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Dihedral extensions of Q of degree 2l which contain non-Galois extensions with class number not divisible by l

by

### KIYOAKI IIMURA (Tokyo)

1. Main results. In this paper we specify all dihedral extensions K of degree 2l over the rational numbers Q which contain non-Galois extensions of odd prime degree  $l \neq 3$  over Q with class number not divisible by l in terms of the conductor of the cyclic extension K/k of degree l, where k is a unique quadratic subfield of K. In [3] F. Gerth III completely gave the discriminants of all (non-Galois) cubic extensions of Q whose class numbers are not divisible by 3. Our paper extends in essence his work to all non-Galois extensions of Q of odd prime degree  $l \neq 3$  whose normal closures have degree 2l over Q.

Now to state our results we need the following fact proved by J. Martinet [7].

LEMMA 1. Let K be a dihedral extension of Q of degree 2l, where l is an odd prime number  $\neq 3$ , let k be the quadratic subfield of K with discriminant d, and let L be a non-Galois extension of Q of degree l contained in K. Then the conductor f of the cyclic extension K/k of degree l has the following form:

$$f=l^{u+v}\prod_i p_i\prod_j q_j,$$

where pi and qi are rational primes such that

$$p_i \equiv \left(\frac{d}{p_i}\right) = 1 \pmod{l},$$

$$q_j \equiv \left(\frac{d}{q_j}\right) = -1 \pmod{l};$$

u = 1 if  $l \mid f$  and  $l \nmid d$ , u = 0 otherwise; and v = 0 or 1. Furthermore the discriminant of L/Q is  $d^{(l-1)/2}f^{l-1}$ . Our main result is: THEOREM 1. Let l be an odd prime number  $\neq 3$ . Let k be a quadratic extension of Q with discriminant d, and let K be a dihedral extension of Q of degree 2l containing k. Let H(k) denote the l-class group of k; i.e., the Sylow l-subgroup of the ideal class group of k. In each part below, we give the conductor f of the cyclic extension K of k (of degree l) which contains non-Galois extensions of Q of degree l with class number not divisible by l. There exists a unique K with the specified conductor f.

- (a) H(k) is not cyclic. Then no such K exists.
- (b)  $H(k) \neq 1$  but is cyclic. Then f = 1; i.e., K/k is unramified.
- (c) H(k) = 1. Let A be the set of rational primes q such that  $q \equiv \left(\frac{d}{q}\right)$ = -1 (mod l). Let e be the fundamental unit of k when d > 0, and let e = 1 when d < 0. Let

 $A_1=\{q\in A|\ e\ is\ an\ l\text{-th}\ power\ residue\ (\mathrm{mod}\ qO_k)\},$  where  $O_k$  is the ring of integers of k, and let  $A_2=A\setminus A_1$ . (Note that  $A_1=A$  when d<0.) If  $l\mid d\ (resp.\ \left(\frac{d}{l}\right)=-1)$ , let  $B=\{l\}$  when e is an l-th power residue  $(\mathrm{mod}\ lO_k)$   $(resp.\ \mathrm{mod}\ l^2O_k)$ , and let B is empty when e is an l-th power nonresidue  $(\mathrm{mod}\ lO_k)$   $(resp.\ l^2O_k)$ . Then the conductors f are given as follows:

- (i) f = q where q is any element of  $A_1$ ;
- (ii)  $f = q_1q_2$  where  $q_1$  and  $q_2$  are any distinct elements of  $A_2$ ;
- (iii) f = l if  $l \mid d$  and  $l \in B$ ;
- (iv) f = lq if  $l \mid d$ ,  $l \notin B$ , and q is any element of  $A_2$ ;

(v) 
$$f = l^2$$
 if  $\left(\frac{d}{l}\right) = -1$  and  $l \in B$ ;

(vi) 
$$f = l^2q$$
 if  $\left(\frac{d}{l}\right) = -1$ ,  $l \notin B$ , and  $q$  is any element of  $A_2$ .

Remark. When l=3 and H(k)=1, there are nine cases to appear in [3], Theorem 2(c), including our six cases (i)-(vi) in Theorem 1(c).

THEOREM 2. In Theorem 1, the sets  $A_1$  and  $A_2$  both have infinite cardinalities whenever d>0 and  $d\neq (-1)^{(l-1)/2}l$ , and so does when d<0 and  $d\neq (-1)^{(l-1)/2}l$ . (Note that A is empty if  $d=(-1)^{(l-1)/2}l$ .)

In Section 2 we shall prove Theorem 1, and Theorem 2 will be proved in Section 3 using the Tchebotarev density theorem.

Throughout this paper we use multiplicative notation for groups and modules, and the action of a group or a ring on a module is expressed by exponentiation. Furthermore  $(x^{\sigma})^{\tau} = x^{\sigma \tau}$ , and  $\left(\begin{array}{c} \cdot \\ \cdot \end{array}\right)$  will denote the tth Hilbert symbol.

2. l-class groups of dihedral extensions. Let K be a dihedral extension of Q of degree 2l, where l is an odd prime number. Let  $\{\sigma, \tau\}$  be a set of generators of  $\operatorname{Gal}(K/Q)$  with the relations  $\sigma^l = \tau^2 = 1$ ,  $\sigma \tau = \tau \sigma^{-1}$ . Let k (resp. L) be the fixed field of  $\langle \sigma \rangle$  (resp.  $\langle \tau \rangle$ ). Then k/Q is quadratic and L/Q is non-Galois of degree l. Note that the subfields of K, except Q and K, are only k and l conjugates of L. For any finite algebraic extension F of Q, let H(F) denote the l-class group of F. As the canonical homomorphism  $H(L) \to H(K)$  is injective, we may consider H(L) as a subgroup of H(K). For all nonnegative integers i, we define

$$H_i(K) = \{h \in H(K) | h^{(\sigma-1)^1} = 1\}$$

and

$$H_i(L) = \{ h \in H_i(K) | \ h^{\mathfrak r} = h \} \, .$$

Then:  $H_i(K)$  is a subgroup of H(K) and is a  $\mathbb{Z}[\operatorname{Gal}(K/Q)]$ -module;  $H_i(L)$  is a subgroup of H(L) and  $H_i(L) = H_i(K)^{1+r}$ ;  $H_i(K) = H(K)$  for large i (cf. [5], Proposition 1). Furthermore let  $N \colon H(K) \to H(k)$  be the map induced by the norm map from ideals of K to ideals of k. Note that N(H(L)) = 1 since  $H(L) = H(K)^{1+r}$  and H(Q) = 1.

Our first step in this section is to give information about the *l*-class group of K which contains L such that H(L) = 1. The following result is known (cf. [1], Proposition 3.9):

LEMMA 2. If H(L) = 1, then there is no rational prime which decomposes in k and ramifies fully in L.

Since N(H(L)) = 1, Proposition 4.1 of [4] applies to yield

$$H_{l-1}(L) = \{h \in H(L) | h^l = 1\},$$

from which it is clear that H(L)=1 if and only if  $H_{l-1}(L)=1$ . So we are now interested only in the group  $H_{l-1}(L)$ . Now we let, for  $i=1,2,\ldots$ 

$$V_i = \langle H_i(L), H_{i-1}(K) \rangle$$

and

$$\tilde{V}_i = \{ h \in H(K) | h^{(\sigma-1)} \in V_i \}.$$

Then it is easily checked that  $V_i$  and  $\tilde{V}_i$  are both subgroups of H(K) and  $Z[\operatorname{Gal}(K/Q)]$ -modules for each  $i \ge 1$ . Also  $H_{i-1}(K) \subset V_i \subset H_i(K)$  and  $V_i \subset \tilde{V}_i \subset H_{i+1}(K)$ .

LEMMA 3. For all  $i \ge 1$ , there is an exact sequence

$$1 \rightarrow \tilde{V}_{i}^{1-\tau} \rightarrow H_{i+1}(K) \xrightarrow{1+\tau} H_{i+1}(L) \rightarrow 1.$$

Proof. Since  $H_{i+1}(K)$  is of course a  $Z_{l}[\tau]$ -module, then

$$H_{i+1}(K) = H_{i+1}(L) \times H_{i+1}(K)^{1-\tau}$$

(cf. [2], proof of Lemma 2.1). So to show the exactness of the above

sequence, it suffices to show that  $\tilde{V}_i^{1-\tau} = H_{i+1}(K)^{1-\tau}$  for all  $i \ge 1$ . By definition  $\tilde{V}_i \subset H_{i+1}(K)$ , and so  $\tilde{V}_i^{1-\tau} \subset H_{i+1}(K)^{1-\tau}$ . Now let  $h \in H_{i+1}(K)^{1-\tau}$ . Then  $h^{(\sigma-1)^{i+1}} = 1$  and  $h^{\tau} = h^{-1}$ . Now

 $h^{(\sigma-1)(\mathfrak{r}-1)} = h^{2-\sigma-\sigma^{-1}} = h^{-(\sigma(l-1)/2-\sigma-(l-1)/2)^2} \in H_{i-1}(K) \cap H(K)^{1-\tau} = H_{i-1}(K)^{1-\tau}$  since

$$(\sigma^{(l-1)/2} - \sigma^{-(l-1)/2})^2 \in (\sigma - 1)^2 \mathbf{Z}[\sigma]$$
 and  $h^{(\sigma - 1)^2} \in H_{i-1}(K)$ .

On the other hand, since  $h^{\sigma-1} \in H_i(K)$ , there are  $h_1 \in H_i(L)$ ,  $h_2 \in H_i(K)^{1-\tau}$  such that  $h^{\sigma-1} = h_1 h_2$ . Then  $h^{(\sigma-1)(\tau-1)} = h_2^{-2} \in H_{i-1}(K)^{1-\tau}$ , which implies that  $h_2 \in H_{i-1}(K)^{1-\tau}$ . So  $h^{\sigma-1} = h_1 h_2 \in H_i(L) H_{i-1}(K)^{1-\tau} \subset V_i$ , which implies that  $h \in \tilde{V}_i \cap H_{i+1}(K)^{1-\tau} = \tilde{V}_i^{1-\tau}$ . So  $\tilde{V}_i^{1-\tau} = H_{i+1}(K)^{1-\tau}$ .

LEMMA 4. For all  $i \ge 1$ , there is an exact sequence

$$1 {\rightarrow} \tilde{V}_i^{1-\tau} {\rightarrow} \tilde{V}_i \xrightarrow{1+\tau} H_i(L) {\rightarrow} 1 \; .$$

Proof. Since  $\tilde{V}_i = \tilde{V}_i^{1+\tau} \times \tilde{V}_i^{1-\tau}$ , it suffices to show that  $\tilde{V}_i^{1+\tau} = H_i(L)$ . Clearly  $V_i^{1+\tau} = H_i(L)$ , and so  $\tilde{V}_i^{1+\tau} \supset H_i(L)$ . Now let  $h \in \tilde{V}_i$ . Then  $h^{\sigma-1} \in V_i$ . Write  $h^{\sigma-1} = h_1 h_2$  with  $h_1 \in H_i(L)$ ,  $h_2 \in H_{i-1}(K)$ ; then

$$\begin{split} h^{(1+\tau)(\sigma-1)^i} &= (h^{(1+\tau)(\sigma-1)})^{(\sigma-1)^{i-1}} = (h^{(\sigma-1)+(\sigma^{l-1}-1)\tau})^{(\sigma-1)^{i-1}} \\ &= (h_1h_2)^{[1+(1+\sigma+\cdots+\sigma^{l-2})\tau](\sigma-1)^{i-1}} \\ &= h_1^{[1-\tau\sigma+(1+\sigma+\cdots+\sigma^{l-1})\tau](\sigma-1)^{l-1}} = h_1^{-(\sigma-1)^i} = 1 \end{split}$$

since  $h_2 \in H_{i-1}(K)$  and  $h_1^{1+\sigma+\cdots+\sigma^{l-1}}=N(h_1)\in N(H(L))=1$ . So  $h^{1+\tau}\in H_*(K)\cap H(L)=H_*(L),$ 

which implies that  $\tilde{V}_i^{1+\tau} \subset H_i(L)$ .

LEMMA 5. For all  $i \ge 1$ ,  $V_i/H_i(L) \cong H_{i-1}(K)/H_{i-1}(L)$ . Proof. Since  $H_{i-1}(K) \cap H_i(L) = H_{i-1}(L)$ , then

$$\begin{split} V_i | H_i(L) &= \langle H_i(L), H_{i-1}(K) \rangle / H_i(L) \cong H_{i-1}(K) / \big( H_{i-1}(K) \cap H_i(L) \big) \\ &= H_{i-1}(K) / H_{i-1}(L). \end{split}$$

LEMMA 6. For all integers  $i \ge 1$ , we have

 $|H_{i+1}(K)/H_{i+1}(L)| = |\tilde{\mathcal{V}}_i/V_i| \cdot |H_{i-1}(K)/H_{i-1}(L)|.$ 

Proof. We have

$$\begin{split} |\tilde{V}_{i}^{1-\tau}| &= |H_{i+1}(K)/H_{i+1}(L)| \quad \text{(by Lemma 3)} \\ &= |\tilde{V}_{i}/H_{i}(L)| \quad \text{(by Lemma 4)} = |\tilde{V}_{i}/V_{i}| |V_{i}/H_{i}(L)| \\ &= |\tilde{V}_{i}/V_{i}| |H_{i-1}(K)/H_{i-1}(L)| \quad \text{(by Lemma 5)}. \end{split}$$

Now if we apply [4], Theorem 4.3 to both  $\mathbf{Z}[\sigma]$ -modules  $H_{i-1}(K)$ 

and  $V_i$ , we have, for every  $i \ge 1$ :

$$(2.2) |H_i(K)/H_{i-1}(K)| = l^{t-1-r_i} |H(k)/N(H_{i-1}(K))|,$$

(2.3) 
$$|\tilde{V}_i/V_i| = l^{l-1-r_i'}|H(k)/N(V_i)|,$$

where t denotes the number of primes of k which ramify in K, and  $r_i$  and  $r_i'$  for each  $i \ge 1$ , are both nonnegative rational integers whose precise definitions will be given after equation (2.6). Now in view of the definition of  $V_i$ ,  $N(H_i(L)) = 1$  implies that  $N(V_i) = N(H_{i-1}(K))$  for all  $i \ge 1$ . Hence from equations (2.2) and (2.3),

(2.4) 
$$|\tilde{V}_i/V_i| = l^{r_i - r_i'} |H_i(K)/H_{i-1}(K)|.$$

Equations (2.1) with i = 1, 3, ..., l-2 put together to give

$$(2.5) |H_{l-1}(K)/H_{l-1}(L)| = \prod_{j=1}^{(l-1)/2} |\tilde{V}_{2j-1}/V_{2j-1}|.$$

Equations (2.4) and (2.5) together with the equation

$$|H_{l-1}(K)| = \prod_{i=1}^{(l-1)/2} |H_i(K)/H_{i-1}(K)|$$

then yield

$$(2.6) |H_{l-1}(L)| = l^{\frac{(l-1)/2}{\sum\limits_{i=1}^{K} (r_{2i-1} - r'_{2i-1})} \prod_{j=1}^{(l-1)/2} |H_{2j}(K)| H_{2j-1}(K)|.$$

We now give the definitions of the numbers  $r_i$  and  $r'_i$  that appear in equations (2.2) and (2.3), following the results in [4], pp. 36-42.

Let  $\mathfrak{A}_1,\mathfrak{A}_2,\ldots,\mathfrak{A}_u$  (resp.  $\mathfrak{A}'_1,\mathfrak{A}'_2,\ldots,\mathfrak{A}'_v$ ) be ideals of K (resp. L) which satisfy the following two conditions:

(C1)  $H_{i-1}(K)$  (resp.  $H_i(L)$ ) is generated by the ideal classes of the  $\mathfrak{A}_i$ 's (resp. the  $\mathfrak{A}_i$ 's).

(C2) If we define  $\mathfrak{F}$  (resp.  $\mathfrak{F}'$ ) to be the ideal group generated by the  $\mathfrak{A}_j$ 's and their  $\sigma$ -conjugates (resp. the  $\mathfrak{A}_j$ 's, the  $\mathfrak{A}'_j$ 's, and their  $\sigma$ -conjugates), then  $\mathfrak{F} \cap \mathfrak{F}(K)^{\sigma-1} = \mathfrak{F}^{\sigma-1}$  (resp.  $\mathfrak{F}' \cap \mathfrak{F}(K)^{\sigma-1} = \mathfrak{F}'^{\sigma-1}$ ), where  $\mathfrak{F}(K)$  denotes the group of fractional ideals of K whose ideal classes belong to H(K).

Note that the ideal classes of the  $\mathfrak{A}_j$ 's and the  $\mathfrak{A}_j$ 's generate  $V_i$ , and that  $\mathfrak{F}$  and  $\mathfrak{F}'$  are both  $Z[\sigma]$ -modules. Let  $\psi \colon k^* \to \mathfrak{F}_0(k)$  be the map defined by  $\psi(\gamma) = (\gamma)$  for  $\gamma \in k^* = k \setminus \{0\}$ , where  $\mathfrak{F}_0(k)$  denotes the group of principal fractional ideals of k; let  $\Lambda = \psi^{-1}(N(\mathfrak{F}) \cap \mathfrak{F}_0(k))$  and  $\Lambda' = \psi^{-1}(N(\mathfrak{F}') \cap \mathfrak{F}_0(k))$ , where N is the norm map from ideals of K to ideals of K. Then  $\Lambda/\Lambda^l$  and  $\Lambda/\Lambda^l$ , which may be viewed as vector spaces over  $F_l$ , the finite field of l elements, are both of finite dimension, since  $\mathfrak{F}$  and  $\mathfrak{F}'$ 

are both finitely generated. So let  $\{a_j\}_{1\leqslant j\leqslant m}$  (resp.  $\{a_j'\}_{1\leqslant j\leqslant n}$ ) be a set of generators of the vector space  $\Lambda/\Lambda^l$  (resp.  $\Lambda/\Lambda^l$ ). Furthermore, let  $\alpha$  be an element of the field  $k(\zeta)$  such that  $K(\zeta) = k(\zeta, \sqrt[l]{\alpha})$ , where  $\zeta$  is a primitive lth root of unity; let  $\mathfrak{p}_1, \mathfrak{p}_2, \ldots, \mathfrak{p}_t$  be the primes of k which ramify in K; and let  $\widehat{\mathfrak{P}}$  be any prime of  $k(\zeta)$  above  $\mathfrak{p}_j, 1\leqslant j\leqslant t$ . Then we can define  $r_i$  and  $r_i'$  respectively to be the ranks of the matrices (over the finite field  $F_l$ )

$$(\beta_{iv})$$
  $(1 \leq j \leq m, 1 \leq v \leq t)$ 

and

$$(\beta'_{iv})$$
  $(1 \leqslant j \leqslant n, \ 1 \leqslant v \leqslant t),$ 

where

$$\zeta^{\beta_{jv}} = \left(\frac{a_j, a}{\overline{\mathfrak{P}}_v}\right) \quad (1 \leqslant j \leqslant m, \ 1 \leqslant v \leqslant t),$$

(2.7)

$$\zeta^{\beta'_{j_{\nu}}} = \left(\frac{\alpha'_{j}, \ \alpha}{\widehat{\mathfrak{P}}_{\nu}}\right) \quad (1 \leqslant j \leqslant n, \ 1 \leqslant \nu \leqslant t).$$

(It should be noted that these definitions of  $r_i$  and  $r'_i$  are well-defined (cf. [4], Proposition 3.4 and Theorem 4.3).)

Now if we choose a set of generators of  $\Lambda'/\Lambda'^i$  such that  $\Lambda/\Lambda^i$  is generated by one of its subsets (such a set does exist), we see at once from the definitions of  $r_i$  and  $r_i'$  that  $r_i \leq r_i'$  for all  $i \geq 1$ . But in some special cases, for example, when  $t \leq 1$  or when the condition of the next lemma is fulfilled, it occurs that  $r_i = r_i'$  for all  $i \geq 1$ .

LEMMA 7. Assume that there is no rational prime which decomposes in k and ramifies fully in L. Then  $r_i = r'_i$  for all integers  $i \ge 1$ , and hence equation (2.6) becomes

$$(2.8) |H_{l-1}(L)| = \prod_{j=1}^{(l-1)/2} |H_{2j}(K)/H_{2j-1}(K)|.$$

Furthermore, H(L) = 1 if and only if  $|H_2(K)/H_1(K)| = 1$ .

Proof. Note that a set  $\{\alpha'_j\}_{1 \le j \le n}$  of generators of  $\Lambda'/\Lambda'$  may be chosen so that, a subset  $\{\alpha'_j\}_{1 \le j \le m}$  generates  $\Lambda/\Lambda'$  and  $\alpha'_j$  is a rational number for  $m+1 \le j \le n$ . Then the same argument as in the proof of [6],

Lemma 3, shows that 
$$\left(\frac{a_j', \alpha}{\widehat{\mathfrak{P}}_{\nu}}\right) = 1$$
 for  $m+1 \leqslant j \leqslant n$ ,  $1 \leqslant \nu \leqslant t$ . Clearly

this implies that  $r_i = \bar{r}_i$  for each integer  $i \ge 1$ . The last result follows at once from equation (2.8) and the fact that  $(\sigma - 1)$  maps  $H_{i+1}(K)/H_i(K)$  injectively into  $H_i(K)/H_{i-1}(K)$  for all  $i \ge 1$ .

Our next step is to compute the order of  $H_2(K)/H_1(K)$  under the assumption of Lemma 7. From equation (2.2),

$$|H_2(K)/H_1(K)| = l^{l-1-r_2} |H(k)/N(H_1(K))|.$$

So we must consider the group  $N(H_1(K))$  and the number  $r_2$ . First we want to show that  $N(H_1(K)) = H(k)^l$ . Let  $\mathfrak{P}_1, \mathfrak{P}_2, \ldots, \mathfrak{P}_t$  be the primes of K which are ramified over k, and let  $H'_1(K)$  be the subgroup of  $H_1(K)$  generated by the image of H(k) and the ideal classes of the  $\mathfrak{P}_j^{r_1}$ 's. Then  $N(H'_1(K)) = H(k)^l$ , since  $N(\mathfrak{P}_j^{r_2}) = \mathfrak{P}_j^{r_1}$   $(1 \leq j \leq t)$  is principal in k. Also  $H_1(K)/H'_1(K)$  is either trivial or cyclic of order l, and in the latter case there is an ideal of L the image in  $H_1(K)/H'_1(K)$  of whose ideal class generates  $H_1(K)/H'_1(K)$  (cf. [5], proof of Proposition 2 or [6], proof of Proposition 6). So in both cases  $N(H_1(K)) = N(H'_1(K)) = H(k)^l$ . Hence

$$[H(k)/N(H_1(K))] = [H(k)/H(k)^{I}] = l^{r(k)},$$

where r(k) denotes the rank of H(k); i.e., the minimal number of generators of H(k). Next we give an explicit matrix associated with  $H_1(K)$ by taking appropriate ideals as the A,'s with properties (C1) and (C2). Let  $q_1, q_2, \ldots, q_{r(k)}$  be ideals of k whose ideal classes generate H(k), and let  $q_i^{c_i} = (\pi_i)$   $(1 \le i \le r(k))$ , where  $\pi_i \in k$  and  $c_i$  is the order of the ideal class of  $q_i$  in H(k). Let  $p_1, p_2, \ldots, p_t$  be the rational primes which ramify fully in L; let  $\mathfrak{A}$  be an ideal of L whose ideal class is contained in  $H_1(K)$  $\backslash H_1'(K)$  when  $H_1(K) \neq H_1'(K)$ ; let  $\mathfrak{A} = (1)$  when  $H_1(K) = H_1'(K)$ ; and let a be a rational number such that  $N(\mathfrak{A}) = (a)$ . If we put  $\mathfrak{A}_i = \mathfrak{q}_i$  for  $1 \leqslant j \leqslant r(k), \ \mathfrak{A}_{r(k)+j} = \mathfrak{P}_j \text{ for } 1 \leqslant j \leqslant t, \text{ and } \mathfrak{A}_{r(k)+t+1} = \mathfrak{A}, \text{ then it is easy}$ to see that these  $\mathfrak{A}_i$ 's satisfy conditions (C1) and (C2). Also the vector space  $A/A^l$  (over the finite field  $F_l$ ) corresponding to these  $\mathfrak{A}_l$ 's, is generated by  $\{e, p_1, p_2, \ldots, p_t, \pi_1, \pi_2, \ldots, \pi_{r(k)}, a\} = S$ , where e is the fundamental unit of k or e = 1 according as k is real or complex. Since  $p_1$ ,  $p_2, \ldots, p_i, a$  are rational numbers, then  $\left(\frac{b,a}{\Re}\right) = 1$  for  $b = p_1, p_2, \ldots, p_i$ , a and  $1 \le v \le t$ , where  $\overline{\mathfrak{P}}_v$  is any prime of  $k(\zeta)$  above  $p_v$   $(1 \le v \le t)$ ,  $\zeta$  is a primitive *l*th root of unity, and  $\alpha$  is an element of  $k(\zeta)$  such that  $K(\zeta)$  $=k(\zeta, \sqrt[4]{a})$  (cf. [6], proof of Lemma 3). Furthermore the product formula for the *l*th Hilbert symbol says that  $\prod_{i=1}^{r} \left( \frac{\gamma_i, \alpha_i}{\overline{\mathfrak{M}}} \right) = 1$  for all elements  $\gamma$ of S. Hence from these and equation (2.7), we get

$$r_2 = \operatorname{rank}(\beta_i)$$
  $(1 \le i \le r(k) + 1, 1 \le r \le t - 1),$ 

where

(2.9) 
$$\zeta^{\beta_{j\nu}} = \left(\frac{\pi_{j}, \alpha}{\overline{\mathfrak{P}}_{\nu}}\right) \quad \text{for} \quad 1 \leqslant j \leqslant r(k), \ 1 \leqslant \nu \leqslant t - 1,$$

$$\xi^{\beta_{j\nu}} = \left(\frac{e, \alpha}{\overline{\mathfrak{P}}_{\nu}}\right) \quad \text{for} \quad j = r(k) + 1, \ 1 \leqslant \nu \leqslant t - 1.$$

We summarize these results in the following

LEMMA 8. With the assumptions of Lemma 7 and the above notations,

$$|H_2(K)/H_1(K)| = l^{r(k)+t-1-r_2}$$

where  $r_2$  is the rank of the  $((r(k)+1)\times(t-1))$ -matrix over the finite field  $F_1$  defined by equation (2.9). (Note that  $r_2=0$  when  $t\leqslant 1$ .)

We are now in a position to prove Theorem 1. Assume that K contains L such that H(L)=1. Then by Lemmas 2, 7, and 8, we have  $r(k)+t-1-r_2=0$ . If  $r(k)\geq 2$  (which means H(k) is not cyclic), then

$$r(k)+t-1-r_2 \ge t+1-r_2 \ge t+1-t > 0$$

which is a contradiction. So r(k) must be 1 or 0. We first assume r(k) = 1, which means  $H(k) \neq 1$  but is eyelic. Since  $0 \leq r_2 \leq \max\{0, t-1\}$  by Lemma 8, it follows that

$$r(k) + t - 1 - r_2 = t - r_2 = 0 \Leftrightarrow t = 0$$

in which case class field theory says that there is a unique cyclic extension K/k of degree l with conductor 1. Clearly such a field K is a dihedral extension of Q of degree 2l. Thus we have proved Theorem 1 (a)–(b). It remains to prove Theorem 1 (c) (i)–(vi). So we assume H(k)=1, which means r(k)=0. By class field theory r(k)=0 implies  $t\geq 1$ . Then in Lemma 8, the number  $r_2$  is the rank of the  $(1\times (t-1))$ -matrix whose  $l_j$ -th element  $\beta_{lj}$  is given by  $\zeta^{\beta_{lj}}=\left(\frac{e,\,\alpha}{\overline{\psi}_j}\right)$ . So  $r_2=0$  or 1, and hence  $r(k)+t-1-r_2=0\Leftrightarrow t=1$  (and  $r_2=0$ ), or t=2 and  $r_2=1$ . We note that if t=2, the product formula for the lth Hilbert symbol implies that both of  $\left(\frac{e,\,\alpha}{\overline{\psi}_1}\right)$  and  $\left(\frac{e,\,\alpha}{\overline{\psi}_2}\right)$  are 1, or neither of them is 1. Furthermore, from our assumption that H(L)=1 and from Lemmas 1 and 2 it follows that the primes of l which ramify in l must be either rational primes l such that l0 and l1 is inert in l2, or the unique prime

of k above l (if l ramifies in k). Also it is easy to see that  $\left(\frac{e, \alpha}{\mathfrak{Q}}\right) = 1$  (where  $\mathfrak{Q}$  is any prime of  $k(\zeta)$  above q) if and only if e is an lth power residue (mod  $qO_k$ ), or equivalently, q is contained in the set  $A_1$  defined in Theorem 1. If we correlate these results for the case when H(k) = 1, we obtain the following restrictions for the conductors f of the cyclic extensions K/k which contain L such that H(L) = 1.

LEMMA 9. Let notations be as in Theorem 1, and assume H(k) = 1. Then K contains L such that H(L) = 1 if and only if the conductor f of

K/k has one of the following forms:

- (i) f = q where q is any element of  $A_1$ ;
- (ii)  $f = q_1q_2$  where  $q_1$  and  $q_2$  are any distinct elements of  $A_2$ ;
- (iii) f = l if l | d;
- (iv) f = lq if  $l \mid \bar{d}$  and q is any element of  $A_2$ ;

(v) 
$$f = l^2$$
 if  $\left(\frac{d}{l}\right) = -1$ ;

(vi) 
$$f = l^2 q$$
 if  $\left(\frac{d}{l}\right) = -1$  and q is any element of  $A_2$ .

It still remains to determine completely for which of the possible values of f listed in Lemma 9 there exists a dihedral extension K/Q of degree 2l such that the conductor of K/k is exactly f. To do this we have only to extend the arguments in [3], Section 3, to our dihedral case. However there is no difficulty in carrying it out, and so we will not present it here. Consequently, Theorem 1 (c) (i)–(vi) is proved.

3. Proof of Theorem 2. Let notations be the same as in Theorem 1. In this section we let  $\zeta$  be a primitive 2l-th root of unity. Let  $F = Q(\zeta)$ ,  $\tilde{F} = F \cdot k (=k(\zeta))$ , and let  $F^+$  be the maximal real subfield of F. We consider the case  $d \neq (-1)^{(l-1)/2}l$ , in which case there is only one quadratic subextension F' of  $F/F^+$  other than F or  $F^+k$ , since the Galois group  $G(F/F^+)$  is the four group. Now suppose d > 0, and let  $N = \tilde{F}_{V}^{l}(\bar{e})$ . Clearly N/Q is Galois. We want to show that G(N/F') is cyclic of order 2l. Let  $N_0$  be a subfield of N which has degree l over F', and let  $\tilde{\tau}$  be the generator of  $G(N/N_0)$ . Since the action of  $\tilde{\tau}$  on k is the same as that of the generator of G(k/Q), then  $(\sqrt[l]{e})^{\tilde{\tau}} = \zeta^a (\sqrt[l]{e})^{-1}$  with  $a \in \mathbb{Z}$ . But  $\sqrt[l]{e} = (\sqrt[l]{e})^{\tilde{\tau}^2} = \zeta^{-2a} \sqrt[l]{e}$ , which implies  $a \equiv 0 \pmod{l}$ . So  $(\sqrt[l]{e})^{\tilde{\tau}} = (\sqrt[l]{e})^{-1}$ . Now let  $\tilde{\sigma}$  be a generator of G(N/F), a cyclic group of order l, and let  $(\sqrt[l]{e})^{\tilde{\sigma}} = \zeta^b \sqrt[l]{e}$ , where  $b \in \mathbb{Z}$ . Then  $(\sqrt[l]{e})^{\tilde{\sigma}\tilde{\tau}} = (\zeta^b \sqrt[l]{e})^{-1} = (\sqrt[l]{e})^{\tilde{\tau}\tilde{\sigma}}$ , which implies  $\tilde{\sigma}\tilde{\tau} = \tilde{\tau}\tilde{\sigma}$ , and G(N/F') is cyclic of order 2l. The Tchebotarev density theorem then shows that the set of primes  $\mathfrak{Q}_1$  (resp.  $\mathfrak{Q}_2$ ) of N for which

$$G(N/N_0) = \left\langle \left[ \frac{N/Q}{\mathfrak{Q}_1} \right] \right\rangle \quad (\text{resp. } G(N/F') = \left\langle \left[ \frac{N/Q}{\mathfrak{Q}_2} \right] \right\rangle)$$

(where  $\left\lceil \frac{N/Q}{L}\right\rceil$  is the Frobenius symbol) and which are unramified over Q, has positive density. Setting  $q_i = \mathfrak{D}_i \cap Q$  (i=1,2), we easily see that  $q_i$  is contained in  $A_i$  (i=1,2), which completes the proof of Theorem 2 when d>0. For the case d<0 we can again apply the Tchebotarev density theorem to G(F/F') to obtain our result.

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DEPARTMENT OF MATHEMATICS TOKYO METROPOLITAN UNIVERSITY 2-1-1 Fukazawa, Setagaya-ku Tokyo, Japan

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## On 3-class groups of non-Galois cubic fields

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#### KIYOAKI IIMURA (Tokyo)

**Introduction.** In this paper we give information about a certain direct summand of the 3-class group of a non-Galois cubic extension field of the rational numbers Q, and show using it that for any finite elementary abelian 3-group G, there exist infinitely many pure cubic fields whose 3-class groups are isomorphic to G.

Throughout this paper we use multiplicative notation for groups and modules, and the action of a group or a ring on a module is expressed by exponentiation. Furthermore  $(x^{\sigma})^{\tau} = x^{\sigma\tau}$ . The cubic Hilbert symbol  $\left(\frac{a, b}{p}\right)$  used here corresponds to  $(a, b)_p$  in [5].

1. A direct summand of the 3-class group. Let L be a non-Galois cubic extension field of Q, let K be the normal closure of L, and let k be the quadratic subfield of K. Let  $\sigma$  be a generator of the Galois group G(K/k), and let  $\tau$  be the generator of G(K/L). Then G(K/Q) is generated by  $\{\sigma, \tau\}$  with the relations  $\sigma^3 = \tau^2 = 1$ ,  $\sigma \tau = \tau \sigma^2$ . For any finite algebraic extension field F of Q, let H(F) denote the 3-class group of F. As the canonical homomorphism  $H(L) \rightarrow H(K)$  is injective, we may consider H(L) as a subgroup of H(K). For all nonnegative integers i, we define

$$H_i(K) = \{h \in H(K) | h^{(\sigma-1)^i} = 1\}$$

and

$$H_i(L) = \{h \in H_i(K) | h^r = h\}.$$

Then  $H_i(K)$  is a subgroup of H(K) and is a Z[G(K/Q)]-module;  $H_i(L)$  is a subgroup of H(L) and  $H_i(L) = H_i(K)^{1+r}$ ;  $H_i(K) = H(K)$  for large i (cf. [4], Proposition 1). Furthermore let  $N: H(K) \rightarrow H(k)$  be the map induced by the norm map from ideals of K to ideals of k. Note that  $N(H(L)) = \{1\}$  since  $H(L) = H(K)^{1+r}$  and  $H(Q) = \{1\}$ .

Now we let H be a maximal direct summand of H(L) contained in

$$H_1(L) = \{h \in H(K) | h^{\sigma} = h^{\tau} = h\}.$$