Conspectus materiae tomi XL, fasciculi 1

	Pagina
J. E. Carroll and H. H. Kisilevsky, On Iwasawa's 1-invariant for	
certain Z _l -extensions	1-8
K. Hatada, On the rationality of periods of primitive forms	9-40
A. Fujii, An additive problem in the theory of numbers	41 - 50
R. Sitaramachandrarao and P. V. Krishnaiah, Restricted sums	
of reciprocal values of additive functions	51-61
G. Jogesh Babu, On the mean values and distributions of arithmetic	
functions	63-77
Ян Мовер, О корнях уравнения $Z'(t)=0$	79-89
J. Lagrange, Six entiers dont les sommes deux à deux sont des carrés	91 - 96
Ян Мовер, Исправление к работам: Acta Arith. 31 (1976), стр. 31-43;	1
31 (1976), crp. 45-51; 35 (1979), crp. 403-404	97-107
L. C. Washington, The derivative of p-adic L-functions	109-115

La revue est consacrée à la Théorie des Nombres The journal publishes papers on the Theory of Numbers Die Zeitschrift veröffentlicht Arbeiten aus der Zahlentheorie Журиал посилщен теорин чисел

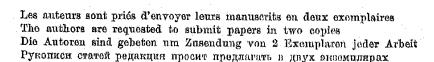
L'adresse de la Rédaction et de l'échange Address of the Editorial Board and of the exchange

Die Adresse der Schriftleitung und des Austausches

Адрес реданции и книгообмена

ACTA ARITHMETICA

ul. Sniadeckich 8, 00-950 Warszawa



© Copyright by Państwowe Wydawnictwo Naukowe, Warszawa 1981

ISBN 83-01-01784-8

ISSN 0065-1036

PRINTED IN POLAND



ACTA ARITHMETICA

XL (1981)

On Iwasawa's λ -invariant for certain Z_i -extensions

Ъy

JOSEPH E. CARROLL and H. H. KISILEVSKY (Pasadena, Calif.)

In this article we consider Z_l -extensions of certain abelian extensions of Q, the field of rational numbers. For the basic theory of Z_l -extensions we refer to Iwasawa [3].

Let k be a finite extension of Q, l a prime, and let K/k be a Z_l -extension, i.e. K/k is a Galois extension and $\operatorname{Gal}(K/k) = \Gamma$ is topologically isomorphic to the additive group of Z_l , the ring of l-adic integers. Let L be the maximal abelian unramified l-extension of K, and let $X = \operatorname{Gal}(L/K)$. Then X has the structure of a $Z_l[\Gamma]$ -module in a natural way. If we fix a topological generator, σ , of Γ , X can be endowed with the structure of a $A = Z_l[[T]]$ module under the correspondence $\sigma \leftrightarrow 1 + T$. It is known that X contains as a submodule of finite index an elementary module $E \approx \bigoplus_{i=1}^{n} A/(f_i)^{n_i}$ where $f_i = l$ or is a distinguished irreducible polynomial in $Z_l[T]$. (This follows from the existence of a pseudo-isomorphism $E \to X$, and the fact that E has no finite A-submodules.) Let $H_K(T) = \prod_i f_i^{n_i}$. Then the degree of H_K is λ_K , the Iwasawa λ -invariant for K/k.

Now suppose that l is odd and that k/Q is a totally complex abelian extension with $\operatorname{Gal}(k/Q) = \Delta$. We assume that every element of Δ has order dividing l-1. By using the action of Δ on $\operatorname{Gal}(F/k)$, where F is the composite of all Z_{l} -extensions of k, we single out a certain set of Z_{l} -extensions of k. We then obtain congruence conditions on corresponding λ 's, and a functional equation for the corresponding H's.

1. Let l be an odd prime, and let k/Q be a totally complex abelian extension with $Gal(k/Q) = \Delta$. Assume that the group Δ has exponent dividing l-1. Let W be the group of (l-1)st roots of unity in \mathbb{Z}_l , and let $\hat{\Delta} = \operatorname{Hom}(\Delta, W)$. For each $\chi \in \hat{\Delta}$, let

$$\varepsilon_{\chi} = \frac{1}{|\Delta|} \sum_{\tau \in \Delta} \chi(\tau)^{-1} \tau \in \mathbf{Z}_{l}[\Delta].$$

cm

Then the ε_{x} are a set of orthogonal idempotents with $\sum_{x} \varepsilon_{x} = 1$, and any topological $\mathbf{Z}_{i}[A]$ -module M can be decomposed:

$$M = \bigoplus_{\chi \in \widehat{A}} e_{\chi} M,$$

where

 $\ddot{2}$

 $\tau(m) = \chi(\tau) \cdot m$ for all $m \in \varepsilon_x M$ and all $\tau \in \Delta$.

Let F be the composite of all \mathbf{Z}_l -extension of k, and denote by G the group $\operatorname{Gal}(F/k)$. Since Leopoldt's conjecture is valid for k, [1], it follows that $G \approx \mathbf{Z}_l^{d/2+1}$, where [k:Q] = d. Furthermore, F/Q is a Galois extension, and $\operatorname{Gal}(F/Q) \approx G \cdot \Delta$, the semi-direct product, where Δ acts on G by group theoretic conjugation. We shall identify Δ with a lifting to $\operatorname{Gal}(F/Q)$ for which $J = \operatorname{complex}$ conjugation is an element of Δ under a fixed embedding of F into the field of complex numbers. We first determine the decomposition of G as a $\mathbf{Z}_l[\Delta]$ -module.

Let $G^- = \bigoplus_{\substack{x(J) = -1 \\ x(J) = -1}} \varepsilon_x G$ and let F^+ be the fixed field of G^- . If k^+ is the maximal real subfield of k, then F^+/k^+ is Galois with group $G/G^- \times \langle J \rangle$. Thus if F_0^+ is the subfield of F^+ fixed by J, $\operatorname{Gal}(F_0^+/k^+) \approx G/G^-$. Since Leopoldt's conjecture is true for k_0^+ , F_0^+ is the cyclotomic Z_l -extension of k^+ , implying that $\varepsilon_{x_0}G \approx Z_l$ and $\varepsilon_x G = 0$ for all other $\chi \in \hat{A}$ such that $\chi(J) = 1$, where χ_0 is the principal character of A.

It shall be shown that each group $\varepsilon_{\chi}G$ has Z_{l} -rank at most one. Assuming this, it follows from the fact that $G \approx Z_{l}^{d/2+1}$ that $\varepsilon_{\chi}G \approx Z_{l}$ for all χ such that $\chi(J) = -1$ or $\chi = \chi_{0}$, and that $\varepsilon_{\chi}G = 0$ otherwise.

Suppose that p_1, \ldots, p_m are the primes of k lying above the rational prime l. Let k_{p_i} denote the completion of k at p_i , \mathcal{O}_{p_i} the integers of k_{p_i} , and $U_{p_i}^{(l)}$ the units of \mathcal{O}_{p_i} congruent to 1 modulo p_i^l , for t a non-negative integer. Choose t large enough, so that the p_i -adic exponential function maps $p_i^l\mathcal{O}_{p_i}$ isomorphically onto $U_{p_i}^{(l)}$. Let $Z \subset A$ be the decomposition group of the prime l, so Z is naturally isomorphic with the groups $\operatorname{Gal}(k_{p_i}/Q_l)$. Choose coset representatives $\tau_1 = 1, \tau_2, \ldots, \tau_m$ of A/Z so that $\tau_i(p_1) = p_i$. Since the group Z has exponent dividing l-1, and the (l-1)st roots of unity are in Q_l , it follows that k_{p_l}/Q_l is a Kummer extension. Furthermore if we denote by A_1 the multiplicative group of non-zero elements of k_{p_l} whose (l-1)st powers are in Q_l , we have a perfect pairing $A_1 \times \operatorname{Gal}(k_{p_l}/Q_l) \to W$ given by $(\alpha, \gamma) \mapsto \gamma(\alpha) \alpha^{-1}$. Hence A_1/Q_l^{γ} is naturally isomorphic to the character group of $\operatorname{Gal}(k_{p_l}/Q_l)$.

Let $\chi \in \mathcal{A}$, and define $\chi_Z = \chi|_Z$, the character of Z obtained by restricting the domain of χ to Z. Under the identification of Z with $\operatorname{Gal}(k_{v_1}/\mathbf{Q}_l)$,

we can view $\chi_Z \in \operatorname{Gal}(k_{\mathfrak{p}_1}/Q_1)$, so that there exists an element $\alpha_1 \in A_1$ such that $\chi_Z(\gamma) = \gamma(\alpha_1)\alpha_1^{-1}$ for every $\gamma \in Z$. Consider the product $P = \mathfrak{p}_1^t \mathcal{O}_{\mathfrak{p}_1} \times \ldots$

and the state of t

... $\times \mathfrak{p}_m^t \mathscr{O}_{\mathfrak{p}_m}$ which is naturally a $Z_1[\Delta]$ -module. Define $\beta \in P$ by $\beta = (\alpha_1, \ldots, \chi(\tau_i)^{-1} \tau_i(\alpha_1), \ldots).$

Let $\varphi \in \Delta$ and we determine the p_i -coordinate of $\varphi(\beta)$ in P. There exists $\gamma \in Z$ so that $\varphi \tau_j = \tau_i \gamma$ for some index j.

Then

$$\varphi(\chi(\tau_{j}^{-1})\tau_{j}(\alpha_{1})) = \chi(\tau_{j}^{-1})\varphi\tau_{j}(\alpha_{1}) = \chi(\tau_{j}^{-1})\tau_{i}\gamma(\alpha_{1})
= \chi(\tau_{j}^{-1})\tau_{i}(\chi(\gamma)\alpha_{1}) = \chi(\tau_{j}^{-1}\gamma)\tau_{i}(\alpha_{1}) = \chi(\varphi)\chi(\tau_{i}^{-1})\tau_{i}(\alpha_{1}).$$

Hence $\varphi(\beta) = \chi(\varphi)\beta$ in P, and so $\varepsilon_{\chi}P$ is non-trivial. Applying the exponential map in each component and noting that exp is a $\mathbf{Z}_{l}[\Delta]$ -map, we see that for any $\chi \in \Delta$, $\varepsilon_{\chi}(U^{(l)})$ is non-trivial, where $U^{(l)} = U_{p_1}^{(l)} \times \ldots \times U_{p_m}^{(l)}$. Since $U^{(l)}$ is a $\mathbf{Z}_{l}[\Delta]$ -module of \mathbf{Z}_{l} -rank d, each eigenspace must have rank exactly one. Now by class field theory ([4], ch. 7, §11.5) G contains as a $\mathbf{Z}_{l}[\Delta]$ submodule of finite index an isomorphic copy of $(U^{(l)}/\overline{E} \cap U^{(l)})/(\text{torsion})$ ([3], § 2), where \overline{E} is the closure of the units, E, of k embedded diagonally in $U^{(0)}$. It follows that all the eigenspaces for G have \mathbf{Z}_{l} -rank at most one.

For any $\chi \in \hat{\mathcal{\Delta}}$, such that $\chi(J) = -1$ or $\chi = \chi_0$, let K_{χ} be the subfield of F fixed by $\oplus \varepsilon_{\chi}G$.

Thus we have proved:

THEOREM 1. For any $\chi \in \hat{\Delta}$, $\chi(J) = -1$ or $\chi = \chi_0$, K_χ/k is a Z_t -extension. The set of such K_χ is an independent set of Z_t -extensions of k whose composite is F. Furthermore, K_χ/Q is Galois with group the semi-direct product $\operatorname{Gal}(K_\chi/k) \cdot \Delta$, where $\tau(\sigma) = \tau \sigma \tau^{-1} = \sigma^{\chi(\tau)}$ for every $\sigma \in \operatorname{Gal}(K_\chi/k)$, and $\tau \in \Delta$.

COROLLARY 1. If K/k is a \mathbf{Z}_1 -extension with K/Q Galois, then $K=K_{\chi}$ for some $\chi \in \Delta$.

Proof. If M is any $Z_l[\Delta]$ -submodule of G then $M=\bigoplus \varepsilon_\chi M$ where $\varepsilon_\chi \dot{M}=0$ or $\varepsilon_\chi M=l^{a_\chi}\varepsilon_\chi G$ for some non-negative integer a_χ . As K/Q is Galois, $\operatorname{Gal}(K/k)$ is a $Z_l[\Delta]$ -submodule of G. Since $\operatorname{Gal}(K/k)\approx Z_l$ it follows that $\operatorname{Gal}(F/K)=\bigoplus \varepsilon_\chi G$ for some $\chi\in \dot{\Delta}$. Hence $K=K_\chi$. It is easy to see that every sub-extension of F/k which is Galois over Q, is a composite of layers from the extensions K_χ .

2. We next consider the splitting of primes in the various fields K_{χ} . THEOREM 2. Let $p \neq l$ be a rational prime. Let Z(p) be the decomposition group of p in Δ , and p be a prime of k dividing p. If Z(p) is not contained in ker χ , then p splits completely in K_{χ} .

Proof. Let $Z(\mathfrak{p})$ be the decomposition group of \mathfrak{p} in G. Since \mathfrak{p} does not split completely in $K_{\mathfrak{x}_0}$ (cf. [2]), it follows that $Z(\mathfrak{p})$ is non-trivial.

On Iwasawa's \(\lambda\)-invariant

Since $p \neq l$, p does not ramify in F, and hence $Z(p) \approx Z_l$. Thus Z(p)

$$a = (a_{x_0}, \ldots, a_x, \ldots) \in \bigoplus_{\chi \in \hat{\mathcal{A}}} \varepsilon_{\chi} G, \quad \text{with } a_{x_0} \neq 0.$$

is generated as a Z_1 -module by some

For $\tau \in Z(p) \subset \Delta$, $\tau p = p$, so that $\tau(Z(p)) = Z(p)$, and hence there is a unit $u \in Z_1$, such that $\tau(a) = u \cdot a$. But $\tau(a) = (\dots \chi(\tau)a_{\chi} \dots)$ so we must have u = 1 comparing χ_0 components, and $\chi(\tau) = 1$ for each component with $a_{\chi} \neq 0$. But $a_{\chi} = 0$ if and only if p splits completely in K_{χ} , and the theorem is proved.

Corollary 2. For every $\chi \in A$, $\chi(J) = -1$, infinitely many primes of k split completely in K_{χ} .

3. In this section we consider the Iwasawa invariant $\lambda_{\chi} = \lambda_{K_{\chi}}$ of the extensions K_{χ} . We also derive a functional equation for $H_{\chi} = H_{K_{\chi}}$, when $\chi \neq \chi_0$.

Fix $\chi \in \hat{\Delta}$, $\chi(J) = -1$ or $\chi = \chi_0$ and let L be the maximal abelian unramified l-extension of K_{χ} . Let $X = \operatorname{Gal}(L/K_{\chi})$. If $\Gamma = \operatorname{Gal}(K_{\chi}/k)$, then as noted in the introduction, X is a $Z_{l}[\Gamma]$ -module and so becomes a $\Lambda = Z_{l}[[T]]$ -module under the correspondence $\sigma \leftrightarrow 1 + T$ where σ is a fixed topological generator of Γ . But also, as K_{χ}/Q is Galois, so is L/Q. Hence Δ acts on both Λ (via its action on Γ) and on X. Since these actions are induced by group theoretic conjugation, we have for $r \in \Lambda$, $x \in X$ and $\tau \in \Delta$, the equation

$$\tau(rx) = \tau(r) \cdot \tau(x)$$
.

Also since $\tau(\sigma) = \sigma^{x(t)}$, we see that $\tau(1+T) = (1+T)^{x(\tau)} \in \Lambda$, so for any $r \in \Lambda$ and $\tau \in \Lambda$ we have $\tau(r(T)) = r((1+T)^{x(\tau)} - 1)$.

LEMMA 1. Let $M \subset X$ be a Λ -submodule. Then $\tau(M)$ is a Λ -submodule of X for every $\tau \in \Lambda$. Furthermore $\operatorname{Ann}(\tau(M)) = \tau(\operatorname{Ann}(M))$ where Ann denotes the annihilator in Λ .

Proof. Let $m \in M$, $r \in \Lambda$ and $\tau \in \Lambda$. Then

$$r\tau(m) = \tau \tau^{-1}(r\tau(m)) = \tau(\tau^{-1}(r) \cdot m) \in \tau(M),$$

Secondly,

$$r \in \operatorname{Ann}(\tau(M)) \Leftrightarrow r\tau(M) = 0$$

 $\Leftrightarrow \tau^{-1}(r\tau(M)) = 0$
 $\Leftrightarrow \tau^{-1}(r) \cdot M = 0$
 $\Leftrightarrow \tau^{-1}(r) \in \operatorname{Ann}(M)$
 $\Leftrightarrow r \in \tau(\operatorname{Ann}(M)).$

As mentioned in the introduction, X contains as a Λ -submodule of finite index an elementary module, E. E is a sum of cyclic modules, $E=\bigoplus_{i=1}^r \Lambda x_i$, where $\operatorname{Ann}(x_i)=(f_i^{n_i})$, the principal ideal of Λ generated by $f_i^{n_i}$. Here f_i is either l, or a distinguished irreducible polynomial. Now if f is any distinguished irreducible polynomial and $\tau\in \Lambda$, we see by the Weierstrass preparation theorem, and the fact that τ is an automorphism of Λ that $\tau(f(T))=u(T)g(T)$ uniquely, where u(T) is a unit of Λ and g(T) is a distinguished irreducible polynomial.

LEMMA 2. Let E be an elementary module of finite index in X, and let $\tau \in \Delta$. Suppose that

$$E = \bigoplus_{i=1}^{u} \Lambda x_i \bigoplus_{j=1}^{t} \Lambda y_j,$$

where $\operatorname{Ann}(x_i) = (l^{\mu_i})$ and $\operatorname{Ann}(y_j) = (f_j^{n_j})$, f_j a distinguished irreducible polynomial. For each j, let $\tau(f_j) = u_j g_j$ as above. Then the set of $f_j^{n_j}$ is the same as the set $g_j^{n_j}$ counting multiplicities.

Proof. $\tau(E) = \bigoplus_{i=1}^{u} \Lambda \tau(x_i) \oplus \bigoplus_{j=1}^{t} \Lambda \tau(y_j)$ where $\operatorname{Ann}(\tau(x_i)) = (l^{\mu_i})$ and $\operatorname{Ann}(\tau(y_j)) = (g_j^{n_j})$ by Lemma 1. As $\tau(X) = X$, $\tau(E)$ is an elementary submodule of finite index in X. But this means that $\tau(E) \approx E$ as Λ -modules, and hence that the annihilators of the y_j 's are the same as those of the $\tau(y_j)$'s after rearrangement.

Let χ have order a in $\hat{\Delta}$, and choose $\tau_{\chi} \in \Delta$ so that $\chi(\tau_{\chi}) = \eta$, where η is a primitive ath root of unity in W. We note that if $\tau \in \ker \chi$, then $\tau(T) = T$ and $\tau(r) = r$ for any $r \in \Lambda$.

LEMMA 3. Let $f \neq T$ be a distinguished irreducible polynomial in Λ . Suppose that $(\tau_{\tau}^{m}f) = (f)$. Then the order of η^{m} in W divides the degree of f.

Proof. Let $u(T) f(T) = \tau_x^m f(T) = f((1+T)^{n^m} - 1)$ where u(T) is a unit of Λ . Let $\alpha-1$ be a zero of f(T) in some extension field k' of Q_i . Then $\alpha-1$ has positive valuation in k' since f is distinguished, so we can substitute $T = \alpha-1$ in the above equation to deduce that $a^{n^m} - 1$ is also a root of f(T). Iterating we see that $a^{n^{im}} - 1$ is a root of f(T) for $i = 0, 1, \ldots, O(n^m) - 1$, where $O(n^m)$ is the order of n^m in M. Now suppose we had $a^{n^{im}} - 1 = a^{n^{im}} - 1$ for some $0 \le i < j < O(n^m)$. Then $a^{n^{im}(n^{(j-i)m-1)}} = 1$, and taking \mathcal{L} -adic logarithms (\mathcal{L} is the maximal ideal of $n^{im}(n^{(j-i)m-1}) = 1$, and taking $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since $n^{im}(n^{(j-i)m} - 1)\log n = 0$, so $n^{im}(n^{(j-i)m} - 1)\log n = 0$. Since n

On Iwasawa's 1-invariant



divides the degree of ξ_i we again have that $O(\eta^m)$ divides the degree of f if $f(T) \neq T$. We note that it can be verified that $(\tau(\xi_i)) = (\xi_i)$.

THEOREM 3. Let $H_{\chi}(T) = H_{K_{\eta}}(T)$ be as defined in the introduction, and write $H_{\gamma}(T) = T^{sl} \mu g(T)$ where $g(0) \neq 0$. Then the order of χ in $\hat{\Lambda}$ divides the degree of g, so that $\lambda_x \equiv s \pmod{a}$.

Proof. Let g'(T) be any distinguished polynomial of A, such that $\{r_*(g'(T))\}=(g'(T)), \text{ and } g'(0)\neq 0. \text{ Let } f(T) \text{ be a distinguished irreducible}$ factor of g'(T) and suppose m>0 is the least integer such that $(\tau^m_*f(T))$ = (f(T)). By Lemma 3, $O(\eta^m)$ divides the degree of f. Since $(\tau_{\nu}(g')) = (g')$, we must have all the ideals $(f), (\tau_x f), \ldots, (\tau_x^{m-1} f)$ appearing in the factorization of (g') to the same power. As τ_{r} is an automorphism of Λ , the distinguished irreducible polynomials generating these ideals all have degree equal to degree of f. Hence $a = O(\eta) = mO(\eta^m)$ divides the degree of g'(T). Noting that $(\tau_{\varkappa}(T)) = ((1+T)^{\eta}-1) = (T)$ and that by Lemma 2, $(\tau_{\varkappa}(H_{\varkappa}(T)))$ $=(H_{r}(T))$ we apply this argument to g'=g(T) to finish the proof.

Remark. If $\chi \neq \chi_0$ this places some severe restrictions on the set of f_i 's.

THEOREM 4. Let $\chi \in \hat{A}$, $\chi(J) = -1$. Then $H_{\gamma}(T)$ satisfies the functional eguation

$$(-1-T)^{\deg H_Z} \cdot H_Z\left(rac{-T}{1+T}
ight) = H_Z(T).$$

Also

6

$$H_{\mathbf{x}}(-1) = (-1)^{\lambda_{\mathbf{x}}} \cdot l^{\mu}.$$

Proof. It is clear that (J(H(T))) = (H(T)), and since $\chi(J) = -1$ J(T) = -T/(1+T). Hence there is a unit u(T) in Λ such that

$$l^{\mu}\left(\frac{-T}{1+T}\right)^{s}g\left(\frac{-T}{1+T}\right)u(T) = H_{\mathbf{x}}\left(\frac{-T}{1+T}\right)u(T) = H_{\mathbf{x}}(T) = l^{\mu}T^{s}g(T).$$

Clearing denominators, we obtain

$$(-1)^{s}(1+T)^{2m}g\left(\frac{-T}{1+T}\right)u'(T)=g(T),$$

where $u'(T) = \frac{u(T)}{(1+T)^{\deg H_X}}$ and 2m = degree of g (which is even by

Let $g(T) = a_0 + \ldots + a_{2m-1}T^{2m-1} + T^{2m}$. Then $(1 + T)^{2m}g\left(\frac{-T}{1+T}\right) =$ $a_0(1+T)^{2m}+\ldots+a_{2m-1}(1+T)(-T)^{2m-1}+T^{2m}$, a polynomial of degree at

most 2m. But as g(T) is distinguished, $(1+T)^{2m}g\left(\frac{-T}{1+T}\right)\equiv T^{2m}\pmod{l}$ so there is a unit $v \in \mathbb{Z}_l$, such that $v(1+T)^{2m}g\left(\frac{-T}{1+T}\right)$ is a (monic) distinguished polynomial. Thus by the Weierstrass Preparation Theorem, we see that $v(1+T)^{2m}g\left(\frac{-T}{1+T}\right)=g(T)$. Substituting T=0, and noting that $g(0) \neq 0$, we see that v = 1.

The second statement now follows by evaluating the expression above for $(1+T)^{2m}g\left(\frac{-T}{1+T}\right)$ at T=-1.

We note that the proof is valid for any distinguished polynomial h satisfying (J(h(T))) = (h(T)).

4. We now discuss a sufficient condition for a Z_r -extension, so that Tdoes not divide $H_{\kappa}(T)$. As a consequence we derive divisibility properties for the λ_{x} 's when $k = Q(\zeta)$, ζ a primitive *l*th root of 1.

Let K be a Z_1 -extension of a finite extension, k, of Q. Let k_n be the nth layer of K, n = 1, 2, ... Let $G_n = \operatorname{Gal}(k_n/k)$, and let A_n be the *l*-primary part of the ideal class group of k_n .

LEMMA 4. Let K/k, k_n , G_n , A_n be as above, and suppose there is a unique prime p of k which ramifies in K. Then $(A_n)^{G_n}$ has bounded order as $n \to \infty$, where $(A_n)^{G_n}$ is the subgroup of elements of A_n fixed by G_n .

Proof. Let σ generate G_n . Then $|(A_n)^{G_n}| = |(A_n)^{\langle \sigma \rangle}| = |A_n/(\sigma-1)A_n|$. It is well known from genus theory that $|A_n/(\sigma-1)A_n|$ is the power of l which divides $h \cdot e(\mathfrak{p}) / ([k_n : k](E : E \cap N_{k_n/k} k_n))$ where h is the class number and E the unit group of k, and e(p) is the ramification index of p in k_n . Since $e(\mathfrak{p}) \leqslant \lceil k_n : k_0 \rceil, |A_n/(\sigma-1)A_n| \leqslant h.$

LEMMA 5. Let K/k be a Z_l -extension such that $|(A_n)^{G_n}|$ is bounded for all n. Then $T \nmid H_{\mathcal{R}}(T)$.

Proof. If X = Gal(L/F) for L the maximal abelian unramified l-extension of K then $X \approx \lim A_n$ as Λ -modules, where the limit is taken with respect to norm maps, [3]. Thus if $_{T}X$ is the submodule of X annihilated by T, $_{T}X \approx \lim_{n \to \infty} (A_{n})^{G_{n}}$ (recall $T \leftrightarrow \sigma - 1$), which is finite by assumption. This implies that _{TE} is finite where E is the elementary module associated with X. Therefore, $T \nmid H_K(T)$.

THEOREM 5. Let k/Q be a totally complex abelian extension with Galois group Δ having as exponent a divisor of l-1. Suppose only one prime of k lies above l. Then for any $\chi \in \hat{A}$, $\chi(J) = -1$ or $\chi = \chi_0$, the order of χ in divides λ...

Proof. This follows from Theorem 3 and Lemmas 4 and 5.



COROLLARY 3. For $k = Q(\zeta)$, ζ a primitive l-th root of 1, the order of χ in $\hat{\Delta}$ divides λ_{χ} for χ such that $\chi(J) = -1$ or $\chi = \chi_0$.

We would like to point out that Theorem 4 has been proved independently by R. Gillard [5].

Added in proof: J.-F. Jaulent has recently obtained results similar to some of those in this article.

- Théorie d'Iwasawa des tours métabeltennes, Séminaire de théorie des Nombres de Bordeaux, exposée No. 21 (1980-81).

References

- [1] A. Brumer, On the units of algebraic number fields, Mathematika 14 (1967), pp. 121-124.
- [2] John Coates, On K₂ and some classical conjectures in algebraic number theory, Annals of Math. 95 (1972), pp. 99-116.
- [3] K. Iwasawa, On Z₁-extensions of algebraic number fields, Annals of Math., series 2, 98 (1973), pp. 246-326.
- [4] John Tate, Global class field theory, algebraic number theory, Thompson Book Company, Washington 1967, pp. 162-203.
- [5] R. Gillard, Remarques sur certaines extensions prodédrales de corps de nombres,
 C. R. Acad. Sci. Paris, Ser. A-B, 282 (1976).

CALIFORNIA INSTITUTE OF TECHNOLOGY Pasadena, Culfornia Current address CONCORDIA UNIVERSITY Montreal, Quebec, Canada

Received on 19.1.1976 and in revised form on 20.4.1979

(806)

ACTA ARITHMETICA XL (1981)

On the rationality of periods of primitive forms

by

KAZUYUKI HATADA (Tokyo)

Introduction. In this paper, we give a new proof of the algebraic property of the periods of primitive forms F of Neben type. We also study p-adic Hecke series attached to the F, which take algebraic values.

Let Γ be a finite index subgroup of $\mathrm{SL}(2,\mathbf{Z}), w+2 \geqslant 2$ be a rational integer, $S_{w+2}(\Gamma)$ be the space of cusp forms of weight w+2 with respect to Γ , ϱ_w be the representation $\mathrm{GL}(2,\mathbf{R}) \to \mathrm{GL}(w+1,\mathbf{R})$ given by

$$\varrho_w\binom{ab}{cd}\,dz_w\\ = {}^t((cz+d)^w,\ (cz+d)^{w-1}(az+b),\ (cz+d)^{w-2}(az+b)^2,\ \dots,\ (az+b)^w)dz\\ (dz_w = {}^t(dz,zdz,z^2dz,\dots,z^wdz)\colon \text{the }C^{w+1}\text{ valued differential form on the upper half plane }H),\ \varrho_w|_{\varGamma}\text{ be the restriction of }\varrho_w\text{ to }\varGamma,\ \prod_{\Gamma\uparrow\text{SL}(z,Z)}\varrho_w|_{\varGamma}\\ \text{be the induced representation of }\varrho_w|_{\varGamma},\ P\text{ be the set consisting of all the parabolic elements in SL}(2,\mathbf{Z})\text{ and }H^1_{P\cap\varGamma}(\varGamma,\varrho_w|_{\varGamma},R)\text{ (resp. }H^1_P(\text{SL}(2,\mathbf{Z}),\prod_{\Gamma\uparrow\text{SL}(z,Z)}\varrho_w|_{\varGamma},R)\text{ be the parabolic cohomology group with the coefficients }\Gamma\uparrow\text{SL}(z,Z)\text{ in a commutative ring }R\text{. Nowlet }j_2(H^1_{P\cap\varGamma}(\varrho_w|_{\varGamma},\mathbf{Z}))\text{ (resp. }j_1(H^1_P(\text{Ind }\varrho_w|_{\varGamma},\mathbf{Z}))\text{)}\\ \text{denote the image of the whole domain: Image }(j_2)\text{ (resp. Image }(j_1)\text{) under the canonical homomorphism}$$

THEOREM 0.1(1). (For details, see Theorems 2.2-2.4 in § 2.) Let sh be the map of Shapiro:

⁽¹⁾ This theorem has some applications to congruence properties of eigenvalues of Hecke operators. (Cf. K. Hatada: On the divisibility by 2 of the eigenvalues of Hecke operators, Proc. Japan Acad. 53A, (1977), pp. 37-40, and K. Hatada: Congruences of the eigenvalues of Hecke operators, Proc. Japan Acad. 53A, (1977), pp. 125-128. Also cf. K. Hatada: Eigenvalues of Hecke operators on SL(2, Z), to appear in Math. Ann.)