## On the relation between two conjectures on polynomials

by

## A. SCHINZEL (Warszawa)

1. The aim of this paper is to establish a relation between the conjecture H on simultaneous representation of primes by several irreducible polynomials (see [12] and [5]) and a conjecture on Diophantine equations with parameters that we shall denote by C. Both conjectures involve the notion of the fixed divisor of a polynomial, i.e. the greatest common divisor of all values the polynomial takes for integral values of the arguments. The conjectures run as follows.

H. Let  $f_1(x), \ldots, f_k(x)$  be irreducible polynomials with integral coefficients and the leading coefficients positive such that  $\prod_{j=1}^k f_j(x)$  has the fixed divisor 1. Then there exist infinitely many positive integers x such that all numbers  $f_i(x)$  are primes.

C. Let  $F(x, y) \in \mathbf{Z}[x, y]$  be a form such that

(1) 
$$F(x, y) = F_1(ax + by, cx + dy)$$
 for any  $F_1 \in \mathbb{Z}[x, y]$  and any  $a, b, c, d \in \mathbb{Z}$  implies  $\begin{vmatrix} a & b \\ c & d \end{vmatrix} = \pm 1$ .

If  $f(t_1, \ldots, t_r) \in \mathbb{Z}[t_1, \ldots, t_r]$  has the fixed divisor equal to its content and the equation

(2) 
$$F(x, y) = f(t_1, ..., t_r)$$

is soluble in integers x, y for all integral vectors  $[t_1, \ldots, t_r]$  then there exist polynomials  $X, Y \in \mathbb{Z}[t_1, \ldots, t_r]$  such that identically

(3) 
$$F(X(t_1, \ldots, t_r), Y(t_1, \ldots, t_r)) = f(t_1, \ldots, t_r).$$

A conjecture similar to C has been proposed by Chowla [3]. He has made no assumption (1) but required F and f to be irreducible and have the fixed divisor 1. The following example shows that this is not enough:

$$F(x, y) = x^2 + 3y^2, \quad f(t_1, t_2) = t_1^2 + t_1t_2 + t_2^2.$$

In this example the set of values of F(x, y) and of  $f(t_1, t_2)$  is the same, but F and f are not equivalent by unimodular transformation, which

answers in the negative a question of Chowla (ibid., p. 73) repeated in [9]. The condition imposed in C on the fixed divisor of f is essential, as the following example shows

$$F(x, y) = 2x^2y^3, \quad f(t) = t^3(t+1)^4.$$

Here the solutions of the equations (2) are given by

$$x = 2(t+1)^2$$
,  $y = \frac{1}{2}t$  if  $t = 0 \mod 2$ ,

$$x = \frac{1}{4}(t+1)^2$$
,  $y = 2t$  if  $t = 1 \mod 2$ ,

but there are no integer-valued polynomials X(t), Y(t) satisfying (3). Another example with F primitive is given at the end of Section 2.

One special case of C corresponding to  $F = x^2 + y^2$  has been proved in [3] and [4]. Chowla has also indicated how his conjecture for F(x, y) quadratic should follow from the special case k = 1 of H. We shall extend these results in the following two theorems.

THEOREM 1. Cholds if  $F(x, y) = x^k y^l$   $(k \ge 1, l \ge 1)$  or if F is quadratic and equivalent (properly or improperly) to every form in its genus. For such and for no other quadratic F C extends to all polynomials  $f \in \mathbb{Z}[t_1, \ldots, t_r]$ .

Theorem 2. H implies C if F is a quadratic form or a reducible cubic form.

We shall see (Corollary to Lemma 3) that C implies the following, less precise but more general assertion.

D. Let  $F(x, y) \in \mathbb{Z}[x, y]$  be any form and  $f \in \mathbb{Z}[t_1, ..., t_r]$  any polynomial. If the equation (2) is soluble in integers x, y for all integral vectors  $[t_1, ..., t_r]$  then there exist polynomials  $X, Y \in \mathbb{Q}[t_1, ..., t_r]$  satisfying (3).

D has been proved for  $F = x^n$  and any r in [7] and [11] also for any irreducible quadratic F and r = 1 in [4], r > 1 in [14]; for reducible quadratic F it follows easily. We shall show

Theorem 3. If implies D if F factorizes into two relatively prime factors in an imaginary quadratic field.

In virtue of Theorem 3 H implies D for  $F = x^n + y^n$ . By a modification of the proof of that theorem in this special case we shall show yet

THEOREM 4. H implies C if  $F(x, y) = x^n + y^n$   $(n \ge 2)$ . For n = 2 and for no other n in question C extends to all polynomials  $f \in \mathbb{Z}[t_1, \ldots, t_r]$ .

At the cost of considerable technical complications indicated briefly later one can extend Theorem 2 to all forms F splitting completely over a cyclic field except those with all zeros conjugate and real. The quantitative version of H formulated by Bateman and Horn [1] (see also [5]) implies C in the exceptional case at least for r=1. Similarly Theorem 3 can be extended to all forms F that factorize into two distinct complex conjugate factors over an imaginary cyclic field.

2. In the sequel we shall use the vector notation and write t instead of  $[t_1, \ldots, t_r]$ , t' instead of  $[t_2, \ldots, t_r]$ , ||t|| for  $\max_{1 \le t \le r} |t_i|$ . We shall denote the content of a polynomial f by C(f), its total degree by |f| and call a form F satisfying (1) primary. The letters N, Z, Q denote the set of positive integers, the ring of integers and the rational field, respectively. For a fixed field K N denotes the norm from K to Q or from K(t) to Q(t). The content of a polynomial over K is an ideal of K but if K = Q it is often identified with the positive generator of this ideal.

LEMMA 1. Let  $P \in \mathbf{Z}[t]$ , p be a prime dividing neither the leading coefficient nor the discriminant of P. If  $t_0 \in \mathbf{Z}$ ,  $P(t_0) \equiv 0 \mod p$  then either  $P(t_0) \not\equiv 0 \mod p^2$  or  $P(t_0+p) \not\equiv 0 \mod p^2$ .

Proof. Denoting the leading coefficient of P by a, the discriminant of P by D and its derivative by P' we have

$$P(t) U(t) + P'(t) V(t) = aD,$$

where  $U, V \in \mathbb{Z}[t]$ . Setting  $t = t_0$  we infer from  $P(t_0) \equiv 0 \mod p$ ,  $aD \not\equiv 0 \mod p$  that  $P'(t_0) \not\equiv 0 \mod p$ . Now from the expansion

$$P(t_0+p) = P(t_0) + P'(t_0)p + \frac{P''(t_0)}{2}p^2 + \dots$$

we get  $P(t_0+p)-P(t_0)\not\equiv 0 \mod p^2$ , whence the assertion.

LEMMA 2. If a quadratic form F is primary then

$$F = AG(x, y), \quad \text{where} \quad A \in \mathbb{Z}, G(x, y) \in \mathbb{Z}[x, y],$$

A is square-free, the discriminant arDelta of G is either 1 or fundamental and  $\left(rac{arDelta}{p}
ight)$ 

= -1 for every prime factor p of A.

Proof. If G is reducible, G = (ax + by)(a'x + b'y) we have

$$F(x, y) = (Aax + Aby)(a'x + b'y)$$

and by (1)

$$A \begin{vmatrix} a & b \\ a' & b' \end{vmatrix} = \pm 1, \quad A = \pm 1 \quad \text{and} \quad A = \begin{vmatrix} a & b \\ a' & b' \end{vmatrix}^2 = 1.$$

If G is irreducible, let  $G = ax^2 + bxy + cy^2$ , and let  $\omega_1$ ,  $\omega_2$  be a basis of the ideal  $a = \left(a, \frac{b + \sqrt{A}}{2}\right)$ . Then we have for suitable integers  $a_1, a_2, b_1, b_2$ 

$$\frac{a = a_1 \omega_1 + a_2 \omega_2,}{b + \sqrt{A}} = b_1 \omega_1 + b_2 \omega_2.$$

Let 
$$K = Q(\sqrt{\Lambda})$$
 and let us set 
$$F_1(x, y) = Aa^{-1}N(x\omega_1 + y\omega_2).$$

Since  $N\mathfrak{a}=|a|$  and  $(\omega_1,\,\omega_2)\equiv 0\,\mathrm{mod}\,\mathfrak{a}$  we have

$$F_1(x, y) \in \mathbf{Z}[x, y].$$

On the other hand

$$ax + \frac{b + \sqrt{\Delta}}{2}y = (a_1x + b_1y)\omega_1 + (a_2x + b_2y)\omega_2,$$

hence

$$F(x, y) = F_1(a_1x + b_1y, a_2x + b_2y)$$

and by (1)

$$\begin{vmatrix} a_1 & b_1 \\ a_2 & b_2 \end{vmatrix} = \pm 1.$$

It follows that  $\left[a, \frac{b+\sqrt{\varDelta}}{2}\right]$  is itself a basis for a and by a well known result

$$|a| = rac{1}{\sqrt{|d|}} \, ext{abs} \left| egin{matrix} a & rac{b + \sqrt{\varDelta}}{2} \ a & rac{b - \sqrt{\varDelta}}{2} \end{matrix} 
ight|,$$

where d is the discriminant of K. It follows that  $\Delta = d$  is a fundamental discriminant. If A is not square-free or for some p|A we have  $\left(\frac{\Delta}{p}\right) = 0$  or 1 then for a suitable prime ideal  $\mathfrak{p} \colon N\mathfrak{p}|A$ .

Let pa have an integral basis  $[\Omega_1, \Omega_2]$  and let us set

$$F_1(x, y) = Aa^{-1}N\mathfrak{p}^{-2}N(x\Omega_1 + y\Omega_2).$$

Since  $N(\Omega_1, \Omega_2) = |a| N\mathfrak{p}$  we have

$$F_1(x,y) \in \mathbf{Z}[x,y]$$

On the other hand

$$\omega_i N \mathfrak{p} = c_i \Omega_1 + d_i \Omega_2 \quad (i = 1, 2)$$

for suitable  $c_i, d_i \in \mathbb{Z}$ , hence

$$(\omega_1 x + \omega_2 y) N \mathfrak{p} = (c_1 x + c_2 y) \Omega_1 + (d_1 x + d_2 y) \Omega_2$$

and we get

$$F(x, y) = F_1(c_1x + c_2y, d_1x + d_2y).$$

Now by (1)

$$\begin{vmatrix} c_1 & c_2 \\ d_1 & d_2 \end{vmatrix} = \pm 1,$$

hence  $[\omega_1 N\mathfrak{p}, \omega_2 N\mathfrak{p}]$  is a basis for ap and  $\mathfrak{a} N\mathfrak{p} = \mathfrak{a}\mathfrak{p}$ , a contradiction.

Remark. Similarly one can show that if a primary form F(x, y) is irreducible and  $F(\vartheta, 1) = 0$  then  $[1, \vartheta]$  can be extended to a basis of the ideal  $(1, \vartheta)$ .

Proof of Theorem 1. Consider first  $F(x, y) = x^k y^l$  and let

$$f(t) = c \prod_{\nu=1}^{n} f_{\nu}(t)^{e_{\nu}}$$

be the canonical factorization of f into primitive irreducible polynomials with integral coefficients. In view of the condition on the fixed divisor of f for every prime factor p of e there exists a vector  $t_p \in Z'$  such that

$$\prod_{r=1}^n f_r(t_p)^{e_r} \not\equiv 0 \bmod p.$$

It follows from (2) with  $t = t_n$  that

$$\operatorname{ord}_{p} c = k a + l \beta,$$

where  $\alpha = \operatorname{ord}_{p} x$ ,  $\beta = \operatorname{ord}_{p} y$  and we get

(5) 
$$c = \pm \xi^k \eta^l, \quad \xi, \eta \in \mathbf{Z}.$$

On the other hand we can assume that f(t) depends upon  $t_1$ . Let  $a_0(t')$ , D(t') be the leading coefficient and the discriminant respectively of  $\prod_{r=1}^n f_r(t)$  with respect to  $t_1$ . We have  $a_0D \neq 0$  and there exists a vector  $t'_0 \in \mathbb{Z}^{r-1}$  such that

$$a_0(t'_0)D(t'_0) \neq 0.$$

For every  $v \leq n$  there exists a prime p and an integer  $t_0$  such that

(6) 
$$f_r(t_0, t_0') \equiv 0 \operatorname{mod} p, \quad ca_0(t_0')D(t_0') \not\equiv 0 \operatorname{mod} p.$$
 Put

(7) 
$$P(t) = \prod_{v=1}^{n} f_{v}(t, t'_{0}).$$

Since  $a_0(t'_0) \neq 0$ , the discriminant of P(t) equals  $D(t'_0)$ . Hence by (6) and Lemma 1 there exists a  $t_1 \in \mathbb{Z}$  such that

$$P(t_1) \equiv 0 \operatorname{mod} p$$
,  $P(t_1) \not\equiv 0 \operatorname{mod} p^2$ .

We infer from (4), (5) and (6) that

(8) 
$$f_{\nu}(t_1, t'_0) \equiv 0 \mod p$$
,  $f_{\nu}(t_1, t'_0) \not\equiv 0 \mod p^2$ ,  $f_{\mu}(t_1, t'_0) \not\equiv 0 \mod p$   
 $(\mu \not\equiv \nu)$ .

It follows from (2) with  $t = [t_1, t'_0]$ , (6) and (8) that

$$(9) e_{\nu} = k a_{\nu} + l \beta_{\nu},$$

6 - Acta Arithmetica XXXVIII.3

where  $\alpha_v = \operatorname{ord}_p x$ ,  $\beta_v = \operatorname{ord}_p y$ . Take now

$$X_0(t) = \xi \prod_{\nu=1}^n f_{\nu}(t)^{a_{\nu}}, \quad Y_0(t) = \eta \prod_{\nu=1}^n f_{\nu}(t)^{\mu_{\nu}}.$$

It follows from (5) and (9) that

$$X_0(t)^k Y_0(t)^l = \pm f(t).$$

If the sign on the right-hand side is positive we take  $X=X_0$ ,  $Y=Y_0$ . If the sign is negative and either k or l is odd, we take  $X=\pm X_0$ ,  $Y=\pm Y_0$ . If the sign is negative and k,l are both even we get a contradiction. Indeed by (5) c<0, by (9)  $e_v\equiv 0 \mod 2$ , hence by (4)  $f(t)\leqslant 0$ . Taking  $t\in \mathbb{Z}^r$  such that  $f(t)\neq 0$  we get from (2)  $x^ky^l<0$ , which is impossible.

Consider now the case of F quadratic. By Lemma 2 F is of the form AG(x,y), where A is square-free, G(x,y) is a primitive form with discriminant A,  $\left(\frac{A}{p}\right) = -1$  for every prime factor p of A and either A = 1 or A is fundamental. In the first case F(x,y) is equivalent to xy and for the latter form one can take X(t) = f(t), Y(t) = 1. In the second case if  $G(\vartheta, 1) = 0$ ,  $K = Q(\vartheta)$  and  $\alpha$  is the ideal  $(1, \vartheta)$  we have

$$G(x, y) = \frac{N(x - \vartheta y)}{N\alpha}.$$

Changing if necessary the sign of A we can assume that

(10) 
$$F(x, y) = \frac{A}{Na} N(x - \partial y).$$

The solubility of the equation  $N(\omega) = \frac{Na}{A} f(t)$  for all  $t \in \mathbb{Z}^r$  implies by Theorem 1 of [14] the existence of a polynomial  $\omega(t) \in K[t]$  such that

(11) 
$$N(\omega(t)) = \frac{Na}{A} f(t).$$

Let  $\mathfrak{b} = C(\omega)$  and let

$$\mathfrak{b} \alpha^{-1} = \prod_{i=1}^{j} \mathfrak{p}_{i}^{a_{i}} \prod_{i=1}^{j} \mathfrak{p}_{i}^{\prime b_{i}} \prod_{i=1}^{k} q_{i}^{c_{i}}$$

be the factorization of  $\mathfrak{ba}^{-1}$  in prime ideals of K. Here  $\mathfrak{p}_i$  are distinct pairwise non conjugate prime ideals of degree 1 in K,  $\mathfrak{p}'_i$  is conjugate to  $\mathfrak{p}_i$  and  $q_i$  are prime ideals of degree 2 in K. Since  $AN(\mathfrak{ba}^{-1}) \in Z$  and A has

only prime ideal factors of degree 2 in K we get

$$a_i + b_i \geqslant 0$$
  $(1 \leqslant i \leqslant j),$   
 $2c_i + 1 \geqslant 0$   $(1 \leqslant i \leqslant k).$ 

hence

(12) 
$$\max\{0, a_i\} + \min\{0, b_i\} \geqslant 0, \quad \max\{0, b_i\} + \min\{0, a_i\} \geqslant 0$$
  
 $c_i \geqslant 0 \quad (1 \leqslant i \leqslant k).$ 

Let us consider the ideal

$$\mathsf{c} = \prod_{i=1}^{j} \mathfrak{p}_{i}^{\min(0,b_{i}) - \min(0,a_{i})} \mathfrak{p}_{i}'^{\min(0,a_{i}) - \min(0,b_{i})}.$$

Since F is equivalent to every form in its genus the same is true about G, thus there is only one narrow class in the genus of  $\mathfrak{a}$  or there are two such classes represented by  $\mathfrak{a}$  and  $\mathfrak{a}'$ . In any case the principal genus consists only of the principal class and the class of  $\mathfrak{a}^2$ . Since  $\mathfrak{p}_i' \sim \mathfrak{p}_i^{-1}$ ,  $\mathfrak{c}$  belongs to the principal genus and we get  $\mathfrak{c} \sim 1$  or  $\mathfrak{c} \sim \mathfrak{a}^2$ . In the former case let  $\mathfrak{c} = (\gamma_1)$  with  $\gamma_1$  totally positive and consider the polynomial

$$\omega_1(t) = \gamma_1 \omega(t).$$

We have

$$C(\omega_1) = (\gamma_1)C(\omega) = cb = \alpha \prod_{i=1}^{j} p_i^{\max\{0,a_i\} + \min\{0,b_i\}} \prod_{i=1}^{j} p_i'^{\max\{0,b_i\} + \min\{0,a_i\}} \prod_{i=1}^{k} q_i^{c_i}$$

and by (12)  $C(\omega_1) \equiv 0 \mod a$ .

It follows that all the coefficients of  $\omega_1$  are in  $\alpha$  and since by Lemma 2 [1,  $\vartheta$ ] is a basis of  $\alpha$  we get

$$\omega_1(t) = X_1(t) - \vartheta Y_1(t),$$

where  $X_1, Y_1 \in \mathbb{Z}[t]$ . It follows now from (10) and (11) that

$$F(X_1(t), Y_1(t)) = \frac{A}{N\alpha} N\omega_1(t) = \frac{A}{N\alpha} N\gamma_1 N\omega(t) = Nc \cdot f(t) = f(t).$$

In the case  $c \sim a^2$  let  $ca^{-1}a' = (\gamma_2)$  with  $\gamma_2$  totally positive and consider the polynomial

$$\omega_2(t) = \gamma_2 \omega(t).$$

We have

$$\begin{split} C(\omega_2) &= (\gamma_2) C(\omega) = \mathrm{ca}^{-1} \mathrm{a'b} \\ &= \mathrm{a'} \prod_{i=1}^{j} \mathrm{p}_i^{\max\{0,a_i\} + \min\{0,b_i\}} \prod_{i=1}^{j} \mathrm{p}_i'^{\max\{0,b_i\} + \min\{0,a_i\}} \prod_{i=1}^{k} q_i^{a_i}, \\ &\text{and by (12) } C(\omega_2) \equiv 0 \, \mathrm{mod} \, \mathrm{a'}. \end{split}$$

Since  $[1, \vartheta']$  is a basis of  $\mathfrak{a}'$  we infer that

$$\omega_2(t) = X_2(t) - \vartheta' Y_2(t),$$

where  $X_2, Y_2 \in \mathbb{Z}[t]$ . Since  $N\gamma_2 = 1$  it follows as before that

$$F(X_2(t), Y_2(t)) = f(t).$$

It remains to prove that if there is a form inequivalent to F in the genus of F then C does not extend to all polynomials  $f \in \mathbb{Z}[t]$ . For this purpose let us observe that there exists then in K a class C of ideals such that  $C^2$  is neither the principal class nor the class of  $\mathfrak{a}^2$ . Choose in  $C^{-1}$  a prime ideal  $\mathfrak{p}$  of degree 1 with  $N\mathfrak{p} = p$ . There exists a prime ideal  $\mathfrak{q}$  such that  $\mathfrak{p}^2\mathfrak{a}\mathfrak{q}$  is principal, equal, say (a). Consider the polynomials

(13) 
$$\omega(t) = \alpha \frac{t^p - t}{n}, \quad f(t) = \frac{A}{N\alpha} N \omega(t).$$

We have

$$C(f) = \frac{|A|}{N\alpha} \frac{|N\alpha|}{p^2} = |A|N\alpha \in \mathbf{Z}$$

hence  $f(t) \in \mathbf{Z}[t]$ . Also, since  $\frac{t^{p}-t}{p} \in \mathbf{Z}$  for all  $t \in \mathbf{Z}$  we have for all  $t \in \mathbf{Z}$ :

$$\omega(t) \in \mathfrak{a}$$
;  $\omega(t) = x - \vartheta y$  and

$$f(t) = F(x, y)$$

for suitable  $x, y \in \mathbb{Z}$ . On the other hand, suppose that

(14) 
$$f(t) = F(X(t), Y(t)), \quad X, Y \in \mathbf{Z}[t]$$

and let x, y be the leading coefficients of X, Y. Then comparing the leading coefficients on both sides of (14) we get by (13)

$$\frac{A}{N\mathfrak{q}}\frac{N\mathfrak{a}}{p^2}=F(x,y)=\frac{A}{N\mathfrak{a}}N(x-\vartheta y), \quad N\mathfrak{q}=N\frac{(x-\vartheta y)}{\mathfrak{a}}.$$

Since q is a prime ideal,  $x - \vartheta y \in \mathfrak{a}$  it follows that

$$\frac{(x-\vartheta y)}{\mathfrak{a}}=\mathfrak{q} \text{ or } \mathfrak{q}'.$$

Hence  $aq \sim 1$  or  $aq^{-1} \sim 1$ . By the choice of q this gives  $p^2 \sim 1$  or  $p^2a^2 \sim 1$  contrary to the choice of p.

Remark. The above proof seems to suggest that if F satisfies (1) and for all  $t \in \mathbb{Z}^r$  the equation (2) is soluble in integers x, y then there exist integer-valued polynomials X(t), Y(t) satisfying (3) identically. The following example shows that this is not the case.

Let 
$$F(x, y) = x^2 + xy + 6y^2$$
,  $K = Q(\sqrt{-23})$ ,  $\omega = \frac{1 + \sqrt{-23}}{2}$ ,  $f(t) = N(\frac{1}{2}(\omega^4 - \omega)t^2 + \omega - 8)$ .

The discriminant of F is -23 hence F is primary. Further,  $f(t) \in \mathbb{Z}[t]$  since  $(\frac{1}{2}\omega^4 - \omega, \omega - 8) = \frac{(2, \omega)}{(2, \omega')}$  with  $\omega'$  conjugate to  $\omega$ .

Moreover the equation F(x, y) = f(t) is soluble in integers x, y for all  $t \in \mathbb{Z}$ . Indeed if  $t \equiv 0 \mod 2$  we can take

$$x+y\omega=(\frac{1}{2}\omega^4-\omega)t^2+\omega-8$$

and if  $t \equiv 1 \mod 2$  we can take

$$x + y \,\omega = \frac{-3 - \sqrt{-23}}{-3 + \sqrt{-23}} \left[ \left( \frac{1}{2} \omega^4 - \omega \right) t^2 + \omega - 8 \right].$$

The number on the right-hand side is an integer in K since for  $t \equiv 1 \mod 2$ 

$$(\frac{1}{2}\omega^4 - \omega)t^2 + \omega - 8 \equiv \frac{1}{2}\omega^4 - 8\operatorname{mod} 4(\omega^2 - 2\omega)$$

and we have in **K** the factorizations into prime ideals (2) =  $\mathfrak{pp}'$ , ( $\omega$ ) =  $\mathfrak{pq}$ ,  $((-3+\sqrt{-23})/2) = \mathfrak{p}^3$ .

On the other hand, the polynomial  $(\frac{1}{2}\omega^4 - \omega)t^2 + \omega - 8$  is irreducible over K since  $N \frac{8-\omega}{\frac{1}{2}\omega^4 - \omega} = \frac{62}{381}$  is not a square in Q. Therefore, if integer-valued polynomials X(t), Y(t) satisfied

$$F(X(t), Y(t)) = f(t)$$

identically, we would have either

$$X(t) + Y(t)\omega = \gamma(\frac{1}{2}\omega^4 - \omega)t^2 + \gamma(\omega - 8)$$

 $\mathbf{or}$ 

$$X(t) + Y(t)\omega' = \gamma(\frac{1}{2}\omega^{1} - \omega)t^{2} + \gamma(\omega - 8)$$

for some  $\gamma \in K$  with  $N\gamma = 1$ . Taking t = 0 and 1 we would get  $\gamma(\frac{1}{2}\omega^4 - \omega, \omega - 8)$  integral, hence  $(\gamma)\frac{\mathfrak{p}}{\mathfrak{p}'}$  integral and  $(\gamma) = \frac{\mathfrak{p}'}{\mathfrak{p}}$ . However the ideal on the right-hand side is not principal.

3. Lemma 3. Every form F(x, y) with at least two distinct zeros can be represented as  $F_1(ax+by, cx+dy)$ , where  $F_1$  is primary,  $a, b, c, d \in \mathbb{Z}$  and  $\begin{vmatrix} a & b \\ c & d \end{vmatrix} \neq 0$ .

Proof. Suppose that F(x, y) = G(ax + by, cx + dy),  $\begin{vmatrix} a & b \\ c & d \end{vmatrix} \neq 0$ . Let  $F^*$  be the product of all distinct primitive irreducible factors of F and similarly  $G^*$  for G. It follows that

$$F^* = C^{-1}G^*(ax+by, cx+dy),$$

where  $C = C(G^*(ax+by, cx+dy))|C(F)$ . Hence

$$\operatorname{dise} F^* = C^{2-2|F^*|}\operatorname{dise} G^* \cdot egin{bmatrix} a & b \ c & d \end{bmatrix}^{|F^*|(|F^*|-1)}$$

and since disc  $F^* \neq 0$ ,  $|F^*| > 1$  the absolute value of  $\begin{vmatrix} a & b \\ c & d \end{vmatrix}$  is bounded.

Take now a representation of F(x, y) as G(ax+by, cx+dy), where  $\begin{vmatrix} a & b \\ c & d \end{vmatrix}$  is maximal. G must be primary, otherwise representing it as  $G_1(a_1x+b_1y, c_1x+d_1y)$  we would obtain a representation of F as  $G_1(\alpha x+\beta y, \gamma x+\delta y)$  with

$$\operatorname{abs} \begin{vmatrix} a & \beta \\ \gamma & \delta \end{vmatrix} = \operatorname{abs} \begin{vmatrix} a & b \\ c & d \end{vmatrix}, \quad \operatorname{abs} \begin{vmatrix} a_1 & b_1 \\ c_1 & d_1 \end{vmatrix} > \operatorname{abs} \begin{vmatrix} a & b \\ c & d \end{vmatrix},$$

contrary to the choice of G, unless  $\begin{vmatrix} a_1 & b_1 \\ c_1 & d_1 \end{vmatrix} = 0$ . In the latter case however G and hence also F would have only one zero, contrary to the assumption.

COROLLARY. C implies D.

Proof. Let  $F(x, y) \in \mathbf{Z}[x, y]$  be any form,  $f(t) \in \mathbf{Z}[t]$  any polynomial and suppose that for all  $t \in \mathbf{Z}'$  there exist  $x, y \in \mathbf{Z}$  satisfying F(x, y) = f(t). If F(x, y) = const or f(t) = const D is trivial. If F(x, y) has only one zero, we take without loss of generality  $F(x, y) = a(bx + cy)^n$ , where  $b \neq 0$ . Applying Theorem 3 of [13] to the equation  $au^n = f(t)$  we infer the existence of a polynomial  $U(t) \in \mathbf{Q}[t]$  such that a  $U(t)^n = f(t)$ . It suffices to take  $X(t) = b^{-1}U(t)$ , Y(t) = 0.

If F(x, y) has at least two distinct zeros then by Lemma 3 F(x, y) =  $F_1(ax+by, cx+dy)$ , where  $F_1$  is primary and  $\begin{vmatrix} a & b \\ c & d \end{vmatrix} \neq 0$ . On the other hand there exists a vector  $\boldsymbol{t}_0 \in \boldsymbol{Z}'$  such that  $f(\boldsymbol{t}_0) = e \neq 0$ . Consider now the equation

$$F_1(x, y) = f(e\mathbf{t} + \mathbf{t}_0).$$

The polynomial on the right-hand side has both the content and the fixed divisor equal to |e|, hence by C there exist polynomials  $X_1, Y_1 \in \mathbb{Z}[t]$  such that  $F_1(X_1(t), Y_1(t)) = f(et + t_0)$ . Determining X(t), Y(t) from the equations

$$aX(t) + bY(t) = X_1\left(\frac{t-t_0}{e}\right),$$

$$cX(t) + dY(t) = Y_1\left(\frac{t-t_0}{e}\right)$$

we get

$$X(t), Y(t) \in Q[t], \quad F(X(t), Y(t)) = f(t),$$

thus D holds.



LEMMA 4. H implies the following.

Let  $f_r \in \mathbf{Z}[\mathbf{t}]$   $(1 \le r \le n)$  be distinct irreducible polynomials such that their leading forms  $h_r(\mathbf{t})$  all assume a positive value for a  $\mathbf{t} \in \mathbf{N}^r$  and that  $\prod_{r=1}^n f_r(\mathbf{t})$  has the fixed divisor 1. Then for any B there exists a  $\mathbf{t} \in \mathbf{N}^r$  such that  $f_r(\mathbf{t})$  are distinct primes > B.

Proof. The condition that  $f_r$  are irreducible and distinct implies that they are prime to each other. Indeed, otherwise two of them would differ by a constant factor  $e \neq 1$ . The numerator and the denominator of e would divide  $\prod_{p=1}^{n} f_p(t)$  for all t hence e=-1. But this contradicts the condition on h.

Let us choose an  $a \in \mathbb{N}^r$  such that

$$(15) h_{\nu}(a) > 0 (1 \leqslant \nu \leqslant n)$$

and let

$$a = (|h_1| + |h_2| + \dots + |h_r|)! \prod_{\nu=1}^n h_{\nu}(a).$$

Since

$$f(\boldsymbol{t}) = \prod_{r=1}^{n} f_r(\boldsymbol{t})$$

has the fixed divisor 1 we infer from the Chinese Remainder Theorem the existence of a  $\tau \in \mathbb{Z}^r$  such that

$$(16) (f(\tau), a) = 1.$$

Consider the polynomials  $f_{\tau}(ax + at + \tau)$   $(1 \le \nu \le n)$ .

They are irreducible as polynomials in x, t and prime to each other. Consequently the resultant  $R_{\mu,\nu}(t)$  of  $f_{\mu}(ax+at+\tau)$  and  $f_{\nu}(ax+at+\tau)$  is non-zero for all  $\mu < \nu \le n$ . By Hilbert's irreducibility theorem there exists a  $t_0 \in Z^r$  such that  $f_{\nu}(ax+at_0+\tau)$   $(1 \le \nu \le n)$  are all irreducible as polynomials in x and

The leading coefficients of  $f_r(ax+at_0+\tau)$  are positive by (15). Moreover

$$p(x) = \prod_{r=1}^{n} f_r(ax + at_0 + \tau)$$

has the fixed divisor 1. Indeed, the |p|th difference

$$A^{|p|}p(0)=a,$$

on the other hand

$$p(0) = f(at_0 + \tau) = f(\tau) \mod a$$

and we get  $(p(0), \Delta^{|p|}(0)) = 1$  by (16).

By H there exist infinitely many  $x \in N$  such that  $f_r(ax + at_0 + \tau)$  are primes. For sufficiently large x we have  $ax + at_0 + \tau \in N^r$  and

(18) 
$$f_{r}(ax + at_{0} + \tau) > |B| + \sum_{\mu < \nu}^{n} |R_{\mu,\nu}(t_{0})|.$$

Thus the primes in question are > B. They are distinct since the common value of  $f_{\mu}(ax + at_0 + \tau)$  and  $f_{\tau}(ax + at_0 + \tau)$  would have to divide  $R_{\mu,\tau}(t_0)$  which is impossible by (17) and (18).

LEMMA 5. Let K be the rational field or a quadratic field,  $\Delta$  be the discriminant of K and let  $\varphi_v \in K[t]$   $(1 \le v \le n)$  be polynomials irreducible over K and prime to each other. If

(19) the fixed divisor of 
$$\prod_{\nu=1}^{n} N\varphi_{\nu}(t)$$
 equals  $\prod_{\nu=1}^{n} NC(\varphi_{\nu})$ 

then for every  $M \in \mathbb{N}$ , there exists a  $\mu \in \mathbb{N}$  prime to M with no prime ideal factor of degree 1 in K and  $\tau \in \mathbb{Z}^r$  with the following property. Let

$$\psi_{\nu}(\boldsymbol{t}) = \varphi_{\nu}(\mu \boldsymbol{t} + \boldsymbol{\tau}) \quad (1 \leqslant \nu \leqslant n).$$

For any  $A \in \mathbb{N}$ ,  $\mathbf{t}_1 \in \mathbb{Z}^r$  and  $m \in \mathbb{N}$  prime to  $\Delta \prod_{\nu=1}^n \frac{N \psi_{\nu}(\mathbf{t}_1)}{NC(\psi_{\nu})}$  II implies

the existence of a  $\mathbf{t}_2 \in \mathbf{N}^r$  such that  $\mathbf{t}_2 \equiv \mathbf{t}_1 \mod m$ , all the ideals  $\frac{(\psi_r(\mathbf{t}_2))}{C(\psi_r)}$  are prime in  $\mathbf{K}$ . distinct and do not divide A.

Moreover, either  $\mu=1$ ,  $\tau=0$  have the above property (this happens for  $\mathbf{K}=\mathbf{Q}$ ) or there is a sequence of pairs  $\langle \mu_i, \tau_i \rangle$  with the above property such that  $(\mu_i, \mu_h)=1$  for  $i\neq h$ , and the number of distinct  $\mu_i \leqslant x$  is greater than  $cx^{1/n}/\log x$  for a certain c>0 and all  $x>x_0$ .

Proof. We begin with a remark concerning the fixed divisor that we shall use twice. If  $P \in Z[t]$  has the fixed divisor d then any fixed prime divisor p of P(mt+a) divides dm. Indeed if  $p \nmid d$  then there exists a  $u \in Z^r$  such that  $P(u) \not\equiv 0 \bmod p$  and if  $p \nmid m$  there exists a  $v \in Z^r$  such that  $mv + a \equiv u \bmod p$ , hence  $P(mv + a) \not\equiv 0 \bmod p$ .

Now we proceed to the proof of the lemma. Let

$$arphi_{
u}(oldsymbol{t}) = a_{
u}f_{
u}(oldsymbol{t}) \qquad (
u \leqslant k), \ N \varphi_{
u}(oldsymbol{t}) = a_{
u}f_{
u}(oldsymbol{t}) \qquad (k < 
u \leqslant n),$$

where  $f_* \in \mathbf{Z}[t]$  are irreducible over Q and

$$(a_{\scriptscriptstyle 
u}) = C(\varphi_{\scriptscriptstyle 
u}) \quad ({\scriptscriptstyle 
u} \leqslant k), \ |a_{\scriptscriptstyle 
u}| = NC(\varphi_{\scriptscriptstyle 
u}) \quad (k < {\scriptscriptstyle 
u} \leqslant n).$$

(If K = Q we take k = 0.) Let  $h_{\nu}$  be the leading form of  $f_{\nu}$ . We can choose the signs of  $a_{\nu}$  so that for a suitable  $t \in N^r$ :  $h_{\nu}(t) > 0$  for all  $\nu \leq n$ . We have

(20) 
$$\prod_{\nu=1}^{n} \frac{N \varphi_{\nu}(t)}{N C(\varphi_{\nu})} = \pm \prod_{\nu=1}^{k} f_{\nu}^{2}(t) \prod_{\nu=k+1}^{n} f_{\nu}(t)$$

and (19) implies on an application of the Chinese Remainder Theorem that for a suitable  $\tau_0 \in Z^r$ 

(21) 
$$\left(\varDelta, \prod_{\nu=1}^{n} f_{\nu}(\tau_{0})\right) = 1.$$

Let  $f_r(\tau_0) = \varrho_r \mod A$ ,  $\varrho_r > 0$   $(\nu \leqslant k)$ . Without loss of generality we may assume that

(22) 
$$\left(\frac{\Delta}{\varrho_{\nu}}\right) = 1 \ (1 \leqslant \nu \leqslant j), \quad \left(\frac{\Delta}{\varrho_{\nu}}\right) = -1 \ (j \leqslant \nu \leqslant k).$$

Since  $\varphi$ , are prime to each other

(23)  $(f_{\lambda}, f_{\nu}) = 1$  unless  $\lambda = \nu$  or  $\lambda > k$ ,  $\nu > k$  and  $\varphi_{\lambda}/\varphi'_{\nu} \in K$ , where  $\varphi'_{\nu}$  is conjugate to  $\varphi_{\nu}$  over Q(t).

In particular,  $f_1, \ldots, f_j$  and  $\prod_{\nu=j+1}^n f_{\nu}$  are prime to each other.

Let  $t = [t, t'], a_0(t')$  be the leading coefficient of  $\prod_{\nu=1}^n f_{\nu}(t), D(t')$ 

the discriminant of  $\prod_{\nu=1}^{j} f_{\nu}(t)$  and R(t') the resultant of  $\prod_{\nu=1}^{j} f_{\nu}(t)$ ,  $\prod_{\nu=j+1}^{m} f_{\nu}(t)$  with respect to t. It follows that

$$a_0 DR \neq 0.$$

Since  $f_{r}(t)$  are irreducible over K for  $v \leq j$  we infer by Hilbert's irreducibility theorem that there exists a  $\tau' \in \mathbb{Z}^{r-1}$  such that  $f_{r}(t, \tau')$  are irreducible over K for  $v \leq j$  and

(25) 
$$a_0(\tau')D(\tau')R(\tau') \neq 0.$$

Let  $f_r(\vartheta_r, \tau') = 0$  and  $K_r = Q(\vartheta_r)$   $(v \le j)$ . We have  $K \subset K_r$  and by Bauer's theorem there exist for each  $v \le j$  infinitely many primes with a prime ideal factor of degree 1 in  $K_r$ , but not in K. Choose for each  $v \le j$  a different prime  $p_r$  with the above property and such that

(26) 
$$p_{\nu} \uparrow Ma_0(\tau')D(\tau')R(\tau').$$

Since  $p_r$  does not split in K we have

(27) 
$$\left(\frac{\Delta}{p_{\nu}}\right) = -1 \quad (\nu \leqslant j).$$

On the other hand, since p, has a prime ideal factor of degree 1 in K, by Dedekind's theorem there exists an integer u such that

$$f_{\nu}(u,\,\tau')\equiv 0\,\mathrm{mod}\,p_{\nu}.$$

By (25) and (26) the discriminant of  $\prod_{i=1}^j f_i(t, \tau')$  equals  $D(\tau') \not\equiv 0 \pmod{p_v}$ . Since  $a_0(\tau') \not\equiv 0 \mod p_v$  and  $\prod_{i=1}^j f_i(u, \tau') \equiv 0 \mod p_v$  we infer from Lemma 1 that either

$$\prod_{i=1}^{j} f_i(u, \tau') \not\equiv 0 \operatorname{mod} p_r^2$$

or

$$\prod_{i=1}^j f_i(u+p_r,\,\boldsymbol{\tau}') \not\equiv 0 \operatorname{mod} p_r^2.$$

Therefore, there exists an integer  $\tau_{\nu}$  such that

$$(28) f_v(\tau_v, \tau') \equiv 0 \operatorname{mod} p_v,$$

Moreover, since by (25) and (26) the resultant of  $\prod_{i=1}^{j} f_i(t, \tau')$  and  $\prod_{i=j+1}^{n} f_i(t, \tau')$  equal to  $R(\tau') \not\equiv 0 \mod p_v$  we have

Let us choose  $\tau \equiv \tau_{\nu} \mod p_{\nu}^2$   $(1 \leqslant \nu \leqslant j)$  and set

(31) 
$$\mu = \prod_{i=1}^{j} p_{\nu}, \quad \tau = [\tau, \tau'].$$

By (28)-(30) we have

$$(32) f_v(\tau) \equiv 0 \operatorname{mod} p_v,$$

We shall show that

$$\prod_{i=1}^n f_i(\mu t + \tau) = P(\mu t + \tau)$$

has the fixed divisor d equal to  $p_1p_2 \dots p_j$ . Indeed by (19) and (20) the fixed divisor of P(t) equals 1, hence d consists of prime factors of  $\mu$ . However by (33)

$$d \not\equiv 0 \operatorname{mod} p_{\nu}^2 \quad (\nu \leqslant j).$$

On the other hand by (31) and (32)

$$f_{\nu}(\mu t + \tau) \equiv f_{\nu}(\tau) \equiv 0 \operatorname{mod} p_{\nu}.$$

Thus  $d = p_1 p_2 \dots p_j$ , the polynomials

(34) 
$$g_{\nu}(t) = p_{\nu}^{-1} f_{\nu}(\mu t + \tau) \quad (\nu \leqslant j),$$
$$g_{\nu}(t) = f_{\nu}(\mu t + \tau) \quad (j < \nu \leqslant n)$$

have integral coefficients,  $\prod_{\nu=1}^{n} g_{\nu}(t)$  has the fixed divisor 1 and a fortiori the content 1. Moreover by (23)

(35)  $g_{\lambda} \neq g_{\nu}$  unless  $\lambda = \nu$  or  $\lambda > k$ ,  $\nu > k$  and  $\varphi_{\lambda}/\varphi'_{\nu} \in K$ . It follows that

(37) 
$$N \psi_r(t) = a_r g_r(t) \quad (k < r \leqslant n),$$

where besides

(38) 
$$C(\psi_{\nu}) = (a_{\nu} p_{\nu}) \ (\nu \leqslant j), \quad C(\psi_{\nu}) = (a_{\nu}) \ (j < \nu \leqslant k),$$

$$NC(\psi_{\nu}) = |a_{\nu}| \quad (k < \nu \leqslant n).$$

It follows that

$$\prod_{v=1}^n rac{N\psi_v(oldsymbol{t})}{NC(\psi_v)} = \pm \prod_{v=1}^k g_v^2(oldsymbol{t}) \prod_{v=k+1}^n g_v(oldsymbol{t}).$$

If now for a  $t_1 \in \mathbb{Z}^r$  we have

$$\left(m, \Delta \prod_{v=1}^{n} \frac{N \psi_{v}(t_{1})}{NC(\psi_{v})}\right) = 1$$

there exists a  $\boldsymbol{t}_0 \in \boldsymbol{Z}^r$  satisfying

$$(40) t_0 = t_1 \bmod m, \quad \mu t_0 + \tau = \tau_0 \bmod \Delta.$$

Since

$$(m, \prod_{r=1}^{n} g_{r}(t_{0})) = (m, \prod_{r=1}^{n} g_{r}(t_{1})) = 1$$

and by (34) and (21)

$$\left(A, \prod_{r=1}^n g_r(t_0)\right) = \left(A, \prod_{r=1}^n g_r(0)\right) = \left(A, \prod_{r=1}^n f_r(\tau_0)\right) = 1$$

it follows that

$$\prod_{\nu=1}^n g_{\nu}(\Delta m \boldsymbol{t} + \boldsymbol{t}_0)$$

has the fixed divisor 1. The polynomials  $g_{\nu}(\Delta mt + t_0)$  are irreducible and their leading forms all take a positive value for a suitable  $t \in N^r$  in virtue of the corresponding property of  $f_{\nu}(t)$ . By Lemma 4 H implies the existence of an  $x \in N^r$  such that  $g_{\nu}(\Delta mx + t_0)$  are primes greater than |A| and

(41) 
$$g_{\lambda}(\Delta mx + t_0) \neq g_{\nu}(\Delta mx + t_0) \quad \text{unless } g_{\lambda} = g_{\nu}.$$

Taking  $t_2 = \Delta mx + t_0$  we get from (40)

$$\mathbf{t}_2 \equiv \mathbf{t}_1 \bmod m, \quad \mu \mathbf{t}_2 + \tau \equiv \tau_0 \bmod \Delta.$$

Thus by (34)

$$p_r g_r(\mathbf{t}_2) = f_r(\mu \mathbf{t}_2 + \tau) \equiv f_r(\tau_0) \equiv \varrho_r \mod \Delta \quad (\nu \leqslant j),$$

$$g_{\nu}(\boldsymbol{t}_2) = f_{\nu}(\mu \boldsymbol{t}_2 + \boldsymbol{\tau}) \equiv f_{\nu}(\boldsymbol{\tau}_0) \equiv \varrho_{\nu} \mod \Delta \quad (j < \nu \leqslant k)$$

and we infer from (22) and (27) that

$$\left(rac{arDelta}{g_{
u}(oldsymbol{t}_2)}
ight)=\,-1\qquad (
u\leqslant k)\,.$$

Hence for  $v \leq k$   $g_{\nu}(t_2)$  are prime in K not dividing A and in virtue of (36) and (38) the same applies to the ideals  $a_{\nu} = \frac{(\psi_{\nu}(t_2))}{C(\psi_{\nu})}$ . The remaining ideals  $a_{\nu}(v < k \leq n)$  are prime and do not divide A in virtue of (37) and (39). Assuming

$$\lambda \neq \nu$$
,  $\alpha_{\lambda} = \alpha_{\nu}$ 

we get by (35) and (41) for a suitable  $\gamma \in K$ 

$$\lambda > k$$
,  $\nu > k$ ,  $\varphi_{\lambda} = \gamma \varphi'_{\nu}$ ,  $\psi_{\lambda} = \gamma \psi'_{\nu}$ ,  $C(\psi_{\lambda}) = (\gamma)C(\psi'_{\nu})$ , 
$$\frac{(\psi_{\nu}(\boldsymbol{t}_{2}))}{C(\psi_{\nu})} = \frac{(\psi'_{\nu}(\boldsymbol{t}_{2}))}{C(\psi'_{\nu})}$$
,

thus the ideal  $a_{\nu}$  is ambiguous.

By Dedekind's theorem  $\alpha_p | A$ , hence by (37) and (39)

$$g_{\nu}(\boldsymbol{t}_2)|A$$
.

However by (34) and (42)

$$g_r(\mathbf{t}_2) = f_r(\mu \mathbf{t}_2 + \mathbf{\tau}) \equiv f_r(\mathbf{\tau}_0) \mod \Delta$$

and we get a contradiction with (21). The contradiction shows that the ideals a, are distinct and the proof of the first part of the lemma is complete.

To prove the second part we note that if j=0 (31) gives  $\mu=1$ . The value of  $\tau$  is then irrelevant and can be taken 0. Therefore assume that j>0 and that we have already defined  $\langle \mu_1, \tau_i \rangle, \ldots, \langle \mu_{i-1}, \tau_{i-1} \rangle$  ( $i \geq 1$ ), each  $\mu_i$  with j prime factors. Then we replace in the above proof M by  $M \mu_1 \ldots \mu_{i-1}$  and define  $\mu_i, \tau_i$  by (31). It is clear that the sequence

thus obtained satisfies  $(\mu_i, \mu_h) = 1$  for  $i \neq h$ . Denote by  $P(\mathbf{K}_r)$  the set of primes with a prime ideal factor of degree 1 in  $\mathbf{K}_r$ . By Bauer's theorem  $P(\mathbf{K}_r) \setminus P(\mathbf{K})$  has a positive density, say,  $\delta_r$ . Computing  $\mu_i$  from (31) we take  $p_r$  to be the least element of  $P(\mathbf{K}_r) \setminus P(\mathbf{K})$  different from  $\omega + j(i-1) + r - 1$  given primes, where  $\omega$  is the number of prime factors of  $Ma_0(\tau')D(\tau')R(\tau')$ . Hence for  $i > i_0$  we have  $p_r \leq 2\delta_r^{-1}ji\log ji$  and

$$\mu_i = \prod_{\nu=1}^{j} p_{\nu} \leqslant (c^{-1}ji \log ji)^j, \quad c = \frac{1}{2} \prod_{\nu=1}^{j} \delta_{\nu}^{1/j}.$$

Since the number of solutions of the inequality

$$(c^{-1}ji\log ji)^j \leqslant x$$

in positive integers i is for x large enough at least  $\frac{ex^{1/3}}{\log x - 1}$ , the number of distinct  $\mu_i \leq x$  is at least

$$rac{cx^{1/j}}{\log x - 1} - i_0 > rac{cx^{1/n}}{\log x} \quad (x > x_0)$$

which completes the proof.

Remark. The lemma extends to all cyclic fields.

LEMMA 6. Let K be any field,  $f \in K[t]$  a non-zero polynomial. If a form  $F \in K[x, y]$  has at least three distinct zeros in the algebraic closure of K then there exist no more than  $|F|^3 3^{|f|}$  pairs  $\langle X(t), Y(t) \rangle$  such that  $X, Y \in K(t), X, Y$  linearly independent over K and

(43) 
$$F(X(t), Y(t)) = f(t).$$

Proof. Without loss of generality we may assume that  ${m K}$  is algebraically closed. By a linear transformation we can transform F to the form

$$F(x, y) = x^k y^l G(x, y), \quad k \ge 1, \ l \ge 1, \quad (G(x, y), xy) = 1.$$

Let us assign two solutions  $\langle X_1, Y_1 \rangle$  and  $\langle X_2, Y_2 \rangle$  of (43) to the same class if  $X_2 = \xi X_1$ ,  $Y_2 = \eta Y_1$  for some  $\xi$ ,  $\eta \in K \setminus \{0\}$ . The number of classes does not exceed the number of pairs of monic polynomials  $x, y \in K[t]$  such that

$$xy|f(t)$$
,

which is clearly bounded by 3<sup>|f|</sup>. The number of polynomials in one class can be estimated as follows.

 $\mathbf{If}$ 

$$F(\xi X_1, \eta Y_1) = F(X_1, Y_1)$$

then

$$F\left(\xi \frac{X_1}{Y_1}, \eta\right) = F\left(\frac{X_1}{Y_1}, 1\right)$$

and since  $X_1/Y_1$  takes in **K** infinitely many values we have identically  $F(\xi u, \eta) = F(u, 1).$ 

Hence

$$\xi^k \eta^l G(\xi u, \eta) = G(u, 1)$$

and the comparison of the leading coefficients and of the constant terms on both sides gives

$$\xi^k \eta^l \, \xi^{|G|} = 1, \quad \xi^k \eta^l \eta^{|G|} = 1.$$

It follows that

$$\xi^{|G|} = \eta^{|G|}, \quad \xi^{|G|(k+l+|G|)} = 1, \quad \xi^{|G||F|} = 1.$$

Thus there are |G||F| possibilities for  $\xi$  and for each  $\xi$  at most |G| possibilities for  $\eta$ , which gives at most  $|F| |G|^2 \leq |F|^3$  possibilities for  $\langle \xi, \eta \rangle$ . The lemma follows.

LEMMA 7. If  $F(x, y) \in \mathbf{Z}[x, y]$  is a non-singular cubic form then for every integer  $a \neq 0$  the number of solutions of the equation  $F(x, y) = az^3$ in integers x, y, z such that (x, y, z) = 1 and  $1 \le z \le Z$  is  $O((\log Z)^b)$ , where b is a constant depending on F and a.

Proof. It is enough to estimate the number of solutions with  $|x| \leq |y|$ . Assume that

(44) 
$$F(x, y) = az^3, \quad 1 \leqslant z \leqslant Z \text{ and } |x| \leqslant |y|.$$

If F(1, 0) = 0 we have  $|F(x, y)| \ge |y|$  hence  $h = \max(|x|, |y|, |z|) \le Z^3$ , where the constant in the symbol  $\ll$  depends on a, later also on F. If  $F(1,0) \neq 0$  let

(45) 
$$F(x, y) = a_0 \prod_{l=1}^{3} (x - \xi_l y),$$

where  $\xi_1$  is the real zero of F nearest to x/y. Since  $F(x, y) \neq 0$  we have by Thue's theorem

$$|x-\xi_1 y| \gg |y|^{-3/2}$$
.

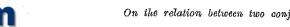
On the other hand  $|x - \xi_2 y| |x - \xi_3 y| \gg y^2$ . Hence by (44) and (45)

$$|a|z^3 = |F(x, y)| \gg y^{1/2}$$
 and  $h \leqslant Z^6$ .

Since  $F(x, y) = az^3$  represents in projective coordinates a curve of genus 1, in virtue of a theorem of Néron (see [8], p.82) the number of solutions of (44) is  $O((\log Z^6)^{g/2+1})$  where g is the rank of the curve.

Remark. The lemma extends to all forms F with at least three distinct zeros. If the genus of the curve  $F(x,y) = az^{|F|}$  is greater than 1 one needs a theorem of Mumford [10].

LEMMA 8. Let K be any field, U a finite subset of K and  $P \in K[t]$ ,  $P \neq 0$ . The equation P(t) = 0 has no more than  $|P| |U|^{r-1}$  solutions  $t \in U^r$ , where |U| is the number of elements of U.



Proof (by induction on r). For r=1 the assertion is obvious. Assume that it holds for polynomials in r-1 variables and let

$$P(t) = \sum_{i=0}^{p} P_{i}(t') t_{1}^{p-1}.$$

The solutions of P(t) = 0 are of two kinds: satisfying  $P_0(t') = 0$  and  $P_{\alpha}(t') \neq 0$ . Since  $t_1$  can take at most | U| values, by the inductive assumption the number of solutions of the first kind does not exceed  $|P_0| |U|^{r-1}$ . Similarly since t' can take at most  $|U|^{r-1}$  values the number of solutions of the second kind does not exceed  $p|U|^{r-1}$ . However  $|P_n|+p \leq |P|$  and the proof is complete.

Remark. A different proof can be obtained by an adaptation of the proof given by Schmidt for the special case K = U (see [17], p. 147, Lemma 3A).

LEMMA 9. If f(t),  $g(t) \in Q[t]$ ,  $g(t)|f(t)^n$  and the fixed divisor of f(t)equals C(f) then the fixed divisor of q(t) equals C(q).

Proof. Let the fixed divisor of g be C(g)d,  $d \in \mathbb{N}$  and let  $f(t)^n = g(t)h(t)$ . Clearly for all  $t \in \mathbb{Z}^r$   $f(t)^n$  is divisible by  $C(g) dC(h) = dC(f^n) = dC(f)^n$ . On the other hand the fixed divisor of  $f(t)^n$  is  $C(f)^n$ . Hence d=1.

Proof of Theorem 2. Consider first the case, where F is a quadratic form. Then by Lemma 2

$$F(x, y) = A(ax^2 + bxy + cy^2), \quad \text{where} \quad A, a, b, c \in \mathbb{Z}$$

and either  $\Delta = b^2 - 4ac = 1$  or  $\Delta$  is a fundamental discriminant. Since the fixed divisor of f(t) equals C(f) we have  $A \mid C(f)$  and we can assume without loss of generality that A = 1. Let  $K = Q(\sqrt{\Delta})$ 

$$f(t) = l \prod_{r=1}^{n} \varphi_r(t)^{e_r}$$

be a factorization of f(t) over K into irreducible factors such that  $\varphi$ , are distinct and have the coefficient of the first term in the antilexicographic order equal to 1. Since the fixed divisor of f equals C(f) the condition (19) is satisfied in virtue of Lemma 9. Let  $\mu$ ,  $\tau$  be parameters whose existence for  $\{q_i\}$  and M = a is asserted in Lemma 5 and let

$$\psi_r = \varphi_r(\mu t + \tau) \quad (1 \leqslant \nu \leqslant n).$$

It follows that

$$f(\mu t + \tau) = l \prod_{\nu=1}^{n} \psi_{\nu}(t)^{e_{\nu}}$$

and

(48) 
$$B = |l| \prod_{\nu=1}^{n} C(\psi_{\nu})^{e_{\nu}} = C(f(\mu t + \tau)) \in \mathbf{N},$$

where an ideal in Q is identified with its positive generator. If A=1 is equivalent to xy and Theorem 1 applies. Assume that  $A\neq 1$ , thus is a quadratic field. Taking m=1 in Lemma 5 we infer that H implies the existence of a  $t_2\in Z^r$  such that  $\frac{(\psi_r(t_2))}{C(\psi_r)}$  are distinct prime idea of K not dividing B. By the assumption there exist  $x_0, y_0\in Z$  such that

(49) 
$$ax_0^2 + bx_0y_0 + cy_0^2 = f(\mu t_2 + \tau).$$

Hence, after a transformation

$$N\frac{\left(ax_0 + \frac{b + \sqrt{\Delta}}{2}y_0\right)}{\mathfrak{a}} = |f(\mu t_2 + \tau)|, \quad \text{where} \quad \mathfrak{a} = \left(a, \frac{b + \sqrt{\Delta}}{2}\right).$$

It follows from (47) and (48) that for an integral ideal b and some  $a_r \ge$ 

(50) 
$$\left(ax_0 + \frac{b+\sqrt{\Delta}}{2}y_0\right)a^{-1} = b\prod_{r=1}^n \frac{\left(\psi_r(t_2)\right)^{a_r}}{C(\psi_r)^{a_r}}, \quad \left(b,\prod_{r=1}^n \frac{\left(\psi_r(t_2)\right)}{C(\psi_r)}\right) = 1.$$

On the other hand  $\varphi_r^{e_r} || f(t)$  implies  $\varphi_r'^{e_r} || f(t)$ , where  $\varphi_r'$  is conjugate to with respect to Q(t). If  $\varphi_r \notin Q[t]$  we have  $\varphi_r' \neq \varphi_r$  and since  $\varphi_r'$  has the coefficient of the leading term equal to 1, by (46)

$$\varphi'_{\nu}=\varphi_{\lambda}, \quad e_{\nu}=e_{\lambda}; \quad \psi'_{\nu}=\psi_{\lambda} \quad \text{for a } \lambda\neq\nu.$$

Thus without loss of generality we may assume that for a certai  $k \equiv n \mod 2$ 

(51) 
$$\varphi'_{\nu} = \varphi_{\nu'}, \quad e_{\nu} = e_{\nu'}, \quad \psi'_{\nu} = \psi_{\nu'}, \quad \text{where} \quad \nu' = \nu \ (1 \leqslant \nu \leqslant k),$$

$$\nu' = \nu - (-1)^{n-\nu} \ (k < \nu \leqslant n).$$

Hence by (48)

$$|ax_0^2 + bx_0y_0 + cy_0^2| = N\mathfrak{b}\prod_{r=1}^n\left(rac{\psi_r(m{t_2})}{C(\psi_r)}
ight)^{a_r + a_{r'}}, \qquad \left(N\mathfrak{b}, \prod_{r=1}^nrac{\left(\psi_r(m{t_2})
ight)}{C(\psi_r)}
ight) = 1.$$

and a comparison with (49) gives

(52) 
$$a_{\nu} - a_{\nu'} = e_{\nu} \quad (1 \leqslant \nu \leqslant n).$$

Let us define now X(t), Y(t) by the equation

(53) 
$$\vartheta(t) = aX(t) + \frac{b + \sqrt{\Delta}}{2} Y(t) = \left(ax_0 + \frac{b + \sqrt{\Delta}}{2} y_0\right) \prod_{\nu} \left(\frac{\varphi_{\nu}(t)}{\psi_{\nu}(t_0)}\right)^{a_{\nu}}.$$

The polynomials X(t), Y(t) have integral coefficients since by (50)

$$C(\vartheta(\mu t + \tau)) = \left(ax_0 + \frac{b + \sqrt{\Delta}}{2}y_0\right) \prod_{r=1}^n \left(\frac{C(\psi_r)}{(\psi_r(t_2))}\right)^{ar} = ab,$$

$$\mu^{|\vartheta|}C(\vartheta) \equiv 0 \mod \mathfrak{a}$$

and  $(\mu, a) = 1$  implies  $C(\theta) \equiv 0 \mod a$ . On the other hand, by (53), (49), (51), (52), (46) and (47)

$$\begin{split} F\left(X(\boldsymbol{t}), Y(\boldsymbol{t})\right) &= aX(\boldsymbol{t})^2 + bX(\boldsymbol{t}) Y(\boldsymbol{t}) + c Y(\boldsymbol{t})^2 \\ &= (ax_0^2 + bx_0y_0 + cy_0^2) \prod_{r=1}^n \left(\frac{\varphi_r(\boldsymbol{t}) \varphi_r'(\boldsymbol{t})}{\psi_r(\boldsymbol{t}_2) \psi_r'(\boldsymbol{t}_2)}\right)^{\alpha_r} \\ &= f(\mu \boldsymbol{t}_2 + \tau) \prod_{r=1}^n \left(\frac{\varphi_r(\boldsymbol{t})}{\psi_r(\boldsymbol{t}_2)}\right)^{\alpha_r + \alpha_{r'}} \\ &= f(\mu \boldsymbol{t}_2 + \tau) \prod_{r=1}^n \left(\frac{\varphi_r(\boldsymbol{t})}{\psi_r(\boldsymbol{t}_2)}\right)^{\alpha_r} = f(\boldsymbol{t}). \end{split}$$

Assume now that F is a reducible cubic form. If F is singular we have  $F = (ax + by)^2 (cx + dy)$ , hence by the condition (1)

$$\begin{vmatrix} a & b \\ c & d \end{vmatrix} = \pm 1,$$

F is equivalent to  $x^2y$  and Theorem 1 applies.

If F is non-singular we have

(54) 
$$F(x, y) = (a_0x + b_0y)F_1(x, y)$$

where  $F_1$  is a non-singular primitive quadratic form. By Lemma 3 we have

(55) 
$$F_1(x, y) = G(a_1x + b_1y, a_2x + b_2y),$$

where G is primary and primitive. Let us put  $G(x, y) = ex^2 + gxy + hy^2$ . By Lemma 2, the discriminant  $\Delta = g^2 - 4eh$  equals 1 or is fundamental. The condition that F is primary implies that

(56) 
$$d = \begin{pmatrix} \begin{vmatrix} a_0 & a_1 \\ b_0 & b_1 \end{vmatrix}, \begin{vmatrix} a_1 & a_2 \\ b_1 & b_2 \end{vmatrix}, \begin{vmatrix} a_2 & a_0 \\ b_2 & b_0 \end{vmatrix} = 1.$$

Otherwise, by a classical result on integral matrices (see [2], p. 52) the linear forms  $a_ix+b_iy$  ( $0 \le i \le 2$ ) would be expressible integrally in terms of two linear forms with determinant d > 1. Let  $K = Q(\sqrt{\Delta})$  and let the factorization of f(t) over K be given by (46). Since the fixed divisor of f(t) equals G(f) the condition (19) is satisfied in virtue of Lemma 9. By Lemma 6 the equation

(57) 
$$F(X(t), Y(t)) = f(t)$$

has only finitely many solutions in polynomials X(t),  $Y(t) \in \mathcal{Q}[t]$  that are linearly independent. Let M be a positive integer such that MX,  $MY \in \mathbb{Z}[t]$  for all of them. We apply Lemma 5 to the sequence  $\{\varphi_r\}$  with this M. Let  $\mu$ ,  $\tau$  be any parameters with the property asserted in that lemma and let  $\psi_r(t) = \varphi_r(\mu t + \tau)$ . We have again the formulae (47) and (48).

We shall deduce from H the existence of polynomials x(t),  $y(t) \in Z[t]$  such that  $F(x(t), y(t)) = f(\mu t + \tau)$ . This suffices to prove the theorem. Indeed the polynomials

$$X(t) = x\left(\frac{t-\tau}{\mu}\right), \quad Y(t) = y\left(\frac{t-\tau}{\mu}\right)$$

satisfy (57) and on one hand

$$\mu^{|x|}X, \mu^{|y|}Y \in \mathbf{Z}[t]$$

on the other hand if X, Y are linearly independent we have by the choice of M

$$MX, MY \in \mathbf{Z}[t].$$

Since  $(\mu, M) = 1$  we get  $X, Y \in \mathbb{Z}[t]$ .

If X, Y are linearly dependent, then  $F(X(t), Y(t)) = f(t) = C_0(f)f_0(t)^3$ ,  $C(f_0) = 1$  and

$$\begin{split} \boldsymbol{X}(\boldsymbol{t}) &= \xi \zeta^{-1} f_0(\boldsymbol{t}), \quad \boldsymbol{Y}(\boldsymbol{t}) &= \eta \zeta^{-1} f_0(\boldsymbol{t}), \quad \xi, \eta, \zeta \in \boldsymbol{Z}, \ (\xi, \eta, \zeta) = 1; \\ F(\xi, \eta) &= C(f) \zeta^3, \quad \zeta \mid \mu^{|f_0|}. \end{split}$$

If the above holds for all pairs  $\langle \mu_i, \tau_i \rangle$  of the sequence mentioned in the last assertion of Lemma 5 then using the obvious notation we infer from  $(\mu_i, \mu_h) = 1$  that either  $|\zeta_i| \neq |\zeta_h|$  for  $i \neq h$  or there exists an i with  $|\zeta_i| = 1$ . In the former case since  $|\zeta_i| \leqslant \mu_i^{|f_0|}$  the number of distinct  $|\zeta_i| \leqslant Z$  is  $\Omega\left(\frac{Z^{1/|f_0|n}}{\log Z}\right)$ , which contradicts Lemma 7. Therefore, the latter case holds and  $X_i, Y_i \in Z[t]$ .

In order to deduce the existence of x(t), y(t) we shall consider successively the cases  $\Delta = 1$ ,  $\Delta < 0$ ,  $\Delta > 1$ .

If  $\Delta = 1$  by (47), (48) and Lemma 5 H implies the existence for every  $\mathbf{t}_1 \in \mathbf{Z}^r$  and every m prime to  $f(\mu \mathbf{t}_1 + \tau)$  of a  $\mathbf{t}_2 = \mathbf{t}_1 \mod m$  such that  $\frac{|\varphi_r(\mathbf{t}_2)|}{C(\varphi_r)}$  are distinct primes not dividing B  $(1 \le r \le n)$ .

On the other hand since a unimodular transformation of G does not affect the condition (56) we can assume G(x, y) = xy.

By the assumption of C there exist integers x, y such that

$$F(x, y) = f(\mu t_2 + \tau)$$

and it follows from (47), (48), (54) and (55) that for suitable integers c.

and nonnegative integers  $a_{i\nu}$   $(0 \leqslant i \leqslant 2, 1 \leqslant \nu \leqslant n)$ 

(58) 
$$a_i x + b_i y = c_i \prod_{\nu=1}^n \left( \frac{\psi_{\nu}(\boldsymbol{t}_2)}{C(\psi_{\nu})} \right)^{\alpha_{i\nu}},$$

(59) 
$$e_0 e_1 e_2 = B \operatorname{sgn} l, \quad a_{0\nu} + a_{1\nu} + a_{2\nu} = e_{\nu}.$$

The set S of systems  $[\{e_i\}, \{\alpha_{i\nu}\}]$  satisfying (59) is finite. It follows from (58) that

where for  $s = [\{e_i\}, \{a_{ir}\}]$ :

$$D_s(oldsymbol{t}) = \det[a_i,\,b_i,\,\Psi_{is}(oldsymbol{t})]_{0 \leq i \leq 2}, \qquad \varPsi_{is}(oldsymbol{t}) = c_i \prod_{r=1}^n \left(rac{\psi_r(oldsymbol{t})}{C(\psi_r)}
ight)^{a_{ir}}.$$

Since  $\Psi_{is}(t_2) \equiv \Psi_{is}(t_1) \mod m$ ,  $D_s(t_2) \equiv D_s(t_1) \mod m$  and (60) gives

$$\prod_{s\in S} D_s(\boldsymbol{t}_1) \equiv 0 \operatorname{mod} m.$$

The latter congruence holds for all m prime to  $f(\mu t_1 + \tau)$ , hence

$$f(\mu t_1 + \tau) \prod_{s \in S} D_s(t_1) = 0$$

and since  $t_1$  is an arbitrary integral vector

$$f(\mu t + \tau) \prod_{s \in S} D_s(t) = 0$$

identically. However  $f(\mu t + \tau) \neq 0$ , thus there exists an  $s \in S$  such that

$$D_s(t) = 0.$$

By (56) the rank of the matrix  $[a_i, b_i]_{0 \le i \le 2}$  is two, thus the system of equations

$$a_i x + b_i y = \Psi_{is}(t) \quad (0 \leqslant i \leqslant 2)$$

is soluble in polynomials  $x, y \in Q[t]$ .

Moreover, by Cramer's formulae

$$\begin{vmatrix} a_i & b_i \\ a_j & b_j \end{vmatrix} x, \ \begin{vmatrix} a_i & b_i \\ a_j & b_j \end{vmatrix} y \in \mathbf{Z}[\mathbf{t}] \quad (0 \leqslant i \leqslant j \leqslant 2)$$

and again by (56)  $x, y \in \mathbb{Z}[t]$ . On the other hand by (59), (48) and (47)

$$F(x,y) = \prod_{t=0}^{2} (a_t x + b_t y) = \prod_{t=0}^{2} e_t \prod_{r=1}^{n} \left( \frac{\psi_r(t)}{C(\psi_r)} \right)^{a_{tr}} = B \operatorname{sgn} l \prod_{r=1}^{n} \left( \frac{\psi_r(t)}{C(\psi_r)} \right)^{e_r}$$

$$= l \prod_{r=1}^{n} \psi_r(t)^{e_r} = f(\mu t + \tau).$$

Let us consider now the case  $\Delta \neq 1$ . Then by Lemma 5 and (47), (48) H implies the existence for every  $t_1 \in \mathbb{Z}^r$  and every m prime to  $\Delta f(\mu t_1 + \tau)$  of a  $t_2 \equiv t_1 \mod m$  such that the ideals  $\frac{(\psi_r(t_2))}{C(\psi_r)}$   $(\nu \leqslant n)$  are prime in K, distinct and do not divide B. By the assumption of C there exist integers x, y such that

$$F(x,y) = f(\mu t_2 + \tau)$$

and it follows from (47), (48), (51) and (55) that for suitable integral ideals  $\alpha$ ,  $\beta$  and nonnegative integers  $\alpha_{\nu}$ ,  $\beta_{\nu}$   $(1 \leqslant \nu \leqslant n)$ 

$$(a_0 x + b_0 y) = \mathfrak{a} \prod_{r=1}^n \left( \frac{\left( \psi_r(\boldsymbol{t}_2) \right)}{C(\psi_r)} \right)^{a_p},$$

$$\left( e(a_1 x + b_1 y) + \frac{y + \sqrt{\Delta}}{2} \left( a_2 x + b_2 y \right) \right) g^{-1} = \mathfrak{b} \prod_{r=1}^n \left( \frac{\psi_r(\boldsymbol{t}_2)}{C(\psi_r)} \right)^{\beta_r},$$

where 
$$g = \left(e, \frac{g + \sqrt{\Delta}}{2}\right)$$
,

(62) 
$$a N b = (B), \quad \alpha_r + \beta_r + \beta_{r'} = e_r \quad (1 \leqslant r \leqslant n).$$

We get

$$a_0x+b_0y=a\prod_{\nu=1}^n\psi_\nu(t_2)^{\alpha_\nu},$$

(63) 
$$e(a_1x+b_1y)+\frac{g+\sqrt{\Delta}}{2}(a_2x+b_2y)=\beta\prod_{r=1}^n\psi_r(t_2)^{\beta_r},$$

where

(64) 
$$(\alpha) = \alpha \prod_{r=1}^{n} C(\psi_{r})^{-a_{r}}, \quad (\beta) = \mathfrak{gb} \prod_{r=1}^{n} C(\psi_{r})^{-\beta_{r}}$$

and by (47) and (62)

(65) 
$$\alpha N\beta = le.$$

Since  $\mathfrak{a}$  is integral  $\Psi_0(t; a, a_r) = a \prod_{r=1}^n \psi_r(t)^{a_r}$  has integral coefficients. On the other hand, by (51) and (62)  $a_r = a_{r'}$   $(k < r \le n)$  and by (65)  $a \in Q$ , hence

 $\Psi_0(t; a, a_r) \in \mathbf{Z}[t].$ 

Similarly, since b is integral  $\beta \prod_{r=1}^{n} \psi_{r}(t)^{\beta_{r}} \in \mathfrak{g}[t]$  and we get

(66) 
$$\beta \prod_{r=1}^{n} \psi_{r}(\boldsymbol{t})^{\beta_{r}} = e \Psi_{1}(\boldsymbol{t}; \beta, \beta_{r}) + \frac{g + \sqrt{A}}{2} \Psi_{2}(\boldsymbol{t}; \beta, \beta_{r}),$$

where

$$\Psi_i(t; \beta, \beta_i) \in \mathbf{Z}[t] \quad (i = 1, 2).$$

The equations (63) take the form

(67) 
$$a_0 x + b_0 y = \Psi_0(\mathbf{t}_2; \alpha, \alpha_{\nu}),$$

$$a_i x + b_i y = \Psi_i(\mathbf{t}_2; \beta, \beta_{\nu}) \quad (i = 1, 2).$$

For a system  $s = [\alpha, \beta, \{\alpha_r\}, \{\beta_r\}]$  put

$$\Psi_{0s}(t) = \Psi_0(t; \alpha, \alpha_v), \quad \Psi_{is}(t) = \Psi_i(t; \beta, \beta_v) \quad (i = 1, 2)$$

and denote by S the set of all such systems satisfying (62) and (64). If  $\Delta < 0$  the set S is finite. It follows from (67) that

where

$$D_s(\boldsymbol{t}) = \det[a_i, b_i, \Psi_{is}(\boldsymbol{t})]_{0 \leqslant i \leqslant 2}.$$

Since  $\Psi_{is}(t_2) \equiv \Psi_{is}(t_1) \mod m$  we infer from (68) as in the case  $\Delta = 1$  from (60) that for a suitable  $s \in S$  the system of equations

$$a_i x + b_i y = \Psi_{is}(t) \quad (0 \leqslant i \leqslant 2)$$

is soluble in polynomials  $x, y \in \mathbb{Z}[t]$ . By (54), (55), (66), (47), (65) and (51) we get

$$F(x,y) = (a_0x + b_0y)N\left(e(a_1x + b_1y) + \frac{g + \sqrt{\Delta}}{2}(a_2x + b_2y)\right)e^{-1}$$

$$= \mathcal{Y}_{0s}(t)N\left(e\mathcal{Y}_{1s}(t) + \frac{g + \sqrt{\Delta}}{2}\mathcal{Y}_{2s}(t)\right)e^{-1}$$

$$= \alpha\prod_{\nu=1}^n \psi_{\nu}(t)^{a_{\nu}}N\left(\beta\prod_{\nu=1}^n \psi_{\nu}(t)^{\beta_{\nu}}\right)e^{-1}$$

$$= \alpha N\beta e^{-1}\prod_{\nu=1}^n \psi_{\nu}(t)^{a_{\nu}+\beta_{\nu}+\beta_{\nu'}} = l\prod_{\nu=1}^n \psi_{\nu}(t)^{e_{\nu}} = f(\mu t + \tau).$$

If  $\Delta > 0$  the set S is infinite. We can however divide it into finitely many classes assigning two systems  $[\alpha, \beta, \{\alpha_r\}, \{\beta_r\}]$  and  $[\alpha, \gamma, \{\alpha_r\}, \{\beta_r\}]$  to the same class if  $\gamma/\beta$  is a totally positive unit of K. Then every class contains exactly one system satisfying

$$(69) 1 \leq |\beta| < \varepsilon,$$

where  $\varepsilon > 1$  is the fundamental totally positive unit. Denoting the set of all systems satisfying (62), (64) and (68) by  $S_0$  we infer from (67) the

existence of a  $\sigma \in \mathbb{Z}$  such that

$$\prod_{s\in S_0} D_{\sigma s}(t_2) = 0,$$

where for  $s = [\alpha, \beta, \{a_r\}, \{\beta_r\}]$ 

$$D_{\sigma s}(oldsymbol{t}) = egin{array}{cccc} a_0 & b_0 & oldsymbol{arPsi}_0(oldsymbol{t};lpha,lpha_{r}) \ a_1 & b_1 & oldsymbol{\mathscr{V}}_1(oldsymbol{t};arepsilon^{\sigma}eta,eta_{r}) \ a_2 & oldsymbol{U}_2(oldsymbol{t};arepsilon^{\sigma}eta,eta_{r}) \ \end{pmatrix}.$$

Since  $D_{\sigma s}(t_2) \equiv D_{\sigma s}(t_1) \mod m$  for all s we conclude that

(70) 
$$\prod_{s \in S_0} D_{ss}(\boldsymbol{t}_1) \equiv 0 \operatorname{mod} m$$

where  $\sigma$  depends on m.

We have an identity

$$(71) \qquad u\left(e\,\mathcal{Y}_{1s}(\boldsymbol{t})+\frac{g+\sqrt{\varDelta}}{2}\,\mathcal{Y}_{2s}(\boldsymbol{t})\right)\,=\,e\,\varPhi_{1s}(\boldsymbol{t},\,u)+\frac{g+\sqrt{\varDelta}}{2}\,\varPhi_{2s}(\boldsymbol{t},\,u)\,,$$

where

$$\begin{split} \varPhi_{1s}(\boldsymbol{t},u) &= \frac{1}{2} \left[ u \left( 1 - \frac{g}{\sqrt{\varDelta}} \right) + u^{-1} \left( 1 + \frac{g}{\sqrt{\varDelta}} \right) \right] \varPsi_{1s}(\boldsymbol{t}) - h \frac{u - u^{-1}}{\sqrt{\varDelta}} \varPsi_{2s}(\boldsymbol{t}), \\ \varPhi_{2s}(\boldsymbol{t},u) &= e^{\frac{u - u^{-1}}{\sqrt{\varDelta}}} \varPsi_{is}(\boldsymbol{t}) + \frac{1}{2} \left[ u \left( 1 - \frac{g}{\sqrt{\varDelta}} \right) + u^{-1} \left( 1 + \frac{g}{\sqrt{\varDelta}} \right) \right] \varPsi_{2s}(\boldsymbol{t}) + \\ &+ g \frac{u - u^{-1}}{\sqrt{\varDelta}} \varPsi_{2s}(\boldsymbol{t}). \end{split}$$

Since  $\varepsilon$  is conjugate to  $\varepsilon^{-1}$ 

$$\Phi_{is}(t, \varepsilon^{\sigma}) \in \mathbf{Q}[t] \quad (i = 1, 2)$$

and by (71)

$$\Psi_i(\mathbf{t}; \varepsilon^{\sigma}\beta, \beta_v) = \Phi_{is}(\mathbf{t}, \varepsilon^{\sigma}) \quad (i = 1, 2).$$

The congruence (70) takes the form

where

(73) 
$$E_s(t, u) = \begin{vmatrix} a_0 & b_0 & \mathcal{Y}_{0s}(t) \\ a_1 & b_1 & \mathcal{Q}_{1s}(t, u) \\ a_2 & b_2 & \mathcal{Q}_{2s}(t, u) \end{vmatrix}.$$

However  $uE(\boldsymbol{t},u)\in \boldsymbol{Q}[\boldsymbol{t},u]$  and hence  $u^{|S_0|}\prod_{s\in S_0}E(\boldsymbol{t}_1,u)\in \boldsymbol{Q}[u].$ 

Since the congruence (72) is soluble for all m prime to  $\Delta f(\mu t_1 + \tau)$  it follows from Theorem 6 of [15] that the equation

$$f(\mu oldsymbol{t}_1 + au) \prod_{s \in S_0} E_s(oldsymbol{t}_1,\, arepsilon^\sigma) \, = \, 0$$

is soluble in integers  $\sigma$ . Thus for every  $t_1 \in Z^r$  either  $f(\mu t_1 + \tau) = 0$  or  $f(\mu t_1 + \tau) \neq 0$  and there exist a  $\sigma \in Z$  and an  $s = [\alpha, \beta, \{\alpha_r\}, \{\beta_r\}] \in S_0$  such that  $E_s(t_1, \varepsilon^{\sigma}) = 0$ .

In the latter case it follows from (71) and (73) that

$$\begin{vmatrix} a_0 & b_0 & \Psi_{0s}(\boldsymbol{t}_1) \\ ea_1 + \frac{g + \sqrt{\Delta}}{2} a_2 & eb_1 + \frac{g + \sqrt{\Delta}}{2} b_2 & e^{\sigma}\beta \prod_{\nu=1}^n \psi_{\nu}(\boldsymbol{t}_1)^{\beta_{\nu}} \\ ea_1 + \frac{g - \sqrt{\Delta}}{2} a_2 & eb_1 + \frac{g - \sqrt{\Delta}}{2} b_2 & e^{-\sigma}\beta' \prod_{\nu=1}^n \psi_{\nu}(\boldsymbol{t}_1)^{\beta_{\nu}} \end{vmatrix} = -e\sqrt{\Delta} E_s(\boldsymbol{t}_1, e^{\sigma}) = 0$$

and  $\varepsilon^{\sigma}\beta\prod_{r=1}^{n} \psi_{r}(\boldsymbol{t_{1}})^{\beta_{r}}$  satisfies the quadratic equation

$$Lz^2 - K \Psi_{0s}(t_1)z - L'N\beta \prod_{r=1}^n \psi_r(t_1)^{\beta_r + \beta_{r'}} = 0,$$

where  $\beta'$ , L' are conjugate to  $\beta$ , L respectively

$$L = egin{aligned} a_0 & b_0 \ ea_1 + rac{g-\sqrt{\Delta}}{2}a_2 & eb_1 + rac{g-\sqrt{\Delta}}{2}b_2 \end{aligned},$$

(74) 
$$K = \begin{bmatrix} ea_1 + \frac{g + \sqrt{A}}{2} a_2 & eb_1 + \frac{g + \sqrt{A}}{2} b_2 \\ ea_1 + \frac{g - \sqrt{A}}{2} a_2 & eb_1 + \frac{g - \sqrt{A}}{2} b_2 \end{bmatrix}$$

Since  $e[a_0, b_0] \neq 0$  we have  $L \neq 0$  by (56), and

(75) 
$$\left| \varepsilon^{\sigma} \beta \prod_{\nu=1}^{n} \psi_{\nu}(t_{1})^{\beta_{\nu}} \right| \ll ||t_{1}||^{|f|}$$

where  $\Box$  denotes the maximum modulus of the conjugates and the constant in the symbol  $\leq$  depends on  $F, f, \mu, \tau, s$ .

On the other hand, by (47), (51), (62), (65) and (69)

$$\left|\beta\prod_{\nu=1}^n\psi_\nu(\boldsymbol{t_1})^{\beta_\nu}\right|\ll \|\boldsymbol{t_1}\|^{|f|/2}.$$

Since  $f(\mu t_1 + \tau) \neq 0$  whence by (64)

$$\Big| \left| N \left( \beta \prod_{\nu=1}^n \psi_{\nu}(\boldsymbol{t}_1)^{\beta_{\nu}} \right) \right| \gg N \mathfrak{gb} \gg 1$$

we get

$$\boxed{\beta^{-1} \prod_{\nu=1}^{n} \psi_{\nu}(\boldsymbol{t}_{1})^{-\beta_{\nu}}} < \|\boldsymbol{t}_{1}\|^{|f|/2}.$$

This together with (75) implies

$$\varepsilon^{|\sigma|} = \overline{|\varepsilon^{\sigma}|} \leqslant \|t_1\|^{\frac{3}{2}|f|}, \quad |\sigma| \leqslant \frac{3}{2}|f| \frac{\log \|t_1\|}{\log \varepsilon} + \varrho,$$

where o is a constant depending on  $F, f, \mu, \tau$  but independent of s  $(S_0 \text{ is finite}).$ 

Let us choose now a positive integer T so large that

(76) 
$$2T+1 > |f|(|S_{\mathfrak{g}}|+1) \left(3|f| \frac{\log T}{\log \varepsilon} + 2\varrho + 1\right).$$

If  $t_1$  runs through all integral vectors satisfying  $||t_1|| \leqslant T$   $\sigma$  runs through integers satisfying

$$|\sigma| \leqslant \frac{3}{2} |f| \frac{\log T}{\log \varepsilon} + \varrho.$$

The number of vectors in question is  $(2T+1)^r$ , the number of integers does not exceed  $3|f|\frac{\log T}{\log \varepsilon} + 2\varrho + 1$ , hence there is an integer  $\sigma_0$  that corresponds to at least

$$(2T+1)^r \left(3|f|\frac{\log T}{\log \varepsilon} + 2\varrho + 1\right)^{-1}$$

different vectors  $t_1$  satisfying  $||t_1|| \le T$ . By (76) we get more than  $|f|(|S_0|+1) \times$  $\times (2T+1)^{r-1}$  such vectors satisfying the equation

$$f(\mu \boldsymbol{t}_1 + \boldsymbol{\tau}) \prod_{s \in S_0} E_s(\boldsymbol{t}_1, \, \epsilon^{q_0}) \, = \, 0 \, .$$

Since by (62), (71) and (73) the degree of  $E_{\epsilon}(t, \varepsilon^{\sigma_0})$  does not exceed |f|the degree of the polynomial on the left-hand side does not exceed  $|f|(|S_0|+1)$  and Lemma 8 shows that

$$f(\mu t + au) \prod_{s \in S_0} E_s(t, s^{\sigma_0}) = 0$$

identically. Therefore, there exists an  $s \in S_0$  such that  $E_s(t, \varepsilon^{r_0}) = 0$ 

On the relation between two conjectures on polynomials

and by (56) the system of equations

 $a_0x + b_0y = \Psi_{0s}(t)$ ,  $a_i x + b_i y = \Phi_{ia}(t) \quad (i = 1, 2)$ 

is soluble in polynomials  $x, y \in \mathbb{Z}[t]$ . By (54), (55), (71), (66), (47), (62), (65) and (51) we get for these polynomials

$$\begin{split} F(x,y) &= (a_0x + b_0y)N\left(e(a_1x + b_1y) + \frac{g + \sqrt{\Delta}}{2}(a_2x + b_2y)\right)e^{-1} \\ &= \mathcal{Y}_{0s}(\boldsymbol{t})N\left(\varepsilon^{-\sigma_0}e(a_1x + b_1y) + \varepsilon^{-\sigma_0}\frac{g + \sqrt{\Delta}}{2}(a_2x + b_2y)\right)e^{-1} \\ &= \mathcal{Y}_{0s}(\boldsymbol{t})N\left(e\mathcal{Y}_{4s}(\boldsymbol{t}) + \frac{g + \sqrt{\Delta}}{2}\mathcal{Y}_{2s}(\boldsymbol{t})\right)e^{-1} = f(\mu\boldsymbol{t} + \tau) \end{split}$$

and the proof is complete.

Remark. For the proof of a more general result mentioned in the introduction one needs more general versions of Lemmata 2, 5 and 7 and Theorem 7 of [16] instead of Theorem 6 of [15]. In the difficult case of an irreducible form F with all zeros real Theorem 7 of [16] does not suffice, but Skolem's conjecture on exponential congruences would do (see [18]). One could avoid this step in the proof provided it were known that the number of vectors t satisfying  $||t|| \leqslant T$  and the conditions of Lemma 4 grows faster than  $T^{r-1}(\log T)^{|F|}$ . For r=1 much more has been conjectured by Bateman and Horn [1].

4. The next lemma is a refinement of Lemma 1 of [13].

LEMMA 10. Let  $P \in Q[t, u]$  be a polynomial such that for no  $\varphi \in Q(t)$ 

$$P(t, \varphi(t)) = 0$$

identically. Then there exists a  $t_1 \in Z^r$  such that for any  $M \in N$  there exists an  $m \in N$  prime to M such that for all  $t \in Z'$ ,  $t \equiv t_1 \mod m$  and all  $u \in Q$ 

$$P(t, u) \neq 0.$$

Proof. Following the proof of Lemma 1 in [13] we take  $m = q_1 \dots q_k$ , where in the notation of that paper the primes  $q_i$  are chosen not to divide M.

LIMMA 11. Let  $G, H \in Q[x, y]$  be relatively prime forms,  $p, g_i, h_i \in Q[t]$  $(i \leq I)$  arbitrary polynomials,  $p \neq 0$ .

If for every  $\mathbf{t}_{1} \in \mathbf{Z}^{r}$  and for every integer m prime to p(t) there are an  $i \leq I$ ,  $a \ t_2 \in \mathbb{Z}^r, \ t_2 \equiv t_1 \mod m \ and \ x, y \in \mathbb{Q} \ satisfying$ 

(77) 
$$G(x, y) = g_i(t_2), \quad H(x, y) = h_i(t_2)$$

then there exist a  $j \leqslant I$  and polynomials  $X, Y \in Q[t]$  such that

$$G(X, Y) = g_i, \quad H(X, Y) = h_i.$$

Proof. If  $G(x,y)-g_i(t)$ ,  $H(x,y)-h_i(t)$  had a common factor  $d(x,y,t)\neq \text{const}$  then the leading forms of d with respect to x,y would divide G(x,y) and H(x,y). Thus for each  $i\leqslant I$ 

$$(G(x, y) - g_i(t), H(x, y) - h_i(t)) = 1.$$

Let  $R_i(t, x)$ ,  $S_i(t, y)$  be the resultants of  $G(x, y) - g_i(t)$  and  $H(x, y) - h_i(t)$  with respect to y and x respectively. It follows from the construction of resultants that the leading coefficients of  $R_i$  in x and of  $S_i$  in y are equal to the resultants of G(1, z), H(1, z) and of G(z, 1), H(z, 1) respectively. Hence these leading coefficients are independent of t. Let

(78) 
$$R_i(\boldsymbol{t}, x) = R_{i0}(\boldsymbol{t}, x) \prod_{\varrho=1}^{r_i} (x - R_{i\varrho}(\boldsymbol{t})),$$

(79) 
$$S_i(\boldsymbol{t}, y) = S_{i0}(\boldsymbol{t}, y) \prod_{\sigma=1}^{s_i} \{y - S_{i\sigma}(\boldsymbol{t})\},$$

where  $R_{i0}$  and  $S_{i0}$  have no factor linear in x or y respectively. If for some triple  $(i, \varrho, \sigma)$  with  $i \leq I$ ,  $1 \leq \varrho \leq r_i$ ,  $1 \leq \sigma \leq s_i$ 

$$G(R_{ig}, S_{i\sigma}) = g_i$$
 and  $H(R_{ig}, S_{i\sigma}) = h_i$ 

the lemma follows.

Therefore, suppose that for each triple  $(i, \varrho, \sigma)$  in question

$$G(R_{ig}, S_{i\sigma}) \neq g_i$$
 or  $H(R_{io}, S_{i\sigma}) \neq h_i$ .

Then

(80) 
$$T_{i\varrho\sigma} = (G(R_{i\sigma}, S_{i\sigma}) - g_i)^2 + (H(R_{i\varrho}, S_{i\sigma}) - h_i)^2 \neq 0$$

and we set in Lemma 10

(81) 
$$P(t, u) = p(t) \prod_{i=1}^{I} R_{i0}(t, u) S_{i0}(t, u) \prod_{\varrho=1}^{r_i} \prod_{\sigma=1}^{s_i} T_{i\varrho\sigma}(t).$$

By that lemma with M=1 there exist an  $m \in \mathbb{N}$  and a  $t_1 \in \mathbb{Z}^r$  such that if  $t \equiv t_1 \mod m$  and  $u \in \mathbb{Q}$  we have

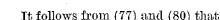
$$(82) P(t, u) \neq 0.$$

In particular, taking  $t = t_1$  we get  $p(t_1) \neq 0$ . Applying Lemma 10 again with  $M = p(t_1)$  we infer the existence of an integer m with the above property satisfying  $(m, p(t_1)) = 1$ . However now by the assumption there exists an  $i \leq I$ , a  $t_2 \equiv t_1 \mod m$  and  $x, y \in Q$  such that (77) holds. By the fundamental property of resultants we have

$$R_i(t, x) = 0 = S_i(t, y)$$

and in view of (78), (79), (81) and (82) there exist  $\varrho$ ,  $\sigma$  such that  $1 \leqslant \varrho \leqslant r_i$ ,  $1 \leqslant \sigma \leqslant s_i$ 

$$x = R_{i\varrho}(\boldsymbol{t}_2), \quad y = S_{i\sigma}(\boldsymbol{t}_2).$$



$$T_{io\sigma}(\boldsymbol{t}_2) = 0,$$

contrary to (81) and (82).

Remark. Lemma 11 extends to any system of forms  $G_1, G_2, ..., G_k \in \mathcal{Q}[x_1, ..., x_k]$  without a common non-trivial zero.

Proof of Theorem 3. If f = 0 the theorem is trivially true. If  $f \neq 0$  let  $f(t_0) = e \neq 0$ . We set  $f_0(t) = f(et + t_0)$  and find as in the proof of Corollary to Lemma 3 that the fixed divisor of  $f_0(t)$  equals  $C(f_0)$ . (If the fixed divisor of f equals C(f) we can take directly e = 1,  $t_0 = 0$ .) Let K be the least field over which F factorizes into two coprime factors and let

(83) 
$$f_0(t) = I \prod_{\nu=1}^n \varphi_{\nu}(t)^{c_{\nu}}$$

be a factorization of f over K into irreducible factors such that  $\varphi$ , are distinct and have the coefficient of the first term in the antilexicographic order equal to 1. Since the fixed divisor of  $f_0(t)$  equals  $C(f_0)$  the polynomials  $\varphi$ , satisfy (19) in virtue of Lemma 9. Let  $\mu$ ,  $\tau$  be parameters whose existence for  $\{\varphi_v\}$  and  $\mu=1$  is asserted in Lemma 5 and let

$$\psi_{\nu} = \varphi_{\nu}(\mu t + \tau) \qquad (1 \leqslant \nu \leqslant n).$$

It follows that

(84) 
$$f_0(\mu t + \tau) = l \prod_{\nu=1}^n \psi_{\nu}(t)^{c_{\nu}}$$

and

(85) 
$$B = |l| \prod_{\nu=1}^{n} C(\psi_{\nu})^{e_{\nu}} = C(f_{0}(\mu t + \tau)) \in N,$$

where an ideal in Q is identified with its positive generator. Consider first the case where K = Q and let

(86) 
$$f_0(\mu t + \mathbf{r}) = g_i(t) h_i(t) \quad (1 \leqslant i \leqslant I)$$

be all possible factorizations of the left-hand side into two factors with integral coefficients. If implies that if  $(m, f_0(\mu t_1 + \tau)) = 1$  there exist an  $i \leq I$ , a  $t_2 = t_1 \mod m$  and  $x, y \in \mathbb{Z}$  such that

(87) 
$$G(x, y) = g_i(t_2), \quad H(x, y) = h_i(t_2).$$

Indeed, by (84) and (85) the condition  $(m, f_0(\mu t_1 + \tau)) = 1$  implies

$$\left(m, \prod_{r=1}^{n} \frac{\psi_{r}(t_{1})}{C(\psi_{r})}\right) = 1$$

and by Lemma 5 H implies the existence of a  $t_2 \in \mathbb{Z}^r$ ,  $t_2 = t_1 \mod m$  such that  $\frac{|\psi_r(t_2)|}{C(\psi_r)}$   $(r \leq n)$  are distinct primes not dividing B. By the assumption of D there exist  $x, y \in \mathbb{Z}$  such that

$$G(x,y)H(x,y) = F(x,y) = f_0(\mu t_2 + \tau)$$

and it follows from (84) and (85) that for some  $a, b, a_{\nu}, \beta_{\nu} \in \mathbb{Z}, a_{\nu} \geqslant 0$ ,  $\beta_{\nu} \geqslant 0$  we have

$$G(x, y) = a \prod_{\nu=1}^{n} \left( \frac{\psi_{\nu}(\mathbf{t}_{2})}{C(\psi_{\nu})} \right)^{a_{\nu}}, \quad H(x, y) = b \prod_{\nu=1}^{n} \left( \frac{\psi_{\nu}(\mathbf{t}_{2})}{C(\psi_{\nu})} \right)^{\beta_{\nu}},$$

$$ab = B \operatorname{sgn} l, \quad a_{\nu} + \beta_{\nu} = e_{\nu} \quad (1 \leq \nu \leq n).$$

Taking

$$g_i(t) = a \prod_{\nu=1}^n \left( \frac{\psi_{\nu}(t)}{C(\psi_{\nu})} \right)^{\alpha_{\nu}}, \quad h_i(t) = b \prod_{\nu=1}^n \left( \frac{\psi_{\nu}(t)}{C(\psi_{\nu})} \right)^{\beta_{\nu}}$$

we get (86) and (87). Now we apply Lemma 11 with  $p(t) = f_0(\mu t + \tau)$  and we get the existence of  $X_0$ ,  $Y_0 \in Q[t]$  satisfying

$$G(X_0, Y_0) = g_i, \quad H(X_0, Y_0) = h_i$$

for some  $j \leq I$ . Setting

(88) 
$$X(t) = X_0 \left( \frac{t - e\tau - t_0}{e\mu} \right), \quad Y(t) = Y_0 \left( \frac{t - e\tau - t_0}{e\mu} \right)$$

we get by (86)

$$F\left(X(oldsymbol{t}),\ Y(oldsymbol{t})
ight) = g_j\left(rac{oldsymbol{t} - eoldsymbol{ au} - oldsymbol{t}_0}{e\mu}
ight)h_j\left(rac{oldsymbol{t} - eoldsymbol{ au} - oldsymbol{t}_0}{e\mu}
ight) = f_0\left(rac{oldsymbol{t} - oldsymbol{t}_0}{e}
ight) = f(oldsymbol{t}).$$

Consider now the case where K is an imaginary quadratic field with discriminant  $\Delta$ . Then

(89) 
$$F(x,y) = -\frac{v}{w} N \Phi(x,y),$$

where  $v, w \in \mathbb{Z}$ , (v, w) = 1,  $\Phi \in \mathbb{K}[x, y]$  has integral coefficients and

$$(90) \qquad (\Phi(x,y),\Phi'(x,y)) = 1,$$

where  $\Phi'$  is conjugate to  $\Phi$  over Q(x, y). Let

(91) 
$$\frac{w}{v}f_0(\mu t + \tau) = \eta_i(t)\eta_i'(t) \quad (i \leq I)$$

be all the factorizations of the left-hand side into two conjugate polynomials with integral coefficients in K. Since K has finitely many units the number of such factorizations is finite. Himplies that if  $(m, \Delta f_0(\mu t_1 + \tau))$ 

= 1 there exist an  $i \leq I$ , a  $t_2 \equiv t_1 \mod m$  and  $x, y \in \mathbb{Z}$  such that

$$\Phi(x, y) = \eta_i(t_2).$$

Indeed, by (84) and (85) we have

(93) 
$$\left(\frac{w}{v} f_0(\mu t + \tau)\right) = \left(\frac{w}{v} B\right) \prod_{\nu=1}^n \frac{(\psi_{\nu}(t))^{e_{\nu}}}{C(\psi_{\nu})^{e_{\nu}}}.$$

Since by Lemma 5  $\prod_{\nu=1}^{n} \frac{N \psi_{\nu}(t)}{NC(\psi_{\nu})}$  has the fixed divisor 1,  $\prod_{\nu=1}^{n} \psi_{\nu}(t)^{c_{\nu}}$  has the

fixed divisor  $\prod_{r=1}^n C(\psi_r)^{e_r}$ . On the other hand, for every  $t \in \mathbb{Z}^r$ 

$$\frac{w}{v}f_0(\mu t + \tau) = N\Phi(x, y) \in \mathbf{Z}$$

hence

$$\frac{w}{v}A \in \mathbf{Z}.$$

By (84) and (85) the condition  $(m, \Delta f_0(\mu t_1 + \tau)) = 1$  implies

$$\left(m, \Delta \int_{\substack{\nu=1\\ \nu = 1}}^{n} \frac{N\psi_{\nu}(t_1)}{NC(\psi_{\nu})}\right) = 1$$

and by Lemma 5 H implies the existence of a  $t_2 \equiv t_1 \mod m$  such that  $\frac{(\psi_r(t_2))}{C(\psi_r)}$   $(r \leqslant n)$  are distinct prime ideals not dividing wB. By the assumption of D there exist  $x_0, y_0 \in \mathbb{Z}$  such that

(95) 
$$N\Phi(x_0, y_0) = \frac{w}{v} F(x_0, y_0) = \frac{w}{v} f(\mu t_2 + \tau)$$

and it follows from (93) and (94) that for an integral ideal b and some integers  $\alpha_{\nu} \geqslant 0$ 

$$\left(\varPhi(w_0, y_0)\right) = \mathfrak{b} \prod_{r=1}^n \frac{\left(\psi_r(t_2)\right)^{a_r}}{C(\psi_r)^{a_r}}, \quad \left(\mathfrak{b}, \prod_{r=1}^n \frac{\left(\psi_r(t_2)\right)}{C(\psi_r)}\right) = 1.$$

On the other hand in full analogy with (51) we can assume that for a certain  $k = n \mod 2$ 

(96) 
$$\psi'_{\nu} = \psi_{\nu'}$$
,  $e_{\nu} = e_{\nu'}$ ,  $\nu' = \nu \ (\nu \leqslant k)$ ,  $\nu' = \nu - (-1)^{n-\nu} \ (\nu > k)$ . Hence

$$N\varPhi(x_0, y_0) = N\mathfrak{b} \prod_{\nu=1}^n \left( rac{\psi_{
u}(t_2)}{C(\psi_{
u})} 
ight)^{a_{
u} + a_{
u'}}, \qquad \left( N\mathfrak{b}, \prod_{
u=1}^n rac{(\psi_{
u}(t_2))}{C(\psi_{
u})} 
ight) = 1$$

and a comparison with (93) gives

(97) 
$$a_{\nu} + a_{\nu'} = e_{\nu} \quad (1 \leqslant \nu \leqslant n).$$

Now let us put

(98) 
$$\eta(t) = \Phi(x_0, y_0) \prod_{\nu=1}^n \left( \frac{\psi_{\nu}(t)}{\psi_{\nu}(t_2)} \right)^{a_{\nu}}.$$

The polynomial  $\eta(t)$  has integral coefficients in K since

$$C(\eta) = \left( \varPhi(x_0, y_0) \right) \prod_{\nu=1}^n \frac{C(\psi_{\nu})^{a_{\nu}}}{\left( \psi_{\nu}(t_2) \right)^{a_{\nu}}} = b.$$

Moreover, by (95), (96), (97) and (84)

$$egin{aligned} \eta(oldsymbol{t}) \eta'(oldsymbol{t}) &= N \varPhi(x_0, y_0) \prod_{v=1}^n \left( rac{\psi_v(oldsymbol{t}) \psi_v'(oldsymbol{t})}{\psi_v(oldsymbol{t}_2) \psi_v'(oldsymbol{t}_2)} 
ight)^{a_v} = rac{w}{v} f_0(\mu oldsymbol{t}_2 + au) \prod_{v=1}^n \left( rac{\psi_v(oldsymbol{t}) \psi_v'(oldsymbol{t}_2)}{\psi_v(oldsymbol{t}_2)} 
ight)^{a_v} = rac{w}{v} f_0(\mu oldsymbol{t} + au) \,. \end{aligned}$$

Hence  $\eta(t) = \eta_i(t)$  for an  $i \leq I$  and (92) follows immediately from (98). Now we apply Lemma 11 with  $p(t) = A f_0(\mu t + \tau)$ ,

$$G(x, y) = \Phi(x, y) + \Phi'(x, y), \quad H(x, y) = \langle \Phi(x, y) - \Phi'(x, y) \rangle / \sqrt{\Lambda}$$

and we get the existence of  $X_0, Y_0 \in Q[t]$  satisfying

(99) 
$$\Phi(X_0, Y_0) = \eta_i, \quad \Phi'(X_0, Y_0) = \eta_i'$$

for a  $j \leq I$ . Using again the transformation (88) we get by (89) and (90)

$$F\left(X(\boldsymbol{t}), Y(\boldsymbol{t})\right) = \frac{v}{w} \eta_j \left(\frac{\boldsymbol{t} - e\boldsymbol{\tau} - \boldsymbol{t}_0}{e \mu}\right) \eta_j' \left(\frac{\boldsymbol{t} - e\boldsymbol{\tau} - \boldsymbol{t}_0}{e \mu}\right) = \frac{v}{w} f_0 \left(\frac{\boldsymbol{t} - \boldsymbol{t}_0}{e}\right) = f(\boldsymbol{t}).$$

LEMMA 12. Let  $k \in \mathbb{N}$  be odd,  $a_i(t) \in \mathbb{Z}[t]$   $(0 \le i \le k)$ ,  $a_0(t) = 1$ ,  $x(t) \in \mathbb{Q}[t]$ . If

(100) 
$$\sum_{i=0}^{k-1} {k \choose i+1} a_i(t) x(t)^{k-1-i} = 0$$

then  $x(t) \in \mathbb{Z}[t]$ .

Proof. Suppose that  $C(x) \notin \mathbb{Z}$ . Then for some prime p

$$\operatorname{ord}_{p}C(x) = -c \leqslant -1.$$

The function  $\operatorname{ord}_p C(P)$  is a valuation of the ring Q[t] (see [6], p. 171). In virtue of the properties of valuations (100) implies

$$\operatorname{ord}_{p}\left(kC(x)^{k-1}\right) \geqslant \min_{0 < i < k} \operatorname{ord}_{p}\left(\binom{k}{i+1} C(a_{i}) C(x)^{k-1-i}\right),$$

hence for a positive i < k

$$\operatorname{ord}_{p}k - (k-1)c \geqslant \operatorname{ord}_{p}\binom{k}{i+1} - (k-1-i)c$$

and

(101) 
$$\operatorname{ord}_{p} k \geqslant \operatorname{ord}_{p} {k \choose i+1} + i.$$

However

$$\binom{k}{i+1} = \frac{k}{i+1} \binom{k-1}{i}$$

thus (101) implies

$$\operatorname{ord}_{p}(i+1) \geqslant i, \quad i+1 \geqslant p^{i}; \quad p=2,$$

which is impossible since then the left-hand side of (101) is 0.

Proof of Theorem 4. Let  $n=2^ak$ , k odd. In order to prove the first part of the theorem let us assume that the fixed divisor of f equals C(f) and take in the proof of Theorem 3  $f_0=f$ . If k>1 we take further K=Q,  $\mu=1$ ,  $\tau=0$ ,

$$G(x, y) = x^{2^{\alpha}} + y^{2^{\alpha}}, \quad H(x, y) = \sum_{i+j=k-1} x^{2^{\alpha}i} (-y^{2^{\alpha}})^{j}$$

and we get from (86) and (88) that for some polynomials  $g, h \in \mathbb{Z}[t]$  and  $X, Y \in \mathbb{Q}[t]$ 

$$g(t)h(t) = f(t),$$

(102) 
$$G(X, Y) = g, \quad H(X, Y) = h.$$

However

$$H(X, Y) = \sum_{i=0}^{k-1} {k \choose i+1} G(X, Y)^{i} (-X^{2^{\alpha}})^{k-1-i}$$

hence taking in Lemma 12

$$a_i(t) = g(t)^i$$
  $(0 \le i < k-1),$   $a_{k-1}(t) = -h(t),$   $x(t) = -X(t)^{2^n}$ 

we get from (102) that

$$-X(t)^{2^a}\in Z[t].$$

Thus  $X(t) \in \mathbb{Z}[t]$  and by symmetry  $Y(t) \in \mathbb{Z}[t]$ . Moreover

$$X(t)^n + Y(t)^n = G(X, Y)H(X, Y) = f(t).$$

If k=1 we take in the proof of Theorem 3  $K=Q(\zeta_k)$ ,

(103) 
$$\varphi(x, y) = x^{2^{\alpha-1}} + \zeta_{\lambda} y^{2^{\alpha-1}}, \quad v/w = 1,$$

where  $\zeta_a$  is a primitive qth root of unity.

By Lemma 5  $\mu$  factorizes in K into prime ideals of degree 2. By (92) and (99) for some polynomials  $\eta \in Z[\zeta_4, t]$  and  $X_0, Y_0 \in Q[t]$ 

(104) 
$$\eta(t)\eta'(t) = f(\mu t + \tau), \quad \mathring{\eta} \text{ conjugate to } \eta \text{ over } Q(t),$$

$$\Phi\left(X_0(t), Y_0(t)\right) = \eta(t).$$

Let us set

$$(106) \quad \vartheta(t) = \eta\left(\frac{t-\tau}{\mu}\right), \quad X(t) = X_0\left(\frac{t-\tau}{\mu}\right), \quad Y(t) = Y_0\left(\frac{t-\tau}{\mu}\right).$$

We have

$$\mu^{|\eta|}\vartheta(t)\in Z[\zeta_{4},\,t]$$

hence if p is a prime ideal of K in the denominator of  $C(\vartheta)$  p| $\mu$  and p=p'. However by (104)

$$\vartheta(t)\vartheta'(t) = f(t), \quad NC(\vartheta) = C(f) \in \mathbf{Z}$$

hence  $\operatorname{ord}_{\mathbf{p}} C(\vartheta) = \frac{1}{2} \operatorname{ord}_{\mathbf{p}} C(f) \geqslant 0$  and

$$\vartheta(t) \in Z[\zeta_4, t].$$

Now (103), (105) and (106) imply

$$X(t)^{2^{\alpha-1}}, Y(t)^{2^{\alpha-1}} \in Z[t]; X(t), Y(t) \in Z[t]$$

and we get by (104)

$$X(t)^{2^{\alpha}}+Y(t)^{2^{\alpha}}=f(t).$$

The proof of the first part of the theorem is complete. In order to prove the second part it is enough to consider the case n > 2 (for n = 2 the assertion is contained in Theorem 1).

Let p be a prime satisfying

$$(107) p \equiv 1 \mod 2^{a+1}, p \not\equiv 1 \mod 2n \text{if} n \not\equiv 2^a$$

and let us choose an integer c such that

$$c^n+1\equiv 0 \mod p^n$$
,  $c=-1$  if  $a=0$ .

Consider now the polynomial

(108) 
$$f(t) = u(t)^n + v(t)^n,$$

where 
$$u(t) = \frac{t(t-1)...(t-p+1)}{p}, v(t) = cu(t) + p^{n-1}.$$

It is easily seen that  $f(t) \in \mathbf{Z}[t]$  and

$$|f| = \begin{cases} p^n & \text{if } \alpha > 0, \\ p^{n-1} & \text{if } \alpha = 0. \end{cases}$$



Moreover since polynomials u(t), v(t) are integer-valued the equation  $x^n + y^n = f(t)$  is soluble in  $x, y \in \mathbb{Z}$  for all  $t \in \mathbb{Z}$ . On the other hand suppose that

(110) 
$$X(t)^n + Y(t)^n = f(t), \quad X, Y \in \mathbb{Z}[t].$$

Since

$$X(t)^n + Y(t)^n = \prod_{i=0}^{n-1} (X(t) - \zeta_{2n}^{2i+1} Y(t))$$

we have

$$|f| \geqslant \begin{cases} n \max\{|X|, |Y|\} & \text{if } \alpha > 0, \\ (n-1)\max\{|X|, |Y|\} & \text{if } \alpha = 0. \end{cases}$$

Hence by (109)

$$(111) \qquad \max\{|X|, |Y|\} \leqslant p.$$

Taking i = 0, 1, ..., p-1 we get u(i) = 0 hence

$$(112) X(i)^n + Y(i)^n = p^{n(n-1)}.$$

If  $n = 2^{\alpha}$ ,  $\alpha > 1$  or n = 3 by special cases of Fermat's last theorem (111) implies

(113) 
$$X(i) Y(i) = 0 \quad (0 \le i < p).$$

If n > 3, by Zsigmondy's theorem either X(i)Y(i) = 0 or  $X(i) = \pm Y(i)$  or  $X(i)^n + Y(i)^n$  has the so-called primitive prime factor  $\equiv 1 \mod 2n$ . The last two possibilities are incompatible with (107) and (112) hence (113) holds for all n > 2. By (112) if X(i) = 0,  $Y(i) = p^{n-1}$  for a = 0,  $Y(i) = \pm p^{n-1}$  for a > 0. In view of symmetry between X and Y we may assume that there is a set  $S \subset \{0, 1, \ldots, p-1\}$  with the following properties

$$|S|\geqslant rac{p+1}{2(n,2)}, \quad X(i)=0, \quad Y(i)=p^{n-1} \quad ext{for } i\in S.$$

(If n is even we can replace Y by -Y.) Let

$$P(t) = \prod_{i \in S} (t-i).$$

It follows that

(114) 
$$|P| \ge \frac{p+1}{2(n,2)}$$
,  $X(t) = 0 \mod P(t)$ ,  $Y(t) = p^{n-1} \mod P(t)$ 

and we get from (108) and (110)

$$Y(t)^n \equiv v(t)^n \operatorname{mod} P(t)^n$$
.

8 - Acta Arithmetica XXXVIII.3

ic

ACTA ARITHMETICA XXXVIII (1980)

Since  $Y(t) \equiv v(t) \mod P(t)$  and (v, P) = 1 we obtain

$$Y(t) \equiv v(t) \operatorname{mod} P(t)^n$$
.

However by (111)

$$\max\{|Y|, |v|\} \leqslant p < n|P|$$

hence

$$Y(t) = v(t) \notin Z[t].$$

## References

- [1] P. T. Bateman and R. A. Horn, A heuristic asymptotic formula concerning the distribution of prime numbers, Math. Comp. 16 (1962), pp. 363-367.
- [2] A. Châtelet, Leçons sur la théorie des nombres, Paris 1913.
- [3] S. Chowla, Some problems of elementary number theory, J. Reine Angew. Math. 222 (1966), pp. 71-74.
- [4] H. Davenport, D. J. Lewis and A. Schinzel, Polynomials of certain special types, Acta Arith. 9 (1964), pp. 107-116.
- [5] H. Halberstam and H. E. Richert, Sieve methods, London-New York-San Francisco 1974.
- [6] H. Hasse, Zahlentheorie, Berlin 1963.
- [7] T. Kojima, Note on number-theoretical properties of algebraic functions, Tôhoku Math. J. 8 (1915), pp. 24-37.
- [8] S. Lang, Diophantine geometry, New York-London 1962.
- [9] W. J. Le Ve que, A brief survey of diophantine equations, Studies in number theory, pp. 4-24, Englewood Cliffs N.J. 1969.
- [10] D. Mumford, A remark on Mordell's conjecture, Amer. J. Math. 87 (1965), pp. 1007-1016.
- [11] P. Ribenboim, Polynomials whose values are powers, J. Reine Angew. Math. 268/269 (1974), pp. 34-40.
- [12] A. Schinzel et W. Sierpiński, Sur certaines hypothèses concernant les numbres premiers, Acta Arith. 4 (1958), pp. 185-208, Erratum 5 (1959), p. 259.
- [13] A. Schinzel, On Hilbert's irreducibility theorem, Ann. Polon. Math. 16 (1965), pp. 333-340.
- [14] On a theorem of Bauer and some of its applications II, Acta Arith. 22 (1972), pp. 221-231.
- [15] Abelian binomials, power residues and exponential congruences, ibid. 32 (1977), pp. 245-274.
- [16] Addendum and corrigendum to [15], ibid. 36 (1980), pp. 101-104.
- [17] W. M. Schmidt, Equations over finite fields. An elementary approach, Lecture Notes in Mathematics No 536, Berlin - Heidelberg-New York 1976.
- [18] T. Skolom, Anwendung exponentieller Kongruenzen zum Beweis der Unlösburkeit gewisser diophantischer Gleichungen, Vid. akad. Avh. Oslo I., 1937, nr 12.

Received on 7.4.1978

(1062)

Corrigendum to the paper "Periodic analogues of the Euler-Maclaurin and Poisson summation formulas with applications to number theory",

Acta Arith. 28 (1975), pp. 23-68

b,

BRUCE C. BERNDT (Urbana, Ill.) and LOWELL SCHOENFELD (Buffalo, N.Y.)

There is a misprint in the formulation of Proposition 9.1 on p. 55 The correct formulation is as follows:

Proposition 9.1. For  $|y| < 2\pi/k$ ,

(9.2) 
$$\frac{y \sum_{n=0}^{k-1} a_n e^{ny}}{e^{ky} - 1} = \sum_{j=0}^{\infty} \frac{B_j(A)}{j!} y^j = e^{B(A)y},$$

where the last expression uses the umbral convention according to which after the formal expansion into power series, the expression  $\{B(A)\}^j$  is to be replaced by  $B_i(A)$ .

Moreover on p. 29, line 3 replace  $1 \le m \le r$  by  $2 \le m \le r$  and on p. 30, line 10 replace P by  $P_j$ .

Received on 14.7.1980