

## A generalization of Sylvester's and Frobenius' problems on numerical semigroups

by

ZDZISŁAW SKUPIEŃ (Kraków)

**1. Introduction.** Our aim is to formulate and study a “modular change problem”. Let  $\mathcal{A}$  be a set of  $t$  natural numbers  $a_1, \dots, a_t$  (which are coin denominations or semigroup generators). Integer linear combinations of these numbers are clearly multiples of  $\gcd \mathcal{A}$ , their greatest common divisor. If indeterminate coefficients, say  $x_i$ 's, are nonnegative,  $x_i \in \mathbb{N}_0$ , then those combinations form a numerical semigroup  $S$  (under addition),

$$S = S(\mathcal{A}) := \left\{ n \in \mathbb{N}_0 \mid n = \sum_{i=1}^t x_i a_i, \text{ all } x_i \in \mathbb{N}_0 \right\},$$

which includes 0 and all multiples of  $\gcd \mathcal{A}$  large enough. In fact, the following is known.

**PROPOSITION 1.1.** *All integer linear combinations of integers  $a_i$  in  $\mathcal{A}$  coincide with all the multiples of  $\gcd \mathcal{A}$ . If the coefficients are nonnegative integers, the combinations include all multiples of  $\gcd \mathcal{A}$  large enough. ■*

Let  $\Omega (= \Omega(\mathcal{A}) = |\mathbb{N} - S| \leq \infty)$  denote the cardinality of the complement of  $S$  in  $\mathbb{N}$ . Hence, if the given numbers are relatively prime, that is,

$$(1.1) \quad \gcd(a_1, \dots, a_t) = 1,$$

then  $\Omega < \infty$  is the number of integers  $n \in \mathbb{N}_0$  without any representation

$$(1.2) \quad n = \sum_{i=1}^t x_i a_i,$$

with

$$(1.3) \quad \text{all } x_i \in \mathbb{N}_0.$$

The largest of these omitted  $n$ 's is denoted by  $g(\mathcal{A})$  (or  $N(\mathcal{A})$ ); by definition  $g(\mathcal{A}) = \infty$  if  $\Omega = \infty$ , and  $g(\mathcal{A}) = -1$  if  $\Omega = 0$ . The study of the functions  $\Omega$  and  $g$  dates back to Sylvester [14] and Frobenius (cf. [2]), respectively. Another related function—the number of partitions (1.2)–(1.3)

of  $n$ , denoted by  $\nu_n(\mathcal{A})$ —is older and was studied by Euler. The study of  $\Omega$ ,  $g$ , and/or  $\nu_n$  constitutes the classical “change problem” (cf. [9], where only  $\nu_n$  is considered).

Let  $q \in \mathbb{N}$  and let  $L$ ,  $L = L_q$ , be a complete system of residues modulo  $q$  (e.g.,  $\mathbb{Z} \supset L = \{0, 1, \dots, q-1\}$  unless otherwise stated). For a  $\kappa \in L$ , we impose the additional requirement

$$(1.4) \quad \sum_{i=1}^t x_i \equiv \kappa \pmod{q}$$

and consider the related functions  $\Omega_\kappa$ ,  $N_\kappa$  and  $\nu_{n\kappa}$  which represent the number of so-called  $\kappa$ -omitted integers  $n$  (among nonnegative ones,  $n \in \mathbb{N}_0$ ); the largest of them,  $+\infty$ , or  $-1$ ; and the number of  $\kappa$ -representations of  $n$ , respectively. Then  $(\mathcal{A}, q)$  is the pair of arguments of the functions and

$$g(\mathcal{A}, q) := \max\{N_\kappa(\mathcal{A}, q) : \kappa \in L_q\}.$$

This new problem, the “modular change problem”, includes the classical one (for  $q = 1$ ) and is prompted by applications of the problem (1.2)–(1.4) in constructive graph theory [13] where the following condition is desirable.

(1.5) *A solution exists for all natural  $n$  large enough.*

Our main result yields a useful equivalent of the condition (1.5) (or finiteness of  $g$ ) in case of our modular problem. Moreover, explicit formulae in case of two generators ( $t = 2$ ) and, in general case, efficient algorithms for evaluating both all  $\Omega_\kappa$  and all  $N_\kappa$  are provided.

**THEOREM 1.2.** *The finiteness of an  $N_\kappa(\mathcal{A}, q)$  is equivalent to the conjunction of (1.1) and*

$$(1.6) \quad \gcd(q, a_2 - a_1, a_3 - a_2, \dots, a_t - a_{t-1}) = 1,$$

*and is equivalent to the finiteness of  $g$  (or all  $N_\kappa$ 's).*

The proof of necessity uses the general solution of a linear Diophantine equation. (It is not excluded that  $t = 1$ , in which case (1.1) and (1.6) mean that  $a_1 = 1 = q$ .)

A correct reference to Sylvester's problem (and result, proved by W. J. C. Sharp [14] using a generating function) will be provided.

**2. General results.** We need the following notation:

$$D_i = \gcd(a_1, \dots, a_i), \quad D_0 := 0,$$

whence  $D_1 = a_1$  and  $D_i = \gcd(D_{i-1}, a_i)$ ,  $i = 1, \dots, t$ . It is known that the

general integer solution  $x$  of (1.2) is the integer vector

$$(2.0) \quad x = \tilde{x}_0 + \sum_{j=1}^{t-1} u_j y_j$$

where  $\tilde{x}_0$  is a particular integer solution of (1.2) and  $y_j$ 's are  $t - 1$  integer vectors which form a basis for the rational solution space of the simplified (homogeneous) equation

$$(2.1) \quad \sum_{i=1}^t x_i a_i = 0$$

such that  $u_j$  can be arbitrary integers. Hence, each  $y_j$  is a  $t$ -vector which is divisor minimal, that is, its components are relatively prime. In particular, it is known that a solution  $y$  of (2.1) for  $t = 2$ ,  $y = (x_1, x_2)$ , is unique up to a factor of  $\pm 1$ ,

$$(2.2) \quad y = \pm(a_2/D_2, -a_1/D_2).$$

For  $j = 1, \dots, t$ , let  $\xi_j$  be an integer column  $j$ -vector with components  $\xi_{ij}$  satisfying the auxiliary equation

$$(2.3) \quad \sum_{i=1}^j a_i \xi_{ij} = D_j$$

whence  $\xi_1 = \xi_{11} = 1$ . Assume that not only all  $\xi_j$  but also  $\tilde{x}_0$  and all  $y_j$  are column vectors,  $y_j = [y_{ij}]_{t \times 1}$ . Then

$$\tilde{x}_0 = n\xi_t/D_t$$

provided that  $D_t | n$ . By Proposition 1.1, the equation (2.3) can be replaced by

$$(2.4) \quad D_{j-1}w_j + a_j \xi_{jj} = D_j \quad (j = 1, \dots, t).$$

Now, a solution of (2.4) determines the last component  $\xi_{jj}$  of the vector  $\xi_j$  and the remaining components can be computed recursively,

$$\xi_{ij} = \xi_{i,j-1}w_j \quad \text{for } i < j \text{ and } j \geq 2.$$

We are now ready to construct all vectors  $y_j$ ,  $j < t$ . Assume that the last  $t - j - 1$  components of  $y_j$  are zero, and the  $(j + 1)$ th component  $y_{j+1,j}$  is negative and has the smallest possible absolute value. Then

$$D_j z_j + a_{j+1} y_{j+1,j} = 0 \quad \text{for some } z_j \in \mathbb{N}_0,$$

whence, using (2.3), (2.2), and the Kronecker  $\delta$  symbol, we finally have

$$(2.5) \quad y_j = \begin{bmatrix} z_j \xi_j \\ y_{j+1,j} \\ 0 \end{bmatrix} = \left( a_{j+1} \begin{bmatrix} \xi_j \\ 0 \end{bmatrix} - D_j [\delta_{i,j+1}]_{t \times 1} \right) / D_{j+1} \quad (1 \leq j < t).$$

The above method which produces a “first-column-missing upper triangular” matrix  $[y_{ij}]_{t \times (t-1)}$  (see also [1]) usually gives solution vectors  $y_j$  with large components  $y_{ij}$  (in absolute value) depending on the ordering of  $a_i$ 's. A computationally efficient method to find  $D_t$  and a vector  $\xi_t$  together with all basis solutions  $y_j$  (with components small enough) can be found in [6, 5]. The above method, however, readily gives the general solution to each equation (2.3). Namely, if  $k$  replaces  $j$  there, then  $\tilde{x}_0 = \xi_k$  and the corresponding solution basis is formed by the columns of the leading  $k \times (k - 1)$  submatrix of  $[y_{ij}]$ .

From (2.5), using (2.3) to eliminate  $\xi_{jj}$ , we get

$$(2.6) \quad \sum_{i=1}^t y_{ij} = \left( \xi_{jj} a_{j+1} - D_j + a_{j+1} \sum_{i=1}^{j-1} \xi_{ij} \right) / D_{j+1}$$

$$= \left( D_j (a_{j+1} - a_j) + a_{j+1} \sum_{i=1}^{j-1} (a_j - a_i) \xi_{ij} \right) / a_j D_{j+1}, \quad j < t.$$

**Proof of Theorem 1.2.** First, by Proposition 1.1, the existence of an integer solution of (1.2) for any  $n$  is equivalent to (1.1).

Necessity of (1.1) is thus proved. Hence, if  $p$  is a prime divisor of the left-hand side of (1.6) then  $p \nmid a_k$  for all  $k$  and therefore  $p \mid \sum_i y_{ij}$  in (2.6) for all  $j$ . Then by (2.0), for any  $n = (kq - 1 + \kappa)a_1$  ( $k \in \mathbb{N}$ ) in (1.2), (1.4) is not satisfied since  $p \mid q$ , a contradiction.

*Sufficiency.* Using (2.0) and (2.6) one can see that (1.1) and (1.6) imply the existence of a solution to (1.2) and (1.4) for any  $n$  and for any  $\kappa \in L_q$ . Now, let  $-Y_{n,\kappa}$  and  $Z_{n,\kappa}$  be the corresponding parts of the right-hand side of (1.2) with nonpositive and nonnegative coefficients, respectively. Assume that the number  $+Y_{n,\kappa}$  is as small as possible. Thus  $Y_{0,0} = 0 = Z_{0,0}$  (where  $n = 0$  and  $\kappa = 0$ ).

Let  $-Y^0$  be a linear combination of  $a_i$ 's such that, for all  $i$ , the coefficient of  $a_i$  is chosen to be the smallest of (nonpositive) coefficients of the  $a_i$  in all  $-Y_{0,\kappa}$  (where  $n = 0$ ). For  $n = 1$  and  $\kappa = 0$ , let  $Y = Y_{1,0}$  and  $Z = Z_{1,0}$  whence  $1 = -Y + Z$ . Consider the following  $a_1$  consecutive integers  $n$ :

$$(a_1 - 1)Y + \quad Y^0,$$

$$(a_1 - 2)Y + Z + Y^0,$$

$$\dots\dots\dots$$

$$(a_1 - 1)Z + Y^0.$$

Each of them is fully representable, i.e., has representations (1.2)–(1.4) for all  $\kappa \in L_q$ , because any representation can be modified by adding any of the  $q$  expressions  $0 = -Y_{0,\kappa} + Z_{0,\kappa}$  where  $n = Y^0 - Y_{0,\kappa}$  has a representation (1.2) and (1.3) by the very definition of  $Y^0$ . Each larger integer also has full

representations, by adding a multiple of  $a_1$  to representations of one of the  $a_1$  integers above. ■

The above sufficiency proof extends that of the existence of  $g$  for  $q = 1$ , due to Ö. Beyer, as presented in Selmer [12] (1986).

In what follows (1.1) and (1.6) are assumed. Moreover,

$$(2.7) \quad a_1 < \dots < a_t.$$

A generator which has a 1-representation (modulo  $q$ ) by the remaining generators can be removed from  $\mathcal{A}$  without altering the value of any  $N_\kappa$ . Call the set  $\mathcal{A}$  of generators  $q$ -independent if either  $q = 1 = t = a_1$  or  $t > 1$  and no  $a_i$  in  $\mathcal{A}$  is 1-representable modulo  $q$  by the remaining generators; otherwise  $\mathcal{A}$  is called  $q$ -dependent (1-representable modulo 1 means representable). Hence the 1-independence of  $\mathcal{A}$  ( $q = 1$ ) is the known notion of independence of generators.

Note that

$$(2.8) \quad |\mathcal{A}| = t \leq qa_1 = q \min \mathcal{A}$$

is a necessary condition for  $\mathcal{A}$  to be  $q$ -independent (whence  $a_t \geq [t/q] + t - 1$  if  $\mathcal{A}$  is  $q$ -independent).

In fact, suppose  $qa_1 < t$ . Then  $|\mathcal{A} - \{a_1\}| \geq qa_1$ . Hence there is  $j \geq 2$  such that  $a_j \equiv a_1 \pmod{qa_1}$  or there are  $i, j \geq 2$  with  $a_i \equiv a_j \pmod{qa_1}$ . In either case  $\mathcal{A}$  is  $q$ -dependent. ■

Recall that  $g(\mathcal{A}, q)$  is the largest integer (or  $+\infty$ ) which is not fully representable modulo  $q$  by  $\mathcal{A}$ . The Frobenius problem consists in finding (an upper bound for) the integer  $g(\mathcal{A})$ ,  $g(\mathcal{A}) = g(\mathcal{A}, 1) = N_0(\mathcal{A}, 1)$ , i.e., if  $q = 1$  and  $\kappa = 0$ . In this context we shall assume

$$(2.9) \quad a_t \leq g(\mathcal{A} - \{a_t\}, q) \quad \text{if } t \geq 2,$$

i.e., first we shall possibly eliminate excessively large (irrelevant) generators. This natural assumption, which only admits of independence of the largest generator  $a_t$  from the remaining ones, is usually omitted in the published upper bounds for  $g(\mathcal{A}, 1)$  or—as in [11]—it is sometimes replaced by requiring the independence of the whole  $\mathcal{A}$ .

Given a positive integer  $\tilde{n}$  which has a representation (1.2)–(1.3) with  $n = \tilde{n}$  (e.g.,  $\tilde{n} = a_i$ ,  $\sum a_i$ , etc., the smallest  $\tilde{n} = a_1$ ), let

$$m = q\tilde{n}$$

and, for each residue  $r$  modulo  $m$  and a fixed  $\kappa \in L_q$ , let  $n_{r\kappa}$  be the least  $n$  which is in the residue class of  $r$  modulo  $m$  and has a  $\kappa$ -representation. Hence, by the choice of  $m$ , if  $n \equiv r \pmod{m}$ ,  $n$  clearly has a  $\kappa$ -representation if and only if  $n \geq n_{r\kappa}$ . Thus, the finiteness of  $N_\kappa$ 's is equivalent to the

existence of all numbers  $n_{r\kappa}$ ; moreover,

$$(2.10) \quad N_\kappa = \max_r n_{r\kappa} - m$$

because, if  $N_\kappa$  is finite, there is  $\varrho \in \mathbb{N}_0$  with  $\varrho < m$  such that  $N_\kappa \equiv \varrho \pmod{m}$ , whence  $N_\kappa$  is clearly  $m$  smaller than  $n_{\varrho\kappa}$ . This extends a formula for  $g$  due to Brauer and Shockley [2, Lemma 3] ( $q = 1$  and  $\kappa = 0$ ). Thus, knowing the  $qm$  numbers  $n_{r\kappa}$  [and a  $\kappa$ -representation of each  $n_{r\kappa}$ ] we can determine all sets, say  $\mathcal{J}_\kappa^c$ , of  $\kappa$ -omitted integers [and a  $\kappa$ -representation of each positive  $n$  such that  $n \notin \mathcal{J}_\kappa^c$ ]. Analogously, on partitioning  $\mathcal{J}_\kappa^c$  into residue classes modulo  $m$ ,

$$(2.11) \quad \begin{aligned} \Omega_\kappa := |\mathcal{J}_\kappa^c| &= \sum_{r=0}^{m-1} (n_{r\kappa} - r)/m \\ &= -(m-1)/2 + \sum_r n_{r\kappa}/m \quad (\text{cf. [11]}) \\ &= \sum_r \lfloor n_{r\kappa}/m \rfloor \quad (\text{cf. [7]}). \end{aligned}$$

This formula generalizes those by Selmer [11, Theorem] and Nijenhuis [7], respectively, for  $\Omega$  if  $q = 1$ .

**3. The case of two generators,  $t = 2$ .** Throughout this section,

$$(3.1) \quad \kappa \in \{-1, 0, \dots, q-2\}.$$

Let us use standard notation:

$$a = a_1, \quad b = a_2, \quad x = x_1, \quad y = x_2 \quad (a < b).$$

Since (1.1) and (1.6) are assumed to hold,

$$(3.2) \quad \gcd(a, b) = 1 = \gcd(q, b - a).$$

Sylvester’s contribution to the change problem is misquoted or misplaced quite often (cp. [8, 11, 12, 4] and (!) [13]). The following is what Sylvester actually presents in [14] (where in fact  $p$  and  $q$  stand for  $a$  and  $b$ , resp.): “If  $a$  and  $b$  are relative primes, prove that the number of integers inferior to  $ab$  which cannot be resolved into parts (zeros admissible), multiples respectively of  $a$  and  $b$ , is

$$\frac{1}{2}(a-1)(b-1).”$$

It is explained in [14] by means of an example that integers in question are to be positive. Notice that it belongs to the mathematical folklore now that the bound  $ab$  above [integer  $ab - a - b$ ] is the largest integer which is not representable as a linear combination of  $a$  and  $b$  with positive [nonnegative] integer coefficients.

We refer to  $\kappa$ -representations,  $\kappa$ -omitted integers and symbols  $g(\mathcal{A}, q)$  and  $N_\kappa(\mathcal{A}, q)$  as defined in Introduction. In order to avoid trivialities, assume

$$(3.3) \quad 1 \leq a < b \quad \text{but} \quad a > 1 \quad \text{if} \quad q = 1,$$

because if  $1 \in \mathcal{A}$  then  $S = \mathbb{N}_0$ , whence  $g(\{1, b\}, q) = -1$  if  $q = 1$ . Define

$$(3.4) \quad g := qab - a - b,$$

whence, by (3.2),  $g$  is odd;

$$(3.5) \quad \begin{aligned} N_\kappa &:= qab - b - (q - 1 - \kappa)a, & -1 \leq \kappa \leq q - 2 \\ &= g - (q - 2 - \kappa)a, & \text{by (3.4)}. \end{aligned}$$

**THEOREM 3.1.** *Under the above assumptions, if  $t = 2$  and  $\mathcal{A} = \{a, b\}$ , the largest  $\kappa$ -omitted integer  $N_\kappa(\mathcal{A}, q) = N_\kappa$  (whence  $g(\mathcal{A}, q) = N_{q-2} = g$ ) and  $\Omega_\kappa = (g + 1)/2$  is the number of  $\kappa$ -omitted integers.*

Hence the interval  $[0, g]$  contains as many  $\kappa$ -representable integers as  $\kappa$ -omitted ones. The proof is based on a series of auxiliary results which follow.

**PROPOSITION 3.2 (Folklore).** *If  $a, b \in \mathbb{N}$  and  $\gcd(a, b) = 1$  then, for each  $n \geq (a - 1)(b - 1)$ , there is exactly one pair of nonnegative integers  $\rho$  and  $\sigma$  such that  $\sigma < a$  and  $n = \rho a + \sigma b$ .*

Notice for the proof that, for  $j = 0, 1, \dots, a - 1$ , if  $\gcd(a, b) = 1$ , all integers  $n - jb$  are mutually distinct modulo  $a$ . Hence, for exactly one  $j$ , say  $j = \sigma$ , we have  $n = \rho a + \sigma b$ , whence  $\rho \geq 0$  because  $\rho a \geq -a + 1$ . ■

It is well known that

$$(3.6) \quad (x, y) = (x^0 + ub, y^0 - ua), \quad u \in \mathbb{Z},$$

is a general solution of (1.2) in our case, which agrees with (2.0) and (2.2). Hence we have

**PROPOSITION 3.3.** *For any  $\kappa$ , if  $n < qab$  (or  $n \leq g$  in (3.4)) then  $n$  has at most one  $\kappa$ -representation. ■*

Using (3.4), let

$$\mathfrak{J} := \mathbb{Z} \cap [0, g], \quad \mathfrak{J}' := \mathbb{Z} \cap [0, qab).$$

Let  $\mathfrak{J}_\kappa^-$  denote the set of  $\kappa$ -representable integers and let

$$(3.7) \quad \mathfrak{J}_\kappa := \mathfrak{J}_\kappa^- \cap \mathfrak{J}, \quad \mathfrak{J}'_\kappa := \mathfrak{J}_\kappa^- \cap \mathfrak{J}', \quad \mathfrak{J}_\kappa^c := \mathfrak{J} - \mathfrak{J}_\kappa.$$

Moreover,  $k + A := \{k + x \mid x \in A\}$  if  $A \subseteq \mathbb{Z}$ . Notice that if  $q = 1$  (and  $\kappa = -1$ ), then  $\mathfrak{J}_\kappa^- = S$ , whence, by Proposition 3.2 and formula (3.4),  $\mathfrak{J}_\kappa^c = \mathbb{N}_0 - S$ . We are going to show that in general  $\mathfrak{J}_\kappa^c$  is the set of  $\kappa$ -omitted integers (cf. the end of the preceding section).

PROPOSITION 3.4. *For any  $\kappa, N_\kappa \in \mathfrak{J}_\kappa^c$ .*

PROOF. By (3.3) and (3.5),  $N_\kappa \geq 0$ . By (3.5) and (3.6), all solutions of (1.2) for  $n = N_\kappa$  are of the form

$$x = \kappa + 1 + (q - u)b - q \quad \text{and} \quad y = ua - 1, \quad u \in \mathbb{Z}.$$

Then  $x, y \geq 0$  can be satisfied only if  $1 \leq u < q$ , which is a contradiction if  $q = 1$ ; otherwise, due to (3.2),  $x + y (= \kappa + (b - 1)q - (b - a)u) \not\equiv \kappa \pmod{q}$ , contrary to (1.4). ■

The following transformation is used by Nijenhuis and Wilf [8] in order to solve Sylvester’s problem (with  $q = 1$  and  $\kappa = -1$ ).

PROPOSITION 3.5. *The transformation*

$$\varphi : \mathfrak{J}_\kappa \ni n \mapsto g - n$$

*is a bijection onto  $\mathfrak{J}_{q-2-\kappa}^c$  if  $0 \leq \kappa \leq q - 2$ , and onto  $\mathfrak{J}_\kappa^c$  if  $\kappa = -1$ .*

PROOF. By (3.4) and (3.5),  $g = N_{q-2}$ . Hence, if  $n \in \mathfrak{J}_\kappa$  then  $\varphi(n) \notin \mathfrak{J}_{q-2-\kappa}$  because otherwise  $g = n + \varphi(n) \in \mathfrak{J}_{q-2}$ , contrary to Proposition 3.4. Moreover, injectivity of  $\varphi$  is clear. Notice that assumptions (3.2) ensure the existence of a solution  $(x_1, y_1)$  of (1.2) such that  $0 \leq x_1 < qb$  and  $x_1 + y_1 \equiv q - 2 - \kappa \pmod{q}$ . Suppose  $n \in \mathfrak{J}_{q-2-\kappa}^c$  if  $\kappa \geq 0$ , and  $n \in \mathfrak{J}_{-1}^c$  if  $\kappa = -1$ . Then clearly  $y_1 < 0$ . Therefore, by (3.4),  $g - n = (qb - 1 - x_1)a + (-y_1 - 1)b \in \mathfrak{J}_\kappa$ , whence  $\varphi(g - n) = n$ , which proves surjectivity of  $\varphi$ . ■

COROLLARY 3.6.  $|\mathfrak{J}_{-1}| = |\mathfrak{J}_{-1}^c| = |\mathfrak{J}|/2 = (g + 1)/2$  (cf. (3.7)). ■

PROPOSITION 3.7.

$$(q - 2 - \kappa)a = \min \begin{cases} \mathfrak{J}_{q-2-\kappa} & \text{if } \kappa \geq 0, \\ \mathfrak{J}_{-1} & \text{if } \kappa = -1. \end{cases} \quad \blacksquare$$

PROPOSITION 3.8.  $\max(\mathbb{Z} - \mathfrak{J}_\kappa^+) = N_\kappa$ .

PROOF. Owing to Proposition 3.4, it is enough to show that  $k \in \mathfrak{J}_\kappa^+$  if  $k > N_\kappa$ . To this end, assume  $q \geq 2$  because the case  $q = 1$  is covered by Proposition 3.2. Next, assume  $\kappa \neq q - 2$  and  $N_\kappa < k \leq g$ . Then, by (3.5),  $0 \leq g - k < g - N_\kappa = (q - 2 - \kappa)a$ , whence, due to Propositions 3.7 and 3.5,  $k \in \mathfrak{J}_\kappa$  and we are done. Finally, assume that  $n = k > g (= N_{q-2})$ . Then

$$n_k := k - (q - 1)ab \geq (a - 1)(b - 1) \quad \text{by (3.4),}$$

whence, by Proposition 3.2,  $n_k = \varrho a + \sigma b$  for exactly one pair  $(\varrho, \sigma) \geq (0, 0)$  and  $\sigma < a$ . Hence, (1.2) and  $x, y \in \mathbb{N}_0$  are satisfied if

$$x = \varrho + (q - 1 - j)b \quad \text{and} \quad y = \sigma + ja$$

for  $q$  consecutive values of  $j, j = 0, \dots, q - 1$ , whence, by (3.2), the congruence (1.4) is satisfied for one of these  $j$ ’s. Thus  $k \in \mathfrak{J}_\kappa^+$ . ■

COROLLARY 3.9.  $\mathfrak{J}_\kappa^c$  is the set of  $\kappa$ -omitted integers. ■

Proof of Theorem 3.1. The first part of the Theorem follows from Proposition 3.8. As for the counting part, let

$$\mathfrak{J}_\kappa^- = \mathfrak{J}_\kappa - \{g, g - 1, \dots, g - a + 1\}.$$

Then, by (3.7), Proposition 3.8 and formula (3.5),  $|\mathfrak{J}_\kappa^-| = |\mathfrak{J}_\kappa| - a$  for  $\kappa < q - 2$ . Moreover, using Proposition 3.3, one can see that, for each  $\kappa \geq 0$ ,

$$\psi_\kappa : \mathfrak{J}_{\kappa-1}^- \ni n \mapsto n + a$$

is a bijection onto  $\mathfrak{J}_\kappa - \{(kq + \kappa)b \mid k = 0, 1, \dots, a - 1\}$ , a set of cardinality  $|\mathfrak{J}_\kappa| - a$ , by (3.7), (3.4) and (3.1). Hence,  $|\mathfrak{J}_{\kappa-1}^-| = |\mathfrak{J}_\kappa|$  for each  $\kappa \geq 0$ , which, due to (3.7) and Corollaries 3.6 and 3.9, ends the proof. ■

The following result extends Corollary 3.9 and Proposition 3.3 and reduces determining  $\nu_{n\kappa}$ , the number of  $\kappa$ -representations of  $n$ , to the membership problem for the residue  $(n \bmod qab)$  (cf. [9] for  $q = 1$ ).

COROLLARY 3.10. (A) *The set of integers  $n$  such that  $n \in \mathbb{N}_0$  and  $\nu_{n\kappa} = k$ ,  $k \in \mathbb{N}_0$ , is  $\mathfrak{J}_\kappa^c$  of cardinality  $(g + 1)/2$  if  $k = 0$ , else  $((k - 1)qab + \mathfrak{J}'_\kappa) \cup (kqab + \mathfrak{J}_\kappa^c)$  of cardinality  $qab$ . Hence,  $kqab + \mathfrak{J}_\kappa^+$  is the set of integers  $n$  such that  $\nu_{n\kappa} \geq k + 1$ ,  $k \geq 0$ . Moreover,*

(B) *For  $n \in \mathbb{N}_0$ ,  $\nu_{n\kappa}$  is  $\lfloor n/(qab) \rfloor + 1$  or  $\lfloor n/(qab) \rfloor$  according as  $(n \bmod qab)$  is representable ( $\in \mathfrak{J}_\kappa^+$ ) or is not ( $\in \mathfrak{J}_\kappa^c$ ). ■*

Theorem 3.1 is equivalent to a part of the next result. Moreover, the author's paper [13] referred to above contains a result equivalent to the non-counting parts of this result in case  $q = 2$  and  $\kappa = -1$ .

THEOREM 3.11. *Given any integers  $m_a, m_b$  and*

$$\tilde{n} := am_a + bm_b, \quad \tilde{N}_\kappa := \tilde{n} + g - (q - 1 - \tilde{\varepsilon}_\kappa)a \quad (= \tilde{n} + g \text{ if } q = 1)$$

(see (3.4) for  $g$ ) where

$$\tilde{\varepsilon}_\kappa \equiv (\kappa + 1 - m_a - m_b) \pmod{q}, \quad 0 \leq \tilde{\varepsilon}_\kappa < q,$$

*all integers  $n$ ,  $n \geq \tilde{n}$ , which cannot be represented as integer linear combinations  $xa + yb$  under assumptions (3.2) and (3.3) and requirements  $x \geq m_a$ ,  $y \geq m_b$  and  $x + y \equiv \kappa \pmod{q}$  are in the interval  $[\tilde{n}, \tilde{N}_\kappa]$ , their number is  $(g + 1)/2$  (which is independent of  $\kappa$ ) and  $\tilde{N}_\kappa$  is the largest of them. On the other hand, the uniqueness of  $(x, y)$  is implied by either of the following inequalities:  $m_a \leq x < m_a + qb$ ,  $m_b \leq y < m_b + qa$ . ■*

**4. Algorithms.** Let  $g(\mathcal{A}, q) < \infty$  and  $t > 1$ . Then two algorithms for evaluating the integers  $N_\kappa$  and  $\Omega_\kappa$  can be presented. One, (W): a toroidal lattice-of-lights, extends Wilf's circle-of-lights [15], and another one, (N): a minimum-path algorithm, devised after Nijenhuis' [7].

The algorithm (W) processes consecutive integers  $n \in \mathbb{N}_0$  using the following simple rule. ( $n = 0$  is 0-representable; any  $n \in \mathbb{N}$  is  $(\kappa + 1)$ -representable iff  $n - a_i$  is  $\kappa$ -representable for some  $i = 1, 2, \dots, t$  where  $\kappa \in L_q$ . The corresponding information (0: no (or light off) or 1: yes (light on)) on  $n$  and any  $\kappa$  is put at position  $(r, \kappa)$ ,  $r = (n \bmod a_t)$ , of the resulting doubly cyclic (toroidal) 0-1 list of size  $qa_t$ . Additionally,  $\text{RP}[\kappa]$ , the number of  $\kappa$ -representable integers, is updated and the  $a_1$ th of consecutive  $\kappa$ -representable integers  $n$  is recorded as  $N[\kappa]$ . The process stops at the first  $n$  which is the  $a_1$ th of consecutive fully representable integers. Then output is  $N_\kappa = N[\kappa] - a_1$  and  $\Omega_\kappa = n + 1 - \text{RP}[\kappa]$ . Thus, since  $t \leq a_t$ , space complexity is  $O(qa_t)$ . Since  $g \geq a_1 - 1$ , time complexity can be shown to be  $O(tqg)$  or  $O((t + q)g)$  depending on the (data structure dealing with 0-1 vectors and) implementation. As a by-product the algorithm gives the following inequality which is not sharp in general but, for  $q = 1$ , it improves on one due to Wilf:

$$(4.1) \quad g \leq (qa_t - 2)a_t - 1 \quad \text{for } t \geq 2.$$

PROOF. This is true if  $t = 2$  (and  $q = 1$ ). Else, if not all lights are on, each full sweep around the lattice increases the number of lights which are on because otherwise (it would only cause the rotation of lights and)  $g$  would be infinite, contrary to Theorem 1.2. We may stop at  $n$  such that at most  $z := \lceil a_t/a_1 \rceil - 1$  lights are left off. Then  $g \leq n + za_1$ . Since 1 is at  $(0, 0)$  due to the initial condition, the first sweep adds at least two new 1's (if  $t > 2$  or  $q > 1$ ). Thus,  $n \leq (qa_t - 2 - z)a_t$ , whence the result follows. ■

The bound (4.1) on  $g$  can be improved considerably. Erdős–Graham’s important upper bound for  $g(\mathcal{A}, 1)$  (see [3]) (whose simple proof can be found in Rödseth [10]) can be extended to any admissible  $q$ . Adapting Rödseth’s argument to formula (2.10) with  $m = qa_t$  gives the result. Let  $q\mathcal{A}$  be the sum of  $q$  copies of the set  $\mathcal{A}$ , let  $\mathcal{A}_0 = q\mathcal{A} \cup \{0\} - \{qa_t\}$ , and let  $h = 2\lfloor a_t/(t - 1 + 1/q) \rfloor$ . Then

$$\begin{aligned} N_0(\mathcal{A}, q) &\leq \max_{b_j \in \mathcal{A}_0} \sum y_j b_j - qa_t \quad \text{with max over } y_j\text{'s from } \mathbb{N}_0 \text{ such} \\ &\quad \text{that } \sum y_j \leq h \text{ and some of } y_j\text{'s} \\ &\quad \text{are small,} \\ &\leq \max_{x_i \in \mathbb{N}_0, \sum x_i \leq qh, x_t < q} \sum_{i=1}^t x_i a_i - qa_t \\ &\leq (qh - q + 1)a_{t-1} - a_t \quad (\text{for } \kappa = 0), \end{aligned}$$

and

$$N_\kappa(\mathcal{A}, q) \leq N_0(\mathcal{A}, q) + \kappa a_1, \quad \kappa = 0, 1, \dots, q - 1,$$

whence

$$(4.2) \quad g(\mathcal{A}, q) \leq 2qa_{t-1} \lfloor a_t / (t - 1 + 1/q) \rfloor - (q - 1)(a_{t-1} - a_1) - a_t.$$

Therefore  $g$  is  $O(qa_t^2/t)$  (and so is  $\Omega_\kappa$  for any  $\kappa$  because  $\Omega_\kappa \leq g+1$ ). It can be seen that the bound (4.2) is sharp in the sense that, for each  $q \geq 1$  and each  $t \geq 2$ , there is an  $\mathcal{A}$  with  $|\mathcal{A}| = t$ ,  $a_t$  large enough and  $g(\mathcal{A}, q) = \Theta(qa_t^2/t)$ ,  $\Theta$  indicating the exact order of magnitude.

The algorithm (N) is more efficient but is also only pseudo-polynomial (i.e., a common bound on complexities is a polynomial in  $t$ ,  $q$  and some  $a_i$ ). The algorithm is based on generating all  $q^2a_1$  integers  $n_{r\kappa}$  as sums of generators  $a_i$ , see formulae (2.10)–(2.11) with  $m = qa_1$ , the smallest possible value of  $m$ . It maintains a heap (i.e., a binary tree) of  $\kappa$ -heaps whose entries are available sums which are put in increasing order along paths going from the root of the  $\kappa$ -heap,  $\kappa$ -heaps being similarly ordered by their roots. The algorithm starts by taking 0 as  $n_{00}$ . Next, if  $n_{r\kappa}$  is identified (as the smallest available sum) and removed from the heap, the algorithm accommodates each of the sums  $s = n_{r\kappa} + a_j$  in the  $(\kappa + 1)$ -heap, i.e., inserts  $s$  as the  $(r, \kappa + 1)$ -entry where  $r = (s \bmod m)$  provided that the entry either has not appeared yet or is larger than  $s$ . Time of labour associated with each  $s$  is  $O(\log_2(q^2a_1))$ . The space and time complexities of the algorithm are  $O(t + q^2a_1)$  and  $O(tq^2a_1 \log_2(q^2a_1))$ , respectively. Our complexity estimates correct some of those by Nijenhuis [7].

For the set  $\mathcal{A} = \{271, 277, 281, 283\}$  (dealt with by Wilf [15] for  $q = 1$ ), our computer programs (W) and (N) found data presented in Table 1 for  $q = 5, 3, 1$  in stated seconds on PC AT 386 (20 MHz) (A) and XT (8 MHz) (X), respectively. Notice that  $q = 2$  (or any even  $q$ ) is not allowed.

Table 1

		$q = 5$		$q = 3$		$q = 1$	
		$N$	$\Omega$	$N$	$\Omega$	$N$	$\Omega$
		0	63 699 32 099	38 225 19 316		13 022	6533
		1	63 970 32 098	38 496 19 316			
		2	62 886 32 097	37 954 19 316			
		3	63 157 32 098				
		4	63 428 32 099				
Time (seconds):	$\begin{pmatrix} \text{WA} & \text{WX} \\ \text{NA} & \text{NX} \end{pmatrix}$	$\begin{pmatrix} 9.12 & 65.14 \\ 1.27 & 9.29 \end{pmatrix}$	$\begin{pmatrix} 4.12 & 28.95 \\ 0.44 & 3.13 \end{pmatrix}$	$\begin{pmatrix} 0.94 & 6.37 \\ 0.01 & 0.33 \end{pmatrix}$			

Programs (N) and (W) can easily be supplemented so as to generate  $q^2a_1$  integers  $n_{r\kappa}^{(1)}$  (this is the smallest  $\kappa$ -representable integer in the residue class of  $r$  modulo  $qa_1$ ), together with an explicit representation of each of them. This can yield all sets  $\mathcal{J}_\kappa^c$  of omitted integers [and some representations of the remaining ones].

**5. Problems and concluding remarks.** A natural, though not easy, problem is to study the function  $\kappa \mapsto (N_\kappa, \Omega_\kappa)$  in case  $t \geq 3$ . Partial questions can be of interest.

(a) Formulae (3.5) in case  $t = 2$  and many examples of pairs  $(\mathcal{A}, q)$  with  $t \geq 3$  suggest that  $N_\kappa \in \{g - ja_1 \mid j = 0, 1, \dots, q - 1\}$ ,  $g = g(\mathcal{A}, q)$ . Nevertheless, this is not the case in general. Namely, if  $a$  and  $b$  are relatively prime natural numbers,  $a < b$  and  $b - a$  is odd then, for  $\mathcal{A} = \{a, b, a + b\}$  and  $q = 2$ , one has  $g = g(\mathcal{A}, 2) = ab - a = N_{b \bmod 2}$  and  $ab/2 = \Omega_\kappa$  for both  $\kappa = 0, 1$ ; moreover,

$$N_{a \bmod 2} = \begin{cases} g + a - b = ab - b & \text{if } b < 2a, \\ g - a & \text{otherwise.} \end{cases}$$

(For the proof, use representations by the set  $\{a, b\}$  with  $q = 1$ , see Section 3. In particular, all omitted integers there and half of the set  $\{ia, jb \mid i = 0, \dots, b - 1; j = 1, \dots, a - 1\}$  can coincide with our  $\kappa$ -omitted integers.) It is easily seen, however, that all  $N_\kappa$ 's are in the closed interval  $[g - (q - 1)a_1, g]$ . In fact, use (2.7) and (2.10) with  $m = qa_1$  to see that all integers  $n_{r\kappa} + a_1$  are  $(\kappa + 1)$ -representable and their residues modulo  $qa_1$  form a complete system, whence

$$N_{\kappa+1} \leq N_\kappa + a_1 \quad \text{for all pairs } \kappa, \kappa + 1 \text{ in } \mathbb{Z}.$$

Hence, the result follows.

(b) For  $q = 1$ , it is known [8] that  $\Omega \geq (g + 1)/2$ . For any  $q$ , by using the transformation  $n \mapsto g - n$  as in Proposition 3.5, one can prove  $\max_\kappa \Omega_\kappa \geq (g + 1)/2$  or, more generally,

$$\max_\kappa \Omega_\kappa + \min_\kappa \Omega_\kappa \geq g + 1.$$

Characterize all (or find more interesting examples of) pairs  $(\mathcal{A}, q)$  with  $t \geq 3$  such that  $\Omega_\kappa = \text{const}$  on  $L_q$  ( $q > 1$ ) where possibly  $\text{const} = (g + 1)/2$  ( $q \geq 1$ ) (cp.  $t = 2$  above or supersymmetric semigroups in [4] for  $q = 1$ ).

(c) Characterize  $(\mathcal{A}, q)$  with  $q > 1$  and  $t = |\mathcal{A}| > 2$  such that  $\Omega_\kappa > g(\mathcal{A}, q)/2$  for all  $\kappa \in L_q$ . Characterize  $\mathcal{A}$  such that this holds for all admissible  $q$  (or—on the contrary—does not hold for almost all such  $q$ ). Determine the largest admissible integer  $q$ , denote it by  $\xi(\mathcal{A})$ , such that

$$(5.1) \quad \Omega_\kappa > g(\mathcal{A}, q)/2 \quad \text{for all } \kappa \in L_q.$$

Let  $\xi'(\mathcal{A})$  be the largest integer  $k$  such that (5.1) holds for all admissible  $q \leq k$ . Notice that  $\xi' \leq \xi$  for all  $t \geq 2$ . If  $t = 1$  then  $\xi' = \infty$  and  $\xi = 1$  (and  $\mathcal{A} = \{1\}$ ). Characterize  $\mathcal{A}$  with  $\xi' = \xi$ .

In what follows,  $\mathcal{A} = \mathcal{A}_{t,a} := \{a, a + 1, \dots, a + t - 1\}$  with  $t \geq 2$ , a set of consecutive generators (dealt with in [8]) with  $t$  elements,  $a$  being the

smallest. One can see now that  $\xi' = \infty = \xi$  iff  $t - 1$  divides  $a$ , iff  $\Omega_\kappa = \text{const}$  on  $L_q$  for each  $q$ ; moreover,  $\text{const} = (g + 1)/2$  iff  $a = 1 = q$  or  $q = 2$  and  $t - 1 \mid a - 1$ , or finally,  $t - 1 \mid a - 2$  with the restriction that  $q = 1$  if  $t \geq 4$ . On the other hand, for  $t \geq 3$ , we have  $\xi' = t$  and  $\xi = a$  if  $t - 1 \mid a - 1$  unless  $a = 1$  and then  $\xi' = 2 = \xi$ .

**Acknowledgments.** The author is indebted to his daughter Anna /Sliz of Toronto and Dr. Paul Vaderlind of Stockholm for providing him with copies of Sylvester's contribution [14(a)]. He also thanks Dr. Anna Rycerz for her calling the author's attention to Nijenhuis' paper [7]. Remarks of Prof. G. Hofmeister which resulted in improving the contents of the paper are gratefully acknowledged. Partial support of Polish KBN Grant Nr 2 P301 050 03 is acknowledged.

### References

- [1] J. Bond, *Calculating the general solution of a linear Diophantine equation*, Amer. Math. Monthly 74 (1967), 955–957.
- [2] A. Brauer and J. E. Shockley, *On a problem of Frobenius*, J. Reine Angew. Math. 211 (1962), 215–220.
- [3] P. Erdős and R. L. Graham, *On a linear diophantine problem of Frobenius*, Acta Arith. 21 (1972), 399–408.
- [4] R. Fröberg, C. Gottlieb and R. Häggkvist, *On numerical semigroups*, Semigroup Forum 35 (1987), 63–83.
- [5] S. Kertznar, *The linear diophantine equation*, Amer. Math. Monthly 88 (1981), 200–203.
- [6] S. Morito and H. M. Salkin, *Finding the general solution of a linear diophantine equation*, Fibonacci Quart. 17 (1979), 361–368.
- [7] A. Nijenhuis, *A minimal-path algorithm for the "money changing problem"*, Amer. Math. Monthly 86 (1979), 832–834.
- [8] A. Nijenhuis and H. S. Wilf, *Representations of integers by linear forms in non-negative integers*, J. Number Theory 4 (1972), 98–106.
- [9] G. Pólya and G. Szegő, *Aufgaben und Lehrsätze aus der Analysis I*, Springer, 1925 [revised and enlarged: *Problems and Theorems in Analysis I*, Springer, 1978, pp. 174 and 180 [Problems I 9, I 26–27].
- [10] Ö. J. Rödseth, *Two remarks on linear forms in non-negative integers*, Math. Scand. 51 (1982), 193–198.
- [11] E. S. Selmer, *On the linear diophantine problem of Frobenius*, J. Reine Angew. Math. 293/294 (1977), 1–17.
- [12] —, *The local postage stamp problem*, Part 1: General theory, Ch. II; Part 3: Supplementary volume, Supplement to Ch. II; preprints, University of Bergen, 42 (1986) and 57 (1990), resp.
- [13] Z. Skupień, *Exponential constructions of some nonhamiltonian minima*, in: Proc. 4th CS Sympos. on Combinat., Graphs and Complexity (held in Prachatice 1990), J. Nešetřil and M. Fiedler (eds.), Ann. Discrete Math. 51, Elsevier, 1992, 321–328.

- [14] J. J. Sylvester, [Problem] 7382 (and *Solution by W. J. Curran Sharp*), The Educational Times 37 (1884), 26; reprinted in (a): Mathematical Questions, with their Solutions, from the "Educ. Times", with Many Papers (...) 41 (1884), 21.
- [15] H. S. Wilf, *A circle-of-lights algorithm for the "money-changing problem"*, Amer. Math. Monthly 85 (1978), 562–565.

INSTITUTE OF MATHEMATICS AGH  
ACADEMY OF MINING AND METALLURGY  
MICKIEWICZA 30  
30-059 KRAK/OW, POLAND

INSTITUTE OF COMPUTER SCIENCE  
JAGIELLONIAN UNIVERSITY  
NAWOJKI 11  
30-072 KRAK/OW, POLAND

*Received on 20.11.1992*  
*and in revised form on 25.3.1993*

(2346)