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W R O C Ł A W S 'K A D R U K A R N I A N A U K O W A

Partial confluence of maps onto graphs and inverse limits of single graphs

by

Van C. Nall (Richmond, Va.) and Eldon J. Vought (Chico, Cal.)

Abstract. P(M) is the smallest integer such that if X is any continuum, f is any map from X onto M, and K is any subcontinuum of M, then there are P(M) or fewer continua in X the union of whose images under f is K. A formula is given for P(G) when G is a graph. In addition, an affirmative answer is given to a question of Hagopian who asked if an aposyndetic continuum that is the inverse limit of a single graph is locally connected.

1. Introduction. A general problem for a continuum M is to find the smallest integer P(M) such that if X is any continuum, f is any map from X onto M, and K is any subcontinuum of M, then there are P(M) or fewer continua in X the union of whose images under f is K. For example, $\operatorname{class}[W]$ is the set of all continua M for which P(M) = 1, and if M is a simple closed curve or a simple triod, P(M) = 2. The first author has shown [7, Theorem II.2] if M is a continuum that, for some integer n, contains an n-od but no (n+1)-od, n > 1, then $P(M) \le n(n-1)$. One purpose of this paper is to show that if M is a graph, $P(M) \le \frac{3}{2}n - 1$. More precisely, $P(M) = \frac{3}{2}n - \frac{1}{2}t(M) - 1$ where t(M) is the number of points in M of order 1.

A second purpose is to answer a question of Charles Hagopian who asked if an aposyndetic continuum that is the inverse limit of a single graph is locally connected. It is proved here that if X is semi-aposyndetic and is the inverse limit of continua for which there is an integer n such that no factor contains an n-od, then X is a graph.

2. Partial confluence of maps onto graphs. A continuum is a compact connected metric space (with metric ϱ). A continuum M is an n-od, where n is an integer greater than 1, if M contains a subcontinuum K, called the core of the n-od, such that $M \setminus K$ has n components. If M is a continuum, let n(M) be the largest integer (if it exists) such that M contains an n(M)-od. A map is a continuous function. If f is a map from a continuum K onto a continuum K, then a subcontinuum K of Y is a y-set if there is a continuum Y, then a subcontinuum Y of a subcontinuum Y of Y is a maximal y-set in Y is a y-set, and Y is not a proper subcontinuum of a y-set which is contained in Y. The map Y is y-sets. For the continuum Y be the largest integer such



that there is a map f from a continuum onto M that is not (P(M)-1)-partially confluent. Note that P(M) is the smallest integer such that for every map of a continuum onto M, every subcontinuum of M is the union of P(M) or fewer w_c -sets.

A subcontinuum A of a continuum X is a free arc in X if A is an arc such that the boundary of A is contained in the set of endpoints of A. A continuum G is a graph if it is the union of a finite number of free arcs. For a graph G, let e(G) be the number of edges of G, e(G) the number of points of order one in G, called terminal points, and e(G) the number of vertices of G (here, a point of order one is not a vertex). Let e(G) be the first Betti number for G. A spanning tree for G is an acyclic subcontinuum of G that contains all of the vertices and terminal points of G, and whose edges are edges of G. If G is a spanning tree, e(G) = e(G) + e(G

LEMMA 1. If G is a graph, then every finite collection of points of order two in G that does not separate G is contained in a collection of $\beta(G)$ points of order two in G that does not separate G, and every collection of $\beta(G)+1$ points of order two in G separates G.

Proof. Let $\{x_1, \ldots, x_t\}$ be a finite collection of points of order two in G that does not separate G, and such that the addition of any point to this collection yields a collection that does separate G. For each j, let I_j be the interior of the edge of G that contains x_j . Then $H = G \setminus \bigcup I_j$ is acyclic, since every point of order two in H separates H. Therefore, H is a spanning tree for G, and $\beta(G) = e(G) - e(H) = t$.

THEOREM 1. If G is a graph, then $n(G) = 2\beta(G) + t(G)$.

Proof. Let D be an n(G)-od in G with core K. It follows that K must contain each vertex of G of order greater than two. For if it did not contain a vertex, then K could be extended by an arc to a continuum K' which contains that vertex, and is the core of an (n(G)+1)-od in G.

Each component of $G \setminus K$ is either a chord of K or an arc, one of whose endpoints is a terminal point of G. Each of the latter type of component contains exactly one component of $D \setminus K$, and each chord of K contains exactly two components of $D \setminus K$. A collection of points consisting of one element from each chord of K does not separate G. So, by Lemma 1, the maximum number of chords of K is $\beta(G)$. Thus $n(G) \leq 2\beta(G) + t(G)$.

On the other hand, G must contain a spanning tree (see the proof of Lemma 1), and the spanning tree minus the interiors of the edges of G that contain the terminal points of G is the core of a $(2\beta(G)+t(G))$ -od. Thus, $n(G)=2\beta(G)+t(G)$.

LEMMA 2. Let f be a map of a continuum X onto the continuum M. Let K be a subcontinuum of M, and C_1 and C_2 be disjoint nonempty closed subsets of K such that $Bd(K) = C_1 \cup C_2$. Then there exists a connected set A that is either a w_f -set in K, or the

union of two w_f -sets in K, such that $A \cap C_1 \neq \emptyset \neq A \cap C_2$. Moreover, if no w_f -set in K intersects C_1 and C_2 , then there is a component of $M \setminus K$ whose closure intersects C_1 and C_2 .

Proof. For i=1,2, let A_i be the set of all points x in K such that there is a continuum in X whose image contains x, lies in K, and intersects C_i . Note that A_1 and A_2 are nonempty closed sets whose union is K. Let y be an element of $A_1 \cap A_2$. Then there exist w_f -sets Y_1 and Y_2 such that $y \in Y_1 \cap Y_2$, and $Y_1 \cap C_1 \neq \emptyset \neq Y_2 \cap C_2$, so $A = Y_1 \cup Y_2$ is the required set (it is possible that Y_1 or Y_2 might intersect both C_1 and C_2).

Suppose the closure of no component of $M \setminus K$ intersects C_1 and C_2 . Then $M \setminus K = Q_1 \cup Q_2$, a separation, such that $\operatorname{cl}(Q_1) \cap K = C_1$ and $\operatorname{cl}(Q_2) \cap K = C_2$. Let D be a subcontinuum of K irreducible between $f^{-1}(C_1)$ and $f^{-1}(C_2)$. Then f(D) is a W_C set in K intersecting C_1 and C_2 .

LEMMA 3. If K is a subgraph of a graph G, and E_1, \ldots, E_n are arcs such that both endpoints of each arc are in K while the rest of the arc is in $G\setminus K$, and no one of the arcs is contained in the union of the others, then there exist points a_1, \ldots, a_n such that for $1 \le i \le n$, $a_i \in E_i$ and $\{n_i \in E_i \text{ and } n_i \in E_i \text{ a$

Proof. Let E_1' be a free open arc lying in E_1 such that $E_1' \cap \bigcup_{i=2}^n E_i = \emptyset$, and let a_1 be a point in E_1' . Then $G\setminus \{a_1\}$ is connected. Suppose for $1 \le k < n$, points a_1, \ldots, a_k and arcs E_1', \ldots, E_k' have been selected so that $a_i \in E_i' \subset E_i$ for $1 \le i \le k$, $E_i' \cap \bigcup_{j \ne i} E_j = \emptyset$ for $1 \le i \le k$ and $1 \le j \le n$, and $\bigcup_{k=1}^n \{a_k\}$ does not separate G. Let E_{k+1}' be a free open arc lying in E_{k+1} such that $E_{k+1}' \cap \bigcup_{k=1}^n E_i = \emptyset$, and let $a_{k+1} \in E_{k+1}'$. Since $E_{k+1} \cap \bigcup_{k=1}^n E_i' = \emptyset$, $(G\setminus\bigcup_{k=1}^n \{a_k\})\setminus \{a_{k+1}\} = G\setminus\bigcup_{k=1}^n \{a_k\}$ is connected. By induction $G\setminus\bigcup_{i=1}^n \{a_i\}$ is connected, where each point $a_i \in E_i$ for $1 \le i \le n$.

THEOREM 2. If G is a graph then $P(G) = 3\beta(G) + t(G) - 1$.

Proof. Suppose f is a map from a continuum onto G. Let K be a subcontinuum of G such that K is acyclic, each boundary point of K is a point of order two in G, and K does not contain a terminal point of G. In this case, K is irreducible about its boundary B. Since $|B| \leq n(G)$, it follows from Theorem 1 that $|B| \leq 2\beta(G) + t(G)$. Let b_1 be an element of B and let $\mathscr{C}_1 = \{b_1, \ldots, b_{\alpha(1)}\}$ be a maximal collection of points in B that contains b_1 and is contained in the union of a collection $\mathscr{E}_1 = \{E_1, \ldots, E_{\alpha(1)-1}\}$ of w_f -sets in K such that $\bigcup \mathscr{E}_1$ is connected. Note that if no w_f -set in K contains b_1 and another point of B, then \mathscr{E}_1 may be empty. Also, note that no w_f -set in K contains a point of \mathscr{E}_1 and a point of $B \setminus \mathscr{C}_1$.

According to Lemma 2, if $B \setminus \mathscr{C}_1 \neq \emptyset$, there is a point $b_{\alpha(1)+1}$ in $B \setminus \mathscr{C}_1$ and w_f -sets E_1 and E_1'' in K such that $E_1' \cap \mathscr{C}_1 \neq \emptyset$, $b_{\alpha(1)+1} \in E_1''$, and $E_1' \cap E_1'' \neq \emptyset$. Let $\mathscr{C}_2 = \{b_{\alpha(1)+1}, \ldots, b_{\alpha(1)+\alpha(2)}\}$ be a maximal collection of points in $B \setminus \mathscr{C}_1$ that contains $b_{\alpha(1)+1}$ and is contained in the union of a collection $\mathscr{E}_2 = \{E_{\alpha(1)}, \ldots, E_{\alpha(1)+\alpha(2)-2}\}$ of w_f -sets in K such that $\{b_2'\}$ is connected.

Suppose $\alpha(i)$, \mathscr{C}_i , where \mathscr{C}_i is contained in B, and \mathscr{E}_i have been defined for $1 \leq i \leq k$, let $v = \sum_{i=1}^k \alpha(i)$, and suppose $B \setminus \bigcup_{i=1}^k \mathscr{C}_i \neq \emptyset$. By Lemma 2, there is a point b_{v+1} in

 $B \setminus \bigcup_{i=1}^k \mathscr{C}_i$ and w_f -sets E_k' and E_k'' in K such that $E_k' \cap \bigcup_{i=1}^k \mathscr{C}_i \neq \emptyset$, $b_{v+1} \in E_k''$, and $E_k' \cap E_k'' \neq \emptyset$. Let $\mathscr{C}_{k+1} = \{b_{v+1}, \ldots, b_{v+\alpha(k+1)}\}$ be a maximal collection of points in $B \setminus \bigcup_{i=1}^k \mathscr{C}_i$ that contains b_{v+1} and is contained in the union of a collection $\mathscr{E}_{k+1} = \{E_{v-k+1}, \ldots, E_{v+\alpha(k+1)-(k+1)}\}$ of w_f -sets in K such that $\bigcup \mathscr{E}_{k+1}$ is connected.

By induction, there is an integer q such that $B \setminus \bigcup_{j=1}^q \mathcal{C}_i = \emptyset$. Since there is no w_f -set in K that contains a point of \mathcal{C}_1 and a point of \mathcal{C}_j where $j \neq 1$, it follows from Lemma 2 that there is a $j, j \neq 1$, and an arc A_1 in $\operatorname{cl}(G \setminus K)$ with one endpoint in \mathcal{C}_1 and the other in \mathcal{C}_j . There is no w_f -set in K that contains a point of $\mathcal{C}_1 \cup \mathcal{C}_j$ and a point of \mathcal{C}_k where $k \notin \{1, j\}$. It follows from Lemma 2 that there is a $k, k \notin \{1, j\}$, and an arc A_2 in $\operatorname{cl}(G \setminus K)$ with one endpoint in $\mathcal{C}_1 \cup \mathcal{C}_j$ and the other in \mathcal{C}_k . Continuing, we obtain arcs A_1, \ldots, A_{q-1} . Then $\bigcup_{j=1}^{q-1} A_i$ contains arcs A'_1, \ldots, A'_{q-1} such that for $1 \leq i \leq q-1$, A'_i less its endpoints is a subset of $G \setminus K$, both endpoints of A'_i are in K, and A'_i is not contained in $\bigcup_{j\neq i} A'_j$. Then, by Lemma 3, there exists a set of q-1 points that does not separate G. Hence, by Lemma 1, $q-1 \leq \beta(G)$.

Let $\mathscr{E} = \bigcup_{i=1}^q \mathscr{E}_i \cup \left(\bigcup_{i=1}^{q-1} \{E_i', E_i''\}\right)$. Then $\bigcup \mathscr{E}$ is connected and it contains B, so $\bigcup \mathscr{E} = K$. Let m be the number of w_f -sets in \mathscr{E} . Then

$$m \le |B| - q + 2(q - 1) = |B| + (q - 1) - 1 \le 2\beta(G) + t(G) + \beta(G) - 1 = 3\beta(G) + t(G) - 1$$
.

Suppose K is any subcontinuum of G. Then K is the limit of a sequence $\{K_i\}_{i=1}^{n}$ of subcontinua of G such that for each i, K_i is acyclic, the boundary points of K_i have order two, and K_i does not contain a terminal point of G. Each K_i is the union of $n=3\beta(G)+t(G)-1$ or fewer w_f -sets. So for each positive integer i, there exist n w_f -sets Q_1^1,\ldots,Q_n^1 such that $K_i=\bigcup_{j=1}^nQ_j^1$. Choosing subsequences if necessary, assume that $\{Q_i^1\}_{j=1}^{n}Q_j$ converges to a continuum Q_f for $1\leqslant j\leqslant n$. Clearly Q_f is a w_f -set for $1\leqslant j\leqslant n$, and $\bigcup_{j=1}^nQ_j$ is contained in K. To see that $\bigcup_{j=1}^nQ_j=K$, let y be an element of K. For every positive integer i, there exists y_i in K_i such that $\lim y_i=y$. For every positive integer i, there exists an integer $\alpha(i)$, $1\leqslant \alpha(i)\leqslant n$, such that $y_i\in Q_{\alpha(i)}^i$. There is an integer α , $1\leqslant \alpha\leqslant n$, such that $\alpha(i)=\alpha$ for infinitely many i's. Without loss of generality assume that $\alpha(i)=\alpha$ for all the i's. Then $y_i\in Q_\alpha^i$ for all i's, and $y=\lim y_i\in \lim Q_\alpha^i=Q_\alpha$ which is contained in $\bigcup_{j=1}^nQ_j$. Hence K is the union of $n=3\beta(G)+t(G)-1$ w_f -sets.

Let K be an acyclic subcontinuum of G such that K contains all of the vertices of G, the boundary points of K have order two, and K does not contain any of the terminal points of G. We will produce a map f from a continuum onto G such that K is not the union of fewer than $3\beta(G)+t(G)-1$ w_f -sets.

By an end arc of K is meant an arc in K which contains a terminal point of K and is contained in a free arc of K. If $\beta(G) \neq 0$, there are $\beta(G)$ pairs of end arcs, $\{[a_i, b_i], [a'_i, b_i]\}$, $1 \leq i \leq \beta(G)$, where b_i and b'_i are terminal points of K, and there is an arc $[b_i, b'_i]$ in the closure of $G \setminus K$. If $t(G) \neq 0$, then there are an additional t(G) end arcs of K, $\{[a_i, b_i]\}$, $\beta(G) + 1 \leq i \leq \beta(G) + t(G)$, where b_i is a terminal point of K and there is an arc $[b_i, b'_i]$ in the closure of $G \setminus K$ from b_i to b'_i , where b'_i is a terminal point of G.

Let x be a point in the interior of $[b_1, b'_1]$. For each i from 2 to $\beta(G)$ let A_i be an arc in $K \cup [b_1, x]$ which is irreducible from x to a point c_i in (a_i, b_i) and which contains the arc $[b_1, x]$. Note that A_i does not intersect $(x, b'_1]$ or $(a'_i, b'_i]$. Let A_1 be an arc

containing b_1 from x to a point c_1 in (a'_1, b'_1) . Also, for $2 \le i \le \beta(G)$, let A'_i be an arc in $K \cup [b_1, x] \cup [b_i, b'_i]$ which is irreducible from c_i to x, and which does not intersect (a_i, c_i) or $(x, b'_1]$. Note that A'_i must contain $[a'_i, b'_i]$. Let A'_1 be an arc in $K \cup [b'_1, x]$ which is irreducible from c_1 to x and does not contain b_1 .

If $t(G) \neq 0$, for $\beta(G) + 1 \leq i \leq \beta(G) + t(G)$ and $i \neq 1$, let A_i be an arc in $K \cup [b_1, x] \cup [b_i, b_i']$ which is irreducible from b_i' to x and which does not intersect $(x, b_1']$.

Let F be a simple fan which consists of $2\beta(G)+t(G)$ arcs with the common endpoint x'. Define the map f from F onto G as follows. For $1 \le i \le \beta(G)+t(G)$, map one leg of F one-to-one onto A_i sending x' to x. For $1 \le i \le \beta(G)$, map one leg of F one-to-one onto A_i' sending x' to x. Note that A_1' and each A_i , $1 \le i \le \beta(G)+t(G)$, contains exactly one w_f -set which is maximal in K, and each A_i' , $2 \le i \le \beta(G)$, contains exactly two w_f -sets which are maximal in K. Observe also that these $[1+\beta(G)+t(G)]+2[\beta(G)-1]=3\beta(G)+t(G)-1$ w_f -sets are all necessary in order for their union to be K. Therefore, K is not the union of fewer than $3\beta(G)+t(G)-1$ w_f -sets. So $P(G)=3\beta(G)+t(G)-1$.

Since $\beta(G) = e(G) - (t(G) + v(G)) + 1$, P(G) = 3(e(G) - v(G)) - 2t(G) + 2, which is a formula that makes P(G) trivial to compute. Also, from Theorem 1 it follows that $\beta(G) = \frac{1}{2}(n(G) - t(G))$, so $P(G) = \frac{3}{2}n(G) - \frac{1}{2}t(G) - 1$. If t(G) = 0, $P(G) = \frac{3}{2}n(G) - 1$, and, in general, $P(G) \leq \frac{3}{2}n(G) - 1$, which suggests the following question.

QUESTION 1. Is there a continuum X such that $P(X) > \frac{3}{2}n(X) - 1$?

The next theorem will allow us to consider P(X) for a larger collection of continua.

THEOREM 3. Suppose n is a positive integer, and the continuum $X = \underline{\lim}(X_a, f_a)$, where each X_a is a continuum such that $P(X_a) \leq n$. Then $P(X) \leq n$.

Proof. For each positive integer i there is a map g_i from X onto some X_α such that $\operatorname{diam}(g_i^{-1}(g_i(x))) < 1/i$ for each x in X [4, Lemma 1.162, p. 167]. Let f be a map from the continuum M onto X, and let L be a subcontinuum of X. Since $g_i f$ is n-partially confluent, for each positive integer i there is a collection $\{K_1^i,\ldots,K_n^i\}$ of continua in M such that $\bigcup_{j=1}^n g_i f(K_j^i) = g_i(L)$. Let $L_j^i = f(K_j^i)$ for each j, $1 \le j \le n$. Choosing subsequences if necessary, assume that for each j, $1 \le j \le n$, the sequence $\{L_j^i\}_{j=1}^n$ converges to a continuum L_j in X, and the sequence $\{K_j^i\}_{j=1}^n$ converges to a continuum K_j in M. It follows that $f(K_j) = L_j$ for each j, $1 \le j \le n$.

If x is a point in L there is a map α from the positive integers into the integers from 1 to n, and a sequence of points $\{k_{\alpha(i)}^i\}_{i=1}^{\alpha}$ such that $k_{\alpha(i)}^i \in K_{\alpha(i)}^i$ and $g_i f(k_{\alpha(i)}^i) = g_i(x)$ for each positive integer i. There is a j', $1 \le j' \le n$, such that $\alpha(i) = j'$ for infinitely many i's. Choosing subsequences if necessary, assume $\alpha(i) = j'$ for each positive integer i. Then $\{k_j^i\}_{j=1}^{\alpha}$ converges to a point $k_{j'}$ in $K_{j'}$, and $f(k_{j'}) = x$ since diam $\left(g_i^{-1}(g_i(x))\right) < 1/i$ for each positive integer i. So $x \in L_{j'}$. We have shown that $L = \bigcup_{j=1}^n L_j$, and, since each L_j is a w_i -set, L is the union of n w_i -sets.

If X is the inverse limit of a single graph, define $P^*(X)$ to be the minimum of $\{P(G) | G \text{ is a graph and } X \text{ is the inverse limit of } G\}$. According to Theorem 3, $P(X) \leq P^*(X)$. For example, if M is the Ingram continuum [2, p. 100] then M is the inverse limit of a simple triod, M is not the inverse limit of an arc [2, Theorem 3, p. 106], and M is in class [W] [3, Theorem 1, p. 190]. So $P^*(M) = 2$ and P(M) = 1.

3. Inverse limits of a single graph. The purpose of this section is to answer a question of Charles Hagopian who asked if an aposyndetic continuum that is the inverse limit of a single graph is locally connected. This question is related to the more general problem of when a one-dimensional aposyndetic continuum is locally connected. For example, it is not known if a one-dimensional unicoherent and mutually aposyndetic continuum is locally connected [see the Houston Problem Book, problem 48]. Since every one-dimensional continuum is the inverse limit of graphs, it is natural to view those continua that are the inverse limits of a single graph as an important subclass of one-dimensional continua.

A map $f: X \to Y$ is an ε -map if ε is a positive number such that $f^{-1}(y)$ has diameter less than ε for each y in Y. A space X is semi-aposyndetic if for each pair of points in X there is a continuum in X that contains one of the points in its interior and does not contain the other point.

THEOREM 4. If a continuum X contains an n-od, then there is a positive number ε such that if f is an ε -map from X onto a continuum Y, then Y contains an n-od.

Proof. Suppose C is an n-od with core K in X. Let $\{L_1, \ldots, L_n\}$ be the components of $C \setminus K$. For each i, $1 \le i \le n$, let x_i be an element of L_i . Let $\partial_1 = \min\{\varrho(x_i, K)\}$, and let $\partial_2 = \min_{i \ne i} \{\varrho(x_i, \operatorname{cl}(L_i))\}$. Let $\varepsilon = (\min\{\partial_1, \partial_2\})/2$.

Suppose f is an ε -map from X onto Y. For each i, the set $f(L_i \cup K) \setminus (\bigcup_{j \neq i} f(L_j \cup K))$ is not empty, since it contains $f(x_i)$. Therefore, the continua in the collection $\{f(L_i \cup K)\}_{i=1}^n$ have a point in common and no one of them is contained in the union of the others. The union of this collection contains an n-od [6, Theorem 1].

If a continuum $X = \underline{\lim}(X_{\alpha}, f_{\alpha})$, then for each positive number ε there is an ε -map into some X_{α} [4, Lemma 1.162, p. 167]. So, if there is a positive integer n such that each X_{α} does not contain an n-od, then X does not contain an n-od.

THEOREM 5. A continuum is a graph if and only if it is semi-aposyndetic and does not contain an infinite-od.

Proof. Every hereditarily locally connected continuum that does not contain an infinite-od is a graph [5, Theorem III.1, p. 568]. Suppose the continuum X is semi-aposyndetic and not hereditarily locally connected. Then there is a sequence $\{K_i\}_{i=1}^{n}$ of disjoint continua in X that converges to a nondegenerate continuum K in X.



Partial confluence of mans

Let x and y be different points in K. Without loss of generality, it can be assumed that there is a continuum J in X that contains x in its interior and does not contain y. There is an integer N such that if $n \ge N$, $K_n \cap J \ne \emptyset$, and $K_n \setminus J \ne \emptyset$. Then $J \cup K \cup (\bigcup_{n>N} K_n)$ is an infinite-od.

The next two theorems follow immediately from Theorems 4 and 5.

THEOREM 6. If $X = \underline{\lim}(X_{\alpha}, f_{\alpha})$ and each X_{α} is a continuum, X is semi-aposyndetic, and if there is a positive integer n such that each X_{α} does not contain an n-od, and each X_{α} is a continuum, then X is a graph.

Since, for a positive integer n, there are only finitely many graphs that do not contain an n-od, if each X_{α} in the statement of Theorem 6 is a graph that does not contain an n-od, then X is the inverse limit of a single graph. Clearly, if G is a graph, there is an integer n such that G does not contain an n-od.

THEOREM 7. If X is the inverse limit of a single graph G, and X is semi-aposyndetic, then X is a graph.

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