# Representation of integers by cyclotomic binary forms

by

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Dedicated to Robert Tijdeman on the occasion of his 75th birthday

**1. Introduction.** K. Győry [G] obtained many interesting results on the representation of integers (resp. algebraic integers) by binary forms. He obtained sharp estimates, in contrast with the exponential bounds previously obtained on Thue's equations by means of Baker's results on lower bounds for linear forms in logarithms of algebraic numbers. The bibliography of [G] contains a useful selection of articles dealing with these problems, including [N1] and [N2].

Most particularly, Győry considered binary forms of degree d with integral coefficients,

$$F(X,Y) = a_0 X^d + a_1 X^{d-1} Y + \dots + a_{d-1} X Y^{d-1} + a_d Y^d,$$

which are products of  $\ell$  irreducible forms, assuming that the roots of F(X, 1) are totally imaginary quadratic numbers over a totally real number field, and he proved that for  $m \neq 0$ , the solutions  $(x, y) \in \mathbb{Z}^2$  of F(X, Y) = m satisfy

$$|x| \le 2|a_d|^{1-(2\ell-1)/d}|m|^{1/d}$$
 and  $|y| \le 2|a_0|^{1-(2\ell-1)/d}|m|^{1/d}$ .

In other words, the splitting field of each irreducible factor of F(X, 1) is a *CM-field*, i.e., a totally imaginary quadratic extension of a totally real number field. In particular, cyclotomic fields are such number fields.

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Examples of such binary forms with  $a_0 = a_d = 1$  are given by the cyclotomic binary forms, which we define as follows. For  $n \ge 1$ , denote by  $\phi_n(X)$  the cyclotomic polynomial of index n and degree  $\varphi(n)$  (Euler's totient function). Following [N2, Section 6], the cyclotomic binary form  $\Phi_n(X, Y)$  is defined by  $\Phi_n(X, Y) = Y^{\varphi(n)}\phi_n(X/Y)$ . In particular, we have  $\Phi_n(x, y) > 0$  for  $n \ge 3$  and  $(x, y) \ne (0, 0)$  (see §4 below).

In the special case of cyclotomic binary forms, Győry [G] proves

$$\max\{|x|, |y|\} \le 2|m|^{1/\varphi(n)}$$

for the integral solutions (x, y) of  $\Phi_n(X, Y) = m$ . In contrast with our Theorem 1.1 below, Győry gives an upper bound for n only if  $\max\{|x|, |y|\} \ge 3$ .

Here is our first main result, in which we exclude the cases n = 1 and n = 2, for which the cyclotomic polynomial  $\phi_n$  is linear.

THEOREM 1.1. Let m be a positive integer and let n, x, y be rational integers satisfying  $n \ge 3$ ,  $\max\{|x|, |y|\} \ge 2$  and  $\Phi_n(x, y) = m$ . Then

$$\max\{|x|, |y|\} \le \frac{2}{\sqrt{3}} m^{1/\varphi(n)} \quad and \ therefore \quad \varphi(n) \le \frac{2}{\log 3} \log m.$$

In particular, there is no solution when  $m \in \{1, 2\}$ . From the lower bound,

$$\varphi(n) > \left(\frac{n}{2.685}\right)^{1/1.161},$$

proved in six lines in [M–W], we deduce that the upper bound  $\varphi(n) < 2(\log m)/\log 3$  of Theorem 1.1 implies

(1.1) 
$$n < 5.383 (\log m)^{1.161}.$$

Theorem 1.1 is a refinement of Győry's above-mentioned result for these cyclotomic binary forms. Subject to GCD(x, y) = 1, Nagell (see [N1, Lemma 1, p. 155]) comes up with a slightly larger bound than ours for  $\varphi(n)$ , namely he has  $\varphi(n) < (4 \log m)/(3 \log 2)$ , and he does not exhibit a bound for  $\max\{|x|, |y|\}$ .

The estimates of Theorem 1.1 are optimal because for  $\ell \geq 1$ ,

$$\Phi_3(\ell, -2\ell) = 3\ell^2.$$

If we assume  $\varphi(n) > 2$ , so  $\varphi(n) \ge 4$ , the conclusion of Theorem 1.1 can be replaced by

$$\varphi(n) \le \frac{4}{\log 11} \log m$$
 and  $\max\{|x|, |y|\} \le c_5^{-1/4} m^{1/\varphi(n)}$ 

thanks to (5.1) (the constant  $c_5$  is defined in Section 4). Again these estimates are best possible (<sup>1</sup>).

There are infinitely many integers n such that  $\Phi_n(1,2) < 2^{\varphi(n)}$ ; for instance,  $n = 2 \cdot 3^e$  with  $e \ge 1$ . We will prove the following.

THEOREM 1.2. For  $\theta \in [0, 1[$ , there are only finitely many triples (n, x, y)with  $n \geq 3$  and  $\max\{|x|, |y|\} \geq 2$  such that  $\Phi_n(x, y) \leq 2^{\theta \varphi(n)}$ ; these triples can be effectively determined and they satisfy  $\max\{|x|, |y|\} = 2$ .

As a matter of fact, we shall see that  $\max\{|x|, |y|\} = 2$  follows from the weaker assumption

$$\Phi_n(x,y) < 7^{\varphi(n)/2},$$

which is optimal since  $\Phi_3(1, -3) = 7$ .

Theorem 1.1 shows that, for each integer  $m \ge 1$ , the set

$$\{(n, x, y) \in \mathbb{N} \times \mathbb{Z}^2 \mid n \ge 3, \max\{|x|, |y|\} \ge 2, \Phi_n(x, y) = m\}$$

is finite. The finiteness of the subset of (n, x, y) subject to the stronger condition  $\max\{|x|, |y|\} \ge 3$  follows from [G], but not for  $\max\{|x|, |y|\} \ge 2$ . Let us denote by  $a_m$  the number of elements in the above set. The positive integers m such that  $a_m \ge 1$  are the integers which are represented by a cyclotomic binary form. We will see in §7 that the sequence of integers  $m \ge 1$ such that  $a_m \ge 1$  starts with the following values of  $a_m$  [OEIS, A296095 and A299214]:

Table 1

$\overline{m}$	3	4	5	7	8	9	10	11	12	13	16	17	18	19	20
$a_m$	8	16	8	24	4	16	8	8	12	40	40	16	4	24	8

The only result in this direction that we found in the literature is  $a_1 = 0$ : see [G, N1, N2].

For  $N \ge 1$  and  $n \ge 3$  let  $\mathcal{A}(\Phi_n; N)$  be the set of positive integers  $m \le N$ which are in a restricted image of  $\mathbb{Z}^2$  by  $\Phi_n$ . In other words, for  $n \ge 3$  we define

$$\mathcal{A}(\Phi_n; N) := \left\{ m \in \mathbb{N} \mid m \le N, \ m = \Phi_n(x, y) \text{ for some } (x, y) \in \mathbb{Z}^2 \\ \text{with } \max\{|x|, |y|\} \ge 2 \right\}.$$

The following theorem describes the asymptotic cardinality of the set of values taken by the polynomials  $\Phi_n$  for  $n \geq 3$ . Defining

$$\mathcal{A}(\Phi_{\{n\geq 3\}};N) := \bigcup_{n\geq 3} \mathcal{A}(\Phi_n;N),$$

we have

 $<sup>(^{1})</sup>$  We thank Peter Luschny for pointing out an inaccuracy in a previous version of this remark.

THEOREM 1.3. There exist sequences  $(\alpha_h)$  and  $(\beta_h)$  (with  $\alpha_0 > 0$  and  $\beta_0 > 0$ ) such that, for every  $M \ge 0$ , uniformly for  $N \ge 2$ ,

(1.2)  

$$|\mathcal{A}(\Phi_{\{n\geq 3\}};N)| = \frac{N}{(\log N)^{1/2}} \left\{ \left( \alpha_0 - \frac{\beta_0}{(\log N)^{1/4}} \right) + \frac{1}{\log N} \left( \alpha_1 - \frac{\beta_1}{(\log N)^{1/4}} \right) + \dots + \frac{1}{(\log N)^M} \left( \alpha_M - \frac{\beta_M}{(\log N)^{1/4}} \right) + O\left( \frac{1}{(\log N)^{M+1}} \right) \right\}.$$

The proof of this theorem will be given in §6 with the precise definitions of the coefficients  $\alpha_0$  and  $\beta_0$ . This proof will show that the largest contribution to  $|\mathcal{A}(\Phi_{\{n\geq 3\}}; N)|$  comes from the sets  $\mathcal{A}(\Phi_3; N)$  and  $\mathcal{A}(\Phi_4; N)$ .

It follows from Theorem 1.3 that the set of integers m such that  $a_m \neq 0$  has natural density 0. Combining Theorem 1.3 with Lemma 5.1, we will deduce that the average of the nonzero values of  $a_m$  grows like  $\sqrt{\log m}$ . More precisely, we have the following.

COROLLARY 1.4. For  $N \ge 1$ , define

$$A_N = |\mathcal{A}(\Phi_{\{n \ge 3\}}; N)|$$
 and  $M_N = \frac{1}{A_N}(a_1 + \dots + a_N).$ 

Then there exists a positive absolute constant  $\kappa_1$  such that

 $M_N \sim \kappa_1 \sqrt{\log N}.$ 

In particular, the sequence  $(a_m)_{m\geq 1}$  is unbounded; this follows from the fact that the number of representations of a positive integer by the quadratic form  $\Phi_4(X, Y)$  is an unbounded sequence. The same is true for the quadratic forms  $\Phi_3(X, Y)$  and  $\Phi_6(X, Y)$ .

In Lemma 5.1, we will prove that the number  $C_N$  of integers  $\leq N$  which are represented by a binary form  $\Phi_n(X,Y)$  with  $\varphi(n) > 2$  and  $\max\{|x|, |y|\} \geq 2$  is less than  $\kappa_2 N^{1/2}$  where  $\kappa_2$  is a positive absolute constant.

For  $m \geq 1$ , denote by  $b_m$  the number of elements in the set

$$\big\{(n,x,y)\in\mathbb{N}\times\mathbb{Z}^2\ \big|\ \varphi(n)>2,\ \max\{|x|,|y|\}\geq 2,\ \varPhi_n(x,y)=m\big\}.$$

We will see in the last section that for m between 1 and 100, there are exactly 16 values of m for which  $b_m$  is different from 0:

m	11	13	16	17	31	32	43	55	57	61	64	73	80	81	82	97
$b_m$	8	8	24	8	8	4	8	8	8	16	24	16	4	24	8	8

Table 2

LEMMA 1.5. We have

$$\limsup_{m \to \infty} \frac{b_m \log \log \log m}{\log \log m} \ge 8,$$

and therefore the sequence  $(b_m)_{m\geq 1}$  is unbounded.

*Proof.* For the sth odd prime  $p_s$ , consider the integer

 $k_s = \varphi(3 \cdot 5 \cdots p_s),$ 

the product being taken over all the primes between 3 and  $p_s$ . Set  $m_s = 2^{k_s}$ . Then  $\Phi_n(x, y) = m_s$  for at least 8s values of (n, x, y), namely

$$(\ell, 0, \pm 2^t), \quad (\ell, \pm 2^t, 0), \quad (2\ell, 0, \pm 2^t), \quad (2\ell, \pm 2^t, 0),$$

for each prime  $\ell$  between 3 and  $p_s$  with  $t = k_s/\varphi(\ell)$ . Therefore, by excluding  $\ell = 3$  we have  $b_{m_s} \ge 8(s-1)$ .

Because

$$\log k_s = \sum_{3 \le p \le p_s} \log(p-1),$$

the Prime Number Theorem implies that for  $s \to \infty$  we have

$$\log k_s \sim p_s \sim s \log s,$$

hence

$$s \sim \frac{\log k_s}{\log \log k_s}$$
 with  $k_s = \frac{\log m_s}{\log 2}$ 

and

$$s \sim \frac{\log \log m_s}{\log \log \log m_s}$$

This completes the proof of Lemma 1.5.  $\blacksquare$ 

**2.** Positive definite binary forms. Consider a Thue equation F(X, Y) = m associated with the polynomial f(X) defined by f(X) = F(X, 1), where f(X) has no real roots and has positive values on  $\mathbb{R}$ . This is the case for cyclotomic polynomials. Such a situation was also considered in [G]. The following result shows that the study of the associated Diophantine equation F(X, Y) = m reduces to finding a lower bound for the values of f(t) on  $\mathbb{R}$ .

LEMMA 2.1. Let  $f(X) \in \mathbb{Z}[X]$  be a nonzero polynomial of degree d which has no real root. Let  $g(X) = X^d f(1/X)$ . Assume that the leading coefficient of f(X) is positive, so that the real numbers defined by

$$\gamma_1 = \inf_{t \in \mathbb{R}} f(t), \qquad \gamma_2 = \inf_{t \in \mathbb{R}} g(t),$$
  
$$\gamma'_1 = \inf_{-1 \le t \le 1} f(t), \qquad \gamma'_2 = \inf_{-1 \le t \le 1} g(t), \qquad \gamma' = \min\{\gamma'_1, \gamma'_2\}$$

are > 0. Let F(X,Y) be the binary form  $Y^d f(X/Y)$  associated with f(X).

(1) For each  $(x, y) \in \mathbb{Z}^2$ , we have

 $F(x,y) \ge \gamma_1 |y|^d$ ,  $F(x,y) \ge \gamma_2 |x|^d$ ,  $F(x,y) \ge \gamma' \max\{|x|^d, |y|^d\}$ .

- (2) The following statements hold true:
  - (i) For any real number  $c_1$  with  $c_1 > \gamma_1$ , there exist infinitely many couples (x, y) in  $\mathbb{Z}^2$  satisfying y > 0 and

$$F(x,y) < c_1 y^d.$$

(ii) For any real number c<sub>2</sub> with c<sub>2</sub> > γ<sub>2</sub>, there exist infinitely many couples (x, y) in Z<sup>2</sup> satisfying x > 0 and

$$F(x,y) < c_2 x^d.$$

(iii) For any real number c with  $c > \gamma'$ , there exist infinitely many couples (x, y) in  $\mathbb{Z}^2$  satisfying

$$F(x,y) < c \max\{|x|^d, |y|^d\}.$$

Before proceeding to the proof, some remarks are in order. For |t| > 1, from  $g(t) = t^d f(1/t)$  we deduce  $f(1/t) \le g(t)$ . Hence

$$\inf_{-1 \le t \le 1} |f(t)| \le \inf_{|t| \ge 1} |g(t)|.$$

Therefore, if we set

$$\gamma_1'' = \inf_{|t| \ge 1} f(t), \quad \gamma_2'' = \inf_{|t| \ge 1} g(t),$$

then

$$\gamma_1 = \min\{\gamma'_1, \gamma''_1\}, \quad \gamma_2 = \min\{\gamma'_2, \gamma''_2\}, \quad \gamma'_2 \le \gamma''_1, \quad \gamma'_1 \le \gamma''_2.$$

Hence

$$\gamma' = \min\{\gamma'_1, \gamma'_2\} \le \min\{\gamma''_1, \gamma''_2\} \le \max\{\gamma_1, \gamma_2\}.$$

It follows that for a reciprocal polynomial f we have  $\gamma_1 = \gamma_2 = \gamma'_1 = \gamma'_2 = \gamma'$ ; in particular, for a reciprocal f,

(2.1) 
$$\inf_{t \in \mathbb{R}} f(t) = \inf_{|t| \le 1} f(t).$$

*Proof of Lemma 2.1.* (1) The proof of the first two lower bounds is direct. Let us prove the third one. It is plain that

 $F(x,y) \ge \gamma'_1 |y|^d$  for  $|x| \le |y|$  and  $F(x,y) \ge \gamma'_2 |x|^d$  for  $|x| \ge |y|$ , and the third lower bound follows.

(2) Here we prove that the lower bounds of (1) are optimal.

(i) Let  $t_0 \in \mathbb{R}$  be such that  $f(t_0) = \gamma_1$ . There exists a real number a > 0 such that, for  $t \in [t_0 - a, t_0 + a]$ ,

$$|f(t) - \gamma_1| \le (|f'(t_0)| + 1)(t - t_0).$$

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For y > 0, let x in  $\mathbb{Z}$  be such that

$$|t_0 - x/y| \le 1.$$

For y sufficiently large, x/y is in  $]t_0 - a, t_0 + a[$  and we have

$$|F(x,y) - y^d f(t_0)| \le (|f'(t_0)| + 1)y^{d-1}.$$

As a consequence, for y sufficiently large,

$$F(x,y) < c_1 y^d.$$

(ii) is proved in the same way.

(iii) Assume first  $c > \gamma'_1$ . Suppose  $-1 \le t_0 \le 1$ . Our argument above gives infinitely many couples (x, y) in  $\mathbb{Z}^2$  with  $F(x, y) < c|y|^d$  and  $|y| \le |x|$ . Hence

$$F(x,y) < c \max\{|x|^d, |y|^d\}.$$

The same argument, starting with  $|t_0| \ge 1$ , gives infinitely many couples (x, y) with  $F(x, y) < c|x|^d$  and  $|x| \le |y|$ . The case  $c > \gamma'_2$  is proved in the same way.

Let us mention in passing that Győry [G, p. 364] exhibited Thue equations which have as many (nonzero) solutions as one pleases, by allowing the degree to be large enough. Let us give a similar example. Let  $c_j$   $(j = 1, ..., \ell)$ be different rational integers and let c > 0 be any fixed integer. Consider the binary form F(X, Y) of degree  $2\ell$  defined by

$$F(X,Y) = \prod_{j=1}^{\ell} (X - c_j Y)^2 + c Y^{2\ell}.$$

Here F(x, y) > 0 for all  $(x, y) \in \mathbb{R}^2 \setminus \{(0, 0)\}$ . Moreover, for  $j = 1, \ldots, \ell$ , we have  $F(c_j, 1) = c$ , and the minimum value on the real axis of the associated polynomial f(X), defined by F(X, 1), is c.

**3. On cyclotomic polynomials.** The cyclotomic polynomials  $\phi_n(X) \in \mathbb{Z}[X], n \ge 1$ , are defined by the formula

(3.1) 
$$\phi_n(X) = \prod_{\zeta \in E_n} (X - \zeta)$$

where  $E_n$  is the set of primitive roots of unity of order n. One can also define them via the recurrence provided by

(3.2) 
$$X^n - 1 = \prod_{d|n} \phi_d(X).$$

The degree of  $\phi_n(X)$  is  $\varphi(n)$ , where  $\varphi$  is Euler's totient function. We will always suppose that  $n \ge 3$ , so  $\varphi(n)$  is always even. For  $n \ge 3$ , the polynomial  $\phi_n(X)$  has no real root. Two important formulas for cyclotomic polynomials are the following: when n is an integer  $\geq 1$  written as  $n = p^r m$  with p a prime and GCD(p, m) = 1, we have

(3.3) 
$$\phi_n(X) = \frac{\phi_m(X^{p^r})}{\phi_m(X^{p^{r-1}})}$$
 and  $\phi_n(X) = \phi_{pm}(X^{p^{r-1}}).$ 

We will use the following properties:

(i) The nth cyclotomic polynomial can be defined by

(3.4) 
$$\phi_n(X) = \prod_{d|n} (X^d - 1)^{\mu(n/d)}$$

where  $\mu$  is the Möbius function.

(ii) Let  $n = 2^{e_0} p_1^{e_1} \cdots p_r^{e_r}$  where  $p_1, \ldots, p_r$  are different odd primes,  $e_0 \ge 0, e_i \ge 1$  for  $i = 1, \ldots, r$  and  $r \ge 1$ . Denote by R the radical of n,

$$R = \begin{cases} 2p_1 \cdots p_r & \text{if } e_0 \ge 1, \\ p_1 \cdots p_r & \text{if } e_0 = 0. \end{cases}$$

Then

(3.5) 
$$\phi_n(X) = \phi_R(X^{n/R}).$$

(iii) Let n = 2m with m odd  $\geq 3$ . Then

(3.6) 
$$\phi_n(X) = \phi_m(-X).$$

4. The invariants  $c_n$ . The real number

$$c_n = \inf_{t \in \mathbb{R}} \phi_n(t)$$

is always > 0 for  $n \ge 3$ ; this invariant  $c_n$  will play a major role in this paper. Since the cyclotomic polynomials are reciprocal, we deduce from (2.1) that

(4.1) 
$$c_n = \inf_{-1 \le t \le 1} \phi_n(t).$$

PROPOSITION 4.1. Let  $n \geq 3$ . Write

$$n = 2^{e_0} p_1^{e_1} \cdots p_r^{e_r}$$

where  $p_1, \ldots, p_r$  are odd primes with  $p_1 < \cdots < p_r$ ,  $e_0 \ge 0$ ,  $e_i \ge 1$  for  $i = 1, \ldots, r$  and  $r \ge 0$ .

(i) For r = 0 we have  $e_0 \ge 2$  and  $c_n = c_{2^{e_0}} = 1$ .

(ii) For  $r \geq 1$  we have

$$c_n = c_{p_1 \cdots p_r} \ge p_1^{-2^{r-2}}$$

Here are the first values of  $c_n$  for n odd and squarefree, with for each n a value of  $t_n \in [-1, 1[$  such that  $c_n = \phi_n(t_n)$ :

n	$c_n$	$t_n$	n	$c_n$	$t_n$	n	$c_n$	$t_n$
3	0.75	-0.5	19	0.562	-0.822	37	0.536	-0.889
5	0.673	-0.605	21	0.496	$0.723\ldots$	39	0.433	$0.808\ldots$
7	0.635	-0.670	23	0.553	-0.844	41	0.533	$-0.897\ldots$
11	0.595	-0.747	29	$0.544\ldots$	-0.867	43	0.531	-0.900
13	0.583	-0.772	31	$0.541\ldots$	-0.873	47	0.529	$-0.907\ldots$
15	0.544	0.669	33	$0.447\ldots$	$0.787\ldots$	51	0.414	$0.838\ldots$
17	0.567	-0.808	35	$0.375\ldots$	0.782	53	0.526	$-0.915\ldots$

Table 3

*Proof of Proposition 4.1.* In view of the properties (3.5) and (3.6), we may restrict to the case where n is odd and squarefree.

We plan to prove

(4.2) 
$$\phi_{p_1 \cdots p_r}(t) \ge \frac{1}{p_1^{2^{r-2}}}$$

for  $r \ge 1$  and  $-1 \le t \le 1$ .

We start with the case r = 1. Let p be an odd prime. For  $-1 \le t \le 0$ , we have  $1 \le 1 - t^p \le 1 - t \le 2$ , hence

(4.3) 
$$1/2 \le \phi_p(t) \le 1.$$

For  $0 \le t \le 1$ , we have  $0 \le 1 - t \le 1 - t^p \le 1$  and  $\phi_p(t) = 1 + t + t^2 + \dots + t^{p-1}$ , so

(4.4) 
$$1 \le \phi_p(t) \le p.$$

We deduce  $1/2 \le \phi_p(t) \le p$  for  $-1 \le t \le 1$ . Since  $c_3 = 3/4$ , this completes the proof of (4.2) for r = 1.

Assume now  $r \geq 2$ . Using (3.4) for  $n = p_1 \cdots p_r$ , we express  $\phi_n(t)$  as a product of  $2^{r-1}$  factors, half of which are of the form  $\phi_{p_1}(t^d)$ , while the other half are of the form  $1/\phi_{p_1}(t^d)$ , where d is a divisor of  $p_2p_3 \cdots p_r$ .

For  $t \in [-1, 0]$ , using (4.3), we have

$$1/2 \le \phi_{p_1}(t) \le 1$$
 and  $1/2 \le \phi_{p_1}(t^d) \le 1$ ,

hence

(4.5) 
$$\frac{1}{2^{2^{r-2}}} \le \phi_{p_1 \dots p_r}(t) \le 2^{2^{r-2}}$$

For  $t \in [0, 1]$ , using (4.4), we have

$$1 \le \phi_{p_1}(t) \le p_1$$
 and  $1 \le \phi_{p_1}(t^d) \le p_1$ ,

and so

(4.6) 
$$\frac{1}{p_1^{2^{r-2}}} \le \phi_{p_1 \cdots p_r}(t) \le p_1^{2^{r-2}}.$$

From (4.5) and (4.6), we conclude that (4.2) is true. Thanks to (4.1), inequality (4.2) can be written as

$$\log c_n \ge -2^{r-2} \log p_1. \blacksquare$$

We need an auxiliary result.

LEMMA 4.2. For any odd squarefree integer  $n = p_1 \cdots p_r$  with  $p_1 < \cdots < p_r$ satisfying  $n \ge 11$  and  $n \ne 15$ , we have

(4.7) 
$$\varphi(n) > 2^{r+1} \log p_1$$

*Proof.* If r = 1, then n is a prime  $\geq 11$  and (4.7) is true with  $p_1 = n$ . If  $r = 2, n \neq 15$ , we have  $p_2 \geq 7$ , hence

$$\varphi(p_1p_2) = (p_1 - 1)(p_2 - 1) > 6(p_1 - 1) > 8\log p_1$$

so (4.7) is true.

Assume  $r \geq 3$ . Then

$$\varphi(n) = (p_1 - 1)(p_2 - 1)\cdots(p_r - 1)$$
  
>  $(p_1 - 1)2^{2(r-1)} \ge (p_1 - 1)2^{r+1} > 2^{r+1}\log p_1$ .

We deduce the following consequence.

PROPOSITION 4.3. For  $n \geq 3$ , we have

$$c_n \ge (\sqrt{3}/2)^{\varphi(n)}.$$

This lower bound is best possible, since there is equality for n = 3 (and for n = 6).

Proof of Proposition 4.3. It suffices to check the inequality when n is odd and squarefree, say  $n = p_1 \cdots p_r$  where  $p_1 < \cdots < p_r$  with  $r \ge 1$ . The lower bound is true for n = 3 (with equality, since  $c_3 = 3/4$ ), and also for n = 5, for n = 7 and for n = 15, since

$$c_5 > 0.6 > (\sqrt{3}/2)^4$$
,  $c_7 > 0.6 > (\sqrt{3}/2)^6$ ,  $c_{15} > 0.5 > (\sqrt{3}/2)^8$ .

Using Proposition 4.1(ii) and Lemma 4.2, we have

$$8\log c_n \ge -2^{r+1}\log p_1 \ge -\varphi(n)$$

which implies

$$c_n \ge e^{-\varphi(n)/8} \ge (\sqrt{3}/2)^{\varphi(n)}$$

since  $\log(2/\sqrt{3}) > 1/8$ .

Proposition 4.3 will be sufficient for the proofs of Theorems 1.1 and 1.2 and Lemma 5.1. However, it may be of independent interest to state further properties of  $c_n$ , which are easy to prove.

For p an odd prime number, the derivative  $\phi'_p(t)$  has a unique real root; it lies in ]-1, -1/2] and will be denoted  $t_p$ .

- For p = 3, we have  $t_3 = -1/2$ .
- For p an odd prime number, one has c<sub>p</sub> = pt<sup>p-1</sup><sub>p</sub>.
  The sequence (t<sub>p</sub>)<sub>p odd prime</sub> is decreasing and converges to -1; in fact,

$$-1 + \frac{\log(2p)}{p} - \frac{(\log(2p))^2}{2p^2} < t_p < -1 + \frac{\log(2p)}{p} + \frac{\log(2p)}{p^2}.$$

• The sequence  $(c_p)_{p \text{ odd prime}}$  is decreasing and converges to 1/2; in fact,

$$c_p = \frac{1}{2} + \frac{1 + \log(2p)}{4p} + \frac{\nu_p (\log p)^2}{p^2}$$
 with  $|\nu_p| \le \frac{1}{4}$ .

• Let  $p_1$  and  $p_2$  be primes. Then

$$c_{p_1p_2} \ge \frac{1}{p_1}$$

Further, for any prime  $p_1$ ,

$$\lim_{p_2 \to \infty} c_{p_1 p_2} = \frac{1}{p_1} \cdot$$

• We have  $\liminf_{n\to\infty} c_n = 0$  and  $\limsup_{n\to\infty} c_n = 1$ .

## 5. Proofs of Theorems 1.1 and 1.2

Proof of Theorem 1.1. Assume

$$\Phi_n(x,y) = m$$

with  $n \ge 3$  and  $\max\{|x|, |y|\} \ge 2$ . Using Lemma 2.1, we deduce

 $c_n \max\{|x|, |y|\}^{\varphi(n)} \le m.$ (5.1)

From Proposition 4.3 we get

(5.2) 
$$\left(\frac{\sqrt{3}}{2}\max\{|x|,|y|\}\right)^{\varphi(n)} \le m.$$

Since  $\max\{|x], |y|\} \ge 2$ , we deduce the desired upper bound for  $\varphi(n)$ :

$$3^{\varphi(n)/2} \le m$$

Using again (5.2), we arrive at

$$\max\{|x|,|y|\} \le \frac{2}{\sqrt{3}} m^{1/\varphi(n)}. \bullet$$

*Proof of Theorem 1.2.* We first prove that if the triple (n, x, y) satisfies

$$n \ge 3$$
,  $\max\{|x|, |y|\} \ge 2$ ,  $\Phi_n(x, y) < 7^{\varphi(n)/2}$ 

then  $\max\{|x|, |y|\} = 2$ . Using Maple [M], we check that this property holds for  $n \in \{3, 5, 7, 15\}$ , namely, each of the inequalities

 $\Phi_3(x,y) < 7, \quad \Phi_5(x,y) < 7^2, \quad \Phi_7(x,y) < 7^3, \quad \Phi_{15}(x,y) < 7^4$ implies  $\max\{|x|, |y|\} = 2.$ 

For n an odd squarefree integer  $\notin \{3, 5, 7, 15\}$ , according to (4.7), we have

$$\varphi(n) > 2^{r+1} \log p_1.$$

Since  $\log(3/\sqrt{7}) > 1/8$ , we deduce from (5.1) and Proposition 4.1 that the assumption  $\Phi_n(x, y) < 7^{\varphi(n)/2}$  implies

$$\begin{aligned} \varphi(n)\log\max\{|x|,|y|\} &\leq \log \Phi_n(x,y) - \log c_n < \frac{\varphi(n)}{2}\log 7 + 2^{r-2}\log p_1 \\ &< \left(\frac{1}{2}\log 7 + \frac{1}{8}\right)\varphi(n) < \varphi(n)\log 3, \end{aligned}$$

hence  $\max\{|x|, |y|\} < 3$  and therefore  $\max\{|x|, |y|\} = 2$ . Since  $2 \log 2 < \log 7$ , we deduce that the assumptions  $n \ge 3$ ,  $\max\{|x|, |y|\} \ge 2$ , and  $\Phi_n(x, y) \le 2^{\varphi(n)}$  imply  $\max\{|x|, |y|\} = 2$ .

Let  $\theta \in [0,1[$  and let  $n \geq 3$ ,  $\max\{|x|,|y|\} \geq 2$ , and  $\Phi_n(x,y) \leq 2^{\theta \varphi(n)}$ . Then

$$c_n \le 2^{(\theta - 1)\varphi(n)}$$

Proposition 4.1 implies

$$(1-\theta)(\log 2)\varphi(n) \le 2^{r-2}\log p_1.$$

It remains to check that the set of odd squarefree integers n satisfying this condition is bounded. Indeed, if r = 1, then  $n = p_1$  satisfies

$$2(\log 2)(1-\theta)(p_1-1) \le \log p_1,$$

hence  $p_1$  is bounded. If  $r \ge 2$ , then the condition

$$(1-\theta)(\log 2)(p_1-1)(p_2-1)(p_3-1)\cdots(p_r-1) \le 2^{r-2}\log p_1$$

shows that  $p_1 \cdots p_r$  is bounded.

The proofs of Theorem 1.3 and Corollary 1.4 will use the following result, the proof of which rests on Proposition 4.3.

LEMMA 5.1. Let d > 2. There exists an effectively computable positive constant C(d) such that the number of triples (n, x, y) in  $\mathbb{N} \times \mathbb{Z}^2$  which satisfy  $\varphi(n) \geq d$ ,  $\max\{|x|, |y|\} \geq 2$  and  $\Phi_n(x, y) < N$  is bounded by  $C(d)N^{2/d}$ .

Given a positive integer N and a binary form F(X, Y) of degree d, with integer coefficients and nonzero discriminant, denote by  $R_F(N)$  the number of integers of absolute value at most N which are represented by F(X, Y). In [S–X], the authors quote the foundational work of Fermat, Lagrange, Legendre and Gauss concerning the case where F is a binary quadratic form, and a result of Erdős and Mahler (1938) for forms of higher degrees. They prove that for  $d \geq 3$ , there exists a positive constant  $C_F > 0$  such that  $R_F(N)$  is asymptotic to  $C_F N^{2/d}$ . Lemma 5.1 concerns a sequence of forms having no real zero, a situation which is easier to deal with.

Proof of Lemma 5.1. If m < N is represented by  $\Phi_n(x, y)$  with  $\varphi(n) \ge d$ , then  $\Phi_n(x, y) < N$ , hence by (5.1) we have  $c_n 2^{\varphi(n)} < N$ . From Proposition 4.3 we deduce  $3^{\varphi(n)/2} < N$ , so  $\varphi(n) < (2 \log N)/\log 3$ . Next, from (5.2) we deduce

$$\max\{|x|, |y|\} \le \frac{2}{\sqrt{3}}m^{1/\varphi(n)} < \frac{2}{\sqrt{3}}N^{1/\varphi(n)} \le \frac{2}{\sqrt{3}}N^{1/d},$$

which proves that for each n, the number of (x, y) is bounded by  $(16/3)N^{2/d}$ . From (1.1) we deduce that the number of triples (n, x, y) in  $\mathbb{N} \times \mathbb{Z}^2$  which satisfy  $\varphi(n) \geq d$ ,  $\max\{|x|, |y|\} \geq 2$  and  $\Phi_n(x, y) < N$  is bounded by  $29N^{2/d}(\log N)^{1.161}$ .

Now we consider two cases. If there is no n with  $\varphi(n) = d$ , then we deduce the sharper upper bound  $29N^{2/(d+1)}(\log N)^{1.161}$ . If the set  $\{n_1, \ldots, n_k\}$  of integers n satisfying  $\varphi(n) = d$  is not empty, for  $1 \le j \le k$  the number of couples (x, y) in  $\mathbb{Z}^2$  satisfying  $\max\{|x|, |y|\} \ge 2$  and  $\Phi_{n_j}(x, y) < N$  is bounded by  $(16/3)N^{2/d}$ , while the number of triples (n, x, y) in  $\mathbb{N} \times \mathbb{Z}^2$  with  $\varphi(n) > d$ ,  $\max\{|x|, |y|\} \ge 2$  and  $\Phi_n(x, y) < N$  is bounded by  $29N^{2/(d+1)}(\log N)^{1.161}$ . Since k is bounded in terms of d, Lemma 5.1 follows.

6. Proofs of Theorem 1.3 and Corollary 1.4. We start from an easy inequality concerning the cardinality of the union of finite sets:

(6.1)  

$$\left| \left| \mathcal{A}(\Phi_{\{n \ge 3\}}; N) \right| - \left( \left| \mathcal{A}(\Phi_3; N) \right| + \left| \mathcal{A}(\Phi_4; N) \right| - \left| \mathcal{A}(\Phi_3; N) \cap \mathcal{A}(\Phi_4; N) \right| \right) \right| \\ \leq \left| \bigcup_{\varphi(n) \ge 4} \mathcal{A}(\Phi_n; N) \right|.$$

By Lemma 5.1 the right-hand side of (6.1) is  $O(N^{1/2})$ , which is absorbed by the error term of (1.2). So we are led to study the cardinalities of three sets:  $\mathcal{A}(\Phi_3; N)$ ,  $\mathcal{A}(\Phi_4; N)$  and  $\mathcal{A}(\Phi_3; N) \cap \mathcal{A}(\Phi_4; N)$ . For algebraic reasons, it is better to consider for  $k \in \{3, 4\}$  the larger sets

$$\hat{\mathcal{A}}(\Phi_k; N) := \big\{ m \in \mathbb{N} \mid m \le N, m = \Phi_n(x, y) \text{ for some } (x, y) \in \mathbb{Z}^2 \setminus \{(0, 0)\} \big\},\$$

which differ from  $\mathcal{A}(\Phi_k; N)$  by at most two terms. In conclusion, the proof of Theorem 1.3 will be complete (with  $\alpha_h = \alpha_h^{(3)} + \alpha_h^{(4)}$ ,  $h \ge 0$ ) as soon as we prove

PROPOSITION 6.1. There exist sequences  $(\alpha_h^{(3)}), (\alpha_h^{(4)})$  and  $(\beta_h) (h \ge 0)$  of real numbers, with  $\alpha_0^{(3)}, \alpha_0^{(4)}, \beta_0 > 0$ , such that for every for  $M \ge 0$ ,

uniformly for  $N \geq 2$ ,

(6.2) 
$$|\tilde{\mathcal{A}}(\Phi_k; N)| = \frac{N}{(\log N)^{1/2}} \left\{ \alpha_0^{(k)} + \frac{\alpha_1^{(k)}}{\log N} + \cdots + \frac{\alpha_M^{(k)}}{(\log N)^M} + O\left(\frac{1}{(\log N)^{M+1}}\right) \right\} \quad (k = 3, 4)$$

and

(6.3) 
$$|\tilde{\mathcal{A}}(\Phi_3; N) \cap \tilde{\mathcal{A}}(\Phi_4; N)|$$
$$= \frac{N}{(\log N)^{3/4}} \left\{ \beta_0 + \frac{\beta_1}{\log N} + \dots + \frac{\beta_M}{(\log N)^M} + O\left(\frac{1}{(\log N)^{M+1}}\right) \right\}.$$

The proof of this proposition occupies the next three subsections. We will exploit the fact that  $\Phi_3$  and  $\Phi_4$  are binary quadratic forms, which also are the norms of integers of imaginary quadratic fields with class number 1. Finally, the characteristic functions of the sets  $\tilde{\mathcal{A}}(\Phi_k; \infty)$  for  $k \in \{3, 4\}$  are studied by analytic methods via the theory of Dirichlet series.

**6.1. Algebraic background.** We fix some notation. The letter p is reserved for primes. If a and q are two integers, we denote by  $N_{a,q}$  any integer  $\geq 1$  satisfying the condition

$$p \mid N_{a,q} \Rightarrow p \equiv a \mod q.$$

**PROPOSITION 6.2.** The following equivalences hold true:

(i) An integer  $n \ge 1$  is of the form

$$n = \Phi_4(x, y) = x^2 + y^2$$

if and only if there exist integers  $a \ge 0$ ,  $N_{3,4}$  and  $N_{1,4}$  such that  $n = 2^a N_{3,4}^2 N_{1,4}.$ 

(ii) An integer  $n \ge 1$  is of the form

$$n = \Phi_3(u, v) = \Phi_6(u, -v) = u^2 + uv + v^2$$

if and only if there exist integers  $b \ge 0$ ,  $N_{2,3}$  and  $N_{1,3}$  such that

$$n = 3^b N_{2,3}^2 N_{1,3}.$$

(iii) An integer  $n \ge 1$  is simultaneously of the forms

 $n = \Phi_3(u, v) = u^2 + uv + v^2$  and  $n = \Phi_4(x, y) = x^2 + y^2$ 

if and only if there exist integers  $a,b\geq 0,$   $N_{5,12},$   $N_{7,12},$   $N_{11,12}$  and  $N_{1,12}$  such that

$$n = (2^a 3^b N_{5,12} N_{7,12} N_{11,12})^2 N_{1,12}.$$

*Proof.* Part (i) is well known (see [H–W, Theorem 366] for instance). It can be proved by detecting primes in the ring  $\mathbb{Z}[i]$  of Gaussian integers of

the quadratic field  $\mathbb{Q}(i)$ . This ring is principal and the norm of an element x + iy is given by the quadratic form  $\Phi_4(x, y) = x^2 + y^2$ . The quadratic field  $\mathbb{Q}(\sqrt{-3})$  has similar properties: its associated ring of integers is a principal domain equal to  $\mathbb{Z}[j]$  with  $j = (-1 + \sqrt{-3})/2$ . The primes of  $\mathbb{Z}[j]$  (also called *Eisenstein primes*) are detected by the values of the Kronecker symbol (-3/p) and the norm of the element u + vj of  $\mathbb{Z}[j]$  is equal to  $\Phi_3(u, -v) = \Phi_6(u, v) = u^2 + uv + v^2$ . This gives part (ii). Also, this statement is a particular case of [B–Ch, Théorème 3, p. 267] and it is implicitly contained in [H–W, Theorem 254], [H, Exercise 2, p. 308].

Combining (i) and (ii), we deduce part (iii) directly.

**6.2.** Analytic background. Our main tool is based on the Selberg– Delange method. The following version is a weakened form of the quite general result due to Tenenbaum [T, Theorem 3, p. 185]. It gives an asymptotic expansion of the summatory function of a sequence  $(a_n)$  when the associated Dirichlet series can be approached by some power of the  $\zeta$ -function in a domain slightly larger than the half-plane { $s \in \mathbb{C} \mid \Re s \geq 1$ }.

**PROPOSITION 6.3.** Let  $s = \sigma + it$  be the complex variable and let

$$F(s) := \sum_{n \ge 1} a_n n^{-s}$$

be a Dirichlet series such that

- the coefficients  $a_n$  are real nonnegative numbers,
- there exist  $z \in \mathbb{C}$  and  $c_0, \delta, K > 0$  such that the function

$$G(s) := F(s)\zeta(s)^{-z}$$

has a holomorphic continuation in the domain  $\mathcal{D}$  of the complex plane defined by

(6.4) 
$$\sigma > 1 - \frac{c_0}{1 + \log(1 + |t|)}$$

and satisfies

(6.5) 
$$|G(s)| \le K(1+|t|)^{1-\delta}$$

for every  $s \in \mathcal{D}$ .

Then there exists a sequence  $(\lambda_k)$   $(k \ge 0)$  of real numbers such that for all  $M \ge 1$ , uniformly for  $x \ge 2$ ,

$$\sum_{k \le n \le x} a_n = x (\log x)^{z-1} \left\{ \sum_{0 \le k \le M} \frac{\lambda_k}{(\log x)^k} + O\left(\frac{1}{(\log x)^{M+1}}\right) \right\}.$$

 $1 \le n \le x$ In particular,

$$\lambda_0 = \frac{1}{\Gamma(z)} G(1).$$

**6.3.** Proof of Proposition 6.1. We restrict ourselves to the proof of (6.3) since the proof of (6.2) is simpler. Let  $\xi_n$  be the characteristic function of the set of integers  $n \ge 1$  which are simultaneously represented by  $\Phi_3$  and  $\Phi_4$ . Let  $F(s) = \sum_n \xi_n n^{-s}$  be the associated Dirichlet series. Note that

$$|\tilde{\mathcal{A}}(\Phi_3;N) \cap \tilde{\mathcal{A}}(\Phi_4;N)| = \sum_{n \le N} \xi_n.$$

By Proposition 6.2(iii), F(s) factorizes into the product

(6.6) 
$$F(s) = H(s)\Pi(s)$$

with

(6.7) 
$$H(s) = \left(1 - \frac{1}{4^s}\right)^{-1} \left(1 - \frac{1}{9^s}\right)^{-1} \prod_{p \equiv 5,7,11 \text{ mod } 12} \left(1 - \frac{1}{p^{2s}}\right)^{-1},$$
  
(6.8) 
$$\Pi(s) = \prod_{p \equiv 1 \text{ mod } 12} \left(1 - \frac{1}{p^s}\right)^{-1}.$$

The function H is holomorphic for  $\sigma > 1/2$  and uniformly bounded for  $\sigma \ge 3/4$ . The infinite product  $\Pi(s)$  is absolutely convergent for  $\sigma > 1$  and we want to study the behavior of this product in the vicinity of the singularity s = 1. To detect among the primes  $p \ge 5$  those which are congruent either to 1 modulo 12 or to 5,7,11 modulo 12, we use the formula

(6.9) 
$$\frac{1}{4}\left(1 + \left(\frac{-3}{p}\right) + \left(\frac{-4}{p}\right) + \left(\frac{12}{p}\right)\right) = \begin{cases} 1 & \text{if } p \equiv 1 \mod 12, \\ 0 & \text{if } p \equiv 5, 7, 11 \mod 12. \end{cases}$$

Inserting (6.9) into (6.8), we deduce that for  $\sigma > 1$  we have

$$\Pi(s) = \prod_{p \ge 5} \left\{ \left(1 - \frac{1}{p^s}\right) \left(1 - \frac{(-3/p)}{p^s}\right) \left(1 - \frac{(-4/p)}{p^s}\right) \left(1 - \frac{(12/p)}{p^s}\right) \right\}^{-1/4} \\ \times \prod_{p \equiv 5,7,11 \bmod 12} \left(1 - \frac{1}{p^{2s}}\right)^{1/2}.$$

Completing the first infinite product with the factors associated with the primes p = 2 and p = 3 to obtain the  $\zeta$ -function and some *L*-functions, we deduce that for  $\sigma > 1$ ,

(6.10) 
$$\Pi(s) = H_1(s)\zeta(s)^{1/4} L(s, (-3/\cdot))^{1/4} L(s, (-4/\cdot))^{1/4} L(s, (12/\cdot))^{1/4},$$

with

$$H_1(s) = \left(1 - \frac{1}{4^s}\right)^{1/4} \left(1 - \frac{1}{9^s}\right)^{1/4} \prod_{p \equiv 5,7,11 \mod 12} \left(1 - \frac{1}{p^{2s}}\right)^{1/2}.$$

By (6.6)–(6.8) and (6.10), we deduce that for  $\sigma > 1$ ,

(6.11) 
$$F(s) = H_2(s)\zeta(s)^{1/4}L(s, (-3/\cdot))^{1/4}L(s, (-4/\cdot))^{1/4}L(s, (12/\cdot))^{1/4},$$
  
with

$$H_2(s) = \left(1 - \frac{1}{4^s}\right)^{-3/4} \left(1 - \frac{1}{9^s}\right)^{-3/4} \prod_{p \equiv 5,7,11 \text{ mod } 12} \left(1 - \frac{1}{p^{2s}}\right)^{-1/2}.$$

The function  $H_2$  is holomorphic for  $\sigma > 1/2$  and uniformly bounded for  $\sigma \ge 3/4$ .

By the classical zerofree region of the Dirichlet *L*-functions, there exists  $c_0 > 0$  such that in the domain  $\mathcal{D}$  defined in (6.4) the function

$$L(s,(-3/\cdot))\,L(s,(-4/\cdot))\,L(s,(12/\cdot))$$

does not vanish. This implies that the function

$$G(s) := F(s)\zeta(s)^{-1/4} = H_2(s)L(s, (-3/\cdot))^{1/4}L(s, (-4/\cdot))^{1/4}L(s, (12/\cdot))^{1/4}$$

can be extended to a holomorphic function on  $\mathcal{D}$  satisfying (6.5) with  $\delta = 1/2$ , as a consequence of the functional equation and the Phragmén–Lindelöf convexity principle (see [I–K, Exercise 3, p. 100] for instance).

All the conditions of Proposition 6.3 are satisfied with z = 1/4 and we obtain (6.3) with

$$\beta_0 = H_2(1) L(1, (-3/\cdot))^{1/4} L(1, (-4/\cdot))^{1/4} L(1, (12/\cdot))^{1/4} / \Gamma(1/4),$$

which can be written as

$$\beta_0 = \left(\frac{3}{2}\right)^{3/4} \frac{1}{\Gamma(1/4)} L(1, (-3/\cdot))^{1/4} L(1, (-4/\cdot))^{1/4} L(1, (12/\cdot))^{1/4} \times \prod_{p \equiv 5,7,11 \text{ mod } 12} \left(1 - \frac{1}{p^2}\right)^{-1/2}.$$

Since [OEIS, A101455, A073010, A196530]

$$L(1, (-4/\cdot)) = \frac{\pi}{4}, \quad L(1, (-3/\cdot)) = \frac{\pi}{3^{3/2}}, \quad L(1, (12/\cdot)) = \frac{\log(2+\sqrt{3})}{\sqrt{3}},$$

we deduce

$$\beta_0 = \frac{3^{1/4}}{2^{5/4}} \pi^{1/2} (\log(2+\sqrt{3}))^{1/4} \frac{1}{\Gamma(1/4)} \prod_{p \equiv 5,7,11 \text{ mod } 12} \left(1 - \frac{1}{p^2}\right)^{-1/2}.$$

The proof of (6.2) for k = 3 and k = 4 is simpler since the formula to detect the congruences  $p \equiv 1 \mod 3$  and  $p \equiv 1 \mod 4$  contains only two terms

instead of four as in (6.9). In both cases k = 3 and k = 4, the parameter z is 1/2. This gives (6.2) with

$$\begin{aligned} \alpha_0^{(3)} &= \frac{1}{2^{1/2} 3^{1/4}} \prod_{p \equiv 2 \mod 3} \left( 1 - \frac{1}{p^2} \right)^{-1/2}, \\ \alpha_0^{(4)} &= \frac{1}{2^{1/2}} \prod_{p \equiv 3 \mod 4} \left( 1 - \frac{1}{p^2} \right)^{-1/2}. \end{aligned}$$

Finally, (6.2) is a detailed version of Landau's formula which states that for N tending to infinity, we have

$$|\tilde{\mathcal{A}}(\Phi_4; N)| \sim C \frac{N}{\sqrt{\log N}},$$

where  $C = \alpha_0^{(4)} = 0.764\,223\,653\,589\,220\ldots$  is the Landau–Ramanujan constant (cf. [L, pp. 257–263] and [OEIS, A000404, A064533]). Using Pari/GP [P], one checks that the first decimal digits of  $\alpha_0^{(3)}$  are 0.638909, while the first decimal digits of  $\beta_0$  are 0.302316.

**6.4. Proof of Corollary 1.4.** For  $N \ge 1$ ,  $a_1 + \cdots + a_N$  counts the number of triples (n, x, y) with  $n \ge 3$ ,  $\max\{|x|, |y|\} \ge 2$  and  $\Phi_n(x, y) \le N$ . The number of such triples with n = 4 is asymptotically  $\pi N$ . The number of such triples with n = 3 is asymptotically  $(\pi/\sqrt{3})N$ , and the same for n = 6. The number of such triples with  $\varphi(n) > 2$  is o(N), as shown by Lemma 5.1. Hence

$$a_1 + \dots + a_N \sim \left(1 + \frac{2}{\sqrt{3}}\right) \pi N,$$

and Corollary 1.4 with

$$\kappa_1 = \frac{\pi}{\alpha_0} \left( 1 + \frac{2}{\sqrt{3}} \right)$$

follows from Theorem 1.3.  $\blacksquare$ 

**7. Numerical computations.** From (5.2), we deduce that the assumptions  $n \ge 3$ ,  $\Phi_n(x, y) \le 20$  and  $\max\{|x|, |y|\} \ge 2$  imply

$$\left(\frac{\sqrt{3}}{2}\max\{|x|,|y|\}\right)^{\varphi(n)} \le 20.$$

We deduce firstly  $3^{\varphi(n)/2} \leq 20$ , hence  $\varphi(n) \leq 4$ , and secondly

$$\max\{|x|, |y|\} \le 2\sqrt{20/3},$$

hence  $\max\{|x|, |y|\} \leq 5$ . It is now again a simple matter of computation with Maple [M] to complete the rest of Table 1. For instance, one can find in

Table 4 the values of (x, y) which are the only ones satisfying the stronger condition  $\Phi_n(x, y) \leq 10$ .

Table 4	4
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m = 3:	n=3,	(x,y) = (1,-2), (-1,2), (2,-1), (-2,1)
m = 3:	n = 6,	(x,y) = (1,2), (-1,-2), (2,1), (-2,-1)
m = 4:	n=3,	(x,y) = (0,2), (0,-2), (2,0), (2,-2), (-2,0), (-2,2)
m = 4:	n = 4,	(x,y) = (0,2), (0,-2), (2,0), (-2,0)
m = 4:	n=6,	(x,y) = (0,2), (0,-2), (2,0), (2,2), (-2,0), (-2,-2)
m = 5:	n = 4,	(x,y) = (1,2), (1,-2), (-1,2), (-1,-2), (2,1), (2,-1),
		(-2,1), (-2,-1)
m = 7:	n = 3,	(x,y) = (1,2), (1,-3), (-1,3), (-1,-2), (-3,1), (3,-1),
		(2,1), (2,-3), (-2,3), (-2,-1), (3,-2), (-3,2)
m = 7:	n = 6,	(x,y) = (1,3), (1,-2), (-1,2), (-1,-3), (3,1), (-3,-1),
		(2,1), (2,-1), (2,3), (-2,-3), (3,2), (-3,-2)
m = 8:	n = 4,	(x,y) = (2,2), (2,-2), (-2,2), (-2,-2)
m = 9:	n=3,	(x,y) = (0,3), (0,-3), (3,0), (3,3), (-3,0), (-3,3)
m = 9:	n = 4,	(x,y) = (0,3), (0,-3), (3,0), (-3,0)
m = 9:	n = 6,	(x,y) = (0,3), (0,-3), (3,0), (3,3), (-3,0), (-3,3)
m = 10:	n = 4,	(x,y) = (1,3), (1,-3), (-1,3), (-1,-3), (3,1), (3,-1),
		(-3, 1), (-3, -1)

With similar calculations, we obtain Table 2. The triples (n, x, y) which contribute to Table 2 satisfy  $\varphi(n) \in \{4, 6\}$  and  $\max\{|x|, |y|\} \in \{2, 3\}$ .

Notice that given  $h \ge 3$ , the smallest value  $m_h$  of m for which there exists (n, x, y) with  $n \ge 2$ ,  $\max\{|x|, |y|\} \ge h$  and  $\Phi_n(x, y) = m$  is

$$m_{h} = \begin{cases} \Phi_{3}\left(\frac{h-1}{2}, -h\right) = \Phi_{3}\left(\frac{h+1}{2}, -h\right) = \frac{3h^{2}+1}{4} & \text{if } 2 \nmid h, \\ \Phi_{3}\left(\frac{h}{2}, -h\right) = \frac{3h^{2}}{4} & \text{if } 2 \mid h. \end{cases}$$

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