

either $\alpha=0$ or $\alpha=1$. Thus either $P_{\mathcal{A}}(f)=f$ or $P_{\mathcal{A}}(f)=\theta$. Let $\pi_n=\{A_i\}_{i=1}^d$. Then $I=\sum_{i=1}^d P_{\mathcal{A}_i}$. Therefore $P_{\mathcal{A}_i}(f)=f$ for some i. But then $N(f)=N(P_{\mathcal{A}_i}(f))\leqslant \varepsilon_n$. Since $\lim_{n\to\infty}\varepsilon_n=0$, $f=\theta$.

Finally we let K = [F, -F]. Therefore $K = \{\alpha f - (1-\alpha)g \colon f, g \in F\}$, since F is a compact convex set. Now θ is not an extreme point of K, since $F \subset K$ and if $f \in F$ with $f \neq \theta$, then $\frac{1}{2}f + \frac{1}{2}(-f) = \theta$. Suppose g is an extreme point in K. Then $g = \alpha f_1 + (1-\alpha)(-f_2)$ for $f_1, f_2 \in F$. But then either $\alpha = 0$ or $\alpha = 1$. Thus $g \in F$ or $g \in -F$. Without loss of generality, we may suppose $g \in F$. Since $g \neq \theta$, g is not an extreme point of F. Thus K has no extreme points. This completes the proof.

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On the Jordan model of C_0 operators

by

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Abstract. The aim of Part I of this note is to find a Jordan quasisimilarity model for C_0 operators acting on Hilbert spaces of arbitrary dimensions. In § 1 the "non-separable" quasisimilarity invariants of a C_0 contraction are found. The main result of Part I is Theorem 1 (§ 2) which asserts the existence and the uniqueness of the Jordan model. In our proof we use the existence of the Jordan model, already known for C_0 operators acting on separable Hilbert spaces ([1]).

The second part of this note is a continuation of [3]. We apply the Jordan model of C_0 operators to the problem of classifying the representations of the convolution algebra $L^1(0,1)$. In § 3 the canonical representations of $L^1(0,1)$ are defined and they are shown to be unitarily equivalent to some "obvious" representations. The main result of Part II is Theorem 2 (§ 4) where each representation of $L^1(0,1)$ into a Hilbert space is asserted to be quasisimilar to a unique representation which is the direct (orthogonal) sum of a canonical representation and a trivial representation.

In [2] the C_0 operators acting on a separable Hilbert space were shown to be quasisimilar to Jordan operators. The main result of Part I of this note is to extend this result to arbitrary C_0 operators.

The general result of Part I has been suggested by the particular case used in Part II. In the particular case of nilpotent operators, the problem of the Jordan model is already solved in [1]. The problem of classifying the representations of the convolution algebra $L^1(0,1)$ has been suggested by C. Foias.

Preliminaries. (a) Let us recall that C_0 is the class of those completely nonunitary (cnu) contractions T of a Hilbert space, for which there exists a function $u \in H^{\infty}$, $u \neq 0$, such that u(T) = 0. Among the functions u satisfying the relation u(T) = 0 there is an inner one which divides all the others. This function, determined up to a scalar multiplicative constant of modulus one, is called the *minimal function* of T and is denoted by m_T . The function m_T is constant if and only if T acts on the trivial space $\{0\}$.

For each nonconstant inner function m there exists an operator T of class C_0 for which $m_T = m$. Such an operator is S(m) acting on H(m)

 $=H^2 \ominus mH^2$, $S(m)u=P_{H(m)}(zu(z))$ or, equivalently,

$$S(m)^*u = \frac{1}{z}(u(z) - u(0))$$
 for $u \in H(m)$.

- (b) For a cardinal number \aleph we define $S(m)^{\aleph}$ as
 - (1) the operator 0 on the trivial space $\{0\}$, if $\aleph = 0$;
 - (2) the direct sum of \aleph copies of S(m), if $\aleph \neq 0$.
- (c) We consider the set of the inner functions in H^{∞} ordered by divisibility. We put $m_1 \leq m_2$ if m_1 is a divisor of m_2 , and $m_1 < m_2$ if $m_1 \leq m_2$ and $m_1 \neq m_2$. If $m_1 < m_2$, we denote by (m_1, m_2) the set of all inner functions m such that $m_1 < m < m_2$; similarly, $[m_1, m_2) = (m_1, m_2) \cup \{m_1\}$, $[m_1, m_2] = (m_1, m_2) \cup \{m_1\}$, $[m_1, m_2] = (m_1, m_2) \cup \{m_1\}$.

If m_a is an inner function for each $a \in A$, we denote by $\bigwedge m_a$ the greatest common divisor of the functions m_a and by $\bigvee m_a$ the least common multiple of the functions m_a .

- (d) For an order relation \leqslant , the opposite relation \leqslant' is defined by $x \leqslant' y$ if and only if $y \leqslant x$. An ordered set (M, \leqslant) is well ordered if each nonvoid subset of M contains the least element. We say that (M, \leqslant) is a well anti-ordered set if (M, \leqslant') is a well ordered set. Each set of cardinal numbers is well ordered.
- (e) We will frequently use in the sequel the following assertions: If \aleph_1 and \aleph_2 are cardinal numbers and \aleph_1 is transfinite, then $\aleph_1 + \aleph_2 = \max{(\aleph_1, \aleph_2)}, \aleph_0 \cdot \aleph_1 = \aleph_1$. Here \aleph_0 denotes, as usual, the first transfinite cardinal number. For the facts from the cardinal number theory, see e.g. [6].
 - (f) Let us consider an operator of the form

$$T = \bigoplus_{m} S(m)^{\aleph_m},$$

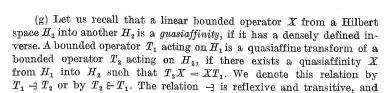
where for each inner function m, \aleph_m is a cardinal number (possibly $\aleph_m = 0$). DEFINITION 1. The operator (*) is a Jordan operator if:

- (a) the set $A = \{m : \aleph_m \neq 0\}$ is well anti-ordered (by divisibility);
- (b) if $m_1, m_2 \in A$, $m_1 < m_2$ and $\aleph_{m_2} \geqslant \aleph_0$, then $\aleph_{m_1} > \sum\limits_{m \geq m_1} \aleph_m$;
- (c) the set $A_0 = \{m \colon m \in A, \aleph_m \leq \aleph_0\}$ is a decreasing (possibly finite) sequence (this means that $A_0 = \{m_j\}_{j=1}^n$, where $n \leq \infty$ and $m_j > m_{j+1}$ for each j).

Remark 1. If the operator T acts on a separable space, then, after a permutation of the terms in the direct sum, we have

$$T=\mathop{\oplus}\limits_{j=1}^{n}S(m_{j}),\quad n\leqslant\infty,$$

and for each j, m_{j+1} divides m_j , if T is a Jordan operator.



Two operators are *quasisimilar* if each one is a quasiaffine transform of the other. If T_a and S_a are quasisimilar for each $a \in A$, then $\bigoplus T_a$ and $\bigoplus S_a$ are quasisimilar.

PROPOSITION A (Theorem 1 in [2]). Each C_0 operator acting on a separable Hilbert space is quasisimilar to a Jordan operator. Let T_1 and T_2 be Jordan operators acting on separable spaces. If $T_1 \rightarrow T_2$ then $T_1 = T_2$.

(h) We recall the definition of the Nevanlinna class N_T . For a cnu contraction $T,\,K_T^\infty$ is the class of those $u\,\epsilon\,H^\infty$ for which $u\,(T)$ has a densely defined inverse. Then we can define N_T as the class of the functions of the form $f=u/v,\,u\,\epsilon\,H^\infty,\,v\,\epsilon\,K_T^\infty$. For $f=u/v\,\epsilon\,N_T,f(T)$ is defined as

$$v(T)^{-1}u(T)$$
.

For the properties of the functional calculus thus defined see [4], Chapter IV.

PART I

1. The multiplicity functions f and g. Let T be a C_0 operator acting on the Hilbert space H. We can decompose H into a direct sum $H=\bigoplus_{a\in A}H_a$, each H_a being a separable space, reducing for T. Aplying Proposition A to $T_a=T|_{H_a}$, we see that T is quasisimilar to an operator T' of the form

$$T' = \bigoplus_{m} S(m)^{\aleph_m},$$

where for each divisor m of the minimal function m_T , \aleph_m is a cardinal number (possibly $\aleph_m = 0$).

For a divisor m of m_T we define

 $T_1 \rightarrow T_2$ yields $T_2^* \rightarrow T_1^*$.

$$f(m) = \sum_{m'} \aleph_{m'},$$

where the sum is extended to all functions m' such that m' non $\leq m$.

Since m(S(m')) = 0 if and only if $m' \le m$, it follows that f(m) coincides with the number of the nonzero terms in the direct sum $m(T') = \bigoplus_{m'} m(S(m'))^{\aleph_{m'}}$. For m' non $\le m$ we have $1 \le \dim \overline{\mathrm{Range}(m(S(m')))} \le \aleph_0$;

thus, if we put

$$(1.2) g(m) = \dim \overline{\text{Range}(m(T'))},$$

we have the relations

$$(1.3) f(m) \leqslant g(m) \leqslant \aleph_0 f(m).$$

It follows that f(m) is transfinite if and only if g(m) is transfinite, and in this case we have f(m) = g(m).

Now let us consider two operators T,T' acting on H,H', respectively, and a quasiaffinity X from H into H' such that XT = T'X. If T,T' are one contractions and $m \in H^{\infty}$, then X(T) = m(T')X. Therefore X(T) = m(T') = m(T')X thus X(T) = m(T')X. Range M(T'), dim Range M(T) = m(T')X.

From this remark it follows that the function g defined by (1.2) is an invariant of the C_0 operator T with respect to quasiaffine transforms. In [1] it is shown that in the particular case of nilpotent operators, the function g provides a complete system of invariants of T, with respect to quasiaffine transforms. As we shall see later, this is not true for any C_0 operator.

DEFINITION 2. A divisor m of m_T is a saltus point for f if there is no m' < m such that f is constant on (m', m]. The cardinal number

$$h(m) = \min\{f(m'): m' < m\}$$

is the saltum of f at m.

We shall use the notation A_{00} for the set of those saltus points m for f which satisfy $h(m) > \aleph_0$.

We now state the facts we need about f and g in the following proposition.

Proposition 1.

- (i) f and g are cardinal number valued decreasing functions on the set of the divisors of m_T .
 - (ii) $f(m_T) = g(m_T) = 0$, $g(1) = \dim H$.
 - (iii) 1 is not a saltus point for f.
- (iv) A_{00} coincides with the set of those saltus points for g at which g has a saltum $> \aleph_0$.
- (∇) A_{00} and the cardinal numbers $h(m),\ m\in A_{00},\ are invariant with respect to quasiaffine transformations.$
 - $(\text{vi) If } f(m) \leqslant \aleph \text{ for } m \in (m_1, \, m_2) \text{ and } m_1 = \bigwedge_{m \in (m_1, \, m_2)} m, \text{ then } f(m_1) \leqslant \aleph.$

$$(\forall ii) \ f(m_1 \wedge m_2) \leqslant f(m_1) + f(m_2).$$

(viii) If $m \in A_{00}$, m' non $\leq m$ and m non $\leq m'$, then

$$h(m) \leqslant \sum_{\substack{m_1 \leqslant m, \\ m_1 \text{ non} \leqslant m'}} \aleph_{m_1} \leqslant f(m')\,.$$

- (ix) The set A_{00} is well anti-ordered (by divisibility) and at most countable.
 - (x) If $m_0 = \max(A_{00} \cup \{1\})$, then $f(m_0) \leqslant \aleph_0$.

Proof. (i)-(iii) are obvious.

- (iv) follows from the relation (1.3).
- (v) obviously follows from (iv) and from the quasiaffine invariance of g.
- (vi) Let $m^j \in (m_1, m_2), j = 1, 2, ...$, be such that $m^j \ge m^{j+1}$ and $m_1 = \bigwedge_{j=1}^{\infty} m^j$. Because an inner function divides m_1 , if and only if it divides m^j for each j, we infer by (i) that

$$f(m_1) = \sup_{j \geqslant 1} f(m^j) \leqslant \aleph.$$

(vii) An inner function divides $m_1 \wedge m_2$ if and only if it divides m_1 and m_2 ; thus

$$f(m_1 \wedge m_2) = \sum_{\substack{m \text{non} \leqslant m_1 \wedge m_2}} \aleph_m \leqslant \sum_{\substack{m \text{non} \leqslant m_1}} \aleph_m + \sum_{\substack{m \text{non} \leqslant m_2}} \chi_m = f(m_1) + f(m_2).$$

(viii). One and only one of the following two situations can occur:

(a) there exists
$$m_0 < m$$
 such that $m_0 \text{ non } \leqslant m'$; (b) $m(z) = \left(\frac{z-a}{1-\overline{a}z}\right)^n$,

$$m'(z) = \left(\frac{z-a}{1-\overline{a}z}\right)^{n-1} m''(z)$$
, where $\frac{z-a}{1-\overline{a}z}$ does not divide $m''(z)$.

In ease (a), if we denote by S the sum appearing in (viii), we have $h(m) \leq f(m_0) \leq S + f(m) = \max (S, f(m))$. But h(m) > f(m); we conclude that $h(m) \leq S$.

In case (b) we have $h(m) = f\left(\left(\frac{z-a}{1-\overline{a}z}\right)^{n-1}\right) = \aleph_m + f(m) = S + f(m)$ and the conclusion is obtained in the same way.

(ix) A_{00} is well anti-ordered. Let $B \subset A_{00}$ be a nonvoid set and denote

$$(1.5) \qquad \aleph = \min\{h(m) \colon m \in B\} = h(m_0).$$

Let $m' \in B$, $m' \neq m_0$. The relation $m_0 < m'$ implies $h(m') \leq f(m_0) < h(m_0) = \aleph$, in contradiction with (1.5). If m' non $\leq m_0$, we obtain by (viii) $h(m') \leq f(m_0) < h(m_0)$, again in contradiction with (1.5). If follows that $m' \leq m_0$; thus m_0 is the greatest element of B.

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 A_{00} is at most countable. If m_T is a Blaschke product, the assertion is obvious. If m_T is a singular inner function:

$$m_T = \exp\left(-\int_0^{2\pi} \frac{e^{it}+z}{e^{it}-z} d\mu(t)\right),$$

then each $m \in A_{00}$ has the same form, with μ replaced by a measure μ_m majorized by μ . With each $m \in A_{00}$ we associate the total mass a_m of μ_m . The assignment $m\mapsto a_m$ is increasing, and thus $\{a_m\}_{m\in\mathcal{A}_{00}}$ is a well anti-ordered set of real numbers. But such a set is at most countable (indeed, for each $m \in A_{00}$ there exists $b_m < a_m$ such that the interval (b_m, a_m) contains no $a_{m'}$, $m' \in A_{00}$; thus $\{a_m\}_{m \in A_{00}}$ is equipotent to a set of pairwise disjoint open intervals).

Combining the two particular cases we obtain the general assertion (that is, for arbitrary m_T).

(x). From (vi) and (vii) we infer that the set of those inner functions $m \in [m_0, m_T]$ which satisfy the relation $f(m) \leqslant \aleph_0$ is an interval $[m_1, m_T]$. If $m_0 = m_1$, (x) is proved.

Suppose that $m_0 \neq m_1$; we claim $m_1 \in A_{00}$. Indeed, let $m' < m_1$. If $m' \ge m_0$, we have $f(m') > \aleph_0$ by the definition of the interval $[m_1, m_T]$. If $m' < m_0$, we have $f(m') \geqslant f(m_0) > \aleph_0$ by (i). If $m' \text{ non } \leqslant m_0$ and m_0 non $\leq m'$, we infer by (viii) $\aleph_0 < f(m_0) < h(m_0) \leq f(m')$. Thus we have always $f(m') > \aleph_0 \geqslant f(m_1)$. But $m_1 \in A_{00}$ implies $m_1 \leqslant m_0$, a contradiction.

2. Quasisimilarity to the Jordan model. Due to the well anti-ordering of A_{00} , each element $m \in A_{00}$, with at most one exception, has a preceding element in A_{00} , denoted by m'. If m has no predecessor in A_{00} , we put m'=1. Using Zorn's lemma, we can find for each $m \in A_{00}$ a maximal set S_m of sequences of inner functions with the following properties:

(a) if
$$s = \{m_j\}_{j=1}^{\infty} \in S_m$$
, we have $\bigvee_{j=1}^{\infty} m_j = m$ and $m_j \text{ non } \leqslant m'$;

(b) each inner function m_1 appears at most \aleph_{m_1} times in the sequences of S_m .

LEMMA 1. card $S_m = h(m)$.

Proof. Let us suppose that card $S_m < h(m)$. We put $m_0 = \bigvee m_1$, where \bigvee is taken for those inner functions m_1 such that $m_1 \leqslant m, m_1 \text{ non } \leqslant m'$ and m_1 appears fewer than \aleph_m , times in the sequences of S_m . If $m_0 = m$, we can choose a new sequence $s = \{m_i\}_{i=1}^{\infty}$, which can be added to S_m without changing the conditions (a) and (b); thus the maximality of S_m is contradicted. It follows that $m_0 < m$; thus $f(m_0) \leq f(m) + \text{card } S_m \aleph_0$ $\langle f(m) + h(m) = h(m)$, in contradiction with the inequality $f(m_0) \geq h(m)$ which follows from the definition of h(m).



Remark 2. For distinct elements $m_1, m_2 \epsilon A_{00}$, the elements appearing in the sequences of S_{m_1} are distinct from the elements appearing in the sequences of S_{m_0} .

Proposition 2. The Co operator

$$T = \bigoplus_{m} S(m)^{\aleph_m}$$

is quasisimilar to another one, of the same form, which satisfies $\aleph_m = h(m)$

Proof. For a sequence $s = \{m_j\}_{j=1}^{\infty}$ of inner functions we put

$$T_s = \bigoplus_{j=1}^{\infty} S(m_j).$$

Then (after a permutation of the terms in the direct sum) T can be written as

$$T=T'\oplusigl(\mathop{\oplus}\limits_{m\in\mathcal{A}_{00}}(\mathop{\oplus}\limits_{s\in S_m}T_s)igr).$$

From the condition (a), the minimal function of T_s is m if $s \in S_m$. Thus, by Proposition A, T_s is quasisimilar to an operator of the form $S(m) \oplus T_{s'}$. It follows that T is quasisimilar to

$$(2.1) T'' \oplus \left(\bigoplus_{m \in A_{00}} \left(\bigoplus_{s \in S_m} S(m) \right) \right).$$

The sum $\oplus S(m)$ contains, by the preceding lemma, h(m) terms; thus the operator (2.1) is of the form

$$\bigoplus S(m)^{\aleph'}m$$

with $\aleph'_m \geqslant h(m)$ for $m \in A_{00}$. Now, by Proposition 1(v), we have $\aleph'_m \leqslant h(m)$. The proposition is proved.

We use in the sequel the notation $m_0 = \max(A_{00} \cup \{1\})$.

We now want to eliminate from the sum (2.2) the terms S(m) with $m \leqslant m_0$ and $m \notin A_{00}$.

LEMMA 2. Let T and S be acting on H, H', respectively. If S is unitarily equivalent to the restriction of T to an invariant subspace $H'' \subset H$, then

$$(\stackrel{\infty}{\oplus} T) \oplus S \Rightarrow \stackrel{\infty}{\oplus} T.$$

Proof. Let $A: \bigoplus_{i=1}^{\infty} H \to \bigoplus_{j=1}^{\infty} H$ be defined by $A = \bigoplus_{i=1}^{\infty} \frac{1}{i} I_H$ and let $B: H' \to \bigoplus_{i=1}^{\infty} H$ be defined by $Bh = \bigoplus_{i=1}^{\infty} \frac{1}{i} Xh$, where $X: H' \to H''$ is a unitary operator satisfying the relation $XS = T|_{H''}X$. Then the operator

 $Y: (\stackrel{\infty}{\oplus} H) \oplus H' \rightarrow \stackrel{\infty}{\oplus} H$ defined by $Y(h \oplus k) = Ah + Bk$ is a quasiaffinity (it is one-to-one because Range $A \cap \text{Range } B = \{0\}$ and has dense range because A has dense range) and satisfies the relation $Y((\stackrel{\infty}{\oplus} T) \oplus S) = (\stackrel{\infty}{\oplus} T) Y$.

COROLLARY 1. Let $m_1 \geqslant m_2$ be inner functions. Then $S(m_1)^{\aleph_0}$ and $S(m_1)^{\aleph_0} \oplus S(m_2)$ are quasisimilar.

Proof. The conditions of Lemma 2 are satisfied for $T = S(m_1)$, $S = S(m_2)$ and for $T = S(m_1)^*$, $S = S(m_2)^*$ by [5], Lemma 1. Thus, by Lemma 2, we have

$$\left(\bigoplus_{1}^{\infty} S(m_{1})\right) \oplus S(m_{2}) \rightarrow \bigoplus_{1}^{\infty} S(m_{1}),$$
$$\left(\bigoplus_{1}^{\infty} S(m_{1})\right)^{*} \oplus S(m_{2})^{*} \rightarrow \left(\bigoplus_{1}^{\infty} S(m_{1})\right)^{*},$$

and from the second relation it follows that

$$\big(\overset{\infty}{\oplus} S(m_1) \big) \oplus S(m_2) \, \in \, \overset{\infty}{\oplus} S(m_1) \, .$$

These relations prove the corollary.

Remark 3. If \aleph_n is an increasing sequence of cardinal numbers then $\sup \aleph_n = \sum \aleph_n$.

Let us consider an operator of the form

$$\tilde{T} = \bigoplus S(m)^{\aleph_m},$$

where the sum is extended to the divisors of the minimal function m_T and $\aleph_m = h(m)$ for $m \in A_{00}$.

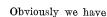
We denote by A the set of all the direct summands S(m) in (**) such that $m \leq m_0$, $m \notin A_{00}$, each S(m) being taken \aleph_m times.

LEMMA 3. With each $m \in A_{00}$ we can associate a subset $A_m \subset A$ with the following properties:

- (1) $A = \bigcup_{m \in A} A_m;$
- (2) $A_m \cap A_{m'} = \emptyset$ if $m \neq m'$;
- (3) for $m' \in A_m$ we have $m' \leq m$;
- (4) $\operatorname{eard} A_m \leqslant \aleph_m \ (= h(m)).$

Proof. For each $m' \in A_{00} \cup \{1\}$ we denote by $A'_{m'}$ the set of all those $S(m_1) \in A$ with the property

$$(2.3) m' = \max\{m: m \in A_{00} \cup \{1\}, m \text{ non } \geqslant m_1\}.$$



and from the definition of f we infer

$$(2.5) f(m') \geqslant \operatorname{card} A'_{m'}.$$

If m' has an immediate successor m in A_{00} , we associate all the elements of $A'_{m'}$ to m. If m' has no immediate successor in A_{00} , let us put $m^1 = \bigwedge m_1, \bigwedge$ being taken for $m_1 \in A_{00}$ such that $m_1 > m'$. If $m^1 = m'$, we obviously have $A'_{m'} = \emptyset$. Let us suppose that $m^1 > m'$. Then we can write $m^1 = \bigwedge_{j=1}^{\infty} m_j$, where $m_j \in A_{00}$ and $m_j > m_{j+1}$ for each j.

Now, we have $m^1 \notin A_{00}$ and, by the definition of m^1 ,

$$A_{00}\cap (m', m^1]=\emptyset.$$

It follows that f is constant on $[m', m^1]$, and so $f(m') = f(m^1)$. To compute $f(m^1)$ we observe that:

(i) $f(m^1) \ge h(m_j)$, by the definition of $h(m_j)$;

(ii)
$$f(m^1) \leqslant \sum_{i} f(m_i) = \sup_{i} f(m_i)$$
 by Remark 3.

It follows that $f(m') = f(m^1) = \sup_{j} h(m_j)$; thus by (2.5) and Remark 3 it follows that we can write

$$A'_{m'} = \bigcup_{j=1}^{\infty} A_j, \quad \operatorname{card} A_j \leqslant h(m_j),$$

the sets A_j being pairwise disjoint. For each j, we associate with m the elements of A_j .

Let us denote by A_m , $m \in A_{00}$, the set of all the elements associated with m by one of the preceding procedures. Because the set $A_{00} \cup \{1\}$ is at most countable (Proposition 1(ix)), it follows that

$$\operatorname{card} A_m \leqslant \aleph_0 \cdot h(m) = h(m).$$

From (2.4) if follows that $A = \bigcup_{m \in A_{00}} A_m$. The properties (2) and (3) are obvious; thus the lemma is proved.

Proposition 3. The operator (**) is quasisimilar to

$$(\mathop{\oplus}\limits_{m\in\mathcal{A}_{00}}S(m)^{h(m)})\oplus T'.$$

Here T' coincides with the sum of those terms S(m) in (**) for which $m \text{ non } \leq m_0$ and it acts on a separable Hilbert space.

Proof. After a permutation of the direct summands, the operator (**) can be written as

$$\bigoplus_{m \in \mathcal{A}_{00}} \left(\left(\bigoplus_{\mathcal{A}_m} S(m') \right) \oplus S(m)^{h(m)} \right) \oplus T',$$

where A_m are the sets from Lemma 3. Because $h(m) \ge \operatorname{card} A_m$, we have $h(m) = \aleph_0 \cdot \operatorname{card} A_m + h(m)$; thus

$$(2.6) \qquad \big(\underset{A_m}{\oplus} S(m')\big) \oplus S(m)^{h(m)} = \underset{A_m}{\oplus} \big(S(m') \oplus S(m)^{\aleph_0}\big) \oplus S(m)^{h(m)}.$$

From Corollary 1 it follows that, for $m' \in A_m$, $S(m') \oplus S(m)^{\aleph_0}$ is quasisimilar to $S(m)^{\aleph_0}$; therefore the operator (2.6) is quasisimilar to

$$\left(\bigoplus_{A_m} S(m)^{\aleph_0}\right) \oplus S(m)^{h(m)} = S(m)^{\aleph_0 \cdot \operatorname{card} A_m + h(m)} = S(m)^{h(m)}.$$

The last assertion of our proposition is obviously equivalent to assertion (x) of Proposition 1.

LEMMA 4. For two inner functions m_1 and m_2 , the Jordan model of $S(m_1) \oplus S(m_2)$ is $S(m_1 \vee m_2) \oplus S(m_1 \wedge m_2)$.

Proof. The minimal function of the C_0 operator $T=S(m_1)\oplus S(m_2)$ is $m_T=m_1\vee m_2$. T is of multiplicity $\leqslant 2$, and its Jordan model is $S(n_1)\oplus S(n_2)$ with $n_1=m_T=m_1\vee m_2$ and $n_2\leqslant n_1$. To find n_2 we use the relation $n_1n_2=m_1m_2$ (relation (1.7) in [5]); thus we obtain $n_2=\frac{m_1m_2}{m_1\vee m_2}=m_1\wedge m_2$.

THEOREM 1.

- (a) Each C₀ operator is quasisimilar to a Jordan operator.
- (b) If T and T' are Jordan operators and $T \rightarrow T'$, then T = T'.

Proof. (a) We have already seen that each operator of class C_0 is quasisimilar to an operator of the form $\binom{**}{*}$. If $m_0 = 1$, our assertion follows from Proposition A.

Let us suppose that $m_0 \neq 1$. From Proposition A it follows that the operator T' appearing in $\binom{**}{*}$ is quasisimilar to a Jordan operator

$$\bigoplus_{j=1}^{n} S(m_j), \quad n \leqslant \infty, \ m_j \geqslant m_{j+1}.$$

Since $h(m_0) > \aleph_0$, the operator (**) is quasisimilar to

$$(2.7) \qquad \left(\bigoplus_{\substack{m \in A_{00} \\ m \in A_{00}}} S(m)^{h(m)}\right) \oplus S(m_0)^{\aleph_0} \oplus \left(\bigoplus_{j=1}^n \left(S(m_j) \oplus S(m_0)\right)\right).$$

By Lemma 4, $S(m_j) \oplus S(m_0)$ is quasisimilar to $S(m'_j) \oplus S(m''_j)$, where $m''_j \leq m_0 \leq m'_j$ and $m'_j \geq m'_{j+1}$ for each j. It follows that the operator (2.7)



$$(2.8) \qquad \left(\bigoplus_{m \in A_{00}} S(m)^{h(m)}\right) \oplus S(m_0)^{\aleph_0} \oplus \left(\bigoplus_{j=1}^n S(m_j'')\right) \oplus \left(\bigoplus_{j=1}^n S(m_j')\right) \\ = \left(\bigoplus_{m \in A_{00}} S(m)^{h(m)}\right) \oplus \left(\bigoplus_{j=1}^n \left(S(m_j'') \oplus S(m_0)^{\aleph_0}\right)\right) \oplus \left(\bigoplus_{j=1}^n S(m_j')\right).$$

Finally, because $m_j'' \leq m_0$, it follows by Lemma 2 that $S(m_j'') \oplus S(m_0)^{\aleph_0}$ is quasisimilar to $S(m_0)^{\aleph_0}$; thus the last operator in (2.8) is quasisimilar to

$$\left(\bigoplus_{m\in\mathcal{A}_{00}}S(m)^{h(m)}\right)\oplus S(m_0)^{\aleph_0}\oplus \left(\bigoplus_{j=1}^nS(m_j')\right)=\left(\bigoplus_{m\in\mathcal{A}_{00}}S(m)^{h(m)}\right)\oplus \left(\bigoplus_{j=1}^nS(m_j')\right).$$

The last operator is obviously a Jordan operator.

(b) Proposition 1(v) implies that the set A_{00} is the same for T and T' and moreover the saltum h(m), $m_{\epsilon} A_{00}$, is the same for T and T'. Thus

$$T = \left(\bigoplus_{m \in A_{00}} S(m)^{h(m)}\right) \oplus \left(\bigoplus_{j=1}^{n} S(m_{j})\right), \quad T' = \left(\bigoplus_{m \in A_{00}} S(m)^{h(m)}\right) \oplus \left(\bigoplus_{j=1}^{n'} S(m'_{j})\right),$$

with $n \leq \infty$, $n' \leq \infty$, $m_j \geq m_{j+1}$, $m'_j \geq m'_{j+1}$, $m_j > m_0$, $m'_j > m_0$. From [5], pp. 105–106, it follows that

$$T_1 = T|_{\overline{\mathrm{Range}m_0(T)}} = igoplus_{j=1}^n S(m_j/m_0), \hspace{5mm} T_1^{'} = T^{'}|_{\overline{\mathrm{Range}m_0(T')}} = igoplus_{j=1}^{n'} S(m_j^{'}/m_0).$$

Since $T_1 \ni T'_1$, from the uniqueness assertion of Proposition A, we infer that n = n' and $m_j/m_0 = m'_j/m_0$ for each j, such that $m_j = m'_j$ for j = 1, $2, \ldots$ It follows that T = T' and the theorem is proved.

Let us remark that the proof of Theorem 2 in [2] can be applied, with minor modifications, to arbitrary C_0 operators. Thus we have

COROLLARY 2. If T is a C_0 operator and $X \in (T)''$, then there exists $f \in N_T$ such that X = f(T).

Here (T)'' denotes, as usual, the set of operators commuting with each operator which commutes with T.

PART II

3. Canonical representations of $L^1(0,1)$. We consider the space $L^1(0,1)$ as a Banach algebra with the convolution

(3.1)
$$(f*g)(t) = \int_{0}^{t} f(s)g(t-s)ds, \quad f, g \in L^{1}(0,1).$$

A representation of $L^1(0, 1)$ into a Hilbert space H is a continuous linear map, $f \mapsto T_f$, which assigns to each $f \in L^1(0, 1)$ a linear bounded operator acting on H, and satisfies the relation $T_{f \circ g} = T_f T_g$. A representation $f \mapsto T_f$ of $L^1(0, 1)$ is complete if

$$\bigvee_{f \in L^1(0,1)}$$
 Range $T_f = H$.

Here "\" means the closed linear span. With each representation $f\mapsto T_f$ of $L^1(0,1)$ we associate the adjoint representation $f\mapsto T_f^{\tilde{}}=(T_f)^*$ (here $\bar{f}(t)=\bar{f(t)}$).

Let us consider a strongly continuous semigroup of contractions $\{T(t)\}_{t\geq 0}$ acting on H, such that T(1)=0. We can verify ([3]) that

$$(3.2) f \mapsto C_f = \int_0^1 f(t) T(t) dt$$

is a complete representation of $L^1(0,1)$. Such a representation is called a quasicanonical representation.

Remark 4. The adjoint representation of (3.2) is still quasicanonical and is defined by the semigroup $\{T(t)^*\}_{t>0}$.

Let us recall that a strongly continuous semigroup of contractions $\{T(t)\}_{t\geq 0}$ is uniquely determined by its cogenerator T ([4], III, § 8). T is a contraction without nonzero invariant vectors and

(3.3)
$$T(t) = e_t(T), \quad e_t(z) = \exp\left(t\frac{z+1}{z-1}\right), \quad t \ge 0.$$

Because the unitary part of T ([4], I, § 3) is the cogenerator of a semigroup of unitary operators, from T(1)=0 we infer that T is completely nonunitary. Moreover, T(1)=0 is equivalent to $e_1(T)=0$; thus T is a C_0 operator and its minimal function is e_a , $a \leq 1$.

DEFINITION 3. The quasicanonical representation (3.2) is canonical if the cogenerator T of the semigroup $\{T(t)\}_{t\geq 0}$ is a Jordan operator. A representation $f\mapsto T_f$ of $L^1(0,1)$ into H is trivial if $T_f=0$, $f\in L^1(0,1)$.

Clearly, a trivial representation of $L^1(0,1)$ is characterised by dim H.

Proposition 4. Each canonical representation of $L^1(0,1)$ is unitarily equivalent to a representation of the form

$$(3.4) \qquad (\bigoplus_{\substack{a,b \\ a \notin A_{ab}}} C_{a,f}^{h(a)}) \oplus (\bigoplus_{\substack{i=1 \\ i=1}}^{n} C_{a_{j},f}),$$

where A_{00} is a well anti-ordered set of elements of (0,1], h is a cardinal number valued decreasing function on A_{00} such that $h(a) > \aleph_0$ for $a \in A_{00}$, $0 \le n \le \infty, 1 \ge a_1 \ge a_2 \ge \ldots, a_j > a$ for $a \in A_{00}$, and for each $a \in (0,1]$,

 $f \mapsto C_{a,f}$ is a representation of $L^1(0, 1)$ into $L^2(0, 1)$ defined by

(3.5)
$$C_{a,f}g(x) = \int_{0}^{1} f(t)g(x-t/a) dt.$$

Proof. Let us consider the strongly continuous semigroup of contractions $\{T(t)\}_{t\geq 0}$, acting on $L^2(0,1)$ and defined by

$$T(t)f(x) = \begin{cases} f(x-t) & \text{if } x \in (t, \infty) \cap (0, 1), \\ 0 & \text{otherwise.} \end{cases}$$

Then it is clear that

(3.6)
$$T(1) = 0, T(t) \neq 0 \text{ for } t < 1.$$

If we denote by $\{T_a(t)\}_{t\geq 0}$ the semigroup defined by

$$T_a(t) = T(t/a),$$

we have for $a \in (0,1]$

(3.7)
$$C_{a,f} = \int_{0}^{1} f(t) T_{a}(t) dt.$$

Now let us remark that for a C_0 operator for which $e_1(T)=0$, the Jordan model takes the form

$$\left(\bigoplus_{a\in\mathcal{A}_{00}}S(e_a)^{h(a)}\right)\bigoplus\left(\bigoplus_{j=1}^nS(e_{a_j})\right),$$

where A_{00} is a well anti-ordered set of elements of (0,1], and h is a decreasing function on A_{00} , taking as values cardinal numbers $> \aleph_0$, $0 \le n \le \infty$, $1 \ge a_1 \ge a_2 \ge \ldots$, $a_j > a$ if $a \in A_{00}$. Thus, by (3.7) it follows that to prove the proposition it suffices to show that the cogenerator of the semigroup $\{T_a(t)\}_{t \ge 0}$ is unitarily equivalent to $S(e_a)$. It is clear that it is enough to prove the last assertion for a = 1.

If A is the generator of the semigroup $\{T(t)\}_{t>0}$, then $A'=(iA)^{-1}$ is the Volterra operator:

$$A'f(x) = i \int_{0}^{x} f(t) dt.$$

Because the imaginary part of A' is of rank one, it follows that its Cayley transform

$$T = (A' - iI)(A' + iI)^{-1},$$

which coincides with the cogenerator of our semigroup, is an operator of class $C_0(1)$ ([4], IX, §§ 2, 4) unitarily equivalent to $S(e_1)$, by relation (3.6). The proposition is proved.

Remark 5. Another representation, which is unitarily equivalent to the canonical representation associated to the semigroup whose cogenerator is $S(e_a)$, is associated to the semigroup $\{T'_a(t)\}_{t\geqslant 0}$ acting on $L^2(0,a)$ and defined by

$$T'_{a}(t)f(x) = \begin{cases} f(x-t) & \text{if } x \in (t, \infty) \cap (0, a), \\ 0 & \text{otherwise.} \end{cases}$$

For the corresponding representation $f \mapsto C'_{a,f}$, we have

$$C'_{a,f}g(x) = \int_0^x f(t)g(x-t)dt, \quad x \in (0, a).$$

4. Quasisimilarity models for the representations of $L^1(0,1)$. Let $f \mapsto T_f$, $f \mapsto T_f'$ be two representations of $L^1(0,1)$ into H,H', respectively. The first representation is a quasiaffine transform of the second if there exists a quasiaffinity $X \colon H \to H'$ such that

$$T_f'X = XT_f, \quad f \in L^1(0,1).$$

Two representations are quasisimilar if each one is a quasiaffine transform of the other.

Remark 6. The representation $f\mapsto T_f$ is a quasiaffine transform of $f\mapsto T_f'$, if and only if the adjoint representation $f\mapsto T_f^{''}$ is a quasiaffine transform of $f\mapsto T_f^{''}$.

LEMMA 5. Each quasicanonical representation of $L^1(0,1)$ is quasisimilar to a canonical representation.

Proof. Let us consider the quasicanonical representation (3.2). Let T be the cogenerator of the semigroup $\{T(t)\}_{t\geq 0}$. By Theorem 1 there exists a Jordan operator T' and two quasiaffinities X, Y such that

$$T'X = XT, \quad TY = YT'.$$

If $\{T'(t)\}_{t\geq 0}$ is the strongly continuous semigroup of contractions whose cogenerator is T', we infer from (3.3)

$$T'(t)X = XT(t), \quad T(t)Y = YT'(t), \quad t \geqslant 0,$$

such that $XC_f = C_f'X$, $C_fY = YC_f'$, where $f \mapsto C_f'$ is the canonical representation determined by the semigroup $\{T'(t)\}_{t \geq 0}$.

The main result in [3] can be reformulated as follows:

Proposition B (Theorem 1 in [3]). Each complete representation of $L^1(0,1)$ into a separable Hilbert space is a quasiaffine transform of a quasicanonical representation.

Let $f\mapsto T_f$ be a representation of $L^1(0,1)$ into a Hilbert space H. Let k be a constant such that

$$(4.1) ||T_t|| \leq k ||f||_1, f \in L^1(0,1).$$



Because $L^1(0, 1)$ is a separable Banach space, H can be decomposed into a direct sum $H = \bigoplus H_a$ of separable spaces, each space being reducing for T_t , $f \in L^1(0, 1)$.

Let us apply the proof of Theorem 1 in [3] to each representation $f \mapsto T_f|_{H_a}$, $a \in A$. We obtain the existence of a family $\{H_a(t)\}_{t \geq 0}$ of operators acting on H_a , with the following properties:

- (i) $||H_a(t)|| \leq k$, $t \mapsto H_a(t)$ is strongly measurable;
- (ii) $\{H_a(t)\}_{t\geq 0}$ is a commuting family;
- (iii) $T_f|_{H_a} = \int_0^1 f(t) H_a(t) dt$;
- (iv) the range of $H_a(t)$ is contained in

$$K_a = \bigvee_{f \in L^1(0,1)} T_f(H_a);$$

(v) there exists a strongly continuous semigroup of operators, $\{T_a(t)\}_{t\geq 0}$, acting on K_a , such that $T_a(1)=0$ and the restriction $H_a(t)|_{K_a}$ coincides almost everywhere with $T_a(t)$. (The properties (ii) and (iv) are not explicitly proved in [3] but can be easily obtained by the methods used there.)

Let us consider the orthogonal decomposition

$$H_a = K_a \oplus (H_a \ominus K_a)$$
.

With respect to this decomposition $H_a(t)$ has almost everywhere a matrix of the form

$$\begin{bmatrix} T_a(t) & B_a(t) \\ 0 & 0 \end{bmatrix},$$

where $\{T_a(t)\}_{t\geq 0}$ is the semigroup given by (v). From the properties (i) and (v) we infer the existence of an operator of the form

$$\begin{bmatrix} I & X_a \\ 0 & 0 \end{bmatrix}, \quad \left\| \begin{bmatrix} I & X_a \\ 0 & 0 \end{bmatrix} \right\| \leqslant k$$

in the weak closure of the family $\{H_a(t)\}_{t\geq 0}$. This operator will commute with $H_a(t)$, thus we have almost everywhere the relation

$$(4.2) T_a(t)X_a = B_a(t).$$

The operator

$$Z_a = \begin{bmatrix} I & -X_a \\ 0 & I \end{bmatrix}$$

is invertible, its inverse is

$$Z_a^{-1} = \begin{bmatrix} I & X_a \\ 0 & I \end{bmatrix}$$

and we have

$$||Z_a||\leqslant 1+k\,, \qquad ||\dot{Z}_a^{-1}||\leqslant 1+k\,.$$

From (4.2) it follows that

$$\begin{bmatrix} T_a(t) & B_a(t) \\ 0 & 0 \end{bmatrix} Z_a = Z_a \begin{bmatrix} T_a(t) & 0 \\ 0 & 0 \end{bmatrix}.$$

From (4.3) it follows that the operator

$$Z = \bigoplus_{a \in A} Z_a$$

is invertible. The strongly continuous semigroup of operators $\{T(t)\}_{t>0}$ defined by

$$T(t) = \bigoplus_{a \in A} T_a(t)$$

is acting on

$$K = \bigoplus_{a \in A} K_a = \bigvee_{f \in L^1(0,1)} \operatorname{Range} T_f.$$

From the preceding relations it follows that, with respect to the orthogonal decomposition $H = K \oplus (H \ominus K)$, we have the relation

$$Z^{-1}T_{f}Z = \begin{bmatrix} T_{f}' & 0 \\ 0 & 0 \end{bmatrix}$$

where $f \mapsto T_f' = \int\limits_0^t f(t) T(t) dt$ is a complete representation of $L^1(0,1)$.

From the last part of the proof of Theorem 1 in [3] it follows that the representation $f \mapsto T'_f$ is a quasiaffine transform of a quasicanonical representation.

Finally, an application of Lemma 5 gives the following result:

Proposition 5.

- (a) Each representation of $L^1(0,1)$ into a Hilbert space is similar to the direct sum of a complete representation with a trivial representation.
- (b) Each complete representation of $L^1(0,1)$ is a quasiaffine transform of a canonical representation.

Let us suppose that $f \mapsto T_f$ is the direct sum of a canonical representation with a trivial representation. Thus, T_t acts on a direct sum $H_1 \oplus H_2$ and

$$T_f = \int\limits_0^1 f(t) egin{bmatrix} T(t) & 0 \ 0 & 0 \end{bmatrix} dt.$$

The following relations are obvious:

$$(4.4) H_1 = \bigvee_{f \in L^1(0,1)} \operatorname{Range} T_f, \quad H_2 = \bigcap_{f \in L^1(0,1)} \operatorname{Ker} T_f.$$



If $f \mapsto T'_f$ is a second representation of the same form,

$$T_f' = \int_0^1 f(t) \begin{bmatrix} T'(t) & 0 \\ 0 & 0 \end{bmatrix} dt$$

with respect to the orthogonal decomposition $H_1' \oplus H_2'$, and $X: H_1 \oplus H_2$ $\rightarrow H_1' \oplus H_2'$ is a quasiaffinity such that $T_f'X = XT_f$, $f \in L^1(0, 1)$, we infer from (4.4) that $XH_1 \subset H_1'$, $HX_2 \subset H_2'$, such that $X = X_1 \oplus X_2$, where $X_1: X_1 \rightarrow H'_1, X_2: H_2 \rightarrow H'_2$ are quasiaffinities and

$$\left(\int_{0}^{1} f(t) T'(t) dt\right) X_{1} = X_{1} \left(\int_{0}^{1} f(t) T(t) dt\right).$$

From (4.5) it follows that $T'(t)X_1 = X_1T(t)$, thus $T'X_1 = X_1T$, where T', T are the cogenerators of the semigroups $\{T'(t)\}_{t\geq 0}$, $\{T(t)\}_{t\geq 0}$, respectively. Because T' and T are Jordan operators, from Theorem 1 (b) it follows that T' = T. Finally, from the fact that X_2 is a quasiaffinity we infer dim H_2 $= \dim H'_{\alpha}$.

We are now able to prove:

THEOREM 2. (a) Each representation of $L^1(0,1)$ into a Hilbert space is quasisimilar to the direct sum of a canonical representation with a trivial representation.

(b) Two representations, both being the direct sum of a canonical representation and a trivial representation, and one of them a quasiaffine transform of the other, coincide. (More precisely, they have the same canonical part and their trivial parts act on spaces of the same dimension.)

Proof. (b) follows from the preceding considerations.

(a) If we apply Proposition 5 to the representation $f \mapsto T_f$ and its adjoint representation $f \mapsto T_f^{\sim}$ and if we use Remarks 4 and 6, we infer the existence of two representations of the form

canonical
$$\oplus$$
 trivial

such that the representation $f \mapsto T_f$ is a quasiaffine transform of the first and the second is a quasiaffine transform of $f \mapsto T_f$. An application of (b) shows that the three representations considered are pairwise quasisimilar. The theorem is proved.

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A property of determining sets for analytic functions

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Abstract. Any locally determining set at $0 \in K^n$ (K = R or K = C) for analytic functions (1) contains a sequence convergent to $0 \in K^n$, which is itself locally determining at $0 \in K^n$.

1. In this note we prove the following theorem.

THEOREM. Let $E \subset C^n$ be a locally determining set at $0 \in C^n$ for holomorphic functions. Then there is a sequence $\{a_r\} \subset E$ convergent to $0 \in C^n$ which is a locally determining set at $0 \in C^n$ for holomorphic functions.

This theorem is an answer to Question 2 posed in [2]. Its proof is based on a lemma concerning locally complete sets in separable Hilbert spaces. The lemma seems to be interesting by itself.

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2. Let H be a separable Hilbert space with scalar product $\langle \cdot, \cdot \rangle$ and the norm $\| \cdot \| = \langle \cdot, \cdot \rangle^{\frac{1}{2}}$. A subset $A \subset H$ is called *complete* if and only if the equations $\langle x, a \rangle = 0$ for each $a \in A$ imply x = 0.

LEMMA. Assume that A is a subset of a separable Hilbert space such that for every constant 0 < r < 1 the set $A_r := \{x \in A : ||x|| < r\}$ is complete. Then there is a sequence $\{a_i\} \subset A$ convergent to $0 \in H$ which is complete.

Proof. Let $\{e_i\}$ be an orthonormal base in H and let r_1 be a positive number. Then the set A_{r_1} is complete. Hence the closure of the linear subspace spanned by A_{r_1} is equal to H. Thus there exist scalars $\beta_1^{(1)}, \ldots, \beta_{s_1}^{(1)}$ and vectors $a_1^{(1)}, \ldots, a_{s_1}^{(1)} \in A_{r_1} - \{0\}$ such that

$$\|\beta_1^{(1)}a_1^{(1)}+\ldots+\beta_{s_1}^{(1)}a_{s_1}^{(1)}-e_1\|<2^{-1}.$$

Put $r_2 = \min\{\|a_1^{(1)}\|, \ldots, \|a_{s_1}^{(1)}\|, 2^{-2}\}$. Then $a_k^{(1)} \notin A_{r_2}$ for $k = 1, \ldots, s_1$. As before, we can choose scalars $\beta_1^{(2)}, \ldots, \beta_{s_2}^{(2)}$ and vectors $a_1^{(2)}, \ldots, a_{s_2}^{(2)}$

⁽¹⁾ A subset E of K^n (n > 1) is called a locally determining set at $0 \in K^n$ for analytic functions, if for each connected neighbourhood U of $0 \in K^n$ the subset $E \cap U$ is determining for the analytic functions in U, i.e. if a function f is analytic in U and vanishing on $E \cap U$, the function f is identically zero ([1]).