

## Dimension-free estimates for low degree functions on the Hamming cube

by

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**Abstract.** The main result of this paper are dimension-free  $L^p$  inequalities,  $1 < p < \infty$ , for low degree scalar-valued functions on the Hamming cube. More precisely, for any  $p > 2$ ,  $\varepsilon > 0$ , and  $\theta = \theta(\varepsilon, p) \in (0, 1)$  satisfying

$$\frac{1}{p} = \frac{\theta}{p + \varepsilon} + \frac{1 - \theta}{2}$$

we obtain, for any function  $f: \{-1, 1\}^n \rightarrow \mathbb{C}$  whose spectrum is bounded from above by  $d$ , the Bernstein–Markov type inequalities

$$\|\Delta^k f\|_p \leq C(p, \varepsilon)^k d^k \|f\|_2^{1-\theta} \|f\|_{p+\varepsilon}^\theta, \quad k \in \mathbb{N}.$$

Analogous inequalities are also proved for  $p \in (1, 2)$  with  $p - \varepsilon$  replacing  $p + \varepsilon$ . As a corollary, if  $f$  is Boolean-valued or  $f: \{-1, 1\}^n \rightarrow \{-1, 0, 1\}$ , we obtain the bounds

$$\|\Delta^k f\|_p \leq C(p)^k d^k \|f\|_p, \quad k \in \mathbb{N}.$$

At the endpoint  $p = \infty$  we provide counterexamples for which a linear growth in  $d$  does not suffice when  $k = 1$ .

We also obtain a counterpart of this result on tail spaces. Namely, for  $p > 2$  we prove that any function  $f: \{-1, 1\}^n \rightarrow \mathbb{C}$  whose spectrum is bounded from below by  $d$  satisfies the following upper bound on the decay of the heat semigroup:

$$\|e^{-t\Delta} f\|_p \leq \exp(-c(p, \varepsilon)td) \|f\|_2^{1-\theta} \|f\|_{p+\varepsilon}^\theta, \quad t > 0,$$

and an analogous estimate for  $p \in (1, 2)$ .

The constants  $c(p, \varepsilon)$  and  $C(p, \varepsilon)$  depend only on  $p$  and  $\varepsilon$ ; crucially, they are independent of the dimension  $n$ .

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**1. Introduction.** Consider the Hamming cube  $\{-1, 1\}^n$  equipped with the uniform probability measure. Given  $p \in [1, \infty)$ , the  $L^p$  norm of a function  $f: \{-1, 1\}^n \rightarrow \mathbb{C}$  is given by

$$\|f\|_{L^p(\{-1,1\}^n)} := \left( \frac{1}{2^n} \sum_{\delta \in \{-1,1\}^n} |f(\delta)|^p \right)^{1/p}.$$

We also set  $\|f\|_{L^\infty(\{-1,1\}^n)} := \max_{\delta \in \{-1,1\}^n} |f(\delta)|$ . The symbol  $\langle f, g \rangle$  denotes the (Hermitian, complex) inner product on  $L^2(\{-1, 1\}^n)$ .

Any  $f: \{-1, 1\}^n \rightarrow \mathbb{C}$  can be represented as its Hamming cube Fourier–Walsh expansion via

$$(1.1) \quad f = \sum_{S \subseteq [n]} \widehat{f}(S) w_S,$$

with  $w_S$  being the Walsh functions  $w_S(\delta) = \prod_{j \in S} \delta_j$ ,  $\delta = (\delta_1, \dots, \delta_n) \in \{-1, 1\}^n$ ,  $S \subseteq [n] := \{1, \dots, n\}$ . The coefficients  $\widehat{f}(S)$  in (1.1) are the Fourier coefficients of  $f$  given by

$$\widehat{f}(S) := \frac{1}{2^n} \sum_{\delta \in \{-1,1\}^n} f(\delta) w_S(\delta).$$

Given  $d \in [n]$ , let

$$\text{Deg}_{\leq d} = \left\{ f: \{-1, 1\}^n \rightarrow \mathbb{C}: f = \sum_{|S| \leq d} \widehat{f}(S) w_S \right\}$$

denote the space of functions of degree at most  $d$ . In other words,  $\text{Deg}_{\leq d}$  contains those functions  $f: \{-1, 1\}^n \rightarrow \mathbb{C}$  for which  $\widehat{f}(S) = 0$  if  $|S| > d$ .

Define the Hamming cube Laplacian by

$$(1.2) \quad \Delta f = \sum_{j=1}^n \partial_j f,$$

with

$$(1.3) \quad \partial_j f(\delta) = \frac{f(\delta_1, \dots, \delta_j, \dots, \delta_n) - f(\delta_1, \dots, -\delta_j, \dots, \delta_n)}{2}.$$

In this way,  $w_S$  is an eigenfunction of  $\Delta$  with eigenvalue  $|S|$ . Thus, if  $f$  is decomposed as in (1.1), then

$$\Delta f = \sum_{S \subseteq [n]} |S| \widehat{f}(S) w_S.$$

The above leads to the following definition of the heat semigroup for  $f$  given by (1.1):

$$e^{-t\Delta} f = \sum_{S \subseteq [n]} e^{-t|S|} \widehat{f}(S) w_S.$$

When  $p = 2$ , it is straightforward to verify via Parseval’s identity that

$$(1.4) \quad \|\Delta f\|_{L^2(\{-1,1\}^n)} \leq d \|f\|_{L^2(\{-1,1\}^n)}$$

whenever  $f \in \text{Deg}_{\leq d}$ .

The main purpose of this note is to establish a variant of (1.4) on  $L^p$  spaces when  $p \neq 2$ . As a consequence, we will give partial answers to the following conjectures formulated by Eskenazis–Ivanisvili in [3, Sections 1.2.2, 1.2.3] in the case when  $f$  takes values in  $\{-1, 0, 1\}$  and  $X = \mathbb{C}$ . The definitions of a  $K$ -convex Banach space  $X$  and the  $L^p(\{-1, 1\}^n; X)$  norm can be recalled from [3, Section 1.2].

CONJECTURE 1.1. *Let  $(X, \|\cdot\|_X)$  be a  $K$ -convex Banach space. For every  $p \in (1, \infty)$ , there exists  $C(p, X)$  such that, for every  $d \in [n]$  and every  $f \in \text{Deg}_{\leq d}$ ,*

$$(1.5) \quad \|\Delta f\|_{L^p(\{-1, 1\}^n; X)} \leq C(p, X) \cdot d \|f\|_{L^p(\{-1, 1\}^n; X)}.$$

CONJECTURE 1.2. *Let  $(X, \|\cdot\|_X)$  be a  $K$ -convex Banach space. For every  $p \in (1, \infty)$ , there exist constants  $c(p, X)$  and  $C(p, X)$  such that, for every  $d \in [n]$ , every  $f \in \text{Deg}_{\leq d}$  and every  $t \geq 0$ ,*

$$(1.6) \quad \|e^{-t\Delta} f\|_{L^p(\{-1, 1\}^n; X)} \geq c(p, X) \cdot \exp(-C(p, X) \cdot td) \|f\|_{L^p(\{-1, 1\}^n; X)}.$$

The authors of [3] observed that Conjecture 1.1 implies Conjecture 1.2 with  $c(p, X) = 1$ ; see Remark 1 below for details. It also turns out that Conjecture 1.2 implies Conjecture 1.1 (see Remark 2) so that these conjectures are in fact equivalent. This observation emerged from a discussion with Alexandros Eskenazis.

In what follows, we set

$$(1.7) \quad p_\varepsilon = \begin{cases} p + \varepsilon, & p \geq 2, \varepsilon > 0, \\ p - \varepsilon, & p \in (1, 2), \varepsilon \in (0, p - 1); \end{cases}$$

clearly,  $p_\varepsilon \rightarrow p$  as  $\varepsilon \rightarrow 0^+$ . The following is the main theorem of our note.

THEOREM 1.3. *Let  $p \in (1, \infty)$  and let  $\theta = \theta(\varepsilon, p) \in (0, 1)$  satisfy*

$$(1.8) \quad \frac{1}{p} = \frac{\theta}{p_\varepsilon} + \frac{1 - \theta}{2}.$$

*Take  $d \in [n]$  and let  $f \in \text{Deg}_{\leq d}$ . Then for any  $k \in \mathbb{N}$  we have*

$$(1.9) \quad \|\Delta^k f\|_{L^p(\{-1, 1\}^n)} \leq C(p, \varepsilon)^k \cdot d^k \|f\|_{L^2(\{-1, 1\}^n)}^{1-\theta} \|f\|_{L^{p_\varepsilon}(\{-1, 1\}^n)}^\theta$$

*for a constant  $C(p, \varepsilon)$  depending on  $p, \varepsilon$  but not on  $d, k$  nor on the dimension  $n$ . Consequently, for any  $p \geq 2$  and  $\varepsilon > 0$  we also have*

$$(1.10) \quad \|\Delta^k f\|_{L^p(\{-1, 1\}^n)} \leq C(p, \varepsilon)^k \cdot d^k \|f\|_{L^{p+\varepsilon}(\{-1, 1\}^n)}.$$

The proof of Theorem 1.3 is based on two ingredients: Hadamard’s three-lines theorem and dimension-free  $L^p$  bounds for the imaginary powers  $\Delta^{iu}$ . We are not aware of any previous application of these results to the analysis of functions on the Hamming cube. Our proof is similar to those of both the Riesz–Thorin interpolation theorem and Stein’s interpolation theorem

for analytic families of operators (see e.g. [6, Sections 1.3.2, 1.3.3] and [13]), with a twist. Indeed, we apply Hadamard’s theorem in a slightly different way, by removing an instance of one single letter of the alphabet: instead of the holomorphic function  $z \mapsto \langle \Delta^z f^z, g^z \rangle$ , we consider a similar function in which  $f^z$  does not depend on  $z$ , namely  $\langle \Delta^z f, g^z \rangle$ . This comes at the cost of obtaining  $\|f\|_{L^2(\{-1,1\}^n)}^{1-\theta} \|f\|_{L^{p\varepsilon}(\{-1,1\}^n)}^\theta$  instead of  $\|f\|_{L^p(\{-1,1\}^n)}$  on the right hand side of (1.9). It is vital that  $\Delta$  generates a symmetric contraction semigroup, and thus its imaginary powers  $\Delta^{iu}$ ,  $u \in \mathbb{R}$ , satisfy  $L^p$  bounds,  $1 < p < \infty$ , with explicit constants which depend only on  $p$  and  $u$ ; see e.g. [1, 14]. At the  $L^2$  endpoint we merely use spectral properties of  $\Delta^z$ ,  $\operatorname{Re} z \geq 0$ , on  $L^2$ , which imply

$$(1.11) \quad \|\Delta^z f\|_{L^2(\{-1,1\}^n)} \leq d^{\operatorname{Re} z} \|f\|_{L^2(\{-1,1\}^n)} \quad \text{for all } f \in \operatorname{Deg}_{\leq d}.$$

Theorem 1.3 is proved in detail in Section 2. We proceed to discuss its consequences.

The first of these, Corollary 1.4 is a lower bound on the decay of the heat semigroup acting on low degree functions. The result is equivalent to the inequality

$$\|e^{t\Delta} f\|_{L^p(\{-1,1\}^n)} \leq \exp(C(p, \varepsilon) \cdot td) \|f\|_{L^{p+\varepsilon}(\{-1,1\}^n)}$$

for all  $f \in \operatorname{Deg}_{\leq d}$ , itself a consequence of (1.10) from Theorem 1.3 via a Taylor expansion argument.

**COROLLARY 1.4.** *Let  $p \in [2, \infty)$ ,  $\varepsilon > 0$ , and  $d \in [n]$ . Then, for  $f \in \operatorname{Deg}_{\leq d}$  and all  $t > 0$ ,*

$$(1.12) \quad \|e^{-t\Delta} f\|_{L^{p+\varepsilon}(\{-1,1\}^n)} \geq \exp(-C(p, \varepsilon) \cdot td) \|f\|_{L^p(\{-1,1\}^n)},$$

where  $C_p$  is the constant from (1.10).

**REMARK 1.** It was observed in [3, Remark 33] that Conjecture 1.1 implies Conjecture 1.2. Indeed, since the Hamming cube Laplacian  $\Delta$  preserves the space  $\operatorname{Deg}_{\leq d}$ , a repeated application of (1.5) yields

$$\|\Delta^k f\|_{L^p(\{-1,1\}^n; X)} \leq C(p, X)^k \cdot d^k \|f\|_{L^p(\{-1,1\}^n; X)}, \quad k \in \mathbb{N}.$$

From this inequality, we easily deduce via Taylor expansion that

$$\|e^{t\Delta} f\|_{L^p(\{-1,1\}^n; X)} \leq \exp(C(p, X) \cdot td) \|f\|_{L^p(\{-1,1\}^n; X)} \quad \text{for all } f \in \operatorname{Deg}_{\leq d},$$

which is equivalent to Conjecture 1.2 with  $c(p, X) = 1$ .

However, the above argument cannot be applied for concluding that the case  $k = 1$  of (1.10) implies Corollary 1.4. Iterating that case as a bound between  $L^{p+\varepsilon/k}$  and  $L^{p+\varepsilon/(k+1)}$  spaces, we may prove that

$$\|\Delta^k f\|_{L^p(\{-1,1\}^n)} \leq \tilde{C}(p, \varepsilon, k) \cdot d^k \|f\|_{L^{p+\varepsilon}(\{-1,1\}^n)}, \quad k \in \mathbb{N},$$

for some constant  $\tilde{C}(p, \varepsilon, k)$  depending on  $p, k$ , and  $\varepsilon$ . Unfortunately, it is not obvious whether  $\tilde{C}(p, \varepsilon, k)$  has the desired exponential bound in  $k$  given by

$C(p, \varepsilon)^k$ . In summary, in order to deduce Corollary 1.4 we do need to prove (1.10) for general  $k$ .

REMARK 2. One can also prove that Conjecture 1.2 implies Conjecture 1.1. A deep result of Pisier [12] implies that for each  $p \in (1, \infty)$  and any  $K$ -convex Banach space  $(X, \|\cdot\|_X)$  the semigroup  $\{e^{-t\Delta}\}_{t \geq 0}$  is analytic on  $L^p(\{-1, 1\}^n; X)$ . It is known (see e.g. [2, Theorem II.4.6(c)]) that analyticity implies the estimate

$$(1.13) \quad \|t\Delta e^{-t\Delta} f\|_{L^p(\{-1, 1\}^n; X)} \leq \tilde{C}(p, X) \|f\|_{L^p(\{-1, 1\}^n; X)}$$

for all  $t > 0$  and  $f \in L^p(\{-1, 1\}^n; X)$  with  $\tilde{C}(p, X)$  depending only on  $p$  and  $X$ . Take now  $f \in \text{Deg}_{\leq d}$  so that also  $\Delta f \in \text{Deg}_{\leq d}$ . Thus Conjecture 1.2 (with  $t = 1/d$  and  $\Delta f$  in place of  $f$ ) and (1.13) (with  $t = 1/d$ ) imply

$$\begin{aligned} \|\Delta f\|_{L^p(\{-1, 1\}^n; X)} &\leq c(p, X) \exp(C(p, X)) \|\Delta e^{-\frac{1}{d}\Delta} f\|_{L^p(\{-1, 1\}^n; X)} \\ &\leq c(p, X) \exp(C(p, X)) \tilde{C}(p, X) \cdot d \cdot \|f\|_{L^p(\{-1, 1\}^n; X)}, \end{aligned}$$

which is (1.5) with the constant  $c(p, X) \exp(C(p, X)) \tilde{C}(p, X)$ .

As a further consequence of Theorem 1.3 we obtain a dimension-free Bernstein–Markov type inequality for all functions  $f$  that take values in  $\{-1, 0, 1\}$ . Corollary 1.5 below follows from (1.9) (with  $k = 1$  and  $\varepsilon = (p - 1)/2$ ) together with the observation that, for such functions  $f$ ,

$$\|f\|_{L^{p\varepsilon}(\{-1, 1\}^n)}^{p\varepsilon} = \|f\|_{L^2(\{-1, 1\}^n)}^2 = \|f\|_{L^p(\{-1, 1\}^n)}^p.$$

COROLLARY 1.5. *Let  $p \in (1, \infty)$  and  $d \in [n]$ . Take  $f \in \text{Deg}_{\leq d}$  such that  $f: \{-1, 1\}^n \rightarrow \{-1, 0, 1\}$ . Then*

$$(1.14) \quad \|\Delta f\|_{L^p(\{-1, 1\}^n)} \leq C_p \cdot d \|f\|_{L^p(\{-1, 1\}^n)}$$

for some constant  $C_p$  depending on  $p$  but not on the dimension  $n$ .

It is worth noting that Theorem 1.3 cannot be deduced from interpolation between the trivial  $L^2$  bound and an  $L^\infty$  bound. Indeed, the case  $k = 1$  of (1.10) does not hold at the endpoint  $p = p + \varepsilon = \infty$ . The following proposition is contained in [3] (see [3, proof of Theorem 5, p. 254] and [3, Remark 24]), but we repeat the proof here for the convenience of the reader.

PROPOSITION 1.6 ([3]). *Given  $n \in \mathbb{N}$  and  $d \in [n]$ , let  $\mathbf{C}(n, d)$  denote the best constant in the inequality*

$$\|\Delta f\|_{L^\infty(\{-1, 1\}^n)} \leq \mathbf{C}(n, d) \cdot \|f\|_{L^\infty(\{-1, 1\}^n)}, \quad f \in \text{Deg}_{\leq d}.$$

Then  $\liminf_{n \rightarrow \infty} \mathbf{C}(n, d) \geq d^2$ .

Proposition 1.6 is justified in Section 3. Its proof is based on properties of Chebyshev polynomials.

Corollary 1.5 does not hold at the endpoint  $p = \infty$  either. A counterexample is given by the Kushilevitz function; see [7, Section 6.3] and [15]. This was brought to our attention by Paata Ivanisvili.

PROPOSITION 1.7. *Let  $k \in \mathbb{N}$  and take  $n = 6^k$  and  $d = 3^k$ . Then there exists a function  $f: \{-1, 1\}^n \rightarrow \{-1, 1\}$  such that  $f \in \text{Deg}_{\leq d}$  but*

$$\|\Delta f\|_{L^\infty(\{-1,1\}^n)} \geq d^{\log 6/\log 3} \|f\|_{L^\infty(\{-1,1\}^n)}.$$

Proposition 1.7 is proved in Section 3. Note that  $\log 6/\log 3 \approx 1.63$ , and it would be interesting to see if one can improve the growth to a constant times  $d^2$  as in Proposition 1.6. Since we always have the trivial upper bound  $\|\Delta f\|_{L^\infty(\{-1,1\}^n)} \leq n \|f\|_{L^\infty(\{-1,1\}^n)}$ , this would require finding a function of degree  $d = O(\sqrt{n})$ , whereas the degree of the Kushilevitz function is  $d = n^{\log 3/\log 6} \geq n^{0.61}$ . Note that unlike the  $p = \infty$  case, Corollary 1.5 does hold when  $p = 1$ . Namely, since  $\partial_j f$  takes values in  $\{-1, -1/2, 0, 1/2, 1\}$  for  $f: \{-1, 1\}^n \rightarrow \{-1, 0, 1\}$ , using Parseval’s identity we obtain

$$\begin{aligned} \|\Delta f\|_{L^1(\{-1,1\}^n)} &\leq \sum_{j=1}^n \|\partial_j f\|_{L^1(\{-1,1\}^n)} \\ &\leq 2 \sum_{j=1}^n \|\partial_j f\|_{L^2(\{-1,1\}^n)}^2 = 2 \sum_{S \subseteq [n]} |S| |\widehat{f}(S)|^2 \\ &\leq 2d \sum_{S \subseteq [n]} |\widehat{f}(S)|^2 = 2d \|f\|_{L^2(\{-1,1\}^n)}^2 \leq 2d \|f\|_{L^1(\{-1,1\}^n)}. \end{aligned}$$

One may also obtain a counterpart of Theorem 1.3 and Corollary 1.4 on tail spaces. Given  $d \in [n]$ , let

$$\text{Tail}_{\geq d} = \left\{ f: \{-1, 1\}^n \rightarrow \mathbb{C}: f = \sum_{|S| \geq d} \widehat{f}(S) w_S \right\}$$

denote the  $d$ th tail space. In other words,  $f \in \text{Tail}_{\geq d}$  means that  $\widehat{f}(S) = 0$  if  $|S| < d$ . The theorem below is a slightly more general variant of [8, Theorem 1.3] by Heilman–Mossel–Oleszkiewicz.

PROPOSITION 1.8. *Fix  $p \in (1, \infty)$ , let  $p_\varepsilon$  be given by (1.7) and take  $\theta = \theta(p, \varepsilon)$  satisfying (1.8). Let  $d \in [n]$  and take  $f \in \text{Tail}_{\geq d}$ . Then, for any  $t > 0$ ,*

$$(1.15) \quad \|e^{-t\Delta} f\|_{L^p(\{-1,1\}^n)} \leq \exp(-c(p, \varepsilon) \cdot td) \|f\|_{L^2(\{-1,1\}^n)}^{1-\theta} \|f\|_{L^{p_\varepsilon}(\{-1,1\}^n)}^\theta,$$

where  $c(p, \varepsilon)$  is a constant that depends only on  $p$  and  $\varepsilon$ . Consequently, for any  $p \in [2, \infty)$  and  $\varepsilon > 0$  we also have

$$(1.16) \quad \|e^{-t\Delta} f\|_{L^p(\{-1,1\}^n)} \leq \exp(-c(p, \varepsilon) \cdot td) \|f\|_{L^{p+\varepsilon}(\{-1,1\}^n)}.$$

Proposition 1.8 follows easily from Hölder’s inequality without the need to use complex interpolation. Thus its proof is easier than that of Theorem 1.3. In Section 2 we present the argument for the sake of completeness.

We note that, taking functions  $f: \{-1, 1\}^n \rightarrow \{-1, 0, 1\}$  and  $\varepsilon = (p - 1)/2$  in Proposition 1.8, we obtain a result similar to [8, Theorem 1.3]. Furthermore (1.15) establishes a weaker version of the heat smoothing conjecture of Mendel–Naor [9, Remark 5.5].

For related work on Gaussian spaces, we refer to [4, 5, 10]. A noncommutative analogue of the (analytic) heat smoothing conjecture is given in [16].

NOTATION. In the remainder of the paper, for  $p \in [1, \infty]$  we abbreviate  $L^p := L^p(\{-1, 1\}^n)$  and  $\|\cdot\|_{L^p} = \|\cdot\|_p$ .

**2. Proofs of Theorem 1.3 and Proposition 1.8.** We start with the proof of Theorem 1.3. Note first that (1.9) implies (1.10). Since in (1.10) we take  $p \geq 2$ , this is clear from the fact that  $p_\varepsilon = p + \varepsilon$  and from the inequality  $\|f\|_2 \leq \|f\|_{p_\varepsilon}$ .

Inequality (1.9) will follow if we justify that

$$(2.1) \quad \|(\Delta + \gamma I)^k f\|_p \leq C(p, \varepsilon)^k (d + \gamma)^k \|f\|_2^{1-\theta} \|f\|_{p_\varepsilon}^\theta$$

uniformly in  $\gamma > 0$ . In the remainder of the proof we focus on (2.1) and abbreviate

$$(2.2) \quad L = \Delta + \gamma I.$$

Note that the complex powers  $L^z$  are well defined for  $\operatorname{Re} z \geq 0$  by the spectral theorem; in our case, they are given explicitly by

$$(2.3) \quad L^z f = \sum_{S \subseteq [n]} (|S| + \gamma)^z \widehat{f}(S) w_S.$$

The operator  $L$  in (2.2) is clearly self-adjoint on  $L^2$ . Moreover, it is not hard to see that  $e^{-tL}$  is a contraction semigroup on all  $L^p$  spaces,  $1 < p < \infty$ . Indeed, since  $e^{-tL} = e^{-\gamma t} e^{-t\Delta}$ , we have the pointwise domination  $|e^{-tL} f(x)| \leq e^{-t\Delta} |f|(x)$ . Furthermore, recalling the definitions (1.2)–(1.3) and denoting

$$Bf(\delta) = \frac{1}{2} \sum_{j=1}^n f(\delta_1, \dots, -\delta_j, \dots, \delta_n),$$

we may rewrite  $\Delta f = \frac{n}{2} f - Bf$ . Since  $\|Bf\|_p \leq \frac{n}{2} \|f\|_p$  for  $p \in [1, \infty]$ , we obtain

$$\|e^{-tL} f\|_p \leq \|e^{-t\Delta} f\|_p = e^{-nt/2} \|e^{tB} f\|_p \leq e^{-nt/2} e^{nt/2} \|f\|_p = \|f\|_p,$$

where the last inequality comes from expanding  $e^{tB}$  into a power series and estimating it term by term. In summary, we verified that  $L$  generates a symmetric contraction semigroup in the sense of Cowling’s [1]. Hence, we

may use [1, Corollary 1] in order to obtain an estimate for the imaginary powers  $L^{iu}$ ,  $u \in \mathbb{R}$ .

PROPOSITION 2.1. *Let  $u \in \mathbb{R}$ . For each  $1 < p < \infty$  there exists a constant  $C_p > 1$ , depending only on  $p$ , such that*

$$(2.4) \quad \|L^{iu} f\|_p \leq C_p \cdot \exp((\pi|1/p - 1/2| + 1)|u|) \|f\|_p$$

for all  $f \in L^p$ .

The above proposition is slightly weaker than [1, Corollary 1] but will suffice for our purposes. The  $L^p$  boundedness of  $L^{iu}$  is also a consequence of an earlier result of Stein [14, Chapter IV, Section 6, Corollary 3, p. 121]; however, no explicit estimate for the norm is given there.

*Proof of (2.1).* Given  $p \in (1, \infty)$ , we denote by  $p'$  the dual exponent. By duality, it is enough to justify that, for all  $\varepsilon > 0$ ,

$$(2.5) \quad |\langle L^k f, g \rangle| \leq C(p, \varepsilon)^k (d + \gamma)^k \|f\|_2^{1-\theta} \|f\|_{p_\varepsilon}^\theta$$

uniformly for all real-valued functions  $f$  and  $g$  such that  $\|g\|_{p'} = 1$ . In what follows, we fix such functions  $f$  and  $g$ . Denote

$$q := p_\varepsilon$$

and recall that  $\theta = \theta(p, \varepsilon)$  is such that

$$(2.6) \quad \frac{1}{p} = \frac{\theta}{q} + \frac{1 - \theta}{2}.$$

Decompose  $g = \sum_{\delta \in \{-1,1\}^n} g(\delta) \mathbf{1}_\delta$  and, recalling that  $g$  is real-valued, let

$$(2.7) \quad g_z = \sum_{\delta \in \{-1,1\}^n} \operatorname{sgn}(g(\delta)) |g(\delta)|^{p'(1-z)/2 + p'z/q'} \mathbf{1}_\delta, \quad z \in \mathbb{C},$$

where  $q'$  is the dual exponent of  $q$ . The exact formula for  $g_z$  is immaterial and we will only need to use some of its properties. Firstly, note that for fixed  $s$  the function  $g_z(s)$  is holomorphic in  $z$ . Moreover, by (2.6) we have  $1/p' = (1 - \theta)/2 + \theta/q'$ , so

$$(2.8) \quad g_\theta = g, \quad |g_z| = |g|^{p'/2} \text{ if } \operatorname{Re} z = 0, \quad |g_z| = |g|^{p'/q'} \text{ if } \operatorname{Re} z = 1.$$

Let  $\Sigma = \{z \in \mathbb{C} : 0 \leq \operatorname{Re} z \leq 1\}$  and set

$$(2.9) \quad N = \frac{k}{1 - \theta}.$$

Given  $z \in \Sigma$ , we define the function

$$(2.10) \quad \varphi(z) = \exp(z^2 N \pi |1/q - 1/2|) \langle L^{N(1-z)} f, \overline{g_z} \rangle.$$

As the sum in (2.7) is finite, the function  $\varphi$  is holomorphic in the interior of  $\Sigma$ . It also follows that  $|\varphi(z)|$  is bounded in  $\Sigma$ , i.e.  $|\varphi(z)| \leq C(N, \gamma, q, p, f, g)$ . Thus we may apply Hadamard's three-lines theorem to the function  $\varphi$ ,

provided we appropriately estimate its boundary values at  $\operatorname{Re} z = 0$  and  $\operatorname{Re} z = 1$ . We claim that

$$(2.11) \quad |\varphi(z)| \leq (d + \gamma)^N \|f\|_2, \quad \operatorname{Re} z = 0,$$

$$(2.12) \quad |\varphi(z)| \leq (C_{p,q})^k \|f\|_q, \quad \operatorname{Re} z = 1,$$

for a constant  $C_{p,q} > 1$  depending only on  $p$  and  $q$ .

Assuming the claim for the moment, we apply Hadamard's three-lines theorem [6, Lemma 1.3.5] with

$$B_0 = (d + \gamma)^N \|f\|_2, \quad B_1 = (C_{p,q})^k \|f\|_q,$$

and obtain (recall (2.9))

$$|\varphi(\theta)| \leq (C_{p,q})^{k\theta} (d + \gamma)^k \|f\|_2^{1-\theta} \|f\|_q^\theta.$$

Since  $q = p_\varepsilon$ , the constant  $C_{p,q}$  depends only on  $p$  and  $\varepsilon$ . At this point, to complete the proof of (2.5) we note that (2.9) and (2.8) imply

$$\langle L^k f, g \rangle = \exp(-\theta^2 N\pi|1/q - 1/2|) \varphi(\theta).$$

We are left with proving the claimed inequalities (2.11) and (2.12). We start with (2.11). Take  $z = iu$ ,  $u \in \mathbb{R}$ , and note that  $\|L^{N(1-iu)} f\|_2 \leq (d + \gamma)^N \|f\|_2$ . Since  $f \in \operatorname{Deg}_{\leq d}$ , this inequality follows from (2.3) and the orthogonality of the Walsh functions  $w_S$ . We remark that this is the only place where the assumption  $f \in \operatorname{Deg}_{\leq d}$  is used. Now, by the Cauchy–Schwarz inequality and the second identity in (2.8), we obtain

$$|\varphi(z)| \leq \exp(-u^2 N\pi|1/q - 1/2|) (d + \gamma)^N \|f\|_2,$$

which is even better than the claimed (2.11).

Finally, we prove (2.12). Take  $z = 1 + iu$ ,  $u \in \mathbb{R}$ , and apply Hölder's inequality with exponents  $q$  and  $q'$  to the formula (2.10) defining  $\varphi$ . This yields

$$|\varphi(z)| \leq \exp((1 - u^2)N\pi|1/q - 1/2|) \|L^{-Niu} f\|_q,$$

where we used the third identity in (2.8). Applying Proposition 2.1 with  $q$  in place of  $p$  and using (2.9), we obtain

$$\begin{aligned} |\varphi(z)| &\leq C_q \exp[(1 - u^2)N\pi|1/q - 1/2| + N(\pi|1/q - 1/2| + 1)|u|] \|f\|_q \\ &= C_q (B_{p,q}(u))^k \|f\|_q, \end{aligned}$$

where  $C_q > 1$  is a constant that depends only on  $q$ , and

$$B_{p,q}(u) := \exp \left[ \frac{(1 - u^2)\pi|1/q - 1/2| + (\pi|1/q - 1/2| + 1)|u|}{1 - \theta} \right].$$

Denoting by  $B_{p,q}^{\max}$  the maximal value of  $B_{p,q}(u)$ , we reach

$$(2.13) \quad |\varphi(z)| \leq (C_q B_{p,q}^{\max})^k \|f\|_q.$$

This proves that (2.12) holds with  $C_{p,q} = C_q B_{p,q}^{\max}$  and completes the proof of Theorem 1.3. ■

*Proof of Proposition 1.8.* The argument that (1.15) implies (1.16) is analogous to the proof that (1.9) implies (1.10) given at the start of this section.

To justify (1.15), we apply Hölder’s inequality and obtain

$$\|e^{-t\Delta} f\|_p \leq \|e^{-t\Delta} f\|_2^{1-\theta} \|e^{-t\Delta} f\|_{p_\varepsilon}^\theta.$$

Since  $f \in \text{Tail}_{\geq d}$ , Parseval’s identity yields  $\|e^{-t\Delta} f\|_2 \leq \exp(-td)\|f\|_2$ . Thus, using the contractivity of  $\exp(-t\Delta)$  on  $L^{p_\varepsilon}$ , we obtain

$$\|e^{-t\Delta} f\|_p \leq \exp(-(1-\theta) \cdot td)\|f\|_2^{1-\theta} \|f\|_{p_\varepsilon}^\theta.$$

This gives (1.15) with  $c(p, \varepsilon) = 1 - \theta(p, \varepsilon)$  and  $\theta(p, \varepsilon)$  as defined in (1.8). ■

**3. Counterexamples: proofs of Propositions 1.6 and 1.7.** We start with the proof of Proposition 1.6, which is essentially a repetition of [3, proof of Theorem 5].

*Proof of Proposition 1.6.* Denote by  $T_d$  the  $d$ th Chebyshev polynomial of the first kind and let  $f$  be the function

$$(3.1) \quad f(\delta) = T_d\left(\frac{\delta_1 + \dots + \delta_n}{n}\right), \quad \delta \in \{-1, 1\}^n.$$

Since  $T_d$  is a polynomial of degree  $d$  that takes values in  $[-1, 1]$ , we have  $f \in \text{Deg}_{\leq d}$  and  $\|f\|_\infty \leq 1$ .

Using [3, (89)–(91)] with  $\varepsilon = (1, \dots, 1)$ , we see that

$$(\Delta f)(1, \dots, 1) = \frac{n}{2} \left( T_d(1) - T_d\left(1 - \frac{2}{n}\right) \right),$$

and consequently

$$\|\Delta f\|_\infty \geq \frac{n}{2} \left( T_d(1) - T_d\left(1 - \frac{2}{n}\right) \right) \geq \frac{n}{2} \left( T_d(1) - T_d\left(1 - \frac{2}{n}\right) \right) \cdot \|f\|_\infty.$$

Since  $T'_d(1) = d^2$ , letting  $n \rightarrow \infty$  we obtain  $\lim_{n \rightarrow \infty} \mathbf{C}(n, d) \geq d^2$ , and the proof is complete. ■

*Proof of Proposition 1.7.* The proof is a translation of the Kushilevitz function from [7, Section 6.3] into our setting. We need to change the Hamming cube labelled by bits 0 and 1 to the one we use and to realize that the translated function has the desired properties.

Given two Boolean functions  $f: \{0, 1\}^m \rightarrow \{0, 1\}$  and  $\tilde{g}: \{0, 1\}^n \rightarrow \{0, 1\}$ , we define their composition (which is a function of  $mn$  variables) via

$$(\tilde{f} \diamond \tilde{g})(x_{11}, \dots, x_{mn}) = \tilde{f}(\tilde{g}(x_{11}, \dots, x_{1n}), \dots, \tilde{g}(x_{m1}, \dots, x_{mn})).$$

Let  $n = 6^k$  and  $d = 3^k$ . The Kushilevitz function on the set  $\{0, 1\}^n$  is defined via the  $k$ -fold composition  $\tilde{f}_k = \tilde{h} \diamond \cdots \diamond \tilde{h}$ . The function  $\tilde{h}$  being composed is

$$\tilde{h}(x_1, \dots, x_6) = \sum_{i=1}^6 x_i - \sum_{i,j \in \binom{[6]}{2}} x_i x_j + \sum_{\{i,j,k\} \in K} x_i x_j x_k,$$

where  $\binom{[6]}{2}$  denotes the set of all 2-element subsets of  $\{1, \dots, 6\}$ , while

$$K = \{\{1, 2, 5\}, \{1, 2, 6\}, \{1, 3, 4\}, \{1, 3, 6\}, \{1, 4, 5\}, \\ \{2, 3, 4\}, \{2, 3, 5\}, \{2, 4, 6\}, \{3, 5, 6\}, \{4, 5, 6\}\}.$$

A straightforward but somewhat cumbersome case distinction reveals that the function  $\tilde{h}$  is  $\{0, 1\}$ -valued. We refer to [7, Section 6.3] or [15, Section 3.1] for further details.

Let  $\tilde{f}: \{0, 1\}^n \rightarrow \{0, 1\}$  be a function. We say that a coordinate (bit)  $i$  is *sensitive* for  $x \in \{0, 1\}^n$  if flipping the  $i$ th bit results in flipping the output of  $\tilde{f}$ . The *sensitivity of  $\tilde{f}$  on the input  $x$* , denoted by  $s(\tilde{f}, x)$ , is the number of bits that are sensitive for  $\tilde{f}$  on the input  $x$ . The *sensitivity of  $\tilde{f}$*  is defined as the maximum  $s(\tilde{f}) = \max_{x \in \{0, 1\}^n} s(\tilde{f}, x)$ . It turns out that  $s(\tilde{f}_k) = 6^k = n$ ; see [15, Claim 3.2.1]. We also have  $\deg(\tilde{f}_k) = 3^k$ ; see [15, Claim 3.2.2]. Here,  $\deg(\tilde{f}_k)$  denotes the degree of  $\tilde{f}_k$  as a multilinear polynomial.

Now, for each  $k \in \mathbb{N}$  define the function  $f_k: \{-1, 1\}^n \rightarrow \{-1, 1\}$  via

$$f_k(\delta) = 2\tilde{f}_k\left(\frac{\delta_1 + 1}{2}, \dots, \frac{\delta_n + 1}{2}\right) - 1, \quad \delta \in \{-1, 1\}^n.$$

Upon defining sensitivity for  $f_k$  exactly as for  $\tilde{f}_k$ , it is clear that  $s(f_k) = s(\tilde{f}_k) = 6^k$ . The second formula in [11, Proposition 2.37] reveals that  $\|\Delta f_k\|_\infty = s(f_k) = 6^k$ . Since  $\deg(f_k) = 3^k$ , we have  $f_k \in \text{Deg}_{\leq 3^k}$ , and thus

$$\|\Delta f_k\|_\infty = 6^k = 3^{k \log 6 / \log 3} = d^{\log 6 / \log 3} \|f_k\|_\infty.$$

This completes the proof of Proposition 1.7. ■

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