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Concerning the unions of absolute neighborhood retracts having brick decompositions*

by

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1. Introduction. In the study of retracts, one is interested in determining those properties of polyhedra that are also possessed by compact metric absolute neighborhood retracts. A basic property of polyhedra is that they can be decomposed into simplexes in such a way that if any number of them meet, their intersection is a face of each of them, and hence is a simplex. This property of polyhedra leads to the notion of a brick decomposition of a space.

If X is a topological space, then a *brick decomposition* of X is a finite collection $\{X_1, X_2, \dots, X_n\}$ of compact metric absolute retracts in X such that (1) $X = X_1 \cup X_2 \cup \dots \cup X_n$ and (2) if any number of the sets X_1, X_2, \dots , and X_n intersect, their intersection is an absolute retract.

Clearly, every polyhedron admits a brick decomposition. Further, any metric continuum admitting a brick decomposition is an absolute neighborhood retract [4, page 178]. However, not every compact metric absolute neighborhood retract has a brick decomposition [4, page 178]. The existence of compact metric absolute neighborhood retracts with no brick decomposition is related to the existence of such retracts with the singularity of Mazurkiewicz [4, page 152; 3].

In [4, page 179], Borsuk mentions the following open question: If X and Y are spaces such that X , Y , and $X \cap Y$ have brick decompositions, then does $X \cup Y$ have a brick decomposition? The purpose of this paper is to give a negative answer to this question.

The example that we describe here is obtained by an easy modification of the construction of [3]. A similar construction could be made using toroidal upper semicontinuous decompositions and the techniques of [2].

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If M is a manifold, then $\text{Bd } M$ and $\text{Int } M$ denote the boundary and interior, respectively, of M .

A collection C of sets in a metric space is a *null collection* if and only if for each positive number ε , there exist at most finitely many sets of C of diameter greater than ε .

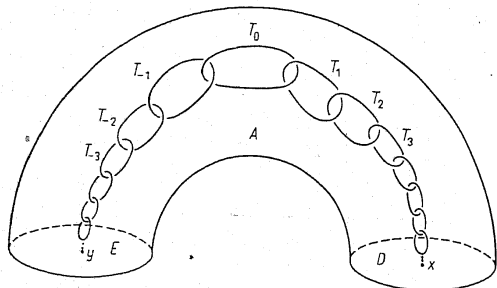
2. Tapered Antoine's necklaces. Let D denote the disc $\{(x, y) = (x, y) \in E^2 \text{ and } (x-2)^2 + y^2 \leq 1\}$ in the plane E^2 . Let T denote the solid torus obtained by rotating D about the Y -axis. Let E denote the disc which is the component of $T \cap E^2$ distinct from D . Let x and y denote the centers of D and E , respectively. Let A and B denote the two 3-cells that are the closures of the components of $T - (D \cup E)$.

In the construction of the spaces to be studied in this paper, we use sets related to the standard Antoine's necklaces in E^3 , and which we shall call "tapered Antoine's necklaces". In Section 3, for each positive integer r , we shall construct two such sets, one in A and one in B . In this section we shall describe the construction for such sets in A , and give notation to be used later. Corresponding sets in B will be obtained by reflection through E^2 .

By a *doubly infinite chain of solid tori* in A we shall mean a collection

$$\{\dots, T_{-2}, T_{-1}, T_0, T_1, T_2, \dots\}$$

of mutually disjoint unknotted polyhedral solid tori in $\text{Int } A$ such that (1) if n and m are distinct integers, then T_n and T_m are linked if and only if $|n-m| = 1$, (2) for any neighborhood U of x , there is an integer λ such that if $i > \lambda$, $T_i \subset U$, and (3) for any neighborhood V of y , there is an integer μ such that if $j > \mu$, then $T_j \subset V$. See Figure 1. Note that the chain is a null collection. The *mesh* of the chain is $\max\{\text{diam } T_i : i \text{ is an integer}\}$.



If n is any positive integer, then α is a stage n index in the construction of N_r if and only if there exist integers i_1, i_2, \dots , and i_n such that $1 \leq i_2 \leq m_{r i_1}$, $1 \leq i_3 \leq m_{r i_1 i_2}$, \dots , and $1 \leq i_n \leq m_{r i_1 \dots i_{n-1}}$, and $\alpha = i_1 i_2 \dots i_n$.

Clearly, if $z \in \text{Int} A$, N_r may be constructed so that $z \in N_r$.

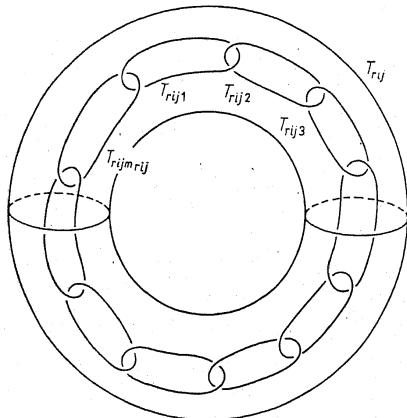


Fig. 3

For each integer i , we construct an arc A_{ri} lying in $\text{Int} T_{ri}$ just as in section 2 of [3]. It is true that for each i , A_{ri} contains $N_r \cap T_{ri}$. The collection

$$\{\dots, A_{r(-2)}, A_{r(-1)}, A_{r0}, A_{r1}, A_{r2}, \dots\}$$

is a null collection of mutually disjoint arcs.

3. Construction of certain decompositions. Let x_1, x_2, x_3, \dots be a countable dense subset of $\text{Int} A$.

Let N_1 be a tapered Antoine's necklace in A such that (1) $x_1 \in N_1$ and (2) each of the first stage solid tori used in describing N_1 has diameter less than 1.

For each positive integer i , there is a first stage solid torus T_{1i} used in describing N_1 , and there is an arc A_{1i} in $\text{Int} T_{1i}$, containing $T_{1i} \cap N_1$, and constructed as described above. Let \mathcal{A}_1 denote

$$\{\dots, A_{1(-2)}, A_{1(-1)}, A_{10}, A_{11}, A, \dots\},$$

and let A_1 denote $\{x, y\} \cup (\bigcup \{a : a \in \mathcal{A}_1\})$. Then \mathcal{A}_1 is a null collection of mutually disjoint arcs in $\text{Int} A$, each of diameter less than one.

Let r_2 be the least positive integer q such that $x_q \notin A_1$. Let N_2 be a tapered Antoine's necklace in A , containing x_{r_2} , and, except for x and y ,

disjoint from A_1 . Further, we required that each of the first stage solid tori used in describing N_2 be disjoint from A_1 and have diameter less than $1/2$.

For each positive integer i , there is a first stage solid torus T_{2i} used in describing N_2 , and there is an arc A_{2i} in $\text{Int} T_{2i}$, containing $N_2 \cap T_{2i}$, and constructed as described above. Let \mathcal{A}_2 denote

$$\{\dots, A_{2(-2)}, A_{2(-1)}, A_{20}, A_{21}, A_{22}, \dots\},$$

and let A_2 denote $\{x, y\} \cup (\bigcup \{a : a \in \mathcal{A}_2\})$. Then \mathcal{A}_2 is a null collection of mutually disjoint arcs in $\text{Int} A$, each of diameter less than $1/2$.

Let this process be continued. There result a sequence N_1, N_2, N_3, \dots of tapered Antoine's necklaces in A , and a sequence $\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}_3, \dots$ of null collections of mutually disjoint arcs in $\text{Int} A$ such that if for each positive integer r , $A_r = \{x, y\} \cup (\bigcup \{a : a \in \mathcal{A}_r\})$, the following hold: (1) $N_r \subset A_r$.

(2) $x_r \in \bigcup_{i=1}^r A_i$. (3) For any integer i , $(\text{diam } A_{ri}) < 1/2^{r-1}$.

Let \mathcal{A} denote the collection $\bigcup \{\mathcal{A}_r : r = 1, 2, 3, \dots\}$. It is clear that \mathcal{A} is a null collection of mutually disjoint arcs in $\text{Int} A$.

Let C be the disc $\{(x, y) : (x, y) \in E^2, |x| \leq 2, \text{ and } |y| \leq 1\}$ in the plane E^2 . Then $C \cup D \cup E$ is a disc in E^2 . Let A^* denote $A \cup C$, and let B^* denote $B \cup C$.

Let H denote the collection consisting of all the arcs of the family \mathcal{A} , together with all singleton subsets of A^* not on arcs of \mathcal{A} . Since \mathcal{A} is a null collection, it follows that H is an upper semicontinuous decomposition of A^* . Let X denote the associated decomposition space, and let h denote the projection map from A^* onto X .

B and B^* are the images under reflection in the plane E^2 of A and A^* , respectively. Let K denote the collection of images, under reflection in E^2 , of sets of H . K is an upper semicontinuous decomposition of B^* . Let Y denote the associated decomposition space. Clearly Y is homeomorphic to X .

Let G denote $H \cup K$; it is easily verified that G is an upper semicontinuous decomposition of $A^* \cup B^*$. Let Z denote the associated decomposition space, and let g denote the projection map from $A^* \cup B^*$ onto Z .

It is clear that $Z = X \cup Y$. The remainder of the paper is devoted to proving the following two facts:

- (1) X, Y , and $X \cap Y$ have brick decompositions.
- (2) Z has no brick decomposition.

4. Preliminary lemmas. We establish some preliminary lemmas in this section. The first two are adaptations, to the situation described in this paper, of Lemmas 2 and 4 of [3].

LEMMA 1. Suppose that r is a positive integer, i is an integer, and U is an open subset of $A^* \cup B^*$ such that (1) U is a union of elements of G and (2) U contains a singular disc Δ such that $\text{Bd} \Delta \subset T_{ri}$ and $\text{Bd} \Delta \sim 0$ in T_{ri} . Then U contains loops γ and λ such that (1) $\gamma \subset T_{r(i-1)}$ and $\gamma \sim 0$ in $T_{r(i-1)}$, and (2) $\lambda \subset T_{r(i+1)}$ and $\lambda \sim 0$ in $T_{r(i+1)}$.

LEMMA 2. Suppose that U_0, U_1, U_2, \dots is a sequence of open subsets of $A^* \cup B^*$ such that for each j , $U_{j+1} \subset U_j$ and each loop in U_{j+1} is homotopic to 0 in U_j . Suppose V is open in $A^* \cup B^*$, $V \subset \bigcap_{j=0}^{\infty} U_j$, and for some integers k and l , $A_{kl} \subset V$. Then there is a loop γ in $U_0 \cap T_{kl}$ such that $\gamma \sim 0$ in T_{kl} .

LEMMA 3. Suppose the hypothesis of Lemma 2, and in addition, each of V, U_0, U_1, U_2, \dots is a union of elements of G . Suppose U_x and U_y are neighborhoods (in $A^* \cup B^*$) of x and y , respectively. Then there exist integers s and t such that (1) $t < s$, (2) $T_{ks} \subset U_x$, (3) $T_{kt} \subset U_y$, and (4) if i is an integer such that $t \leq i \leq s$, there is a loop γ_i in $U_1 \cap T_{ki}$ such that $\gamma_i \sim 0$ in T_{ki} .

Proof. From the construction, there exist integers t and s such that $t < s$, $T_{ks} \subset U_x$, and $T_{kt} \subset U_y$. We may suppose that $s - l = l - t$; let m denote $s - l$.

The sequence $U_{m+1}, U_{m+2}, U_{m+3}, \dots$ and the set V satisfy the hypothesis of Lemma 2. Hence there is a loop γ_l in $U_{m+1} \cap T_{kl}$ such that $\gamma_l \sim 0$ in T_{kl} . Since each loop in U_{m+1} is trivial in U_m , γ_l bounds a singular disc Δ_l in U_m . Then by Lemma 1, there exist (a) loop γ_{l+1} in $U_m \cap T_{k(l+1)}$ such that $\gamma_{l+1} \sim 0$ in $T_{k(l+1)}$ and (b) a loop γ_{l-1} in $U_m \cap T_{k(l-1)}$ such that $\gamma_{l-1} \sim 0$ in $T_{k(l-1)}$.

After finitely many repetitions of this procedure, we obtain loops γ_s in $U_1 \cap T_{ks}$ and γ_t in $U_1 \cap T_{kt}$ such that $\gamma_s \sim 0$ in T_{ks} and $\gamma_t \sim 0$ in T_{kt} .

Since for each j , $U_j \subset U_1$, then each of the loops $\gamma_s, \gamma_{s-1}, \dots, \gamma_t, \dots, \gamma_{t+1}$, and γ_t lies in U_1 .

LEMMA 4. Suppose Q is a 3-cell in E^3 , γ and λ are disjoint linked loops in $\text{Int} Q$, and λ bounds a singular disc Δ in E^3 such that in a neighborhood of $\text{Bd} Q$, Δ is polyhedral. Then there is an arc β in $\Delta \cap \text{Int} Q$ joining a point of γ to a point of λ .

Proof. There is a 3-cell Q_0 such that $Q_0 \subset \text{Int} Q$, $\gamma \cup \lambda \subset \text{Int} Q_0$, Δ is polyhedral in a neighborhood of $\text{Bd} Q_0$ and in general position with $\text{Bd} Q_0$. Let δ be a map from a 2-simplex Δ_0 onto Δ such that $\delta[\text{Bd} \Delta_0] = \lambda$ and each component of $\delta^{-1}[\Delta \cap \text{Bd} Q_0]$ is a simple closed curve in $\text{Int} \Delta_0$. Let D_0 be the component of $\Delta_0 - \delta^{-1}[\Delta \cap \text{Bd} Q_0]$ containing $\text{Bd} \Delta_0$. Then $\delta[D_0]$ lies in Q_0 , and hence in $\text{Int} Q$.

We shall prove now that γ intersects $\delta[D_0]$. For each boundary curve μ of D_0 distinct from $\text{Bd} \Delta_0$, $\delta[\mu] \subset \text{Bd} Q_0$. Hence there is an extension δ^* of $\delta|_{D_0}$ such that $\delta^*[\Delta_0 - D_0] \subset \text{Bd} Q_0$. Since γ and λ are linked,

γ intersects $\delta^*[\Delta_0]$. Since $\gamma \subset \text{Int} Q_0$ and $\delta^*[\Delta_0] \cap \text{Int} Q_0 \subset \delta[D_0]$, γ intersects $\delta[D_0]$.

Hence $\delta[D_0]$ contains an arc β joining a point of γ and a point of λ . Clearly $\beta \subset \Delta \cap \text{Int} Q$.

5. Properties of X, Y , and Z .

LEMMA 5. Each of X, Y , and Z is a compact absolute neighborhood retract.

Proof. This follows immediately from Corollary 12.14 of Chapter V of [4] provided each of X, Y , and Z has finite dimension. We shall prove that Z has finite dimension.

Z is the space of a certain decomposition G of the set $A^* \cup B^*$, and $A^* \cup B^* \subset E^3$. Further, G has only a countable number of nondegenerate elements, and each is an arc. Enlarge G to a decomposition G^* or E^3 by adding to the sets of G all singletons in $E^3 - (A^* \cup B^*)$; here we regard E^3 as a subset of E^4 . Now each arc of G lies in E^3 and hence by the Corollary on page 337 of [5], each arc of G is cellular in E^3 . Hence G^* is a cellular (or pointlike) decomposition of E^4 . Further, if φ is the associated projection map sending E^4 onto the decomposition space E^4/G^* associated with G^* , then $\varphi[A^* \cup B^*]$ is homeomorphic to Z .

By Corollary 2 of [1], E^4/G^* can be embedded in E^5 . Hence Z is finite dimensional.

The following lemma is the main result of this section. Recall that g is the projection map from $A^* \cup B^*$ onto Z .

LEMMA 6. Suppose M is a compact absolute retract in Z such that for some open subset A of $g[\text{Int} A]$ and some integers k and l , $g[A_{kl}] \subset A \subset M$. Suppose W is any open set in Z containing M , and U_x and U_y are open sets in $A^* \cup B^*$ containing x and y , respectively. Then there is an arc θ in $g^{-1}[W] \cap \text{Int} A$ from a point of U_x to a point of U_y .

Proof. By Lemma 5, Z is a compact absolute neighborhood retract. Hence we may apply Lemma 7 of [3]. Let W_0 denote W . Hence there exists a sequence W_0, W_1, W_2, \dots of open sets in Z such that for each i , $M \subset W_i$, $W_{i+1} \subset W_i$, and each loop in W_{i+1} is homotopic to 0 in W_i .

For each i , let U_i denote $g^{-1}[W_i]$. Then for each i , U_i is open in $A^* \cup B^*$ and a union of elements of G . Further, by Lemma 9 of [3], for each i , each loop in U_{i+1} is homotopic to 0 in U_i .

Let V denote $g^{-1}[A]$. Since $g[\text{Int} A]$ is open in Z , V is open in $A^* \cup B^*$. Since $M \subset \bigcap_{i=0}^{\infty} W_i$, then $V \subset \bigcap_{i=0}^{\infty} U_i$. Since there exist integers k and l such that $g[A_{kl}] \subset A$ it follows that $A_{kl} \subset V$.

By Lemma 3, there exist integers s and t with $t < s$ such that $T_{ks} \subset U_x$, $T_{kt} \subset U_y$, and if i is an integer such that $t \leq i \leq s$, there is a loop γ_i in $U_i \cap T_{ki}$ such that $\gamma_i \sim 0$ in T_{ki} .

Now each loop in U_1 is trivial in U_0 . Hence for each i such that $t \leq i \leq s$, γ_i bounds a singular disc Δ_i in U_0 .

Let A_0 be a 3-cell in $\text{Int} A$ such that $\bigcup_{i=t}^s \gamma_i \subset \text{Int} A_0$. Since $\text{Bd} A_0 \subset \text{Int} A$, we may, for each i such that $t \leq i \leq s$, adjust Δ_i in a neighborhood of $\text{Bd} A_0$ so that the adjusted Δ_i is polyhedral and lies in U_0 . We assume then that each Δ_i has these properties.

Now by construction and the fact that for each i such that $t \leq i \leq s$, γ_i lies in T_{ki} and $\gamma_i \sim 0$ in T_{ki} , it follows that if $t \leq i < s$, γ_i and γ_{i+1} are linked. Therefore, by Lemma 4, if $t \leq i < s$, there is an arc β_i in $\Delta_i \cap \text{Int} A$ joining a point of γ_i to a point of γ_{i+1} . Then $(\bigcup_{i=t}^s \gamma_i) \cup (\bigcup_{i=t}^{s-1} \beta_i)$ is an arcwise

connected continuum lying in $(\bigcup_{i=t}^s \Delta_i) \cap \text{Int} A$, and since $(\bigcup_{i=t}^s \Delta_i) \subset U_0$, this continuum lies in $U_0 \cap \text{Int} A$. Since $\gamma_s \subset T_{ks} \subset U_x$ and $\gamma_t \subset T_{kt} \subset U_y$, there is an arc θ lying in $U_0 \cap \text{Int} A$ joining a point of U_x and a point of U_y .

In the construction of X , we made use of a countable dense subset x_1, x_2, \dots of $\text{Int} A$. The construction was done so that if r is any positive integer, there exist integers k and l such that $x_r \in A_{kl}$. Note that $\{g(x_r) : r = 1, 2, 3, \dots\}$ is dense in $g[\text{Int} A]$, and since $\text{Int} A$ is a union of elements of G , $g[\text{Int} A]$ is open in Z .

For each positive integer r , let y_r be the image, under reflection in E^2 , of x_r , and for integers k and l , let B_{kl} denote the image, under reflection in E^2 , of A_{kl} .

LEMMA 7. *Suppose M is a compact absolute retract in Z such that for some open subset Ω of $g[\text{Int} B]$ and some integers m and n , $g[B_{mn}] \subset \Omega \subset M$. Suppose U_x and U_y are open sets in $A^* \cup B^*$ containing x and y , respectively, and W is any open set in Z containing M . Then there is an arc φ in $g^{-1}[W] \cap \cap \text{Int} B$ from a point of U_x to a point of U_y .*

LEMMA 8. *Suppose M is a compact absolute retract in Z such that for some open subset A of $g[\text{Int} A]$ and some integers k and l , $g[A_{kl}] \subset A \subset M$. Then both x and y belong to $g^{-1}[M]$.*

Proof. Since M is compact, so is $g^{-1}[M]$. We shall prove that if U is any open set in $A^* \cup B^*$ containing $g^{-1}[M]$, both x and y belong to the closure of U . It then follows easily that x and y belong to $g^{-1}[M]$.

Let U be any open set in $A^* \cup B^*$ containing $g^{-1}[M]$. Since G is upper semicontinuous, there is an open set V in $A^* \cup B^*$ such that $g^{-1}[M] \subset V \subset U$ and V is a union of elements of G . Hence $g[V]$ is open in Z . Let U_x and U_y be open sets in $A^* \cup B^*$ containing x and y , respectively.

By Lemma 6, there is an arc θ in $V \cap \text{Int} A$ intersecting both U_x and U_y . Since $V \subset U$, U intersects U_x and U_y . Hence x and y belong to the closure of U .

LEMMA 9. *Suppose M is a compact absolute retract in Z such that for some open subset Ω of $g[\text{Int} B]$ and some integers m and n , $g[B_{mn}] \subset \Omega \subset M$. Then both x and y belong to $g^{-1}[M]$.*

6. Existence of brick decompositions.

LEMMA 10. *Each of X , Y , and $X \cap Y$ has a brick decomposition.*

Proof. It is easy to see that the disc $C \cup D \cup E$ has a brick decomposition C into discs, having D and E as elements, and such that no disc of C intersects both D and E . Since $X \cap Y$ is homeomorphic to $C \cup D \cup E$, then $X \cap Y$ has a brick decomposition.

Recall that X is the space associated with the decomposition H of A^* , and that h is the projection map from A^* onto X . Now A is a 3-cell and is a union of elements of H . By Corollary 12.14 of Chapter V of [4], $h[A]$ is a compact absolute retract. The fact that $h[A]$ is finite-dimensional is a corollary of facts established in the proof of Lemma 5, and of the fact that $h[A]$ and $g[A]$ are homeomorphic.

Let \mathcal{B} consist of $h[A]$ and each set $h[\sigma]$ where σ is a disc of C distinct from D and E . Recall that no disc of C intersects both D and E . It follows that \mathcal{B} is a brick decomposition of X . Since Y is homeomorphic to X , Y has a brick decomposition.

LEMMA 11. *Z has no brick decomposition.*

Proof. Suppose Z has a brick decomposition $\{Z_1, Z_2, \dots, Z_p\}$. Now $g[\text{Int} A]$ is an open subset of Z , and hence there exists an integer q such that Z_q contains an open subset A of $g[\text{Int} A]$. Then since $\{g(x_i) : i = 1, 2, \dots\}$ is dense in $g[\text{Int} A]$, there exists an integer i such that $g(x_i) \in A$.

By a similar argument, there exist integers r and j such that $g(y_j)$ lies in an open set Ω in $g[\text{Int} B]$ and $\Omega \subset Z_r$.

Now it follows from Lemmas 8 and 9 that both Z_q and Z_r contain $g(x)$ and $g(y)$, and hence Z_q and Z_r intersect. Since $\{Z_1, Z_2, \dots, Z_p\}$ is a brick decomposition, $Z_q \cap Z_r$ is a compact absolute retract, and therefore, by 2.9, Chapter V of [4], $Z_q \cup Z_r$ is a compact absolute retract. Let M denote $Z_q \cup Z_r$. We have shown that (1) there exist an open subset A of $g[\text{Int} A] \cap M$ and an integer i such that $g(x_i) \in A$ and (2) there exist an open subset Ω of $g[\text{Int} B] \cap M$ and an integer j such that $g(y_j) \in \Omega$.

It follows that there exist integers k and l such that $g[A_{kl}] = g(x_i)$, and integers m and n such that $g[B_{mn}] = g(y_j)$. Hence $g[A_{kl}] \subset A$ and $g[B_{mn}] \subset \Omega$.

Since M is a compact absolute retract in Z , then by Lemma 6 of [3], there is an open set W in Z such that $M \subset W \subset Z$ and each loop in W is homotopic to 0 in Z . By Lemma 9 of [3], each loop in $g^{-1}[W]$ is homotopic to 0 in $g^{-1}[Z]$, or in $A^* \cup B^*$.

Since both x and y belong to $g^{-1}[M]$ and $g^{-1}[M] \subset g^{-1}[W]$, there exist disjoint open 3-cells U_x and U_y containing x and y , respectively, and contained in $g^{-1}[W]$.

By Lemma 6, there is an arc θ in $(\text{Int}A) \cap g^{-1}[W]$ from a point of U_x to a point of U_y . By Lemma 7, there is an arc φ in $(\text{Int}B) \cap g^{-1}[W]$ from a point of U_x to a point of U_y . Let γ be a simple closed curve formed by joining θ and φ by an arc in U_x and by an arc in U_y . Then γ is a loop in $g^{-1}[W]$ and it is clear that $\gamma \sim 0$ in $A^* \cup B^*$. However, W has the property that each loop in $g^{-1}[W]$ is homotopic to 0 in $A^* \cup B^*$. This is a contradiction, and thus Z has no brick decomposition.

The following summarizes our results.

THEOREM. *There exist compact metric spaces X and Y such that (1) X , Y , and $X \cap Y$ have brick decompositions, but (2) $X \cup Y$ has no brick decomposition.*

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Determinateness in the low projective hierarchy *

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Introduction. This paper contains results on Σ_1^1 determinateness, determinateness for certain fragments of the Boolean algebra generated by the Σ_1^1 sets, and Δ_2^1 determinateness. We use L for the class of constructible sets. In the first section, we use L_α for the set of all sets of level $< \alpha$ in the constructible hierarchy, and $L_\alpha(x)$ for the set of all sets of level $< \alpha$ in the constructible hierarchy starting from x . In Sections 2, 3, 4, however, we found it convenient to use, respectively, $L(\alpha)$ and $L^\pi(\alpha)$, and to use L^π for the class of sets constructible from x . (No confusion will arise as to which of the possible notions of relative constructibility is used.) By K determinateness, where $K \subset (N^N \times N^N)$, we mean that $(\forall A \in K) (A \text{ is determined})$. By " A is determined" we mean that the game G_A has a winning strategy for either player I or player II, where G is played as follows: players I, II play alternately, starting with I. Each move is an integer. The result of the game is an element x of $N^N \times N^N$, and I is deemed the winner if $x \in A$; II is deemed the winner otherwise.

In Section 1 we consider Σ_1^1 determinateness (a relativized version, Theorem 1', is stated in Section 4). Previously, there were two main results about Σ_1^1 determinateness. The first is the result of D. Martin [4] that Σ_1^1 determinateness follows from (*) of Section 2, which in turn follows from measurable cardinals. The second is that $\Sigma_1^1(\Sigma_1^1)$ determinateness implies that every uncountable $\Sigma_1^1(\Sigma_1^1)$ subset of N^N contains a perfect subset (Davis [2], Theorems 4.1, 4.2). The former result suggested that it would be worthwhile to find a consistency proof for $\Sigma_1^1(\Sigma_1^1)$ determinateness using the consistency of some currently formulated axioms about sets. The latter result showed that, by Solovay [10], $\Sigma_1^1(\Sigma_1^1)$ determinateness implies that $N^N \cap L$ is countable ($N^N \cap L(x)$ is countable for all $x \in \omega$), and so Σ_1^1 determinateness cannot be proved from the currently formulated axioms about sets, since they are all compatible with $(\forall x)(x \in L)$. But it was still possible that Σ_1^1 determinateness was

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