequence of scalars, is called [7] a *block basic sequence with respect to  $\{x_j\}$* ; it is necessarily [7] a *basic sequence*.

Let  $r_k(\cdot)$ ,  $k = 1, 2, \dots, n$ , be the usual Rademacher functions on  $[0, 1]$ . We claim that for any scalars  $a_1, a_2, \dots, a_n$  we have

$$(10) \quad \int_0^1 \left| \sum_{k=1}^n a_k r_k(t) \right| dt = \frac{1}{2^n} \sum_{i=1}^{2^n} \left| \sum_{k=1}^n a_k r_{ki} \right|.$$

In fact, let us denote by  $(l_2^n, \{e_j\})$  the  $2^n$ -dimensional symmetric space in which the norm is defined by  $\left\| \sum_{i=1}^{2^n} b_i e_i \right\| = \sum_{i=1}^{2^n} |b_i|$  and by  $\chi_i(\cdot)$  the characteristic function of the interval  $(2^{-n}(i-1); 2^{-n}i)$  for  $i = 1, 2, \dots, 2^n$ . Then the mapping  $\varphi$ , with  $\varphi\left(\sum_{i=1}^{2^n} a_i \chi_i\right) = 2^{-n} \sum_{i=1}^{2^n} a_i e_i$  is obviously a linear isometry of the  $2^n$ -dimensional subspace of  $L^1([0, 1])$  spanned by the characteristic functions  $\chi_i(\cdot)$  ( $i = 1, 2, \dots, 2^n$ ) onto the space  $l_2^n$ . Since  $r_k(t) = \sum_{i=1}^{2^n} r_{ki} \chi_i(t)$ , it follows that

$$\varphi\left[\sum_{k=1}^n a_k r_k(\cdot)\right] = \sum_{k=1}^n a_k \varphi[r_k(\cdot)] = \sum_{k=1}^n \frac{a_k}{2^k} \sum_{i=1}^{2^n} r_{ki} e_i,$$

whence, since  $\varphi$  is an isometry, we infer (10).

By (10) and the usual Khinchin inequality ([22], p.131-132) we have, for any scalars  $a_1, a_2, \dots, a_n$ ,

$$(11) \quad \frac{1}{2^n} \sum_{i=1}^{2^n} \left| \sum_{k=1}^n a_k r_{ki} \right| \geq \frac{1}{8} \sqrt{\sum_{k=1}^n |a_k|^2}.$$

On the other hand, since  $(E_{2^n}, \{x_j\})$  is a symmetric space, we have, by (8) and (9),

$$(12) \quad \|r_1\| = \|r_2\| = \dots = \|r_n\| = \left\| \sum_{i=1}^{2^n} x_i \right\|.$$

Let us denote this common value by  $A_n$  and let

$$B_n = \left\| \sum_{i=1}^{2^n} x_i^* \right\|,$$

where  $\{x_i^*\} \subset E_{2^n}^*$ ,  $x_i^*(x_j) = \delta_{ij}$  ( $i, j = 1, 2, \dots, 2^n$ ). Then we have (see lemma 1 below)

$$(13) \quad A_n B_n = 2^n.$$

Now let  $a_1, a_2, \dots, a_n$  be arbitrary scalars and let  $\varepsilon_i = \text{sign} \sum_{k=1}^n a_k r_{ki}$  ( $i = 1, 2, \dots, 2^n$ ). Then, taking into account that  $(E_2^n, \{x_i^*\})$  is a symmetric space and (8), (11), (12), (13), we obtain

$$\begin{aligned} \left\| \sum_{k=1}^n a_k \frac{r_k}{\|r_k\|} \right\| &= \frac{1}{A_n} \left\| \sum_{k=1}^n a_k r_k \right\| = \frac{B_n}{2^n} \left\| \sum_{k=1}^n a_k r_k \right\| \\ &= \frac{1}{2^n} \left\| \sum_{i=1}^{2^n} \varepsilon_i x_i^* \left\| \sum_{k=1}^n a_k r_k \right\| \right\| \geq \frac{1}{2^n} \left| \left( \sum_{i=1}^{2^n} \varepsilon_i x_i^* \right) \left( \sum_{k=1}^n a_k r_k \right) \right| \\ &= \frac{1}{2^n} \left| \sum_{i=1}^{2^n} \varepsilon_i \sum_{k=1}^n a_k r_{ki} \right| = \frac{1}{2^n} \sum_{i=1}^{2^n} \left| \sum_{k=1}^n a_k r_{ki} \right| \geq \frac{1}{8} \sqrt{\sum_{k=1}^n |a_k|^2}, \end{aligned}$$

which is nothing else but (7). Thus, in order to complete the proof of proposition 2, we have only to present the proof of the following lemma:

**LEMMA 1.** *Let  $(E_2^n, \{x_j\})$  be a  $2^n$ -dimensional symmetric space, and let  $A_n = \left\| \sum_{i=1}^{2^n} x_i \right\|$ ,  $B_n = \left\| \sum_{i=1}^n x_i^* \right\|$ , where  $\{x_i^*\} \subset E_2^n$ ,  $x_i^*(x_j) = \delta_{ij}$ . Then we have (13).*

*Proof.* We have, obviously,

$$(14) \quad A_n B_n \geq \left( \sum_{i=1}^{2^n} x_i^* \right) \left( \sum_{j=1}^{2^n} x_j \right) = 2^n.$$

On the other hand, let  $x^* = \sum_{i=1}^{2^n} x_i^*$  and let  $x = \sum_{j=1}^{2^n} a_j x_j \in E$  be such that  $x^*(x) = B_n$ ,  $\|x\| = 1$ . Then

$$(15) \quad B_n = x^*(x) = \left( \sum_{i=1}^{2^n} x_i^* \right) \left( \sum_{j=1}^{2^n} a_j x_j \right) = \sum_{j=1}^{2^n} a_j.$$

Now, let  $\Pi_n$  denote the set of all permutations of the set  $\{1, 2, \dots, 2^n\}$  and let

$$(16) \quad x_\sigma = \sum_{j=1}^{2^n} a_{\sigma(j)} x_j \quad (\sigma \in \Pi_n),$$

$$(17) \quad x_0 = \frac{1}{(2^n)!} \sum_{\sigma \in \Pi_n} x_\sigma.$$

Then, since  $(E_2^n, \{x_j\})$  is a symmetric space, we have  $\|x_\sigma\| = \|x\| = 1$  for all  $\sigma \in \Pi_n$ , whence

$$(18) \quad \|x_0\| \leq 1.$$

On the other hand, by (16) and (17) we have

$$x_0 = \frac{1}{2^n} \sum_{j=1}^{2^n} a_j \sum_{i=1}^{2^n} x_i$$

(since the coefficient of  $x_i$  is

$$\frac{1}{(2^n)!} \sum_{\sigma \in \Pi_n} a_{\sigma(i)}$$

and since for any couple of integers  $i, j$  with  $1 \leq i, j \leq 2^n$  there are exactly  $(2^n - 1)!$  permutations  $\sigma \in \Pi_n$  such that  $\sigma(i) = j$ , whence, by (15) and the definition of  $A_n$ ,

$$(19) \quad \|x_0\| = \frac{B_n A_n}{2^n}.$$

Comparing (14), (18) and (19), we obtain (13), which completes the proof.

## § 2. Block perturbations of bases

**Definition 4.** Let  $\{x_j\}$  be a normalized basis of a Banach space  $E$ . We shall call *block-perturbation* <sup>(7)</sup> of  $\{x_j\}$  any sequence  $\{v_k\} \subset E$  of the form

$$(20) \quad v_k = \begin{cases} x_k & \text{for } k \neq p_n, \\ x_{p_n} + u_n & \text{for } k = p_n \end{cases} \quad (n = 1, 2, \dots),$$

where

$$(21) \quad u_n = \sum_{i=m_{n-1}+1}^{n_{n-1}} a_i x_i + \sum_{i=p_n+1}^{m_n} a_i x_i, \quad \|u_n\| \leq M < +\infty \quad (n = 1, 2, \dots),$$

and where  $\{m_n\}$ ,  $\{p_n\}$  are increasing sequences of positive integers such that  $m_0 = 0$ ,  $m_{n-1} + 1 \leq p_n \leq m_n$  ( $n = 1, 2, \dots$ ).

**LEMMA 2.** *Let  $\{x_j\}$  be a normalized basis of a Banach space  $E$ . Then every block perturbation  $\{v_k\}$  of  $\{x_j\}$  is a basis of  $E$ .*

*Proof.* Let  $\{v_k\}$  be of the form (20) with  $\{u_n\}$  satisfying (21). Then  $\{v_k\}$  admits a biorthogonal sequence  $\{x_k^*\} \subset E^*$  given by

$$v_k^* = \begin{cases} x_k^* - a_k x_{p_n}^* & \text{for } k \neq p_n, m_{n-1} + 1 \leq k \leq m_n, \\ x_{p_n}^* & \text{for } k = p_n \end{cases} \quad (n = 1, 2, \dots),$$

<sup>(7)</sup> Let us mention that V. G. Vinokurov has considered perturbations of the form  $x_{2j-1} = x_{2j-1}$ ,  $x_{2j} = a_{2j-1} x_{2j-1} + a_{2j} x_{2j}$  ( $j = 1, 2, \dots$ ), where  $\sup_j |a_{2j-1}| < +\infty$ ,  $\inf_j |a_{2j}| > 0$ , and has established that they constitute a basis of  $E$  ([30], theorem 4).

where  $x_n^*(x_m) = \delta_n^m$  ( $n, m = 1, 2, \dots$ ). Hence, for all  $x \in E$ ,

$$\sum_{k=1}^l v_k^*(x) v_k = \begin{cases} \sum_{j=1}^l x_j^*(x) x_j - x_{p_n}^*(x) \sum_{i=m_{n-1}+1}^l \alpha_i x_i & \text{for } m_{n-1}+1 \leq l \leq p_n-1, \\ \sum_{j=1}^l x_j^*(x) x_j + x_{p_n}^*(x) \sum_{i=l+1}^{m_n} \alpha_i x_i & \text{for } p_n \leq l \leq m_n \end{cases} \quad (n = 1, 2, \dots).$$

Since  $\{x_n\}$  is a basis of  $E$ , there exists a constant  $K \geq 1$  such that

$$\left\| \sum_{i=m_{n-1}+1}^l \alpha_i x_i \right\| \leq K \|u_n\| \leq KM \quad (m_{n-1}+1 \leq l \leq p_n-1; n = 1, 2, \dots),$$

$$\left\| \sum_{i=l+1}^{m_n} \alpha_i x_i \right\| \leq \|u_n\| + K \|u_n\| \leq (1+K)M \quad (p_n \leq l \leq m_n; n = 1, 2, \dots).$$

Since the basis  $\{x_n\}$  is normalized, we also have

$$\lim_{n \rightarrow \infty} x_{p_n}^*(x) = 0 \quad \text{for all } x \in E.$$

Consequently, for every  $\varepsilon > 0$  and  $x \in E$ , there exists an integer  $N(\varepsilon, x) > 0$  such that

$$\left\| \sum_{k=1}^l v_k^*(x) v_k - \sum_{j=1}^l x_j^*(x) x_j \right\| < \varepsilon \quad \text{for } l > N(\varepsilon, x),$$

whence  $x = \sum_{k=1}^{\infty} v_k^*(x) v_k$  for all  $x \in E$ , which completes the proof.

**PROPOSITION 3.** *Let  $\{x_n\}$  be a normalized non-symmetric unconditional basis of a Banach space  $E$ . Then there exists a block perturbation of a suitable permutation of  $\{x_n\}$ , which is a conditional basis of  $E$ .*

**Proof.** We claim that there exists a permutation of the basic sequence  $\{x_{2j}\}$  which is not equivalent to the basic sequence  $\{x_{2j-1}\}$ . In fact, assume that all permutations of  $\{x_{2j}\}$  are equivalent to  $\{x_{2j-1}\}$ . Then, by [28],  $\{x_{2j}\}$  is a symmetric basic sequence, whence, again by [28],  $\{x_{2j}\}$  is equivalent to its subsequences  $\{x_{4j-2}\}$  and  $\{x_{4j}\}$ . We shall show that the mapping  $x_{2j-1} \rightarrow x_{4j-2}$ ,  $x_{2j} \rightarrow x_{4j}$  defines an equivalence of the basis  $\{x_n\}$  with its subsequence  $\{x_{2j}\}$ , which is a contradiction since  $\{x_n\}$  is non-symmetric. In fact, since  $\{x_n\}$  is unconditional,  $\sum_{i=1}^{\infty} \alpha_i x_i$  is convergent if and only if  $\sum_{i=1}^{\infty} \alpha_{2i-1} x_{2i-1}$  and  $\sum_{i=1}^{\infty} \alpha_{2i} x_{2i}$  are convergent. Since  $\{x_{2j-1}\}$ ,  $\{x_{2j}\}$  are equivalent to  $\{x_{4j-2}\}$  and  $\{x_{4j}\}$  respectively, this happens if and

only if  $\sum_{i=1}^{\infty} \alpha_{2i-1} x_{4i-2}$  and  $\sum_{i=1}^{\infty} \alpha_{2i} x_{4i}$  are convergent, i. e. (since  $\{x_{2j}\}$  is unconditional) if and only if  $\sum_{i=1}^{\infty} \alpha_i x_{2i}$  is convergent.

Thus, let  $\{x_{\tau(2j)}\}$  be a permutation of  $\{x_{2j}\}$  such that  $\{x_{2j-1}\}$  and  $\{x_{\tau(2j)}\}$  are not equivalent. Let  $\{x_{\sigma(n)}\}$  be the permutation of  $\{x_n\}$  defined by

$$(22) \quad x_{\sigma(n)} = \begin{cases} x_n & \text{for } n = 2j-1, \\ x_{\tau(n)} & \text{for } n = 2j \end{cases} \quad (j = 1, 2, \dots)$$

and let  $\{v'_k\}$ ,  $\{v''_k\}$  be the following two block perturbations of the basis  $\{x_{\sigma(n)}\}$ :

$$(23) \quad v'_k = \begin{cases} x_{\sigma(k)} & \text{for } k = 2n-1, \\ x_{\sigma(k)} + x_{\sigma(k-1)} & \text{for } k = 2n, \end{cases} \quad (n = 1, 2, \dots),$$

$$(24) \quad v''_k = \begin{cases} x_{\sigma(k)} & \text{for } k = 2n, \\ x_{\sigma(k)} + x_{\sigma(k+1)} & \text{for } k = 2n-1 \end{cases} \quad (n = 1, 2, \dots).$$

By lemma 2,  $\{v'_k\}$  and  $\{v''_k\}$  are bases of the space  $E$ . We shall complete the proof by showing that at least one of these bases must be conditional.

Assume that both  $\{v'_k\}$  and  $\{v''_k\}$  are unconditional bases of  $E$ . Then, since  $\{v'_k\}$  is unconditional, there exists a constant  $K_1 \geq 1$  such that we have, for any scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$ ,

$$\begin{aligned} \left\| \sum_{i=1}^n \alpha_i x_{\sigma(2i)} \right\| &= \left\| \sum_{i=1}^n \alpha_i x_{\sigma(2i-1)} - \sum_{i=1}^n \alpha_i (x_{\sigma(2i)} + x_{\sigma(2i-1)}) \right\| \\ &= \left\| \sum_{i=1}^n \alpha_i v'_{2i-1} - \sum_{i=1}^n \alpha_i v'_{2i} \right\| \geq K_1 \left\| \sum_{i=1}^n \alpha_i v'_{2i-1} \right\| \\ &= K_1 \left\| \sum_{i=1}^n \alpha_i x_{\sigma(2i-1)} \right\|. \end{aligned}$$

Similarly, since  $\{v''_k\}$  is unconditional, there exists a constant  $K_2 \geq 1$  such that we have, for any scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$ ,

$$\left\| \sum_{i=1}^n \alpha_i x_{\sigma(2i-1)} \right\| \geq K_2 \left\| \sum_{i=1}^n \alpha_i x_{\sigma(2i)} \right\|.$$

Hence the basic sequences  $\{x_{\sigma(2j-1)}\}$  and  $\{x_{\sigma(2j)}\}$  are equivalent, which contradicts the construction (22) of the permutation  $\{x_{\sigma(n)}\}$  and completes the proof of proposition 3.

## § 3. Proof of the theorem

We shall first prove the following proposition:

PROPOSITION 4. Let  $\mathcal{E}$  be a Banach space with a basis, in which all normalized bases are equivalent, and let  $\{x_n\}$  be a normalized basis of  $\mathcal{E}$ . Then

(a)  $\{x_n\}$  is a symmetric basis.

(b) If  $\{z_n\}$  is a normalized block basic sequence with respect to  $\{x_n\}$ , then we have the following implication:

$$\sum_{i=1}^{\infty} a_i x_i \text{ is convergent} \Rightarrow \sum_{i=1}^{\infty} a_i z_i \text{ is convergent.}$$

(c) We have the following implication:

$$\sum_{i=1}^{\infty} a_i x_i \text{ is convergent} \Rightarrow \sum_{i=1}^{\infty} |a_i|^2 < +\infty.$$

(d)  $\mathcal{E}$  is reflexive.

Proof. (a) Every sequence  $\{e_n\}$  with  $|e_n| = 1$  ( $n = 1, 2, \dots$ ) is a normalized basis of  $\mathcal{E}$ . Hence, by our hypothesis,  $\{x_n\}$  is equivalent to every sequence  $\{e_n\}$  with  $|e_n| = 1$  ( $n = 1, 2, \dots$ ). Consequently,  $\sum_{i=1}^{\infty} a_i x_i$  is convergent if and only if  $\sum_{i=1}^{\infty} e_i a_i x_i$  is convergent for all  $\{e_n\}$

with  $|e_n| = 1$  ( $n = 1, 2, \dots$ ), i. e. if and only if  $\sum_{i=1}^{\infty} a_i x_i$  is unconditionally convergent. Thus  $\{x_n\}$  is a normalized unconditional basis of  $\mathcal{E}$ , whence every permutation  $\{x_{\sigma(n)}\}$  of  $\{x_n\}$  is a normalized basis of  $\mathcal{E}$ . Since by our hypothesis the bases  $\{x_n\}$  and  $\{x_{\sigma(n)}\}$  must be equivalent, it follows by [28], that  $\{x_n\}$  is a symmetric basis of  $\mathcal{E}$ .

(b) Let

$$z_j = \sum_{i=m_{j-1}+1}^{m_j} a_i x_i, \quad \|z_j\| = 1 \quad (m_0 = 0; j = 1, 2, \dots)$$

be an arbitrary normalized block basic sequence with respect to  $\{x_n\}$  and let

$$v_k = \begin{cases} x_k & \text{for } k \neq m_n \\ x_{m_n} + u_n & \text{for } k = m_n \end{cases} \quad (n = 1, 2, \dots),$$

where

$$u_n = \sum_{i=m_{n-1}+1}^{m_n-1} a_i x_i = z_n - a_{m_n} x_{m_n} \quad (n = 1, 2, \dots).$$

Then, since  $\{x_n\}$  is a basis, there exists a constant  $M \geq 1$  such that  $\|u_n\| \leq M \|z_n\| \leq M$  ( $n = 1, 2, \dots$ ), i. e.  $\{v_k\}$  is a block perturbation

of  $\{x_n\}$ , whence, by lemma 2,  $\{v_k\}$  is a basis of the space  $\mathcal{E}$ . Consequently, by our hypothesis,  $\{v_k/\|v_k\|\}$  is equivalent to  $\{x_n\}$ , whence, by the assertion (a) proved above,  $\{v_k/\|v_k\|\}$  is a symmetric basis. Since  $\{v_k\}$  is equivalent to  $\{v_k/\|v_k\|\}$  (because  $1 \leq \|v_k\| \leq 1+M$ ,  $k = 1, 2, \dots$  and  $\{v_k/\|v_k\|\}$  is unconditional), it follows that  $\{v_k\}$  is a symmetric basis, equivalent to  $\{x_n\}$ .

Now, let  $\{a_n\}$  be a sequence of scalars such that  $\sum_{i=1}^{\infty} a_i x_i$  is convergent.

Then, since  $\{v_k\}$  is equivalent to  $\{x_n\}$ ,  $\sum_{i=1}^{\infty} a_i v_i$  is convergent, whence, since  $\{v_k\}$  is a symmetric basis,

$$\sum_{i=1}^{\infty} a_i v_{m_i} = \sum_{i=1}^{\infty} a_i (x_{m_i} + u_i)$$

is convergent. On the other hand, since  $\{x_n\}$  is a symmetric basis,  $\sum_{i=1}^{\infty} a_i x_{m_i}$

is convergent. Consequently,  $\sum_{i=1}^{\infty} a_i u_i$  is convergent. Furthermore, since

$$\|a_{m_i} u_i\| = \|a_{m_i} x_{m_i}\| = \|z_i - u_i\| \leq 1 + M \quad (i = 1, 2, \dots),$$

and since  $\{x_n\}$  is unconditional, the series  $\sum_{i=1}^{\infty} a_{m_i} u_i$  is convergent. Consequently, the series

$$\sum_{i=1}^{\infty} a_i z_i = \sum_{i=1}^{\infty} a_i u_i + \sum_{i=1}^{\infty} a_{m_i} a_i x_i$$

is convergent.

(c) By the assertion (a) proved above,  $\{x_n\}$  is a symmetric basis. We may assume without loss of generality that  $(\mathcal{E}, \{x_n\})$  is a symmetric space (by introducing, if necessary, the equivalent norm  $\|\|x\|\|$  defined by (1); the basis  $\{x_n\}$  will remain normalized in this new norm).

Assume now that there exists a sequence of scalars  $\{a_n\}$  for which  $\sum_{i=1}^{\infty} a_i x_i$  is convergent but  $\sum_{i=1}^{\infty} |a_i|^2 = +\infty$ . Then there exists an increasing sequence of positive integers  $\{m_n\}$  such that

$$(25) \quad \sum_{i=m_{n-1}+1}^{m_n} |a_i|^2 \geq 1 \quad (m_0 = 0; n = 1, 2, \dots).$$

Let

$$p_n = m_n - m_{n-1} \quad (n = 1, 2, \dots),$$

$$q_0 = 0, \quad q_n = \sum_{j=1}^n 2^{p_j} \quad (n = 1, 2, \dots),$$



and let  $E_{2^n p_n}$  denote the  $2^{p_n}$ -dimensional subspace of  $E$  spanned by  $x_{a_{n-1}+1}, x_{a_{n-1}+2}, \dots, x_{a_n}$  ( $n = 1, 2, \dots$ ). Furthermore, let  $\{y_j\}_{j=a_{n-1}+1}^{a_n}$  denote the Haar system and  $\{r_i\}_{i=m_{n-1}+1}^{m_n}$  the Rademacher system in the symmetric space  $(E_{2^n p_n}, \{x_j\}_{j=a_{n-1}+1}^{a_n})$ .

Since  $\{x_n\}$  is a basis of  $E$ , we have  $(^8) E = \bigoplus_{n=1}^{\infty} E_{2^n p_n}$ . On the other hand, by proposition 1,  $\{y_j\}_{j=a_{n-1}+1}^{a_n}$  is a monotone basis of  $E_{2^n p_n}$ . Consequently, by [18], theorem 5, and [19], theorem 2, the sequence

$$\{y_j\} = \bigcup_{n=1}^{\infty} \{y_j\}_{j=a_{n-1}+1}^{a_n}$$

is a basis  $(^9)$  of the space  $E$ . Since by our hypothesis the normalized bases  $\{x_n\}$  and  $\{y_n/\|y_n\|\}$  of  $E$  are equivalent and since  $\sum_{i=1}^{\infty} a_i x_i$  is convergent, the series

$$\sum_{i=1}^{\infty} a_i \frac{y_i}{\|y_i\|}$$

is convergent. Let us put  $z_i = r_i/\|r_i\|$  ( $i = 1, 2, \dots$ ). Since by the corollary of lemma 1, the sequence  $\{z_i\}$  is a normalized block basic sequence with respect to the normalized basis  $\{y_n/\|y_n\|\}$ , from the assertion (b) proved above it follows that the series  $\sum_{i=1}^{\infty} a_i z_i$  is convergent, whence

$$(26) \quad \lim_{n \rightarrow \infty} \sum_{i=m_{n-1}+1}^{m_n} a_i z_i = 0.$$

On the other hand, by proposition 2 and by (25) we have

$$\left\| \sum_{i=m_{n-1}+1}^{m_n} a_i z_i \right\| \geq \frac{1}{8} \sqrt{\sum_{i=m_{n-1}+1}^{m_n} |a_i|^2} \geq \frac{1}{8},$$

which contradicts (26). This proves (c).

(d) Assume that  $E$  is non-reflexive. Then, since  $\{x_n\}$  is an unconditional basis of  $E$ , there exists in  $E$ , by the results of R. O. James ([20], theorem 2, and [21], the proof of theorem 2) and A. Sobczyk ([29], theorem 5), either a complemented subspace isomorphic to  $c_0$  or a comple-

<sup>(8)</sup> We recall that  $E$  is called (see e. g. [18]) the *direct sum* of its subspaces  $E_n$ , in symbols  $E = \bigoplus_{n=1}^{\infty} E_n$ , if for every  $x \in E$  there exists a unique sequence  $w_n$  with

$$w_n \in E_n \quad (n = 1, 2, \dots) \text{ such that } x = \sum_{n=1}^{\infty} w_n.$$

<sup>(9)</sup> One can also give a simpler direct proof of this assertion.

mented subspace isomorphic to  $l$ . Let  $F$  denote an arbitrary complementary subspace of such a subspace. Then we have either the isomorphisms  $E \oplus c_0 \cong F \oplus c_0 \oplus c_0 \cong F \oplus c_0 \cong E$  or, similarly, the isomorphism  $E \oplus l \cong E$ . Hence, taking a conditional basis of  $c_0$ , respectively of  $l$ , we obtain a conditional basis of  $E$ , whence also a normalized conditional basis of  $E$ , which contradicts the assumption that all normalized bases in  $E$  are equivalent. This completes the proof of proposition 4.

**Proof of the theorem.** 1° Let  $E$  be an infinite-dimensional Banach space with a basis, such that all normalized bases of  $E$  are equivalent. Then, by proposition 4 (d),  $E$  is reflexive, whence  $E^*$  has a basis. Let  $\{y_n^*\}, \{z_n^*\}$  be two normalized bases of  $E^*$ . Since  $E$  is reflexive, there exist bases  $\{y_n\}, \{z_n\}$  of  $E$  such that  $y_i^*(y_j) = z_i^*(z_j) = \delta_{ij}$ . Since  $1 \leq \|y_j\|, \|z_j\| \leq M < +\infty$  ( $j = 1, 2, \dots$ ) and since, by proposition 4 (a),  $\{y_n\}, \{z_n\}$  are unconditional bases of  $E$ ,  $\{y_n\}, \{z_n\}$  are equivalent to  $\{y_n/\|y_n\|\}$  and  $\{z_n/\|z_n\|\}$  respectively. Since by our hypothesis  $\{y_n/\|y_n\|\}$  and  $\{z_n/\|z_n\|\}$  are equivalent, it follows that  $\{y_n\}$  and  $\{z_n\}$  are equivalent, whence  $\{y_n^*\}$  and  $\{z_n^*\}$  are also equivalent. Thus all normalized bases in  $E^*$  are equivalent.

Now, let  $\{x_n\}$  be a normalized basis of  $E$  and let  $\{x_n^*\} \subset E^*, x_i^*(x_j) = \delta_{ij}$ . Then, by the above arguments,  $\{x_n^*\}$  is a basis of  $E^*$ , equivalent to the normalized basis  $\{x_n^*/\|x_n^*\|\}$ . Since all normalized bases in  $E^*$  are equivalent, it follows, by proposition 4 (c) applied to  $E^*$  and  $\{x_n^*/\|x_n^*\|\}$ , that we have the following implication:

$$(27) \quad \sum_{i=1}^{\infty} b_i x_i^* \text{ is convergent} \Rightarrow \sum_{i=1}^{\infty} |b_i|^2 < +\infty.$$

We shall now prove that  $\{x_n\}$  is equivalent to the unit vector basis of  $l^2$ . By proposition 4 (c), it is sufficient to prove the implication

$$(28) \quad \sum_{i=1}^{\infty} |a_i|^2 < +\infty \Rightarrow \sum_{i=1}^{\infty} a_i x_i \text{ is convergent.}$$

Let  $\{a_n\}$  be a sequence of scalars such that  $\sum_{i=1}^{\infty} |a_i|^2 < +\infty$ , and let  $x^* = \sum_{i=1}^{\infty} b_i x_i^*$  be an arbitrary element of  $E^*$ . Then, by (27), we also have  $\sum_{i=1}^{\infty} |b_i|^2 < +\infty$ , whence we infer by the Schwartz inequality that the limit

$$\lim_{n \rightarrow \infty} x^* \left( \sum_{i=1}^n a_i x_i \right) = \lim_{n \rightarrow \infty} \sum_{i=1}^n a_i b_i$$

exists. Since  $E$  is reflexive, it follows that there exists an element  $x \in E$  such that

$$\lim_{n \rightarrow \infty} x^* \left( \sum_{i=1}^n a_i x_i \right) = x^*(x) \quad \text{for all } x^* \in E^*.$$

Hence, for  $x^* = x_j^*$  ( $j = 1, 2, \dots$ ), we obtain  $a_j = x_j^*(x)$  ( $j = 1, 2, \dots$ ). Consequently, since  $\{x_n\}$  is a basis, the series

$$\sum_{i=1}^{\infty} a_i x_i = \sum_{i=1}^{\infty} x_i^*(x) x_i$$

is convergent, which proves (28).

Now, since  $\{x_n\}$  is equivalent to the unit vector basis of  $\ell^2$ , the space  $E$  is isomorphic to  $\ell^2$ , whence, by the theorem of Babenko [3],  $E$  has a normalized conditional basis. However, this contradicts our hypothesis that in  $E$  all normalized bases are equivalent.

2° Assume that all bases in  $E$  are unconditional and let  $\{x_n\}$  be a normalized basis of  $E$ . Then all bases of the subspace  $[x_{2j}]$  of  $E$  spanned by the sequence  $\{x_{2j}\}$  are unconditional. Hence, by part 1° proved above, there exists a normalized unconditional basis  $\{y_{2j}\}$  of  $[x_{2j}]$  which is not equivalent to  $\{x_{2j}\}$ . Thus, either the basis  $\{x_n\}$ , or the basis  $\{z_n\}$  of  $E$ , defined by

$$z_{2j-1} = x_{2j-1}, \quad z_{2j} = y_{2j} \quad (j = 1, 2, \dots),$$

is a normalized non-symmetric unconditional basis of  $E$ . Therefore, by proposition 3, the space  $E$  has a conditional basis. However, this contradicts our hypothesis that in  $E$  all bases are unconditional. This completes the proof of the theorem.

#### § 4. Remarks and unsolved problems

**4.1. Remark 1.** In every infinite-dimensional Banach space  $E$  with a basis there exist a continuum of mutually non-equivalent normalized conditional bases.

**Proof.** According to the theorem proved above, there exists in  $E$  a normalized conditional basis  $\{x_n\}$ . Then, since  $\{x_n\}$  is conditional, there exist a sequence of scalars  $\{a_n\}$  and an  $x^* \in E^*$  such that  $\sum_{i=1}^{\infty} a_i x_i$  is convergent but

$$\sum_{i=1}^{\infty} |x^*(a_i x_i)| = +\infty.$$

Let  $\varepsilon_n = \text{sign } x^*(a_n x_n)$  ( $n = 1, 2, \dots$ ). Then

$$\left\| \sum_{i=1}^n \varepsilon_i a_i x_i \right\| \geq \frac{1}{\|x^*\|} \sum_{i=1}^n |x^*(a_i x_i)| \rightarrow +\infty \quad \text{for } n \rightarrow +\infty.$$

Hence there exists an increasing sequence of positive integers  $\{m_n\}$  with the following properties:

$$(29) \quad \left\| \sum_{i=m_{n-1}+1}^l a_i x_i \right\| \leq \frac{1}{2^n} \quad (m_0 = 0; m_{n-1}+1 \leq l \leq m_n; n = 1, 2, \dots),$$

$$(30) \quad \left\| \sum_{i=m_{n-1}+1}^{m_n} \varepsilon_i a_i x_i \right\| \geq 1 \quad (n = 1, 2, \dots).$$

Now, for each increasing sequence of positive integers  $\{p_i\}$  let us define a normalized conditional basis  $\{y_j^{(p_i)}\}$  of  $E$  by

$$(31) \quad y_j^{(p_i)} = \begin{cases} \varepsilon_j x_j & \text{for } m_{p_i-1}+1 \leq j \leq m_{p_i}, \\ x_j & \text{for other } j \end{cases} \quad (n = 1, 2, \dots).$$

We claim that for  $\{p_i'\}$  and  $\{p_i''\}$  such that the set  $(\{p_i'\} \setminus \{p_i''\}) \cup (\{p_i''\} \setminus \{p_i'\})$  is infinite<sup>(10)</sup>, the bases  $\{y_j^{(p_i')}\}$  and  $\{y_j^{(p_i'')}\}$  are not equivalent. In fact, assume that, say,  $\{p_i'\} \setminus \{p_i''\} = \{p_{i_k}'\}$  is infinite (the treatment of the case where  $\{p_i''\} \setminus \{p_i'\}$  is infinite is similar) and let

$$(32) \quad \beta_j = \begin{cases} \varepsilon_j a_j & \text{for } m_{p_{i_k}'-1}+1 \leq j \leq m_{p_{i_k}'}, \\ 0 & \text{for other } j \end{cases} \quad (k = 1, 2, \dots).$$

Then, by (32) and (31) we have

$$\sum_{j=1}^{\infty} \beta_j y_j^{(p_i')} = \sum_{j=1}^{\infty} \gamma_j x_j, \quad \text{where } \gamma_j = \begin{cases} a_j & \text{for } m_{p_{i_k}'-1}+1 \leq j \leq m_{p_{i_k}'}, \\ 0 & \text{for other } j \end{cases} \quad (k = 1, 2, \dots),$$

and, by (29), this series is convergent. On the other hand, by (32), (31) and the definition of  $\{p_{i_k}'\}$ , we have

$$\sum_{j=1}^{\infty} \beta_j y_j^{(p_i')} = \sum_{k=1}^{\infty} \delta_j x_j, \quad \text{where } \delta_j = \begin{cases} \varepsilon_j a_j & \text{for } m_{p_{i_k}'-1}+1 \leq j \leq m_{p_{i_k}'}, \\ 0 & \text{for other } j \end{cases} \quad (k = 1, 2, \dots),$$

whence, by (30) and since  $\{p_{i_k}'\}$  is infinite, this series is divergent. Thus

<sup>(10)</sup> The symbol  $\{p_i\}$  denotes the set of all elements of the sequence  $\{p_i\}$ .



the bases  $\{y_j^{(p_i)}\}$  and  $\{y_j^{(p'_i)}\}$  are not equivalent. Since there exists a continuum of increasing sequences of positive integers  $\{p_i\}$  such that for  $\{p'_i\} \neq \{p_i\}$  even both  $\{p_i\} \setminus \{p'_i\}$  and  $\{p'_i\} \setminus \{p_i\}$  are infinite <sup>(1)</sup>, the corresponding collection of bases  $\{y_j^{(p_i)}\}$  of  $E$  has the property required in remark 1. This completes the proof.

**4.2.** For conditional bases the usual condition of being equivalent is too strong, since a transformation of the form  $y_n = \lambda_n x_n$  ( $n = 1, 2, \dots$ ), where

$$(33) \quad 0 < \inf_n |\lambda_n| \leq \sup_n |\lambda_n| < +\infty,$$

leads to a basis  $\{y_n\}$ , which in general is not equivalent to the basis  $\{x_n\}$ . Therefore, the following less restrictive condition of "affine equivalence" seems to be useful:

**Definition 5.** We shall say that a basis  $\{x_n\}$  of a Banach space  $E$  is *affinely equivalent* to a basis  $\{y_n\}$  of a Banach space  $F$  if there exists a sequence of scalars  $\{\lambda_n\}$ ,  $\lambda_n \neq 0$  ( $n = 1, 2, \dots$ ) such that  $\{x_n\}$  is equivalent to the basis  $\{\lambda_n y_n\}$  of the space  $F$  in the usual sense, i. e. such that

$$\sum_{i=1}^{\infty} a_i x_i \text{ is convergent if and only if } \sum_{i=1}^{\infty} \lambda_i a_i y_i \text{ is convergent.}$$

**PROBLEM 1.** Do there exist, in every infinite-dimensional Banach space with a basis, two bases which are not affinely equivalent?

**4.3.** Bari [6] and Gelfand [17] have proved that in the space  $\ell^2$  all normalized unconditional bases (and hence all normalized unconditional basic sequences) are equivalent.

**Remark 2.** Let  $E$  be an infinite-dimensional Banach space with an unconditional basis, in which all normalized unconditional basic sequences are equivalent. Then  $E$  is isomorphic to  $\ell^2$ .

**Proof.** Let  $\{x_n\}$  be a normalized unconditional basis of  $E$ . Then, by a theorem of Dvoretzky [10], there exist an increasing sequence of positive integers  $\{m_n\}$ , a sequence  $\{E_n\}$  of subspaces of  $E$  with

$$(34) \quad \dim E_n = n, \quad E_n \subset [x_j]_{j=m_{n-1}+1}^{m_n} \quad (m_0 = 0; n = 1, 2, \dots)$$

<sup>(1)</sup> In fact, let  $\varphi$  be a one to one mapping of  $N = \{1, 2, 3, \dots\}$  onto the set of all rational numbers. Take, for each real number  $a$  a sequence of rational numbers  $\{q_n^{(a)}\}$  such that  $\lim_{n \rightarrow +\infty} q_n^{(a)} = a$  and let  $p_n^{(a)} = \varphi^{-1}(q_n^{(a)})$  ( $n = 1, 2, \dots$ ). Then the collection of all sequences  $\{p_i^{(a)}\}$  has the required properties.

and a normalized basis  $\{z_j\}_{j=p_{n-1}+1}^{p_n}$  of  $E_n$ , where  $p_k = \frac{1}{2}(k+1)k$  ( $k = 0, 1, 2, \dots$ ) such that we have

$$(35) \quad \left\| \sum_{i=p_{n-1}+1}^{p_n} a_i z_i \right\| \leq \sqrt{\sum_{i=p_{n-1}+1}^{p_n} |a_i|^2} \leq 2 \left\| \sum_{i=p_{n-1}+1}^{p_n} a_i z_i \right\|$$

for any scalars  $a_{p_{n-1}+1}, a_{p_{n-1}+2}, \dots, a_{p_n}$  ( $n = 1, 2, \dots$ ).

We claim that the sequence

$$\{z_j\} = \bigcup_{n=1}^{\infty} \{z_j\}_{j=p_{n-1}+1}^{p_n}$$

is an unconditional basic sequence. In fact, let  $\{a_n\}$ ,  $\{\lambda_n\}$  be two sequences of scalars, with  $|\lambda_n| \leq 1$  ( $n = 1, 2, \dots$ ). Let

$$y_n = \sum_{i=p_{n-1}+1}^{p_n} \lambda_i a_i z_i \quad (n = 1, 2, \dots).$$

Then, by (34),  $\{y_n\}$  is a block basic sequence with respect to  $\{x_n\}$ , whence, by a remark of [7],  $\{y_n\}$  is an unconditional basic sequence. Hence, by our hypothesis, the basic sequence  $\{y_n/\|y_n\|\}$  is equivalent to  $\{x_n\}$ , and thus there exist two constants  $A, B > 0$  such that we have

$$(36) \quad A \left\| \sum_{n=1}^l y_n \right\| = A \left\| \sum_{n=1}^l \|y_n\| \frac{y_n}{\|y_n\|} \right\| \leq \left\| \sum_{n=1}^l \|y_n\| x_n \right\| \leq B \left\| \sum_{n=1}^l y_n \right\| \quad (l = 1, 2, \dots).$$

On the other hand, by (35) and  $|\lambda_i| \leq 1$  ( $i = 1, 2, \dots$ ) we have

$$\begin{aligned} \|y_n\| &\leq \sqrt{\sum_{i=p_{n-1}+1}^{p_n} |\lambda_i a_i|^2} \\ &\leq \sqrt{\sum_{i=p_{n-1}+1}^{p_n} |a_i|^2} \leq 2 \left\| \sum_{i=p_{n-1}+1}^{p_n} a_i z_i \right\| \quad (n = 1, 2, \dots). \end{aligned}$$

Hence, since  $\{x_n\}$  is an unconditional basis, there exists a constant  $C \geq 1$  such that

$$\begin{aligned} \left\| \sum_{i=1}^{p_l} \lambda_i a_i z_i \right\| &= \left\| \sum_{n=1}^l y_n \right\| \leq \frac{1}{A} \left\| \sum_{n=1}^l \|y_n\| x_n \right\| \\ &\leq \frac{2C}{A} \left\| \sum_{n=1}^l \sum_{i=p_{n-1}+1}^{p_n} a_i z_i \right\| x_i \quad (l = 1, 2, \dots). \end{aligned}$$

Thus, by (36) (applied for  $\lambda_i = 1$ ,  $i = 1, 2, \dots$ ), we have

$$\begin{aligned} \left\| \sum_{i=1}^{p_l} \lambda_i a_i z_i \right\| &\leq \frac{2BC}{A} \left\| \sum_{n=1}^l \sum_{i=p_{n-1}+1}^{p_n} a_i z_i \right\| \\ &= \frac{2BC}{A} \left\| \sum_{i=1}^{p_l} a_i z_i \right\| \quad (l = 1, 2, \dots), \end{aligned}$$

which proves that  $\{z_j\}$  is an unconditional basic sequence.

Since by our hypothesis all normalized unconditional basic sequences in  $E$  are equivalent, it follows that the basic sequence  $\{z_j\}$  is symmetric, whence there exists a constant  $K \geq 1$  such that

$$(37) \quad \left\| \sum_{i=1}^m a_i z_{a_i} \right\| \leq K \left\| \sum_{i=1}^m a_i z_{r_i} \right\|$$

for any couple of increasing sequences of positive integers  $\{q_i\}$ ,  $\{r_i\}$  and any scalars  $a_1, a_2, \dots, a_m$  ( $m = 1, 2, \dots$ ). This, together with (35) and  $p_n - p_{n-1} = n$  ( $n = 1, 2, \dots$ ) gives

$$\begin{aligned} \left\| \sum_{i=1}^n a_i z_i \right\| &\leq K \left\| \sum_{i=1}^n a_i z_{p_{n-1}+i} \right\| \leq K \sqrt{\sum_{i=1}^n |a_i|^2} \\ &\leq 2K \left\| \sum_{i=1}^n a_i z_{p_{n-1}+i} \right\| \leq 2K^2 \left\| \sum_{i=1}^n a_i z_i \right\| \end{aligned}$$

for any scalars  $a_1, a_2, \dots, a_n$  ( $n = 1, 2, \dots$ ), and thus the basic sequence  $\{z_n\}$  is equivalent to the unit vector basis of  $l^2$ . Since by our hypothesis  $\{x_n\}$  is equivalent to  $\{z_n\}$ , it follows that  $\{x_n\}$  is equivalent to the unit vector basis of  $l^2$ , which completes the proof.

**PROBLEM 2.** Let  $E$  be an infinite-dimensional Banach space with an unconditional basis, in which all normalized unconditional basic sequences are  $c$ -equivalent. Is  $E$  isomorphic to  $l^2$ ?

We recall that two basic sequences  $\{y_n\}$ ,  $\{z_n\}$  are said to be  $c$ -equivalent [26] if there exists a permutation  $\sigma$  of  $N = \{1, 2, 3, \dots\}$  such that the basic sequences  $\{y_n\}$ ,  $\{z_{\sigma(n)}\}$  are equivalent.

**PROBLEM 3.** Let  $E$  be an infinite-dimensional Banach space, non-isomorphic to  $l^2$  and having an unconditional basis. Do there exist in  $E$  any two non-equivalent normalized unconditional bases?

In [26] it has been proved that the answer is affirmative for  $E = l^p$  and  $E = l^p$ , with  $1 < p \neq 2$ , and it has been remarked that the answer is not known for  $E = c_0$  and  $E = l$ . From the proof of proposition 4 (d)

above it follows that an affirmative answer for  $E = c_0$  and  $E = l$  would imply an affirmative answer for all non-reflexive Banach spaces having an unconditional basis.

**4.4.** The following extension of some definitions of Bari [6] seems to be useful:

**Definition 6.** We shall say that a basic sequence  $\{z_n\}$  in a Banach space  $E$  is *Besselian* if

$$\sum_{i=1}^{\infty} a_i z_i \text{ is convergent} \Rightarrow \sum_{i=1}^{\infty} |a_i|^2 < +\infty;$$

we shall say that the basic sequence  $\{z_n\}$  is *Hilbertian* if

$$\sum_{i=1}^{\infty} |a_i|^2 < +\infty \Rightarrow \sum_{i=1}^{\infty} a_i z_i \text{ is convergent,}$$

**Remark 3.** In every infinite-dimensional Banach space  $E$  there exist two normalized basic sequences  $\{y_n\}$ ,  $\{z_n\}$  such that  $\{y_n\}$  is non-Besselian and  $\{z_n\}$  is non-Hilbertian.

In fact, this can be proved by a method similar to that used by V. I. Gurarii in [19].

**PROBLEM 4.** Does there exist in every infinite-dimensional Banach space with a basis, a normalized non-Besselian basis? Does there exist in every such space a normalized non-Hilbertian basis?

**4.5. PROBLEM 5.** Let  $(E, \{x_j\})$  be an infinite-dimensional symmetric space which admits a constant  $C \geq 1$  such that for any  $2^n$ -dimensional subspace  $E_{2^n}$  of  $E$  spanned by  $2^n$  elements  $\{x_{j_k}\}_{k=1}^{2^n} \subset \{x_j\}$  ( $n = 1, 2, \dots$ ) the symmetric constant of the corresponding Haar system  $\{y_{j_k}\}_{k=1}^{2^n}$  in  $(E_{2^n}, \{x_{j_k}\}_{k=1}^{2^n})$  is  $\leq C$ . Is  $E$  then isomorphic to  $l^2$ ?

We call the *symmetric constant* of a symmetric basic sequence  $\{z_j\}$  in a Banach space  $E$  the least constant  $K \geq 1$  for which (37) is satisfied.

**4.6.** Dynin and Mitiagin [11], [25] have proved that in an  $F$ -space (i. e. a complete metrizable locally convex space) which is nuclear all bases (and hence all basic sequences) are unconditional.

**PROBLEM 6.** Let  $E$  be an  $F$ -space in which all basic sequences are unconditional. Is  $E$  nuclear?

An affirmative answer to the following problem would constitute a natural extension to  $F$ -spaces of the second assertion of our theorem:

**PROBLEM 7.** Let  $E$  be an  $F$ -space with a basis, in which all bases are unconditional. Is  $E$  nuclear?

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