

## Collapsing algebras and Suslin trees

by

## Adam Figura (Wrocław)

Abstract. In this paper we construct an Easton-like notion of forcing T which preserves cofinalities but such that  $T \times T$  collapses all uncountable cardinals. T is the product of the Devlin-Johnsbräten homogeneous  $\aleph_1$ -Suslin tree  $T_{\aleph_1}$  together with homogeneous  $\varkappa^{++}$  Suslin trees  $T_{\varkappa^{++}}$  ( $\varkappa$  an infinite cardinal) which are neatly  $\varkappa^{+-}$ -closed and such that  $T_{\varkappa^{+}} \times T_{\varkappa^{++}}$  collapses  $\varkappa^{++}$ .

Introduction. This paper provides a positive answer to the following question: Does there exist an Easton-like notion of forcing P such that  $P \times P$  is not an Easton-like notion of forcing? Of course, if P is Easton-like, then P preserves a proper class of cardinals.

The goal of the present paper is to construct an extreme example P of an Easton-like notion of forcing such that  $P \times P$  is not Easton-like.

Assuming that inaccessible cardinals do not exist, GCH and  $\diamondsuit_{\mu^{++}}$  ( $\mu$  an infinite cardinal) holds, we prove that there exists a P which does not collapse any cardinal but  $P \times P$  collapses all cardinals onto  $\aleph_0$ . The construction is inspired by a result of Devlin and Johnsbråten [1].

They have constructed an  $\aleph_1$ -Suslin tree  $T_{\aleph_1}$  such that  $T_{\aleph_1} \times T_{\aleph_1}$  collapses  $\aleph_1$  onto  $\aleph_0$ . We generalize their method to successors of regular cardinals. Namely, we prove that if  $\diamondsuit_{\mu^+}$  holds,  $\mu$  is regular and  $\mu^{\mu} = \mu$ , then there exists a  $\mu^+$ -Suslin tree  $T_{\mu^+}$  such that  $T_{\mu^+} \times T_{\mu^+}$  collapses  $\mu^+$  onto  $\mu$ ,  $T_{\mu^+}$  is homogeneous and neathy  $\mu$ -closed. Now  $T = T_{\aleph_1} \times \prod_{\alpha \in On} T_{\aleph_{\alpha+2}}$  is the required notion of forcing. To show that  $T \times T$  collapses all cardinals onto  $\aleph_0$  we prove a generalization of McAloon's theorem on

collapses all cardinals onto  $\aleph_0$  we prove a generalization of McAloon's theorem on collapsing algebras (see [3]), from which it follows that if  $(\mu^+)^{\mu} = \mu^+$  then  $C(\mu, \mu^+)$  is isomorphic to a dense subset of  $T_{\mu^+} \times T_{\mu^+}(C(\mu, \mu^+))$  is the usual notion of forcing collapsing  $\mu^+$  onto  $\mu$ ). We then prove that if GCH holds and inaccessible cardinals do not exist then

$$\prod_{\gamma \leqslant \xi < \eta} C(\aleph_{\xi+1}, \aleph_{\xi+2}) \cong_d C(\aleph_{\gamma+1}, \aleph_{\eta+1}).$$

By  $P_1 \cong_d P_2$ , where  $P_1$  and  $P_2$  are notions of forcing, we mean that  $P_1$  is isomorphic to  $P_2$  up to density. Consequently

$$T \times T \cong_d \prod_{\alpha \in On} C(\aleph_{\alpha+1}, \aleph_{\alpha+2}) \cong_d \prod_{\alpha \in On} C(\aleph_0, \aleph_{\alpha+1})$$
.



So  $\Vdash_{T \times T}$  "V = H C" (HC is the class of hereditarily countable sets). By a result of Zarach [4],  $\Vdash_{T \times T} A$  for each axiom A of ZFC<sup>-</sup> since, for each  $\kappa$ ,  $T_{\kappa} \times T_{\kappa}$  is homogeneous.

We work in ZFC. Notation, definitions and terminology are standard.

I am grateful to Andrzej Zarach for his stimulating conversations and in particular for the formulation of Fact 3.3 and Lemma 4.8.

The author would like to thank the referee for suggesting many improvements of this paper.

I.  $\varkappa$ -Suslin trees. Let M be a countable transitive model for ZFC + there are no inaccessible cardinals  $+ \diamondsuit_{\varkappa^+}(E^{\varkappa}_{\varkappa^+})$  ( $\varkappa$  a regular cardinal of M). M is fixed for the rest of the paper. Here, for  $\varkappa$  regular,  $\diamondsuit_{\varkappa^+}(E^{\varkappa}_{\varkappa^+})$  is Jensen's principle:

There is a sequence  $\langle S_{\alpha} \colon \alpha < \varkappa^+ \rangle$  such that  $S_{\alpha} \subseteq \alpha$  for  $\alpha < \varkappa^+$  and if  $X \subseteq \varkappa^+$  then the set  $\{\alpha < \varkappa^+ \colon X \cap \alpha = S_{\alpha} \& \mathrm{cf}(\alpha) = \varkappa\}$  is stationary in  $\varkappa^+$ .

FACT 1.1.  $\diamondsuit_{\kappa^+}(E_{\kappa^+}^*)$  implies  $2^{\kappa} = \kappa^+$ .

This is a direct generalization of the fact that  $\Diamond$  implies CH.

Let  $H_{\mathbf{x}^+}$  denote the set of all sets of hereditary power less than or equal to  $\mathbf{x}$ . It follows from (1.1) that  $H_{\mathbf{x}^+}$  has cardinality  $\mathbf{x}^+$ . Since  $H_{\mathbf{x}^+}$  is a transitive set,  $H_{\mathbf{x}^+}$  can be coded by some  $A \subseteq \mathbf{x}^+$ . Hence  $L_{\mathbf{x}^+}[A] = H_{\mathbf{x}^+}$  and  $(\mathbf{x}^+)^{L[A]} = \mathbf{x}^+$ . By induction on  $\alpha < \mathbf{x}^+$  we define  $\delta_{\alpha}$  to be the least ordinal  $\delta > \alpha$  such that:

(i)  $L_{\delta}[A] \prec H_{\nu+}$ ,

(ii)  $S_{\alpha}$ ,  $\langle \delta_{\nu} : \nu < \alpha \rangle$ ,  $\varkappa \in L_{\delta}[A]$ .

Let  $M_{\alpha} = L_{\delta}[A] = L_{\delta}[A \cap \delta_{\alpha}]$ . Then  $|M_{\alpha}| = \kappa$ .

Now we can formulate a property which implies that a tree is Suslin.

Lemma 1.2. Let T be a normal tree of height  $\kappa^+$  such that if  $x \in T$  then  $x \in H_{\kappa^+}$ . Let  $C \subseteq \kappa^+$  be a closed unbounded set of limit ordinals such that for all  $\alpha \in C$  if  $T \upharpoonright \alpha \in M_\alpha$ ,  $\operatorname{cf}(\alpha) = \kappa$  and  $x \in T_\alpha$ , then  $\{y \colon y <_T x\}$  is  $M_\alpha$ -generic for  $T \upharpoonright \alpha$ . Then T is a Suslin tree.

Proof. A slight modification of the proof given in [1] for the case of  $\aleph_1$ -trees works. The basic idea is that for  $\mathrm{cf}(\alpha) = \varkappa$ ,  $S_\alpha$  is often a maximal antichain of  $T \upharpoonright \alpha$ . If so, and if  $X \supseteq S_\alpha$  is an antichain of T, then  $X = S_\alpha$  since points of  $T_\alpha$  are tops of  $M_\alpha$ -generic branches through  $T \upharpoonright \alpha$ , and  $S_\alpha \in M_\alpha$  is a pre-dense subset of  $T \upharpoonright \alpha$  (viewing  $T \upharpoonright \alpha$  with the reverse order as a forcing notion).

If  $\kappa^{\varkappa} = \varkappa$  then  $M_{\alpha}$ 's have an additional property.

LEMMA 1.3. Let  $\varkappa^{\kappa} = \varkappa$  and let  $\{A_{\xi} : \xi < \alpha\} \in M_{\alpha}$  be a family of sets of functions such that, for each  $\eta < \xi < \alpha$ ,  $A_{\eta} = \{f \mid \eta : f \in A_{\xi}\}$ . Let  $A_{\alpha} \stackrel{\text{df}}{=} \{f : \text{Func}(f) \& \text{dom}(f) = \alpha \& (\xi)_{\alpha}(f) \nmid \xi \in A_{\xi}\}$ . If  $\alpha$  is a limit ordinal and  $\text{cf}(\alpha) < \varkappa$  then  $A_{\alpha} \in M_{\alpha}$ .

Proof. Let  $\gamma = \operatorname{cf}(\alpha)$  and let  $h: \gamma \to \alpha$  be a cofinality function. Then  $A_{\alpha} = \{f: \operatorname{Func}(f) \& \operatorname{dom}(f) = \alpha \& (\eta)_{\gamma} (f \mid h(\eta) \in A_{h(\eta)})\},$  and so

$$|A_{\alpha}| \leqslant |\prod_{\eta < \gamma} A_{h(\eta)}| \leqslant \varkappa^{\gamma} \leqslant \varkappa^{\varkappa} = \varkappa.$$

Therefore  $A_{\alpha} \in H_{\kappa^+}$  and  $A_{\alpha}$  is definable with parameters from  $M_{\alpha}$ . Since  $M_{\alpha} < H_{\kappa^+}$  we have  $A_{\alpha} \in M_{\alpha}$ .

II. Cardinals with products of Suslin trees. Let  $\varkappa$  be a regular cardinal of M. DEFINITION 2.1. A  $\varkappa$ -Suslin tree T is called a *collapsing*  $\varkappa$ -tree if  $T \times T$  collapses  $\varkappa$  onto some  $\mu < \varkappa$ .

DEFINITION 2.2. A notion of forcing C is neatly  $\varkappa$ -closed if, whenever  $\langle p_{\alpha}: \alpha < \beta \rangle$ ,  $\beta < \varkappa$  is a descreasing sequence of elements of C, then  $p \in C$ , where  $p = \bigwedge p_{\alpha}$  in RO(C).

THEOREM 2.3. In M, if  $\varkappa$  is regular and  $\varkappa^2 = \varkappa$ , then there exists a homogeneous collapsing  $\varkappa^+$ -Suslin tree  $T_{\varkappa^+}$  which is neatly  $\varkappa$ -closed.

Proof. The tree  $T_{\kappa^+}$  will be defined by induction on the levels  $T^{\kappa^+} = T_{\kappa^+}^*$ . The elements of  $T_{\kappa^+}$  are 0, 1-sequences s such that ht(s) = dom(s) and  $|\text{dom}(s)| < \kappa^+$ . The ordering on  $T_{\kappa^+}$  will be ordinary inclusion. By induction it will follow that  $T \upharpoonright \alpha \stackrel{\text{df}}{=} T_{\kappa^+} \upharpoonright \alpha \in M_{\alpha}$  for every  $\alpha < \kappa^+$  and  $T \upharpoonright \alpha$  is neatly  $\kappa$ -closed if  $\text{cf}(\alpha) = \kappa$ .  $T^0 = \{\emptyset\}$ . If  $\alpha = \beta + 1$  then  $T^{\kappa} = \{s : \gamma : s \in T^{\beta} \& i \in 2\}$ . If  $\text{lim}(\alpha)$  and  $\text{cf}(\alpha) < \kappa$ , we extend all branches. By Lemma (1.3),  $T^{\kappa} \in M_{\alpha} \subseteq M_{\alpha+1}$  in this case. Now we consider the case  $\text{lim}(\alpha)$  and  $\text{cf}(\alpha) = \kappa$ . Let  $\langle D_{\beta} \colon \beta < \kappa \rangle$  be an enumeration of the set of strongly dense subsets of  $T \upharpoonright \alpha$  which lie in  $M_{\alpha}$ . Define by induction a sequence  $\langle x_{\beta} \colon \beta < \kappa \rangle$  of elements of  $T \upharpoonright \alpha$ . Let  $x_0 \in T \upharpoonright \alpha$  be such that  $x_0 \in D_0$ . If  $\beta = \gamma + 1$  then  $x_{\beta}$  is such that  $x_{\beta} \leqslant x_{\gamma}$  and  $x_{\beta} \in D_{\beta}$  ( $T \upharpoonright \alpha$  is considered as a notion of forcing with reversed ordering). Now let  $\beta$  be a limit ordinal and suppose that  $\langle x_{\gamma} \colon \gamma < \beta \rangle$  is defined. Since  $T \upharpoonright \alpha$  is  $\kappa$ -closed, there exists an  $x_{\beta}$  such that  $x_{\beta} \leqslant x_{\gamma}$  for  $\gamma < \beta$  and  $x_{\beta} \in D_{\beta}$ . The sequence  $\langle x_{\beta} \colon \beta < \kappa \rangle$  fixes a certain  $M_{\kappa}$  generic branch for  $T \upharpoonright \alpha$ . Let b be the first  $M_{\kappa}$ -generic branch for  $T \upharpoonright \alpha$  in the sense of  $< t_{tA1}$ . Now define  $T^{\kappa} = \{\bigcup d \colon d \text{ is an } \alpha$ -branch through  $T \upharpoonright \alpha$  and  $\bigcup d$  differs from  $\bigcup b$  on an initial segment only}.

Let  $T_{\kappa^+} = \bigcup_{\kappa < \kappa^+} T^{\kappa}$ . It is obvious that  $T_{\kappa^+}$  is a normal tree of height  $\kappa^+$ .

Lemma 2.4. For each pair s,  $s' \in T_{n+}$  such that ht(s) = ht(s'),  $|\{v: s_v \neq s'_v\}| < \kappa$ .

Proof. We proceed by induction on  $\alpha = ht(s) = ht(s')$ . The assertion is obvious for  $\alpha = 0$ , successor  $\alpha$  and  $\lim(\alpha)$  if  $\operatorname{cf}(\alpha) < \kappa$ . If  $\lim(\alpha)$  and  $\operatorname{cf}(\alpha) = \kappa$  then s and s' are equal except for an initial segment for which the induction hypothesis holds.

Lemma 2.5. For  $a \subseteq \varkappa^+$  and  $|a| < \varkappa$  let  $\sigma_a$ :  $2^{< \varkappa^+} \to 2^{< \varkappa^+}$  be such that  $ht(\sigma_a(s)) = ht(s)$  and

$$(\sigma_a(s))_{\nu} = \begin{cases} s_{\nu} & \text{if } \nu \notin a, \\ 1 - s_{\nu} & \text{if } \nu \in a. \end{cases}$$

Then  $T_{\kappa^+}$  is closed under the maps  $\sigma_a$ .

Proof. By construction.

(2.4) and (2.5) imply that  $T_{\kappa^+}$  is homogeneous. Note that for  $\lim(\alpha)$ , if  $cf(\alpha) = \kappa$ ,

each  $\alpha$ -branch which is extended in  $T_{\kappa^+}$  is  $M_{\alpha}$ -generic for  $T \upharpoonright \alpha$ . So (1.2) implies that  $T_{\kappa^+}$  is a Suslin tree.

LEMMA 2.6.  $T_{\kappa+} \times T_{\kappa+}$  collapses  $\kappa^+$  onto  $\kappa$ .

Proof. Let b be M-generic for  $T_{x^+}$  and let d be M[b]-generic for  $T_{x^+}$ . Then  $|\{v\colon (\bigcup b)_v \neq (\bigcup d)_v\}| = \varkappa^+$  but for  $\alpha < \varkappa^+$ ,  $|\{v\colon ((\bigcup b) \upharpoonright \alpha)_v \neq ((\bigcup d) \upharpoonright \alpha)_v\}| < \varkappa$ . Hence  $\varkappa^+$  is  $\varkappa$ -cofinal in M[b,d], and so  $\varkappa^+$  is collapsed onto  $\varkappa$ .

This concludes the proof of Theorem (2.3).

III. The Easton conditions. Let  $\langle \varkappa_{\alpha} : \alpha \in On \rangle$  be, in M, the increasing enumeration of successors of regular cardinals. Note that for  $n < \omega$ ,  $\varkappa_n = \aleph_{1+n}$  and if  $\lambda > 0$ ,  $\lim(\lambda)$ ,  $\varkappa_{\lambda+n} = \aleph_{\lambda+2+n}$ . Add to our assumptions on M that  $M \models GCH$ . Working in M, we define

$$T = \{ f : \operatorname{Func}(f) \& \operatorname{dom}(f) \subseteq \operatorname{On} \& (\xi)_{\operatorname{dom}(f)} (f(\xi) \in T_{\kappa_{\xi}} \& f(\xi) \neq \emptyset) \}$$

where  $T_{\varkappa_{\alpha}}$  is the  $\varkappa_{\alpha}$ -Suslin tree constructed above. T is ordered by componentwise ordering.

Let  $G_T$  be T-generic over M. Then the following holds:

FACT 3.1. For all  $\alpha \in On \cap M$ ,  $M[G_T] \models ZFC + \kappa_{\alpha}$  is regular.

The last statement is an immediate consequence of the following standard Easton forcing lemma, based on the  $\kappa_{\alpha}$ -closure of  $T_{\kappa_{\beta}}$  ( $\alpha < \beta$ ), the  $\kappa_{\alpha}$ -chain-condition and the  $\kappa_{\alpha}$ -closure of  $T_{\kappa_{\alpha}}$  and the fact that  $\prod_{\alpha} T_{\kappa_{\beta}} | < \kappa_{\alpha}$ .

LEMMA 3.2. Let  $P_{\alpha} = \{f_{(\alpha)}: f \in T\}$  and  $P^{\alpha} = \{f^{(\alpha)}: f \in T\}$ , where  $f_{(\alpha)} = f \upharpoonright (\alpha + 1)$  and  $f^{(\alpha)} = f - f_{(\alpha)}$ . Then  $\bigcup : P_{\alpha} \otimes P^{\alpha} \to T$  is an order isomorphism onto T. Moreover,  $P_{\alpha}$  satisfies  $\kappa_{\alpha}$ -c.c. and  $P^{\alpha}$  is  $\kappa_{\alpha}$ -closed for all ordinals  $\alpha$ .

Accordingly, for  $\lim(\lambda)$ ,  $\aleph_{\lambda}^{M} = \aleph_{\lambda}^{M[G_T]}$ . In order to conclude that cofinalities, and hence cardinals, are preserved, it remains only to see that  $\aleph_{\lambda+1}^{M}$  remains regular in  $M[G_T]$ . Suppose that it does not. Let  $\nu = \aleph_{\lambda+1}^{M}$ . Then, for some  $\mu < \aleph_{\lambda}^{M}$ , in  $M[G_T]$ ,  $\text{cf}\nu = \mu$ . Let  $\mu \leqslant \varkappa_{\alpha} < \aleph_{\lambda}^{M}$ . Then, letting  $G_{\alpha} = G_T \cap P_{\alpha}$ , in  $M[G_{\alpha}]$ ,  $\text{cf}\nu = \mu$ , since  $P^{\alpha}$  is  $\varkappa_{\alpha}$ -closed. But this is impossible, since  $P_{\alpha}$  is  $\varkappa_{\alpha}$ -c.c. This gives us:

FACT 3.3.  $Card^{M[G_T]} = Card^M$ : in fact  $cf^{M[G_T]} = cf^M$ 

Now let us consider  $T \times T = (\prod T_{\varkappa_{\alpha}}) \times (\prod T_{\varkappa_{\alpha}})$ .

Lemma 3.4.  $T \times T \cong \prod_{\alpha} (T_{\varkappa_{\alpha}} \times T_{\varkappa_{\alpha}}).$ 

FACT 3.5. If  $G_{T \times T}$  is  $T \times T$ -generic over M then  $M[G_{T \times T}] \models ZFC^-$ .

**Proof.** This follows from the fact that  $T \times T$  is a strongly homogeneous notion of forcing (see [4]).

Remark 3.6.  $M[G_{T\times T}] \models (\alpha)(\exists f) \ (f: \mu_{\alpha} \xrightarrow{\text{onto}} \varkappa_{\alpha}, \text{ where } \mu_{\alpha}^{+} = \varkappa_{\alpha}).$ 

Remark 3.7. Suppose  $M[G_{T\times T}] \models V \neq HC$ . Then, since  $M[G_{T\times T}] \models AC$ ,  $\aleph_1^{M[G_{T\times T}]}$  is regular in  $M[G_{T\times T}]$  and hence was regular in M.



IV. Products of collapsing algebras. In this section we prove that

$$M[G_{T \times T}] \models V = HC$$
.

We start with the following standard technical lemma:

LEMMA 4.1. Let  $\varkappa$ ,  $\mu$  be regular cardinals such that  $\mu > \varkappa$  and  $\mu \overset{*}{\smile} = \mu$ . By  $C(\varkappa, \mu)$  we mean the usual notion of forcing which collapses  $\mu$  onto  $\varkappa$ . Let C be a neatly  $\varkappa$ -closed notion of forcing such that  $|C| = |C(\varkappa, \mu)| = \mu$  and  $||(\exists f)(f: \overset{\circ}{\varkappa} \xrightarrow{\text{onto}} \check{\mu})||^{RO(C)} = 1$ . Then C has a dense subset isomorphic to  $C(\varkappa, \mu)$ .

Remark 4.2. From (2.3) and (4.1) it follows that  $T_{\kappa^+} \times T_{\kappa^+}$  contains a dense subset isomorphic to  $C(\kappa, \kappa^+)$ . Thus  $T \times T \cong_d \prod C(\kappa, \kappa^+)$ .

We want to show that  $T \times T$  collapses all cardinals onto  $\aleph_0$ . We know that the successors of regular cardinals will be collapsed and that the first cardinal which is not collapsed if it exists cannot be a singular cardinal. We first show that  $\aleph_{\omega+1}$  will be collapsed.

Let us remark that:

FACT 4.3. 
$$C(\aleph_n, \aleph_{n+1}) \cong \prod_{k \in \mathbb{N}} C(\aleph_n, \aleph_{n+1}).$$

LEMMA 4.4. 
$$\prod_{n>0} C(\aleph_n, \aleph_{n+1}) \cong_d \prod_{n>1} C(\aleph_1, \aleph_n).$$

We now give the key technical lemma for the special case of  $\kappa_{\omega+1}^M$ .

LEMMA 4.5. 
$$\prod_{n>1} C(\aleph_1, \aleph_n)$$
 collapses  $\aleph_{\omega+1}$  onto  $\aleph_1$ .

Proof. If  $G = \prod_{n>1} G_n$  is  $\prod_{n>1} C(\aleph_1, \aleph_n)$ -generic over M, we put  $F_n = \bigcup G_n$ . Let  $\langle X_n \colon 2 \le n < \omega \rangle \in M$  be such that  $X_n \subseteq \aleph_n$  and  $|X_n|^M = \aleph_0$ . Define

$$g(\langle X_n \colon 2 \leq n < \omega \rangle) = \min_{\alpha < \aleph_1} \left( (n) (F_n((\alpha + \omega) - \alpha) = X_n) \right).$$

Such an a exists since

$$D_{\langle X_n; \ 2 \leq n < \omega \rangle} = \{ p \colon (\exists \alpha)_{\aleph_1}(n) \left( p_n (\alpha + \omega) - \alpha \right) = X_n \}$$

is dense in  $\prod_{n\geq 1} C(\aleph_1, \aleph_n)$ . Clearly, g is 1-1. Working in M, we fined that

$$|\prod_{2 \le n \le \omega} \wp_{\omega_1}(\mathbf{s}_n)| = \prod_{2 \le n \le \omega} \mathbf{s}_n = \mathbf{s}_{\omega}^{\mathsf{R}_0} = \mathbf{s}_{\omega+1},$$

so  $\aleph_{\omega+1}$  is collapsed.

From (4.1) it follows that:

LEMMA 4.6. 
$$\prod_{n>1} C(\aleph_1, \aleph_n) \cong_d C(\aleph_1, \aleph_{\omega+1}).$$

Now (4.5) can be generalized as follows. Recall that we are assuming that M has no inaccessible cardinals. Fix  $\eta$ , a limit ordinal. Let  $f: \operatorname{cf}(\kappa_{\eta}) \to \kappa_{\eta}$  be an increasing cofinality function such that each  $f(\zeta)$  is regular and  $f(o) = (\operatorname{cf}(\kappa_{\eta}))^+$ . Since



 $cf(\kappa_{\eta}) = \kappa_{\eta_0+1}$  for some  $\eta_0$  or  $cf(\kappa_{\eta}) = \kappa_0$ , we have  $f(0) = \kappa_{\eta_0+2}$  or  $f(0) = \kappa_1$ , and also  $f(\xi) = \kappa_{\eta_0+1}$ . Then, supposing  $f(0) = \kappa_{\eta_0+2}$ , we have

Lemma 4.7 
$$\prod_{\nu < cf(\aleph_{\eta})} C(\aleph_{\eta_0+2}, \aleph_{\beta_{\nu}+2})$$
 collapses  $\aleph_{\eta+1}$  onto  $\aleph_{\eta_0+2}$ .

Proof. Let  $G = \prod_{\mathbf{v} < \text{ef}(\aleph_2)} G_{\mathbf{v}}$  be  $\prod_{\mathbf{v} < \text{ef}(\aleph_n)} C(\aleph_{\eta_0 + 2}, \aleph_{\beta_{\mathbf{v}} + 2})$ -generic over M. Then

 $F_{\nu} = \bigcup G_{\nu} \colon \aleph_{\eta_0+2} \xrightarrow{\text{onto}} \aleph_{\beta_{\nu}+2}$ . Let  $\langle X_{\nu} \colon \nu < \text{cf}(\aleph_{\eta}) \rangle$  be such that  $X_{\nu} \subseteq \aleph_{\beta_{\nu}+2}$  and  $|X_{\nu}| = \text{cf}(\aleph_{\eta})$ . Define

$$g(\langle X_{\mathbf{v}} \colon \mathbf{v} < \mathrm{cf}(\mathbf{x}_{\eta}) \rangle) = \min_{\alpha < \mathbf{x}_{n+2}} \left( (\mathbf{v})_{\mathrm{cf}(\mathbf{x}_{\eta})} (F_{\mathbf{v}}((\alpha + \mathrm{cf}(\mathbf{x}_{\eta})) - \alpha) = X_{\mathbf{v}}) \right).$$

Then  $q: \aleph_n^{\mathsf{cf}(\aleph_n)} = \aleph_{n+1} \xrightarrow{1-1} \aleph_{n+2}$  (note that

$$D_{\langle \mathbf{X}_{\nu}: \ \mathbf{v} < \mathrm{cf}(\mathbf{S}_{\eta}) \rangle} = \left\{ p \colon (\exists \alpha)_{\mathbf{S}_{\eta_{\theta}+2}}(\nu)_{\mathrm{cf}(\mathbf{S}_{\eta})} \Big| p_{\nu} \Big( (\alpha + \mathrm{cf}(\mathbf{S}_{\eta}) \Big) - \alpha \Big) \right. = \left. X_{\nu} \right] \right\}$$

is dense in  $\prod_{\nu < cf(\aleph_{\nu})} C(\aleph_{\eta_0+2}, \aleph_{\beta_{\nu}+2}).$ 

Now we can generalize (4.6) as follows.

LEMMA 4.8. For all ordinals n

$$(*) \qquad \qquad (\gamma)_{<\eta} \prod_{\gamma \leqslant \xi < \eta} C(\aleph_{\xi+1}, \aleph_{\xi+2}) \cong_d C(\aleph_{\gamma+1}, \aleph_{\eta+1}) \ .$$

**Proof.** Let  $\eta$  be the least ordinal for which (\*) does not hold. Let  $\gamma < \eta$  be a counterexample for  $\eta$ . We will consider two cases:

1) 
$$\eta = \mu + 1$$
. Then

$$\begin{split} \prod_{\gamma \leqslant_2 < \mu+1} C(\aleph_{\xi+1}, \, \aleph_{\xi+2}) &= \prod_{\gamma \leqslant_\xi < \mu} C(\aleph_{\xi+1}, \, \aleph_{\xi+2}) \times C(\aleph_{\mu+1}, \, \aleph_{\mu+2}) \\ &\cong_d C(\aleph_{\gamma+1}, \, \aleph_{\mu+1}) \times C(\aleph_{\mu+1}, \, \aleph_{\mu+2}) \cong_d C(\aleph_{\gamma+1}, \, \aleph_{n+1}) \; . \end{split}$$

2)  $\eta \in \text{Lim.}$  Let  $f: cf(\kappa_{\eta}) \to \kappa_{\eta}$  be a cofinality function of  $\kappa_{\eta}$  as in (4.7). We have

$$\begin{split} \prod_{\gamma \leqslant \xi \leqslant \eta} C(\aleph_{\xi+1}, \aleph_{\xi+2}) &= \prod_{\gamma \leqslant \xi \leqslant \eta_0 + 1} C(\aleph_{\xi+1}, \aleph_{\xi+2}) \times \prod_{\eta_0 + 1 \leqslant \xi \leqslant \eta} C(\aleph_{\xi+1}, \aleph_{\xi+2}) \\ &\cong_d C(\aleph_{\gamma+1}, \aleph_{\eta_0 + 2}) \times \prod_{\eta_0 + 1 \leqslant \xi \leqslant \eta} \prod_{\nu < cf(\aleph_\eta)} C(\aleph_{\xi+1}, \aleph_{\xi+2}). \\ &\cong_d C(\aleph_{\gamma+1}, \aleph_{\eta_0 + 2}) \times \prod_{\nu < cf(\aleph_\eta)} \prod_{\eta_0 + 1 \leqslant \xi \leqslant \beta_\nu + 1} C(\aleph_{\xi+1}, \aleph_{\xi+2}) \\ &\cong_d C(\aleph_{\gamma+1}, \aleph_{\eta_0 + 2}) \times \prod_{\nu < cf(\aleph_\eta)} C(\aleph_{\eta_0 + 2}, \aleph_{\beta_\nu + 2}) \\ &\cong_d C(\aleph_{\gamma+1}, \aleph_{\eta_0 + 2}) \times C(\aleph_{\eta_0 + 2}, \aleph_{\eta+1}) \\ &\cong_d C(\aleph_{\gamma+1}, \aleph_{\eta_0 + 2}) \times C(\aleph_{\eta_0 + 2}, \aleph_{\eta+1}) \\ &\cong_d C(\aleph_{\gamma+1}, \aleph_{\eta_0 + 1}). \end{split}$$

This completes the proof of

Theorem 4.9.  $M[G_{T \times T}] \models V = HC$ .

Remark 4.10. Let  $X \subseteq On$ ,  $T_X = \prod_{\alpha \in X} (T_{\varkappa_\alpha} \times T_{\varkappa_\alpha})$ . If  $\alpha \in X$  implies that  $\alpha + 1 \notin X$  then  $T_X$  is Easton-like; so if  $G_{T_X}$  is  $T_X$ -generic over M then  $M[G_{T_X}] \models ZFC$ .



- [1] K. Devlin and H. Johnsbråten, The Suslin Problem, LNM 405.
- [2] F. Drake, A note on generic collapsing maps, Bull. London Math. Soc. 5 (1973), pp. 154-156.
- [3] S. Grigorieff, Intermediate submodels and generic extensions in set theory, Ann. of Math. 101 (3), pp. 447-490.
- [4] A. Zarach, Product lemma and extended structures (to appear in Fund. Math.).

Accepté par la Rédaction le 10, 12, 1979