

## The exceptional set of Goldbach numbers (II)

by

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**1. Introduction.** A positive number which is a sum of two odd primes is called a *Goldbach number*. Let  $E(x)$  denote the number of even numbers not exceeding  $x$  which cannot be written as a sum of two odd primes. Then the *Goldbach conjecture* is equivalent to proving that

$$E(x) = 2 \quad \text{for every } x \geq 4.$$

$E(x)$  is usually called the *exceptional set of Goldbach numbers*. In [8] H. L. Montgomery and R. C. Vaughan proved that  $E(x) = O(x^{1-\Delta})$  for some positive constant  $\Delta > 0$ . In [3] Chen and Pan proved that one can take  $\Delta > 0.01$ . In [6], we proved that  $E(x) = O(x^{0.921})$ . In this paper we prove the following result.

**THEOREM.** *For sufficiently large  $x$ ,*

$$E(x) = O(x^{0.914}).$$

Throughout this paper,  $\varepsilon$  always denotes a sufficiently small positive number that may be different at each occurrence.  $A$  is assumed to be sufficiently large,  $A < Y$ , and  $D = Y^{1+\varepsilon}$ .

**2. Some lemmas.** Let  $A < q \leq Y$  and  $\chi_q$  be a non-principal character mod  $q$ . Write  $\alpha = 1 - \lambda/\log D$ , and assume

$$(2.1) \quad \alpha \leq \sigma \leq 1, \quad |t| \leq D/q.$$

Let  $\chi \pmod{q}$  and  $\chi_0 \pmod{q}$  be a character and a principal character mod  $q$ , and  $\mathcal{L} = \log D$ .

1991 *Mathematics Subject Classification*: 11P32, 11P55.

This work was supported by the National Natural Science Foundation of China (Grant no 19671051).

LEMMA 1. Let  $\chi$  be a non-principal character modulo  $q$ , and let  $\phi = 3/8$ . Then for any  $\varepsilon > 0$  there exists a  $\delta = \delta(\varepsilon) > 0$  such that

$$-\Re \frac{L'}{L}(s, \chi) \leq -\sum_{|1+it-\varrho| \leq \delta} \Re \frac{1}{s-\varrho} + \left( \frac{\phi}{2} + \varepsilon \right) H$$

uniformly for

$$1 + \frac{1}{H \log H} \leq \sigma \leq 1 + \frac{\log H}{H},$$

providing that  $q$  is sufficiently large; here  $H = \log q(|t| + 2)$ .

This is Lemma 2.4 of [5].

LEMMA 2. Suppose  $Y$  is sufficiently large. Then no function  $L(s, \chi)$  with  $\chi$  primitive modulo  $q \leq Y$ , except for a possible exceptional one only, has a zero in the region

$$\sigma \geq 1 - \frac{0.239}{\log Y}, \quad q(|t| + 1) \leq Y^{1+\varepsilon}.$$

If the exceptional function exists, say  $L(s, \tilde{\chi})$ , then  $\tilde{\chi}$  must be a real primitive character modulo  $\tilde{q} \leq Y$ , and  $L(s, \tilde{\chi})$  has a real simple zero  $\tilde{\beta}$  satisfying

$$1 - \frac{0.239}{\log Y} \leq \tilde{\beta} \leq 1 - \frac{c}{\tilde{q}^{10^{-8}}}.$$

This is Lemma 2.3 of [6].

For a real number  $a$ , let  $a^* = a\mathcal{L}^{-1}$ , and let  $\varrho_j = 1 - \lambda_j^* + i\gamma_j^*$ ,  $j = 1, 2, \dots$ , denote the non-trivial zeros of  $L(s, \chi)$  in (2.1), with  $\lambda_j$  in increasing order.

LEMMA 3. Suppose  $\chi$  is a real non-principal character mod  $q \leq Y$ , and  $\varrho_1$  is real. Then  $\lambda_2 > 0.8$ .

**P r o o f.** Apply Lemma 3.2 of [5].

When  $\chi^2 = \chi_0$  and  $\varrho_1$  is complex, or  $\chi^3 = \chi_0$ , we follow Lemma 9.1 of [4]. Let  $a, k, \varepsilon$  be positive constants, and let  $\phi = 3/8$ ,  $P(x) = x + x^2 + \frac{2}{3}x^3$ . Then

$$\left( k^2 + \frac{1}{2} \right) \left\{ P\left( \frac{a + \lambda_1}{a} \right) - P\left( \frac{a + \lambda_1}{a + \lambda_2} \right) \right\} - 2kP(1) + (a + \lambda_1)(\psi + \varepsilon) \geq 0,$$

where

$$\psi = \frac{\phi}{2} \left( k^2 + 3k + \frac{3}{2} \right),$$

providing that

$$k_0(a + \lambda_1)^{-3} + (a + \lambda_2)^{-3} \geq a^{-3} \quad \text{with} \quad k_0 = \min \left( k + \frac{3}{4k}, 4k \right).$$

Taking  $a = 2.4$ ,  $k = 0.88$ , we see that if  $\lambda_1 \leq 0.618$ , then  $\lambda_2 > 0.618$ .

Now suppose  $\chi$  does not have order 2 or 3. Let

$$(2.2) \quad \mathcal{L}^{-1} \sum_k a_k \frac{(a + 0.239)^k}{(k-1)!} \sum_{n=1}^{\infty} \Lambda(n) \Re\left(\frac{\chi(n)}{n^s}\right) \left(\frac{\log n}{\mathcal{L}}\right)^{k-1} = \Sigma(s, \chi).$$

Again we follow Lemma 9.1 of [4] with  $a, k, \varepsilon, \phi$  and  $P(x)$  as above. Then

$$\begin{aligned} & \left(k^2 + \frac{1}{2}\right) \left\{ P\left(\frac{a + 0.239}{a}\right) - P\left(\frac{a + 0.239}{a + \lambda_2}\right)\right\} \\ & \quad - 2kP\left(\frac{a + 0.239}{a + \lambda_1}\right) + (a + 0.239)(\psi + \varepsilon) \geq 0. \end{aligned}$$

Taking  $a = 2.21, k = 0.89$ , we see that if  $\lambda_1 \leq 0.575$ , then  $\lambda_2 > 0.575$ .

Now we consider  $\lambda_3$ . Our starting point is the inequality

$$(2.3) \quad \prod_{j=1}^3 (1 + \Re(\chi(n)n^{-i\gamma_j^*})) \geq 0.$$

Let  $P(x) = \sum a_k x^k = x + x^2 + \frac{2}{3}x^3$  and

$$(2.4) \quad \mathcal{L}^{-1} \sum_k a_k \frac{a^k}{(k-1)!} \sum_{n=1}^{\infty} \Lambda(n) \Re\left(\frac{\chi(n)}{n^s}\right) \left(\frac{\log n}{\mathcal{L}}\right)^{k-1} = \Sigma(s, \chi).$$

Then

$$(2.5) \quad \Sigma(\sigma, \chi_0) + \sum_{j=1}^3 \Sigma(\sigma + i\gamma_j^*, \chi) + \frac{1}{2} \sum_2 + \frac{1}{4} \sum_3 \geq 0,$$

with

$$\sum_2 = \sum_{1 \leq j < k \leq 3} \{\Sigma(\sigma + i\gamma_j^* + i\gamma_k^*, \chi^2) + \Sigma(\sigma + i\gamma_j^* - i\gamma_k^*, \chi_0)\}$$

and

$$\begin{aligned} \sum_3 = & \Sigma(\sigma + i\gamma_1^* + i\gamma_2^* + i\gamma_3^*, \chi^3) + \Sigma(\sigma + i\gamma_1^* + i\gamma_2^* - i\gamma_3^*, \chi) \\ & + \Sigma(\sigma + i\gamma_1^* - i\gamma_2^* + i\gamma_3^*, \chi) + \Sigma(\sigma + i\gamma_1^* - i\gamma_2^* - i\gamma_3^*, \tilde{\chi}). \end{aligned}$$

Let  $s = \sigma + it$ ,  $\sigma = 1 + a\mathcal{L}^{-1}$ . We now observe that

$$\Re\left(P\left(\frac{a}{(s-\varrho)\mathcal{L}}\right)\right) \geq 0$$

for all zeros  $\varrho$ , since  $\Re P(1/z) \geq 0$  for  $\Re z \geq 1$ . Moreover, if  $|1 + it - \varrho| \geq \delta$ , then

$$\Re\left(P\left(\frac{a}{(s-\varrho)\mathcal{L}}\right)\right) = O(\mathcal{L}^{-1}).$$

Here we follow Lemma 8.3 of [4]. Thus

$$\Sigma(\sigma, \chi_0) \leq P(1) + \varepsilon,$$

$$\begin{aligned}
\sum_{1 \leq j < k \leq 3} \Sigma(\sigma + i\gamma_j^* - i\gamma_k^*, \chi_0) &\leq \sum_{1 \leq j < k \leq 3} \Re \left\{ P \left( \frac{a}{a + i(\gamma_j - \gamma_k)} \right) \right\} + \varepsilon, \\
\Sigma(\sigma + i\gamma_1^*, \chi) &\leq -P \left( \frac{a}{a + \lambda_1} \right) - \Re \left\{ P \left( \frac{a}{a + \lambda_2 + i(\gamma_1 - \gamma_2)} \right) \right\} \\
&\quad - \Re \left\{ P \left( \frac{a}{a + \lambda_3 + i(\gamma_1 - \gamma_3)} \right) \right\} + a \left( \frac{\phi}{2} + \varepsilon \right), \\
\Sigma(\sigma + i\gamma_2^*, \chi) &\leq -P \left( \frac{a}{a + \lambda_2} \right) - \Re \left\{ P \left( \frac{a}{a + \lambda_3 + i(\gamma_2 - \gamma_3)} \right) \right\} + a \left( \frac{\phi}{2} + \varepsilon \right), \\
\Sigma(\sigma + i\gamma_3^*, \chi) &\leq -P \left( \frac{a}{a + \lambda_3} \right) + a \left( \frac{\phi}{2} + \varepsilon \right), \\
\sum_{1 \leq j < k \leq 3} \Sigma(\sigma + i\gamma_j^* - i\gamma_k^*, \chi^2) &\leq a \left( \frac{3}{2} \phi + \varepsilon \right), \\
\Sigma(\sigma + i\gamma_1^* + i\gamma_2^* - i\gamma_3^*, \chi) &\leq -\Re \left\{ P \left( \frac{a}{a + \lambda_1 + i(\gamma_2 - \gamma_3)} \right) \right\} \\
&\quad - \Re \left\{ P \left( \frac{a}{a + \lambda_2 + i(\gamma_1 - \gamma_3)} \right) \right\} + a \left( \frac{\phi}{2} + \varepsilon \right), \\
\Sigma(\sigma + i\gamma_1^* - i\gamma_2^* + i\gamma_3^*, \chi) &\leq -\Re \left\{ P \left( \frac{a}{a + \lambda_3 + i(\gamma_1 - \gamma_2)} \right) \right\} + a \left( \frac{\phi}{2} + \varepsilon \right), \\
\Sigma(\sigma + i\gamma_1^* + i\gamma_2^* + i\gamma_3^*, \chi^3) &\leq a(\phi/2 + \varepsilon), \\
\Sigma(\sigma + i\gamma_1^* - i\gamma_2^* - i\gamma_3^*, \tilde{\chi}) &\leq a(\phi/2 + \varepsilon).
\end{aligned}$$

Hence

$$\begin{aligned}
P(1) - P \left( \frac{a}{a + \lambda_1} \right) - P \left( \frac{a}{a + \lambda_2} \right) - P \left( \frac{a}{a + \lambda_3} \right) + a \left( \frac{11}{4} \phi + \varepsilon \right) \\
+ \frac{1}{2} \Re \left\{ P \left( \frac{a}{a + i(\gamma_1 - \gamma_2)} \right) - 2P \left( \frac{a}{a + \lambda_2 + i(\gamma_1 - \gamma_2)} \right) \right. \\
\left. - \frac{1}{2} P \left( \frac{a}{a + \lambda_3 + i(\gamma_1 - \gamma_2)} \right) \right\} \\
+ \frac{1}{2} \Re \left\{ P \left( \frac{a}{a + i(\gamma_1 - \gamma_3)} \right) - 2P \left( \frac{a}{a + \lambda_3 + i(\gamma_1 - \gamma_3)} \right) \right. \\
\left. - \frac{1}{2} P \left( \frac{a}{a + \lambda_2 + i(\gamma_1 - \gamma_3)} \right) \right\} \\
+ \frac{1}{2} \Re \left\{ P \left( \frac{a}{a + i(\gamma_2 - \gamma_3)} \right) - 2P \left( \frac{a}{a + \lambda_3 + i(\gamma_2 - \gamma_3)} \right) \right. \\
\left. - \frac{1}{2} P \left( \frac{a}{a + \lambda_1 + i(\gamma_2 - \gamma_3)} \right) \right\} \geq 0.
\end{aligned}$$

Providing that

$$a^{-3} \leq \frac{5}{2}(a + \lambda_3)^{-3}$$

we have

$$P(1) - P\left(\frac{a}{a + \lambda_1}\right) - P\left(\frac{a}{a + \lambda_2}\right) - P\left(\frac{a}{a + \lambda_3}\right) + a\left(\frac{11}{4}\phi + \varepsilon\right) \geq 0.$$

Taking  $a = 2$ , we have  $\lambda_3 \geq 0.68$ .

LEMMA 4. Suppose  $\chi$  is a non-principal character mod  $q \leq Y$ , and  $\varrho_1, \varrho_2, \varrho_3$  are the zeros of  $L(s, \chi)$ . Then

$$\lambda_2 > 0.575, \quad \lambda_3 > 0.618.$$

LEMMA 5. Suppose  $\chi \neq \chi_0$  is a character mod  $q \leq Y$ . Let  $n_0, n_1, n_2$  denote the numbers of zeros of  $L(s, \chi)$  in the rectangles

$$R_0 : 1 - \mathcal{L}^{-1} \leq \sigma \leq 1, |t - t_0| \leq 5.8\mathcal{L}^{-1},$$

$$R_1 : 1 - 5\mathcal{L}^{-1} \leq \sigma \leq 1, |t - t_1| \leq 23.4\mathcal{L}^{-1},$$

$$R_2 : 1 - \lambda_+ \mathcal{L}^{-1} \leq \sigma \leq 1, |t - t_2| \leq 23.4\mathcal{L}^{-1},$$

where  $t_0, t_1, t_2$  are real numbers satisfying  $|t_i| \leq T$ , and  $5 < \lambda_+ \leq \log \log \mathcal{L}$ . Then

$$n_0 \leq 3, \quad n_1 \leq 10, \quad n_2 \leq 0.2292(\lambda_+ + 42.9).$$

Proof. It is well known that

$$-\frac{\zeta'}{\zeta}(\sigma) - \Re \frac{L'}{L}(s, \chi) \geq 0;$$

here  $\sigma = \Re s$ .

(i) We consider the rectangle  $R_0$ . Let  $s = \sigma + it_0, \sigma = 1 + 8.4\mathcal{L}^{-1}$ , and denote by  $\varrho = 1 - \lambda^* + i\gamma$  the zero of  $L(s, \chi)$  in  $R_0$ , hence  $0 \leq \lambda \leq 1, |\gamma - t_0| \leq 5.8\mathcal{L}^{-1}$ , and

$$-\Re \frac{1}{s - \varrho} = -\mathcal{L} \frac{8.4 + \lambda}{(8.4 + \lambda)^2 + ((\gamma - t_0)\mathcal{L})^2} \leq -\mathcal{L} \frac{9.4}{9.4^2 + 5.8^2}.$$

By Lemma 1,

$$-\Re \frac{L'}{L}(s, \chi) \leq -\sum_{|1 + it_0 - \varrho| \leq \delta} \Re \frac{1}{s - \varrho} + 0.18751\mathcal{L}.$$

If  $|1 + it_0 - \varrho| > \delta$  then  $\Re \frac{1}{s - \varrho} = O(1)$ . So

$$-\Re \frac{L'}{L}(s, \chi) \leq \mathcal{L} \left( 0.18751 - \frac{9.4n_0}{9.4^2 + 5.8^2} \right).$$

Since  $-\frac{\zeta'}{\zeta}(\sigma) \leq \frac{1}{\sigma - 1} + A$ , where  $A$  is an absolute constant, we have

$$\frac{9.4n_0}{9.4^2 + 5.8^2} \leq \frac{1}{8.4} + 0.18752, \quad n_0 \leq 3.$$

(ii) The rectangles  $R_1$  and  $R_2$  are treated as  $R_0$  in (i) but with  $\sigma = 1 + 24\mathcal{L}^{-1}$ . Thus  $n_1 \leq 10$ ,  $n_2 \leq 0.2292(\lambda_+ + 42.9)$ .

**3. The zero density estimate of the Dirichlet  $L$ -function near the line  $\sigma = 1$ .** Let  $A < q \leq Y$  and  $\chi_q$  be a non-principal character mod  $q$ . Write  $\alpha = 1 - \lambda/\log D$ , and assume

$$(3.1) \quad \alpha \leq \sigma \leq 1, \quad |t| \leq D/q.$$

Let  $S_{jq} = \{\chi_q : L(s, \chi_q) \text{ has only } j \text{ zeros in the region (3.1)}\}$ . Suppose  $A < q_0 \leq Y$  and define

$$(3.2) \quad N_1^*(\alpha, Y) = N_1^*(\lambda, Y) = \sum_{\substack{A < q \leq Y \\ [q, q_0] \leq D^\varepsilon(q, q_0)}} \sum_{j \geq 1} \sum_{\chi \in S_{jq}}^* j,$$

$$(3.3) \quad N^*(\alpha, Y) = N^*(\lambda, Y) = \sum_{A < q \leq Y} \sum_{j \geq 1} \sum_{\chi \in S_{jq}}^* j,$$

where  $\sum^*$  indicates that the sum is over primitive characters. In this section we will prove the following lemma which improves Lemma 2.1 of [6].

LEMMA 6. Suppose  $A < q_0 \leq Y$  and  $0 < \lambda \leq \varepsilon \log D$ . Then

$$N_1^*(\alpha, Y) = N_1^*(\lambda, Y) \leq \begin{cases} 4.356C_1(\lambda)e^{4.064\lambda}, & 0.517 < \lambda \leq 0.575, \\ 8.46C_2(\lambda)e^{4.12\lambda}, & 0.575 < \lambda \leq 0.618, \\ 14.3C_3(\lambda)e^{4.5\lambda}, & 0.618 < \lambda \leq 1, \\ 104.1C_4(\lambda)e^{3.42\lambda}, & 1 < \lambda \leq 5, \\ 268.6e^{2.16\lambda}, & 5 < \lambda \leq \varepsilon \log D, \end{cases}$$

$$N^*(\alpha, Y) = N^*(\lambda, Y) \leq \begin{cases} 3.632C_5(\lambda)e^{5.2\lambda}, & 0.334 < \lambda \leq 0.517, \\ 4.338C_6(\lambda)e^{4.82\lambda}, & 0.517 < \lambda \leq 0.575, \\ 10.42C_7(\lambda)e^{4.5\lambda}, & 0.575 < \lambda \leq 0.618, \\ 14.91C_8(\lambda)e^{5.2\lambda}, & 0.618 < \lambda \leq 1, \\ 104.8C_9(\lambda)e^{4.16\lambda}, & 1 < \lambda \leq 5, \\ 279.7e^{2.9\lambda}, & 5 < \lambda \leq \varepsilon \log D, \end{cases}$$

where

$$C_1(\lambda) = \lambda^{-1} \left( 1 - e^{-4.064\lambda} \frac{e^{2.808\lambda} - e^{1.76\lambda}}{1.048\lambda} \right),$$

$$C_2(\lambda) = \lambda^{-1} \left( 1 - e^{-4.12\lambda} \frac{e^{2.855\lambda} - e^{1.78\lambda}}{1.075\lambda} \right),$$

$$C_3(\lambda) = \lambda^{-1} \left( 1 - e^{-4.5\lambda} \frac{e^{3.198\lambda} - e^{2.013\lambda}}{1.185\lambda} \right),$$

$$C_4(\lambda) = \lambda^{-1} \left( 1 - e^{-3.42\lambda} \frac{e^{2.358\lambda} - e^{1.64\lambda}}{0.718\lambda} \right),$$

$$\begin{aligned}
C_5(\lambda) &= \lambda^{-1} \left( 1 - e^{-5.2\lambda} \frac{e^{3.866\lambda} - e^{2.668\lambda}}{1.198\lambda} \right), \\
C_6(\lambda) &= \lambda^{-1} \left( 1 - e^{-4.82\lambda} \frac{e^{3.565\lambda} - e^{2.51\lambda}}{1.055\lambda} \right), \\
C_7(\lambda) &= \lambda^{-1} \left( 1 - e^{-4.5\lambda} \frac{e^{3.32\lambda} - e^{2.36\lambda}}{0.96\lambda} \right), \\
C_8(\lambda) &= \lambda^{-1} \left( 1 - e^{-5.2\lambda} \frac{e^{3.928\lambda} - e^{2.7312\lambda}}{1.1968\lambda} \right), \\
C_9(\lambda) &= \lambda^{-1} \left( 1 - e^{-4.16\lambda} \frac{e^{3.104\lambda} - e^{2.38\lambda}}{0.724\lambda} \right).
\end{aligned}$$

**P r o o f.** We use the method of Section 3 of [7]. For  $1 \leq j \leq 4$ , let  $h_j$  denote positive constants which satisfy

$$(3.4) \quad h_1 < h_2 < h_3, \quad h_2 + h_4 + 3/8 < h_3, \quad 2h_4 + 3/8 < h_1$$

when we consider  $N_1^*(\alpha, Y)$ , and

$$(3.5) \quad h_1 < h_2 < h_3, \quad h_2 + h_4 + 3/8 < h_3, \quad 2h_4 + 3/4 < h_1$$

when we consider  $N^*(\alpha, Y)$ .

Let

$$(3.6) \quad z_j := D^{h_j}, \quad \alpha := 1 - \lambda \mathcal{L}^{-1}, \quad \lambda \leq \varepsilon \mathcal{L}.$$

For positive  $\delta_1, \delta_3$ , let

$$\begin{aligned}
(3.7) \quad \kappa(s) &:= s^{-2} \{ (e^{-(1-\delta_1)(\log z_1)s} - e^{-(\log z_1)s}) \delta_3 (\log z_3) \\
&\quad - (e^{-(\log z_3)s} - e^{-(1+\delta_3)(\log z_3)s}) \delta_1 (\log z_1) \}.
\end{aligned}$$

For a zero  $\varrho_0 \in D$ , let

$$(3.8) \quad M(\varrho_0) := \sum_{\varrho(\chi)} |\kappa(\varrho(\chi) + \bar{\varrho}_0 - 2\alpha)|,$$

where the sum is over the zeros of  $L(s, \chi)$  in (3.1). Then if  $2h_4 + 3/8 < (1 - \delta_1)h_1$ , then as in (3.17) of [7] we have

$$\begin{aligned}
(3.9) \quad N_1^*(\alpha, Y) &\leq \frac{(1 + \delta) \max_{\varrho_0} M(\varrho_0)}{2(1 - \alpha)(h_2 - h_1)\delta_1\delta_3h_1h_3h_4\mathcal{L}^4} \\
&\quad \times \left( D^{2h_3(1-\alpha)} - \frac{(2\alpha - 1)(D^{2h_2(1-\alpha)} - D^{2h_1(1-\alpha)})}{2(1 - \alpha)(h_2 - h_1)\mathcal{L}} \right) \\
&\leq \frac{(1 + \delta) \max_{\varrho_0} M(\varrho_0)}{2\lambda(h_2 - h_1)\delta_1\delta_3h_1h_3h_4\mathcal{L}^3} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2 - h_1)} \right).
\end{aligned}$$

If  $2h_4 + 3/4 < (1 - \delta_1)h_1$ , then as in (3.17) of [7] we have

$$(3.10) \quad N^*(\alpha, Y) \leq \frac{(1 + \delta)\max_{\varrho_0} M(\varrho_0)}{2(1 - \alpha)(h_2 - h_1)\delta_1\delta_3h_1h_3h_4\mathcal{L}^4} \\ \times \left( D^{2h_3(1-\alpha)} - \frac{(2\alpha - 1)(D^{2h_2(1-\alpha)} - D^{2h_1(1-\alpha)})}{2(1 - \alpha)(h_2 - h_1)\mathcal{L}} \right) \\ \leq \frac{(1 + \delta)\max_{\varrho_0} M(\varrho_0)}{2\lambda(h_2 - h_1)\delta_1\delta_3h_1h_3h_4\mathcal{L}^3} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2 - h_1)} \right).$$

(i) If  $5 < \lambda \leq \varepsilon\mathcal{L}$ , let  $\Delta = 23.4\mathcal{L}^{-1}$ . As in [7], by Lemma 5 we have

$$M(\varrho_0) \leq 0.2292(\lambda + 42.9)\mathcal{L}^3(1/2) \\ \times \{(\delta_1h_1(2\delta_3 + \delta_3^2)h_3^2 - \delta_3h_3(2\delta_1 - \delta_1^2)h_1^2) \\ + (\pi/23.4)^2(\delta_1h_1 + \delta_3h_3)\}.$$

Choose  $h_1 = 0.58$ ,  $h_2 = 0.669$ ,  $h_3 = 1.08$ ,  $h_4 = 0.0353$ ,  $\delta_1h_1 = \delta_3h_3 = \pi/23.4$ . By (3.9) we have

$$N_1^*(\alpha, Y) \leq 268.6e^{2.16\lambda}.$$

Choose  $h_1 = 0.95$ ,  $h_2 = 1.042$ ,  $h_3 = 1.45$ ,  $h_4 = 0.0328$ ,  $\delta_1h_1 = \delta_3h_3 = \pi/23.4$ . By (3.10) we have

$$N^*(\alpha, Y) \leq 279.7e^{2.9\lambda}.$$

(ii) If  $1 < \lambda \leq 5$ , then as in [7], by Lemma 5 ( $n_1 \leq 10$ ) we have

$$M(\varrho_0) \leq (10/2)\mathcal{L}^3 \\ \times \{(\delta_1h_1(2\delta_3 + \delta_3^2)h_3^2 - \delta_3h_3(2\delta_1 - \delta_1^2)h_1^2) \\ + (\pi/23.4)^2(\delta_1h_1 + \delta_3h_3)\}.$$

Choose  $h_1 = 0.82$ ,  $h_2 = 1.179$ ,  $h_3 = 1.71$ ,  $h_4 = 0.155$ ,  $\delta_1h_1 = \delta_3h_3 = \pi/23.4$ . By (3.9) we have

$$N_1^*(\alpha, Y) \leq 104.1C_4(\lambda)e^{3.42\lambda}.$$

Choose  $h_1 = 1.19$ ,  $h_2 = 1.552$ ,  $h_3 = 2.08$ ,  $h_4 = 0.1528$ ,  $\delta_1h_1 = \delta_3h_3 = \pi/23.4$ . By (3.10) we have

$$N^*(\alpha, Y) \leq 104.8C_9(\lambda)e^{4.16\lambda}.$$

(iii) If  $0.618 < \lambda \leq 1$ , then as in [7], by Lemma 5 we have

$$\left( \frac{1}{a} - \frac{1}{a+1} - \frac{2(a+1)}{(a+1)^2 + 5.8^2} + 0.1876 \right) \\ \times \max \left\{ \frac{a+1}{5.8^2} + \frac{1}{a+1}, \frac{a+0.618}{5.8^2} + \frac{1}{a+0.618} \right\} \leq 0.014621.$$

For  $a = 6.3$ ,

$$\begin{aligned} M(\varrho_0) &\leq \{1.5(\delta_1 h_1(2\delta_3 + \delta_3^2)h_3^2 - \delta_3 h_3(2\delta_1 - \delta_1^2)h_1^2) \\ &\quad + 2 \cdot 0.014621 \cdot (\delta_1 h_1 + \delta_3 h_3)\}\mathcal{L}^3. \end{aligned}$$

Choose  $h_1 = 1.0065$ ,  $h_2 = 1.599$ ,  $h_3 = 2.25$ ,  $h_4 = 0.2759$ ,  $\delta_1 = 0.079$ ,  $\delta_3 = 0.094$ . By (3.9) we have

$$N_1^*(\alpha, Y) \leq 14.3C_3(\lambda)e^{4.5\lambda}.$$

Choose  $h_1 = 1.3656$ ,  $h_2 = 1.964$ ,  $h_3 = 2.6$ ,  $h_4 = 0.26$ ,  $\delta_1 = 0.07$ ,  $\delta_3 = 0.094$ . By (3.10) we have

$$N^*(\alpha, Y) \leq 14.91C_8(\lambda)e^{5.2\lambda}.$$

(iv) If  $0.575 < \lambda \leq 0.618$ , then by Lemma 4 there are at most two zeros satisfying  $\varrho = 1 - \beta/\mathcal{L} - i\gamma/\mathcal{L}$ ,  $\beta < 0.618$ . Then, as in (v) of [7], when (3.4) holds we have

$$\begin{aligned} (3.11) \quad N_1^*(\alpha, Y) &\leq \frac{(1+\delta)\widetilde{M}}{2(1-\alpha)(h_2-h_1)h_4\mathcal{L}^2} \\ &\times \left( D^{2h_3(1-\alpha)} - \frac{(2\alpha-1)(D^{2h_2(1-\alpha)} - D^{2h_1(1-\alpha)})}{2(1-\alpha)(h_2-h_1)\mathcal{L}} \right) \\ &\leq \frac{(1+\delta)\widetilde{M}}{2\lambda(h_2-h_1)h_4\mathcal{L}} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2-h_1)} \right). \end{aligned}$$

Similarly, when (3.5) holds we have

$$(3.12) \quad N^*(\alpha, Y) \leq \frac{(1+\delta)\widetilde{M}}{2\lambda(h_2-h_1)h_4\mathcal{L}} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2-h_1)} \right)$$

where

$$\widetilde{M} := \max_{\substack{\chi \bmod q \\ q \leq Y}} \max_{1 \leq j \leq 2} \frac{1}{j} \int_{\log z_1}^{\log z_3} \left| \sum_{l=1}^j e^{-(\varrho(l,\chi)-\alpha)x} \right|^2 dx.$$

We have

$$\begin{aligned} &\int_{\log z_1}^{\log z_3} |e^{-(\varrho(\chi)-\alpha)x}|^2 dx \leq (h_3 - h_1)\mathcal{L}, \\ &\frac{1}{2} \int_{\log z_1}^{\log z_3} \left| \sum_{l=1}^2 e^{-(\varrho(l,\chi)-\alpha)x} \right|^2 dx \leq 2(h_3 - h_1)\mathcal{L}. \end{aligned}$$

Choose  $h_1 = 0.89$ ,  $h_2 = 1.4275$ ,  $h_3 = 2.06$ ,  $h_4 = 0.2574$ . By (3.11) we have

$$N_1^*(\alpha, Y) \leq 8.46C_2(\lambda)e^{4.12\lambda}.$$

Choose  $h_1 = 1.18$ ,  $h_2 = 1.66$ ,  $h_3 = 2.25$ ,  $h_4 = 0.214$ . By (3.12) we have

$$N^*(\alpha, Y) \leq 10.42C_7(\lambda)e^{4.5\lambda}.$$

(v) If  $0.517 < \lambda \leq 0.575$ , then by Lemma 4 there is at most one zero satisfying  $\varrho = 1 - \beta/\mathcal{L} - i\gamma/\mathcal{L}$ ,  $\beta < 0.575$ . Then, as in (v) of [7], when (3.4) holds we have

$$(3.13) \quad N_1^*(\alpha, Y) \leq \frac{(1+\delta)(h_3-h_1)}{2(1-\alpha)(h_2-h_1)h_4\mathcal{L}} \\ \times \left( D^{2h_3(1-\alpha)} - \frac{(2\alpha-1)(D^{2h_2(1-\alpha)} - D^{2h_1(1-\alpha)})}{2(1-\alpha)(h_2-h_1)\mathcal{L}} \right) \\ \leq \frac{(1+\delta)(h_3-h_1)}{2\lambda(h_2-h_1)h_4} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2-h_1)} \right).$$

When (3.5) holds we have

$$(3.14) \quad N^*(\alpha, Y) \leq \frac{(1+\delta)(h_3-h_1)}{2\lambda(h_2-h_1)h_4} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2-h_1)} \right).$$

Choose  $h_1 = 0.88$ ,  $h_2 = 1.404$ ,  $h_3 = 2.032$ ,  $h_4 = 0.2524$ . By (3.13) we have

$$N_1^*(\alpha, Y) \leq 4.356C_1(\lambda)e^{4.064\lambda}.$$

Choose  $h_1 = 1.255$ ,  $h_2 = 1.7825$ ,  $h_3 = 2.41$ ,  $h_4 = 0.2524$ . By (3.14) we have

$$N^*(\alpha, Y) \leq 4.338C_6(\lambda)e^{4.82\lambda}.$$

(vi) If  $0.334 < \lambda \leq 0.517$ , then as above, when (3.5) holds we have

$$(3.15) \quad N^*(\alpha, Y) \leq \frac{(1+\delta)(h_3-h_1)}{2\lambda(h_2-h_1)h_4} \left( e^{2h_3\lambda} - \frac{e^{2h_2\lambda} - e^{2h_1\lambda}}{2\lambda(h_2-h_1)} \right).$$

Choose  $h_1 = 1.334$ ,  $h_2 = 1.933$ ,  $h_3 = 2.6$ ,  $h_4 = 0.291$ . By (3.15) we have

$$N^*(\alpha, Y) \leq 3.632C_5(\lambda)e^{5.2\lambda}.$$

If  $q_1, q_2 \leq Y$ , we consider the zeros of  $L(s, \chi_{q_1})$  and  $L(s, \chi_{q_2})$  for non-principal characters  $\chi_{q_1}$  and  $\chi_{q_2}$ . If  $\varrho_1 = \beta_1 + i\gamma_1 = 1 - \lambda_1/\log Y + i\gamma_1$  is a zero of  $L(s, \chi_{q_1})$  satisfying  $q_1(|\gamma_1| + 1) \leq Y^{1+\varepsilon}$  and  $\varrho_2 = \beta_2 + i\gamma_2 = 1 - \lambda_2/\log Y + i\gamma_2$  is a zero of  $L(s, \chi_{q_2})$  satisfying  $q_2(|\gamma_2| + 1) \leq Y^{1+\varepsilon}$ , then we have the lower bounds for  $\lambda_2$  given in Table 1. If  $[q_1, q_2] \leq Y^\varepsilon(q_1, q_2)$ , then we have the lower bounds for  $\lambda_2$  given in Table 2.

**Table 1.** The lower bounds for  $\lambda_2$

$\lambda_1$	$\lambda_2$
0.24	0.444
0.26	0.418
0.28	0.393
0.30	0.37
0.32	0.349
0.334	0.334

**Table 2.** The lower bounds for  $\lambda_2$

$\lambda_1$	$\lambda_2$	$\lambda_1$	$\lambda_2$
0.22	1.189	0.38	0.745
0.24	1.116	0.40	0.706
0.26	1.050	0.42	0.669
0.28	0.989	0.44	0.634
0.30	0.933	0.46	0.601
0.32	0.881	0.48	0.570
0.34	0.832	0.50	0.541
0.36	0.787	0.517	0.517

In each table, following the convention of [6], the entries indicate that if  $\lambda_1$  does not exceed the first entry, then  $\lambda_2$  is no smaller than the second entry.

**4. The circle method.** Suppose  $x$  is a sufficiently large positive number, and  $Y = x^\lambda$  where  $\lambda = 0.0862$ . Let

$$S(\alpha) = \sum_{Y < p \leq x} \log p e(\alpha p), \quad D(n) = D(n; x, Y) = \sum_{\substack{n=p_1+p_2 \\ Y < p_1, p_2 \leq x}} \log p_1 \log p_2.$$

Then

$$(4.1) \quad D(n) = \int_0^1 S^2(\alpha) e(-\alpha n) d\alpha.$$

Trivially,  $D(n) = 0$  if  $n \leq 2Y$  or  $n > 2x$ , and  $n$  is a Goldbach number if  $D(n) > 0$ .

Let  $Q = x^{1-\lambda}$ ,  $\tau = Q^{-1}$  and

$$E_1 = \bigcup_{1 \leq q \leq Y} \bigcup_{\substack{1 \leq a \leq q \\ (a,q)=1}} I(a, q), \quad E_2 = (-\tau, 1 - \tau] \setminus E_1$$

where

$$I(a, q) = \left[ \frac{a}{q} - \frac{1}{qQ}, \frac{a}{q} + \frac{1}{qQ} \right].$$

Then

$$\begin{aligned} (4.2) \quad D(n) &= \int_{-\tau}^{1-\tau} S^2(\alpha) e(-\alpha n) d\alpha \\ &= \int_{E_1} S^2(\alpha) e(-\alpha n) d\alpha + \int_{E_2} S^2(\alpha) e(-\alpha n) d\alpha \\ &= D_1(n) + D_2(n). \end{aligned}$$

LEMMA 7. Let  $M(x)$  denote the number of integers  $n \in [(1 - \varepsilon)x, x]$  for which

$$|D_2(n)| > 0.5x^{1-10^{-5}\lambda}.$$

Then

$$M(x) \ll x^{1-(1-10^{-4})\lambda}.$$

Proof. Apply Lemma 8 of [3].

Now we consider the integral on the major arcs. For  $\alpha \in I(a, q) \subset E_1$ , we write  $\alpha = a/q + \theta$ ,  $(a, q) = 1$ ,  $q \leq Y$ ,  $|\theta| \leq 1/(qQ)$ . Moreover, suppose that  $\tilde{q}$ ,  $\tilde{\chi}$  and  $\tilde{\beta}$  are the possible modulus, primitive character and zero respectively,

with  $\tilde{q} \leq Y$ . Let

$$(4.3) \quad T(\theta) = \sum_{Y < m \leq x} e(m\theta),$$

$$(4.4) \quad \tilde{T}(\theta) = - \sum_{Y < m \leq x} m^{\tilde{\beta}-1} e(m\theta),$$

$$(4.5) \quad \widehat{S}(\theta, \chi) = \sum_{Y < p \leq x} \chi(p) \log p e(p\theta),$$

$\chi$  being a character modulo  $q$ ,  $q \leq Y$ , and

$$(4.6) \quad \begin{cases} \widehat{S}(\theta, \chi_q^0) = T(\theta) + W(\theta, \chi_q^0), \\ \widehat{S}(\theta, \chi_q^0 \tilde{\chi}) = \tilde{T}(\theta) + W(\theta, \chi_q^0 \tilde{\chi}) & \text{if } \tilde{q} \mid q, \\ \widehat{S}(\theta, \chi_q) = W(\theta, \chi_q) & \text{otherwise.} \end{cases}$$

Then if the exceptional character exists we have

$$(4.7) \quad D_1(n) = \sum_{q \leq Y} \sum_{\substack{a \leq q \\ (a, q)=1}} \int_{a/q-1/(qQ)}^{a/q+1/(qQ)} S^2(\alpha) e(-\alpha n) d\alpha = \sum_{j=1}^6 D_{1j}(n).$$

Otherwise we have

$$(4.8) \quad D_1(n) = \sum_{j=1}^3 D_{1j}(n).$$

For the definitions of  $D_{1j}(n)$ , see [3]. By the method of [8] one has

$$(4.9) \quad D_{11}(n) = nC(n) + O(x^{1+\varepsilon} Y^{-1}),$$

$$(4.10) \quad D_{14}(n) = \tilde{C}(n) \tilde{I}(n) + O((n, \tilde{q}) x^{1+\varepsilon} Y^{-1}),$$

where

$$(4.11) \quad C(n) = \sum_{q=1}^{\infty} \frac{\mu^2(q)}{\phi^2(q)} C_q(-n) = \frac{n}{\phi(n)} \prod_{p \nmid n} \left(1 - \frac{1}{(p-1)^2}\right),$$

$$(4.12) \quad \tilde{C}(n) = \sum_{\substack{q=1 \\ \tilde{q} \mid q}}^{\infty} \frac{\tau^2(\chi_q^0 \tilde{\chi})}{\phi^2(q)} C_q(-n) = \tilde{\chi}(-1) \mu\left(\frac{\tilde{q}}{(\tilde{q}, n)}\right) \prod_{\substack{p \mid \tilde{q} \\ p \nmid n}} \left(\frac{1}{p-2}\right) C(n),$$

$$(4.13) \quad \tilde{I}(n) = \sum_{Y < m \leq n-Y} (m(n-m))^{\tilde{\beta}-1} \leq x^{(1-\varepsilon)(\tilde{\beta}-1)} n^{\tilde{\beta}},$$

with

$$\tau(\chi) = \sum_{h=1}^q \chi(h) e\left(\frac{h}{q}\right), \quad C_q(m) = \sum_{\substack{h \leq q \\ (h, q)=1}} e\left(\frac{mh}{q}\right).$$

Let

$$(4.14) \quad W(\chi_d) = \left( \int_{-1/(dQ)}^{1/(dQ)} |W(\theta, \chi_d)|^2 d\theta \right)^{1/2}.$$

Then by (20) of [3] one has

$$(4.15) \quad D_{12}(n) \leq \frac{n}{\phi(n)} \left\{ 8x^{1/2} W(\log^{10} x) + O\left(\frac{x^{1/2} W(Y)}{\log^6 x}\right) \right\},$$

where

$$(4.16) \quad W(Y) = \sum_{d \leq Y} \sum_{\chi_d}^* W(\chi_d),$$

the  $*$  denoting that the sum is over primitive characters  $\chi_d$ . We have

$$(4.17) \quad D_{15}(n) \ll \tilde{\chi}^2(n) \frac{\tilde{q}}{\phi^2(\tilde{q})} \cdot \frac{n}{\phi(n)} x.$$

From  $\prod_{p \geq 5} (1 + 1/(p-1)^2) \leq 1.132$ , by the method of [1], we have

$$(4.18) \quad D_{16}(n) \leq 4.1594 \frac{n}{\phi(n)} x^{1/2} W(Y, \tilde{q}) + \frac{n}{\phi(n)} W(Y) x^{(1-\varepsilon)/2},$$

$$(4.19) \quad D_{13}(n) \leq 2.0797 \frac{n}{\phi(n)} W(Y) W'(Y),$$

where

$$(4.20) \quad W(Y, \tilde{q}) = \sum_{d \leq Y} \sum_{[d, \tilde{q}] \leq x^\varepsilon(d, \tilde{q})}^* W(\chi_d),$$

$$(4.21) \quad W'(Y) = \max \sum_{d \leq Y} \sum_{[d_1, d] \leq x^\varepsilon(d_1, d)}^* W(\chi_d).$$

Here the max is over  $A < d_1 \leq Y$ .

**5. The estimation of  $W'(Y)$ ,  $W(Y)$  and  $W(Y, \tilde{q})$ .** By Section III of [2] we have

$$(5.1) \quad \begin{aligned} W(\chi_d) &\leq (1 + 2 \cdot 10^{-5}) x^{1/2} \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{1+\varepsilon} d^{-1}}} x^{(1-\varepsilon)(\beta-1)} \\ &\quad + O\left(x^{1/2-\varepsilon} \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{1.01} d^{-1}}} x^{\beta-1}\right) \\ &\quad + O\left(x^{1/2-0.01\lambda} \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{2.01}}} x^{\beta-1}\right) + O(x^{1/2-1.01\lambda+\varepsilon} d^{-1}), \end{aligned}$$

where  $\sum'$  indicates that the sum does not contain the exceptional zero  $\tilde{\beta}$ .

By the same methods as in [1] we have

$$(5.2) \quad \begin{aligned} & \sum_{\substack{d \leq Y \\ [d_1, d] \leq Y^\varepsilon (d_1, d)}} \sum_{\chi_d}^* \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{2.01}}} x^{\beta-1} \ll x^{0.7\varepsilon}, \\ & \sum_{d \leq Y} \sum_{\chi_d}^* \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{2.01}}} x^{\beta-1} \ll x^{0.7\varepsilon}. \end{aligned}$$

Let

$$(5.3) \quad \begin{aligned} I_1 &= \sum_{\substack{d \leq Y \\ [d_1, d] \leq Y^\varepsilon (d_1, d)}} \sum_{\chi_d}^* \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{1+\varepsilon} d^{-1}}} x^{(1-\varepsilon)(\beta-1)}, \\ I_2 &= \sum_{d \leq Y} \sum_{\chi_d}^* \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{1+\varepsilon} d^{-1}}} x^{(1-\varepsilon)(\beta-1)}. \end{aligned}$$

Suppose  $\varrho_{\chi_d} = \beta_{\chi_d} + i\gamma_{\chi_d}$ ,  $|\gamma_{\chi_d}| \leq Y^{1+\varepsilon} d^{-1}$ , is a zero of  $L(s, \chi_d)$ . Let  $\mathcal{L} = (1 + \varepsilon) \log Y$ .

1) If  $1 - 0.24/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.239/\mathcal{L}$ , then by Lemma 6 and Tables 1 and 2 we have

$$\begin{aligned} I_1 &\leq 2e^{-0.239/(\lambda+\varepsilon)} + \frac{1}{\lambda+\varepsilon} \int_{1.116}^{\infty} e^{-(1-\varepsilon)t/(\lambda+\varepsilon)} N_1^*(t, Y) dt \leq 0.136, \\ I_2 &\leq 2e^{-0.239/(\lambda+\varepsilon)} + \frac{1}{\lambda+\varepsilon} \int_{0.444}^{\infty} e^{-(1-\varepsilon)t/(\lambda+\varepsilon)} N^*(t, Y) dt \leq 1.009. \end{aligned}$$

- 2) If  $1 - 0.26/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.24/\mathcal{L}$ , we have  $I_1 \leq 0.143$ ,  $I_2 \leq 1.098$ .
- 3) If  $1 - 0.28/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.26/\mathcal{L}$ , we have  $I_1 \leq 0.129$ ,  $I_2 \leq 1.177$ .
- 4) If  $1 - 0.30/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.28/\mathcal{L}$ , we have  $I_1 \leq 0.118$ ,  $I_2 \leq 1.271$ .
- 5) If  $1 - 0.32/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.30/\mathcal{L}$ , we have  $I_1 \leq 0.114$ ,  $I_2 \leq 1.377$ .
- 6) If  $1 - 0.34/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.32/\mathcal{L}$ , we have  $I_1 \leq 0.118$ ,  $I_2 \leq 1.464$ .
- 7) If  $1 - 0.36/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.34/\mathcal{L}$ , we have  $I_1 \leq 0.131$ ,  $I_2 \leq 1.374$ .
- 8) If  $1 - 0.38/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.36/\mathcal{L}$ , we have  $I_1 \leq 0.153$ ,  $I_2 \leq 1.249$ .
- 9) If  $1 - 0.40/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.38/\mathcal{L}$ , we have  $I_1 \leq 0.185$ ,  $I_2 \leq 1.141$ .
- 10) If  $1 - 0.42/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.40/\mathcal{L}$ , we have  $I_1 \leq 0.229$ ,  $I_2 \leq 1.047$ .
- 11) If  $1 - 0.44/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.42/\mathcal{L}$ , we have  $I_1 \leq 0.287$ ,  $I_2 \leq 0.967$ .
- 12) If  $1 - 0.46/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.44/\mathcal{L}$ , we have  $I_1 \leq 0.337$ ,  $I_2 \leq 0.897$ .
- 13) If  $1 - 0.48/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.46/\mathcal{L}$ , we have  $I_1 \leq 0.372$ ,  $I_2 \leq 0.835$ .
- 14) If  $1 - 0.50/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.48/\mathcal{L}$ , we have  $I_1 \leq 0.395$ ,  $I_2 \leq 0.784$ .
- 15) If  $1 - 0.517/\mathcal{L} \leq \beta_{\chi_d} \leq 1 - 0.50/\mathcal{L}$ , we have  $I_1 \leq 0.420$ ,  $I_2 \leq 0.738$ .
- 16) If  $1 - 0.517/\mathcal{L} \geq \beta_{\chi_d}$ , we have  $I_1 \leq 0.414$ ,  $I_2 \leq 0.704$ .

Hence in all cases we have

$$(5.4) \quad I_1 I_2 \leq 0.311.$$

LEMMA 8. Let  $\chi_1$  be a real non-principal character mod  $q$ ,  $\beta_1 = 1 - \delta_1$  a real zero of  $L(s, \chi_1)$ ,  $\chi$  a character mod  $q$ , and  $\varrho = \beta + i\gamma = 1 - \delta + i\gamma$  a zero of  $L(s, \chi)$  with  $\delta < 1/6$ ,  $\beta \leq \beta_1$ . Suppose that  $D = q(|\gamma| + 1)$  is sufficiently large, that is,  $D \geq D_0(\varepsilon)$ . Then

$$\delta_1 \geq (2/3 - \varepsilon)(1 - 6\delta)D^{-(3/2+\varepsilon)\delta/(1-6\delta)}/\log D.$$

This is Theorem 2 of [9].

LEMMA 9. If the exceptional primitive real character  $\tilde{\chi}$  (mod  $\tilde{q}$ ) exists, and the unique exceptional zero  $\tilde{\beta}$  of  $L(s, \tilde{\chi})$  satisfies  $\tilde{\delta}(\lambda + \varepsilon) \log x \leq 0.239$  where  $\tilde{\delta} = 1 - \tilde{\beta}$ , let  $\chi_q$  be a primitive character mod  $q$ , and  $\varrho = \beta + i\gamma = 1 - \delta + i\gamma$  a zero of  $L(s, \chi_q)$  with  $0 < \delta < \varepsilon$ . Suppose that  $D_1 = [q, \tilde{q}](|\gamma| + 1)$  is sufficiently large, that is,  $D_1 \geq D_1(\varepsilon)$ . Then

$$\tilde{\delta} \geq (2/3 - \varepsilon)(1 - 6\delta)D_1^{-(3/2+\varepsilon)\delta/(1-6\delta)}/\log D_1.$$

Proof. This follows by Lemma 8 and the method of Lemma 15 of [1].

By (26) of [1] we have

$$(5.5) \quad W((\log x)^{10}) \leq 10^{-10}x^{1/2}.$$

By (5.1)–(5.4) and definitions of  $W(Y)$  and  $W'(Y)$  we have

$$(5.6) \quad W(Y)W'(Y) \leq 0.311x.$$

Now we suppose that the exceptional primitive real character  $\tilde{\chi}$  (mod  $\tilde{q}$ ) exists, and the unique exceptional real zero  $\tilde{\beta}$  of  $L(s, \tilde{\chi})$  satisfies  $\tilde{\delta}(\lambda + \varepsilon) \log x \leq 0.239$  where  $\tilde{\delta} = 1 - \tilde{\beta}$ . In this case, as above we have

$$(5.7) \quad W(Y, \tilde{q}) \leq W'(Y) \leq 0.0107x^{1/2}, \quad W(Y) \leq 0.884x^{1/2}.$$

Hence we have

$$(5.8) \quad W(Y)W'(Y) \leq 0.0095x.$$

We suppose, as we may, that  $\tilde{q} \leq Y$ ,  $q \leq Y$ ,  $[q, \tilde{q}] \leq x^\varepsilon(q, \tilde{q})$  and  $|\gamma| \leq Y^{1+\varepsilon}q^{-1}$ , and then we may take  $D_1 = x^{\lambda+2\varepsilon}$  in Lemma 9. Therefore if  $\tilde{\delta}(\lambda + \varepsilon) \log x \leq 0.005$  and  $\delta \leq \varepsilon$ , then we have

$$(5.9) \quad \delta \geq \frac{3.26}{\lambda \log x}.$$

If  $\tilde{\delta}(\lambda + \varepsilon) \log x \geq 0.005$ ,  $\tilde{\delta} \geq (2/3 - \varepsilon)(D_1^{1.501\varepsilon} \log D_1)^{-1}$ ,  $\delta \leq \varepsilon$ , then as above, by Lemma 9 one has

$$(5.10) \quad \delta \geq -\frac{\log(1.501\tilde{\delta} \log D_1)}{1.501 \log D_1}.$$

By Lemma 6 we have

$$\begin{aligned}
(5.11) \quad & \sum_{\substack{d \leq Y \\ [d_1, d] \leq Y^\varepsilon(d_1, d)}} \sum_{\chi_d}^* \sum'_{\substack{\beta \geq 1/4 \\ |\gamma_{\chi_d}| \leq Y^{1.01}d^{-1}}} x^{(1-\varepsilon)(\beta-1)} \\
& \leq \frac{1}{\lambda + \varepsilon} \int_{-(\log(1.501\tilde{\delta}\log D_1))/1.501}^{\infty} e^{-(1-\varepsilon)t/(\lambda+\varepsilon)} N_1^*(t, Y) dt + O(x^{-\varepsilon}) \\
& \leq 10^{-8}(\tilde{\delta}\log x) + O(x^{-\varepsilon}).
\end{aligned}$$

Hence

$$(5.12) \quad W'(Y) \leq 10^{-8}(\tilde{\delta}\log x)x^{1/2} + O(x^{1/2-\varepsilon}).$$

Similarly we have

$$(5.13) \quad W((\log x)^{10}), W(Y, \tilde{q}) \leq 10^{-8}(\tilde{\delta}\log x)x^{1/2} + O(x^{1/2-\varepsilon}).$$

If  $x^{-\lambda/10^5} \leq \tilde{\delta} \leq (2/3 - \varepsilon)(D_1^{1.501\varepsilon}\log D_1)^{-1}$ , then as above, by Lemma 9 one has

$$(5.14) \quad W((\log x)^{10}), W(Y, \tilde{q}), W'(Y) \leq \varepsilon(\tilde{\delta}\log x)x^{1/2} + O(x^{1/2-0.01}).$$

**6. Proof of the Theorem.** First of all, we suppose that there is no exceptional character. When  $(1 - \varepsilon)x \leq n \leq x$ , by (4.8), (4.9), (4.15) and (4.19) we have

$$\begin{aligned}
D_1(n) & \geq nC(n) - \frac{n}{\phi(n)} \left\{ 8x^{1/2}W((\log x)^{10}) \right. \\
& \quad \left. + 2.0797W(Y)W'(Y) + O\left(\frac{x^{1/2}W(Y)}{(\log x)^6}\right) \right\} + O(x^{1-\lambda+\varepsilon}).
\end{aligned}$$

Since  $\lambda = 0.0862$ ,  $\prod_{p \geq 3}(1 - 1/(p-1)^2) \geq 0.6601$ , by (5.5) and (5.6) it follows that

$$D_1(n) \geq \frac{nx}{\phi(n)} \left\{ \prod_{p \geq 3} \left(1 - \frac{1}{(p-1)^2}\right) - 2.0797 \cdot 0.311 - 10^{-9} \right\} \geq 0.01x,$$

which proves the assertion.

Now we suppose the exceptional character occurs, and  $(1 - \varepsilon)x \leq n \leq x$ . By Section 4 we have

$$\begin{aligned}
(6.1) \quad D_1(n) & \geq nC(n) + \tilde{I}(n)\tilde{C}(n) \\
& \quad - \frac{n}{\phi(n)} \{ 8x^{1/2}W((\log x)^{10}) + 2.0797W(Y)W'(Y) \\
& \quad + 4.1594W(Y, \tilde{q}) + W(Y)x^{(1-\varepsilon)/2} \} \\
& \quad + O\left(\frac{x^{1/2}W(Y)}{(\log x)^6}\right) + O\left(\tilde{\chi}^2(n)\frac{\tilde{q}}{\phi^2(\tilde{q})} \cdot \frac{n}{\phi(n)}x\right) \\
& \quad + O(x^{1-\lambda+\varepsilon}(n, \tilde{q})).
\end{aligned}$$

1) When  $(n, \tilde{q}) = 1$  or  $(n, \tilde{q}) \leq x^{(1-10^{-4})\lambda}$  and  $\prod_{p|\tilde{q}, p \nmid n} (p-2) \geq 1/\varepsilon$  we follow the argument of [1]. Thus by (5.7) and (5.8) we have

$$(6.2) \quad D_1(n) \geq \frac{n}{\phi(n)} \left\{ x \prod_{p \geq 3} \left( 1 - \frac{1}{(p-1)^2} \right) - 2.0797W(Y)W'(Y) - 10^{-8}x - 4.1594W(Y, \tilde{q})x^{1/2} \right\} \geq 0.59x.$$

2) When  $(n, \tilde{q}) > x^{(1-10^{-4})\lambda}$  we have

$$(6.3) \quad \sum_{\substack{n \leq x \\ (n, \tilde{q}) > x^{(1-10^{-4})\lambda}}} 1 \leq x^{1-(1-10^{-4})\lambda+\varepsilon}.$$

3) When  $1 < (n, \tilde{q}) \leq x^{(1-10^{-4})\lambda}$  and  $\prod_{p|\tilde{q}, p \nmid n} (p-2) \leq 1/\varepsilon$ , we notice that  $\tilde{\chi}(n) = 0$ , and from Lemma 5.1 of [8] we have  $\mu(\tilde{q}/(4, \tilde{q})) = 0$  hence  $16 \nmid \tilde{q}$ ,  $p^2 \nmid \tilde{q}$  ( $p \geq 3$ ). Since  $\prod_{p|\tilde{q}, p \nmid n} (p-2) \leq 1/\varepsilon$ , there exists  $\tilde{q} \leq 16(n, \tilde{q})/\varepsilon^2 \leq x^{(1-10^{-4})\lambda+\varepsilon}$ . By (4.12) and (4.13) we have

$$(6.4) \quad nC(n) - |\tilde{I}(n)\tilde{C}(n)| \geq (n - x^{(1-\varepsilon)(\tilde{\beta}-1)}n^{\tilde{\beta}})C(n).$$

When  $1 - \frac{0.239}{(\lambda+\varepsilon) \log x} \leq \tilde{\beta} \leq 1 - \frac{0.005}{(\lambda+\varepsilon) \log x}$ , we have

$$x^{(1-\varepsilon)(\tilde{\beta}-1)}n^{\tilde{\beta}} \leq 0.8905n.$$

By (5.7) and (5.8) we have

$$(6.5) \quad D_1(n) \geq \frac{nx}{\phi(n)} \left\{ 0.1095 \prod_{p \geq 3} \left( 1 - \frac{1}{(p-1)^2} \right) - 2.0797 \cdot 0.0095 - 10^{-8} - 4.1594 \cdot 0.0107 \right\} \geq 0.007x.$$

When  $1 - \frac{0.005}{(\lambda+\varepsilon) \log x} \leq \tilde{\beta} \leq 1 - (\frac{2}{3} - \varepsilon) \frac{x^{-1.501\varepsilon\lambda}}{\lambda \log x}$ , as in (48) of [1] we have

$$nC(n) - |\tilde{I}(n)\tilde{C}(n)| \geq 0.62 \frac{\tilde{\delta}nx \log n}{\phi(n)}.$$

By (5.12) and (5.13) we have

$$(6.6) \quad D_1(n) \geq \frac{\tilde{\delta}nx \log n}{\phi(n)} \{ 0.62 - 2.0797 \cdot 10^{-7} - (8 + 4.1594) \cdot 10^{-8} \} \geq 0.6x^{1-\varepsilon}.$$

When  $\tilde{\beta} \geq 1 - (\frac{2}{3} - \varepsilon) \frac{x^{-1.501\varepsilon\lambda}}{\lambda \log x}$ , by  $\tilde{q} \leq x^\lambda$  and Lemma 2 we have

$$x^{-10^{-5}\lambda} \leq \tilde{\delta} \leq \left( \frac{2}{3} - \varepsilon \right) \frac{x^{-1.501\varepsilon\lambda}}{\lambda \log x},$$

and by (5.14) we have

$$(6.7) \quad D_1(n) \geq \frac{\tilde{\delta}nx \log n}{\phi(n)} \{0.62 - 20\varepsilon\} \geq 0.6x^{1-10^{-5}\lambda}.$$

By (6.1)–(6.7) and Lemma 7 the assertion follows.

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Received on 13.11.1998

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