

## Two-norm spaces and decompositions of Banach spaces, I

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**Abstract.** The only known applications of *two-norm spaces*, a recent invention of the Polish school, is to summability theory. The aim of this paper is to apply two-norm space theory to Banach spaces with bases and decompositions. To every such Banach space we associate a two-norm space the study of the properties of which illustrates the structure of the Banach space. Corollaries of our results include those of Ruckle, Sanders, Singer and others.

**Introduction.** The theory of *two-norm spaces* is a relatively new invention of the Polish school. A two-norm space  $X_s = (X, | \cdot |_1, | \cdot |_2)$  is defined to be a linear set  $X$  (over the real or complex field) with two norms  $| \cdot |_1$  and  $| \cdot |_2$ . Closely related to the notion of a two-norm space  $X_s$  is a metric space  $(S, d)$ , where  $S$  is the closed unit ball of the normed linear space  $(X, | \cdot |_1)$  and  $d$  is the metric induced by the norm  $| \cdot |_2$ . Such metric spaces, when they are complete, have been called *Saks spaces* by Orlicz, after S. Saks who first used this idea in [11] and [12].

In [7] Orlicz showed the use of Saks spaces in the theory of summability. A. K. Snyder has used two-norm spaces to characterise conull FK spaces (see, for instance, [18], p. 941). Conway [4] gave a simple proof of Schur's theorem (sequential weak convergence in  $l$  implies norm convergence). Although he does not explicitly mention Saks spaces, his proof makes use of the closed unit ball of the space  $m$  with the metric  $d$  being induced by  $\{a_n\}_2 = \sum_n |a_n|/2^n$ . Using Saks spaces, Rothman [9] has constructed a whole class of Banach spaces with this property.

In [1], [2] and [3] Alexiewicz and Semadeni developed an elegant theory of two-norm spaces but did not indicate any applications. Our aim in this paper is to show an application of their theory to Banach spaces with Schauder bases and decompositions. Sections 1 and 2 contain the necessary basic definitions and results pertaining to two-norm spaces and Schauder decompositions respectively. The *canonical two-norm space* is introduced in section 3 and the concept of *k-duals* in section 4. These two sections contain the main theorems of this paper. In section 5 we show that theorems of Ruckle [10], Sanders [13] and others can be obtained as corollaries of our results. We also prove duality theorems between

shrinking and boundedly complete Schauder decompositions. In section 6 we use two-norm spaces to extend Singer's results [15] on basic constants.

**1. Two-norm spaces.** A two-norm space  $X_s = (X, | \cdot |_1, | \cdot |_2)$  is a linear set  $X$  with two homogeneous norms  $| \cdot |_1, | \cdot |_2$  although the homogeneity is not necessary in general. We shall also assume that  $X$  is over the reals  $\mathbf{R}$ , the extension to the case when the field of scalars is the complex numbers  $\mathbf{C}$  being routine. We shall say  $X_s$  satisfies  $(n_0)$  if for every sequence  $\{x_n\}$  of points in  $X_s$  with  $|x_n|_1 \rightarrow 0$  we also have  $|x_n|_2 \rightarrow 0$ .

A sequence  $\{x_n\}$  of points in  $X_s$  is said to be  $\gamma$ -convergent to  $x$  in  $X$  if both

$$\sup_n |x_n|_1 < \infty \quad \text{and} \quad \lim_n |x_n - x|_2 = 0.$$

A  $\gamma$ -Cauchy sequence is defined in an analogous manner and  $X_s$  is called  $\gamma$ -complete if it is sequentially complete for the convergence  $(\gamma)$ . A  $\gamma$ -linear functional  $f$  on  $X_s$  is a real valued functional such that (i)  $f(ax + by) = af(x) + bf(y)$  for all  $a, b$  in  $\mathbf{R}$ ,  $x, y$  in  $X$  and (ii)  $x_n \xrightarrow{\gamma} x \Rightarrow f(x_n) \rightarrow f(x)$ . The set of all  $\gamma$ -linear functionals on  $X_s$  is denoted by  $A(X_s)$ .

The space  $X_s$  is called quasi-normal if there is a constant  $O(X_s) \geq 1$  such that for any sequence  $\{x_n\}$  of points in  $X_s$ ,

$$x_n \xrightarrow{\gamma} x \Rightarrow |x|_1 \leq O(X_s) \liminf_n |x_n|_1.$$

The smallest constant  $O(X_s)$  is called the constant of quasi-normality of  $X_s$ . When  $O(X_s)$  is 1,  $X_s$  is called normal.

Let  $(X_j^*, | \cdot |_j^*) = (X, | \cdot |_j)^*$ ,  $j = 1, 2$ . It is known [8], p. 57, that whenever  $X_s$  satisfies  $(n_0)$ ,  $X_2^* \subseteq A(X_s)$  and that  $A(X_s)$  is a closed subspace of  $X_1^*$ . If  $X_s$  is quasi-normal as well, it is shown in [3], p. 118, that  $(X_2^*, | \cdot |_1^*)$  is dense in  $(A(X_s), | \cdot |_1^*)$ . The equality  $A(X_s) = X_1^*$  may occur in non-trivial cases. In this case  $X_s$  is called saturated. An example is the space  $e_{0s} = (e_0, \sup_n |a_n|, \sum_n |a_n|^2/2^n)$ ,  $e_0$  being the space of null sequences. Here  $A(e_{0s}) = e_0^* = l$ , where  $l$  is the space of absolutely summable sequences.

Suppose  $X_s$  is quasi-normal that it satisfies  $(n_0)$ . Then the canonical embedding  $J: X \rightarrow A(X_s)^*$ , defined for  $x$  in  $X$  by  $J(x)f = f(x)$  for all  $f \in A(X_s)$ , is a linear isomorphism (that is, a topological isomorphism) [3], p. 119. If  $X_s$  is normal,  $J$  is also an isometry [2], p. 279. When ever  $X_s$  is normal and  $J$  is onto  $A(X_s)^*$ ,  $X_s$  is called  $\gamma$ -reflexive.

**2. Schauder decompositions.** A sequence  $\{M_i\}$  of non-trivial subspaces of a Banach space  $X$  is (weak) decomposition of  $X$  if for each  $x$  in  $X$  there exists a unique sequence  $\{x_i\}$  such that  $x_i \in M_i$  for each  $i$  and  $x = \lim_{n \rightarrow \infty} \sum_{i=1}^n x_i$  in  $X$  (in the weak topology of  $X$  respectively). If all sub-

spaces  $M_i$  are closed, then  $\{M_i\}$  is called a (weak) Schauder decomposition of  $X$ . When each  $M_i$  is of dimension 1, the decomposition reduces to the familiar notion of a basis for  $X$ .

Associated with a decomposition  $\{M_i\}$  of a Fréchet space  $X$  is a sequence  $\{P_i\}$  of projection operators,  $P_i: X \rightarrow M_i$  such that  $P_i(x) = x_i$ . It is known [10], II. 2, that if  $\{M_i\}$  is a Schauder decomposition of  $X$ , each  $P_i$  is continuous. We shall denote the Schauder decomposition  $\{M_i\}$  of a Fréchet space  $X$  by  $\{M_i, P_i\}$ ,  $\{X, P_i\}$  or  $\{X, M_i\}$ . We shall sometimes write  $X = \bigoplus_i M_i$  and refer to  $M_i$  as the  $i$ -th component of  $X$ .

Let  $(X, | \cdot |_1)$  be a Banach space (referred to as  $B$ -space hereafter) with a Schauder decomposition  $\{M_i\}$ . Then there exists a constant  $K_1 \geq 1$  such that

$$\left| \sum_{i \leq n} x_i \right|_1 \leq K_1 \left| \sum_{i \leq m} x_i \right|_1$$

for all  $n, m$  with  $n \leq m$  and for all sequences  $\{x_i\}$  with  $x_i \in M_i$  [6], p. 93. The smallest constant  $K_1$  will be called the decomposition constant of  $\{M_i\}$ . When  $K_1 = 1$  we shall say  $\{M_i\}$  is a monotone decomposition. For  $x = \sum_k x_k \in X$  let us write  $s(n, x) = \sum_{i \leq n} x_i$ . For a monotone decomposition then,  $|x|_1 = \sup_n |s(n, x)|_1$ .

Although a given decomposition  $\{M_k\}$  for a  $B$ -space  $(X, | \cdot |_1)$  may not be monotone, the following theorem is of interest. The proof is similar to the case of a  $B$ -space with a basis and is, therefore, omitted (see [17], p. 207).

**THEOREM 2.1.** Let  $(X, | \cdot |_1)$  be a  $B$ -space with a Schauder decomposition  $\{M_k\}$ . Then  $|x|_0 = \sup_n |s(n, x)|_1$  is a norm on  $X$  equivalent to  $| \cdot |_1$ , and with respect to which  $\{M_k\}$  is monotone.

**3. The canonical two-norm space.** Let  $(X, | \cdot |_1)$  be a  $B$ -space with a Schauder decomposition  $\{M_k\}$ . For  $x = \sum_k x_k \in X$ ,  $|x|_2 = \sum_k |x_k|_1/2^k$  is easily seen to be a norm on  $X$ . We shall call  $| \cdot |_2$  the canonical second norm of  $X$ . The two-norm space  $X_s = (X, | \cdot |_1, | \cdot |_2)$  will be called the canonical two-norm space of  $X$ . The following theorem shows that the canonical two-norm space has some desirable properties.

**THEOREM 3.1.** Let  $(X, | \cdot |_1)$  be a  $B$ -space with a Schauder decomposition  $\{M_k\}$ . Then the canonical two-norm space  $X_s$  has property  $(n_0)$  and is quasi-normal.

**Proof.** Let  $|x|'_1 = \sup_n |s(n, x)|_1$ . By Theorem 2.1, there exist positive numbers  $k$  and  $K$  such that for any  $x \in X$ ,

$$k|x|_1 \leq |x|'_1 \leq K|x|_1.$$

For  $x = \sum_k x_k \in X$ ,  $|x_k|_1 \leq 2|x|'_1$ , so that  $|x|_2 = \sum_k |x_k|_1/2^k \leq 2|x|'_1 \leq 2K|x|_1$ , whence it follows that  $(n_0)$  is satisfied. To show that  $X_s$  is quasi-normal, observe that the canonical second norm  $| \cdot |_2$  induced by  $| \cdot |_1$  is equivalent to  $| \cdot |_2$  so that it suffices to show that the two-norm space  $X'_s = (X, | \cdot |_1, | \cdot |_2)$  is normal. Let  $x_n \xrightarrow{'} x$  in  $X'_s$ . We may assume that  $|x_n|'_1 \leq 1$ . Let  $j$  be arbitrary but fixed. Since

$$|x_{n,k} - x_k|'_1 \rightarrow 0 \quad \text{for } k = 1, 2, \dots$$

(where  $x_n = \sum_k x_{n,k}$ ), we have

$$|s(j, x_n) - s(j, x)|'_1 \leq \sum_{k \leq j} |x_{n,k} - x_k|'_1 \rightarrow 0$$

as  $n \rightarrow \infty$ . It follows by the monotonicity of  $\{M_k\}$  with respect to  $| \cdot |_1$  (Theorem 2.1) that

$$|s(j, x)|'_1 = \lim_n |s(j, x_n)|'_1 \leq \liminf_n |x_n|'_1 \leq 1$$

and hence  $|x|'_1 \leq 1$ . This proves the normality of  $X'_s$  and completes the proof.

**Remark 3.2.** As an immediate consequence of Theorem 3.1, we note that  $A(X_s) \subseteq X_1^*$ . Let  $K_1$  be the decomposition constant of  $\{M_k\}$  and  $C(X_s)$  the constant of quasi-normality of  $X_s$ . The proof of Theorem 3.1 may be used to show that  $C(X_s) \leq K_1$ . Recall that the Dixmier characteristic  $r(A(X_s))$  of  $A(X_s)$  is the largest positive number  $r$  such that  $\{f \in A(X_s) : |f|'_1 \leq 1\}$  is  $\sigma(X_1^*, X)$  dense in  $\{f \in X_1^* : |f|'_1 \leq r\}$ . It follows from [3], p. 117, that

$$r(A(X_s)) = 1/C(X_s) > 0.$$

Since  $0 < r(A(X_s)) \leq 1$ , it follows that  $1 \leq C(X_s) \leq K_1$ . From these considerations it follows that the canonical map  $J$  from  $X$  into  $A(X_s)^*$ , defined for  $x \in X$  by

$$J(x)f = f(x), \quad f \in A(X_s)$$

is an isomorphism. When  $K_1 = 1$ ,  $C(X_s) = 1$  so that the monotonicity of  $\{M_k\}$  implies the normality of  $X_s$ . As we shall see later (Remark 6.4), the converse is false:  $X_s$  may be normal without the decomposition being monotone. We also observe that the normality of  $X_s$  implies that  $r(A(X_s)) = 1$  and in this case  $J$  is an isometry.

**DEFINITION 3.3.** A Schauder decomposition  $\{M_k\}$  for a  $B$ -space  $(X, | \cdot |_1)$  is called *boundedly complete* if for any sequence  $\{x_k\} \subset X$ ,  $x_k \in M_k$ ,

$$\sup_n \left| \sum_{k \leq n} x_k \right|_1 < \infty \Rightarrow \sum_k x_k = x$$

for some  $x$  in  $X$ . The decomposition  $\{M_k\}$  is called *shrinking* for  $f \in X_1^*$ , if the norm  $|f|_{1,n}^*$  of  $f$  evaluated on  $X_n = \bigoplus_{k > n} M_k$  approaches 0 as  $n \rightarrow \infty$ , that is,

$$\lim_n \left\{ \sup \left\{ |f(x)| : |x|_1 \leq 1, x \in \bigoplus_{k > n} M_k \right\} \right\} = 0.$$

It is called *shrinking* for  $\Sigma \subseteq X_1^*$  if it is shrinking for each  $f$  in  $\Sigma$ . When  $\{M_k\}$  is shrinking for  $X_1^*$ , we shall merely say that  $\{M_k\}$  is *shrinking*.

We note that if  $| \cdot |_0$  is a norm equivalent to  $| \cdot |_1$  on  $X$ , then  $\{M_k\}$  is boundedly complete for  $(X, | \cdot |_0)$  if and only if it is boundedly complete for  $(X, | \cdot |_1)$ . A similar remark applies to the shrinkingness of  $\{M_k\}$ .

**THEOREM 3.4.** Let  $\{M_k\}$  be a Schauder decomposition for a  $B$ -space  $(X, | \cdot |_1)$ . Then  $\{M_k\}$  is shrinking for  $f \in X_1^*$  if and only if  $f \in A(X_s)$ .

**Proof.** By Theorem 2.1 and our remarks above, we may assume without loss of generality that  $\{M_k\}$  is monotone. Let  $S$  and  $X_s$  be the closed unit ball and the canonical two-norm space respectively of  $(X, | \cdot |_1)$ .

To prove the 'if' part, suppose that  $f \in A(X_s)$  and choose  $\varepsilon > 0$ . There exists  $\delta > 0$  such that

$$x \in S, \quad |x|_2 < \delta \Rightarrow |f(x)| < \varepsilon.$$

Let  $N$  be a positive integer such that  $\sum_{k > N} 2^{-k+1} < \delta$ . Then for  $x = \sum_{k > N} x_k \in X_N \cap S$  we obtain,

$$|x|_2 = \sum_{k > N} |x_k|_1/2^k \leq \sum_{k > N} 2^{-k+1} < \delta$$

since  $|x_k|_1 \leq 2$  by the monotonicity of  $\{M_k\}$ . Hence for  $n > N$ , we now get

$$|f|_{1,n}^* = \sup \{ |f(x)| : x \in X_n \cap S \} < \varepsilon,$$

so that  $\{M_k\}$  is indeed shrinking for  $f$ .

Conversely let  $f \in X_1^*$  such that  $|f|_{1,n}^* \rightarrow 0$ . Let  $\{x_n\}$  be a sequence of points in  $X$  which  $\gamma$  converges to 0. We may assume that  $|x_n|_1 \leq 1/2$ . Let  $\varepsilon > 0$  and choose a positive integer  $N$  such that  $|f|_{1,N}^* < \varepsilon/2$ . Now  $|x_n|_2 \rightarrow 0$  implies that  $|x_{n,k}|_1 \rightarrow 0$  for  $k = 1, 2, \dots$ , where  $x_n = \sum_k x_{n,k}$ . Since  $f \in X_1^*$ , there exists a positive integer  $M$  such that

$$n > M \Rightarrow |f(x_{n,k})| < \varepsilon/2N, \quad k = 1, 2, \dots, N.$$

By the monotonicity of  $\{M_k\}$ ,

$$\left| \sum_{k > N} x_{n,k} \right|_1 \leq 2|x_n|_1 \leq 1.$$

Since  $\sum_{k>N} x_{n,k} \in X_N$ , we obtain for  $n > M$ ,

$$\begin{aligned} |f(x_n)| &\leq \left| f\left(\sum_{k \leq N} x_{n,k}\right) \right| + \left| f\left(\sum_{k > N} x_{n,k}\right) \right| \\ &< (\varepsilon/2N)N + \varepsilon/2 = \varepsilon \end{aligned}$$

showing that  $f \in A(X_s)$ . This completes the proof.

**COROLLARY 3.5.** *Let  $\{M_k\}$  be a Schauder decomposition for a  $B$ -space  $(X, |\cdot|_1)$ . Then  $\{M_k\}$  is shrinking if and only if the canonical two-norm space  $X_s$  of  $X$  is saturated.*

*Proof.* Recall that  $X_s$  is saturated if  $A(X_s) = X_1^*$ . Now apply Theorem 3.4.

The following theorem shows the close relation between a boundedly complete decomposition and the canonical two-norm space.

**THEOREM 3.6.** *A Schauder decomposition  $\{M_k\}$  for a  $B$ -space  $(X, |\cdot|_1)$  is boundedly complete if and only if the canonical two-norm space is  $\gamma$ -complete.*

*Proof.* Without loss of generality we assume that  $\{M_k\}$  is monotone. Assume that  $\{M_k\}$  is boundedly complete and let  $\{x_n\}$  be a  $\gamma$ -Cauchy sequence in  $X_s$ . We write  $x_n = \sum_k x_{n,k}$ . Then there exists a positive number  $K$  such that  $|x_n|_1 \leq K$  and  $|x_n - x_m|_2 \rightarrow 0$  as  $n, m \rightarrow \infty$ . Hence  $\{x_{n,k}\}$  is a Cauchy sequence in  $M_k$  for  $k = 1, 2, \dots$ . By the completeness of  $M_k$ , there is a  $y_k$  in  $M_k$  such that  $|x_{n,k} - y_k|_1 \rightarrow 0$  as  $n \rightarrow \infty$ ,  $k = 1, 2, \dots$ . For any fixed  $m \geq 1$  we have,

$$\left| \sum_{k=1}^m y_k \right|_1 = \lim_n \left| \sum_{k=1}^m x_{n,k} \right|_1 \leq \lim_n |x_n|_1 \leq K.$$

By the boundedly completeness of  $\{M_k\}$ ,  $\sum_k y_k = \xi$  for some  $\xi \in X$ . It is clear that  $x_n \xrightarrow{\gamma} \xi$  so that  $X_s$  is  $\gamma$ -complete.

To prove the otherway, let  $\{x_k\}$  be a sequence in  $X$  such that  $x_k \in M_k$  and  $\sup_n \left\{ \left| \sum_{k \leq n} x_k \right|_1 \right\} = K < \infty$ . Let  $y_n = \sum_{k \leq n} x_k$ . Now for  $n \leq m$ ,

$$\begin{aligned} |y_n - y_m|_2 &= \sum_k 2^{-k} |y_{n,k} - y_{m,k}|_1 = \sum_{k=n+1}^m |x_k|_1 \cdot 2^{-k} \\ &< \sum_{k \geq n} 2K/2^k \rightarrow 0 \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Hence  $\{y_n\}$  is  $\gamma$ -Cauchy in  $X_s$ . By the  $\gamma$ -completeness of  $X_s$ , we have a  $z$  in  $X_s$  such that  $y_n \xrightarrow{\gamma} z$ , so that in particular,  $|y_{n,k} - z_k|_1 \rightarrow 0$  for  $k = 1, 2, \dots$ , where  $y_n = \sum_k y_{n,k}$  and  $z = \sum_k z_k$ . Since  $y_{n,k} = x_k$  for  $k \leq n$  and equal to 0 for  $k > n$ , it follows that  $x_k = z_k$  for each  $k$ , that is,  $\sum_k x_k$  converges to  $z$ . This completes the proof.

**4. Schauder decomposition for  $A(X_s)$  and the  $k$ -duals.** We shall now show that a Schauder decomposition of a  $B$ -space  $X$  induces a Schauder decomposition on  $A(X_s)$ .

**THEOREM 4.1.** *Let  $(X, |\cdot|_1)$  be a  $B$ -space with Schauder decomposition  $\{M_k, P_k\}$  and canonical two-norm space  $X_s$ . Then  $\{P_k^*(X_1^*)\}$  is a Schauder decomposition for  $A(X_s)$  and a weak-\* Schauder decomposition for  $X_1^*$ .*

*Proof.* Clearly  $P_k^*$ , the adjoint of  $P_k$ , is both norm and weak-\* continuous. For any  $f \in X_1^*$ ,  $P_k^*(f) = f$  on  $M_k$  and vanishes on  $M_j$  for  $j \neq k$ . Thus  $P_k^*(f)$  is unique. It is easy to see that  $\{P_k^*(X_1^*)\}$  is a weak-\* decomposition of  $X_1^*$ . It remains, therefore, to verify that it is a decomposition of  $A(X_s)$ .

To show that  $P_k^*(X_1^*) \subseteq A(X_s)$  for each  $k$ , let  $\{x_n\}$  be a sequence of points in  $X_s$ ,  $x_n = \sum_k x_{n,k}$ , which is  $\gamma$ -convergent to 0. Since  $|x_n|_2 \rightarrow 0$ ,  $|x_{n,k}|_1 \rightarrow 0$  so that  $P_k^*(f)x_n = f(x_{n,k}) \rightarrow 0$  for any  $f$  in  $X_1^*$ , showing that  $P_k^*(f) \in A(X_s)$ .

Let  $f \in A(X_s)$ . We shall show that

$$\left| f - \sum_{k=1}^n P_k^*(f) \right|_1^* \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

By Theorem 2.1, the norm  $|\cdot|_0$  on  $X$  defined by  $|x|_0 = \sup_n |s(n, x)|_1$  is equivalent to  $|\cdot|_1$ . Thus there exist positive numbers  $k$  and  $K$  such that

$$k|x|_1 \leq |x|_0 \leq K|x|_1.$$

By Theorem 3.4,  $\{M_k\}$  is shrinking for  $f$ . Thus given  $\varepsilon > 0$  we can find a positive integer  $N$  such that for  $n > N$ , we have  $|f|_{1,n}^* < \varepsilon/C$ , where  $C = (1+K)$ . For any  $x = \sum_k x_k$  in  $X$  with  $|x|_1 \leq 1$ , we have

$$\begin{aligned} \left| \sum_{k>n} x_k \right|_1 &= |x - s(n, x)|_1 \leq |x|_1 + |x|_0 \\ &\leq (1+K)|x|_1 \leq C. \end{aligned}$$

Since  $x - s(n, x) \in X_n$ , we have for  $n > N$ ,

$$\begin{aligned} \left| f - \sum_{k=1}^n P_k^*(f) \right|_1^* &= \sup \left\{ \left| f(x) - \sum_{k=1}^n P_k^*(f)x \right| : |x|_1 \leq 1 \right\} \\ &= \sup \left\{ \left| \sum_{k>n} f(x_k) \right| : |x|_1 \leq 1 \right\} \\ &= \sup \{ |f(x - s(n, x))| : |x|_1 \leq 1 \} \\ &\leq C \sup \{ |f(y)| : |y|_1 \leq 1, y \in X_n \} \\ &= C \cdot |f|_{1,n}^* < \varepsilon. \end{aligned}$$

It follows that  $f = \sum_k P_k^*(f)$  in  $A(X_s)$ , that is,  $A(X_s) = \oplus_k P_k^*(X_1^*)$  concluding the proof.

As a special case of the above theorem we note that the biorthogonal functionals associated with a basis in  $B$ -space  $X$  form a basis for  $A(X_s)$ , in particular they form a basic sequence.

Theorem 4.1 suggests the following definition.

**DEFINITION 4.2.** Let  $(X, |\cdot|_1)$  be a  $B$ -space with Schauder decomposition  $\{M_k\}$  and canonical two-norm space  $X_s$ . Then the  $k$ -dual of  $X_s$  is defined to be the canonical two-norm space of  $A(X_s)$  and is denoted by  $k-X_s$ . Higher  $k$ -duals are defined recursively and are denoted by  $k^2-X_s$ ,  $k^3-X_s$  and so on.

**NOTATION 4.3.** We shall denote the canonical second norm of  $A(X_s)$  by  $|\cdot|_2^*$ . As a subspace of  $X_1^*$ ,  $A(X_s)$  inherits the norm  $|\cdot|_1^*$  so that we may write  $k-X_s = (A(X_s), |\cdot|_1^*, |\cdot|_2^*)$ . Let  $(A(X_s), |\cdot|_1^*) = (A(X_s)^*, |\cdot|_1^{**})$ . Since  $A(k-X_s)$  is a subspace of  $A(X_s)^*$ , we have  $k^2-X_s = (A(k-X_s), |\cdot|_1^{**}, |\cdot|_2^{**})$  the second norm being the canonical second norm. Finally we write  $k^3-X_s = (A(k^2-X_s), |\cdot|_1^{***}, |\cdot|_2^{***})$ , where  $|\cdot|_1^{***}$  is the norm in  $A(k-X_s)^*$  and  $|\cdot|_2^{***}$  its canonical second norm. Thus

$$X_s = (X, |\cdot|_1, |\cdot|_2), \quad k-X_s = (A(X_s), |\cdot|_1^*, |\cdot|_2^*),$$

and

$$k^2-X_s = (A(k-X_s), |\cdot|_1^{**}, |\cdot|_2^{**}), \quad k^3-X_s = (A(k^2-X_s), |\cdot|_1^{***}, |\cdot|_2^{***}).$$

For  $n \geq 1$  we shall sometimes write  $A(k^n-X_s)_j$  for the  $j$ -th component of the Schauder decomposition of  $A(k^n-X_s)$ . Although the sequence of continuous projections associated with  $A(X_s)$  is  $\{P_k^*\}$ , to avoid cumbersome notation, we shall sometimes write  $p_k$  for  $P_k^*$ . Thus,  $\{p_k^*\}$  is the sequence associated with  $A(k-X_s)$ .

We are now ready to prove that the  $k$ -duals have the characteristic property of dual spaces, namely that  $J$  maps  $X_s$  into  $k^2-X_s$ .

**THEOREM 4.4.** Let  $\{M_k\}$  be a Schauder decomposition for a  $B$ -space  $(X, |\cdot|_1)$ . If  $\{M_k\}$  is monotone,  $J$  maps  $X$  isometrically into  $A(k-X_s)_j$ . If  $\{M_k\}$  is non-monotone,  $J$  reduces to an isomorphism.

**Proof.** First consider the case when  $\{M_k\}$  is monotone. By Theorem 3.1,  $X_s$  is normal whence, by Remark 3.2,  $J$  is an isometry from  $X$  into  $A(X_s)^*$ . To show that  $J(X) \subseteq A(k-X_s)$ , it suffices to show that  $J(M_j) \subseteq A(k-X_s)_j$  for each  $j$  and then consider the closed linear span of  $\bigcup_j M_j$  and  $\bigcup_j J(M_j)$  in  $X$  and  $A(k-X_s)$  respectively.

Let  $x_j \in M_j$ . For any  $f = \sum_k P_k^*(f) \in A(X_s)$ ,

$$p_j^*(J(x_j)f) = J(x_j)P_j^*(f) = f(p_j(x_j)) = J(x_j)f$$

so that  $p_j^*(J(x_j)) = J(x_j)$ , that is,  $p_j^*$  leaves  $J(x_j)$  fixed. This proves that  $J(M_j) \subseteq A(k-X_s)_j$ ,  $j = 1, 2, \dots$ , and completes the proof in this case.

If  $\{M_k\}$  is not monotone, we may equip  $X$  with the norm  $|\cdot|_0$  equivalent to  $|\cdot|_1$ , and with respect to which  $\{M_k\}$  is monotone. From what was just proved it is immediate that  $J$  is an isomorphism mapping  $X$  into  $A(k-X_s)_j$ . This completes the proof.

**Remark 4.5.** We may point out here that in Theorem 4.4 the monotonicity of  $\{M_k\}$ , while sufficient, is not necessary for  $J$  to be an isometry. It is enough that  $X_s$  be normal (see Section 2 and Remark 6.4).

This suggests the following definition.

**DEFINITION 4.6.** Let  $\{M_k\}$  be a Schauder decomposition for a  $B$ -space  $(X, |\cdot|_1)$ . The canonical two-norm space  $X_s$  of  $X$  is called  $k$ -reflexive if the canonical map  $J$  from  $X$  into  $A(k-X_s)$  is onto.

A characterisation of  $k$ -reflexivity of  $X_s$  is given by

**THEOREM 4.7.** Let  $X_s$  be the canonical two-norm space of a  $B$ -space  $(X, |\cdot|_1)$  with Schauder decomposition  $\{M_k\}$ . Then  $X_s$  is  $k$ -reflexive if and only if each  $M_k$  is reflexive.

We shall find the following Lemma useful in the proof of Theorem 4.7.

**LEMMA 4.8.** Let  $(X, |\cdot|_1)$  be a  $B$ -space with Schauder decomposition  $\{M_k\}$  and suppose that  $\{A(X_s)_j\}$  and  $\{A(k-X_s)_j\}$  denote the Schauder decompositions of  $A(X_s)$  and  $A(k-X_s)$  respectively. Then  $A(X_s)_j$  is linearly isomorphic to  $M_j^*$  and  $A(k-X_s)_j$  to  $A(X_s)_j^*$ . Let  $\varphi_j$  denote the linear isomorphism between  $A(k-X_s)_j$  and  $M_j^{**}$ . Then the restriction of  $\varphi_j$  to  $J(M_j)$  is the identity map.

**Proof of Lemma.** Clearly  $P_j^*: X_1^* \rightarrow A(X_s)_j$  with kernel  $M_j^\perp$ , the annihilator of  $M_j$  in  $X_1^*$ . Since  $P_j^*$  is continuous and  $X_1^*/M_j^\perp$  is isometrically isomorphic to  $M_j^*$ , the isomorphism between  $A(X_s)_j$  and  $M_j^*$  follows. (This fact has also been observed in [10], p. 552). The isomorphism between  $A(k-X_s)_j$  and  $(A(X_s)_j)^*$  follows likewise.

Let  $\varphi_j: M_j^{**} \rightarrow A(k-X_s)_j = P_j^*[A(X_s)_j^*]$ . Suppose  $\pi_j$  is the isometry between  $M_j^*$  and  $X_1^*/M_j^\perp$ , where for  $f_0 \in M_j^*$ ,  $\pi_j(f_0) = f + M_j^\perp$  and  $f(x) = f_0(x)$  for  $x \in M_j$ . We then have the following diagram:

$$(1) \quad M_j^* \xrightarrow{\pi_j} X_1^*/M_j^\perp \xrightarrow{P_j^*} P_j^*(X_1^*).$$

It follows that  $(P_j^* \circ \pi_j)^*$  is a linear isomorphism and

$$(2) \quad [P_j^*(X_1^*)]^* \xrightarrow{(P_j^* \circ \pi_j)^*} M_j^{**}.$$

Let  $x_j \in M_j$ . Then  $J(x_j) \in M_j^{**}$  and the equations

$$J(x_j)P_j^*(f) = P_j^*(f)x_j = f(x_j),$$



where  $f \in X_1^*$ , show that  $J(x_j)$  belongs to the left-hand side of (2) as well. We next observe that  $(P_j^* \circ \pi_j)^*$  leaves  $J(x_j)$  fixed. For if  $f_j \in M_j^*$ ,  $\pi_j(f_j) = f + M_j^\perp$ , we have

$$\begin{aligned} (P_j^* \circ \pi_j)^* J(x_j) f_j &= J(x_j) (P_j^* \circ \pi_j) f_j \\ &= J(x_j) (P_j^* (f + M_j^\perp)) = J(x_j) (P_j^* (f)) \\ &= P_j^* (f) x_j = f(x_j) = f_j(x_j) = J(x_j) f_j \end{aligned}$$

and this proves our assertion.

Similarly considering the natural isometry  $\bar{\pi}_j$  between  $[A(X_s)_j]^* = [P_j^*(X_1^*)]^*$  and  $A(X_s)^*/[A(X_s)_j]^\perp$ , we are led to the sequence of maps

$$(3) \quad [P_j^*(X_1^*)]^* \xrightarrow{\bar{\pi}_j^*} A(X_s)^*/[A(X_s)_j]^\perp \xrightarrow{p_j^*} A(k - X_s)_j.$$

From Theorem 4.4 and what was just seen above,  $J(x_j)$  belongs to the extreme sides of (3) for every  $x_j \in M_j$ . A proof similar to the one in the last paragraph may be used to show that  $(p_j^* \circ \bar{\pi}_j)$  also leaves  $J(x_j)$  fixed for every  $x_j \in M_j$ .

It follows from the above considerations that each of the maps in the sequence

$$M_j^{**} \xrightarrow{\alpha} [A(X_s)_j]^* \xrightarrow{\beta} A(k - X_s)_j,$$

where  $\alpha$  is the inverse of  $(P_j^* \circ \pi_j)^*$  and  $\beta = (p_j^* \circ \bar{\pi}_j)$  leaves  $J(x_j)$  fixed for each  $x_j \in M_j$ . Clearly  $\varphi_j = \beta \circ \alpha$  and this completes the proof of the Lemma.

**Proof of Theorem 4.7.** Suppose  $X_s$  is  $k$ -reflexive. Then  $J$  maps  $X$  onto  $A(k - X_s)$  and  $M_j$  onto  $A(k - X_s)_j$ . Hence the map  $\varphi_j$  of the Lemma maps  $M_j^{**}$  identically onto  $J(M_j)$ , that is  $M_j$  is reflexive for every  $j$ . Conversely when every  $M_j$  is reflexive, we may retrace our steps to see that  $J$  maps  $M_j$  onto  $A(k - X_s)_j$  for each  $j$ . By Remark 4.5 this means that  $X_s$  is  $k$ -reflexive and we are done.

**COROLLARY 4.8.** *With the hypotheses of Theorem 4.7,  $X_s$  is  $k$ -reflexive if and only if  $k^n - X_s$  is  $k$ -reflexive for  $n = 1, 2, \dots$*

**COROLLARY 4.9.** *The canonical two-norm space of a  $B$ -space with a basis is  $k$ -reflexive.*

If we write  $k^0 - X_s$  for  $X_s$ , we note that in the case of a  $B$ -space with a basis, all the spaces  $k^{2n} - X_s$  are linearly isomorphic (with respect to both norms) for  $n = 0, 1, 2, \dots$ . A similar result holds for the spaces  $k^{2n+1} - X_s$ ,  $n = 0, 1, 2, \dots$ . As we shall see later (Remark 6.4) all  $k$ -duals are always normal so that, in the second case, this isomorphism ( $J$ ) is actually an isometry. This is true in the first case also for  $n \geq 1$ , and if  $X^s$

is normal, for all  $n$ . As an illustration, consider the space  $(e_0, \sup_n |a_n|)$  for which the unit vectors  $e_n = (0, 0, \dots, 1, 0, \dots)$ , with the 1 in the  $n$ -th position, form a monotone basis. In this case  $k^{2n} - X_s$  is isometric to  $(e_0, \sup_n |a_n|, \sum_n |a_n|/2^n)$  for  $n = 0, 1, \dots$  and  $k^{2n+1} - X_s$  is isometric to  $(l, \sum_n |a_n|, \sum_n |a_n|/2^n)$  for  $n = 0, 1, \dots$ . Here  $e_0$  is the space of null sequences and  $l$  is the space of absolutely summable sequences.

**COROLLARY 4.10.** *Let  $S$  denote the set of all  $B$ -spaces with a Schauder decomposition,  $K$  the subset of those spaces  $X$  for which the canonical two-norm space  $X_s$  is  $k$ -reflexive and  $G$  the subset of those spaces  $X$  for which  $X_s$  is  $\gamma$ -reflexive. Then  $G \subset K \subset S$ .*

**Proof.** The  $\gamma$ -reflexivity of  $X_s$  means  $J(X) = (X_s)^*$ . Since  $J(X) \subseteq A(k - X_s) \subseteq A(X_s)^*$  in general, it follows that  $JX = A(k - X_s)$ , that is,  $X_s$  is  $k$ -reflexive. The classical example  $B$  of James [5] shows that  $G$  is properly contained in  $K$ . The other proper containment is obvious.

**Remark 4.11.** Let  $\{M_k\}$  be the Schauder decomposition of  $X$  and suppose that  $X_s$  is normal. There exists a closed subspace  $C(X)$  of  $A(X_s)^*$  for which  $\{J(M_k)\}$  is a decomposition and with the properties that  $C(X)_s = (C(X), \|\cdot\|_1^*, \|\cdot\|_2^{**})$  is  $\gamma$ -complete and normal (see [16] for details). There is a natural imbedding of  $X_s$  into  $C(X)_s$  such that  $X_s$  is  $\gamma$ -dense in it. In this sense  $C(X)_s$  is called a ' $\gamma$ -completion' of  $X_s$ . It is shown in [16] that  $C(X) = A(X_s)^*$  and  $J(X) = C(X)$  if and only if  $X_s$  is  $k$ -reflexive and  $\gamma$ -complete respectively.

In the aforementioned example  $B$  of James, although  $B_s$  is  $k$ -reflexive it is not  $\gamma$ -reflexive. This is so since a  $\gamma$ -reflexive space is clearly  $\gamma$ -complete while  $B_s$  is not  $\gamma$ -complete (the basis for  $B$  is not boundedly complete). The following result, observed in [16], shows that in the presence of normality and  $\gamma$ -completeness,  $k$ -reflexivity implies  $\gamma$ -reflexivity.

**THEOREM 4.12.** *Let  $(X, \|\cdot\|_1)$  be a  $B$ -space with Schauder decomposition  $\{M_k\}$ . Then  $X_s$  is  $\gamma$ -reflexive if and only if it is normal,  $\gamma$ -complete and  $k$ -reflexive.*

**Proof.**  $X_s$  is  $\gamma$ -reflexive  $\Leftrightarrow J$  is an isometry of  $X$  onto  $A(X_s)^* \Leftrightarrow X_s$  is normal,  $J(X) = C(X)$  and  $C(X) = A(X_s)^*$  from our Remark 4.11  $\Leftrightarrow X_s$  is normal,  $\gamma$ -complete and  $k$ -reflexive.

Since each  $M_k$  is reflexive in the case of a  $B$ -space with a basis, we have the following

**COROLLARY 4.13.** *The canonical two-norm space of  $B$ -space with a basis is  $\gamma$ -reflexive if and only if it is both normal and  $\gamma$ -complete.*

**5. Applications.** In this section we shall derive the theorems of Sanders, Ruckle and others as corollaries of our results proved in the previous sections.

**THEOREM 5.1** ([10], p. 551). *Let  $X$  be a reflexive  $B$ -space. Then  $\{M_k, P_k\}$  is a Schauder decomposition of  $X \Leftrightarrow \{P_k^*(X_1^*), P_k^*\}$  is a Schauder decomposition of  $X_1^*$ .*

*Proof.*  $X$  is reflexive if and only if  $X_s$  is normal,  $\gamma$ -reflexive and saturated [2], p. 281, so that  $A(X_s) = X_1^* = \bigoplus_k P_k^*(X_1^*)$  and the 'only if' part is follows. For the converse replace  $X$  by  $X_1^*$ ,  $X_1^*$  by  $X_1^{**}$ ,  $P_k^*$  by  $P_k^{**}$  in the part just proved and note that  $X$  reflexive  $\Rightarrow X_1^*$  is reflexive and  $P_k^{**} = P_k$ .

**THEOREM 5.2** ([10], p. 551). *Let  $\{M_k\}$  be a Schauder decomposition for a  $B$ -space  $X$ . Then  $\{P_k^*(X_1^*)\}$  is a Schauder decomposition of  $X_1^*$  if and only if  $\{M_k\}$  is shrinking.*

*Proof.* By Theorem 4.1,  $A(X_s) = \sum_k P_k^*(X_1^*) = X_1^*$  if and only if  $X_s$  is saturated and this, by Corollary 3.5, is possible if and only if  $\{M_k\}$  is shrinking.

**THEOREM 5.3** ([10], p. 552). *Let  $\{M_k\}$  be a Schauder decomposition for a  $B$ -space  $(X, |\cdot|_1)$ . If each  $M_k$  is reflexive and  $\{M_k\}$  is boundedly complete, then  $X$  is topologically isomorphic to the dual space of  $\sum_k P_k^*(X_1^*)$ .*

*Proof.* First at all it is clear by Theorem 4.1 and ([8], p. 57 that  $\sum_k P_k^*(X_1^*)$  is closed in  $X_1^*$ . We replace  $|\cdot|_1$  by an equivalent norm  $|\cdot|_0$  with respect to which  $\{M_k\}$  is monotone and this does not affect the hypotheses on  $\{M_k\}$ . By Theorems 3.6, 4.7 and 4.12,  $X_s$  is  $\gamma$ -reflexive, that is,  $J$  is an isometry from  $(X, |\cdot|_0)$  onto  $A(X_s)^* = (\sum_k P_k^*(X_1^*))^*$  and the theorem follows.

In connection with Theorem 5.3 let us mention a question of Sanders [13], p. 205: "Is every  $B$ -space with a boundedly complete Schauder decomposition isometric to a dual space?" The answer is in the negative and many counter-examples are known. To this list we wish to add the following particularly simple example.

Let  $(X_k, \|\cdot\|_k)$ ,  $k = 1, 2, \dots$ , be a sequence of  $B$ -spaces and let  $X$  denote the  $l$ -sum of  $\sum_k X_k$ , that is, the space

$$l\left(\sum_k X_k\right) = \left\{x: x = \{x_k\}, x_k \in X_k, \sum_k \|x_k\|_k < \infty\right\}$$

with the norm  $\|x\| = \sum_k \|x_k\|_k$ . If we choose  $X_k = c_0$ , the space of null sequences,  $k = 1, 2, \dots$ , it is easily seen that  $l(\sum_k c_0)$  is a  $B$ -space with a boundedly complete Schauder decomposition. It is not isometric to a dual space since the unit ball has no extreme points.

If we choose  $X_k = l$  for each  $k$ , we get the space  $l(\sum_k l)$  which has a boundedly complete Schauder decomposition and is isometric to a dual space (since it is isometric with  $l$  under a natural isometry). This example shows that the reflexivity of the spaces  $M_k$  is not necessary, in general, for  $X$  to be isomorphic to a dual space. Nevertheless, when  $X_s$  is normal, the converse of the second part of Theorem 5.3 is true and is easily derived from Theorem 4.12.

**THEOREM 5.4** ([13], p. 205). *A  $B$ -space  $X$  with Schauder decomposition  $\{M_k\}$  is reflexive if and only if (1) each  $M_k$  is reflexive, (2)  $\{M_k\}$  is boundedly complete and (3)  $\{M_k\}$  is shrinking.*

*Proof.* Without loss of generality we may assume that  $\{M_k\}$  is monotone. Then the canonical two-norm space  $X_s$  is normal and by [2], p. 281,  $X$  is reflexive if and only if  $X_s$  is saturated and  $\gamma$ -reflexive. By Corollary 3.5, Theorem 4.12, Theorem 3.6 and Theorem 4.7 this is possible if and only if (1), (2) and (3) are satisfied.

Next we prove a duality theorem consisting of two parts which form the analogues in the theory of Schauder decompositions to theorems known for  $B$ -spaces with bases. The basis analogue of the first part was proved by Singer [14], Proposition 5, that of the second part by Wilansky [18], Theorem 2.

**THEOREM 5.5.** *Let  $(X, |\cdot|_1)$  be a  $B$ -space with Schauder decomposition  $\{M_k\}$  and suppose that each  $M_k$  is reflexive.*

- (1)  $\{M_k\}$  is boundedly complete if and only if  $\{P_k^*(X_1^*)\}$  is shrinking and
- (2)  $\{M_k\}$  is shrinking if and only if  $\{P_k^*(X_1^*)\}$  is boundedly complete, where  $\{P_k\}$  is the associated sequence of projections.

*Proof.* There is no loss of generality in assuming that  $\{M_k\}$  is monotone. We prove (1) and obtain (2) as a Corollary. By Theorem 4.7,  $X_s$  is  $k$ -reflexive.

Now  $\{M_k\}$  is boundedly complete  $\Leftrightarrow X_s$  is  $\gamma$ -complete (Theorem 3.6)  $\Leftrightarrow X_s$  is  $\gamma$ -reflexive (Theorem 4.12)  $\Leftrightarrow J(X) = A(k - X_s) = A(X_s)^*$ . The last equality shows that  $k - X_s$  is saturated which, by Corollary 3.5, is equivalent to the shrinkingness of  $\{P_k^*(X_1^*)\}$ . This proves (1).

To prove (2), observe that  $X_s$  is  $k$ -reflexive if and only if  $k - X_s$  is  $k$ -reflexive. Thus each  $P_k^*(X_1^*)$  is reflexive. By the  $k$ -reflexivity of  $X_s$ ,  $J(X) = A(k - X_s)$  so that  $\{J(M_k)\}$  is the Schauder decomposition for  $A(k - X_s)$ . We use (1) replacing  $X$  by  $A(X_s)$  and  $M_k$  by  $P_k^*(X_1^*)$ . This gives that  $\{P_k^*(X_1^*)\}$  is boundedly complete  $\Leftrightarrow \{J(M_k)\}$  is shrinking, that is,  $\{M_k\}$  is shrinking.

**THEOREM 5.6.** *Let  $X$  be a  $B$ -space with Schauder decomposition  $\{M_k, P_k\}$ . Then  $\{M_k\}$  is shrinking if and only if a bounded sequence  $\{y_k\}$  in  $X$  converges weakly to 0 whenever  $\lim_k P_j(y_k) = 0$ ,  $j = 1, 2, \dots$*

Proof. A bounded sequence  $\{y_k\}$  satisfying the hypothesis of the Theorem is clearly  $\gamma$ -convergent to 0. Hence  $y_k \rightarrow 0$  weakly  $\Leftrightarrow$  every  $f \in X_1^*$  is a  $\gamma$ -linear functional on  $X_s \Leftrightarrow A(X_s) = X_1^* \Rightarrow X_s$  is saturated  $\Leftrightarrow \{M_k\}$  is shrinking by Corollary 3.5.

The special case of the above Theorem when  $\{M_k\}$  reduces to a basis may be found in [6], p. 36.

**6. Decomposition constants.** We conclude this paper with some remarks on decomposition constants. Let  $(X, |\cdot|_1)$  be a  $B$ -space with Schauder decomposition  $\{M_k\}$ . Suppose that the decomposition constant of  $\{M_k\}$  is  $K_1$ , that of  $\{P_k^*(X_1^*)\}$  is  $K_2$  and that  $O(X_s)$  is the constant of quasi-normality of  $X_s$ . We observed in our Remark 3.2 that  $1 \leq O(X_s) \leq K_1$ . We shall now show that  $K_1 \geq K_2$ .

**THEOREM 6.1.** *For a  $B$ -space  $(X, |\cdot|_1)$  with Schauder decomposition  $\{M_k\}$ ,  $K_1 \geq K_2$ .*

Proof. Let  $\xi > 0$  be any number such that

$$\left| \sum_{k \leq n} x_k \right|_1 \leq \xi \left| \sum_{k \leq m} x_k \right|_1$$

for all sequences  $x_1, x_2, \dots, x_m$ , where  $x_j \in M_j$  and  $n \leq m$ . Let  $f_1, f_2, \dots, f_m$  be any sequence in  $A(X_s)$  such that  $f_j \in A(X_s)_j$ , where  $A(X_s)_j$  is the  $j$ -th component of the Schauder decomposition of  $A(X_s)$ . Denoting the closed unit sphere of  $(X, |\cdot|_1)$  by  $S$ , we have for  $n \leq m$ ,

$$\begin{aligned} \left| \sum_{k \leq n} f_k \right|_1^* &= \sup \left\{ \left| \sum_{k \leq n} f_k(x) \right| : x = \sum_k x_k \in S \right\} \\ &= \sup \left\{ \left| \sum_{k \leq n} f_k(x_k) \right| : x = \sum_k x_k \in S \right\} \\ &\leq \sup \left\{ \left| \sum_{k \leq m} f_k \left( \sum_{k=1}^n x_k \right) \right| : \left| \sum_{k=1}^n x_k \right|_1 \leq \xi \right\} \\ &= \xi \sup \left\{ \left| \sum_{k=1}^m f_k \left( \sum_{k=1}^n x_k \right) \right| : \sum_{k=1}^n x_k \in S \right\} \leq \xi \left| \sum_{k \leq m} f_k \right|_1^* \end{aligned}$$

whence it follows that  $K_2 \leq K_1$ .

**COROLLARY 6.2.** *If the Schauder decomposition for the  $B$ -space  $X$  is monotone, so is the Schauder decomposition for  $A(X_s)$ .*

Let  $K_{n+2}$  denote the decomposition constant of  $\{A(k^n - X_s)_j\}$  (the Schauder decomposition for  $A(k^n - X_s)$ ) for  $n \geq 1$ . The following Theorem gives a relation between  $K_1$ ,  $K_2$  and  $O(X_s)$ .

**THEOREM 6.3.** *Let  $\{M_k, P_k\}$  be a Schauder decomposition for a  $B$ -space  $(X, |\cdot|_1)$ . Then*

- (i)  $K_2 = K_{n+2}$  for  $n \geq 1$  and
- (ii)  $1 \leq r(A(X_s)) \cdot K_1 \leq K_2 \leq K_1$ .

Proof. Since  $J$  maps  $X_s$  into  $k^3 - X_s$ ,  $J(X) \subseteq A(k - X_s) \subseteq A(X_s)^*$  and it is easy to verify that  $r(J(X)) = 1 = r(A(k - X_s))$ . Hence  $k - X_s$  is normal and the map  $J$  from  $k - X_s$  into  $k^2 - X_s$  is an isometry with respect to both norms. To prove (i), we have by definition,

$$\begin{aligned} K_4 &= \sup \left\{ \left| \sum_{k=1}^n \Phi_k \right|_1^{****} / \left| \sum_{k=1}^m \Phi_k \right|_1^{****} : \Phi_j \in A(k^2 - X_s)_j, n \leq m \right\} \\ &\geq \sup \left\{ \left| \sum_{k=1}^n J(f_k) \right|_1^{****} / \left| \sum_{k=1}^m J(f_k) \right|_1^{****} : f_j \text{ is in } P_j^*(X_1^*) \text{ and } n \leq m \right\} = K_2, \end{aligned}$$

so that by Theorem 6.1,

$$K_2 \geq K_3 \geq K_4 \geq K_2$$

and the normality of  $k^n - X_s$  for  $n \geq 1$  shows that  $K_2 = K_{n+2}$  for all  $n$ .

To prove (ii) we note that since  $X_s$  is quasi-normal, we have from [3], p. 117,

$$|J(x)|_1^{**} \leq |x|_1 \leq O(X_s) |J(x)|_1^{**}$$

for all  $x \in X$ . Hence,

$$\begin{aligned} K_3 &= \sup \left\{ \left| \sum_{k=1}^n F_k \right|_1^{**} / \left| \sum_{k=1}^m F_k \right|_1^{**} : F_j \in A(k - X_s)_j, n \leq m \right\} \\ &\geq \sup \left\{ \left| \sum_{k=1}^n J(x_k) \right|_1^{**} / \left| \sum_{k=1}^m J(x_k) \right|_1^{**} : x_j \in M_j, n \leq m \right\} \\ &\geq \sup \left\{ \left| \sum_{k=1}^n x_k \right|_1 / O(X_s) \cdot \left| \sum_{k=1}^m x_k \right|_1 : x_j \in M_j, n \leq m \right\} \\ &= K_1 / O(X_s). \end{aligned}$$

It follows from our Remark 3.2 that

$$1 \leq r(A(X_s)) \cdot K_1 \leq K_3 = K_2 \leq K_1$$

completing the proof of the Theorem.

**Remark 6.4.** In the special case when the decomposition  $\{M_k\}$  reduces to a basis, that is each  $M_k$  is of dimension 1, conclusion (ii) of Theorem 6.3 has been proved by Singer [15], p. 126, although our proof is somewhat simpler. Singer also observes that  $r(A(X_s)) > 0$  and that (in our notation)  $K_1 \geq 1/r(A(X_s))$ . He gives an example which shows that inequalities (ii) of Theorem 6.3 are best possible. In this example  $K_2 > 1$  so that the decomposition for  $A(X_s)$  is non-monotone. This shows that the canonical two-norm space may be normal (indeed  $k^n - X_s$  is



normal for each  $n$ ) without the decomposition being monotone (cf. Remark 3.2). We also note that if the decomposition for  $X$  is monotone, then the decomposition for  $A(k^n - X_n)$  is also monotone.

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## The domain of attraction of a normal distribution in a Hilbert space

by

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**Abstract.** Let  $H$  be a separable real Hilbert space. Denote by  $\Pi^{(nd)}$  the domain of attraction of normal non-degenerate probability distributions in  $H$ . If  $p \in \Pi^{(nd)}$ , then

$$\int_H \|x\|^\delta p(dx) < +\infty \quad \text{for } 0 < \delta < 2.$$

Assign to a distribution  $p$  in  $H$  the family of  $S$ -operators  $S$  defined by the bilinear form

$$(S_X g, h) = \int_{\|x\| < X} (x, g)(x, h) p *^{-p}(dx) \quad \text{for every } g, h \in H.$$

In terms of operators  $S_X$  we give necessary and sufficient conditions in order that  $p \in \Pi^{(nd)}$ .

**Introduction.** The paper is an attempt to extend the known results of A. J. Khinchin and P. Lévy concerning the domain of attraction of a normal distribution on a straight line to Hilbert spaces (see [6] and [8]).

Section 1 of the paper contains the basic definitions and theorems of the theory of probability distributions in a Hilbert space.

Section 2 includes the theorems concerning the shift-convergence of a sequence of distributions  $\mu_n = \prod_{k=1}^{k_n} \mu_{n,k}$  with  $\mu_{n,k}$  uniformly asymptotically negligible to a normal distribution. These theorems follow from the results formulated in the papers by Varadhan [11] and Jajte [3]. In Section 3 we give theorems which are the basic aim of the paper, viz. we formulate some properties of distributions belonging to the domain of attraction of a normal distribution in a Hilbert space and also the necessary and sufficient conditions in order that a distribution belong to the domain of attraction of a normal distribution in a Hilbert space.

1. Let  $H$  be a separable real Hilbert space with the inner product  $(\cdot, \cdot)$  and the norm  $\|\cdot\|$ . Let  $\mathfrak{M}$  denote the set of all probability distributions in  $H$ , i.e. the set of normed regular measures defined in a  $\sigma$ -field  $\mathcal{B}$  of Borelian subsets of  $H$ .  $\mathfrak{M}$  is a complete space with the Lévy-Prochorov