

theories of fields.) It seems likely that in order to make an advance on the problem one will have to use techniques like Ehrenfeucht's condition, or Keisler's finite cover property [1, 4].

When working on this paper we proved the following result, which may be useful.

THEOREM 3. Suppose  $\mathcal L$  is countable, and  $\mathcal M_1$  and  $\mathcal M_2$  are  $\mathcal L$ -structures such that  $Th(\mathcal M_1)$  and  $Th(\mathcal M_2)$  are totally transcendental. Then  $Th(\mathcal M_1 \oplus \mathcal M_2)$  is totally transcendental.

This result fails if we replace "totally transcendental" by " $\omega_1$ -categorical". To see this, take  $\mathcal{M}_1$  as Q,  $\mathcal{M}_2$  as  $\bigoplus_{i \in I} Z(p)$  where I is infinite and p is prime, and use Lemma 4.

The result also fails for infinite direct sums and products. Thus,  $Th(Z(p^n))$  is totally transcendental, but, by Theorem 1, neither

$$Th\left(\bigoplus_{n}Z(p^{n})\right)$$
,

nor

$$Th\left(\prod_{n}Z(p^{n})\right)$$

is totally transcendental.

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# Some theorems about the embeddability of ANR-sets into decomposition spaces of E<sup>n</sup>

bу

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1. Introduction. This paper is a continuation of my earlier paper [18], in which the following general theorem has been proved:

THEOREM A ([18], p. 290). If X is a connected ANR containing no n-umbrella and if the cyclic elements of X are embeddable into  $E^n$ , then X is embeddable into an n-dimensional Cartesian divisor of  $E^{n+1}$ .

As a corollary to this theorem and to Claytor's results ([6] and [7]) the following theorem has been deduced:

THEOREM B ([18], p. 291). If X is a connected ANR which does not contain any 2-umbrellas and any homeomorphic images of the graphs of Kuratowski, then X is embeddable into  $S^2$ .

This theorem gives a positive answer to a problem of Mardešić and Segal ([13], p. 637). In [18] some historical remarks concerning Theorems A and B have been given, which we do not repeat here. The following remarks concern the terminology. Only metrizable separable spaces are considered. The ANR-spaces are always assumed to be compact. We base our considerations on the definition and the propositions concerning cyclic elements given in [12], § 47, which have been recalled in [18]. Therefore, we do not repeat them here, although, in general we give references to respective propositions proved in [12], § 47. By an n-umbrella we mean a one-point union of a (topological) n-ball Q and of an arc I relative to a point  $p \in Q$  and a point  $q \in I$ . By a graph we mean any space which is a homeomorphic image of a compact, at most 1-dimensional polyhedron. A connected, acyclic graph (i.e. a graph which is an AR-set) is called a tree. The graphs of Kuratowski (which are called primitive skew curves by Mardešić and Segal) are the following polyhedra  $K_1$  and  $K_2$  (cf. [11]):  $K_1$  is the 1-skelton of a 3-simplex in which the midpoints of a pair of non-adjacent edges are joined by a segment,  $K_2$  is the 1-skelton of a 4-simplex. Given a space X, any space Y is called a Cartesian divisor of X if there is a space Z such that the product  $Y \times Z$  is homeomorphic with X.

If  $\mathfrak D$  is a decomposition of a space X, then  $\mathfrak D$  is called a null-decomposition if for every  $\eta>0$  there exist (at most) finitely many elements of  $\mathfrak D$  of diameter greater than  $\eta$ . It is clear that each null-decomposition of any space X into compact sets is upper semi-continuous.

For each set A, the boundary of A will be denoted by  $\mathrm{Bd}(A)$  and the diameter of A by  $\delta(A)$ . The set of the points which locally separate a connected space X will be denoted by  $L_X$ .

In [10] A. Kosiński has introduced the concept of strongly cyclic elements of a space X and has formulated (without proof) some of their properties under the assumption that  $X \in ANR$ . In Section 4 of this paper we shall recall the definition of Kosiński and we shall prove more properties, assuming only that X belongs to a class  $\alpha$ . For the definition of this class see Section 2. The following theorem, which corresponds to Theorem A formulated above, is the main theorem of this paper:

THEOREM 1. If X is a connected ANR such that, for every strongly cyclic element E of X and for every graph  $G \subset X$  such that  $E \cap G$  is a finite set (also if  $E = \emptyset$  or  $G = \emptyset$ ), the union  $E \cup G$  is embeddable into  $E^n$ , then X is embeddable into the space  $E^n | \mathfrak{D}$ , where  $\mathfrak{D}$  is a null-decomposition of  $E^n$  such that all the non-degenerate elements of  $\mathfrak{D}$  are trees and almost all of them are arcs.

Remark 1. It can easily be noticed (cf. the beginning of Section 8) that in the case of n=1 we can replace the space  $E^n/\mathfrak{D}$  in Theorem 1 simply by  $E^n$ . In the case of n=2 this is also true, since Moore's well-known theorem [16] implies that  $E^2/\mathfrak{D}_{\overline{\text{top}}}E^2$ . In the case of n=3 (as for the Cartesian divisor of  $E^{n+1}$  in Theorem A), this is not true by my example [17] of two crumpled cubes in  $E^3$ , the one-point union of which relative to some boundary points is not embeddable into  $E^3$ . However, for n>3 such an example does not exist. Indeed, if  $X,Y\subset E^n$  are two disjoint AR-sets and  $x_0\in \mathrm{Bd}(X),\ y_0\in \mathrm{Bd}(Y)$ , then there exists an infinite polygonal arc  $L\subset E^n$  for which  $L\cap (X\cup Y)=(x_0)\cup (y_0)=L$  and which is locally tame at each point  $x\in L$ . Thus, the set of the points  $x\in L$  such that L fails to be locally tame at x does not contain any homeomorphic image of the Cantor set, which implies—by Cantrell's result [5]—that L is tame in  $E^n$  provided n>3. Consequently,  $E^n/L$   $\overline{\mathrm{top}}$   $E^n$ , and therefore, the one-point union  $X\cup Y/(x_0)\cup (y_0)$  is embeddable in  $E^n$ .

In the proof of Theorem A given in [18] we have constructed an embedding of X in the decomposition space  $E^n/\mathfrak{D}$ , where  $\mathfrak{D}$  is an upper semi-continuous decomposition of  $E^n$  with only countably many non-degenerate elements, each of which is an arc (see [18], p. 296, Lemma). It can easily be seen from the proof given in [18] that each of these arcs can be constructed so that it is locally tame except a sequence of points containing at most one accumulation point. Similarly, in the present proof

of Theorem 1 (see Sections 8–10) one can easily construct each tree  $T \subset E^n$  belonging to the constructed decomposition  $\mathfrak D$  of  $E^n$  such that each arc  $L \subset T$  is locally tame except a sequence of points containing at most a finite number of accumulation points. Thus, the Cantrell theorem [5] implies again that each one of these trees is tame in  $E^n$  provided n > 3. Consequently, the answer to the following problem seems to be positive:

PROBLEM 1. Given an n > 3, can the space  $E^n/\mathfrak{D}$  in Theorem 1 (as well as the Cartesian divisor of  $E^{n+1}$  in Theorem A) be replaced by  $E^n$ ?

Remark 2. The following theorem has been proved by S. Armentrout [1]: If  $\mathfrak D$  is a point-like decomposition of  $E^n$  with only countably many non-degenerate elements, then the space  $E^n/\mathfrak D$  can be embedded in  $E^{n+1}$ . Recall that an upper semi-continuous decomposition  $\mathfrak D$  of  $E^n$  is said to be point-like if each element A of  $\mathfrak D$  is a continuum such that  $E^n-A$  is homeomorphic with  $E^n-(p)$ , where p is a point of  $E^n$ . Thus, by the preceding remark, we infer that for each n>3 the decomposition space  $E^n/\mathfrak D$  in Theorem 1 can be constructed so that it is embeddable in  $E^{n+1}$ . If n=3, then we can assume that  $E^3 \subset E^4$  and we can extend trivially the decomposition  $\mathfrak D$  of  $E^3$  to the decomposition  $\hat{\mathfrak D}$  of  $E^4$ , whose elements are the elements of  $\mathfrak D$  considered as subsets of  $E^4$  and the one-point sets contained in  $E^4-E^3$ . Then, by the preceding remark and the Cantrell theorem [5], the trees belonging to  $\mathfrak D$  can be constructed so that they are tame in  $E^4$ . Consequently,  $E^4/\hat{\mathfrak D}$  (and therefore also  $E^3/\mathfrak D$ ) is embeddable in  $E^5$ . Thus, we obtain the following

COROLLARY TO THEOREM 1. If X is a connected ANR satisfying the assumptions of Theorem 1, then X is embeddable in  $E^{n+1}$  for n > 3 and X is embeddable in  $E^{n+2}$  for n = 3.

Remark 3. Gilman and Martin (see [8]) have proved that if  $\mathfrak D$  is an upper semi-continuous decomposition of  $E^n$  with only countably many non-degenerate elements each of which is an arc, then  $(E^n/\mathfrak D) \times E^1 = E^{n+1}$ . Recently, Meyer (see [15]) has generalized this theorem, replacing the assumption that the non-degenerate elements of  $\mathfrak D$  are arcs by the assumption that they are (finite) brooms and, as he privately says, he believes that the theorem also holds if the non-degenerate elements are trees. Thus, the answer to the following problem seems to be positive.

PROBLEM 2. Is the space  $E^n/\mathfrak{D}$  (where  $\mathfrak{D}$  is a decomposition of  $E^n$  as described in Theorem 1) a Cartesian divisor of  $E^{n+1}$ ?

The structure of this paper is as follows: In Section 2, we shall give some useful definitions (specially, of the class a) and we shall prove some easy propositions. In Section 3 we shall prove some properties of the set  $L_X$  in any cyclic space  $X \in a$ . These properties will be used in Section 4, where we shall recall Kosiński's definition of strongly cyclic elements



of X and we shall prove some of their properties for  $X \in \alpha$ . In Section 5. we shall give a topological characterization of strongly cyclic polyhedra which are embeddable in S2. The results of Section 5 will be used in Section 6, where we shall give a topological characterization of all polyhedra which are embeddable in S2. The result of Section 6 is an improvement of Mardešić and Segal's theorem (see [13] and [14]), which characterizes the polyhedra which are embeddable in  $S^2$  among all polyhedra. In Section 7, assuming Theorem 1 to be true, we shall give a characterization of ANR-sets which are embeddable in S2. This characterization is an improvement of Theorem B, formulated at the beginning of the paper. In the proof of that characterization, in contrast with the proof of Theorem B given in [18], we do not use Claytor's results ([6] and [7]), because in the paper we can and do base our argument on the proof of Mardešić and Segal's theorem given in [14], in which Claytor's results are not used, either. The last three sections are devoted to the proper proof of Theorem 1 (although the results of all previous sections except Section 7 are used in that proof). In Section 8 we shall reduce Theorem 1 to a lemma. The proof of this lemma for two cases (the second of which is the general one) will be given successively in Sections 9 and 10.

**2. Preliminary definitions and propositions.** First, we shall give the definitions of four classes of spaces  $\alpha$ ,  $\alpha_0$ ,  $\alpha'$  and  $\alpha'_0$ .

DEFINITION OF THE CLASS  $\alpha$ . A locally connected continuum X belongs to the class  $\alpha$  if and only if there is a number  $\varepsilon > 0$  such that no simple closed curve  $S \subset X$  with  $\delta(S) < \varepsilon$  is a retract of X.

DEFINITION OF THE CLASS  $a_0$ . A locally connected continuum X belongs to the class  $a_0$  if and only if no simple closed curve  $S \subset X$  is a retract of X.

It is clear that the class a contains all connected ANR-sets and, more generally, all locally connected continua which are semi-locally 1-connected. (Recall that a space X is semi-locally 1-connected if for each point  $x_0 \in X$  there is a neighbourhood U of  $x_0$  in X such that every map of the pair  $(S^1, s_0)$  into  $(U, x_0)$  is homotopic to the constant map in  $(X, x_0)$ .) However, there is a space  $X \in a$ , which is not semi-locally 1-connected. Actually, consider the 2-dimensional projective space  $P^2$ . Then  $\pi_1(P^2) = Z_2 \neq 0$ . Nevertheless, no simple closed curve  $S \subset P^2$  is a retract of  $P^2$ , because the group Z is not a direct divisor of the group  $H_1(P^2, Z) = Z_2$  (cf. [4], p. 42). Let  $Y_n$  be a homeomorphic image of  $P^2$  such that  $\delta(Y_n) < \frac{1}{n}$  and let  $y_n \in Y_n$ . Form the disjoint union

$$Y = \bigcup_{n=1}^{\infty} Y_n$$

and let X denote the compact metric space which we obtain from Y by the identification of all points  $y_n$  and by a suitable definition of the metric. Let us identify  $Y_n$  with its image under the identification map. Then the sets  $Y_n$  are the non-degenerate cyclic elements of X and therefore retracts of X. It is now clear that X is not semi-locally 1-connected, however,  $X \in \alpha$ ; moreover, no simple closed curve  $S \subset X$  is a retract of X.

Analogically, it is clear that the class  $a_0$  contains all AR-sets and, more generally, all locally connected continua X such that the group Z is not a direct divisor of the first homology group  $H_1(X,Z)$  of X in the sence of E. Čech. It is also clear that each retract of a space  $X \in a_0$  (of a space  $X \in a_0$ ) also belongs to this class.

Recall that a connected space X (containing more than one point) is said to be cyclic (in the sense of Whyburn) if it is not separated by any point.

DEFINITION, OF THE CLASSES  $\alpha'$  AND  $\alpha'_0$ . A space X belongs to the class  $\alpha'$  (to the class  $\alpha'_0$ ) if and only if  $X \in \alpha$  ( $X \in \alpha_0$ ) and X is a cyclic space.

It is clear that each non-degenerate (i.e. containing more than one point) cyclic element of any space  $X \in \alpha$  (of any space  $X \in \alpha_0$ ) belongs to the class  $\alpha'$  (to the class  $\alpha'_0$ ). Therefore, the properties of the spaces  $X \in \alpha'$  (of the spaces  $X \in \alpha'_0$ ) which we shall prove in Sections 3 and 4 may be understood as the properties of the non-degenerate cyclic elements of the spaces  $X \in \alpha$  (of the spaces  $X \in \alpha_0$ ).

Now, we shall prove four simple propositions, which will be useful in the subsequent sections.

(2.1) Let X be a locally connected space and let  $F_i = \overline{F}_i \subset X$  for i = 1, 2, ...Then  $\operatorname{Bd}(\bigcap_{i=1}^{\infty} F_i) \subset \bigcup_{i=1}^{\infty} \operatorname{Bd}(F_i) \cap \bigcap_{i=1}^{\infty} F_i$ .

Using the inclusion given in [12], (p. 168), we have:

$$\operatorname{Bd}(\bigcap_{i=1}^{\infty} F_i) = \operatorname{Bd}(X - \bigcap_{i=1}^{\infty} F_i) = \operatorname{Bd}(\bigcup_{i=1}^{\infty} X - F_i) \subset \overline{\bigcup_{i=1}^{\infty} \operatorname{Bd}(X - F_i)}$$
$$= \overline{\bigcup_{i=1}^{\infty} \operatorname{Bd}(F_i)},$$

which implies the required inclusion, because  $F_i$  are closed sets.

(2.2) Let X be a locally connected continuum and let F be a finite subset of X containing more than one point and such that X-F is connected. Then there is a tree  $T \subset X$  such that  $T \supset F$ , T-F is connected and such that, for each  $x \in T$ , every component of T-(x) intersects F (i.e. such that the set of the end-points of T is equal to F).

One can easily prove this proposition by induction with respect to the number of the points of the set F.

(2.3) Let X be a locally connected continuum and let  $U \subseteq X$  be a region (i.e. a subset of X both open and connected) such that  $\operatorname{Bd}(U)$  is a finite set. Then  $\overline{U}$  is a retract of X.

By [12] (p. 170),  $\overline{U}$  is a locally connected continuum. By (2.2), there is a tree  $T\subset \overline{U}$  (which can degenerate to a point) such that  $T\supset \operatorname{Bd}(U)$ . Since  $T\in A\mathbb{R}$ , there is a retraction r of the set  $(X-U)\cup T$  onto T. Since  $((X-U)\cup T)\cap \overline{U}=\operatorname{Bd}(U)\cup T=T$ , it follows that the function  $s\colon X\to \overline{U}$  defined as

$$s(x) = egin{cases} x & ext{if} & x \in \overline{U} \ , \ r(x) & ext{if} & x \in (X-U) \cup T \end{cases}$$

is a retraction of X onto  $\overline{U}$ .

(2.4) Let X be a cyclic locally connected continuum. Suppose that  $U \subset X$  is a region,  $x_n \in U$  for n = 1, 2, ... and let  $U_n$  be a component of  $U - (x_n)$ . If  $U_n \cap U_m = \emptyset$  for  $n \neq m$  and  $\lim_{n \to \infty} x_n = x_0$ , then  $x_0 \notin U$ .

On the contrary, suppose that  $x_0 \in U$ . Let V be any open neighbourhood of  $x_0$  in X such that  $\overline{V} \subset U$ . We can assume that, for  $n = 1, 2, ..., x_n \in V$ , whence  $U_n \cap V \neq \emptyset$ . Since X is a cyclic space, no  $U_n$  is a component of  $X - (x_n)$ , and therefore  $U_n \cap (X - V) \neq \emptyset$ . Consequently,  $U_n \cap Bd(V) \neq \emptyset$ , because  $U_n$  is connected. Now, this is impossible, since Bd(V) is compact and the sets  $U_n$  (n = 1, 2, ...) are disjoint.

- 3. Some properties of the set  $L_X$  in any space  $X \in \alpha'$ . In this section we shall consider a fixed space  $X \in \alpha'$ . Let us fix for the space X a number  $\varepsilon > 0$  with the property mentioned in the definition of the class  $\alpha'$ .
- (3.1) If  $U \subset X$  is a region with  $\delta(U) < \varepsilon$  and  $x_0 \in U \cap L_X$ , then  $x_0$  separates U. Moreover, if V is a region such that  $x_0 \in V \subset U$  and  $x_0$  separates V between two points  $x_1, x_2 \in V (x_0)$ , then  $x_0$  separates U between these points.

Evidently, it suffices to prove the second statement of (3.1). Suppose, contrary to this statement, that the points  $x_1$  and  $x_2$  belong to one component  $U_0$  of  $U-(x_0)$ . Since  $U_0$  is a region, there is an arc  $J \subset U_0$  such that  $J=(x_1) \cup (x_2)$ . Since V is also a region containing  $x_1$  and  $x_2$ , there is an arc  $I \subset V$  such that  $I=(x_1) \cup (x_2)$ . Then  $x_0 \in I$ , because  $x_0$  separates V between the points  $x_1$  and  $x_2$ . Thus, replacing the arcs I and J by their sub-arcs if necessary, we can assume that the set  $C=I \cup J$  is a simple closed curve and  $x_0 \in I$ . Since  $x_0$  separates V between the points  $x_1$  and  $x_2$ ,

there are two open sets  $V_1$  and  $V_2$  such that  $V - (x_0) = V_1 \cup V_2$ ,  $V_1 \cap V_2 = \emptyset$ ,  $x_1 \in V_1$  and  $x_2 \in V_2$ . Denote the components of  $I - (x_0)$  by  $I_1$  and  $I_2$ . We can assume that  $I_i \subset V_i$  for i = 1, 2. Now, let F denote a closed neighbourhood of  $x_0$  in X such that  $F \subset V$  and  $F \cap J = \emptyset$ . Let  $F_i = F \cap V_i$  for i = 1, 2. Then  $F - (x_0) = F_1 \cup F_2$ ,  $F_1 \cap F_2 = \emptyset$ ,  $\overline{F}_i = F_i \cup (x_0)$ ,  $I_1 \cap F_2 = \emptyset$  and  $I_2 \cap F_1 = \emptyset$ .

Since  $x_0 \in \operatorname{Int}(F)$ , it follows that  $\operatorname{Bd}(F)$  is a compact subset of  $F_1 \cup F_2$ . Let  $F'_i = \operatorname{Bd}(F) \cap F_i = \operatorname{Bd}(F) \cap \overline{F}_i$ . Since  $F'_i \cap I_i = F'_i \cap \overline{I}_i$  is a compact subset of  $I_i$ , there is an arc  $I'_i$  such that  $F'_i \cap I_i \subset I'_i \subset I_i$ . Evidently, there is a retraction  $r_i$  of  $F'_i \cup I'_i$  onto  $I'_i$ . Since  $F'_i$  and  $F'_2$  are compact disjoint subsets of F and  $F'_i \cap I = F'_i \cap I_i \subset I'_i$ , it follows that the map  $r \colon \operatorname{Bd}(F) \cup I = F'_1 \cup F'_2 \cup I \to I$  defined as follows

$$r(x) = egin{cases} r_1(x) & ext{if} & x \in F_1' \ r_2(x) & ext{if} & x \in F_2' \ x & ext{if} & x \in I \end{cases},$$

can be extended to a retraction  $\bar{r}$  of  $F \cup I$  onto I.

Now, since  $I_1' \subset I_1$ ,  $I_2' \subset I_2$  and J are arcs lying on the simple closed curve  $C = I \cup J$  such that  $x_0 \notin I_1' \cup I_2' \cup J$ , we infer that there is an arc  $K \subset C - (x_0)$  such that  $K \supset I_1' \cup I_2' \cup J$ . The function  $s \colon \operatorname{Bd}(F) \cup K \to K$  defined as

$$s(x) = egin{cases} ar{r}(x) & ext{if} & x \in \operatorname{Bd}(F) \ x & ext{if} & x \in K \end{cases}$$

is a map, because  $\operatorname{Bd}(F) \cap K \subset F \cap C \subset I$ , since  $F \cap J = \emptyset$ . Thus, the map s can be extended to a retraction  $\overline{s}$  of the set  $\overline{X-F} \cup K$  onto K. Finally, consider the function  $t \colon X \to C$  defined as follows:

$$t(x) = egin{cases} ar{r}(x) & ext{if} & x \in F \cup I \ ar{s}(x) & ext{if} & x \in \overline{X} - F \cup K \end{cases}.$$

To prove that t is a map, notice that  $(F \cup I) \cap (\overline{X-F} \cup K) \subset \operatorname{Bd}(F) \cup C$ . If  $x \in \operatorname{Bd}(F)$ , then  $\overline{s}(x) = s(x) = \overline{r}(x)$ . If  $x \in C \cap (F \cup I)$ , then  $\overline{r}(x) = r(x) = x$ , because  $C \cap (F \cup I) = (I \cup J) \cap (F \cup I) = I$ , as  $F \cap J = \emptyset$ . If  $x \in C \cap (\overline{X-F} \cup K)$ , then  $\overline{s}(x) = s(x) = x$ , because  $C \cap (\overline{X-F} \cup K) = K$ , as  $C - K \subset \operatorname{Int}(F)$  (since C - K is a connected set containing  $x_0 \in \operatorname{Int}(F)$  and  $(C - K) \cap \operatorname{Bd}(F) \subset (I - I_1' - I_2') \cap (F_1' \cup F_2') \subset [F_1' \cap (I - I_1')] \cup [F_2' \cap (I - I_2')] = \emptyset$ ). Since  $C = I \cup J \subset I \cup K \subset C$ , which implies  $I \cup K = C$ , and since t(x) = x for  $x \in I \cup K$ , the map t is a retraction of X onto C. Thus, we have obtained a contradiction with the definition of the number  $\varepsilon$ , because  $C = I \cup J \subset U$  and  $\delta(U) < \varepsilon$ .

(3.2) Let  $U \subset X$  be a region such that  $\delta(U) < \varepsilon$  and let  $\{x_n\}_{n=1}^{\infty}$  be a sequence of points such that  $\lim_{n=\infty} x_n = x_0 \in U$  and  $x_0 \neq x_n \in L_X$  for  $n=1,2,\ldots$  Then there exists a subsequence  $\{x_n\}_{n=1}^{\infty}$  such that for each k>1 the point  $x_{n_k}$  separates U between the points  $x_{n_{k-1}}$  and  $x_0$ .

By (2.4), the set  $U-(x_0)$  cannot have infinitely many components, and therefore, replacing the sequence  $\{x_n\}_{n=1}^{\infty}$  by a subsequence if necessary, we can assume that all points  $x_n$  lie in one component of  $U-(x_0)$ . We also assume that all points  $x_n$  are distinct.

We shall construct the sequence  $y_k = x_{n_k}$ , k = 1, 2, ..., by induction. Let  $y_1 = x_1$ . Consider an m > 1 and suppose that the points  $y_1, \ldots, y_{m-1}$ have been defined. Set  $n_0 = n_{m-1}$ . Suppose that the point  $y_m$  cannot be found, i.e., that there is no  $n > n_0$  such that  $x_n$  separates U between  $y_{m-1}$  and  $x_0$ . Since  $x_n \in L_X \cap U$  and  $\delta(U) < \varepsilon$ , it follows from (3.1) that  $x_n$ separates U. Thus, for each  $n > n_0$ , there is a component  $U_n$  of  $U - (x_n)$ containing neither  $y_{m-1}$  nor  $x_0$ . Since  $\lim x_n = x_0$ , we can assume, replacing the sequence  $x_{n_0+1}, x_{n_0+2}, \dots$  by a subsequence if necessary, that for each fixed  $n_1 > n_0$  the set  $U_{n_1}$  does not contain any point  $x_n$  for  $n > n_1$ . Thus, if  $q > p > n_0$ , then  $U_p$  is a connected subset of  $U - (x_q)$ , whence either  $U_p \subset U_q$  or  $U_p \cap U_q = \emptyset$ . Since  $\lim x_n = x_0 \in U$ , it follows from (2.4) that there exist only finitely many regions  $U_n$  (where  $n > n_0$ ) which are disjoint to one another. Consequently, replacing again the sequence  $x_{n_0+1}, x_{n_0+2}, \dots$  by a subsequence if necessary, we can assume that  $U_n \subset U_{n+1}$  for each  $n > n_0$ . Then  $x_n \in U_{n+1}$ , because  $U_n \cup (x_n)$  is a connected subset of  $U-(x_{n+1})$ .

Let  $U_0 = \bigcup [U_n| \ n > n_0]$ . Then  $U_0$  is a subregion of U which contains neither  $y_{m-1} = x_{n_0}$  nor  $x_0$ , but which contains all points  $x_n$  for  $n > n_0$ . Since U—as a region in X—is locally connected, considering U as a space, we have  $\mathrm{Bd}(U_0) \subset \overline{\bigcup \mathrm{Bd}(\overline{U_n})} - U_0$  (cf. [12], p. 168), whence  $\mathrm{Bd}(U_0) = (x_0)$ , because  $\mathrm{Bd}(U_n) = (x_n) \subset U_0$ . Consequently,  $U_0$  is a component of  $U - (x_0)$  such that  $x_{n_0+1} \in U_0$  and  $x_{n_0} \notin U_0$ , which contradicts the assumption that all points  $x_n$  are contained in one component of  $U - (x_0)$ , which has been made at the beginning of the proof. Thus the point  $y_m = x_{n_m}$  with the required property can be found, which completes the proof.

(3.3) Let be given an  $\eta > 0$  and let  $\{x_n\}_{n=1}^{\infty}$  be a sequence of points such that  $\lim_{n=\infty} x_n = x_0$  and  $x_0 \neq x_n \in L_X$  for n=1,2,... Then there are three indices k,l,m such that  $1 \leq k < l < m$  and such that the set  $(x_1) \cup (x_0)$  separates X between the points  $x_k$  and  $x_m$ , the diameter of the component of  $X - (x_1) - (x_0)$  containing  $x_m$  being less than  $\eta$ .

Let  $U \subset X$  be a region with  $\delta(U) < \min(\varepsilon, \eta)$  containing  $x_0$ . By (3.2), replacing the sequence  $\{x_n\}_{n=1}^{\infty}$  by a subsequence if necessary, we can assume that  $x_n \in U$  for n=1,2,... and that for each n>1 the point  $x_n$  separates U between the points  $x_{n-1}$  and  $x_0$ . It follows that for each  $n \ge 1$  the points  $x_n$  and  $x_{n+1}$  lie in the same component of  $U-(x_0)$ , and therefore there is a component C of  $U-(x_0)$  containing all these points. Since, for  $n=1,2,..., x_n \in C \cap L_X$  and  $\delta(C) \le \delta(U) < \varepsilon$ , we infer from (3.1) that  $x_n$  separates C. By (2.4),  $C-(x_n)$  has only a finite number of components, and therefore there is a component  $U_n$  of  $C-(x_n)$  such that  $x_0 \in \overline{U}_n$ . Then the boundary of  $U_n$  in U is equal to  $(x_n) \cup (x_0)$ . Since, for each  $n \ge 1$ ,  $x_{n+1}$  separates U between the points  $x_n$  and  $x_0$ , it follows that  $x_{n+1} \in U_n$  and  $x_{n-1} \notin U_n$ .

We shall prove that there is an index  $n_0>1$  such that  $\overline{U}_{n_0}\subset U$ , i.e., such that the boundary of  $U_{n_0}$  in X is equal to  $(x_{n_0})\cup (x_0)$ . Then, setting  $k=n_0-1, l=n_0$  and  $m=n_0+1$ , we shall immediately obtain (3.3). For this purpose let  $F_n=\overline{U}_n\cap U$  and  $F=\bigcap_{n=1}^\infty F_n=U\cap\bigcap_{n=1}^\infty \overline{U}_n$ . Then none of the points  $x_n$  (n=1,2,...) belongs to F. Considering U as a space and using (2.1), we have  $\operatorname{Bd}(F)\subset \bigcup_{n=1}^\infty x_n\cap F=(x_0)$ . Consequently,  $F=(x_0)$ , because F cannot contain any component of  $U-(x_0)$ . Now, observe that the formula  $x_{n+1}\in U_n$  implies that  $U_{n+1}\subset U_n$ , because  $U_{n+1}\cup (x_{n+1})$  is a connected subset of  $U-(x_n)$  and  $U_n$  is a component of  $U-(x_n)$ . Consequently, the sets  $U_n$  form a decreasing sequence of continua, and therefore  $\bigcap_{n=1}^\infty \overline{U}_n$  is a continuum. Since, as we have proved,  $F=U\cap\bigcap_{n=1}^\infty \overline{U}_n=(x_0)$  and U is a neighbourhood of  $x_0$ , we conclude that  $\bigcap_{n=1}^\infty \overline{U}_n=(x_0)$ . Thus, there is an index  $n_0>1$  such that  $\overline{U}_{n_0}\subset U$ , which completes the proof.

(3.4)  $L_X$  is a closed subset of X.

Suppose that, on the contrary, there is a sequence of distinct points  $x_n \in L_X$ , n=1,2,..., such that  $\lim_{n=\infty} x_n = x_0 \in X - L_X$ . By (3.3), there is an index l>1 such that the set  $(x_1) \cup (x_0)$  separates X. Since X is a cyclic space, the point  $x_0$  belongs to the boundary of each component of  $X - -(x_l) - (x_0)$ . Thus, if  $U \subset X$  is a region such that  $x_0 \in U$  and  $x_l \notin U$ , then  $x_0$  separates U. Consequently,  $x_0 \in L_X$ .

(3.5) If E is a component of  $X-L_X$ , then the set Bd(E) contains (at most) finitely many points.

Suppose that (3.5) is not true. Then there is a sequence of distinct points  $x_n \in \operatorname{Bd}(E)$ , n=1,2,..., such that  $\lim_{n\to\infty} x_n \in \operatorname{Bd}(E)$ . By (3.4), E is open and therefore  $\operatorname{Bd}(E) \subset L_X$ . Thus  $x_n \in L_X$  and, by (3.3), there are three indices k, l, m such that  $1 \leq k < l < m$  and such that the set  $(x_l) \cup (x_0)$  separates X between the points  $x_k$  and  $x_m$ . Now, this is impossible, because  $E \cup (x_k) \cup (x_m)$  is a connected subset of  $X - (x_l) - (x_0)$ .

4. The strongly cyclic elements of a space  $X \in a$ . As mentioned in Introduction, A. Kosiński in [10] has defined the strongly cyclic elements of a space X and has formulated some of their properties for  $X \in ANR$ . Now, we shall recall the definition of Kosiński, first assuming only that X is a locally connected continuum.

The strongly cyclic elements (abbreviated to s.c.e.'s) of a space X are the following sets:

1° For every point  $x \in L_X$ , the set (x).

 $2^{\mathbf{o}}$  For every point  $a \in X - L_X$ , the set  $E_a$  consisting of all points  $x \in X$  such that no finite subset F of X - (x) - (a) separates X between the points x and a.

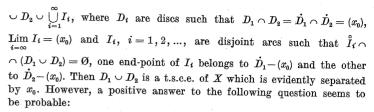
It is clear that the s.c.e.'s of X cover X and that this covering is a refinement of the covering of X by cyclic elements. Notice also that in the definition of the set  $E_a$  we can restrict ourselves to the consideration of the sets F which separate X irreducibly between x and a. Such a set F is contained in the closure of each component of X-F, which implies that  $F \subset L_X$ , because if  $x \in F$ , then x separates each region  $U \subset X$  such that  $x \in U \subset (X-F) \cup (x)$ . Thus, in the sequel we shall assume that the set F considered in  $2^o$  is a subset of  $L_X$ . It follows that  $b \in E_a - L_X$  implies that  $E_b = E_a$ . Indeed, if  $x \in E_a$ , then for every finite set  $F \subset L_X - (x)$  the points a, x and b lie in one component of X-F, which implies  $x \in E_b$  and, similarly,  $x \in E_b$  implies  $x \in E_a$ .

A connected space X containing more than one point will be called strongly cyclic if X is not separated by any finite set  $F \subset X$ . Thus  $L_X = \emptyset$  implies that X is strongly cyclic, but not conversely. The s.c.e. of X which contain more than one point will be called the true strongly cyclic elements and abbreviated to t.s.c.e.'s

The following proposition is obvious.

(4.1) If  $a \in X - L_X$  and A is a strongly cyclic subset of X containing the point a, then  $A \subset E_a$ .

Remark 1. We shall prove next (see (4.11)) that the t.s.c.e.'s of a space  $X \in a'$  are subsets of X maximal with respect to the property of being strongly cyclic spaces. The following example shows that this is not so for arbitrary locally connected cyclic continua. Let  $X = D_1 \cup$ 



PROBLEM. Let X be any locally connected continuum. Is each s.c.e. of X a retract of X? (If  $X \in a$ , then the answer is positive by (4.4).)

Remark 2. It can easily be proved that a connected polyhedron P (containing more than one point) is strongly cyclic if and only if for each triangulation  $\mathcal E$  of P each 1-simplex of  $\mathcal E$  is a face of a 2-simplex of  $\mathcal E$  and if P is strongly connected in dimension 2, this means that for each triangulation  $\mathcal E$  of P and for each pair of two simplexes P0, P1 there is in P2 a finite sequence of two simplexes the first of which is P2 and the second P3 such that simplexes with successive indices intersect in a common 1-dimensional face. Thus, the t.s.c.e. of a connected polyhedron P3 are the subpolyhedra of P3 maximal with respect to that property.

In the sequel of this section we shall consider a fixed space  $X \in \alpha'$  and we shall assume that the respective number  $\varepsilon > 0$  is fixed. Since the cyclic elements of a space  $X \in \alpha$  belong to  $\alpha'$ , the results are applicable to such (non-degenerate) cyclic elements.

(4.2) The t.s.c.e.'s of X coincide with the closures of the components of  $X-L_X$ .

Let  $a \in X - L_X$  and let E denote the component of  $X - L_X$  containing the point a. We shall prove that  $\overline{E} = E_a$ . Indeed, if  $x \in \overline{E}$ , then no finite subset of  $L_X - (x)$  can separate X between x and a, whence  $x \in E_a$ . On the other hand, if  $x \in X - \overline{E}$ , then, by (3.4), the set  $\mathrm{Bd}(E)$  separates X between x and  $a \in E = \mathrm{Int}(E)$ . This set is finite by (3.5), and therefore  $x \notin E_a$ , which completes the proof.

(4.3) If E is a t.s.c.e. of X, then the set  $E \cap L_X$  is finite and it does not  $\frac{separate}{\overline{\operatorname{Bd}(E)}} \geqslant 2$ .

It follows immediately from (3.4), (3.5) and (4.2) that the set  $E \cap L_X$  is finite, does not separate E and contains  $\mathrm{Bd}(E)$ . Now, if  $x \in E - L_X$ , then  $E - L_X$  is a neighbourhood of x in X (and in E), and therefore  $x \notin L_E$ , as  $x \notin L_X$ . Thus  $L_E \subset E \cap L_X$ . If  $E \neq X$ , then  $\mathrm{Bd}(E) \neq \emptyset$ , and it contains more than one point, because X is a cyclic space.

(4.4) If E is a t.s.c.e. of X, then E is a retract of X. Consequently, E is a locally connected continuum (moreover,  $E \in a$ ) and if  $X \in ANR$ , then also  $E \in ANR$ .

This is an immediate consequence of (2.3), (4.2) and (4.3).

(4.5) If \hat{\hat{E}} is the union of a finite number of t.s.c.e.'s of X, then X\(-\hat{\hat{E}}\) has (at most) a finite number of components, the boundary of each one being a finite subset of \hat{\hat{E}} and the closure of each one being a retract of X.

If C is a component of  $X - \hat{E}$ , then, by (4.3),  $\operatorname{Bd}(C)$  is finite as a subset of the finite set  $\operatorname{Bd}(\hat{E})$  and, by (2.3),  $\overline{C}$  is a retract of X. Suppose that there is an infinite sequence  $C_1, C_2, \ldots$  of components of  $X - \hat{E}$ . Since the set  $\operatorname{Bd}(\hat{E})$  has only a finite number of subsets, we can assume that  $\operatorname{Bd}(C_i) = \operatorname{Bd}(C_j)$  for all i and j. Since X is a cyclic space,  $\operatorname{Bd}(C_i)$  contains at least two points. Let

$$\eta = \min[\varrho(x, y) | x \neq y; x, y \in \operatorname{Bd}(C_i)].$$

Since  $\bar{C}_i$  is connected, there is a point  $x_i \in C_i$  such that  $\varrho(x, x_i) \geqslant \eta/2$  for each point  $x \in \mathrm{Bd}(C_i)$ . Let  $x_0$  denote the limit of a subsequence of the sequence  $\{x_i\}_{i=1}^{\infty}$ . Then  $x_0 \in \mathrm{Ls}(C_i) - \mathrm{Ls}(\mathrm{Bd}(C_i))$ , which is a contradiction by [12], p. 169.

(4.6) If  $E_1, E_2, ...$  is a sequence of distinct t.s.c.e.'s of X, then  $\lim_{n \to \infty} \delta(E_n) = 0$ . Consequently, the set of the t.s.c.e.'s of X is at most countable.

First notice that the set  $\bigcup_{i=1}^{\infty} \operatorname{Bd}(E_i)$  must be infinite. Indeed, if it is not so, then, replacing the sequence  $\{E_i\}_{i=1}^{\infty}$  by a subsequence if necessary, we can assume that  $\operatorname{Bd}(E_i) = \operatorname{Bd}(E_i)$  for all i. Thus the sets  $\operatorname{Int}(E_i)$ , i=2,3,..., are distinct components of  $X-E_1$ , which is impossible by (4.5).

Now, suppose that (4.6) is not true. Then we can assume that there is a number  $\eta>0$  such that  $\delta(E_i)\geqslant \eta$  for i=1,2,... Since each set  $\operatorname{Bd}(E_i)$  is finite and the set  $\bigcup_{i=1}^{\infty}\operatorname{Bd}(E_i)$  is infinite, replacing the sequence  $\{E_i\}_{i=1}^{\infty}$  by a subsequence if necessary, we can assume that there is a sequence of points  $x_i\in\operatorname{Bd}(E_i)-\bigcup_{j< i}\operatorname{Bd}(E_j),\ i=1,2,...$  Thus,  $x_i\neq x_j$  for  $i\neq j$  and, by  $(4.3),\ x_i\in L_X$ . We can assume that  $\lim_{i=\infty}x_i=x_0$ . By (3.3), there are three indices k,l,m such that  $1\leqslant k< l< m$  and such that the set  $(x_l)\cup(x_0)$  separates X between the points  $x_k$  and  $x_m$ , the diameter of the component C of  $X-(x_l)-(x_0)$  containing the point  $x_m$  being less than  $\eta$ . By  $(3.4),\ x_0\in L_X$  and, by  $(4.2),\ E_m-(x_0)-(x_l)$  is a connected subset of  $X-(x_l)-(x_0)$  containing the point  $x_m$ . Consequently,  $E_m\subset \overline{C}$ , and therefore  $\delta(E_m)\leqslant \delta(C)<\eta$ , which yields a contradiction.



First, notice that the set  $S \cap L_X$  must be finite. Indeed, if it is not so, then there is a sequence of distinct points  $x_i \in S \cap L_X$ , i = 1, 2, ..., such that  $\lim_{t \to \infty} x_i = x_0 \in S$ . By (3.3), there are three indices k, l, m such that  $1 \leq k < l < m$  and such that the set  $(x_l) \cup (x_0)$  separates X between the points  $x_k$  and  $x_m$ . Let A and K denote respectively the closures of the components of  $X - (x_l) - (x_0)$  and of  $S - (x_l) - (x_0)$  containing  $x_k$ . Evidently, there are a retraction  $r_1$  of A onto K and a retraction  $r_2$  of  $\overline{X - A}$  onto  $\overline{S - K}$ . Since  $A \cap \overline{X - A} = (x_l) \cup (x_0) = K \cap \overline{S - K}$ , the retractions  $r_1$  and  $r_2$  determine a retraction r of X onto S, which contradicts the definition of the number  $\varepsilon$ , because  $\delta(S) < \varepsilon$ .

Now, suppose that (4.7) is not true. Then, by (4.2), there are two components  $L_1$  and  $L_2$  of  $S-L_X$  which lie in different components of  $X-L_X$  and for which  $\bar{L}_1 \cap \bar{L}_2 \neq \emptyset$ . Let  $x_0 \in \bar{L}_1 \cap \bar{L}_2$  and let E denote the component of  $X-L_X$  containing  $L_1$ . Thus, if  $V \subset X$  is any region such that  $V \cap \operatorname{Bd}(E) = (x_0)$ , then  $x_0$  separates V between some two points of which one belongs to  $L_1$  and the other to  $L_2$ . On the other hand, since  $\delta(S) < \varepsilon$ , there is a region  $U \subset X$  such that  $U \supset S$  and  $\delta(U) < \varepsilon$ . Then  $x_0$  cannot separate U between any two points belonging to  $S-(x_0)$ . Thus we have obtained a contradiction with (3.1).

(4.8) If  $Y \in a_0'$  (specially, if Y is a cyclic AR), then  $L_Y = \emptyset$  and the only s.c.e. of Y is equal to Y. Consequently, if E is a t.s.c.e. of X such that  $\delta(E) < \varepsilon$ , then  $E \in a_0'$ ,  $L_E = \emptyset$  and  $L_X \cap E = \operatorname{Bd}(E)$ .

Suppose that, contrary to (4.8), there is a point  $y \in L_Y$ . Since, for the space Y, we can assume that  $\varepsilon = \delta(Y) + 1$ , it follows from (3.1) that y separates Y. Now, this contradicts the assumption that Y is a cyclic space. If E is a t.s.c.e. of X such that  $\delta(E) < \varepsilon$ , then, by (4.4),  $E \in \alpha_0$  and, by (4.3), E is a cyclic space, whence  $E \in \alpha'_0$ . By (4.3), E is a cyclic space, whence  $E \in \alpha'_0$ . By (4.3), E is a cyclic space, whence  $E \in \alpha'_0$ .

- (4.9) Let  $E_1, E_2, \ldots$  denote the sequence (finite or not) of all t.s.c.e.'s of X. Then, for each i, there is a tree  $T_i \subset E_i$  such that the set of the endpoints of  $T_i$  is equal to  $\mathrm{Bd}(E_i)$  (if  $\mathrm{Bd}(E_i) = \emptyset$  then  $T_i = \emptyset$ ), the set  $G = L_X \cup \bigcup_i T_i$  being a graph (or the empty set). Consequently,  $L_X$  is a closed subset of a graph  $G \subset X$  and, if  $X = L_X$ , then X is a graph.
  - (2.2) and (4.3) imply the existence of the trees  $T_i$ . Let

$$G_0 = [L_X - igcup_i \operatorname{Int}(E_i)] \cup igcup_i T_i$$
 .

If  $r_i$  is a retraction of  $E_i$  onto  $T_i$ , then, by (4.6), the function  $r: X \to G_0$  defined as

$$r(x) = \left\{ egin{array}{ll} x & ext{if} & x \in L_X - igcup_i ext{Int}(E_i) \ , \ \\ r_i(x) & ext{if} & x \in E_i \end{array} 
ight.$$

is a retraction of X onto  $G_0$ . Consequently,  $G_0$  is a locally connected continuum. Since  $G_0 \cap E_i = T_i$ , it follows from (4.7) that  $G_0$  contains no simple closed curve S such that  $\delta(S) < \varepsilon$ , and therefore  $G_0$  is a local dendrite. Since X is a cyclic space, X has no end-point. Consequently, one can also easily show that  $G_0$  has no end-point, and therefore  $G_0$  must be a graph.

Now, it follows from (4.3), (4.6) and (4.8) that the set  $L_X \cap \bigcup_i \operatorname{Int}(E_i)$  is finite. Since G is the union of  $G_0$  and of that set, we conclude that G is a graph.

(4.10) If E is a t.s.c.e. of X and if the diameter of E is sufficiently small, then the set  $\operatorname{Bd}(E) = E \cap L_X$  contains exactly two points.

By (4.3) and (4.8), if  $\delta(E)$  is sufficiently small, then  $\mathrm{Bd}(E)=E \cap L_X$  and  $\overline{\mathrm{Bd}(E)}\geqslant 2$ . If (4.10) is not true, then infinitely many trees  $T_4$  described in (4.9) must contain ramification points and therefore the graph G from (4.9) contains infinitely many ramification points, which yields a contradiction.

(4.11) The t.s.c.e.'s of X coincide with the subsets of X which are maximal with respect to the property of being strongly cyclic spaces.

Let A be a strongly cyclic subset of X. Since no graph contains a strongly cyclic subset, it follows from (4.9) that  $A - L_X \neq \emptyset$ . Fix a point  $a \in A - L_X$ . Then, by (4.1),  $A \subset E_a$ . On the other hand, it follows from (4.3) that  $E_a$  is a strongly cyclic space.

(4.12) If  $X \in ANR$ , then all the s.c.e.'s of X are ANR-sets and almost all are AR-sets.

It follows from [4], p. 101 that there is a number  $\delta > 0$  such that each subset of X of diameter less than  $\delta$  is contractible in X. By (4.6), this holds for almost all s.c.e.'s of X. By (4.4), all s.c.e. of X are retracts of X, and therefore almost all of them are contractible in themselves. Consequently, (4.12) follows from [4], p. 96.

Conversely, we shall prove that:

(4.13) If  $X \in a$  (we do not assume that X is a cyclic space) and if all s.c.e.'s of X are ANR-sets and almost all are AR-sets, then X is also an ANR-set.



Let Z be a non-degenerate cyclic element of X. Let  $G \subset Z$  denote the graph constructed for Z as described in (4.9). Consider an arc  $I \subset G$ . Let A(I) denote the union of I and of all t.s.c.e.'s E of Z such that  $E \in AR$  and  $E \cap G = E \cap I$ . It follows from (4.9) that  $E \cap I$  is a subarc of I and if  $E, E' \subset A(I)$  are two different t.s.c.e.'s of Z such that  $E \cap E' \neq \emptyset$ , then  $E \cap E'$  is a point which is an end-point of both arcs  $E \cap I$  and  $E' \cap I$ . Thus, each t.s.c.e.  $E \subset A(I)$  is a cyclic element of A(I). Consequently, A(I) is a locally connected continuum all cyclic elements of which are AR-sets. Hence, Borsuk's theorem [3] implies that  $A(I) \in AR$ . Let A denote the subset of Z which is the union of G and of all t.s.c.e.'s E of Z such that  $E \in AR$  and  $E \cap G$  is an arc. Then there is a finite number of arcs  $I_1, \ldots, I_n \subset G$  such that  $I_i \cap I_j = \dot{I}_i \cap \dot{I}_j$ , for  $i \neq j$  and such that  $A = G \cup \bigcup_{i=1}^{n} A(I_i)$ . Since  $A(I_i) \cap A(I_j) = I_i \cap I_j$ , for  $i \neq j$ , we infer from the addition theorem for ANR-sets (see [4], p. 90) that  $A \in ANR$ . It follows from (4.9), (4.10) and from the assumptions of (4.13) that there is only a finite number of t.s.c.e.'s of Z which are not contained in A. Since Z is the union of A and of all these remaining t.s.c.e.'s of Z and since each such a t.s.c.e. intersect A on a subgraph of G, we conclude (using once more the addition theorem for ANR-sets) that  $Z \in ANR$ .

Thus all non-degenerate cyclic elements of X are ANR-sets. It follows from [12] (p. 238, No. 9 and p. 263, No. 15) that almost all of them belong to  $\alpha'_0$ , and therefore, by (4.8), they are t.s.c.e.'s of X. Consequently, all cyclic elements of X are ANR-sets and almost all are AR-sets, which, by an easy extension of Borsuk's theorem [3] (see [18], p. 292), implies that X is an ANR-set.

Remark. Let us notice that (4.13) without the assumption that  $X \in \alpha$  is false. Actually, all s.c.e.'s of the space X from the Remark 1 below (4.1) are AR-sets, but X is not an ANR.

- 5. A topological characterization of strongly cyclic polyhedra which are embeddable in  $S^2$ . First, notice that:
- (5.1) If X is a cyclic locally connected continuum which does not contain any homeomorphic images of the graph of Kuratowski  $K_1$ , then X contains no 2-umbrella.

Otherwise, suppose that there are a disk  $Q \subset X$  and an arc  $L \subset X$  such that  $Q \cap L = (p_0)$  and  $p_0 \in \mathring{Q} \cap L$ . Since X is a cyclic space, the set  $X - (p_0)$  is arcwise connected, and therefore there is an arc  $K \subset X - (p_0)$  such that  $K = (p_1) \cup (p_2)$ , where  $p_1 \in L - (p_0)$  and  $p_2 \in Q - (p_0)$ . Replacing the arc K by a subarc if necessary, we can assume that  $\mathring{K} \cap (L \cup Q) = \emptyset$ . Then it is easily seen that the set  $Q \cup L \cup K \subset X$  contains a homeomorphic image of the graph  $K_1$ , which yields a contradiction.

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Suppose that  $S^2$  has the polyhedral structure determined by a homeomorphism of  $S^2$  with the boundary of a 3-simplex. The following theorem is the main result of this section.

Theorem 2. Let X be a strongly cyclic space. Then X is homeomorphic with a polyhedron  $P \subset S^2$  if and only if X satisfies the following conditions:

1º  $X \in \alpha$ .

 $2^{\circ}$  X contains no homeomorphic images of the graphs  $K_1$  and  $K_2$ .

The necessity of these conditions is obvious. In order to prove that they are also sufficient we shall first establish a lemma, which provides a characterization of a disk. This lemma can easily be deduced from Claytor's results [6] and [7], but we shall give here an independent proof.

LEMMA 1. Let  $X_{\bullet}$  be a cyclic locally connected continuum which does not contain any homeomorphic images of the graph  $K_1$ . Suppose that there is a simple closed curve  $S \subset X$  such that S does not separate X and S is not a retract of X. Then X is a disk (whose boundary is equal to S).

Proof. An arc  $L \subset X$  will be said to span S if  $\dot{L} \subset S$  and  $\dot{L} \subset X - S$ . First, notice that X contains at least one such an arc. Indeed, it follows easily from the assumptions that X - S is connected and non-empty that  $\mathrm{Bd}(X-S)$  contains at least two points. By [12], p. 194, the set of the points belonging to  $\mathrm{Bd}(X-S)$  which are accessible from X-S is a dense subset of  $\mathrm{Bd}(X-S)$ . Thus, there are two different points  $x_1, x_2 \in S$  which are accessible from X-S. Since X-S is arcwise connected, it is easy to see that there is an arc  $L \subset X$  such that  $\dot{L} = (x_1) \cup (x_2)$  and  $\dot{L} \subset X - S$ , which implies that L spans S. By the van Kampen characterization of a disk (see [9], p. 80), it remains to show that each arc  $L \subset X$  spanning S irreducibly separates X.

First, we shall prove that:

(5.2) Each arc  $L \subset X$  spanning S separates X between the components of S-L.

Suppose that (5.2) does not hold. Then there is a component C of X-L containing S-L. Since C is arcwise connected, there is an  $\operatorname{arc} L_1 \subset C \subset X-L$  joining a point belonging to one component of S-L with a point belonging to the other. Since  $L_1 \cap S = L_1 \cap (S-L)$ , replacing the arc  $L_1$  by a subarc if necessary, we can assume that the arc  $L_1$  spans S. Thus  $\mathring{L} \cup \mathring{L}_1 \subset X-S$ . Since S does not separate X, there is an arc  $L_2 \subset X-S$  joining a point belonging to  $\mathring{L}$  with a point belonging to  $\mathring{L}_1$ . Replacing also the arc  $L_2$  by a subarc if necessary, we can assume that  $\mathring{L}_2 \cap (L \cup L_1) = \emptyset$ . It is easily seen that the set  $S \cup L \cup L_1 \cup L_2 \subset X$  is homeomorphic with the graph  $K_1$ , which yields a contradiction.



Next, we shall prove that:

(5.3) Each arc  $L \subset X$  spanning S irreducibly separates X between the components of S-L.

Let  $S_1$  and  $S_2$  denote the components of S-L. Suppose that (5.3) is not true. Thus, there exists a set  $L'=\overline{L}'\subset L\neq L'$  which separates X between  $S_1$  and  $S_2$ . Replacing L' by a larger set (which is also a both proper and closed subset of L) if necessary, we can assume that both  $L_1=S_1\cup L'$  and  $L_2=S_2\cup L'$  are arcs. Let  $C_1$  denote the component of X-L' containing  $S_1$ . Then there is a retraction  $r_1$  of the set  $C_1\cup L'=\overline{C_1}\cup L'$  onto the arc  $L_1=S_1\cup L'$ . Since  $X-C_1\supset S_2\cup L'=L_2$ , there is a retraction  $r_2$  of the set  $X-C_1=\overline{X-C_1}$  onto the arc  $L_2$ . Since  $(X-C_1)\cap (C_1\cup L')=L'=L_1\cap L_2$ , it follows that the function  $r:X\to L_1\cup L_2$  defined as

$$r(x) = \begin{cases} r_1(x) & \text{if} \quad x \in C_1 \cup L', \\ r_2(x) & \text{if} \quad x \in X - C_1 \end{cases}$$

is a retraction of X onto  $L_1 \cup L_2 = S_1 \cup S_2 \cup L' = S \cup L'$ . Since S is a retract of  $S \cup L'$ , we infer that S is a retract of X, which contradicts the assumption of the lemma.

Thus, by (5.2) and (5.3), there are two different components  $C_1$ ,  $C_2$ of X-L such that  $C_i \supset S_i$  and  $Bd(C_1) = L = Bd(C_2)$ . In order to complete the proof, we must show that L is also the common boundary for the remaining components of X-L (if they exist). Hence, if it is not so, then there exists a component  $C_3$  of X-L such that  $L \neq \operatorname{Bd}(C_3) \subset L$ . Since X is a cyclic space,  $Bd(C_3)$  contains at least two points. Moreover, since S does not separate X, Bd( $C_3$ ) –  $S \neq \emptyset$ . By [12], p. 194, each point belonging to a dense subset of  $Bd(C_3)$  is accessible from  $C_3$ . We conclude that there exists an arc  $I_3 \subset \overline{C}_3$  such that  $\mathring{I}_3 \subset C_3 \subset (X-L) - (S_1 \cup S_2)$ = X - L - S,  $\dot{I}_2 \subset Bd(C_2) \subset L$  and  $\dot{I}_2 - S \neq \emptyset$ . Let L' denote the component of  $L-I_3$  bounded by both end-points of  $I_3$ . Since, for i=1,2, $S_i \subset C_i$  and  $L' \subset Bd(C_i)$ , we infer from [12], p. 194 that there is an arc  $I_i \subset \overline{C}_i$  such that one end-point of  $I_i$  belongs to  $S_i$  and the other to L'and such that  $\mathring{I}_i \subset C_i - S_i \subset X - L - S - I_3$ . Evidently,  $(I_1 - L) \cap (I_2 - L)$ = Ø. It is easy to see from our construction that the graph  $S \cup L \cup \bigcup_{i=1}^{3} I_{i}$ contains a subgraph homeomorphic with the graph  $K_1$ , which contradicts the assumption of the lemma. Thus the proof is complete.

LEMMA 2. If  $X \in a'_0$  and X does not contain any homeomorphic images of the graph  $K_1$ , then X is either a disk or a simple surface (i.e. a set homeomorphic with  $S^2$ ).

Proof. The definition of the class  $\alpha'_0$  and (3.1) imply that  $L_X = \emptyset$ . It follows that no pair of points  $x, y \in X$  separates X. Suppose that X is



not a simple surface. Let us apply the Bing characterization of the 2-sphere  $S^2$  (see [2]), which says that a locally connected continuum Y is a simple surface if and only if no pair of points  $x, y \in Y$  separates Y but every simple closed curve  $S \subset Y$  separates Y. Thus, there is a simple closed curve  $S \subset X$  which does not separate X. Since  $X \in a_0'$ , it follows that all assumptions of Lemma 1 are satisfied and therefore X is a disk.

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Now, we pass to:

The proof of theorem 2. Let X be a strongly cyclic space satisfying 1° and 2° and let us fix for X a suitable number  $\varepsilon > 0$ . We can assume that X is not a simple surface. It follows that:

(5.4) X does not contain any simple surface.

Indeed, if there is a simple surface  $S \subset X$ , then  $X - S \neq 0$  and the arcwise connectedness of X implies that X contains a 2-umbrella, which contradicts (5.1).

We shall prove that:

(5.5) If  $S \subset X$  is a simple closed curve such that  $\delta(S) < \varepsilon$ , then there is exactly one component C of X - S such that  $\overline{C} = C \cup S$  is a disk (whose boundary is S).

It follows from (5.4) that there could not exist two components  $C_1$ ,  $C_2$  of X-S with the property described. In order to prove that such a component exists it suffices to show the existence of a component C of X-S such that S is not a retract of  $C \cup S$ . Indeed, the remaining assumptions of Lemma 1 will be satisfied by  $C \cup S$  in virtue of  $C \cup S$  and because the cyclicity of  $C \cup S$  in virtue of  $C \cup S$  in the component of  $C \cup S$  in disjoint with  $C \cup S$  in the open set  $C \cup S$  in the ope

Thus, let us suppose that, for each component C of X-S, S is a retract of  $C \cup S$ . In order to obtain a contradiction with the definition of the number  $\varepsilon$  we have to show that S is a retract of X. We shall assume that the sequence of the components  $C_1$ ,  $C_2$ , ... of X-S is infinite; if it is not so, then the proof is simpler.

Since  $S \in ANR$ , there is a neighbourhood U of S in X such that S is a retract of U. Let  $r_0 \colon U \to S$  be such a retraction. Since X is a locally connected continuum, there is an index  $n_0$  such that  $C_n \subset U$  for each  $n > n_0$ . For every  $n \leq n_0$ , let  $r_n$  be a retraction of  $C_n \cup S$  onto S. It is easy to see that the function  $r \colon X \to S$  defined as

$$r(x) = egin{cases} r_n(x) & ext{if} & x \in C_n \cup S \ , & ext{where} \ 1 \leqslant n \leqslant n_0 \ , \ r_0(x) & ext{if} & x \in igcup_{n>n_0} C_n \cup S \end{cases}$$

is a retraction of X onto S, which completes the proof of (5.5).

Now, if  $S \subset X$  is a simple closed curve such that  $\delta(S) < \varepsilon$ , the component of X - S described in (5.5) will be denoted by E(S) and will be called the Euclidean component of X - S. Evidently, E(S) is homeomorphic with  $E^2$  and is open in X.

Next, we shall prove that:

(5.6) If there is a set  $X_0 \subset X$  containing at most one point and such that for every point  $x \in X - X_0$  there is a simple closed curve  $S \subset X$  such that  $\delta(S) < \varepsilon$  and  $x \in E(S)$ , then X is a 2-manifold (without boundary), and therefore a polyhedron.

Since the case  $X_0 = \emptyset$  is trivial, we shall assume that  $X_0$  consists of exactly one point  $x_0$ . Since  $X - (x_0) \neq \emptyset$ , there is at least one simple closed curve  $S \subseteq X$  such that  $\delta(S) < \varepsilon$ . By the van Kampen characterization of 2-manifolds (see [9], p. 83), we have to show that each such a simple closed curve irreducibly separates X. If S is such a simple closed curve and S does not separate X, then X-S=E(S), which implies that  $X = E(S) \cup S$  is a disk and the assumption of (5.6) is not satisfied by any point  $x \in S - (x_0)$ . Thus, S separates X. If S does not irreducibly separate X, then there is a component C of X-S different from E(S)such that  $S \neq \operatorname{Bd}(C) \subset S$ . Consequently, there is a point  $x_1 \in \operatorname{Bd}(C) - (x_0)$ such that  $\operatorname{ord}_{x_1}\operatorname{Bd}(C) \leq 1$ . By the assumption of (5.6), there is a simple closed curve  $S_1 \subset X$  such that  $\delta(S_1) < \varepsilon$ , and  $x_1 \in E(S_1)$ . Then there is a region  $U \subset X$  such that  $x_1 \in U \subset \overline{U} \subset E(S_1)$  and such that the set  $\operatorname{Bd}(U) \cap \operatorname{Bd}(C)$  contains at most one point. Since U is homeomorphic with a plane region and since Bd(C) is a both proper and closed subset of S, it follows that the set  $U-\mathrm{Bd}(C)$  is connected. Since  $x_1 \in U \cap \mathrm{Bd}(C)$ ,  $U \cap C \neq \emptyset$  and therefore  $U - \operatorname{Bd}(C) \subset C$ . Thus  $U \cap E(S) = \emptyset$ , which is impossible, because U is a neighbourhood of  $x_1 \in S \subset \overline{E(S)}$ . We conclude that S irreducibly separates X, which completes the proof of (5.6).

Now, we are in a position to prove that X is always a 2-dimensional polyhedron. For this purpose we shall prove that:

(5.7) For each point  $x_0 \in X$  there is a neighbourhood of  $x_0$  in X which is the union of a finite collection of disks  $D_1, ..., D_n$  such that  $i \neq j$  implies  $D_i \cap D_j = (x_0)$ .

By (5.6), we can assume that there is a point  $x_1 \neq x_0$  such that for each simple closed curve  $S \subset X$ , where  $\delta(S) < \varepsilon$ ,  $x_1 \notin E(S)$ . Since X is a strongly cyclic space, we infer from (4.3) and (4.11) that the set  $L_X$  is finite. Evidently,  $(x_0)$  is a continuum, the complement of which is connected. We infer from a proposition given in [12], p. 189 that there is a continuum  $H \subset X - (x_0)$  such that  $H \supset (x_1) \cup (L_X - (x_0))$ ,  $\delta(X - H) < \varepsilon$  and such that X - H is a region. Applying once more this proposition

now to the continuum H, we infer that there is a locally connected continuum  $F \subset X - H$  such that  $x_0 \in \operatorname{Int}(F)$  and such that X - F is a region. Thus,  $\delta(F) < \varepsilon$  and  $(x_1) \cup (L_X - (x_0)) \subset X - F$ . Let U denote the component of the set  $\operatorname{Int}(F)$  containing  $x_0$ . By (2.4), the set  $U - (x_0)$  has a finite number of components. Every such component is a region disjoint with  $L_X$ , and therefore it is not separated by any point. It follows from [12], p. 238 that each such a component is contained in a cyclic element of F. This cyclic element contains  $x_0$ , because it is a closed subset of F. We conclude that there is a finite number of cyclic elements of F, say  $D_1, \ldots, D_n$ , such that  $\bigcup_{i=1}^n D_i \supset U$  and each  $D_i$  contains  $x_0$ . Thus  $\bigcup_{i=1}^n D_i$  is a neighbourhood of  $x_0$  and, by [12], p. 236,  $D_i \cap D_j = (x_0)$  for  $i \neq j$ .

In order to complete the proof of (5.7) it remains to show that each set  $D_i$  is a disk. By [12], p. 236 and p. 238, each  $D_i$  is a cyclic locally connected continuum. By assumption  $2^0$  of the theorem,  $D_i$  does not contain any homeomorphic images of the graphs  $K_1$  and  $K_2$ . By (5.4),  $D_i$  is not a simple surface. Thus, if we prove that no simple closed curve  $S \subset D_i$  is a retract of  $D_i$ , Lemma 2 will yield the conclusion that  $D_i$  is a disk.

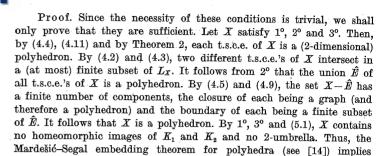
Consider any simple closed curve  $S \subset D_i$ . Since  $D_i \subset F$  and  $\delta(F) < \varepsilon$ , we infer that  $\delta(S) < \varepsilon$ . Since E(S) is open in X and since  $E(S) \cap X - F$  =  $(E(S) \cup S) \cap X - F = \overline{E(S)} \cap X - F$ , the set  $E(S) \cap X - F$  is a both open and closed subset of X - F. Since X - F is a region, the inequality  $E(S) \cap X - F \neq \emptyset$  implies  $X - F \subset E(S)$ . But this inclusion is impossible, because  $x_1 \in X - F$ . Consequently,  $\overline{E(S)} \subset F$ . Since  $D_i$  is a cyclic element of F containing S, we conclude from [12], p. 238 that  $\overline{E(S)} = E(S) \cup S \subset D_i$ . Thus, S is not a retract of  $D_i$  (because it is not a retract of  $\overline{E(S)}$ ), which completes the proof of (5.7).

As A. Kosiński has proved (see [10], p. 26), the property of being a 2-dimensional polyhedron is a local one for the class of compacta. Thus, (5.7) implies that X is a 2-dimensional polyhedron. Now, the assumptions of the theorem, (5.1) and the theorem of Mardešić and Segal [14] imply that X is embeddable in  $S^2$ , which completes the proof.

## 6. A topological characterization of polyhedra which are embeddable in $S^2$ . First, we shall prove the following

THEOREM 3. A space X is homeomorphic with a cyclic polyhedron  $P \subset S^2$  if and only if X satisfies the following conditions:

- 1º  $X \in \alpha'$ .
- $2^{\circ}$  X has a finite number of t.s.c.e.'s, i.e. the set  $X-L_X$  has a finite number of components.
- $3^{\rm o}$  X does not contain any homeomorphic images of the graphs  $\textit{K}_{1}$  and  $\textit{K}_{2}.$



THEOREM 4. A space X is homeomorphic with a connected polyhedron  $P \subset S^2$  if and only if X satisfies the following conditions:

that X is embeddable in  $S^2$ , which completes the proof.

- $1^{\circ} X \epsilon a$ ,
- $2^{\circ}$  X has a finite number of t.s.c.e.'s and a finite number of end-points,  $3^{\circ}$  X contains no 2-umbrella and no homeomorphic images of the graphs  $K_1$  and  $K_2$ .

Proof. As previously, we shall only prove that these conditions are sufficient. It follows from Theorem 3 that each cyclic element of X is a polyhedron. Since, by 2°, X has only a finite number of non-degenerate cyclic elements and since, by [12], p. 236, two different cyclic elements intersects in a set which contains at most one point, it follows that the union  $\hat{Z}$  of the non-degenerate cyclic elements of X is a polyhedron. If C is a component of  $X - \hat{Z}$ , then, by [12], p. 231 and p. 239,  $\bar{C}$  is a locally connected continuum. By [12], p. 238, No. 10,  $\overline{C}$  contains no simple closed curve, and therefore it is a dendrite. Each end-point of  $\overline{C}$  either is an end-point of X or belongs to a non-degenerate cyclic element of X. Since, by [12], p. 236, No. 6, every arc joining two different points belonging to one cyclic element is contained in that cyclic element, it follows that no two different end-points of  $\bar{C}$  belong to the same cyclic element of X. Consequently,  $2^{\circ}$  implies that  $\overline{C}$  has a finite number of end-points, and therefore it is a tree. Each point  $x \in \operatorname{Bd}(C) = \overline{C} - C$  is an end-point of  $\overline{C}$ . because otherwise  $\bar{C}$  would contain a simple closed curve passing through that point, which is impossible. Thus, Bd(C) is a finite subset of  $\hat{Z}$ . Consequently, in order to conclude that X is a polyhedron it remains to show that the set  $X-\hat{Z}$  has a finite number of components.

Indeed, by  $2^{\circ}$ , there is only a finite number of components of  $X - \hat{Z}$  containing end-points of X. If C is a component of  $X - \hat{Z}$  containing no end-point of X, then  $\bar{C}$  contains at least two end-points, which belong to different non-degenerate cyclic elements of X. It follows from [12], p. 236, No. 6, that the boundary of no component of  $X - \hat{Z}$  different

from C can contain a pair of points belonging to the same pair of non-degenerate cyclic elements of X. Thus, by  $2^{\circ}$ ,  $X - \hat{Z}$  has a finite number of components and therefore X is a polyhedron. Now,  $3^{\circ}$  and the Mardešić-Segal theorem [14] imply that X is embeddable in  $S^2$ , which completes the proof.

COROLLARY. A space X is homeomorphic with a contractible polyhedron  $P \subset S^2$  if and only if X satisfies  $2^{\circ}$  and, instead of  $1^{\circ}$  and  $3^{\circ}$ , the following two conditions:

1'  $X \in \alpha_0$ 

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3' X contains neither a 2-umbrella, nor any homeomorphic images of the graphs  $K_1$  and  $K_2$ , and X is not a simple surface.

Proof. Let X satisfy 1',  $2^{\circ}$  and 3'. Then, by Lemma 2 (see Section 5), each non-degenerate cyclic element of X is a disk, because if a cyclic element of X different from X were a simple surface, then X would contain a 2-umbrella. Thus, each cyclic element of X is an AR-set, which implies (see [3]) that X is also an AR-set. Thus, by Theorem 4, X is a contractible polyhedron embeddable in  $S^2$ , which completes the proof.

Other corollaries to Theorem 4 concerning the topological characterization of arbitrary polyhedra which are embeddable (or quasi-embeddable) in  $S^2$  or  $E^2$  can be obtained by easy modifications of conditions  $1^\circ$ ,  $2^\circ$  and  $3^\circ$  in Theorem 4. Namely, conditions  $1^\circ$  and  $2^\circ$  now have to be satisfied by the components of X (X is assumed to be a locally connected compactum). The suitable modifications of  $3^\circ$  are the same as the modifications of condition (c) in Theorem 1 of [13], given in Theorems 4 and 5 of that paper. These corollaries are obtained from Theorem 4 in the same way as Theorems 4 and 5 are obtained from Theorem 1 in [13].

Remark. In the proof that any space X satisfying the assumptions of Theorem 3 is a polyhedron, we have applied only conditions  $1^{\circ}$ ,  $2^{\circ}$  and the fact that each t.s.c.e. of X is a polyhedron. In the proof that any space X satisfying the assumptions of Theorem 4 is a polyhedron, we have applied conditions  $1^{\circ}$  and  $2^{\circ}$  and the fact that each non-degenerate cyclic element of X is a polyhedron. Since each non-degenerate cyclic element of any space X satisfying conditions  $1^{\circ}$  and  $2^{\circ}$  of Theorem 4 satisfies conditions  $1^{\circ}$  and  $2^{\circ}$  of Theorem 3, it follows that the following proposition is true:

- (6.1) If X satisfies the conditions 1° and 2° of Theorem 4 and if each t.s.c.e. of X is a polyhedron, then X is also a polyhedron.
- 7. A characterization of ANR-sets which are embeddable in  $S^2$ . In this section, assuming Theorem 1 (as formulated in Section 1) to be true, we shall deduce some corollaries concerning the case of n=2.



THEOREM 5. A connected space X is homeomorphic with an ANR-set  $Y \subset S^2$  if and only if X satisfies the following two conditions:

 $1^{\circ} X \in \alpha$ .

 $2^{\rm o}$  X contains neither a 2-umbrella nor any homeomorphic images of the graphs  $K_1$  and  $K_2$ .

Proof. The necessity of  $1^{\circ}$  and  $2^{\circ}$  is trivial. Thus, let us assume that X satisfies these conditions. We can and do assume that X is not a simple surface. Then X does not contain any simple surface, because otherwise X would contain a 2-umbrella. Each t.s.c.e. E of X satisfies the assumptions of Theorem 2, and therefore, it is a polyhedron embeddable into  $E^2$ . If  $\delta(E) < \varepsilon$ , then E satisfies the assumptions of Lemma 2 of Section 5, and therefore E is a disk. Thus, all s.c.e.'s of X are ANR-sets and almost all are AR-sets, which implies—by (4.13)—that  $X \in ANR$ .

If E is a s.c.e. of X and  $G \subset X$  is a graph such that  $E \cap G$  is a finite set, then  $E \cup G$  is a polyhedron. It follows from  $2^{\circ}$  and from the theorem of Mardešić and Segal [14] that  $E \cup G$  is embeddable in  $E^2$ , because  $E \cup G \subset X$  is not a simple surface. Consequently, X satisfies the assumptions of Theorem 1 and therefore there is an upper semi-continuous decomposition  $\mathfrak D$  of  $E^2$  whose all elements are trees and for which X is embeddable in  $E^2/\mathfrak D$ . By Moore's well-known theorem [16],  $E^2/\mathfrak D$  is homeomorphic with  $E^2$  and therefore X is embeddable in  $E^2$ , which completes the proof.

COROLLARY. A space X is a homeomorphic with an AR-set  $Y \subset S^2$  if and only if X satisfies the following two conditions:

 $1' X \in \alpha_0$ 

2' X contains neither a 2-umbrella nor any homeomorphic images of the graphs  $K_1$  and  $K_2$  and X is not a simple surface.

Proof. Let Z be a non-degenerate cyclic element of X, where X satisfies 1' and 2'. Then, by [12], p. 263, Z is a retract of X and therefore  $Z \in \alpha'_0$ . Since Z, as a subset of X, satisfies 2', it follows from Lemma 2 of Section 5 that Z is a disk. Thus, all cyclic elements of X are AR-sets, which implies (by Borsuk's theorem [3]) that  $X \in AR$ . By Theorem 5, X is embeddable in  $S^2$ .

Other corollaries to Theorem 5, yielding a characterization of arbitrary ANR-sets which are embeddable in  $E^2$  or  $S^2$ , are easy to obtain if one omits the connectivity assumption in  $1^{\circ}$  and if one modifies  $2^{\circ}$  in the same way as in [18] (in the deduction of Corollaries 1 and 2 from Theorem 3; see [18], p. 291).

Remark. It follows from Theorem 5 that any space  $X \in \alpha$  is embeddable in  $S^2$  ( $E^2$ ) if and only if it is quasi-embeddable in  $S^2$  ( $E^2$ ); more exactly, X is quasi-embeddable in  $S^2$  if and only if X satisfies  $2^0$ , and X is

quasi-embeddable in  $E^2$  if and only if X satisfies 2' (cf. [13] and [18], p. 291). We shall prove in the next paper [19] that the same conditions characterize arbitrary locally connected continua which are quasi embeddable in  $S^2$  or  $E^2$ .

8. Reduction of Theorem 1 to a lemma. First, notice that Theorem 1 is true for n = 1. The assumptions of Theorem 1 imply in this case that X contains no simple closed curve and X has no ramification point. Thus X is an arc (or a point), which evidently is embeddable in  $E^1$ .

Therefore, in the sequel we shall assume that n>1. The subsets of  $E^n$  will be marked with "primes". In this section we shall prove that Theorem 1 follows from

**LEMMA** A. Let X be a space of the class  $\alpha'$  satisfying the assumptions of Theorem 1 (except the assumption that  $X \in ANR$ ). Then there is a space  $X' \subset E^n$  belonging to  $\alpha'$  and a map g from X' onto X such that:

1° All non-degenerate inverse sets  $g^{-1}(x)$  are trees and almost all are arcs.

2° For every  $\eta > 0$  there is only a finite number of points  $x \in X$  such that  $\delta(g^{-1}(x)) \ge \eta$ .

3° The t.s.c.e.'s of X' are in a one-to-one correspondence with the t.s.c.e.'s of X, so that, for each t.s.c.e.  $\bar{E}'$  of X', the map g|E' is a homeomorphism of E' onto the corresponding t.s.c.e. of X.

Remark 1. By 3° and by (4.13),  $X \in ANR$  implies that  $X' \in ANR$ .

Remark 2. In the same way as that followed in deriving Theorem A from Lemma B given below (cf. [18] (p. 296)), one can derive from Lemma A the following corollary, which can be named the embeddability theorem for the spaces of the class  $\alpha'$ :

COROLLARY TO LEMMA A. If X is a space of the class a' satisfying the assumptions of Theorem 1 (except the assumption that  $X \in ANR$ ), then X is embeddable in the space  $E^n|\mathfrak{D}$ , where  $\mathfrak{D}$  is a null-decomposition of  $E^n$  such that all the non-degenerate elements of  $\mathfrak{D}$  are trees and almost all are arcs.

In the proof that Lemma A implies Theorem 1, we shall make use of the following lemma, which has been proved in [18] (p. 296).

LEMMA B. If X is a connected ANR containing no n-umbrella and if the cyclic elements of X are embeddable in  $E^n$ , then there exist a locally connected continuum  $X' \subset E^n$  and a map g from X' onto X such that:

- 1' The non-degenerate inverse sets  $g^{-1}(x)$  are arcs,
- 2' Identical with 2° of Lemma A,
- 3' The non-degenerate cyclic elements of X' are in a one-to-one correspondence with the non-degenerate cyclic elements of X such that if Z' corresponds to Z, then the map g|Z' is a homeomorphism of Z' onto Z.



We shall first prove that:

(8.1) The set X' and the map  $g\colon X'\to X$  in Lemma B can be chosen so that they satisfy also the following two conditions:

4' If Z is a non-degenerate cyclic element of X and  $x_0 \in Int(Z)$ , then  $g^{-1}(x_0)$  is a point.

5' Given a finite number of non-degenerate cyclic elements of X,  $Z_1, ..., Z_k$ , if  $Z_i$  corresponds to  $Z_i'$ , then, for every point  $x \in Z_i - \bigcup [Z_j]$   $1 \le j \le k, \ j \ne i]$  such that  $g^{-1}(x)$  is an arc, the point  $(g|Z_i')^{-1}(x)$  is one of its end-points.

First, we are going to prove that 4' can be fulfilled. For this purpose, suppose that X' and g satisfy Lemma B. Let Z be a non-degenerate cyclic element of X,  $x_0 \in \operatorname{Int}(Z)$  and let Z' correspond to Z. Suppose that  $I' = g^{-1}(x_0)$  is an arc. In virtue of 3',  $I' \cap Z' = (g|Z')^{-1}(x_0)$  is a point  $x'_0$ . Thus, each component of  $I' - (x'_0)$  is contained in a component of X' - Z', which is bounded by  $x'_0$  in virtue of [12], p. 232, No. 4. If C' is such a component of X' - Z', then  $I' \cap C'$  is a both closed and open subset of C'. Indeed, since  $g(I' \cap C') = (x_0) \subset \operatorname{Int}(Z)$ , there is a neighbourhood U' of  $I' \cap C'$  in C' such that  $g(U') \subset \operatorname{Int}(Z)$ . If there is a point  $x' \in U'$  such that  $g(x') = x \neq x_0$ , then  $g^{-1}(x)$  cannot be connected, because  $(x'_0) = \operatorname{Bd}(C') \not\subset g^{-1}(x)$  and because  $(g|Z')^{-1}(x) \in g^{-1}(x) - C'$ . Since this contradicts 1', we conclude that  $C' = I' \cap C'$ .

Now, define  $X'_0$  as the subset of X' which arises if, for each nondegenerate cyclic element Z' of X', one removes from X' each component C' of X'-Z' of the form considered above. Then, it is clear that the set  $X'_0 \subset E^n$  and the map  $g|X'_0$  satisfy Lemma B together with 4'.

Now, we shall prove that condition 5' can also be satisfied. We shall proceed by induction with respect to k.

If k=0, then 5' presents no novelty. Now, suppose that  $k\geqslant 1$  and that 5' can be fullfilled for each Y satisfying the assumptions of Lemma B and such that the number of the distinguished non-degenerate cyclic elements of Y is less than k. Consider the cyclic element  $Z_1$  of X. By the assumption of Lemma B there is an embedding  $h_1$  of  $Z_1$  in  $E^n$ . Let  $Z_1'=h_1(Z_1)$ . Order in a sequence  $a_1, a_2, \ldots$  (finite or not) all points belonging to  $\mathrm{Bd}(Z_1)$  and let  $a_i'=h_1(a_i)$ . Notice that each point  $a_i'$  belongs to the boundary of  $Z_1'$  in  $E^n$ . Indeed,  $a_i$  would otherwise be an interior point of a topological n-ball  $Q\subset Z_1$  and, since  $a_i\in\mathrm{Bd}(Z_1)$ , X would contain an n-umbrella. Since  $X\in\mathrm{ANR}$ , it follows that  $Z_1'=Z_1\in\mathrm{ANR}$  and therefore each point  $a_i'$  is accessible from  $E^n-Z_1'$  (cf. [4], p. 217). We infer that for each i there are an arc  $I_i'\subset E^n$  and a geometric n-ball  $Q_i'\subset E^n$  such that:

$$(I_i' \cup Q_i') \cap Z_1' = (a_i') \subset \dot{I}_i' - Q_i', \quad I_i' \cap Q_i' = \dot{I}_i' \cap \dot{Q}_i' \quad \text{is a point};$$

if  $i \neq j$ , then  $(Q_i' \cup I_i') \cap (Q_j' \cup I_j') = \emptyset$  and if the sequence  $a_1', a_2', ...$  is infinite, then  $\lim_i \delta(I_i' \cup Q_i') = 0$ .

Let  $A_i$  denote the closure of the union of all components C of X-Z. such that  $Bd(C) = (a_i)$ . Since  $A_i$  is a retract of X and since the distinguished cyclic elements of  $A_i$  are those ones from  $Z_2, ..., Z_k$  which are contained in  $A_i$ , it follows that  $A_i$  satisfies the inductive hypothesis. Thus, there is a locally connected continuum  $A_i' \subset \mathring{Q}_i'$  and a map  $a_i$ from  $A'_i$  onto  $A_i$  which satisfy the analogues of 1'-5'. If  $g_i^{-1}(a_i)$  is a point. define  $b'_i$  to be this point and, if  $g_i^{-1}(a_i)$  is an arc, define  $b'_i$  to be one of its end-points. Since  $a_i \in Bd(A_i)$  (in X), by 3' and since X contains no n-umbrella, it follows that  $b'_i$  is a boundary point of  $A'_i$  in  $E^n$ . Since  $A_i \in ANR$  and since, for locally connected continua, the property of being an ANR depends on cyclic elements (cf. [18], p. 292), it follows from 3' that  $A'_i \in ANR$ . Thus, we can assume that  $b'_i$  belongs to the boundary of the unbounded component of  $E^n-A_i$  and it is accessible from this component. Consequently, there is an arc  $J_i'$  such that  $\mathring{J}_i' \subset \mathring{\mathcal{O}}_i' - A'$ . one end-point of  $J_i$  is equal to  $b_i$  and the second one fills up the set  $Q_i \cap I_i$ . Now, define X' by the formula:

$$X' = Z'_1 \cup \bigcup_i I'_i \cup J'_i \cup A'_i$$
.

It easily follows from the construction that X' is a locally connected continuum and that the non-degenerate cyclic elements of X' are  $Z'_1$  and the non-degenerate cyclic elements of the sets  $A'_4$ .

Define  $g: X' \rightarrow X$  by the formula:

$$g(x') = egin{cases} h_1^{-1}(x') & ext{if} & x' \ \epsilon \ Z_1', \ a_i & ext{if} & x' \ \epsilon \ I_i' \ \cup \ J_i', \ q_i(x') & ext{if} & x' \ \epsilon \ A_i'. \end{cases}$$

Then g is a map, because  $(I'_i \cup J'_i) \cap Z'_1 = (a'_i)$ ,  $(I'_i \cup J'_i) \cap A'_i = (b'_i)$ ,  $g_i(b'_i) = a_i = h_1^{-1}(a'_i)$  and because the sets  $I'_i \cup J'_i \cup A'_i$  are disjoint and their diameters converge to 0 (as well as the diameters of the sets  $A_i$ ), whenever the sequence  $a_1, a_2, ...$  is infinite. It follows from the definition of  $I'_i, J'_i$  and  $b'_i$  that the set

$$g^{-1}(a_i) = I_i' \cup J_i' \cup g_i^{-1}(a_i)$$

is an arc, the point  $a_i' = (g|Z_1')^{-1}(a_i)$  being one of its end-points. Since, for a point  $x \in X$  which is different from each point  $a_i$ , the inverse set  $g^{-1}(x)$  is equal either to  $h_1(x)$  (if  $x \in Z_1$ ) or to  $g_i^{-1}(x)$  (if  $x \in A_i$ ), and since  $h_1$  is a homeomorphism and  $A_i'$ ,  $g_i \colon A_i' \to A_i$  satisfy 1'-5' with respect to  $A_i$ , it follows that X' and  $g \colon X' \to X$  satisfy 1'-5' (with respect to X). Thus, the induction step, and therefore the proof of (8.1), is completed.



Now, we pass to

The proof that Lemmas A and B imply Theorem 1. Let X satisfy the assumptions of Theorem 1. In order to obtain the theorem, we shall proceed as follows: First, making use of Lemma A, we shall construct a space Y satisfying the assumptions of Lemma B and a map f from Y onto X. Next, making use of Lemma B together with (8.1), we shall construct a space  $Y' \subset E^n$  and a map g from Y' onto Y. Finally, we shall construct the desired decomposition space of  $E^n$  such that X is embeddable in it collapsing to a point each set of the form  $h^{-1}(x)$ , where  $x \in X$  and h = fg.

Let  $Z_1, Z_2, \ldots$  be a sequence (finite or not) consisting of all non-degenerate cyclic elements of X (cf. [12], p. 238). There is only a finite number of  $Z_i$  which are not AR-sets (cf. [18], p. 292). By (4.8),  $Z_i \in AR$  implies that  $Z_i$  is a t.s.c.e. of X, and therefore—by the assumption of Theorem 1— $Z_i$  is embeddable in  $E^n$ . Thus, there is a number  $m_0 \ge 0$  such that exactly  $m_0$  of the elements  $Z_m$  are not embeddable in  $E^n$ . Reordering the sequence  $Z_1, Z_2, \ldots$  if necessary, we can assume that these are the elements  $Z_m$ , where  $m \le m_0$ .

Now, we shall prove by induction with respect to  $m_0$  that:

- (8.2) There are a connected space  $Y \in ANR$  and a map f from Y onto X such that:
  - 1° All cyclic elements of Y are embeddable in  $E^n$ .
  - $2^{\circ}$  The non-degenerate cyclic elements of Y can be ordered in a sequence  $\hat{Z}_1, \hat{Z}_2, \ldots$  (which has as many of the elements as the sequence  $Z_1, Z_2, \ldots$ ) such that:
  - (a) For each  $m \leq m_0$  the set  $\hat{Z}_m$  (which can be assumed to be a subset of  $E^n$  by  $1^o$ ) and the map  $f|\hat{Z}_m$  satisfy Lemma A with respect to  $Z_m$ .
  - (b) For each  $m>m_0$  the map  $f|\hat{Z}_m$  is a homeomorphism of  $\hat{Z}_m$  onto  $Z_m$ .
  - (c) For each  $x \in X$  such that  $f^{-1}(x)$  is not a point, there is an index  $m \leq m_0$  such that  $x \in Z_m$  and if  $m_1, \ldots, m_k$  are all such indexes, then  $f^{-1}(x) = \bigcup_{i=1}^k (f | \hat{Z}_{m_i})^{-1}(x)$  is a tree.
  - (d) For each point  $y \in Y$ , if there is an index m such that  $y \in \operatorname{Bd}(\hat{Z}_m)$ , then  $f(y) \in \operatorname{Bd}(Z_m)$  and if  $T_m = (f|\hat{Z}_m)^{-1}(f(y))$  is a non-degenerate tree then  $\operatorname{ord}_y T_m = 1$  and  $T_m \cap \operatorname{Bd}(\hat{Z}_m) = (y)$ .

First, let  $m_0 = 0$ . Then all cyclic elements of X are embeddable in  $E^n$ , and assuming Y = X and f = identity, we see that (8.2) is satisfied.

Now, let  $m_0 \ge 1$  and suppose (8.2) to be true for each set Z satisfying the assumption of Theorem 1 and which has less than  $m_0$  cyclic elements

which are not embeddable in  $E^n$ . Since the s.c.e.'s of a cyclic element of X are at the same time s.c.e.'s of X (cf. [12], p. 232, No. 5), it follows that the cyclic element  $Z_1$  of X satisfies the assumptions of Lemma A. Thus, (since  $Z_1$  is an ANR, as X is one) there are a cyclic ANR  $\hat{Z}_1$  embeddable in  $E^n$  and a map  $g_1$  from  $\hat{Z}_1$  onto  $Z_1$  which satisfy the lemma with respect to  $Z_1$ . Order in a sequence  $a_1, a_2, \ldots$  (finite or not) all points which belong to Bd( $Z_1$ ) and, for each i, choose a point  $\hat{a}_i \in g_1^{-1}(a_i)$  such that if  $g_1^{-1}(a_i)$  is a tree containing more than one point, then  $\hat{a}_i$  is one of its end-points.

Let  $A_i$  denote the closure of the union of all components C of X-Z. such that  $Bd(C) = (a_i)$ . It is easily seen that the sets  $A_i$  satisfy the inductive hypothesis. Thus, there are a set  $\hat{A}_i$  and a map  $f_i$ :  $\hat{A}_i \rightarrow A_i$  which satisfy (8.2) with respect to  $A_i$ . Evidently, the non-degenerate cyclic elements of  $A_i$  are those among  $Z_i$  which are contained in  $A_i$ . The corresponding cyclic elements of  $\hat{A_i}$  will be denoted by  $\hat{Z_i}$ . We shall define the point  $\hat{b}_i \in f_i^{-1}(a_i)$ . Assume that the set  $f_i^{-1}(a_i)$  contains more than one point. Then, by (8.2),  $2^{0}$  (c), it is equal to  $\bigcup_{i=1}^{k} T_{ij}$ , where  $T_{ij} = (f_{i} | \hat{Z}_{m_{ij}})^{-1}(a_{i})$ and  $m_{i1}, ..., m_{ik}$  are all indexes m such that  $2 \leqslant m \leqslant m_0$  and  $a_i \in Z_m$ (which implies that  $Z_m \subset A_i$ ). Each  $T_{ij}$  is a tree and, by (8.2),  $2^o$  (d), the set  $T_{ij} \cap \operatorname{Bd}(\hat{Z}_{m_{ij}})$  consists at most of one point, which (if it exists and does not fill up  $T_{ij}$ ) is an end-point of  $T_{ij}$ . If k=1, define  $\hat{b}_i \in T_{ij}$  $\cap \operatorname{Bd}(\hat{Z}_{m_i})$  if this set is non-empty, otherwise define  $\hat{b}_i$  to be an arbitrary end-point of  $T_{i1}$ . If k>1 then, since  $\bigcup_{j=1}^{\kappa} T_{ij}$  is connected and since two different cyclic elements can intersect only at their boundary points. there is exactly one point  $\hat{c}_i \in \bigcap^{n} T_{ij}$ . In this case define  $\hat{b}_i = \hat{c}_i$ .

Now, form the disjoint union

$$\hat{Z}_1 \cup igcup_i \hat{A}_i$$

and, if the sequence  $\hat{A}_1$ ,  $\hat{A}_2$ , ... is infinite, suppose that a metric in this disjoint union is defined such that  $\lim_{i\to\infty}\delta(\hat{A}_1)=0$ . Let Y denote the compact metric space we obtain from this disjoint union by the identification of the points  $\hat{a}_i$  and  $\hat{b}_i$  for each i and by the suitable definition of a metric. Since  $\hat{Z}_1$  and all  $\hat{A}_i$  are connected ANR-sets, it follows that Y is a locally connected continuum and it can be seen that the non-degenerate cyclic elements of Y are the images of the sets  $\hat{Z}_i$  under the identification map. We infer from [18] (p. 292) and from the analogue of (8.2),  $2^0$  (a) and (b) with respect to  $A_i$ ,  $\hat{A}_i$  and  $f_i$  that Y is a connected ANR.

Define  $f: X \to X$  by the formula (where we identify  $\hat{Z}_1$  and  $\hat{A}_i$  with their images by the identification map)

$$f(y) = egin{cases} g_1(y) & ext{if} & y \in \hat{Z}_1 \ f_i(y) & ext{if} & y \in \hat{A}_i \ . \end{cases}$$

Since  $g_i(\hat{a}_i) = a_i = f_i(\hat{b}_i)$  and since  $\lim_{t \to \infty} \delta(\hat{A}_i) = 0 = \lim_{t \to \infty} \delta(A_t)$  whenever

the sequence  $A_1, A_2, ...$  is infinite, it follows that f is a map. Since  $\hat{Z}_1$  and  $g_1$  satisfy Lemma A with respect to  $Z_1$  and  $\hat{A}_i$  and  $f_i$  satisfy (8.2) with respect to  $A_i$ , it follows from the construction (especially, from the definition of  $\hat{b}_i$ ) that Y and f satisfy (8.2) (with respect to X). Thus, the induction step, and therefore the proof of (8.2), is completed.

Now, observe that Y satisfies the assumptions of Lemma B. Since other assumptions are contained in (8.2), it remains to show that Y does not contain any n-umbrella. Thus, suppose that  $Q \subset Y$  is a topological n-ball and  $I \subset Y$  is an arc such that  $Q \cap I = \mathring{Q} \cap I$  is a point y. Since n > 1, there is a cyclic element  $\hat{Z}$  of Y such that  $\hat{Z} \supset Q$  (cf. [12], p. 238, No. 10). It follows from (4.11) that there is a t.s.c.e.  $\hat{E}$  of  $\hat{Z}$  (and therefore also of Y) such that  $\hat{E} \supset Q$ . By (8.2),  $2^{\circ}$  (a) and (b),  $f(Q) \subset f(\hat{E})$  is a (topological) n-ball and  $f(\hat{E})$  is a t.s.c.e. of X. It follows from (8.2),  $1^{\circ}$  that  $y \in \mathrm{Bd}(\hat{Z})$ . Therefore, by (8.2),  $2^{\circ}$  (d),  $f(y) \in \mathrm{Bd}(f(\hat{Z}))$  and  $f(\hat{Z})$  is the cyclic element of X containing  $f(\hat{E})$ . Consequently, there is an arc  $J \subset X$  such that  $f(\hat{E}) \cap J = f(y)$  and  $f(y) \in J$ . Since f(y) is an interior point of  $f(Q) \subset f(\hat{E})$ , it follows that  $f(\hat{E}) \cup J$  is not embeddable in  $E^n$ , which contradicts the assumption of Theorem 1.

Thus, Lemma B together with (8.1) (where in 5' we assume  $\hat{Z}_m$ , for  $m \leq m_0$ , to be the distinguished cyclic elements of Y) can be applied to Y. Consequently, there are a connected ANR  $Y' \subset E^n$  and a map g from Y' onto Y, which satisfy the analogues of 1'-5'. Then

$$(8.3) h = fg$$

is a map of Y' onto X. Let  $\mathfrak D$  denote the decomposition of  $E^n$  into the sets of the form  $h^{-1}(x)$  for  $x \in X$  and the individual points  $x' \in E^n - Y'$ . Then X is embedded in a natural way in the space  $E^n/\mathfrak D$ . We shall prove that  $\mathfrak D$  is the decomposition required in Theorem 1, i.e., we shall show that:

(8.4)  $h^{-1}(x) = g^{-1}(f^{-1}(x))$  is always a tree, there is only a finite number of points  $x \in X$  such that  $h^{-1}(x)$  is neither an arc nor a point and for every  $\eta > 0$  there is only a finite number of points  $x \in X$  such that  $\delta(h^{-1}(x)) \geqslant \eta$ .

Considering the sets  $h^{-1}(x)$ , we shall distinguish the following four cases: (1)  $x \in \operatorname{Int}(Z_{m_1})$  for an  $m_1 \leqslant m_0$ , (2)  $x \in \operatorname{Bd}(Z_{m_1}) - \bigcup [Z_m] m \leqslant m_0$ ,

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 $m \neq m_1$  for an  $m_1 \leqslant m_n$ , (3) x belongs to at least two from the sets  $Z_m$ , where  $m \leqslant m_0$  and (4)  $x \notin \bigcup [Z_m | m \leqslant m_0]$ . We shall denote by  $\hat{Z}_m'$  the non-degenerate cyclic element of Y' which corresponds to  $\hat{Z}_m$  (cf. 3' in Lemma B). Thus, we have the one-to-one correspondences  $\hat{Z}'_m \leftrightarrow \hat{Z}_m \leftrightarrow Z_m$ between the non-degenerate cyclic elements of Y', Y and X.

First, let case (1) hold. Then, in virtue of (8.2),  $2^{\circ}$  (d),  $f^{-1}(x) \subset \operatorname{Int}(\hat{Z}_m)$ . It follows from Lemma B, 3' and (8.1) 4' that  $h^{-1}(x) = g^{-1}(f^{-1}(x))$  is the image of  $f^{-1}(x)$  under the homeomorphism  $(g|\hat{Z}'_{m})^{-1}$ . We conclude from (8.2),  $2^{\circ}$  (a) that, in the case (1), (8.4) is satisfied.

Now, let case (2) hold. It follows from (8.2), 2° (c), (d) and from the connectivity of Y that  $f^{-1}(x) \subset \hat{Z}_{m_1} - \bigcup [\hat{Z}_m | m \leqslant m_0, m \neq m_1]$  is a tree and there is exactly one point  $y \in f^{-1}(x) \cap \operatorname{Bd}(\hat{Z}_{m_1})$ , which is an endpoint of this tree if it is non-degenerate. We infer from Lemma B and from (8.1) that the set  $h^{-1}(x) = g^{-1}(f^{-1}(x))$  is the union of the image of  $f^{-1}(x)$  under the homeomorphism  $(g|\hat{Z}'_{m_1})^{-1}$  and of the arc  $g^{-1}(y)$ , the point  $y' = (g|\hat{Z}'_{m_1})^{-1}(y)$  being an end point of this arc if it is non-degenerate. Since  $(g|\hat{Z}'_{m_1})^{-1}(f^{-1}(x)) \cap g^{-1}(y) = (y')$ , it follows that  $h^{-1}(x)$  is a tree, which is an arc if the former set is an arc. We infer from (8.2), 2° (a) and from Lemma B, 2' that (8.4) is satisfied in this case.

Next, let case (3) hold. Let  $m_1, ..., m_k$  be all indexes  $m \leq m_0$  such that  $x \in \mathbb{Z}_m$  and let  $T_i = (f|\hat{Z}_{mi})^{-1}(x)$  for  $1 \leqslant i \leqslant k$ . Then, by (8.2),  $2^{\circ}$  (c),  $f^{-1}(x) = \bigcup_{i=1}^{n} T_i$  is a tree. We infer from (8.2),  $2^{\circ}$  (d), from the connectivity of  $f^{-1}(x)$  and from the fact that two different cyclic elements can intersect only at their boundary points that there is exactly one point  $y_i \in T_i \cap$  $\cap \operatorname{Bd}(\hat{Z}_{m_i})$  and  $y_1 = y_2 = ... = y_k$ . Next, it follows from Lemma B and (8.1), 4' that  $h^{-1}(x) = g^{-1}(f^{-1}(x))$  is the union of the images of  $T_i$  under the homeomorphisms  $(g|\hat{Z}'_{m_i})^{-1}$  and of the arc  $g^{-1}(y_1)$ . Since the trees  $(g|\hat{Z}'_{m_i})^{-1}(T_i)$  can intersect one another and the arc  $g^{-1}(y_i)$  only in the points  $(q|\hat{Z}'_{m_i})^{-1}(y_i)$  (and they do intersect the arc), we conclude that  $h^{-1}(x)$  is a tree. Since two different cyclic elements can intersect only at one point (cf. [12], p. 236), we see that the number of the points belonging to case (3) is finite, which completes the proof of (8.4) in this case.

Finally, let case (4) hold. Then, by (8.2),  $2^{\circ}$  (c),  $f^{-1}(x)$  is a point and, by Lemma B, 1',  $h^{-1}(x) = g^{-1}(f^{-1}(x))$  is an arc. Lemma B, 2' implies that (8.4) is satisfied again. Thus, we conclude that the decomposition D satisfies the requirements of Theorem 1, which completes the reduction of the theorem to Lemma A.

It remains to prove Lemma A, which will be done in Sections 9 and 10. Remark. It follows from the preceding proof (cf. (8.2), (8.3) and Lemma B, 3') that:



(8.5) There is a one-to-one correspondence between the t.s.c.e.'s of Y' and X such that if  $\hat{E}'$  corresponds to E, then the map  $h|\hat{E}'$  is a homeomorphism of  $\hat{E}'$  onto E.

9. A proof of Lemma A in the case where X has only a finite number of t.s.c.e.'s. First, let X satisfy the assumptions of Lemma A for n=2. Then X satisfies the assumptions of Theorem 3 (see Section 6) and therefore X is homeomorphic to a polyhedron  $P \subset S^2$ . If X were a simple surface, then it would have a t.s.c.e. non-embeddable in  $E^2$ , which contradicts the assumption. Thus, X is embeddable in  $E^2$ .

Now, let X satisfy the assumptions of Lemma A for a given n > 2. We shall prove that:

(9.1) There are a space  $X' \subseteq E^n$  and a map  $g: X' \to X$  satisfying the conclusion of Lemma A, where conditions 1° and 2° are replaced by the following one:

I. If  $x \in X$  and  $g^{-1}(x)$  is not a point, then there is a t.s.c.e. E of X such that  $x \in Bd(E)$  and  $g^{-1}(x)$  is a tree (I implies  $1^{\circ}$  and  $2^{\circ}$  in virtue of (4.3).

Suppose that X has exactly m of t.s.c.e.'s.

We shall prove (9.1) by induction with respect to m.

First, let m = 0. Then, by (4.9), X is a graph, which evidently is embeddable in  $E^n$  and (9.1) is clear.

Now, let  $0 < m < \infty$  and suppose (9.1) to be true for every space Y satisfying the assumptions of Lemma A, where Y has less than m of t.s.c.e.'s. Choose a fixed t.s.c.e. E, of X. Evidently, we can assume that  $X-E_1 \neq \emptyset$ . By (4.5), the set  $X-E_1$  has a finite number of components. Denote the closures of these components by  $A_1, ..., A_l$ . By (4.5), the set  $A_i \cap E_i$  is finite and therefore, by (2.2), there is a tree  $T_i \subset A_i$  such that the set of the end-points of  $T_i$  is equal to  $E_1 \cap A_i$ . By the assumption of Lemma A there is an embedding h of the set  $E_1 \cup \bigcup_{i=1}^{r} T_i$  in  $E^n$ . Let  $E_1' = h(E_1)$ . Since each set  $h(T_i) - E_1'$  is connected, it follows that:

(9.2) There is a component  $C_i'$  of  $E^n - E_1'$  such that  $\overline{C_i'} \supset h(A_i \cap E_1)$  and if  $a_{i1}, \ldots, a_{ik(i)}$  are all points of the set  $A_i \cap E_i$ , then each point  $a'_{ij} = h(a_{ij})$  is accessible from  $C'_{i}$ . There are (geometric) n-balls  $Q_i' \subseteq C_i'$   $(1 \le i \le l)$  such that  $i \ne j$  implies  $Q_i' \cap Q_j' = \emptyset$ .

Now, apply (2.2) to the set  $E_1$  and its finite subset  $A_i \cap E_1$ . Thus, there is a tree  $\hat{T}_i \subset E_i$  such that the set of the end-points of  $\hat{T}_i$  is equal to  $A_i \cap E_1$ . Since X is a cyclic space,  $x \in A_i$  implies that every component of  $A_i - (x)$  intersects the set  $Bd(A_i) = A_i \cap E_1$ . It follows that  $Y_i$  $=A_i \cup \hat{T}_i$  is a cyclic space. Since  $\hat{T}_i \in AR$  and  $\hat{T}_i \cap A_i = Bd(A_i)$ , we Fundamenta Mathematicae, T. LXX

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infer that  $Y_i$  is a retract of X. Consequently,  $Y_i \in a'$ . Evidently, the t.s.c.e.'s of  $Y_i$  are the t.s.c.e.'s of X which are contained in  $A_i$ , and therefore  $Y_i$  satisfies the inductive hypothesis. Thus, there are a set  $Y_i \subset \mathring{Q}_i'$  and a map  $g_i \colon Y_i' \to Y_i$  which satisfy the analogue of (9.1) with respect to  $Y_i$ . Let  $A_i' = g_i^{-1}(A_i)$  and  $\hat{T}_i' = g_i^{-1}(\hat{T}_i - A_i)$ . Since the sets  $A_i$ and  $\hat{T}_i - A_i$  are both connected and  $g_i$  is a monotonic map, it follows from [12], (p. 123) that  $A'_i$  is a continuum and  $\hat{T}'_i$  is a region in  $Y'_i$  disjoint with  $A_i'$ . Thus, we can assume that  $\hat{T}_i'$  is contained in the unbounded component of  $E^n - A_i$ . Since each point  $a_{ij}$  (see (9.2)) is an end-point of  $\hat{T}_i$ , it follows that the set  $(\hat{T}_i - A_i) \cup (a_{ij})$  (and its counter-image under  $g_i$  also) is connected. Consequently, there is a point  $b'_{ij} \in \overline{\widehat{T}}'_i \cap g_i^{-1}(a_{ii})$ . It follows from Lemma A, 3° (with respect to  $Y_i$ ,  $Y'_i$  and  $g_i$ ) that the set  $\hat{T}'_i$  is contained in the complement of the union of all t.s.c.e.'s of  $Y'_{i} \in \alpha'$ . Hence, by (4.9),  $\overline{\hat{T}}'_{i}$  is a graph. We infer that each point  $b'_{ij}$  is accessible from  $\hat{T}_i'$ , and therefore from the unbounded component of  $E^n - A'_i$  also. Since  $A'_i \subset Y'_i \subset \mathring{Q}'_i$  and n > 2, we conclude from this and from (9.2) that

(9.3) There are some collections of arcs  $I'_{i1}, ..., I'_{ik(i)} \subset E^n$  (i = 1, ..., l) such that  $\dot{I}'_{ij} = (a'_{ij}) \cup (b'_{ij}), \ \ddot{I}'_{ij} \cap (E'_i \cup \bigcup_{i=1}^{l} A'_i) = \emptyset$  and  $\ddot{I}'_{ij} \cap \ddot{I}'_{pq} = \emptyset$  if either  $i \neq p$  or  $j \neq q$ .

Now, define X' by the formula:

$$(9.4) \hspace{3.1em} X'=E_1'\cup\bigcup_{i=1}^l\bigcup_{j=1}^{k(j)}A_i'\cup I_{ij}'\,.$$

Now, observe that the set  $\overline{\hat{T}}_i' - \hat{T}_i'$  can consist only of the points  $b'_{ij}$ , because  $g_i(\overline{\hat{T}}_i') = \hat{T}_i$  contains no simple closed curve and (in virtue of (9.1), I with respect to  $Y_i$ ,  $Y'_i$  and  $g_i$ )  $g_i|\hat{T}'_i$  is one-to-one. Thus  $g_i|\bar{T}'_i$  is one-to-one, and therefore it is a homeomorphism of  $\overline{\hat{T}}_i'$  onto  $\hat{T}_i$ . Since  $Y'_i \in \alpha'$ , we infer that  $A'_i = Y'_i - \hat{T}'_i$  belongs to  $\alpha$  and that  $x' \in A'_i$  implies that every component of  $A'_i - (x')$  intersects the set  $\overline{\hat{T}}_i' - \hat{T}_i'$ .  $E'_1 \in \alpha'$ , because it is a homeomorphic image of  $E_1$  which is a t.s.c.e. of  $X \in \alpha'$ . Since each simple closed curve of sufficiently small diameter contained in X' must be contained either in  $E'_1$  or in one of the sets  $A'_i$ , we conclude from (9.4) that  $X' \in \alpha'$ . Since  $Y'_i = A'_i \cup \hat{T}_i'$ , it is evident from (9.4) that  $E'_1$  and the t.s.c.e.'s of all sets  $Y'_i$  are the t.s.c.e.'s of X'.

Now, define  $g: X' \rightarrow X$  by the formula:

$$g(x') = egin{cases} h^{-1}(x') & ext{if} & x' \in E_1' \ g_i(x') & ext{if} & x' \in A_i' \ a_{ij} & ext{if} & x' \in I'_{ij} \ . \end{cases}$$

It follows from (9.2), (9.3), (9.4) and from the definition of the points  $b'_{ij}$  that g is a map. Since  $A_1, \ldots, A_l$  are the closures of all components of  $X - E_1$ , the maps  $g_i$  are onto and  $A'_i = g_i^{-1}(A_i)$ , we infer that g maps X' onto X. Since  $h^{-1}$  is a homeomorphism,  $Y'_i$  and  $g_i$  satisfy (9.1) with respect to  $Y_i$  and since the t.s.c.e.'s of  $Y_i$  are the t.s.c.e.'s of X contained in  $A_i$ , we see that X' and g satisfy condition g of Lemma A. Condition (9.1), I is clearly satisfied for each point g contained in g condition (9.1), I is clearly satisfied for each point g contained in g contained

10. The proof of Lemma A in the general case. Let X satisfy the assumptions of Lemma A. We can assume that X has infinitely many t.s.c.e.'s,  $E_1$ ,  $E_2$ , ... It follows from (4.8) and (4.10) that there is an  $m_0 \ge 0$  such that  $m > m_0$  implies  $E_m \in a'_0$  and that  $\mathrm{Bd}(E_m)$  consists of exactly two points  $a_m$  and  $b_m$ . Let  $I_m \subset E_m$  be an arc joining  $a_m$  with  $b_m$  and let

$$(10.1) Y = (X - \bigcup_{m > m_0} E_m) \cup \bigcup_{m > m_0} I_m.$$

One can easily show that Y is a retract of X (cf. (4.6)), and therefore  $Y \in \alpha$ . Since X is a cyclic space, it is seen from (10.1) that Y is also a cyclic space. Evidently, the sets  $E_m$ , for  $m \leq m_0$ , are the t.s.c.e.'s of Y. It follows that the result (9.1) of Section 9 is applicable to Y, and therefore there are a set  $Y' \subset E^n$  and a map  $g_0 \colon Y' \to Y$  which satisfy (9.1) with respect to Y. For each  $m \leq m_0$ , denote by  $E'_m$  the t.s.c.e.'s of Y' which corresponds to  $E_m$  (see Lemma A, 3°). Let  $\hat{E}' = \bigcup_{m \leq m_0} E'_m$ . Then, by (4.5) and (4.9), the set  $G' = \overline{Y' - \hat{E}'}$  is a graph. It follows from (9.1) (with

and (4.9), the set G' = Y' - E' is a graph. It follows from (9.1) (with respect to Y, Y' and  $g_0$ ) and from the definition of the arcs  $I_m$  that, for each  $m > m_0$ ,  $I'_m = \overline{g_0^{-1}(\mathring{I}_m)} \subset G'$  and  $g_0|\overline{g_0^{-1}(\mathring{I}_m)}$  is one-to-one. We infer that  $I'_m$  is an arc and that the map  $g_0|I'_m$  is a homeomorphism of  $I'_m$  onto  $I_m$ . Let

(10.2) 
$$(a'_m) = I'_m \cap g_0^{-1}(a_m)$$
 and  $(b'_m) = I'_m \cap g_0^{-1}(b_m)$ .

Evidently,  $\alpha'_m$  and  $b'_m$  are the end-points of  $I'_m$ . Since  $\mathring{I}_m \subset \operatorname{Int}(E_m)$  is an open subset of Y, we infer that the sets  $\mathring{I}'_m = g_0^{-1}(\mathring{I}_m)$  are open and disjoint subsets of Y'. Modifying the sets  $\mathring{I}'_m$  if necessary, one can construct a sequence of (geometric) n-balls  $Q'_m \subset E^n$  (where  $m > m_0$ ) such that:

(10.3)  $Q'_m \cap Y'$  is a subarc of  $I'_m$  contained in  $\mathring{I}'_m$ , whose interior is contained in  $\mathring{Q}'_m$ . If  $m \neq p$ , then  $Q'_m \cap Q'_p = \emptyset$  and  $\lim_{m \to \infty} \delta(Q'_m) = 0$ .

Now, consider a t.s.c.e.  $E_m$  of X, where  $m > m_0$ . By the definition of  $a_m$  and  $b_m$ ,  $\operatorname{Bd}(E_m) = (a_m) \cup (b_m)$ . Since X is a cyclic space, there is an arc  $J_m \subset X$  such that  $J_m \cap E_m = (a_m) \cup (b_m) = \dot{J}_m$ . By the assumption of Lemma A, there is an embedding  $h_m$  of  $E_m \cup J_m$  into  $\mathring{Q}'_m$ . Let  $E'_m = h_m(E_m)$ ,  $\hat{a}'_m = h_m(a_m)$  and  $\hat{b}'_m = h_m(b_m)$ . Since  $h_m(\mathring{J}_m) \subset E^n - E'_m$ , we can assume that  $\hat{a}'_m$  and  $\hat{b}'_m$  belong to the closure of the unbounded component of  $E^n - E'_m$  and, evidently, they are accessible from it. Denote the points belonging to  $\mathring{Q}'_m \cap I'_m$  by  $e'_m$  and  $d'_m$  in such a way that in the ordering of the arc  $I'_m$  from  $a'_m$  to  $b'_m$  the point  $e'_m$  precedes  $d'_m$  (cf. (10.2) and (10.3)). We infer that there are two arcs  $K'_m$ ,  $L'_m \subset Q'_m$  such that:

Now, we can define the desired set  $X' \subset E^n$  by the formula:

(10.5) 
$$X' = (Y' - \bigcup_{m > m_0} Q'_m) \cup \bigcup_{m > m_0} K'_m \cup E'_m \cup L'_m .$$

Each set  $E'_m$ , for  $m > m_0$ , belongs to  $\alpha'_0$ , as a homeomorphic image of  $E_m \in \alpha'_0$ . Since  $Y' \in \alpha'$ , it is easily seen from the construction (cf. (10.3), (10.4) and (10.5)) that X' is a cyclic locally connected continuum. Moreover, each simple closed curve  $S' \subset X'$  is contained either in a subset of X' homeomorphic with Y' or in a set  $E'_m$ , where  $m > m_0$ . Consequently,  $X' \in \alpha'$ . Evidently, the sets  $E'_m$ , m = 1, 2, ... are the t.s.c.e.'s of X'.

Now, we can define the desired function  $g: X' \to X$  by the formula:

$$g(x') = egin{cases} g_0(x') & ext{if} & x' \in Y' - igcup_{m > m_0} I'_m \,, \ h_m^{-1}(x') & ext{if} & x' \in E'_m & ext{for an } m > m_0 \,, \ a_m & ext{if} & x' \in K'_m \cup [a'_m c'_m] \,, \ b_m & ext{if} & x' \in L'_m \cup [d'_m b'_m] \,, \end{cases}$$

where  $[a'_m e'_m]$  and  $[d'_m b'_m]$  denote the subarcs of the arc  $I'_m$  bounded by these points. It follows from (10.2), (10.4) and from the definition of the points  $\hat{a}'_m$ ,  $\hat{b}'_m$ ,  $e'_m$  and  $d'_m$  that  $g|E'_m \cup K'_m \cup L'_m \cup [a'_m e'_m] \cup [d'_m b'_m]$  is a map of this set onto  $E_m$ . Since the diameters of these sets converge to zero and since  $g_0$  is a map, we infer that g is a map. Since  $g_0$  maps Y' onto Y, it follows from (10.1) that g maps X' onto X. We shall prove that X' and g satisfy the conditions  $1^{\circ}-3^{\circ}$  of Lemma A.

Considering the sets  $g^{-1}(x)$ , for  $x \in X$ , first notice that  $x \in X - \bigcup E_m$ implies that  $g^{-1}(x) = g_0^{-1}(x)$ , because the points  $x' \in X'$  such that g(x') $\neq g_0(x')$  are mapped by g into  $\bigcup E_m$ . In this case, by (9.1), I (with respect to Y, Y' and  $g_0$ ), the sets  $g^{-1}(x)$  are trees and, except of a finite number, they are points. If  $x \in \text{Int}(E_m)$  for an  $m > m_0$ , then evidently  $q^{-1}(x) = h_m(x)$  is a point. Now, suppose that  $x \in \mathrm{Bd}(E_m)$  for an  $m > m_0$ and that  $g_0^{-1}(x)$  is a point x'. Since Y, Y' and  $g_0$  satisfy Lemma A, 3°, it follows that x' belongs to the graph  $G' = Y' - \hat{E}'$ . If x' is not a ramification point of G', then x' belongs to (at most) two of the arcs  $I'_m$  and it is an end-point of either. It follows from (10.4) and from the definition of q that  $q^{-1}(x)$  is the union of at most two arcs, either being of the form  $[a'_m c'_m] \cup K'_m$ , where  $x' = a'_m$ , or of the form  $L'_m \cup [d'_m b'_m]$ , where  $b'_m = x'$ . Thus  $q^{-1}(x)$  is an arc. It follows from (10.3), (10.4) and from the fact that the sets  $\mathring{I}_m$  are open and disjoint subsets of the graph G' that the diameters of these arcs converge to zero. Now, suppose that x' is a ramification point of G'. Then  $g^{-1}(x)$  is a broom which is the union of a finite number of arcs of the form described above, x' being a vertex of the broom. Evidently, this case can hold only for a finite number of points

Finally, suppose that  $g_0^{-1}(x)$  is a non-degenerate tree T'. Condition 3° of Lemma A (with respect to Y, Y' and  $g_0$ ) implies that T' is contained in the graph G'. Thus, only a finite number of the arcs  $I'_m$  (which are contained in G' also) can intersect T', and if  $T' \cap I'_m \neq \emptyset$ , then either  $T' \cap I'_m = (a'_m)$  or  $T' \cap I'_m = (b'_m)$ . It follows that  $g^{-1}(x)$  is the union of T', of the arcs  $[a'_m c'_m] \cup K'_m$  where  $I'_m \cap T' = (a'_m)$  and of the arcs  $L'_m \cup [d'_m b'_m]$  where  $I'_m \cap T' = (b'_m)$ . Since these arcs can intersect one another only at their common points with T' (i.e. in  $a'_m$  or  $b'_m$ ), we conclude that  $g^{-1}(x)$  is a tree. By (9.1), I,  $g_0^{-1}(x)$  is non-degenerate only for a finite number of points  $x \in X$ . Thus, we see that X' and g satisfy the conditions 1° and 2° of Lemma A. Condition 3° is clear by the definition of g and  $h_m$  and by the respective property of  $g_0$ . Thus, the proof of Lemma A is concluded.

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# A characterization of locally connected continua which are quasi-embeddable into $E^2$

by

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1. Introduction. We shall consider metrizable spaces only. A map f of a compactum X into a space Y is said to be an  $\varepsilon$ -mapping if  $\operatorname{diam} f^{-1}(y) < \varepsilon$  for every  $y \in f(X)$ . A compact space X is said to be quasi-embeddable into Y if for every  $\varepsilon > 0$  there is an  $\varepsilon$ -mapping  $f \colon X \to Y$ . The problem of finding a characterization of locally connected continua which can be quasi-embedded into  $S^2$  ( $E^2$ ) has been raised by Mardešić and Segal in [6] in connection with the following

Theorem of Mardešić and Segal. If P is a connected polyhedron, hen the following statements are equivalent:

- (a) P is embeddable into S2,
- (b) P is quasi-embeddable into S2,
- (c) P does not contain any homeomorphic images of the Kuratowski graphs  $K_1$  and  $K_2$  and any 2-umbrella.

The graph  $K_1$  is the 1-skelton of a 3-simplex with midpoints of a pair of non-adjacent edges joined by a segment and the graph  $K_2$  is the 1-skelton of a 4-simplex. A 2-umbrella is the one-point union of a disk and of an arc relative to an interior point of the disk and an end-point of the arc.

In [8] I have generalized that theorem, namely I have shown that the equivalence of (a), (b) and (c) holds for each locally connected continuum P satisfying the following condition: There is a number  $\varepsilon > 0$  such that no simple closed curve  $S \subset P$  with diam  $S < \varepsilon$  is a retract of P. Another similar generalization has been found by J. Segal (see [10]). He has shown the equivalence of (a) and (b) for locally connected continua which do not contain any homeomorphic images of the curves  $K_3$  and  $K_4$  (described by Kuratowski in [4]).

In this paper we shall prove the equivalence of (b) and (c) for arbitrary locally connected continua, i.e.