

## References

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## Remarks on Anderson's paper "On topological infinite deficiency"

by

## H. Toruńczyk (Warszawa)

Suppose that the topological space X is the product of  $\kappa_0$  copies of an interval J which is either closed or open. A closed subset A of X is said to be of *infinite deficiency* (briefly: *deficient*) in X if there exists a homeomorphism h of X onto itself such that, for infinitely many i, the natural projections  $\pi_i h(A)$  are (at most) one-point sets in the interior of J.

The sets of infinite deficiency have been systematically investigated by R. D. Anderson in [1], [3]. The importance of these sets lies in their topological negligibility property (see condition (g) of Theorem 1 in this paper) and the property of extending homeomorphisms (here: Theorem 5); both properties have been established in their final form by Anderson, but the pioneer work in this respect was done by Klee ([9], [10]). For other results concerning negligibility see also [5], [6], [7], [8]. The theory of deficient sets can easily be transferred to the case of separable infinite-dimensional Fréchet spaces.

The present paper is a contribution to the theory of infinite deficiency. In Section 1 we establish some topological characterizations of sets of infinite deficiency. One of them (condition (ii) in Theorem 2), applied to  $F_{\sigma}$  sets rather than to closed sets, gives a characterization of  $\sigma$ -deficient sets, i.e. of countable unions of deficient sets. This class of sets, being a natural generalization of deficient sets, is discussed in Section 2 (1). Finally, in Section 3 we establish a theorem on extending homeomorphisms to the pair: Hilbert cube Q and its pseudointerior s, which is an analogue of the above-mentioned theorem of Anderson, dealing with a single space X which is either Q or s.

Our results are derived from two theorems of Anderson, which are stated explicitly as Theorem 1 in Section 1 and Theorem 5 in Section 3.

<sup>(1)</sup> Added in proof.  $\sigma$ -deficient sets (sets of type  $Z_{\sigma}$ ) and their relations to problems of negligibility have been studied by R. D. Anderson and his colaborators, see, e.g. [5].

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**0. Preliminaries.** By N we denote the set of non-negative integers. Greek letters:  $\alpha, \beta, \gamma$  denote non-void subsets of N. We define  $a^{\perp} = N \setminus \alpha$ . For every topological space Z and every  $a \subset N$  we denote by  $Z^a$  the product  $P \in Z_n$  with  $Z_n = Z$ , endowed with the usual product topology. By  $\pi_a$  we denote the natural projection  $\pi_a \colon Z^N \to Z^a$ .  $Q = I^N \colon (I = [-1, 1])$  is the Hilbert cube, and  $s = (-1, 1)^N$  its pseudointerior. We consider Q and s with the standard metric

$$d(x, y) = \sum_{n \in N} 2^{-n} |x_n - y_n|.$$

Let  $(Z,\varrho)$  be a metric space and Y a topological space. By  $\overline{\varrho}$  we shall denote the metric  $\overline{\varrho}(f,g)=\sup_{y\in Y}\varrho(f(y),g(y))$  defined on the set of continuous functions from Y into Z. By  $Z^Y$  we shall denote the same set endowed with the compact-open topology. For any subset  $K\subset Z$  we shall denote by G(Z,K) the set of all the homeomorphisms of the pair (Z,K) onto itself (called autohomeomorphisms of the pair (Z,K));  $G(Z)\stackrel{\mathrm{df}}{=} G(Z,Z)$  (the set of all autohomeomorphisms of the space Z). By  $e\in G(Z)$  we denote any identity map. Unless otherwise stated the spaces G(Q,s) and G(Q) will be considered with the metric  $\overline{d}$ .

We shall use the following lemma.

LEMMA 1. For any complete metric space  $(Z, \varrho)$ , the space  $(G(Z), \Psi)$  with metric  $\Psi$ , given by:

$$\Psi(f,g) = \overline{\varrho}(f,g) + \overline{\varrho}(f^{-1},g^{-1})$$

is also a complete metric space. If the space  $(Z, \varrho)$  is compact, the metrics  $\Psi$  and  $\bar{\varrho}$  induces the same topology on G(Z).

An easy proof is left to the reader.

Let X be either Q or s. A closed subset K of X is called straight if either K is empty or if there exists an infinite set  $\alpha$  such that  $\pi_{\alpha}(K)$  is a subset of s consisting of a single point. We say that  $K \subset X$  is of infinite deficiency if there exists an  $f \in G(X)$  such that f(K) is straight.

Sets of infinite deficiency will be also called briefly deficient; countable unions of deficient sets will be called  $\sigma$ -deficient.

We say that closed subset K of an infinite-dimensional separable Fréchet space F is of *infinite deficiency* if there exists an  $f \in G(F)$  such that f(K) is a subset of a closed linear subspace of infinite linear deficiency.

A subset K of a topological space Y has property Z in Y if K is closed and, for any non-void, open and homotopically trivial set U,  $U \setminus K$  is also non-void and homotopically trivial.

1. Characterization of sets of infinite deficiency. Anderson's results for the sets of infinite deficiency can be summarized as follows.

THEOREM 1. Let K be a closed subset of the Hilbert cube Q and let  $M=K\cap s$ . Then the following conditions are equivalent:

- (a) K has infinite deficiency in Q;
- (b) there exists an  $f \in G(Q, s)$  such that f(K) is straight in Q;
- (c) K has property Z in Q;
- (d) there exists an  $f \in G(Q)$  such that  $f(s \setminus K) = s$ ;
- (e) there exists an  $f \in G(Q)$  such that  $f(s \cup K) = s$ ;
- (f) M has infinite deficiency in the space s;
- (g) for every subset  $U \subset s$  which is open relative to s there is an  $f \in G(s)$  such that  $f(U) = U \setminus M$  and f(x) = x for  $x \in s \setminus U$ ;
- (h) for every subset  $U \subset s$  which is open relative to s the sets  $U \setminus M$  and U are homeomorphic;
  - (j) M has property Z in s;
- (k) for every homeomorphism f of s onto a Fréchet space F the image (M) has infinite deficiency in F.

The above results can be found in [3] (not always explicitly) except (g), which is a corollary of Theorem 9.2 of [4]. The last four conditions can also be regarded as characterizations of deficient subsets of the space s. This follows from the fact that every closed subset of the space s can be represented in a form  $K \cap s$  where K is the closure relative to Q of the given set. Let us note also that taking, in the condition (e), f = e, we find that every compact subset of s has infinite deficiency both with respect to s and with respect to Q. Similarly, by (d), every compact subset of the pseudoboundary  $Q \setminus s$  has infinite deficiency in Q.

Now, using the above theorem, we shall prove an additional characterization of sets of infinite deficiency.

THEOREM 2. Suppose that X is either Q or s and K is a closed subset of X. Then the following conditions are equivalent:

- (a) K has infinite deficiency in X.
- (i) There exists a homotopy  $h: X \times [0,1] \rightarrow X$  such that  $h_0 = e$  and  $h_t(X) \cap K = \emptyset$  for  $t \in (0,1]$ .
  - (ii) For every  $n \in N$ , the set  $\{f \in X^{I^n}: f(I^n) \cap K = \emptyset\}$  is dense in  $X^{I^n}$ .
- (iii) For every metric space  $(Z, \varrho)$  including X as its retract, there is a retraction  $r: Z \xrightarrow{\text{onto}} X$  such that  $r^{-1}(K) = K$ .

Proof. (a)  $\Rightarrow$  (i).

1. X = Q. By Theorem 1 (d), there exists an  $f \in G(Q)$  such that  $f(s \setminus X) = s$ . The homotopy  $h(x, t) = f^{-1}((1-t) \cdot f(x))$  satisfies (i); moreover we have  $h(s \times [0, 1]) \subset s$ .



- 2. X=s. By Theorem 1,  $\overline{K}$  is of infinite deficiency in Q. Consequently, there exists a suitable homotopy  $h\colon Q\times [0\,,1]\to Q$  such that  $h_t(Q)\cap \overline{K}=\emptyset$  for  $t\in (0\,,1],\ h_0=e$  and  $h(s\times [0\,,1])\subset s$ . The homotopy  $h|_{s\times [0,1]}$  satisfies (i).
  - (i) ⇒ (ii) obvious.
- (ii)  $\Rightarrow$  (a). By the equivalence of (i) and (f) of Theorem 1 it is sufficient to demonstrate that K has property Z in X. Thus, let U be open, nonvoid and homotopically trivial, and let  $f \colon \partial I^n \to U \setminus K$ . By assumption, f has an extension  $F \colon I^n \to U$ . Let us note that the number

$$\varepsilon = \min \left[ d\left( F(I^n), s \setminus U \right), d\left( f(\partial I^n), K \right) \right]$$

is positive; consequently, there exists a mapping  $H \in s^{I^n}$  such that  $\overline{d}(F, H) < \varepsilon$  and  $H(I^n) \cap K = \emptyset$ . Then  $h = H|\partial I^n : \partial I^n \to U \setminus K$  is homotopically trivial and homotopic to f (the homotopy is given by e.g. G(x, t) = tf(x) + +(1-t)h(x)). This implies that also  $f : \partial I^n \to U \setminus K$  is homotopically trivial.

- (i)  $\Rightarrow$  (iii). Let f be a retraction Z onto X. We put  $r(z) = h_{u(z)}(f(z))$ ,  $z \in Z$ , where  $u(z) = \min\{1, \varrho(z, X)\}$ .
- (iii)  $\Rightarrow$  (i). We put  $Z = X \times [0, 1]$ ; let r be a retraction which satisfies condition (iii). We define  $h_t(x)$  as r(x, t).

Conditions (i) and (iii) have been introduced by W. Kuperberg in connection with the study of stable points. The equivalence (i)  $\Leftrightarrow$  (iii) has also been established by W. Kuperberg. Clearly, Theorem 2 holds true for any separable Fréchet space F. The following sufficient conditions for being of infinite deficiency are consequences of Theorem 2.

COROLLARY 1. Let K be a closed subsed of X (X=Q or s) such that for every finite  $a, \pi_{a^{\perp}}(K) \neq X$ . Then K is deficient in X.

Proof. We shall show that condition (ii) of Theorem 2 is satisfied. Let  $f \in X^{I^n}$  and  $\varepsilon > 0$ . Let us choose a finite set  $\alpha$  such that  $\sum_{n \in \alpha} 2^{-n} < \varepsilon$ . We define  $g \in I^{I^n}$  by

$$(\pi_a g)(x) = (\pi_a f)(x); \ (\pi_{a\perp} g)(x) = a, \quad x \in I^n,$$

where  $a \in X \setminus \pi_{a^{\perp}}(K)$ . Then  $g(I^n) \cap K = \emptyset$  and  $\bar{d}(f, g) < \varepsilon$ .

COROLLARY 2. Suppose that F is a separable, infinite-dimensional Fréchet space,  $\varrho$  an invariant metric on F and  $\tau_n \colon F \to F$  a sequence of projections such that for every  $x \in F$ ,  $\varrho(x, \tau_n(x)) \searrow 0$ . Let  $E_n = \tau_n(F)$ . If K is a closed subset of F such that  $K \cap \bigcup_{n \in N} E_n = \emptyset$ , then K is of infinite deficiency in F.

Proof. Let  $f \in F^{I^n}$  and  $\varepsilon > 0$ . Since the sequence of functions  $\varphi_l(x) = \varrho(f(x), \tau_l f(x))$  is decreasing, by the Dini theorem there exists a  $k \in N$ 

such that  $\bar{\varrho}(f, \tau_l f) < \varepsilon$  for  $l \ge k$ . The map  $g = \tau_k f$  satisfies

$$g(I^n) \cap K = \emptyset$$
 and  $\bar{\varrho}(f,g) < \varepsilon$ .

Remark. The class of subsets of Q satisfying the assumption of Corollary 1 coincides with the class of weakly thin sets in the sense of Anderson [1], and the statement of Corollary 1 concerning Q is a consequence of [1], Section 3.

**2. Characterization of**  $\sigma$ **-deficient sets.** Since X admits a complete metric, the Baire category theorem together with condition (ii) in Theorem 2 gives the following characterization of  $\sigma$ -deficient sets:

PROPOSITION 1. Let X be either Q or s and let K be an  $F_{\sigma}$  subset of X. Then K is  $\sigma$ -deficient in X if and only if for any  $n \in N$  the set  $\{f \in X^{I^n}: f(I^n) \cap K = \emptyset\}$  is dense in  $X^{I^n}$ .

COROLLARY 3. Let K be a  $\sigma$ -deficient subset of X. Then K is deficient in X if and only if K is closed in X.

Proof. It is a consequence of Proposition 1 and Theorem 2. This result has been established by R. D. Anderson.

In order to obtain further characterizations of  $\sigma$ -deficient sets, we shall need the following lemma.

LEMMA 2. Suppose that  $\varepsilon > 0$  and  $M_1$ ,  $M_2$ ,  $M_3$  are deficient subsets of Q such that  $M_1 \cap M_2 = \emptyset$ . Then, there exists an  $f \in G(Q, s)$  such that  $f(M_1) \cap M_3 = \emptyset$  and  $f|_{M_2} = e$ ,  $\bar{d}(f, e) < \varepsilon$ .

Proof. According to Corollary 2, the set  $L = M_1 \cup M_2 \cup M_3$  is of infinite deficiency. Hence, there is a  $g \in G(Q, s)$  such that g(L) is straight, say,

$$(\pi_a g)(L) = a$$
,  $a \in s$ ,  $\overline{\overline{a}} = \aleph_0$ .

Let  $\delta$  be a positive number such that  $d(x, y) < \delta$  implies  $d(g^{-1}(x), g^{-1}(y)) < \varepsilon$ . Clearly, there exists an isotopy  $h_t$ ,  $t \in [0, 1]$  of Q with the following properties:  $h_t \in G(Q, s)$ ,  $\overline{d}(h_t, e) < \varepsilon$  for  $t \in [0, 1]$ ,  $h_0 = e$  and  $h_t(a) \neq a$  for  $t \in [0, 1]$ . Let  $g_1$  be given by the formulas:

$$\varphi_{a\perp}(g_1(x)) = \pi_{a\perp}(x)$$
,  $(\pi_a g_1)(x) = h_{u(x)}(x)$ 

where  $u(x) = \min\{1, d(\pi_{a\perp}(x), (\pi_{a\perp}g)(M_2))\}$ .

We put  $f = g^{-1}g_1g$ .

THEOREM 3. Let K be a subset of Q of type  $F_{\sigma}$ . Then the following conditions are equivalent.

- (iv) K is a  $\sigma$ -deficient set.
- (v) For any  $\sigma$ -deficient set L, there exists an  $f \in G(Q)$  such that  $f(K) \cap L = \emptyset$ .



- (vi) There exists an  $f \in G(Q)$  such that  $f(K) \subset s$ .
- (vii) There exists an  $f \in G(Q)$  such that  $f(K) \subseteq Q \setminus s$ .

(viii) For every  $\sigma$ -compact subset L of the pseudointerior s, there exists an  $f \in G(Q, s)$  such that  $f(K) \cap L = \emptyset$ .

Proof. (iv) implies (v). Suppose that  $K = \bigcup_{n \in N} K_n$ ,  $L = \bigcup_{n \in N} L_n$ , where  $K_n$  and  $L_n$  are deficient. By Lemma 2, for any pair  $i, j \in N$ , the set  $\{f \in G(Q): f(K_i) \cap L_j = \emptyset\}$  is open and dense in G(Q). Since G(Q) is complete-metrizable (Lemma 1), the classical Baire theorem shows that the set  $\{f \in G(Q): f(K) \cap L = \emptyset\}$  is non-empty.

(v) implies (vi). This follows from the fact that  $Q \setminus s$  is  $\sigma$ -deficient (the countable union of end-slices, each of which is deficient).

(vi) implies (vii). Let  $a_i$ , i=0,1,..., be infinite pair-wise disjoint subsets of N such that  $\bigcup_{i\in N} a_i = N$ . Let  $f_1 \in G(Q)$  be such that  $f_1(K) \subset s$ . Since K is of type  $F_{\sigma}$ , we conclude that there are compact sets  $K_n \subset s$ ,  $n \in N$ , such that

$$f_1(K) = \bigcup_{i \in N} K_i.$$

For each  $i \in N$  the set  $\pi_{a_i}(K_i)$  is a compact subset of s. Hence, by Theorem 1, (d)  $\iff$  (e), there are  $g_i \in G(Q)$  such that

$$g_i(\pi_{ai}(K_i)) \subset Q \setminus s$$
, for each  $i \in N$ .

The cartesian product of the maps  $g_i$ , i.e. the map  $g \in G(Q)$  defined by the condition  $\pi_{a_i}g = g_i$ , takes  $f_1(K)$  to the pseudo-boundary. Hence  $f = gf_1$  satisfies statement (vii).

(vii) implies (iv). Let f be as in (vii). Then f(K) is an  $F_{\sigma}$  subset of the pseudoboundary, and therefore is  $\sigma$ -deficient. But this implies that K itself is  $\sigma$ -deficient.

(iv) implies (viii). For each  $x, y \in (-1, 1)^a$ , let us write

$$\varrho_a(x, y) = \sum_{n \in a} 2^{-n} \min \left( 1, \left| \tan \frac{\pi}{2} x_n - \tan \frac{\pi}{2} y_n \right| \right),$$

and let  $\varrho = \varrho_N$ . Then it is easy to see that each  $\varrho_a$  is a complete metric for the space  $(-1,1)^a$  compatible with the product topology of this space. Hence, using Lemma 1, we conclude that the set G(Q,s) turns into a complete metric space under the metric

$$\psi(f,g) = \bar{d}(f,g) + \bar{d}(f^{-1},g^{-1}) + \bar{\varrho}(f_{|s},g_{|s}) + \bar{\varrho}(f_{|s}^{-1},g_{|s}^{-1}).$$

Using the classical Baire theorem, we reduce the proof of our implica-

LEMMA 3. If M is a deficient set in Q and L is a compact set of s, then the set  $A = \{f \in G(Q, s): f(M) \cap L = \emptyset\}$  is a dense open subset of G(Q, s) in the topology induced by the metric  $\psi$ .

Proof. It is obvious that A is open. To prove that it is also dense, assume that we are given an  $\varepsilon > 0$  and an  $h \in G(Q, s)$ . Pick a finite set  $\alpha \subset N$  with  $\sum_{i=0}^{\infty} 2^{-n} < \varepsilon/8$ , i.e.

(1) 
$$\varrho_{a,\perp} < \varepsilon/8$$
.

Denote by  $\mathcal{R}$  the collection of all sets  $R \subset Q$  which are of the form

(2) 
$$R = \underset{i \in \beta}{\mathbf{P}} [a_i, b_i] \times I^{\beta^{\perp}}, \quad \text{where} \quad \beta \supset a, \ \overline{\beta} < \kappa_0, \ -1 < a_i < b_i < 1.$$

We claim that there are  $D, D_1 \in \mathcal{R}$  such that

(3) 
$$h^{-1}(L) \subset \operatorname{int} D_1, \quad h(D_1) \subset D.$$

In fact, let K be the intersection of all the sets  $R \in \mathcal{R}$  such that  $h^{-1}(L) \subset \operatorname{int} R$ . Then h(K) is a compact subset of s, and therefore there is a set  $D \in \mathcal{R}$  with  $h(K) \subset \operatorname{int} D$ , i.e.  $K \subset h^{-1}(\operatorname{int} D)$ . By the standard compactness argument we can pick a finite collection of sets  $R_1, \ldots, R_j$  in  $\mathcal{R}$  such that  $h^{-1}(L) \subset \operatorname{int} R_i$  for  $i \leqslant j$  and  $R_1 \cap \ldots \cap R_j \subset h^{-1}(\operatorname{int} D)$ . Then the set D together with  $D_1 = R_1 \cap \ldots \cap R_j$  satisfies conditions (3).

Let us now continue the proof of the lemma. By (2) we have  $D=T\times \times I^{\beta\perp}$ , where D is a finite-dimensional closed cube contained in the cube int  $I^{\beta}$ ,  $\overline{\beta}<\kappa_0$ . The function  $\varrho_{\beta}$  is uniformly continuous on  $T\times T$ . Hence there is a  $\delta_1>0$  such that, for any  $x,y\in D$ , the condition  $\sum\limits_{n\in\beta}2^{-n}|x_n-y_n|<\delta_1$  implies

$$\varrho(x,\,y) = \,\varrho_{\boldsymbol{\beta}}(\pi_{\boldsymbol{\beta}}x,\,\pi_{\boldsymbol{\beta}}y) + \varrho_{\boldsymbol{\beta}\perp}(\pi_{\boldsymbol{\beta}\perp}x,\,\pi_{\boldsymbol{\beta}\perp}y) < \,\varepsilon/8 + \,\varepsilon/8 = \,\varepsilon/4 \;,$$

and therefore

(4)  $d(x, y) < \delta_1$  implies  $\varrho(x, y) < \varepsilon/4$  for  $x, y \in D$ . Similarly, there is a  $\delta_2 > 0$  such that

(5)  $d(x,\,y)<\delta_2\quad \text{implies}\quad \varrho(x,\,y)<\varepsilon/4\quad \text{ for }\quad x,\,y\;\epsilon\;D_1\,,$  and, moreover, such that

(6) 
$$d(x, y) < \delta_2$$
 implies  $d(h(x), h(y)) < \delta_1$  for all  $x, y \in Q$ .

By Theorem 2, condition (i), the set  $\partial D_1$  has property Z with respect to  $D_1$  regarded as a Hilbert cube. Hence, by Lemma 2 (applied to  $M_1=h^{-1}(L),\ M_2=\partial D_1$  and  $M_3=M\cap D_1$ ), there is a  $g\in G(D_1, \operatorname{int} D_1\cap s)$  such that

(7) 
$$d(g, e) < \delta_2$$
,  $g(h^{-1}(L)) \cap (M \cap D_1) = \emptyset$  and  $g|_{\partial D_1} = e$ .

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Extending g as identity beyond  $D_1$ , we may assume without loss of generality that  $g \in G(Q, s)$  and g is supported on  $D_1$ .

We define  $f = hg^{-1}$ . By (7),  $f(M) \cap L = \emptyset$ . Hence to complete the proof of the lemma, we have to show that  $\psi(f, h) < \varepsilon$ . Observe that the condition  $f(x) \neq h(x)$  implies  $x \in D_1$ ; thus by (3) we find that  $f(x) \neq h(x)$  implies  $h(x) \in D$  and  $f(x) \in D$ . Hence, using the estimation  $d(g, e) < \delta_2$  in (7) and conditions (6), (5), (4), we conclude that each of the numbers  $\bar{d}(f, h)$ ,  $\bar{d}(f^{-1}, h^{-1})$ ,  $\bar{\varrho}(f_{|s}, h_{|s})$ ,  $\bar{\varrho}(f_{|s}^{-1}, h_{|s}^{-1})$  is less than  $\varepsilon/4$ . Whence  $\psi(f, h) < \varepsilon$ .

Proof of the implication (viii)  $\Rightarrow$  (iv). Suppose that K is an  $F_{\sigma}$  set in Q satisfying (viii). Let, for each  $n \in N$ ,

(\*) 
$$E_n = \{x \in s: \ \pi_i(x) = 0 \text{ for all } i > n\}.$$

Each  $E_n$  is a countable union of compact sets in s. Hence, by (viii), there is an  $f \in G(Q, s)$  such that  $f(K) \cap \bigcup_{n \in N} E_n = \emptyset$ . Representing f(K) as  $f(K) = \bigcup_{i \in N} K_i$ , a countable union of compact sets, we find that each set  $K_i \cap s$  satisfies the assumption of Corollary 1  $(\pi_{a \perp}(K_i \cap s) \notin 0$  for each finite  $a \subset N$ ). Thus  $K_i \cap s$  is deficient in s for each  $i \in N$ . Hence, by Theorem 1, the sets  $K_i$  are deficient in Q, and therefore both f(K) and K are  $\sigma$ -deficient.

COROLLARY 3. There exists an autohomeomorphism  $f \in G(Q)$  which takes the pseudoboundary of Q into the pseudointerior (cf. [3], Theorem 11.1).

**Proof.**  $Q \setminus s$  is clearly of type  $F_{\sigma}$ . Hence the statement follows from the implication (vii)  $\Rightarrow$  (vi).

THEOREM 4. Let K be a  $F_{\sigma}$ -subset of the space s. Then the following conditions are equivalent.

- (ix) K is  $\sigma$ -deficient in s.
- (x) For every subset L of s which is a countable union of compact sets there exists an  $f \in G(s)$  such that  $f(K) \cap L = \emptyset$ .

Proof. (ix)  $\Rightarrow$  (x). Let  $K = \bigcup_{i \in N} K_i$  where  $K_i$  are deficient sets. The sets  $\overline{K}_i$  are of infinite deficiency in Q (Theorem 1); thus the condition (viii) of Theorem 3 gives the existence of  $h \in G(Q, s)$  such that  $h(\bigcup_{i \in N} \overline{K}_i) \cap L = \emptyset$ . We put  $f = h|_s$ .

(x)  $\Rightarrow$  (ix). Let  $K = \bigcup_{i \in N} L_i$ , where  $L_i$  are closed in s, and let  $f \in G(s)$  be such that  $f(K) \cap \bigcup_{i \in N} E_n = \emptyset$ , where  $E_n$  are given by (\*). Then  $f(L_i)$  satisfies the assumption of Corollary 1 and consequently is deficient in s. We conclude that  $L_i$  is deficient in s, and K is a  $\sigma$ -deficient set.

4. Extensions of homeomorphisms between deficient sets. The following theorem is proved in [3]:

THEOREM 5. Let  $K_1$  and  $K_2$  be deficient subsets of X (X is Q or S), and let  $f\colon K_1^{\rm onto} K_2$  be a homeomorphism. Then, there exists an  $f\in G(X)$  such that  $F|_{K_1}=f$ . In the case where  $K_1$  and  $K_2$  are compact subsets of s, the autohomeomorphism F can be chosen from the set G(Q,s).

The second part of the theorem can be extended as follows.

THEOREM 6. Let  $K_1$  and  $K_2$  be deficient subset of Q and let f be a homeomorphism between the pairs  $(K_1, K_1 \cap s)$  and  $(K_2, K_2 \cap s)$ . Then there exists an  $F \in G(Q, s)$  such that  $F|_{K_1} = f$ .

Proof 1. We consider the case  $K_1=K_2$ . By Theorem 1 (e), there exists a  $g \in G(Q)$  such that  $g(s \cup K_1)=s$ . According to Theorem 5, the homeomorphism  $h=gfg^{-1}\colon g(K_1)\to g(K_1)$  can be extended to a  $H\in G(Q,s)$ . Clearly,  $F=g^{-1}Hg$  gives the desired extension.

2. We pass to the general case. Applying Lemma 2 with  $M_1 = K_1$ ,  $M_3 = K_2$ ,  $M_2 = \emptyset$  we conclude that there exists an  $h \in G(Q, s)$  such that  $h(K_1) \cap K_2 = \emptyset$ . Let  $K_3 = h(K_1)$  and  $K = K_2 \cup K_3$ . According to 1°, the homeomorphism  $f_1 \colon K \to K$  given by

$$f_1(x) = egin{cases} fh^{-1}(x) \;, & x \; \epsilon \; K_3 \;, \ hf^{-1}(x) \;, & x \; \epsilon \; K_2 \end{cases}$$

can be extended to an  $F_1 \in G(Q, s)$ .  $F = F_1 h \in G(Q, s)$  is the desired autohomeomorphism.

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