

On a certain additive function on the Gaussian integers

by

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1. Introduction. In [1] K. Alladi and P. Erdős showed that if

$$\beta_1(n) = \sum_{p|n} p,$$

then

$$\sum_{n \leq x} \beta_1(n) \sim \frac{\pi^2 x^2}{12 \log x}.$$

Numerous papers have been written concerning the summatory function of $\beta_1(n)$, see for example [2], [3]. The best result is due to J.-M. De Koninck and A. Ivić [2]; they have given the asymptotic formula

$$(1.1) \quad \sum_{n \leq x} \beta_1(n) = x^2 [(d_1/\log x) + (d_2/\log^2 x) + \dots + (d_m/\log^m x) + O(1/\log^{m+1} x)]$$

with arbitrary fixed $m \geq 1$ and $d_1 = \pi^2/12$.

Applying their elementary technique to the function

$$(1.2) \quad \beta_\alpha(n) = \sum_{p|n} p^\alpha$$

with $\alpha > 0$ fixed, one can prove the analogous asymptotic formula

$$(1.3) \quad \sum_{n \leq x} \beta_\alpha(n) = x^2 [(d_1(\alpha)/\log x) + (d_2(\alpha)/\log^2 x) + \dots + (d_m(\alpha)/\log^m x) + O(1/\log^{m+1} x)]$$

with $d_1(\alpha) = \zeta(1+\alpha)/(1+\alpha)$.

The formula (1.3) can be obtained by using the complex integration technique and the following

LEMMA. Let a Dirichlet series $\sum_{n=1}^{\infty} a_n/n^s$ converge for $\text{Re } s > 1$, where $|a_n|$

$= O((\log n)^k)$ with $k > 0$. If $\sum_{n=1}^{\infty} a_n/n^s = G(s) \log \zeta(s)$, where $G(s)$ is a regular function for $\operatorname{Re} s > 1/2$ and bounded for $\operatorname{Re} s \geq 1/2 + \varepsilon$ with $\varepsilon > 0$, then

$$(1.4) \quad \sum_{n \leq x} a_n = \frac{x}{\log x} [a'_0 + a'_1 (1/\log x) + \dots + a'_m (1/\log^m x) + O(1/\log^{m+1} x)].$$

This lemma can be proved in a standard way with the use of the estimation of the zero-free region for $\zeta(s)$.

By applying the main theorem from Ramachandra's paper [10] it is possible to prove that

$$(1.5) \quad \sum_{x < n \leq x+h} \beta_\alpha(n) = \zeta(1+\alpha) \frac{hx^\alpha}{\log x} + O\left(\frac{h^2 x^{\alpha-1}}{\log x}\right) + O\left(\frac{hx^\alpha}{\log^2 x}\right) + O(hx^\alpha \exp(-\log^{1/3} x)) + O(x^{(7/12)+\varepsilon+\alpha})$$

with $x^{(7/12)+\varepsilon} \leq h \leq o(x)$, and

$$(1.6) \quad \frac{1}{X} \int_X^{2X} \left| \sum_{x < n \leq x+h} \beta_\alpha(n) - \zeta(1+\alpha) \frac{hx^\alpha}{\log x} \right|^2 dx = O(h^2 X^{2\alpha} \exp(-\log^{1/3} X)) + O(X^{2((1/6)+\varepsilon+\alpha)})$$

with $X^{(1/6)+\varepsilon} \leq h \leq o(X)$ (the exponents $7/12$ and $1/6$ are related to the estimation of $N_x(a, T)$, the number of zeros of $L(s, \chi)$ with real part at least a and imaginary part not exceeding T in absolute value).

The formulae (1.5), (1.6) can be obtained by De Koninck and Ivić's method. It follows from Ivić's result on the number of primes in short intervals (see [5]) that the formula (1.5) holds even for $h \gg x^{7/12} \log^{22} x$.

Let us note that the formulae (1.3), (1.5) remain valid if $\beta_\alpha(n)$ is replaced by the functions

$$B_\alpha(n) = \sum_{p^k \parallel n} kp^\alpha, \quad T_\alpha(n) = (P(n))^\alpha,$$

where $P(n)$ is the largest prime factor of $n \geq 2$.

In the present paper we study the distribution of values of an additive function $\mathcal{B}_\alpha(a)$ on the Gaussian integers given by

$$(1.7) \quad \mathcal{B}_\alpha(a) = \sum_{p|a}^* N^\alpha(p)$$

with fixed $\alpha \geq 0$; the asterisk means that the summation is over the non-associated prime divisors p of a Gaussian integer a , and $N(p) = N(x+iy) = x^2 + y^2$ is the norm of p . This function is a generalization of the function

$\beta_\alpha(n)$. In case $\alpha = 0$ we get the function $\omega(a)$ which has been studied in [6].

In this note we obtain asymptotic formulae for the summatory function $\sum_{a \in D}^* \mathcal{B}_\alpha(a)$ ($\alpha > 0$), where D is a certain set of Gaussian integers which depends on a parameter x .

We shall prove the following theorems:

THEOREM 1. For $x \rightarrow \infty$

$$(1.8) \quad \sum_{N(a) \leq x}^* \mathcal{B}_\alpha(a) = \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4) x^{1+\alpha}}{1+\alpha} \frac{1}{\log x} \left[1 + O\left(\frac{1}{\log x}\right) \right].$$

THEOREM 2. Let φ_1, φ_2 be real numbers such that $0 \leq \varphi_1 < \varphi_2 \leq \pi/2$. If $\varphi_2 - \varphi_1 \gg \exp(-c_1 \log^{(3/5)-\varepsilon} x)$, $\varepsilon > 0$, and $x \geq x_0(\alpha)$, then

$$(1.9) \quad \sum_{\substack{N(a) \leq x \\ \varphi_1 \leq \arg a \leq \varphi_2}}^* \mathcal{B}_\alpha(a) = \frac{2(\varphi_2 - \varphi_1)}{\pi} \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4) x^{1+\alpha}}{1+\alpha} \frac{1}{\log x} \times \left[1 + O\left(\frac{1}{\log x}\right) \right] + O(x^{1+\alpha} \exp(-c_1 \log^{(3/5)-\varepsilon} x)).$$

THEOREM 3. Let x, X be sufficiently large and let $1 \leq h \leq x$. Let φ_1, φ_2 be real numbers such that

$$0 \leq \varphi_1 < \varphi_2 \leq \pi/2, \quad \varphi_2 - \varphi_1 \gg \exp(-c(\log^{1/3} x)(\log \log x)^{-1}).$$

Then

$$(1.10) \quad \sum_{\substack{x < N(a) \leq x+h \\ \varphi_1 \leq \arg a \leq \varphi_2}}^* \mathcal{B}_\alpha(a) = \frac{2(\varphi_2 - \varphi_1)}{\pi} \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{hx^\alpha}{\log x} + O\left(\frac{h^2 x^{\alpha-1}}{\log x}\right) + O\left(\frac{hx^\alpha}{\log^2 x}\right) + O(x^{\beta+\alpha}) + O(hx^\alpha \exp(-c(\log^{1/3} x)(\log \log x)^{-1})),$$

$$(1.11) \quad \frac{1}{X} \int_X^{2X} \left| \sum_{\substack{x < N(a) \leq x+h \\ \varphi_1 \leq \arg a \leq \varphi_2}}^* \mathcal{B}_\alpha(a) - \frac{2(\varphi_2 - \varphi_1)}{\pi} \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{hx^\alpha}{\log x} \right|^2 dx = O(h^2 X^{2\alpha} \exp(-c(\log^{1/3} X)(\log \log X)^{-1})) + O(X^{2(\beta'+\alpha)}),$$

where the constants β, β' are defined in Lemma 5.

(χ_4 in Theorems 1, 2 and 3 denotes the non-principal Dirichlet character modulo 4.)

2. Auxiliary results.

LEMMA 1. *There exists an absolute constant $c > 0$ such that $\zeta(s)$ and $L(s, \chi_4)$ have no zeros whenever*

$$\operatorname{Re} s \geq 1 - (c/\delta), \quad |\operatorname{Im} s| \leq T, \quad \delta = (\log^{2/3} T)(\log \log T)^{1/3}.$$

The analogous result holds for the Hecke L -function

$$Z(s, m) = \sum_n^* \exp(4mi \arg n) / N^s(n),$$

where $m \in \mathbb{Z}$ is fixed and the summation is over all non-zero non-associated Gaussian integers; in this case

$$\delta = (\log^{2/3}(T^2 + m^2)) \log \log(T^2 + m^2).$$

For the proof for $\zeta(s)$ and $L(s, \chi_4)$, see [8], and for $Z(s, m)$, see [4].

LEMMA 2. *There exists an absolute constant $a > 0$ such that if $1/2 \leq \operatorname{Re} s = \sigma \leq 1$, $2 \leq |\operatorname{Im} s| \leq T$, then*

$$(2.1) \quad \zeta(s), L(s, \chi_4) = O(T^{a(1-\sigma)^{3/2}} \log T),$$

$$(2.2) \quad Z(s, m) = O((T^2 + m^2)^{a(1-\sigma)^{3/2}} \log^4(T^2 + m^2)).$$

For the proof of (2.1), see [11], and for (2.2), see [4].

LEMMA 3. *Let $F(s) = \sum_{n=1}^{\infty} a_n/n^s$ converge absolutely for $\operatorname{Re} s > 1$. Let $F(s)$ be regular and non-zero in a rectangle $1 - \delta \leq \operatorname{Re} s \leq 2$, $|\operatorname{Im} s| \leq T$, except possibly at $s = 1$, where $F(s)$ has a pole of k -th order and $F(s)(s-1)^k = a_0 + O(|s-1|)$ with an absolute constant in the O -term. Moreover, let for $1 - \delta \leq \operatorname{Re} s \leq 2$, $3 \leq |\operatorname{Im} s| \leq T$*

$$F(s) = O((1/\delta)^l \log T)$$

and

$$F(1+iT), F^{-1}(1+iT), F'(1+iT) = O((1/\delta)^b + \log^b T)$$

with some constants $l > 0$, $b > 0$.

Then

$$\log F(s) = O(\log(1/\delta) + \log \log T)$$

provided that $1 - (\delta/5) \leq \operatorname{Re} s \leq 2$, $|\operatorname{Im} s| \leq T$, $|s-1| \geq \log^{-1} T$.

Proof. We start with $|\operatorname{Im} s| \leq 3$. For

$$1 - (\delta/2) \leq \operatorname{Re} s \leq 2, \quad |\operatorname{Im} s| \leq 3$$

we have

$$\operatorname{Re} \log(F(s)(s-1)^k) = \log |F(s)(s-1)^k| = \log |a_0| + O(|s-1|) = O(1).$$

Therefore, by the Borel–Carathéodory theorem (see [12])

$$|\log F(s)| = O((\log(s-1))^l) = O(\log \log T).$$

Now, let $|\operatorname{Im} s| \geq 3$ and $s = \sigma + it$, where $1 - (\delta/5) \leq \sigma \leq 2$, $3 \leq |t| \leq T$. Let us take $s_0 = 1 + it$. Then for every s such that $1 - \delta \leq \operatorname{Re} s \leq 2$, $3 \leq |\operatorname{Im} s| \leq T$, we get

$$|F(s)/F(s_0)| = O((1/\delta)^{l+b} \log^{1+b} T),$$

$$|F'(s_0)/F(s_0)| = O((1/\delta)^{2b} + \log^{2b} T).$$

Therefore, by Landau's theorem (see [9], supplement), if $1 - (\delta/5) \leq \operatorname{Re} s \leq 2$, $3 \leq |\operatorname{Im} s| \leq T$, then

$$|F'(s)/F(s)| \leq (C/\delta) [\log(1/\delta)^{l+b} + \log(\log T)^{1+b}].$$

Since

$$\begin{aligned} |\log |F(\sigma + it)| - \log |F(1 + it)|| &= \left| \int_{\sigma}^1 \frac{F'(\eta + it)}{F(\eta + it)} d\eta \right| \leq \int_{\sigma}^1 \left| \frac{F'(\eta + it)}{F(\eta + it)} \right| d\eta \\ &\leq \delta \frac{C}{\delta} [(l+b) \log(1/\delta) + (1+b) \log \log T] \\ &= O(\log(1/\delta) + \log \log T), \end{aligned}$$

we have

$$|\log F(s)| = O(\log(1/\delta) + \log \log T).$$

Finally, by the Borel–Carathéodory theorem we get

$$\log F(s) = O(\log(1/\delta) + \log \log T).$$

COROLLARY 1. *If $\operatorname{Re} s \geq 1 - \frac{c}{\log^{(2/3)+\varepsilon} T}$, $|\operatorname{Im} s| \leq T$, $|s-1| \geq \log^{-1} T$*

($T \geq 2$), then

$$\log \zeta(s) = O(\log \log T),$$

$$\log L(s, \chi_4) = O(\log \log T).$$

Proof. In fact, $\zeta(s)$ and $L(s, \chi_4)$ have no zeros in the considered region, so by (2.1)

$$\zeta(s), L(s, \chi_4) = O(T^{a(1-\sigma)^{3/2}} \log^4 T) = O(\log^4 T).$$

Moreover, it is known that

$$\zeta'(1+iT), L'(1+iT, \chi_4), \zeta^{\pm 1}(1+iT), L^{\pm 1}(1+iT, \chi_4) = O(\log^{10} T).$$

Hence, we can use Lemma 3 with $\delta = c/\log^{(2/3)+\varepsilon} T$.

COROLLARY 2. If $\operatorname{Re} s \geq 1 - \frac{c}{\log^{(2/3)+\varepsilon}(T^2+m^2)}$, $|\operatorname{Im} s| \leq T$, then for $m \neq 0$

$$\log Z(s, m) = O(\log \log(T^2+m^2)) = O(\log \log(T|m|)).$$

This corollary follows from Lemma 3 with

$$\delta = \log^{(2/3)+\varepsilon}(T^2+m^2).$$

LEMMA 4. Let r be a positive integer and let $0 < \Delta < \pi/4$. Let φ_1, φ_2 be real numbers such that $0 \leq \varphi_2 - \varphi_1 \leq \pi/2 + 2\Delta$. There exists a periodic function $f(\varphi)$ with period $\pi/2$ such that

1. $f(\varphi) = 1$ for $\varphi \in [\varphi_1 + \Delta, \varphi_2 - \Delta]$,
 $0 \leq f(\varphi) \leq 1$ for $\varphi \in [\varphi_1 - \Delta, \varphi_2 + \Delta]$,
 $f(\varphi) = 0$ for other points from $[0, \pi/2]$,
2. $f(\varphi)$ has the following Fourier-series expansion

$$f(\varphi) = \sum_{m=-\infty}^{+\infty} a_m \exp(4mi\varphi),$$

where for $m \neq 0$

$$|a_m| \leq \begin{cases} \frac{2}{\pi}(\varphi_2 - \varphi_1 + \Delta), \\ 2(\pi|m|)^{-1}, \\ 2(\pi|m|)^{-1} \left(r \frac{\pi}{2} (\pi|m|\Delta)^{-1} \right)^r. \end{cases}$$

This is a modified version of the famous lemma of I.M. Vinogradov ([13], Lemma 2, p. 23, see also [7], Lemma 5).

LEMMA 5 (analogue of the Ramachandra theorem). Let for $\operatorname{Re} s > 1$ each of the series

$$F_m(s) = \sum_a^* \frac{a(a)}{N^s(a)} \exp(4mi \arg a), \quad m = 0, \pm 1, \pm 2, \dots,$$

be representable in the form

$$F_m(s) = (Z(s, m))^r \mathcal{A}_m(s, z) \log Z(s, m),$$

where $z \in Q(i)$, $|z| < 2$, z does not depend on m , and $\mathcal{A}_m(s, z)$ is representable by a Dirichlet series absolutely convergent for $\operatorname{Re} s > 1/2$. Let $N_m(\sigma, T)$ be the number of zeros of $Z(s, m)$ in the rectangle $\sigma \leq \operatorname{Re} s \leq 1$, $|\operatorname{Im} s| \leq T$, and let $\mathcal{B}_0, \mathcal{B}, \mathcal{D}_0, \mathcal{D}$ be constants independent of m such that

$$N_m(\sigma, T) \leq (TM)^{\mathcal{B}(1-\sigma)} (\log TM)^{\mathcal{D}}, \quad m \neq 0, M = |m| + 2,$$

$$N_0(\sigma, T) \leq T^{\mathcal{B}_0(1-\sigma)} (\log T)^{\mathcal{D}_0}.$$

Let

$$\begin{aligned} \beta_0 &= 1 - \mathcal{B}_0^{-1} + \varepsilon, & \beta'_0 &= 1 - 2\mathcal{B}_0^{-1} + \varepsilon, \\ \beta &= 1 - \mathcal{B}^{-1} + \varepsilon, & \beta' &= 1 - 2\mathcal{B}^{-1} + \varepsilon, \end{aligned}$$

where $\varepsilon > 0$ is arbitrary.

If

$$S(x, h; \varphi_1, \varphi_2; z) = \sum_{\substack{x < N(a) \leq x+h \\ \varphi_1 \leq \arg a \leq \varphi_2}}^* a(a),$$

$$I(x, h; z) = \frac{1}{2\pi i} \int_0^h \left(\int_{C_0(r)} F_0(s) (x+v)^{s-1} ds \right) dv$$

with

$$r = c(\log^{-2/5} x)(\log \log x)^{-1},$$

then for $0 \leq \varphi_1 < \varphi_2 \leq \pi/2$, $\varphi_2 - \varphi_1 \gg \exp(-c(\log^{1/3} x)(\log \log x)^{-1})$,

$$(2.3) \quad S(x, h; \varphi_1, \varphi_2; z) = \frac{2(\varphi_2 - \varphi_1)}{\pi} I(x, h; z) + O(h \exp(-c(\log^{1/3} x)(\log \log x)^{-1})) + O(x^\beta),$$

$$(2.4) \quad \frac{1}{X} \int_X^{2X} \left| S(x, h; \varphi_1, \varphi_2; z) - \frac{2(\varphi_2 - \varphi_1)}{\pi} I(x, h; z) \right|^2 dx = O(h^2 \exp(-c(\log^{1/3} X)(\log \log X)^{-1})) + O(X^{2\beta'}),$$

where $C_0(r)$ is a positively oriented circle of radius r centred at $s = 1$, with $s = 1 - r$ removed.

For the proof see [4], and for the Ramachandra theorem, see [10].

3. Proof of Theorem 1. Notice that

$$\mathcal{B}_\alpha(a) = \sum_{p|a}^* N^\alpha(p) = \sum_{d|a}^* b(d) \cdot c(a/d),$$

where

$$b(d) = \begin{cases} N^\alpha(d) & \text{if } d \text{ is prime,} \\ 0 & \text{otherwise,} \end{cases} \quad c(d) \equiv 1.$$

Hence

$$\begin{aligned} \sum_a^* \mathcal{B}_\alpha(a)/N^s(a) &= \left(\sum_a^* b(a)/N^s(a) \right) \left(\sum_a^* c(a)/N^s(a) \right) \\ &= \left(\sum_p^* 1/N^{s-\alpha}(p) \right) \left(\sum_a^* 1/N^s(a) \right). \end{aligned}$$

It follows from the Euler identity for $Z(s, m)$,

$$Z(s, m) = \sum_a^* \frac{\exp(4mi \arg a)}{N^s(a)} = \prod_p^* \left(1 - \frac{\exp(4mi \arg p)}{N^s(p)}\right)^{-1}$$

that

$$\log Z(s, m) = \sum_p^* \frac{\exp(4mi \arg p)}{N^s(p)} + G(s, m),$$

where $G(s, m)$ is a regular function for $\operatorname{Re} s > 1/2$.

Hence, by $Z(s, 0) = \zeta(s) L(s, \chi_4)$ we have

$$\sum_a^* \mathcal{B}_\alpha(a)/N^s(a) = \zeta(s) L(s, \chi_4) [\log \zeta(s - \alpha) + \log L(s - \alpha, \chi_4) + G(s - \alpha)],$$

$$\sum_a^* \mathcal{B}_\alpha(a)/N^{s+\alpha}(a) = \zeta(s + \alpha) L(s + \alpha, \chi_4) [\log \zeta(s) + \log L(s, \chi_4) + G(s)].$$

If we put

$$G_1(s) = \zeta(s + \alpha) L(s + \alpha, \chi_4) \log \zeta(s),$$

$$G_2(s) = \zeta(s + \alpha) L(s + \alpha, \chi_4) \log L(s, \chi_4),$$

$$G_3(s) = \zeta(s + \alpha) L(s + \alpha, \chi_4) G(s),$$

it follows that

$$(3.1) \quad \sum_a^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{N^s(a)} = G_1(s) + G_2(s) + G_3(s) = F(s).$$

We shall use

$$\frac{1}{2\pi i} \int_{b-iT}^{b+iT} (y^s/s) ds = \begin{cases} 1 + O(y^b/T \log y) & \text{if } y > 1, \\ \frac{1}{2} + O(1/T) & \text{if } y = 1, \\ O(y^b/T |\log y|) & \text{if } 0 < y < 1, \end{cases}$$

where $b > 1$, $T > 1$.

By the uniform convergence of the series (3.1) in the half-plane $\operatorname{Re} s > b$ we get

$$\begin{aligned} & \frac{1}{2\pi i} \int_{b-iT}^{b+iT} \sum_a^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{N^s(a)} \frac{x^s}{s} ds \\ &= \sum_{N(a) \leq x}^* \mathcal{B}_\alpha(a)/N^\alpha(a) + \sum_{N(a) \neq x}^* \frac{(\mathcal{B}_\alpha(a)/N^\alpha(a))(x/N(a))^b}{T |\log(x/N(a))|} \\ &= \sum_{N(a) \leq x}^* \mathcal{B}_\alpha(a)/N^\alpha(a) + \sum_{N(a) < x/2}^* + \sum_{N(a) > 2x}^* + \sum_{\substack{x/2 \leq N(a) \leq 2x \\ N(a) \neq x}}^* \end{aligned}$$

$$\begin{aligned} &= \sum_{N(a) \leq x}^* \mathcal{B}_\alpha(a)/N^\alpha(a) + O\left(\frac{x^b}{T} \sum_a^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{N^b(a)}\right) \\ &+ O\left(\frac{1}{T} \sum_{\substack{x/2 \leq N(a) \leq 2x \\ N(a) \neq x}}^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{|\log(x/N(a))|}\right). \end{aligned}$$

Let

$$\sum_a^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{N^b(a)} = \sum_{n=1}^{\infty} e(n)/n^b,$$

where

$$e(n) = \sum_{\substack{a \\ N(a)=n}}^* 1/n^\alpha \sum_{p|a}^* N^\alpha(p) = (1/n^\alpha) \sum_{\substack{a \\ N(a)=n}}^* \sum_{p|a}^* N^\alpha(p).$$

To estimate $e(n)$ notice that if

$$n = 2^{a_0} p_1^{a_1} \dots p_k^{a_k} q_1^{2b_1} \dots q_m^{2b_m} = n_1 2^{a_0} q_1^{2b_1} \dots q_m^{2b_m},$$

where p_i is a prime number of the form $4l+1$ and q_j is a prime number of the form $4l+3$, then

$$e(n) = \frac{1}{n^\alpha} \sum_{\substack{a \\ N(a)=n}}^* \left(\sum_{i=1}^k p_i^\alpha + \sum_{j=1}^m q_j^{2\alpha} + 2^\alpha \right).$$

Since the number of n for which $N(a) = n$ does not exceed $\tau(n_1) = (a_1 + 1) \dots (a_k + 1)$ we get

$$\begin{aligned} e(n) &\leq \frac{1}{n^\alpha} (a_1 + 1) \dots (a_k + 1) \left(\sum_{i=1}^k p_i^\alpha + \sum_{j=1}^m q_j^{2\alpha} \right) \\ &\leq (2^k / (p_1 \dots p_k q_1^2 \dots q_m^{2\alpha})) \left(\sum_{i=1}^k p_i^\alpha + \sum_{j=1}^m q_j^{2\alpha} \right) \\ &\leq 2^k \sum_{i=1}^k 1/(p_1 \dots p_{i-1} p_{i+1} \dots p_k)^\alpha \leq 2^k k / [(k-1)!]^\alpha = c(\alpha). \end{aligned}$$

Thus

$$\begin{aligned} & \sum_a^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{N^b(a)} \leq c(\alpha) \sum_{n=1}^{\infty} 1/n^b = O_\alpha(1/(b-1)), \\ & \sum_{\substack{x/2 \leq N(a) \leq 2x \\ N(a) \neq x}}^* \frac{\mathcal{B}_\alpha(a)/N^\alpha(a)}{|\log(x/N(a))|} = \sum_{\substack{x/2 \leq n \leq 2x \\ n \neq x}} e(n)/|\log(x/n)| \\ & \leq c(\alpha) \sum_{\substack{x/2 \leq n \leq 2x \\ n \neq x}} 1/|\log(x/n)| \end{aligned}$$

$$\begin{aligned}
&= c(\alpha) \left[\sum_{x/2 \leq n < x} 1/\log(x/n) + \sum_{x < n \leq 2x} 1/|\log(x/n)| \right] \\
&= O_\alpha(x \log 2x) + O_\alpha(x/|x|) = O_\alpha(x \log 2x).
\end{aligned}$$

($||x||$ is the distance from x to the nearest integer, we can assume without loss of generality that x is an integer plus one-half.)

Therefore

$$\begin{aligned}
&\sum_{N(a) \leq x}^* \mathcal{B}_\alpha(a)/N^\alpha(a) \\
&= \frac{1}{2\pi i} \int_{b-iT}^{b+iT} F(s) \frac{x^s}{s} ds + O_\alpha(x^b/T(b-1)) + O_\alpha(x \log 2x/T).
\end{aligned}$$

To estimate the integral

$$\frac{1}{2\pi i} \int_{b-iT}^{b+iT} G_2(s) \frac{x^s}{s} ds$$

we use Lemma 3. If we move the segment of integration to $\operatorname{Re} s = 1 - \delta$ we get

$$\begin{aligned}
(3.2) \quad \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G_2(s) \frac{x^s}{s} ds &= O(x^{1-\delta} (\log(1/\delta) + \log \log T) \log T) \\
&\quad + O\left(x^b \left(\frac{b-1+\delta}{T}\right) (\log(1/\delta) + \log \log T)\right).
\end{aligned}$$

By moving the segment of integration in

$$\frac{1}{2\pi i} \int_{b-iT}^{b+iT} G_3(s) \frac{x^s}{s} ds$$

to $\operatorname{Re} s = 1 - (\alpha/2)$ we obtain

$$(3.3) \quad \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G_3(s) \frac{x^s}{s} ds = O_\alpha(x^{1-(\alpha/2)} \log T) + O_\alpha(x^b/T).$$

To deal with the integral

$$\frac{1}{2\pi i} \int_{b-iT}^{b+iT} G_1(s) \frac{x^s}{s} ds$$

we move the integral to the contour L consisting of:

L_1 : the line segment $[1-\delta, 1-\delta+iT]$,

L_2 : the line segment $[1-\delta+iT, b+iT]$,

L_3 : the line segment $[b-iT, 1-\delta-iT]$,

L_4 : the line segment $[1-\delta-iT, 1-\delta]$,

L_5, L_6 : the lower and upper edges of the cut in the complex plane along the line segment $[1-\delta, 1-\varrho]$,

C_ϱ : the positively oriented circle of radius ϱ centred at $s = 1$, with $s = 1 - \varrho$ removed.

The contour L is shown in Fig. 1.

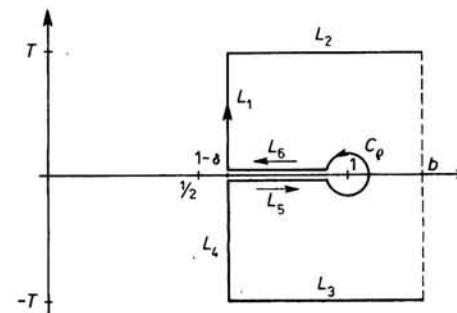


Fig. 1

We first note that

$$\int_{C_\varrho} G_1(s) \frac{x^s}{s} ds \rightarrow 0 \quad \text{if } \varrho \rightarrow 0.$$

Further, on the contour $L_5 \cup L_6$

$$\begin{aligned}
G_1(s) \frac{x^s}{s} &= \zeta(s+\alpha) L(s+\alpha, \chi_4) (\log \zeta(s)) \frac{x^s}{s} \\
&= \zeta(s+\alpha) L(s+\alpha, \chi_4) \frac{x^s}{s} [\log \zeta(s)(s-1) - \log(s-1)] \\
&= \zeta(s+\alpha) L(s+\alpha, \chi_4) \frac{x^s}{s} \log(s-1) \\
&\quad + O(\zeta(s+\alpha) L(s+\alpha, \chi_4) x^s (s-1)/s),
\end{aligned}$$

where

$$\log(s-1) = \begin{cases} \log|s-1| + i\pi & \text{if } s \in L_6, \\ \log|s-1| - i\pi & \text{if } s \in L_5. \end{cases}$$

Let $b = 1 + (1/\log x)$, $T = \exp(c(\log^{3/5} x))$, $\delta = c \log^{-(2/3)-\epsilon} T$. We use the bounds of $\zeta(s)$, $L(s, \chi_4)$ (Lemma 2), $\log \zeta(s)$ (Corollary 1). By Cauchy's residue theorem we get

$$(3.4) \quad \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G_1(s) \frac{x^s}{s} ds = \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{x}{\log x} \left[1 + O\left(\frac{1}{\log x}\right) \right]$$

(note that computing $\int_{L_5 \cup L_6} G_1(s) \frac{x^s}{s} ds$ can be reduced to computing

$$\int_{1-\delta}^1 x^s (1-s)^k ds; \text{ but we have}$$

$$\int_{1-\delta}^1 x^s (1-s)^k ds = \frac{x}{\log x} [b_0 + b_1 (1/\log x) + \dots + b_m (1/\log^m x) + O(1/\log^{m+1} x)]$$

with arbitrary fixed $m \geq 1$ and with computable $b_0, b_i, b'_i, b_0 \neq 0$.

It follows from (3.2), (3.3), (3.4) that

$$\sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha)/N^\alpha(\alpha) = \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{x}{\log x} \left[1 + O\left(\frac{1}{\log x}\right) \right]$$

where the constant in the O -term depends only on α .

By the Abel lemma on partial summation we obtain

$$\sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) = \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4)}{1+\alpha} \frac{x^{1+\alpha}}{\log x} [1 + O(1/\log x)].$$

This completes the proof of Theorem 1.

4. Proof of Theorem 2. Notice that

$$S = \sum_{\substack{N(\alpha) \leq x \\ \varphi_1 \leq \arg \alpha \leq \varphi_2}}^* \mathcal{B}_\alpha(\alpha) = \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \chi_{[\varphi_1, \varphi_2]}(\arg \alpha),$$

where $\chi_{[\varphi_1, \varphi_2]}$ is the characteristic function of $[\varphi_1, \varphi_2]$ in $[0, \pi/2]$. Let $f_1(\varphi)$, $f_2(\varphi)$ be functions from Lemma 4 constructed for $[\varphi_1, \varphi_2]$ and $[\varphi_1 - \Delta_1, \varphi_2 + \Delta_1]$ respectively ($\Delta_1 = 2\Delta$). If we put

$$S_i = \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) f_i(\arg \alpha) \quad (i = 1, 2)$$

we get

$$S_1 \leq S \leq S_2.$$

It is sufficient to prove that S_1, S_2 have the same asymptotic representation. We shall estimate S_1 (the case of S_2 is similar). It follows from Lemma 4 that

$$S_1 = \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) f_1(\arg \alpha) = \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \sum_{m=-\infty}^{+\infty} a_m \exp(4mi \arg \alpha)$$

$$= \sum_{m=-\infty}^{+\infty} a_m \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha) \\ = a_0 \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) + \sum_{m \neq 0} a_m \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha).$$

Let us estimate the sum

$$\sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha) \quad (m \neq 0).$$

Similarly to the case $m = 0$ it is easy to verify that for $m \neq 0$

$$\sum_{\alpha}^* \frac{\mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha)/N^\alpha(\alpha)}{N^\alpha(\alpha)} = \zeta(s+\alpha) L(s+\alpha, \chi_4) [\log Z(s, m) + G'(s, m)],$$

where $G'(s, m)$ is a regular function for $\operatorname{Re} s > 1/2$.

If we put

$$G'_1(s) = \zeta(s+\alpha) L(s+\alpha, \chi_4) \log Z(s, m),$$

$$G'_2(s) = \zeta(s+\alpha) L(s+\alpha, \chi_4) G'(s, m),$$

we get

$$\sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha)/N^\alpha(\alpha) \\ = \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G'_1(s) \frac{x^s}{s} ds + \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G'_2(s) \frac{x^s}{s} ds + O(x^b/T(b-1)) \\ + O(x \log 2x/T).$$

Let us take $T = \exp(c(\log^{3/5} x))$.

We move the contour of integration to $\operatorname{Re} s = 1 - (\delta/2)$. By the bound for $\log Z(s, m)$ (Corollary 2) and $\zeta(s+\alpha), L(s+\alpha, \chi_4) = O(1)$ on the line $\operatorname{Re} s = 1 - (\delta/2)$ we have

$$(4.1) \quad \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G'_1(s) \frac{x^s}{s} ds = O(x^{1-(\delta/2)} (\log \log T |m|) \log T).$$

Moving the contour of integration in $\frac{1}{2\pi i} \int_{b-iT}^{b+iT} G'_2(s) \frac{x^s}{s} ds$ to $\operatorname{Re} s = 1 - (\alpha/2)$,

we get

$$(4.2) \quad \frac{1}{2\pi i} \int_{b-iT}^{b+iT} G'_2(s) \frac{x^s}{s} ds = O(x^{1-(\alpha/2)} \log T) + O(x^b/T)$$

(since $\delta = \log^{-(2/3)-\varepsilon} T$, for given $\alpha > 0$ we can find $x_0(\alpha)$ such that $\alpha > \delta$ if $x \geq x_0(\alpha)$).

It follows from (4.1), (4.2) with $b = 1 + (1/\log x)$ that

$$\sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha) / N^\alpha(\alpha) = O(x \exp(-(c/3)(\log^{(3/5)-\varepsilon} x))).$$

Hence, by the Abel lemma

$$(4.3) \quad \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha) = O(x^{1+\alpha} \exp(-c_1 \log^{(3/5)-\varepsilon} x)).$$

Then by Lemma 4 and Theorem 1 we have

$$\begin{aligned} S_1 &= \frac{2(\varphi_2 - \varphi_1 + \Delta)}{\pi} \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4)}{1+\alpha} \frac{x^{1+\alpha}}{\log x} \left[1 + O\left(\frac{1}{\log x}\right) \right] \\ &+ \sum_{1 \leq |m| \leq 1/\Delta} a_m \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha) \\ &+ \sum_{|m| > 1/\Delta} a_m \sum_{N(\alpha) \leq x}^* \mathcal{B}_\alpha(\alpha) \exp(4mi \arg \alpha) \\ &= \frac{2(\varphi_2 - \varphi_1 + \Delta)}{\pi} \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4)}{1+\alpha} \frac{x^{1+\alpha}}{\log x} [1 + O(1/\log x)] \\ &+ O\left(\sum_{1 \leq |m| \leq 1/\Delta} \frac{1}{|m|} x^{1+\alpha} \exp(-c_1 \log^{(3/5)-\varepsilon} x)\right) \\ &+ O\left(\sum_{|m| > 1/\Delta} \frac{1}{|m|^{r+1}} \left(\frac{1}{\Delta}\right)^r \exp(-c_1 \log^{(3/5)-\varepsilon} x) (\log T) (\log \log T |m|)\right) \\ &= \frac{2(\varphi_2 - \varphi_1)}{\pi} \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4)}{1+\alpha} \frac{x^{1+\alpha}}{\log x} [1 + O(1/\log x)] \\ &+ O(x^{1+\alpha} \Delta / \log x) + O(x^{1+\alpha} (\exp(-c_1 \log^{(3/5)-\varepsilon} x)) \log(1/\Delta)) \\ &+ O(x^{1+\alpha} (1/\Delta)^{-r} (1/\Delta)^r \exp(-c_1 \log^{(3/5)-\varepsilon} x) (\log \log(T/\Delta)) (\log T)) \\ &= \frac{2(\varphi_2 - \varphi_1)}{\pi} \frac{\zeta(1+\alpha) L(1+\alpha, \chi_4)}{1+\alpha} \frac{x^{1+\alpha}}{\log x} [1 + O(1/\log x)] \\ &+ O(x^{1+\alpha} \exp(-c_1 \log^{(3/5)-\varepsilon} x)). \end{aligned}$$

The obtained formula is non-trivial if

$$\varphi_2 - \varphi_1 \gg \Delta = \exp(-c_1 \log^{(3/5)-\varepsilon} x).$$

This completes the proof of Theorem 2.

5. Proof of Theorem 3. Let

$$F_0(s) = \zeta(s+\alpha) L(s+\alpha, \chi_4) \log \zeta(s)$$

$$+ \zeta(s+\alpha) L(s+\alpha, \chi_4) \log L(s, \chi_4) + \zeta(s+\alpha) L(s+\alpha, \chi_4) G(s)$$

(see the proof of Theorem 1).

The function $\zeta(s+\alpha) L(s+\alpha, \chi_4) G(s)$ has the same values at points of the lower and upper "edges" of $[1-\varrho, 1]$, so by Lemma 5 we get

$$\begin{aligned} I(x, h; z) &= \frac{1}{2\pi i} \int_0^h \left(\int_{c(\varrho)} \zeta(s+\alpha) L(s+\alpha, \chi_4) (\log \zeta(s)) (x+v)^{s-1} ds \right) dv \\ &+ \frac{1}{2\pi i} \int_0^h \left(\int_{c(\varrho)} \zeta(s+\alpha) L(s+\alpha, \chi_4) (\log L(s, \chi_4)) (x+v)^{s-1} ds \right) dv \\ &= \frac{1}{2\pi i} \int_{1-\varrho}^1 \zeta(s+\alpha) L(s+\alpha, \chi_4) (\log \zeta(s)) \frac{(x+h)^s - x^s}{s} ds \\ &+ \frac{1}{2\pi i} \int_{1-\varrho}^1 \zeta(s+\alpha) L(s+\alpha, \chi_4) (\log L(s, \chi_4)) \frac{(x+h)^s - x^s}{s} ds \\ &= \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{h}{\log x} [1 + O(1/\log x)]. \end{aligned}$$

Therefore

$$\begin{aligned} \sum_{\substack{x < N(\alpha) \leq x+h \\ \varphi_1 \leq \arg \alpha \leq \varphi_2}}^* \mathcal{B}_\alpha(\alpha) / N^\alpha(\alpha) \\ &= \frac{2(\varphi_2 - \varphi_1)}{\pi} \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{h}{\log x} [1 + O(1/\log x)] \\ &+ O(h \exp(-c(\log^{1/3} x)(\log \log x)^{-1})) + O(x^\beta). \end{aligned}$$

Hence

$$\begin{aligned} \sum_{\substack{x < N(\alpha) \leq x+h \\ \varphi_1 \leq \arg \alpha \leq \varphi_2}}^* \mathcal{B}_\alpha(\alpha) &= \frac{2(\varphi_2 - \varphi_1)}{\pi} \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{hx^\alpha}{\log x} \\ &+ O(h^2 x^{\alpha-1} / \log x) + O(hx^\alpha / \log^2 x) \\ &+ O(hx^\alpha \exp(-c(\log^{1/3} x)(\log \log x)^{-1})) + O(x^{\beta+\alpha}). \end{aligned}$$

Similarly, by (2.4) we obtain

$$\begin{aligned} \frac{1}{X} \int_X^{2X} \left| \sum_{\substack{x < N(\alpha) \leq x+h \\ \varphi_1 \leq \arg \alpha \leq \varphi_2}}^* \mathcal{B}_\alpha(\alpha) - \frac{2(\varphi_2 - \varphi_1)}{\pi} \zeta(1+\alpha) L(1+\alpha, \chi_4) \frac{hx^\alpha}{\log x} \right|^2 dx \\ &= O(h^2 X^{2\alpha} \exp(-c(\log^{1/3} X)(\log \log X)^{-1})) + O(X^{2(\beta+\alpha)}). \end{aligned}$$

This completes the proof of Theorem 3.

6. Remarks. Let us note that the factor $1 + O(1/\log x)$ in Theorems 1 and 2 can be improved, namely it can be replaced by

$$1 + b_1(1/\log x) + \dots + b_m(1/\log^m x) + O(1/\log^{m+1} x)$$

It would be interesting to prove Theorem 1 by the elementary methods from [2]. However, it seems that the elementary approach cannot be used for Theorems 2 and 3, because for problems of distribution of values of arithmetical functions in sectorial regions the elementary techniques do not give satisfactory accuracy.

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(1708)

Sub-bases of pleasant h -bases

by

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Given an integral basis

$$A_k = \{a_1, a_2, \dots, a_k\}, \quad 1 = a_1 < a_2 < \dots < a_k$$

for a positive integer h , we form all the combinations

$$\sum_{i=1}^k x_i a_i, \quad x_i \geq 0, \quad \sum_{i=1}^k x_i \leq h,$$

and ask for the smallest integer $N_h(A_k)$ which is not represented by such a combination. The number $n_h(A_k) = N_h(A_k) - 1$ is called the h -range of A_k . In this connection, A_k is often denoted as h -basis.

A popular interpretation arises if we consider the integers a_i as stamp denominations, and h as the “size of the envelope”. More information on the postage stamp problem can be found for instance in [4]. A comprehensive treatment of this problem is contained in the author’s research monograph [5] (freely available on request). We only give here some more definitions which will be needed below.

A representation $n = \sum_{i=1}^k x_i a_i$ is called *regular* if we first use a_k as often as possible, then a_{k-1} as often as possible, etc. This means to impose the additional condition

$$\sum_{i=1}^j x_i a_i < a_{j+1}, \quad j = 1, 2, \dots, k-1.$$

If only such representations are allowed, still restricted to at most h addends, we speak of the *regular h -range* $g_h(A_k)$. Clearly $n_h(A_k) \geq g_h(A_k)$ for all A_k and h . In contrast to $n_h(A_k)$, the general determination of $g_h(A_k)$ is fairly simple, see for instance [3].

A given integer may have several representations by a basis A_k . A *minimal* representation (not necessarily unique) is one with the smallest number of addends from the basis. Djawadi [1] called a basis *pleasant* (German: