

PROBLEM 3. Let

- (a)  $f, g \in \mathcal{D}'$  and the  $\Delta_m$ -product  $[f \cdot g]$  exist in  $\mathcal{D}'$ ,
- (b)  $f, g \in \mathcal{S}'$  and the  $\Delta_m$ -product  $[f \cdot g]$  exist in  $\mathcal{S}'$ .

Does exist then

- (a) the  $\triangle$ -product  $[f \cdot g]$  in  $\mathscr{D}'$ ,
- (b) the  $\triangle^s$ -product (or  $\triangle$ -product, or  $\triangle^s_m$ -product)  $[f \cdot g]$  in  $\mathscr{S}'$ ?

PROBLEM 4. Let  $f, g \in \mathscr{S}'$  and let the  $E^s_m$ -convolution [f\*g] exist in  $\mathscr{S}'$ . Does exist then the  $\Delta^s$ -product (or  $\Delta$ -product)  $[\mathscr{F}(f)\cdot\mathscr{F}(g)]$  in  $\mathscr{S}'$  or in  $\mathscr{D}'$ ?

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## Non-removable ideals in commutative Banach algebras

by

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Abstract. We show that an ideal I in a commutative Banach algebra with unit is non-removable if and only if it consists of joint topological divisors of zero. This gives the positive answer to the conjecture of Arens and Zelazko. From this it follows also that any finite family of removable ideals is removable.

Introduction. All algebras considered in this paper are assumed to be commutative complex Banach algebras with unit. However, some of these properties (complexity and completeness) are not essential.

We say that an ideal I in a commutative Banach algebra A is removable if there exists a superalgebra  $B\supset A$  (i.e. B is a commutative Banach algebra and there is an isometric isomorphism  $f\colon A\to B$  preserving the unit) such that I is not contained in a proper ideal in B. A family  $\{I_j\}_{j\in J}$  of ideals in A is called removable if there is a superalgebra  $B\supset A$  such that, for each  $j\in J$ ,  $I_j$  is not contained in a proper ideal in B. An ideal which is not removable is said to be non-removable.

These notions were introduced by Arens in [1] where the following question was also presented: Is every (every finite) family of removable ideals removable?

Removability of ideals was further studied by Arens [2], Żelazko [8], [9], [10] and Bollobás [3]. Bollobás exhibited an example of a non-countable family of removable ideals which is not removable.

W. Zelazko introduced the following definition: We say that an ideal  $I \subset A$  consists of joint topological divisors of zero if  $\inf_{\substack{x \in A \\ |x|=1}} \sum_{i=1}^{n} |s_i x| = 0$ 

for every finite family  $s_1, \ldots, s_n \in I$ . We denote this shortly  $I \in l(A)$ . It is easy to see that if  $I \in l(A)$  then it is non-removable. Zelazko [7], [9] conjectured that the converse statement is also true, i.e. that I is non-removable if and only if  $I \in l(A)$ . However, the same question was presented (in an equivalent formulation) in the original paper of Arens [1].

The answer has been known in some special cases. In the case of principal ideals the conjecture turns into the theorem of Arens: An element (in a commutative Banach algebra) is permanently singular if and only

if it is a topological divisor of zero. In [10] it was proved that  $I \in l(A)$  implies that I can be removed in some locally convex extension of A.

We intend to improve these results and to give a positive answer to the Arens-Żelazko conjecture in general. As easy consequences this yields that every finite family of removable ideals is removable and it also gives positive answers to several other questions of Żelazko [9] and Arens [2].

The paper is divided into three sections. In the first section some combinatorial identities and estimates needed later are proved. In Section II the main theorem—an equivalent formulation of the Arens-Zelazko conjecture—is proved. Some consequences of it are given in Section III.

I. Denote by N the set of non-negative integers. Let  $n \ge 1$  and  $\boldsymbol{l}, \ \boldsymbol{j} \in N^n, \ \boldsymbol{l} = (l_1, \ldots, l_n), \ \boldsymbol{j} = (j_1, \ldots, j_n)$ . We shall use the notation  $|\boldsymbol{l}| = \sum_{t=1}^n l_t$  and  $\boldsymbol{l} \le \boldsymbol{j}$  if  $l_t \le j_t$  for every  $t = 1, \ldots, n$ .

For  $m \ge 1$  and  $l = (l_1, ..., l_n) \in \mathbb{N}^n$   $(n \ge 1)$ , define the numbers

$$\alpha_{m,l} = \binom{|l|+m-1}{m-1} \frac{|l|!}{l_1! \dots l_n!}.$$

Notice that

$$a_{m,l} = {|l|+m-1 \choose m-1} \cdot a_{1,l}.$$

We shall prove several lemmas concerning these numbers which will be used in the following section.

Lemma 1. 
$$a_{1,l} = \sum\limits_{\substack{j\leqslant l\\|j|=|l|-1}} a_{1,j} \ for \ l\in N^n, \ |l|\geqslant 1.$$

Proof. Denote

$$R = \sum_{\substack{j \leqslant l \\ |j| = |l| - 1}} \alpha_{1,j} = \sum_{\substack{1 \leqslant r \leqslant n \\ l_r \neq 0}} \frac{(|l| - 1)!}{l_1! \dots (l_r - 1)! \dots l_n!}.$$

Then  $l_1! \dots l_n! R = (|l|-1)! \cdot \sum_{\substack{1 \le r \le n \\ l_r \ne 0}} l_r = |l|! = \alpha_{1,l} \cdot l_1! \dots l_n!,$  hence  $\alpha_{1,l}$ 

 $\perp R$ 

LEMMA 2. 
$$\sum_{\substack{l \in \mathbb{N}^n \\ |l| = s}} \alpha_{1,l} = n^s \text{ for } s \in \mathbb{N}.$$

Proof. The proof follows from the identity  $(x_1 + \ldots + x_n)^s = \sum_{l \in \mathbb{N}^n} a_{1,l} x_1^{l_1} \ldots x_n^{l_n}$  by putting  $x_1 = \ldots = x_n = 1$ .

LEMMA 3. 
$$\sum_{m=1}^k \sum_{\substack{l \in N^n \\ l \mid l \leqslant k}} a_{m,l} \leqslant 8^k n^k \text{ for } k \in N.$$



Proof. We have

$$\begin{split} \sum_{m=1}^k \sum_{|l| \leqslant k} \alpha_{m,l} &= \sum_{m=1}^k \sum_{|l| \leqslant k} \binom{|l|+m-1}{m-1} \cdot \alpha_{1,l} \leqslant \sum_{|l| \leqslant k} \alpha_{1,l} \cdot \sum_{m=1}^k 2^{|l|+m-1} \\ &\leqslant 2^{2k} \sum_{s=0}^k \sum_{|l|=s} \alpha_{1,l} = 4^k \sum_{s=0}^k n^s \leqslant 4^k (k+1) n^k \leqslant 8^k n^k. \end{split}$$

LEMMA 4. 
$$a_{m,l} = \sum_{i \in I} a_{m-1,j} \cdot a_{i,l-j}$$
 for  $m \ge 2$ ,  $l \in \mathbb{N}^n$ .

Proof.  $a_{1,l}$  is the number of ways how to order n elements  $x_1, \ldots, x_n$  into a sequence of length |l| in which every element  $x_l$  occurs exactly  $l_l$  times (permutations with repetition).  $a_{m,l}$  is the number of ways how to divide these sequences into m (possibly empty) subsequences (combinations with repetition), i.e. in how many ways it is possible to form m sequences  $s_1, \ldots, s_m$  from elements  $x_1, \ldots, x_n$  such that  $x_l$  occurs in them altogether  $l_l$  times  $(s_1, s_2)$  and  $s_2, s_1$  are counted two times).

The right hand side of the considered equality is the same number obtained in the other way: for  $j \leq l$ ,  $\alpha_{m-1,j}$ ,  $\alpha_{1,l-j}$  is the number of ways how to form those m subsequences in such a way that  $x_i$  occurs in the initial m-1 subsequences exactly  $j_i$  times (for each i).

**II.** Let  $\Lambda$  be a commutative Banach algebra with unit,  $n \ge 1$ ,  $v, u_1, \ldots, u_n \in A$ . As in [1.1] we say that v is dominated by  $u_1, \ldots, u_n$  and write  $v < u_1, \ldots, u_n$  if there exists a constant  $K \ge 0$  such that  $|vx| \le K \cdot \sum_{i=1}^n |u_i x|$  for each  $x \in \Lambda$ .

THEOREM 1. Let A be a commutative Banach algebra with unit  $1, n \ge 1$ ,  $u_1, \ldots, u_n \in A$ ,  $|u_1| = \ldots = |u_n| = 1$  and  $1 < u_1, \ldots, u_n$ . Then there exists a commutative Banach algebra  $B \supset A$  and  $b_1, \ldots, b_n \in B$  such that  $1 = \sum_{i=1}^n u_i b_i$ .

Proof. We may assume  $n \ge 2$  as for n = 1 Theorem 1 is the result of Arens [1]. (The proof of Theorem 1 is much simpler in the case n = 2 than in general; in this case Section I is reduced to the well-known properties of binomial coefficients.)

Put  $R=128~K^{4n}v^{4n+2}$ , where K is the constant from the definition of domination  $(|x|\leqslant K\cdot \sum_{i=1}^{n}|u_{i}x_{i}|)$ .

Let C be the  $l^1$  algebra over A and adjoined elements  $b_1, \ldots, b_n$  such that  $|b_1| = \ldots = |b_n| = R$ , i.e. elements of C are of the form  $w = \sum_{i \in N^n} a_i b^i$  such that  $|w| = \sum_{i \in N^n} |a_i| R^{|i|} < \infty$  (where  $a_i \in A$ ;  $b^i$  stands for  $b_1^{i_1} \ldots b_n^{i_n}$ ).

Multiplication in A is defined by

$$\left(\sum_{i \in N^n} a_i b^i\right) \left(\sum_{j \in N^n} a_j b^j\right) = \sum_{k \in N^n} \left(\sum_{i+j=k} a_i a_j\right) b^k.$$

Let I be the closed ideal in C generated by  $z=1-\sum\limits_{i=1}^n u_ib_i$ . Denote B=C|I. Obviously we have  $1=\sum\limits_{i=1}^n \overline{u_i}\overline{b_i}$  in B (where  $\overline{x}=x+I$  for  $x\in C$ ). It is sufficient to prove that A is a subalgebra of B, i.e.  $|a|_A=|\overline{a}|_B$  for every  $a\in A$ .

Let  $a \in A$ . Then

$$|\overline{a}|_B = \inf_{x \in C} |a + zx|_C = \inf_{a_i \in A} |a + z \sum_{i \in \mathbb{N}^n} a_i b^i|_C.$$

So we are to prove  $|a+z\sum_{a_i\in A}a_ib^i|_C\geqslant |a|_A$  for every choice of  $a_i\in A$   $(i\in N^n)$  such that  $\sum_{i\in N^n}a_ib^i\in C$ . Obviously it is sufficient to prove the last inequality in the case that only finite number of  $a_i$ 's are non-zero. For such  $a_i$ 's, we have

$$\begin{split} \left| \left| a + z \sum_{i \in \mathbb{N}^n} a_i \mathbf{b}^i \right|_{\mathcal{C}} &= \left| \left| a + \left( 1 - \sum_{i=1}^n b_i u_i \right) \sum_{i \in \mathbb{N}^n} a_i \mathbf{b}^i \right|_{\mathcal{C}} \\ &= \left| \left| a + a_0 + \sum_{|i| \geqslant 1} \mathbf{b}^i f_i \right|_{\mathcal{C}} = |a + a_0| + \sum_{|i| \geqslant 1} R^{|i|} |f_i| \\ &\geqslant |a| - |a_0| + \sum_{|i| \geqslant 1} R^{|i|} |f_i| \end{split}$$

where

$$f_i = a_{i_1 \dots i_n} - \sum_{\stackrel{1 \leqslant r \leqslant n}{i \dots dn}} a_{i_1 \dots i_r - 1 \dots i_n} u_r \quad \ (|i| \geqslant 1) \, .$$

So it is sufficient to show  $|a_0| \leq \sum_{|i| \geq 1} R^{|i|} |f_i|$ . We may assume  $|a_0| = 1$ .

Suppose on the contrary that there exist elements  $a_i \in A$ ,  $i \in N^n$  only finite number of them being non-zero,  $|a_0| = 1$  and  $\sum_{|i| \ge 1} R^{|i|} |f_i| < 1$ . Then  $|f_i| \le R^{-|i|}$ .

We shall need the following lemma:

LEMMA 5. Let  $\mathbf{i} \in \mathbb{N}^{n-1}$ ,  $i_n \in \mathbb{N}$ ,  $|\mathbf{i}| + i_n = k \geqslant 1$ . Then

$$|a_{i,i_n}u_n^{2k}| \le \sum_{k \le i} a_{k,l} |a_{i-l,i_n+|l|+k}| + \sum_{m=1}^k \sum_{k \le i} a_{m,l} R^{-(k+m)}$$

(where  $a_{m,l}$  are the numbers defined in the previous section).

Proof. In order to simplify the notation we write  $u = (u_1, \ldots, u_{n-1})$  and, for  $j \in \mathbb{N}^{n-1}$ ,  $j \leq i$ ,  $j_n \leq i_n + k$  and  $|j| + j_n \geq 1$ ,

$$d_{j,j_n} = a_{j,j_n} u^{i-j} u_n^{2k+i_n-j_n},$$
  
$$g_{j,j_n} = f_{j,j_n} u^{i-j} u_n^{2k+i_n-j_n}.$$

Then

$$g_{{\bf j},j_n} = d_{{\bf j},j_n} - \sum_{\substack{{\bf r} \leqslant j,r_n \leqslant j_n \\ |{\bf r}| + r_n = |j| + j_n - 1}} d_{{\bf r},r_n}.$$

The following relation holds

$$(2) \qquad d_{j,j_{n}} = \sum_{l < j} (-1)^{|l|} a_{1,l} d_{j-l,j_{n}+|l|+1} + \sum_{l < j} (-1)^{|l|+1} a_{1,l} g_{j-l,j_{n}+|l|+1}.$$

Indeed, substitute (1) into the right side. Then, for  $r \leq j$ , the coefficient at  $d_{j-r,j_n+|r|+1}$  equals to zero evidently; the coefficient at  $d_{j-r,j_n+|r|}$  is equal to

$$-(-1)^{|\mathbf{r}|+1}a_{1,\mathbf{r}}-(-1)^{|\mathbf{r}|}\sum_{\substack{\mathbf{r}'\leqslant\mathbf{r}\\|\mathbf{r}'|=|\mathbf{r}|-1}}a_{1,\mathbf{r}'}=(-1)^{|\mathbf{r}|}\Big[a_{1,\mathbf{r}}-\sum_{\substack{\mathbf{r}'\leqslant\mathbf{r}\\|\mathbf{r}'|=|\mathbf{r}|+1}}a_{1,\mathbf{r}'}\Big].$$

However, the last term is equal to 0 for  $r \neq 0$  by Lemma 1 and to 1 for r = 0.

From (2) we get

$$|d_{j,j_n}| \leqslant \sum_{l < j} a_{1,l} |d_{j-l,j_n+|l|+1}| + \sum_{l < j} a_{1,l} R^{-(|j|+j_n+1)}.$$

Now we shall prove

(4) 
$$|d_{i,i_n}| \leq \sum_{l \leq i} \alpha_{m,l} |d_{i-l,i_n+|l|+m}| + \sum_{m'=1}^m \sum_{l \leq i} \alpha_{m',l} R^{-(k+m')}$$
 for  $m = 1, 2, \dots, k$ .

For m = 1, the statement follows from (3). Suppose (4) holds for some m < k, and prove it for m+1. By (3) we have

$$|d_{i-l,i_n+|l|+m}| \leqslant \sum_{\nu \leqslant i-l} a_{1,\nu} |d_{i-l-\nu,i_n+|l|+|\nu|+m+1}| + \sum_{\nu \leqslant i-l} a_{1,\nu} R^{-(k+m+1)}$$
 for each  $l \leqslant i$ .

By substitution into the induction assumption (4) we get

$$|d_{l,i_n}| \leqslant \sum_{l \leqslant i} \beta_{m+1,l} |d_{l-l,i_n+|\mathbf{l}|+1+m}| + \sum_{m'=1}^m \sum_{l \leqslant i} \alpha_{m',l} R^{-(k+m')} + \sum_{l \leqslant i} \beta_{m+1,l} R^{-(k+m+1)}$$

where

$$\beta_{m+1,l} = \sum_{l' \leqslant l} a_{m,l'} a_{1,l-l'} = a_{m+1,l}$$

by Lemma 4. This completes the induction step and proves relation (4). Relation (4) for m = k implies easily the statement of Lemma 5.

Proof of Theorem 1. Denote (for k = 1, 2, ...)

$$s_k = \max\{|a_i|, i \in \mathbb{N}^n, |i| = k\},$$

$$s'_{k} = \max\{|a_{i}u^{j}|, i, j \in N^{n}, |i| = k, |j| = 2nk\}$$

where  $u = (u_1, ..., u_n)$ .

Let  $i \in N^n$ , |i| = k. Using the domination property  $|x| \leqslant K \sum_{t=1}^n |u_t x|$   $(x \in A)$  we can prove easily by induction  $|a_i| \leqslant K^{2nk} \sum_{\substack{j \in N^n \\ |j| = 2nk}} a_{1,j} |a_i u^j|$ , hence

$$(5) s_k \leqslant K^{2nk} n^{2nk} s_k'.$$

Lemma 5 implies

$$\begin{split} |a_t u_n^{2k}| &\leqslant \sum_{\substack{l \in N^{n-1} \\ |l| \leqslant k}} a_{k,l} s_{2k} + \sum_{m=1}^k \sum_{\substack{l \in N^{n-1} \\ |l| \leqslant k}} a_{m,l} R^{-(k+m)} \leqslant 8^k (n-1)^k s_{2k} + \\ &+ 8^k (n-1)^k R^{-(k+1)} \leqslant 8^k n^k s_{2k} + 8^k n^k R^{-(k+1)}. \end{split}$$

As the situation is symmetric in the indices, the same estimate holds also for  $|a_i u_i^{2k}|$ , t = 1, 2, ..., n. Let  $j \in \mathbb{N}^n$ , |j| = 2nk. Then  $j_t \ge 2k$  for some t and  $|a_i u^j| \le 8^k n^k s_{2k} + 8^k n^k R^{-(k+1)}$  hence  $s_k \le 8^k n^k s_{2k} + 8^k n^k R^{-(k+1)}$ . Together with (5) this gives

$$s_k \le K^{2nk} n^{2nk} 8^k n^k s_{2k} + K^{2nk} n^{2nk} 8^k n^k R^{-(k+1)} = R_1^k s_{2k} + R_1^k R^{-(k+1)}$$

where  $R_1 = K^{2n} n^{2n} 8n$ . For k = 1, we have

$$\begin{split} s_1 \leqslant R_1 R^{-2} + R_1 s_2 \leqslant R_1 R^{-2} + R_1 R_1^2 R^{-3} + R_1 R_1^2 s_4 \leqslant \dots \\ \leqslant \sum_{r=1}^r R_1^{2^{r'}-1} R^{-2^{r'}-1-1} + R_1^{2^r-1} \cdot s_{2^r}. \end{split}$$

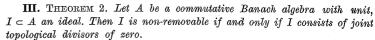
As  $s_{2^r}=0$  for r large enough (only finite number of  $a_i$ 's are non-zero) and  $R=2R_1^2$ 

$$\begin{split} s_1 &\leqslant R_1^{-1} R^{-1} \sum_{r'=1}^{\infty} (R_1^2 R^{-1})^{2^{r'-1}} \leqslant R_1^{-1} R^{-1} \sum_{p=0}^{\infty} (R_1^2 R^{-1})^p \\ &= R_1^{-1} R^{-1} \sum_{p=0}^{\infty} 2^{-p} = 2 R_1^{-1} R^{-1}. \end{split}$$

Hence

$$\begin{split} 1 &= |a_0| \leqslant K \sum_{t=1}^n |a_0 u_t| \leqslant K \sum_{t=1}^n \left( |a_0 u_t - a_{\underbrace{0...1...0}_{t-1}}| + |a_{\underbrace{0...1...0}_{t-1}}| \right) \\ &\leqslant K n R^{-1} + K n s. < 1. \end{split}$$

a contradiction.



Proof.  $\Leftarrow$ : Suppose there exists a superalgebra  $B \supset A$ ,  $n \in N$  and  $b_1, \ldots, b_n \in B$ ,  $i_1, \ldots, i_n \in I$  such that  $1 = \sum_{j=1}^n b_j i_j$ . Then  $|x| = \left|\sum_{j=1}^n w b_j i_j\right|$   $\leqslant \max_{1 \leqslant j \leqslant n} |b_j| \cdot \sum_{j=1}^n |x i_j|$  for every  $x \in A$  and so the ideal I does not consist of joint topological divisors of zero.

 $\Leftarrow$ : Let  $I \notin l(A)$ , i.e. there exist  $i_1, \ldots, i_n \in I$  such that  $\sum_{j=1}^n |xi_j| \geqslant \varepsilon \cdot |x|$  for some  $\varepsilon > 0$  and for every  $x \in A$ . By Theorem 1 there exist a superalgebra  $B \Rightarrow A$  and  $b_1, \ldots, b_n \in B$  such that  $\sum_{j=1}^n b_j i_j = 1$ , hence the ideal I is removable.

The set of all maximal ideals of a commutative Banach algebra A which are non-removable is called a *cortex* of A (it corresponds to the set of all multiplicative functionals on A which can be extended to any superalgebra  $B \supset A$ ).

COROLLARY. A maximal ideal I in a commutative Banach algebra A with unit belongs to the cortex of A if and only if it consists of joint topological divisors of zero.

THEOREM 3. Every non-removable ideal is contained in some element of cortex (see [8], Problem 1).

Proof. The proof follows from the theorem of Słodkowski ([6]): every ideal  $I \in l(A)$  is contained in some maximal ideal  $J \in l(A)$ .

THEOREM 4. A finite family of removable ideals is removable.

Proof. Let  $I_1, \ldots, I_k$  be a set of removable ideals. Then in  $I_j$   $(j=1,\ldots,k)$  there exist elements  $a_{j,1},\ldots,a_{j,n_j}$  such that  $1 \prec a_{j,1},\ldots,a_{j,n_j}$ . Let

$$S = \left\{ s_t, t \in \{1, \ldots, n_1\} \times \{1, \ldots, n_2\} \times \ldots \times \{1, \ldots, n_k\} = T, \ s_t = \prod_{j=1}^k a_{j, i_j} \right\}.$$

It is easy to prove by induction on k that  $1 \prec S$ . By Theorem 1 there exist a superalgebra  $B \supset A$  and  $b_t, t \in T$  such that  $1 = \sum_{t \in T} b_t s_t$ . This means that  $I_j \supset S$  is removed in B for  $j = 1, \ldots, k$ .

Remark 1. Arens [2] calls a finite set  $x_1, \ldots, x_n \in A$  subregular if there are a superalgebra  $B \supset A$  and  $b_1, \ldots, b_n \in B$  such that  $1 = \sum_{i=1}^n b_i x_i$ . He also asks whether the product of two subregular systems is again subregular. The proof of Theorem 4 gives the positive answer to this question.

Remark 2. For n=2 Theorem 1 says that if  $u_1, u_2 \in A$ ,  $|u_1|=|u_2|$ 



= 1 and  $|x| \le |u_1x| + |u_2x|$  then the superalgebra B and  $b_1, b_2 \in B$  can be chosen so that  $|b_1|, |b_2| \le 2^{17}$ . A natural question arises what are the least norms of  $b_1, b_2$  in general. The construction giving  $|b_1|, |b_2| \le 2^{17}$  can be easily improved (we do not use the best estimates in the proof of Theorem 1). On the other hand, it is not possible to find  $B, b_1, b_2 \in B$  in general such that  $|b_1| = |b_2| = 1$  as was shown by Bollobás [4].

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