

## On the intertwining of regular dilations

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**Abstract.** The aim of this paper is to find conditions that assure the existence of the commutant lifting theorem for commuting pairs of contractions (briefly, bicontractions) having  $(*)$ -regular dilations. It is known that in such generality, a commutant lifting theorem fails to be true. A positive answer is given for contractive intertwining which doubly intertwine one of the components. We also show that it is possible to drop the doubly intertwining property for one of the components in some special cases, for instance for semi-subnormal bicontractions. As an application, a result regarding the existence of a unitary (isometric) dilation for three commuting contractions is obtained.

**0. Introduction.** It is well known that the theorem of B. Sz.-Nagy and C. Foiaş regarding the lifting of the commutant of a pair of contractions plays an important role in the applications of dilation theory in operator interpolation problems, optimization and control, in geology and geophysics. This is excellently illustrated in the book [5] of C. Foiaş and A. E. Frazho.

Lately, the dilation theory method was extended to the study of commuting multioperators by many authors (W. Mlak, M. Słociński, M. Kosiek, M. Ptak, E. Albrecht, V. Müller, R. E. Curto, F. H. Vasilescu, A. Octavio, B. Chevreau and others). In 1993, at the B. Sz.-Nagy Anniversary International Conference in Szeged, C. Foiaş raised the problem of obtaining a commutant lifting theorem for a pair of bicontractions having regular unitary dilations. In 1994, at the XV-th International Conference on Operator Theory in Timișoara, V. Müller proved that in such a generality, the commutant lifting theorem fails.

In the present work it is our aim to find conditions that assure the existence of such a lifting. In this frame a structure for regular (or  $*$ -regular) dilations is needed.

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**1. Preliminaries.** For a complex separable Hilbert space  $\mathcal{H}$ ,  $\mathcal{B}(\mathcal{H})$  means the  $C^*$ -algebra of all bounded linear operators on  $\mathcal{H}$  (with Hilbert adjoint as involution). The elements of the (closed) unit ball in  $\mathcal{B}(\mathcal{H})$  are called *contractions* on  $\mathcal{H}$ . An  $n$ -tuple of operators will be called a *multioperator*. If the members of the  $n$ -tuple commute, then we have a *commuting multioperator*. A commuting multioperator consisting of contractions will be called a *multicontraction* (*bicontraction* if  $n = 2$ ) on  $\mathcal{H}$ .

For a multicontraction  $T := (T_1, \dots, T_n)$  we define  $T^* := (T_1^*, \dots, T_n^*)$ . We shall also use the multiindex notation

$$T^m := T_1^{m_1} \dots T_n^{m_n}, \quad m = (m_1, \dots, m_n) \in \mathbb{Z}_+^n,$$

where  $\mathbb{Z}$  (resp.  $\mathbb{Z}_+$ ) is the set of all (resp. positive) integers. A multicontraction  $T$  on  $\mathcal{H}$  will be briefly denoted by  $[\mathcal{H}, T]$ .

An *isometric* (resp. *unitary*) *dilation* of a multicontraction  $[\mathcal{H}, T]$  is a multicontraction  $[\mathcal{K}, U]$  consisting of isometric (resp. unitary) operators, such that  $\mathcal{K}$  contains  $\mathcal{H}$  as a closed subspace and

$$(1) \quad T^m = P_{\mathcal{H}} U^m |_{\mathcal{H}} \quad (m \in \mathbb{Z}_+^n),$$

where  $P_{\mathcal{H}} = P_{\mathcal{K}, \mathcal{H}}$  is the orthogonal projection of  $\mathcal{K}$  on  $\mathcal{H}$ .

It is known (see [1]) that each bicontraction has an isometric (and unitary) dilation, and generally speaking, an  $n$ -tuple consisting of more than three commuting contractions has no isometric dilation (see [15]). An isometric, respectively unitary, dilation  $[\mathcal{K}, U]$  of  $[\mathcal{H}, T]$  is called *minimal* if

$$(2) \quad \mathcal{K} = \bigvee_{m \in \mathbb{Z}_+^n} U^m \mathcal{H},$$

or respectively,

$$(2') \quad \mathcal{K} = \bigvee_{m \in \mathbb{Z}^n} U^m \mathcal{H}.$$

Let us first note that if  $[\mathcal{K}, V]$  is an isometric minimal dilation of the multicontraction  $[\mathcal{H}, T]$ , then by (2),  $\mathcal{H}$  is invariant with respect to  $V^*$  and  $V_i^* |_{\mathcal{H}} = T_i^*$  ( $i = 1, \dots, n$ ). Let us also mention that if  $[\mathcal{K}, V]$  is an isometric minimal dilation of  $[\mathcal{H}, T]$ , and  $[\tilde{\mathcal{K}}, U]$  is the minimal unitary extension (see [18]) of  $[\mathcal{K}, V]$ , then it is the unitary minimal dilation of  $[\mathcal{H}, T]$ .

On the other hand, it is known that in case of a single contraction, the minimality condition (2) or (2') implies that the isometric (resp. unitary) dilation is uniquely determined up to a unitary equivalence which fixes  $\mathcal{H}$ . But for  $n > 1$  this is not true ([1], [18]).

An isometric (resp. unitary) minimal dilation  $[\mathcal{K}, U]$  of the multicontraction  $[\mathcal{H}, T]$  is called *regular* (respectively *\*-regular*) if it satisfies

$$(3) \quad T^{*m-} T^{m+} = P_{\mathcal{H}} U^{*m-} U^{m+} |_{\mathcal{H}} \quad (m \in \mathbb{Z}^n),$$

or respectively,

$$(3^*) \quad T^{m_+} T^{*m_-} = P_{\mathcal{H}} U^{*m_-} U^{m_+} |_{\mathcal{H}} \quad (m \in \mathbb{Z}^n),$$

where  $m_+ := (m_1^+, \dots, m_n^+)$ ,  $m_- = (m_1^-, \dots, m_n^-)$  and  $m_i^+ := \max\{m_i, 0\}$ ,  $m_i^- := \max\{-m_i, 0\}$ .

Regular dilations were studied in [3], [10], [18] and recently in [4] and [8]. Their existence is not assured for any multicontraction, not even for  $n = 2$ . However, if such a dilation exists, then by the minimality condition (2) or (2') it is uniquely determined up to unitary equivalence (see [18]). It is easy to see from (3) and (3\*) that  $[\mathcal{K}, U]$  is a regular (resp. \*-regular) unitary dilation of  $[\mathcal{H}, T]$  iff  $[\mathcal{K}, U^*]$  is a \*-regular (resp. regular) unitary dilation of  $[\mathcal{H}, T^*]$ . We also note that if  $[\mathcal{K}, V]$  is a regular (resp. \*-regular) isometric dilation of  $[\mathcal{H}, T]$  then the minimal unitary extension  $[\tilde{\mathcal{K}}, U]$  of  $[\mathcal{K}, V]$  is a regular (resp. \*-regular) unitary dilation. On the other hand, if  $[\mathcal{K}, U]$  is a regular unitary dilation, by putting  $\mathcal{K}^+ := \bigvee_{m \in \mathbb{Z}_+^n} U^m \mathcal{H}$ ,  $\mathcal{K}_*^+ := \bigvee_{m \in \mathbb{Z}_+^n} U^{*m} \mathcal{H}$ ,  $V_{*i} := U_i^* |_{\mathcal{K}_*^+}$  and  $V_i := U_i |_{\mathcal{K}^+}$  ( $i = 1, \dots, n$ ), then  $[\mathcal{K}^+, V]$  is a regular isometric dilation of  $[\mathcal{H}, T]$ , whereas  $[\mathcal{K}_*^+, V_*]$  is a \*-regular isometric dilation of  $[\mathcal{H}, T^*]$ . Let us also recall that a multicontraction  $[\mathcal{H}, T]$  has a regular isometric dilation iff

$$\Delta_T := \sum_{|m| \leq n} (-1)^{|m|} T^{*m_-} T^{m_+} \geq 0,$$

where  $|m| := m_1 + \dots + m_n$  (see [18], [4]).

A multicontraction is called a *polydisc isometry* ([4]) when  $\Delta_T = 0$ . It is easily seen that if  $T_i$  ( $i = 1, \dots, n$ ) are isometries (i.e.  $T$  is an  $n$ -toral isometry [2]), then  $T$  is a polydisc isometry. Now if  $I - \sum_{i=1}^n T_i^* T_i \geq 0$ , then  $\Delta_T \geq 0$ . If  $\sum_{i=1}^n T_i^* T_i = I$ , then  $[\mathcal{H}, T]$  is called a *spherical isometry* ([2]). When  $[\mathcal{H}, T^*]$  is a polydisc or a spherical isometry, we say that  $[\mathcal{H}, T]$  is a *polydisc* or a *spherical coisometry*, respectively. If the multicontraction is doubly commuting, then obviously  $\Delta_T = (I - T_1^* T_1) \dots (I - T_n^* T_n) \geq 0$ .

Furthermore, it is easy to verify

PROPOSITION 0. *For a multicontraction  $[\mathcal{H}, T]$  the following statements are equivalent:*

- (i)  $[\mathcal{H}, T]$  is doubly commuting;
- (ii)  $[\mathcal{H}, T]$  has a regular isometric dilation which is doubly commuting;
- (iii)  $[\mathcal{H}, T]$  has a regular unitary dilation  $[\mathcal{K}, U]$  such that  $[\mathcal{K}, U^*]$  is a regular unitary dilation for  $[\mathcal{H}, T^*]$ .

*In particular, a multicontraction consisting of coisometries has a regular isometric (or unitary) dilation iff it is doubly commuting.*

Let us observe that Proposition 0(iii) means more than that  $T$  has a regular and a  $*$ -regular dilation. For example, for a bicontraction  $T = (T_0, T_1)$  with  $\|T_0\|^2 + \|T_1\|^2 \leq 1$ , we have  $\Delta_T \geq 0$  and  $\Delta_{T^*} \geq 0$  but it is possible that  $T_0 T_1^* \neq T_1^* T_0$ .

Finally, also recall that an isometric pair  $[\mathcal{H}, V]$  is called a *shift  $n$ -tuple* (see [7], [8]) or a *multishift* (see [4]) if there exists a wandering (closed) subspace  $\mathcal{E}$  in  $\mathcal{H}$  (i.e.  $V^m \mathcal{E} \perp V^p \mathcal{E}$ ,  $m \neq p$ ,  $m, p \in \mathbb{Z}_+^n$ ) such that  $\mathcal{H} = \bigoplus_{m \in \mathbb{Z}_+^n} V^m \mathcal{E}$ . For the sake of simplicity we shall work in the case  $n = 2$ .

**2.  $*$ -Regular isometric dilations.** The isometric dilations consisting of doubly commuting isometries are in some sense connected with regular dilations. Precisely this is given in

**THEOREM 1.** *For a bicontraction  $[\mathcal{H}, T]$  with  $T = (T_0, T_1)$  the following assertions are equivalent:*

- (i)  $T$  has a doubly commuting minimal isometric dilation;
- (ii)  $T$  has a minimal isometric dilation of the form  $[\mathcal{M} \oplus \mathcal{G}, W \oplus V]$ , where  $W$  is a bishift on  $\mathcal{M}$  and  $V$  is a bidisc coisometry on  $\mathcal{G}$ ;
- (iii) If  $[\mathcal{K}_0, S_0]$  is the minimal isometric dilation of  $T_0$ , then there exists a contraction  $S_1$  on  $\mathcal{K}_0$  which doubly commutes with  $S_0$ , such that  $P_{\mathcal{H}} S_1 = T_1 P_{\mathcal{H}}$ ;
- (iv)  $T$  has a  $*$ -regular isometric (unitary) dilation.

**Proof.** (i) $\Rightarrow$ (ii). Let  $[\mathcal{K}, U]$  be a minimal isometric dilation of  $T$  with  $U_0, U_1$  doubly commuting isometries on  $\mathcal{K}$ . By the Wold decomposition ([17], [7]) we have  $\mathcal{K} = \mathcal{K}_u \oplus \mathcal{K}_s \oplus \mathcal{K}_{s_0} \oplus \mathcal{K}_{s_1}$ , so that  $U_0$  and  $U_1$  reduce each subspace and  $U_0, U_1$  are unitary on  $\mathcal{K}_u$ ,  $U$  is a shift pair on  $\mathcal{K}_s$  and  $U_i$  is unitary (resp. a shift) on  $\mathcal{K}_{s_{1-i}}$  (resp.  $\mathcal{K}_{s_i}$ ),  $i = 0, 1$ . Put  $\mathcal{G} = \mathcal{K}_u \oplus \mathcal{K}_{s_0} \oplus \mathcal{K}_{s_1}$ ,  $V_i = V_i^i \oplus V_i^{1-i}$  with  $V_i^i = U_i|_{\mathcal{K}_u \oplus \mathcal{K}_{s_0}}$ ,  $V_i^{1-i} = U_i|_{\mathcal{K}_{s_1}}$  ( $i = 0, 1$ ) and  $V = (V_0, V_1)$ ,  $W' = (V_0^0, V_1^1)$ ,  $W'' = (V_0^1, V_1^0)$ . Because  $V_1^1$  is unitary,  $W'^*$  is a bidisc isometry on  $\mathcal{K}_u \oplus \mathcal{K}_{s_0}$ , and since  $V_0^1$  is unitary,  $W''^*$  is a bidisc isometry on  $\mathcal{K}_{s_1}$ . Then

$$\Delta_{V^*} = \Delta_{W'^*} + \Delta_{W''^*} = 0,$$

so  $V^*$  is a bidisc isometry on  $\mathcal{G}$ . Therefore since  $W := (U_0|_{\mathcal{K}_s}, U_1|_{\mathcal{K}_s})$  is a bishift on  $\mathcal{M} = \mathcal{K}_s$  and  $\mathcal{K} = \mathcal{M} \oplus \mathcal{G}$ ,  $W \oplus V = U$ , we see that the dilation  $[\mathcal{K}, U]$  of  $T$  has the form described in (ii).

(ii) $\Rightarrow$ (iii). Let  $[\mathcal{M} \oplus \mathcal{G}, W \oplus V]$  be as in (ii). Since  $W$  is a bishift on  $\mathcal{M}$ , the isometries  $W_0$  and  $W_1$  doubly commute on  $\mathcal{M}$  ([16]). Also the isometries  $V_0$  and  $V_1$  doubly commute on  $\mathcal{G}$ , because  $V$  has a  $*$ -regular dilation. Therefore the isometries  $U_0 = W_0 \oplus V_0$  and  $U_1 = W_1 \oplus V_1$  doubly commute on  $\mathcal{M} \oplus \mathcal{G}$ .

Put

$$\mathcal{K}_0 = \bigvee_{m \in \mathbb{Z}_+} W_0^m \mathcal{H}, \quad S_0 = U_0|_{\mathcal{K}_0}, \quad S_1 = P_{\mathcal{K}_0} U_1|_{\mathcal{K}_0}.$$

Then  $[\mathcal{K}_0, S_0]$  is the minimal isometric dilation of  $T_0$ ,  $S_0 S_1 = S_1 S_0$  and  $P_{\mathcal{H}} S_1 = T_1 P_{\mathcal{H}}$ . Since  $U_0^* \mathcal{H} = T_0^* = S_0^* \mathcal{H}$ , we also have  $U_0^*|_{\mathcal{K}_0} = S_0^*$ . Furthermore, for  $k = \sum_{p \in \mathbb{Z}_+} S_0^p h_p \in \mathcal{K}$  with the sequence  $\{h_p\} \subset \mathcal{H}$  with finite support, we obtain

$$\begin{aligned} S_1 S_0^* k &= S_1 S_0^* h_0 + \sum_{p \geq 1} S_1 S_0^{p-1} h_p \\ &= P_{\mathcal{K}_0} U_1 U_0^* h_0 + \sum_{p \geq 1} S_0^{p-1} S_1 h_p \\ &= P_{\mathcal{K}_0} U_0^* U_1 h_0 + \sum_{p \geq 1} S_0^* S_0^p S_1 h_p \\ &= S_0^* S_1 h_0 + S_0^* \sum_{p \geq 1} S_1 S_0^p h_p = S_0^* S_1 k, \end{aligned}$$

where we have used the fact that  $P_{\mathcal{K}_0} U_0^* U_1|_{\mathcal{K}_0} = S_0^* S_1$ . Consequently,  $S_0$  and  $S_1$  doubly commute on  $\mathcal{K}_0$ .

(iii) $\Rightarrow$ (iv). If  $\mathcal{K}_0, S_0$  and  $S_1$  are as in (iii), then  $S_i^* \mathcal{H} = T_i^*$  ( $i = 0, 1$ ) and since  $S_0$  and  $S_1$  doubly commute, we have

$$\begin{aligned} \Delta_{T^*} &= I - T_0 T_0^* - T_1 T_1^* + T_0 T_1 T_0^* T_1^* \\ &= P_{\mathcal{H}} (I - S_0 S_0^* - S_1 S_1^* + S_0 S_1 S_0^* S_1^*)|_{\mathcal{H}} \\ &= P_{\mathcal{H}} (I - S_0 S_0^*) (I - S_1 S_1^*)|_{\mathcal{H}} \geq 0. \end{aligned}$$

Consequently,  $T$  has a  $*$ -regular isometric (or unitary) dilation.

(iv) $\Rightarrow$ (i). Suppose  $\Delta_{T^*} \geq 0$ . Denote by  $\mathcal{M} = H^2(\mathbf{T}^2, \mathcal{H})$  and  $Z = (Z_0, Z_1)$  the shift pair (that is,  $Z_0$  and  $Z_1$  are the operators of multiplication with the coordinate functions) on  $\mathcal{M}$ . Using Theorem 3.15 of [4], there are a Hilbert space  $\mathcal{H}_1$ , a bicontraction  $N = (N_0, N_1)$  on  $\mathcal{H}_1$  with  $N_0$  and  $N_1$  normal operators and with  $N^*$  a bidisc isometry, and an isometry  $A$  of  $\mathcal{H}$  in  $\mathcal{M} \oplus \mathcal{H}_1$  such that  $A\mathcal{H}$  is invariant for  $(Z_i \oplus N_i)^*$  and  $(Z_i \oplus N_i)^* A = AT_i^*$ ,  $i = 0, 1$ . Then it results that

$$T_0^p T_1^q = A^* (Z_0 \oplus N_0)^p (Z_1 \oplus N_1)^q A \quad (p, q \in \mathbb{Z}_+).$$

Let now  $[\mathcal{K}_1, (M_0, M_1)]$  be a minimal isometric dilation of  $N$  with  $M_0$  and  $M_1$  doubly commuting isometries on  $\mathcal{K}_1$ . Put

$$\mathcal{K} = \mathcal{M} \oplus \mathcal{K}_1, \quad U_i = Z_i \oplus M_i \quad (i = 0, 1).$$

Then  $U_0$  and  $U_1$  are doubly commuting isometries on  $\mathcal{K}$ . Denoting by  $J$  the embedding of  $\mathcal{M} \oplus \mathcal{H}_1$  in  $\mathcal{K}$ , we find that  $JA$  is an isometry of  $\mathcal{H}$  into  $\mathcal{K}$ .

For  $m = (p, q) \in \mathbb{Z}_+^2$  and  $h \in H$  we obtain

$$\begin{aligned}
(JA)^*U^mJA h &= (JA)^*U_0^pU_1^q(P_{\mathcal{H}_0}Ah \oplus P_{\mathcal{H}_1}Ah) \\
&= (JA)^*(Z^mP_{\mathcal{H}_0}Ah \oplus M^mP_{\mathcal{H}_1}Ah) \\
&= A^*(P_{\mathcal{H}_0}Z^mP_{\mathcal{H}_0}Ah \oplus P_{\mathcal{H}_1}M^mP_{\mathcal{H}_1}Ah) \\
&= A^*(Z^mP_{\mathcal{H}_0}Ah \oplus N^mP_{\mathcal{H}_1}Ah) \\
&= A^*(Z_0 \oplus N_0)^p(Z_1 \oplus N_1)^qAh = T^m h.
\end{aligned}$$

Let us observe that the subspace  $A\mathcal{H}$  is invariant for  $U_i^*$  ( $i = 0, 1$ ), because for  $h \in \mathcal{H}$  we have

$$\begin{aligned}
U_i^*Ah &= U_i^*(P_{\mathcal{H}_0}Ah \oplus P_{\mathcal{H}_1}Ah) = Z_i^*P_{\mathcal{H}_0}Ah \oplus M_i^*P_{\mathcal{H}_1}Ah \\
&= Z_i^*P_{\mathcal{H}_0}Ah \oplus N_i^*P_{\mathcal{H}_1}Ah = (Z_i \oplus N_i)^*Ah = AT_i^*h.
\end{aligned}$$

Now define

$$\mathcal{K}_+ = \bigvee_{m \in \mathbb{Z}_+^2} U^m Ah, \quad V_i = U_i|_{\mathcal{K}_+} \quad (i = 0, 1)$$

and  $B = J_+A$ , where  $J_+$  is the embedding of  $\mathcal{M} \oplus \mathcal{H}_1$  in  $\mathcal{K}_+$ . Then  $B$  is an isometry of  $\mathcal{H}$  into  $\mathcal{K}_+$  and we have

$$T^m = B^*V^m B \quad (m \in \mathbb{Z}_+^2).$$

Identifying  $\mathcal{H}$  with  $B\mathcal{H}$  in  $\mathcal{K}_+$ , we deduce that  $[\mathcal{K}_+, V]$  is a minimal isometric dilation of  $T$ . It remains to prove that  $V_0V_1^* = V_1^*V_0$ . First, since  $U_0$  and  $U_1$  doubly commute on  $\mathcal{K}$ , it results that  $\mathcal{K}_+$  is invariant for  $U_i^*$ ,  $i = 0, 1$ . Indeed, for  $k = \sum_{m \in \mathbb{Z}_+^2} U^m Ah_m$  with the sequence  $\{h_m\} \subset \mathcal{H}$  with finite support, we obtain

$$\begin{aligned}
U_0^*k &= \sum_{q \geq 0} U_0^*U_1^q Ah_{0q} + \sum_{\substack{p \geq 1 \\ q \geq 0}} U_0^*U_0^pU_1^q Ah_{pq} \\
&= \sum_{q \geq 0} U_1^qU_0^*Ah_{0q} + \sum_{\substack{p \geq 1 \\ q \geq 0}} U_0^{p-1}U_1^q Ah_{pq} \\
&= \sum_{q \geq 0} U_1^qAT_0^*h_{0q} + \sum_{\substack{p \geq 1 \\ q \geq 0}} U_0^{p-1}U_1^q Ah_{pq}.
\end{aligned}$$

Therefore  $U_0^*\mathcal{K}_+ \subset \mathcal{K}_+$  and analogously  $U_1^*\mathcal{K}_+ \subset \mathcal{K}_+$ . Then  $V_i^* = U_i^*|_{\mathcal{K}_+}$  ( $i = 0, 1$ ), and consequently,  $V_0V_1^* = V_1^*V_0$ . Hence  $[\mathcal{K}_+, (V_0, V_1)]$  is a doubly commuting minimal isometric dilation of  $T$ .

**COROLLARY 2.** *A bicontraction  $T$  on  $\mathcal{H}$  has a regular isometric (unitary) dilation if and only if  $T$  has a doubly commuting minimal coisometric extension.*

*Proof.* If  $\Delta_T \geq 0$ , then  $T^*$  has a doubly commuting minimal isometric dilation  $[\mathcal{K}, (W_0, W_1)]$ . Hence  $(W_0^*, W_1^*)$  is a minimal coisometric extension of  $T$  and  $W_0^*, W_1^*$  doubly commute on  $\mathcal{K}$ . The converse is obvious.

*Remark.* If  $\mathcal{K} = \mathcal{K}_u^i \oplus \mathcal{K}_s^i$  is the Wold decomposition of  $\mathcal{K}$  relative to  $W_i$  (in the previous proof), then  $W_{1-i}$  reduces  $\mathcal{K}_u^i$  and  $\mathcal{K}_s^i$ ,  $i = 0, 1$ . Thus, the matrix of  $W_{1-i}^*$  relative to the decomposition  $\mathcal{K} = \mathcal{K}_u^i \oplus \mathcal{K}_s^i$  has diagonal form for  $i = 0, 1$ , that is,  $T$  is diagonally extendable (see [11]).

Now we can give the following characterization of the double commutativity of an isometric dilation of  $[\mathcal{H}, T]$ .

**PROPOSITION 3.** *Suppose  $T = (T_0, T_1)$  is a bicontraction on  $\mathcal{H}$  and  $[\mathcal{K}, (U_0, U_1)]$  a minimal isometric dilation of  $T$ . Then the isometries  $U_0$  and  $U_1$  doubly commute on  $\mathcal{K}$  iff  $[\mathcal{K}, U]$  is a  $*$ -regular isometric dilation of  $[\mathcal{H}, T]$ . In particular, the doubly commuting minimal isometric dilation of  $T$  (if it exists) is unique up to unitary equivalence.*

*Proof.* It is not difficult to see that the condition (3\*) is equivalent to

$$(4) \quad T_i^p T_{1-i}^{*q} = P_{\mathcal{H}} U_{1-i}^{*q} U_i^p |_{\mathcal{H}} \quad (p, q \in \mathbb{Z}_+; i = 0, 1).$$

Suppose that the dilation  $U = (U_0, U_1)$  satisfies (4) and let  $[\tilde{\mathcal{K}}, (\tilde{U}_0, \tilde{U}_1)]$  be the minimal unitary extension of  $U$ . Then for  $p, q \in \mathbb{Z}_+$  and  $i = 0, 1$  we have

$$T_i^p T_{1-i}^{*q} = P_{\mathcal{H}} \tilde{U}_{1-i}^{*q} \tilde{U}_i^p |_{\mathcal{H}} = P_{\mathcal{H}} \tilde{U}_i^p \tilde{U}_{1-i}^{*q} |_{\mathcal{H}}$$

and we deduce that  $[\tilde{\mathcal{K}}, (\tilde{U}_0^*, \tilde{U}_1^*)]$  is a regular minimal unitary dilation for  $T^*$ . By Theorem 1,  $T$  has a doubly commuting minimal isometric dilation  $[\mathcal{M}, (V_0, V_1)]$ . Obviously,  $V_i$  satisfies (4) (in place of  $U_i$ ) and consequently

$$(*) \quad P_{\mathcal{H}} U_i^{*q} U_{1-i}^p |_{\mathcal{H}} = P_{\mathcal{H}} V_i^{*q} V_{1-i}^p |_{\mathcal{H}} \quad (p, q \in \mathbb{Z}_+; i = 0, 1).$$

Let us prove that the dilations  $U = (U_0, U_1)$  and  $V = (V_0, V_1)$  are unitarily equivalent. Let  $\{h_n\}_{n \in \mathbb{Z}_+^2} \subset \mathcal{H}$  be a sequence with finite support. Since  $U$  and  $V$  are dilations of  $T$  and satisfy (\*), by defining  $m := (i, j) \in \mathbb{Z}_+^2$  and  $n := (p, q) \in \mathbb{Z}_+^2$  we obtain

$$\begin{aligned} \left\| \sum_{n \in \mathbb{Z}_+^2} U^n h_n \right\|^2 &= \sum_{m, n \in \mathbb{Z}_+^2} (U^n h_n, U^m h_m) \\ &= \sum_{j < q} (U_0^{*i} U_0^p U_1^{q-j} h_n, h_m) + \sum_{j \geq q} (U_0^{*i} U_1^{*(j-q)} U_0^p h_n, h_m) \\ &= \sum_{\substack{i < p \\ j < q}} (U_0^{p-i} U_1^{q-j} h_n, h_m) + \sum_{\substack{i \geq p \\ j < q}} (U_0^{*(i-p)} U_1^{q-j} h_n, h_m) \end{aligned}$$

$$\begin{aligned}
& + \sum_{\substack{i < p \\ j \geq q}} (U_1^{*(j-q)} U_0^{p-i} h_n, h_m) + \sum_{\substack{i \geq p \\ j \geq q}} (U_0^{*(i-p)} U_1^{*(j-q)} h_n, h_m) \\
& = \sum_{\substack{i < p \\ j < q}} (V_0^{p-i} V_1^{q-j} h_n, h_m) + \sum_{\substack{i \geq p \\ j < q}} (V_0^{*(i-p)} V_1^{q-j} h_n, h_m) \\
& + \sum_{\substack{i < p \\ j \geq q}} (V_1^{*(j-q)} V_0^{p-i} h_n, h_m) + \sum_{\substack{i \geq p \\ j \geq q}} (V_0^{*(i-p)} V_1^{*(j-q)} h_n, h_m) \\
& = \sum_{m, n \in \mathbb{Z}_+^2} (V^{*m} V^n h_n, h_m) = \left\| \sum_{n \in \mathbb{Z}_+^2} V^n h_n \right\|^2.
\end{aligned}$$

Using the minimality conditions of the spaces  $\mathcal{K}$  and  $\mathcal{M}$  and the norm equalities above, we deduce that there exists a unitary operator  $W$  from  $\mathcal{K}$  to  $\mathcal{M}$  satisfying

$$W \sum_{n \in \mathbb{Z}_+^2} U^n h_n = \sum_{n \in \mathbb{Z}_+^2} V^n h_n$$

for  $\{h_n\} \subset \mathcal{H}$  with finite support. Consequently,  $W|\mathcal{H} = I$  and  $WU_i = V_i W$ ,  $i = 0, 1$ , and in particular, it results that  $U_0$  and  $U_1$  doubly commute on  $\mathcal{K}$ . Since the other assertions were also implicitly proved, the proof is finished.

Now, having in mind the condition (iii) of Theorem 1, we obtain

**COROLLARY 4.** *Let  $T = (T_0, T_1)$  be a bicontraction on  $\mathcal{H}$  and  $[\mathcal{K}_0, S_0]$  (respectively  $[\mathcal{K}_{*0}, S_{*0}]$ ) the minimal isometric dilation of  $T_0$  (resp.  $T_0^*$ ). Then  $T$  has a  $*$ -regular (resp. regular) isometric dilation if and only if  $T_1^*$  (resp.  $T_1$ ) has a contractive extension on  $\mathcal{K}_0$  (resp.  $\mathcal{K}_{*0}$ ) which doubly commutes with  $S_0$  (resp.  $S_{*0}$ ).*

It is obvious (by the proof of Proposition 3) that if  $[\mathcal{K}, V]$  is a  $*$ -regular (resp. regular) isometric dilation of  $[\mathcal{H}, T]$  and if  $[\tilde{\mathcal{K}}, U]$  is the minimal unitary extension of  $V$  then the regular (resp.  $*$ -regular) isometric dilation of  $T^*$  is  $[\mathcal{K}_*, (V_{*0}, V_{*1})]$ , where

$$(5) \quad \mathcal{K}_* = \bigvee_{m, n \in \mathbb{Z}_+} U_0^{*m} U_1^{*n} \mathcal{H}, \quad V_{*i} = U_i^* | \mathcal{K}_* \quad (i = 0, 1).$$

Furthermore, with the notations of Theorem 1(iii), the  $*$ -regular isometric dilation  $[\mathcal{K}, V]$  of  $T$  is the regular and  $*$ -regular isometric dilation of the doubly commuting bicontraction  $S = (S_0, S_1)$  (see Proposition 0), and in fact,  $[\mathcal{K}, V_1]$  is the minimal isometric dilation of  $S_1$ .

**3. Intertwinings of regular dilations.** Let  $\mathcal{H}$  and  $\mathcal{H}'$  be two Hilbert spaces and  $T = (T_0, T_1)$  and  $T' = (T'_0, T'_1)$  two bicontractions on  $\mathcal{H}$  and  $\mathcal{H}'$  respectively. A bounded linear operator  $A : \mathcal{H} \rightarrow \mathcal{H}'$  intertwines  $T$  and

$T'$  if  $AT_i = T'_i A$ ,  $i = 0, 1$ . The operator  $A$  doubly intertwines  $T$  and  $T'$  if  $AT_i = T'_i A$  and  $AT_i^* = T'^*_i A$ ,  $i = 0, 1$ .

V. Müller has shown in [14] that if  $A$  intertwines two bicontractions which have regular dilations, then in general,  $A$  cannot be “lifted” in the sense of [5], [18] to an operator which intertwines these dilations. In order to give conditions under which this is possible, we will first prove

**THEOREM 5.** *Let  $[\mathcal{H}, T]$  and  $[\mathcal{H}', T']$  be two bicontractions having \*-regular isometric dilations  $[\mathcal{K}, U]$  and  $[\mathcal{K}', U']$  respectively. Let  $A$  be a contraction from  $\mathcal{H}$  in  $\mathcal{H}'$  such that  $AT_i = T'_i A$  ( $i = 0, 1$ ) and  $AT_0^* = T'^*_0 A$ . Then there is a contraction  $B$  from  $\mathcal{K}$  in  $\mathcal{K}'$  with  $BU_i = U'_i B$  ( $i = 0, 1$ ),  $BU_0^* = U'^*_0$  and  $P_{\mathcal{H}'} B = AP_{\mathcal{H}}$ .*

**Proof.** Let  $A : \mathcal{H} \rightarrow \mathcal{H}'$  be a contraction which satisfies  $AT_i = T'_i A$  ( $i = 0, 1$ ) and  $AT_0^* = T'^*_0 A$ . Let  $[\mathcal{K}_0, S_0]$  and  $[\mathcal{K}'_0, S'_0]$  be the minimal isometric dilations of  $T_0$  and  $T'_0$  respectively. By Theorem 1(iii) there are contractions  $S_1$  on  $\mathcal{K}_0$  and  $S'_1$  on  $\mathcal{K}'_0$  such that  $S_1$  doubly commutes with  $S_0$  and  $P_{\mathcal{H}} S_1 = T_1 P_{\mathcal{H}}$ , while  $S'_1$  doubly commutes with  $S'_0$  and  $P_{\mathcal{H}'} S'_1 = T'_1 P_{\mathcal{H}'}$ . Since

$$\mathcal{K}_0 = \mathcal{H} \oplus \bigoplus_{p \in \mathbb{Z}_+} \overline{S_0^p (S_0 - T_0) \mathcal{H}}, \quad \mathcal{K}'_0 = \mathcal{H}' \oplus \bigoplus_{p \in \mathbb{Z}_+} \overline{S'^p_0 (S'_0 - T'_0) \mathcal{H}'}$$

(see [5], [18]), and  $A$  doubly intertwines  $T_0$  and  $T'_0$ , we can define a contraction  $A_0 : \mathcal{K}_0 \rightarrow \mathcal{K}'_0$  by setting

$$A_0 k_0 := Ah + \sum_{p \geq 0} S'^p_0 (S'_0 - T'_0) Ah_p$$

for  $k_0 = h + \sum_{p \in \mathbb{Z}_+} S_0^p (S_0 - T_0) h_p$ , where  $h, h_p \in \mathcal{H}$ . We have  $A_0|_{\mathcal{H}} = A$ , and for  $k_0 \in \mathcal{K}_0$  as above,

$$\begin{aligned} A_0 S_0 k_0 &= A_0 \left[ T_0 h + (S_0 - T_0) h + \sum_{p \geq 0} S_0^{p+1} (S_0 - T_0) h_p \right] \\ &= AT_0 h + (S'_0 - T'_0) Ah + \sum_{p \geq 0} S'^{p+1}_0 (S'_0 - T'_0) Ah_p \\ &= S'_0 \left[ Ah + \sum_{p \geq 0} S'^p_0 (S'_0 - T'_0) Ah_p \right] = S'_0 A_0 k_0. \end{aligned}$$

Therefore  $A_0 S_0 = S'_0 A_0$ . Also,  $A_0 S_0^* = S'^*_0 A_0$  and  $A_0^*|_{\mathcal{H}'} = A^*$ , because for  $k = \sum_{p \geq 0} S_0^p h_p$  (finite sum) with  $h_p \in \mathcal{H}$ , we have

$$\begin{aligned} A_0 S_0^* k &= AT_0^* h_0 + \sum_{p \geq 1} A_0 S_0^{p-1} h_p = T'^*_0 Ah_0 + \sum_{p \geq 1} S'^{p-1}_0 Ah_p \\ &= S'^*_0 Ah_0 + S'^*_0 \sum_{p \geq 1} S'^p_0 Ah_p = S'^*_0 \sum_{p \geq 0} S'^p_0 Ah_p = S'^*_0 A_0 k, \end{aligned}$$

and for  $h' \in \mathcal{H}'$ ,

$$\begin{aligned} (A_0^* h', k) &= \sum_p (h', S_0'^p A h_p) = \sum_p (T_0'^{*p} h', A h_p) = \sum_p (A^* T_0'^{*p} h', h_p) \\ &= \sum_p (T_0'^{*p} A^* h', h_p) = \sum_p (A^* h', S_0^p h_p) = (A^* h', k). \end{aligned}$$

Next, we also have  $A_0 S_1 = S_1' A_0$ , because for  $\{h'_p\}_{p \geq 0} \subset \mathcal{H}'$  with finite support,

$$\begin{aligned} A_0^* S_1'^* \sum_p S_0'^p h'_p &= \sum_p A_0^* S_0'^p S_1'^* h'_p = \sum_p S_0^p A_0^* T_1'^* h'_p = \sum_p S_0^p A^* T_1'^* h'_p \\ &= \sum_p S_0^p T_1'^* A^* h'_p = \sum_p S_0^p S_1^* A_0^* h'_p = S_1^* A_0^* \sum_p S_0'^p h'_p, \end{aligned}$$

and consequently,  $A_0^* S_1'^* = S_1^* A_0^*$ , whence  $A_0 S_1 = S_1' A_0$ . We conclude that  $A$  intertwines  $S_1$  and  $S_1'$  and doubly intertwines  $S_0$  and  $S_0'$ , and  $A_0$  is an extension for  $A$ , while  $A_0^*$  is an extension for  $A^*$ . Hence  $P_{\mathcal{H}'} A_0 = A P_{\mathcal{H}}$ .

Now let  $[\mathcal{K}, U_1]$ ,  $[\mathcal{K}', U_1']$  be the minimal isometric dilations of  $S_1, S_1'$  respectively, and let  $U_0, U_0'$  be the  $*$ -extensions of  $S_0$  (on  $\mathcal{K}$ ) and of  $S_0'$  (on  $\mathcal{K}'$ ), respectively, such that  $U_0$  doubly commutes with  $U_1$  and  $U_0'$  doubly commutes with  $U_1'$ . Using the sequences of  $n$ -step dilations for  $S_1$  and  $S_1'$  and the corresponding  $n$ -step intertwining liftings of  $A$ , we can define a contraction  $B : \mathcal{K} \rightarrow \mathcal{K}'$  by

$$Bk = \lim_n A_n P_{\mathcal{K}_n} k \quad (k \in \mathcal{K}),$$

where  $\{\mathcal{K}_n\}$  and  $\{A_n\}$  are inductively defined with  $\mathcal{K}_1 = \mathcal{K}_0 \oplus \mathcal{D}_{S_1}$  and  $A_1 : \mathcal{K}_0 \oplus \mathcal{D}_{S_1} \rightarrow \mathcal{K}'_0 \oplus \mathcal{D}_{S_1'}$  of the form

$$A_1 = \begin{pmatrix} A_0 & 0 \\ X_1 D_{A_0} & Y_1 \end{pmatrix},$$

$\mathcal{D}_C$  being the defect space of the operator  $C$ . Here the operator  $(X_1, Y_1) : \mathcal{D}_{A_0} \oplus \mathcal{D}_{S_1} \rightarrow \mathcal{D}_{S_1'}$  is  $(X_1, Y_1) = \Gamma_0 P_0$ , where  $P_0$  is the orthogonal projection of  $\mathcal{D}_{A_0} \oplus \mathcal{D}_{S_1}$  on the subspace  $\{D_{A_0} S_1 k \oplus D_{S_1} k : k \in \mathcal{K}_0\}^-$  and  $\Gamma_0(D_{A_0} S_1 k \oplus D_{S_1} k) = D_{S_1'} A_0 k, k \in \mathcal{K}_0$ . Then  $B$  satisfies  $B U_1 = U_1' B$ ,  $B U_0 = U_0' B$ ,  $B U_0^* = U_0'^* B$  and  $P_{\mathcal{K}'} B = A_0 P_{\mathcal{K}_0}$  (see [9] for details). Hence  $P_{\mathcal{H}'} B = B P_{\mathcal{H}}$  and since  $[\mathcal{K}, (U_0, U_1)]$  and  $[\mathcal{K}', (U_0', U_1')]$  are the  $*$ -regular isometric dilations for  $T$  and  $T'$  respectively,  $B$  is the desired operator. The proof is finished.

**COROLLARY 6.** *Let  $[\mathcal{H}, T]$  and  $[\mathcal{H}', T']$  be two bicontractions which have  $*$ -regular (or regular) isometric dilations with the minimal unitary extensions  $[\tilde{\mathcal{K}}, \tilde{U}]$  and  $[\tilde{\mathcal{K}}', \tilde{U}']$  respectively. If  $A$  is a contraction from  $\mathcal{H}$  to  $\mathcal{H}'$  which satisfies  $A T_i = T_i' A$  ( $i = 0, 1$ ) and  $A T_0^* = T_0'^* A$ , then there exists a contraction  $\tilde{A}$  from  $\tilde{\mathcal{K}}$  to  $\tilde{\mathcal{K}}'$  such that  $\tilde{A} \tilde{U}_i = \tilde{U}_i' \tilde{A}$  ( $i = 0, 1$ ) and  $P_{\mathcal{H}'} \tilde{A}|_{\mathcal{H}} = A$ .*

*Proof.* Suppose that  $T$  and  $T'$  have the  $*$ -regular isometric dilations  $[\mathcal{K}, U]$  and  $[\mathcal{K}', U']$  and let  $[\tilde{\mathcal{K}}, \tilde{U}]$  and  $[\tilde{\mathcal{K}}', \tilde{U}']$  be the minimal unitary extensions of  $U$  and  $U'$  respectively. If  $A$  is an intertwining contraction of  $T$  and  $T'$  and  $B$  is an intertwining contraction of  $U$  and  $U'$  with  $P_{\mathcal{H}'}B = AP_{\mathcal{H}}$  given by Theorem 5, then there exists (see [12]) a contraction  $\tilde{B}$  from  $\tilde{\mathcal{K}}$  into  $\tilde{\mathcal{K}}'$  which intertwines  $\tilde{U}$  and  $\tilde{U}'$ , such that  $\tilde{B}|_{\mathcal{K}} = B$ . It results that  $P_{\mathcal{H}'}\tilde{B}|_{\mathcal{H}} = A$ , whence  $P_{\mathcal{H}}\tilde{B}^*|_{\mathcal{H}'} = A^*$  and  $\tilde{B}^*$  intertwines  $\tilde{U}'^*$  and  $\tilde{U}^*$ . Obviously,  $[\tilde{\mathcal{K}}, \tilde{U}^*]$  and  $[\tilde{\mathcal{K}}', \tilde{U}'^*]$  are the regular unitary dilations of  $T^*$  and  $T'^*$  respectively.

**THEOREM 7.** *Let  $[\mathcal{H}, T]$  and  $[\mathcal{H}', T']$  be two bicontractions having regular isometric dilations  $[\mathcal{K}, U]$  and  $[\mathcal{K}', U']$  respectively, such that  $T_1^*$  or  $T_1'$  is an isometry. If  $A$  is a contraction of  $\mathcal{H}$  into  $\mathcal{H}'$  such that  $AT_i = T_i'A$  ( $i = 0, 1$ ) and  $AT_0^* = T_0'^*A$ , then there exists a contraction  $B$  from  $\mathcal{K}$  to  $\mathcal{K}'$  with  $BU_i = U_i'B$  ( $i = 0, 1$ ), and  $P_{\mathcal{H}'}B = AP_{\mathcal{H}}$ .*

*Proof.* Suppose that the bicontractions  $T = (T_0, T_1)$  and  $T' = (T_0', T_1')$  have regular isometric dilations. Then  $T^* = (T_0^*, T_1^*)$  has a  $*$ -regular isometric dilation and therefore if  $[\mathcal{K}_{0*}, S_{0*}]$  is the minimal isometric dilation of  $T_0^*$ , then there is a contraction  $S_{1*}$  on  $\mathcal{K}_{0*}$  which doubly commutes with  $S_{0*}$ , such that  $P_{\mathcal{H}}S_{1*} = T_1^*P_{\mathcal{H}}$ . Let  $[\tilde{\mathcal{K}}_0, \tilde{S}_0]$  be the minimal isometric dilation of the coisometry  $S_{0*}^*$  and let  $\tilde{S}_1$  be the  $*$ -extension of  $S_{1*}^*$  to  $\tilde{\mathcal{K}}_0$  which doubly commutes with  $\tilde{S}_0$ . But  $\tilde{S}_0$  is a unitary operator on  $\tilde{\mathcal{K}}_0$  and  $[\mathcal{K}_0, S_0]$  given by

$$\mathcal{K}_0 = \bigvee_{n \in \mathbb{Z}_+} \tilde{S}_0^n \mathcal{H}, \quad S_0 = \tilde{S}_0|_{\mathcal{K}_0},$$

is the minimal isometric dilation of  $T_0$ . We have  $S_{1*}^*|_{\mathcal{H}} = T_1$  and therefore  $\tilde{S}_1|_{\mathcal{H}} = T_1$ . Hence  $\mathcal{K}_0$  is an invariant subspace for  $\tilde{S}_1$  and  $S_1 = \tilde{S}_1|_{\mathcal{K}_0}$  is a contraction on  $\mathcal{K}_0$  which satisfies  $S_0S_1 = S_1S_0$  and  $S_1|_{\mathcal{H}} = T_1$ .

Analogously, if  $[\mathcal{K}'_0, S'_0]$  is the minimal isometric dilation of  $T_0'$ , then there is a contraction  $S'_1$  on  $\mathcal{K}'_0$  which satisfies  $S'_0S'_1 = S'_1S'_0$  and  $S'_1|_{\mathcal{H}'} = T_1'$ .

Now let  $A : \mathcal{H} \rightarrow \mathcal{H}'$  be a contraction which intertwines  $T_1$  and  $T_1'$  and doubly intertwines  $T_0$  and  $T_0'$ . As in the proof of Theorem 5 there is a contraction  $A_0 : \mathcal{K}_0 \rightarrow \mathcal{K}'_0$  which doubly intertwines  $S_0$  and  $S'_0$ , such that  $A_0|_{\mathcal{H}} = A$ . Then for any sequence  $\{h_n\} \in \mathcal{H}$  with finite support we have

$$\begin{aligned} A_0S_1 \sum_n S_0^n h_n &= \sum_n S_0'^n A_0S_1 h_n = \sum_n S_0'^n AT_1 h_n \\ &= \sum_n S_0'^n T_1' A h_n = \sum_n S_0'^n S'_1 A_0 h_n = S'_1 A_0 \sum_n S_0^n h_n, \end{aligned}$$

therefore  $A_0S_1 = S'_1A_0$ . Let us remark that if  $T_1^*$  or  $T_1'$  is an isometry, then so is  $S_1^*$  (respectively  $S'_1$ ). In this case it is known (see [5]) that  $A_0$  has a unique contractive intertwining lifting of the minimal isometric dilations

of  $S_1$  and  $S'_1$ . Now as in the proof of Theorem 5 (see [9]) we can obtain a contraction  $B : \mathcal{K} \rightarrow \mathcal{K}'$ , where  $[\mathcal{K}, U_1]$  and  $[\mathcal{K}', U'_1]$  are the minimal isometric dilations of  $S_1$  and  $S'_1$  respectively, such that  $P_{\mathcal{K}'_0} B = A_0 P_{\mathcal{K}_0}$  and  $B U_1 = U'_1 B$ ,  $B U_0 = U'_0 B$ ,  $U_0$  and  $U'_0$  being the isometric extensions of  $S_0$  and  $S'_0$  to  $\mathcal{K}$  and  $\mathcal{K}'$  which commute with  $U_1$  and  $U'_1$  respectively. Finally, it is easy to see that  $[\mathcal{K}, (U_0, U_1)]$  and  $[\mathcal{K}', (U'_0, U'_1)]$  are the regular isometric dilations of  $T$  and  $T'$  respectively. The proof is finished.

Now we can obtain the versions of Theorems 5 and 7 for double intertwining which complete those obtained in [14].

**PROPOSITION 8.** *Let  $[\mathcal{H}, T]$  and  $[\mathcal{H}', T']$  be two bicontractions having regular (or  $*$ -regular) isometric dilations  $[\mathcal{K}, V]$  and  $[\mathcal{K}', V']$  respectively. If  $A$  is a contraction from  $\mathcal{H}$  into  $\mathcal{H}'$  which doubly intertwines  $T$  and  $T'$ , then there exists a (unique)  $*$ -extension of  $A$  from  $\mathcal{K}$  to  $\mathcal{K}'$  which preserves the norm of  $A$  and doubly intertwines  $V$  and  $V'$ .*

**PROOF.** Suppose first that  $T$  and  $T'$  have  $*$ -regular isometric dilations. Let  $[\mathcal{K}_0, (S_0, S_1)]$  and  $[\mathcal{K}'_0, (S'_0, S'_1)]$  be as in the proof the Theorem 5. Consider  $A : \mathcal{H} \rightarrow \mathcal{H}'$  a contractive double intertwining of  $T$  and  $T'$  and  $A_0 : \mathcal{K}_0 \rightarrow \mathcal{K}'_0$  with  $A_0 S_i = S'_i A_0$  ( $i = 0, 1$ ),  $A_0 S_0^* = S_0^* A_0$ ,  $A_0|_{\mathcal{H}} = A$ ,  $A_0^*|_{\mathcal{H}'} = A^*$  and  $\|A_0\| = \|A\|$ . Then for  $\{h_p\}_{p \geq 0} \subset \mathcal{H}$  with finite support, we have

$$\begin{aligned} A_0 S_1^* \sum_p S_0^p h_p &= \sum_p S_0'^p A_0 S_1^* h_p = \sum_p S_0'^p A T_1^* h_p = \sum_p S_0'^p T_1'^* A h_p \\ &= \sum_p S_0'^p S_1'^* A_0 h_p = S_1'^* A_0 \sum_p S_0^p h_p, \end{aligned}$$

and so  $A_0 S_1^* = S_1'^* A_0$ .

Now let  $[\mathcal{K}, V_1]$  and  $[\mathcal{K}', V'_1]$  be the minimal isometric dilations of  $S_1$  and  $S'_1$  and let  $V_0, V'_0$  be the extensions of  $S_0, S'_0$  to  $\mathcal{K}, \mathcal{K}'$  which doubly commute with  $V_1, V'_1$  respectively. As above, there exists a contraction  $B : \mathcal{K} \rightarrow \mathcal{K}'$  with  $B V_i = V'_i B$ ,  $B V_i^* = V_i'^* B$ , ( $i = 0, 1$ ),  $B|_{\mathcal{K}_0} = A_0$ ,  $B^*|_{\mathcal{K}'_0} = A_0^*$ , whence  $B|_{\mathcal{H}} = A$ ,  $B^*|_{\mathcal{H}'} = A^*$  and  $\|B\| = \|A_0\| = \|A\|$ . So the conclusion holds for the  $*$ -regular isometric dilations  $[\mathcal{K}, V]$  and  $[\mathcal{K}', V']$  of  $T$  and  $T'$ .

Next let  $[\tilde{\mathcal{K}}, \tilde{U}]$ ,  $[\tilde{\mathcal{K}}', \tilde{U}']$  be the minimal unitary extensions of  $V, V'$  and  $[\mathcal{K}_*, V_*]$ ,  $[\mathcal{K}'_*, V'_*]$  be the regular isometric dilations of  $T^*, T'^*$  respectively (as in (5)). Then there exists ([12], [7]) a contraction  $\tilde{A} : \tilde{\mathcal{K}} \rightarrow \tilde{\mathcal{K}}'$  such that  $\tilde{A} \tilde{U}_i = \tilde{U}'_i \tilde{A}$  ( $i = 0, 1$ ),  $\tilde{A}|_{\mathcal{K}} = B$ ,  $\tilde{A}^*|_{\mathcal{K}'} = B^*$  and  $\|\tilde{A}\| = \|B\|$ . Because  $\tilde{A}|_{\mathcal{H}} = A$  and  $\tilde{A} \tilde{U}_i^* = \tilde{U}'_i{}^* \tilde{A}$  ( $i = 0, 1$ ), we have  $\tilde{A} \mathcal{K}_* \subset \mathcal{K}'_*$ . But  $\tilde{A}^*|_{\mathcal{H}'} = A^*$  and  $\tilde{A}^* \tilde{U}'_i{}^* = \tilde{U}_i^* A^*$  ( $i = 0, 1$ ) imply  $\tilde{A}^* \mathcal{K}'_* \subset \mathcal{K}_*$ . So we can define the operator  $C : \mathcal{K}_* \rightarrow \mathcal{K}'_*$  by  $C = \tilde{A}|_{\mathcal{K}_*}$ . Then  $C^* = \tilde{A}^*|_{\mathcal{K}'_*}$  and  $C|_{\mathcal{H}} = A$ ,  $C^*|_{\mathcal{H}'} = A^*$  and since  $V_{*i} = \tilde{U}_i^*|_{\mathcal{K}_*}$ ,  $V'_{*i} = \tilde{U}'_i{}^*|_{\mathcal{K}'_*}$  ( $i = 0, 1$ ), it results that

$CV_{*i} = V'_{*i}C$  and  $CV_{*i}^* = V'^*_{*i}C$  ( $i = 0, 1$ ). Finally,  $\|A\| \leq \|C\| \leq \|\tilde{A}\| = \|B\| = \|A\|$  and so  $\|C\| = \|A\|$ . Thus the conclusion holds for the regular isometric dilations of  $T^*$  and  $T'^*$ , and consequently, in the case when  $T$  and  $T'$  have regular isometric dilations.

Under certain conditions we can drop the doubly intertwining property on a component. The first fact in this context is contained in

**PROPOSITION 9.** *Let  $[\mathcal{H}, T]$  be a bicontraction with the first component  $T_0$  a coisometry, and  $[\mathcal{H}', T']$  be another bicontraction which has a  $*$ -regular isometric dilation. Let  $[\mathcal{K}, U]$  and  $[\mathcal{K}', U']$  be the  $*$ -regular isometric dilations of  $T$  and  $T'$  respectively. If  $A$  is a contraction from  $\mathcal{H}$  to  $\mathcal{H}'$  with  $AT_i = T'_iA$  ( $i = 0, 1$ ), then there exists a contraction  $B$  from  $\mathcal{K}$  to  $\mathcal{K}'$  such that  $BU_i = U'_iB$  ( $i = 0, 1$ ), and  $P_{\mathcal{H}'}B = AP_{\mathcal{H}}$ .*

**Proof.** Let  $A : \mathcal{H} \rightarrow \mathcal{H}'$  be a contractive intertwining of  $T$  and  $T'$ . Preserving the notations of the proof of Theorem 5, we find (by the lifting theorem) that there exists a contraction  $A_0$  from  $\mathcal{K}_0$  to  $\mathcal{K}'_0$  which satisfies  $A_0S_0 = S'_0A_0$  and  $P_{\mathcal{H}'}A_0 = AP_{\mathcal{H}}$ . Because  $T_0$  is a coisometry, its minimal isometric dilation  $S_0$  is a unitary operator on  $\mathcal{K}_0$ . Then from Theorem B of [6] (which can be extended to operators acting on different spaces) it results that  $A_0S_0^* = S_0'^*A_0$ . So  $A_0$  doubly intertwines  $S_0$  and  $S'_0$ . Furthermore,  $A_0$  intertwines  $S_1$  and  $S'_1$ , the doubly commuting commutants of  $S_0$  and  $S'_0$  which lift  $T_1$  and  $T'_1$  respectively, given by Theorem 1(iii). By Theorem 5,  $A_0$  has a contractive lift, which intertwines the  $*$ -regular isometric dilations of  $S = (S_0, S_1)$  (of  $T$ ) and  $S' = (S'_0, S'_1)$  (of  $T'$ ), whence the conclusion follows.

The dual version of Proposition 9 is in fact an extension of Proposition 5.2 from [12] (for bicontractions) and of Proposition 10 from [2].

**COROLLARY 10.** *Let  $[\mathcal{H}, T]$  be a bicontraction which has a regular isometric dilation, and  $[\mathcal{H}', T']$  be a bicontraction with  $T'_0$  an isometry. We also suppose that  $T_1^*$  or  $T_1'$  is an isometry. If  $A$  is a contraction of  $\mathcal{H}$  into  $\mathcal{H}'$  which intertwines  $T$  and  $T'$ , then there exists a contraction  $B$  which intertwines the regular isometric dilations of  $T$  and  $T'$  and satisfies  $P_{\mathcal{H}'}B = AP_{\mathcal{H}}$ .*

**Proof.** If  $[\mathcal{K}_0, S_0]$  is the minimal isometric dilation of  $T_0$  and  $S_1$  is a contraction on  $\mathcal{K}_0$  with  $S_0S_1 = S_1S_0$  and  $S_1|_{\mathcal{H}} = T_1$ , then  $A_0 = AP_{\mathcal{H}}$  is a contraction from  $\mathcal{K}_0$  into  $\mathcal{H}'$  and satisfies  $A_0S_0 = T'_0A_0$ ,  $A_0S_1 = T'_1A_0$ , and  $A_0$  is a lifting for  $A$ . Since  $S_0$  and  $T'_0$  and respectively  $S_1^*$  or  $T'_1$  are isometries, there is a lifting for  $A_0$  which intertwines the regular isometric dilations for  $(S_0, S_1)$  and  $(T'_0, T'_1)$ .

Recall ([13]) that a bounded linear operator  $S$  on  $\mathcal{H}$  is *subnormal* if there exists a normal operator  $N$  on a Hilbert space  $\mathcal{K} \supset \mathcal{H}$  such that  $\mathcal{H}$  is

invariant for  $N$  and  $S = N|_{\mathcal{H}}$ . If, furthermore,  $\mathcal{K} = \bigvee_{p \geq 0} N^{*p}\mathcal{H}$ , then  $N$  is said to be the *minimal normal extension* of  $S$ . In this case,  $N$  is unique (up to unitary equivalence) and  $\|N\| = \|S\|$ .

A bicontraction  $T = (T_0, T_1)$  will be called *semi-subnormal* if one of the contractions is subnormal and the other one has an extension which commutes (therefore doubly commutes) with the minimal normal extension of the subnormal one. Such a bicontraction  $T$  has a regular isometric dilation because, if  $T_0$  is subnormal and  $N_1$  is an extension of  $T_1$  commuting with the minimal normal extension  $N_0$  of  $T_0$ , then we have  $\Delta_T = P_{\mathcal{H}}\Delta_{(N_0, N_1)}|_{\mathcal{H}} \geq 0$ .

It is easy to see that every subnormal bicontraction is semi-subnormal.

Now we have the following completion of Corollary 10.

**PROPOSITION 11.** *Let  $T = (T_0, T_1)$  and  $T' = (T'_0, T'_1)$  be two semi-subnormal bicontractions on  $\mathcal{H}$  and  $\mathcal{H}'$  respectively, such that  $T_0$  and  $T'_0$  are subnormal and  $T'_1$  is an isometry. If  $A$  is a contraction from  $\mathcal{H}$  to  $\mathcal{H}'$  which intertwines  $T$  and  $T'$  and  $A$  has an extension which intertwines the minimal normal extensions of  $T_0$  and  $T'_0$ , then  $A$  has an extension which intertwines the regular isometric dilations of  $T$  and  $T'$ .*

**Proof.** Let  $T, T'$  and  $A$  be as in the hypothesis and let  $[\tilde{\mathcal{H}}, N]$  and  $[\tilde{\mathcal{H}}', N']$ , where  $N = (N_0, N_1)$  and  $N' = (N'_0, N'_1)$ , be such that  $N_0$  (resp.  $N'_0$ ) is the minimal normal extension on  $\tilde{\mathcal{H}}$  (resp.  $\tilde{\mathcal{H}}'$ ) of  $T_0$  (resp.  $T'_0$ ) and  $N_1$  (resp.  $N'_1$ ) is a contraction on  $\tilde{\mathcal{H}}$  (resp.  $\tilde{\mathcal{H}}'$ ) which extends  $T_1$  (resp.  $T'_1$ ) and doubly commutes with  $N_0$  (resp.  $N'_0$ ). From the hypothesis and the Fuglede–Putnam Theorem, there is an operator  $\tilde{A} : \tilde{\mathcal{H}} \rightarrow \tilde{\mathcal{H}}'$  which doubly intertwines  $N_0$  and  $N'_0$ , and  $\tilde{A}|_{\mathcal{H}} = A$ . Then for  $q \geq 0$  and  $h \in \mathcal{H}$  we have

$$\begin{aligned} \tilde{A}N_1N_0^{*q}h &= * \tilde{A}N_0^{*q}N_1h = N_0'^{*q}\tilde{A}T_1h = N_0'^{*q}AT_1h \\ &= N_0'^{*q}T'_1Ah = N_0'^{*q}N'_1\tilde{A}h = N'_1\tilde{A}N_0^{*q}h. \end{aligned}$$

Using the structure of the space  $\tilde{\mathcal{H}}$ , it results that  $\tilde{A}N_1 = N'_1\tilde{A}$ . Let us remark that because  $T'_1$  is an isometry on  $\mathcal{H}'$ ,  $N'_1$  is also an isometry on  $\tilde{\mathcal{H}}'$ , hence the minimal unitary extension  $[\mathcal{K}', V'_1]$  of  $N'_1$  is just the minimal coisometry extension of  $N'_1$ . Let  $[\mathcal{K}, V_1]$  be the minimal coisometry extension of  $N_1$  and let  $V_0, V'_0$  be the  $*$ -extensions of  $N_0, N'_0$  which doubly commute with  $V_1, V'_1$  respectively. Since  $\tilde{A}$  intertwines  $N_1$  and  $N'_1$ , there exists a contraction  $\tilde{A}_1$  from  $\mathcal{K}$  into  $\mathcal{K}'$  which satisfies  $\tilde{A}_1V_1 = V'_1\tilde{A}_1$  and  $\tilde{A}_1|_{\tilde{\mathcal{H}}} = \tilde{A}$ . In fact,  $\tilde{A}_1$  doubly intertwines  $V_1$  and  $V'_1$  (see [6]). Moreover,  $\tilde{A}_1$  doubly intertwines the normal operators  $V_0$  and  $V'_0$ , because for  $\{h_n\}_{n \geq 0} \subset \tilde{\mathcal{H}}$  with finite support we have

$$\begin{aligned} \tilde{A}_1 V_0 \sum_n V_1^{*n} h_n &= \sum_n V_1'^{*n} \tilde{A}_1 V_0 h_n = \sum_n V_1'^{*n} \tilde{A} N_0 h_n \\ &= \sum_n V_1'^{*n} N_0' \tilde{A} h_n = \sum_n V_1'^{*n} V_0' \tilde{A}_1 h_n = V_0' \tilde{A}_1 \sum_n V_1'^{*n} h_n. \end{aligned}$$

Hence  $\tilde{A}_1$  doubly intertwines the bicontractions  $V = (V_0, V_1)$  and  $V' = (V_0', V_1')$ . Then by Proposition 8,  $\tilde{A}_1$  has an  $*$ -extension  $\tilde{B}$  which intertwines the regular isometric dilations  $M = (M_0, M_1)$  on  $\mathcal{M}$  of  $V$  and  $M' = (M_0', M_1')$  on  $\mathcal{M}'$  of  $V'$  respectively. Setting

$$\mathcal{K} = \bigvee_{n \in \mathbb{Z}_+^2} M^n \mathcal{H}, \quad \mathcal{K}' = \bigvee_{n \in \mathbb{Z}_+^2} M'^n \mathcal{H}'$$

and  $U_i = M_i|_{\mathcal{K}}$ ,  $U'_i = M'_i|_{\mathcal{K}'}$  ( $i = 0, 1$ ), we deduce that  $[\mathcal{K}, (U_0, U_1)]$  and  $[\mathcal{K}', (U'_0, U'_1)]$  are the regular isometric dilations of  $T$  and  $T'$  respectively. Since  $\tilde{B}\mathcal{H} = \tilde{A}\mathcal{H} \subset \mathcal{H}'$  and  $\tilde{B}$  intertwines  $M_i$  and  $M'_i$  ( $i = 0, 1$ ), it results that  $\tilde{B}\mathcal{K} \subset \mathcal{K}'$ . Consequently,  $B = \tilde{B}|_{\mathcal{K}}$  is a contraction of  $\mathcal{K}$  in  $\mathcal{K}'$  with  $BU_i = U'_i B$  ( $i = 0, 1$ ) and  $B|_{\mathcal{H}} = A$ .

Proposition 11 can be applied, in particular, to the case when  $T$  is a subnormal bicontraction, which includes the spherical isometries ([2]) and the bidisc isometries ([4]).

Now we can give sufficient conditions for three commuting contractions in order to have unitary dilations.

**THEOREM 12.** *Let  $T_0, T_1, T_2$  be three pairwise commuting contractions on  $\mathcal{H}$ . If the bicontractions  $(T_0, T_1)$  and  $(T_0, T_2)$  have regular (or  $*$ -regular) isometric dilations, then  $(T_0, T_1, T_2)$  has a isometric (unitary) dilation.*

**Proof.** Suppose first that  $(T_0, T_1)$  and  $(T_0, T_2)$  have  $*$ -regular isometric dilations. Let  $[\mathcal{K}_0, S_0]$  be the minimal isometric dilation of  $T_0$  and  $S_1, S_2$  be contractions on  $\mathcal{K}_0$  which doubly commute with  $S_0$ , such that  $P_{\mathcal{H}} S_i = T_i P_{\mathcal{H}}$ ,  $i = 1, 2$ . Then it results that  $S_1^* S_2^* = S_2^* S_1^*$ , and consequently,  $S_1 S_2 = S_2 S_1$  on  $\mathcal{K}_0$ . Let  $[\mathcal{K}_1, (V_0, V_1)]$  be the  $*$ -regular isometric dilation of  $(S_0, S_1)$ , therefore with  $V_0$  and  $V_1$  doubly commuting isometries on  $\mathcal{K}_1$ . By Theorem 5 there exists a contraction  $V_2 : \mathcal{K}_1 \rightarrow \mathcal{K}_1$  with  $P_{\mathcal{K}_0} V_2 = S_2 P_{\mathcal{K}_0}$  and  $V_2 V_i = V_i V_2$  ( $i = 0, 1$ ),  $V_2 V_0^* = V_0^* V_2$ . Therefore  $V_0$  doubly commutes with  $(V_1, V_2)$  and by Propositions 8 or 11, if  $[\mathcal{K}, (U_1, U_2)]$  is the regular isometric dilation of  $(V_1, V_2)$ , then there is an isometry  $U_0$  on  $\mathcal{K}$  such that  $U_0|_{\mathcal{K}_1} = V_0$  and  $U_0$  commutes with  $U_1$  and respectively with  $U_2$ . So for  $m, n, j \in \mathbb{Z}_+$  and  $h \in \mathcal{H}$  we have

$$\begin{aligned} P_{\mathcal{H}} U_0^m U_1^n U_2^j h &= P_{\mathcal{K}_1, \mathcal{H}} P_{\mathcal{K}_1} U_1^n U_2^j V_0^m h \\ &= P_{\mathcal{K}_1, \mathcal{H}} V_1^n V_2^j V_0^m h = P_{\mathcal{K}_0, \mathcal{H}} P_{\mathcal{K}_0} V_2^j V_0^m V_1^n h \end{aligned}$$

$$\begin{aligned}
&= P_{\mathcal{K}_0, \mathcal{H}} S_2^j P_{\mathcal{K}_0} V_0^m V_1^n h = P_{\mathcal{H}} S_0^m S_1^n S_2^j h \\
&= T_0^m P_{\mathcal{H}} S_1^n S_2^j h = T_0^m T_1^n P_{\mathcal{H}} S_2^j h = T_0^m T_1^n T_2^j h.
\end{aligned}$$

Therefore  $[\mathcal{K}, (U_0, U_1, U_2)]$  is an isometric dilation of  $(T_0, T_1, T_2)$ .

Now if  $(T_0, T_1)$  and  $(T_0, T_2)$  have regular isometric dilations, then  $(T_0^*, T_1^*)$  and  $(T_0^*, T_2^*)$  have  $*$ -regular isometric dilations, so  $(T_0^*, T_1^*, T_2^*)$  and consequently  $(T_0, T_1, T_2)$  have isometric dilations.

**COROLLARY 13.** *Let  $T_0, T_1$  and  $T_2$  be three pairwise commuting contractions on  $\mathcal{H}$ , such that  $T_0$  is subnormal and  $(T_0, T_1)$  and  $(T_0, T_2)$  are semi-subnormal bicontractions. Then  $(T_0, T_1, T_2)$  has a unitary dilation.*

**Proof.** The bicontractions  $(T_0, T_1)$  and  $(T_0, T_2)$  have regular isometric dilations and we apply Theorem 12.

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