

On determination of GL_3 cusp forms

by

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1. Introduction. In 1997, Luo and Ramakrishnan [LR] studied to what extent modular forms can be characterized by central values of twisted L -functions and their derivatives. Since then, this subject has been studied by many authors for various modular forms (see Luo [L] and Ganguly, Hoffstein and Sengupta [GHS]). The determination of GL_3 cusp forms by central values of twisted L -functions was first studied by Chinta and Diaconu [CD] as a generalization of the results in [LR]. Recently, Liu [Liu] studied the question of determining a GL_3 self-dual Hecke–Maass cusp form by central values of its GL_2 twisted L -functions. Precisely, let f be a fixed self-dual Hecke–Maass cusp form for $SL_3(\mathbb{Z})$ and let $A(m, n)$ denote its (m, n) th Fourier coefficient. Liu proved that f is uniquely determined by the family $\{L(1/2, f \times g) : g \in \mathcal{H}_k\}$, where \mathcal{H}_k is an orthogonal basis of holomorphic cusp forms of weight $k \equiv 0 \pmod{4}$ for $SL_2(\mathbb{Z})$.

In this paper, we will show that f is also uniquely determined by the family $\{L'(1/2, f \times g) : g \in \mathcal{B}_k\}$, where \mathcal{B}_k is an orthogonal basis of holomorphic cusp forms of weight $k \equiv 2 \pmod{4}$ for $SL_2(\mathbb{Z})$.

THEOREM 1.1. *Let f and f' be fixed self-dual Hecke–Maass cusp forms for $SL_3(\mathbb{Z})$. Let $c \neq 0$ be a constant. If*

$$(1.1) \quad L'(1/2, f \times g) = cL'(1/2, f' \times g)$$

for all $g \in \mathcal{B}_k$, then $f = f'$.

As in [Liu], we will prove Theorem 1.1 by establishing an asymptotic formula for the first twisted moment of $L'(s, f \times g)$ at $s = 1/2$, where g runs over \mathcal{B}_k . Let $\lambda_g(n)$ be the normalized n th Fourier coefficient of $g \in \mathcal{B}_k$ and

$$\omega_g = \frac{k-1}{2\pi^2} L(1, \text{sym}^2 g),$$

where $L(s, \text{sym}^2 g)$ is the symmetric-square L -function associated to g .

2010 *Mathematics Subject Classification*: Primary 11F12; Secondary 11F67.

Key words and phrases: Rankin–Selberg L -functions, first moment, cusp forms.

THEOREM 1.2. *Let h be a fixed positive valued, smooth function of compact support on $[1, 2]$, with derivatives satisfying $h^{(j)} \ll_j 1$. Given any prime p , we have*

$$\begin{aligned} \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{g \in \mathcal{B}_k} \omega_g^{-1} L'(1/2, f \times g) \lambda_g(p) \\ = \frac{3(A(1, p) - p^{-1})L(1, f) \widehat{h}(0) K \log K}{2\sqrt{p}} \\ + \frac{(A(1, p) - p^{-1})(2L'(1, f) + c_0 L(1, f)) \widehat{h}(0) K}{2\sqrt{p}} \\ + \frac{\log p}{p\sqrt{p}} L(1, f) \widehat{h}(0) K + O_{\epsilon, f, p}(K^\epsilon) \end{aligned}$$

for any $\epsilon > 0$ and $K > 0$ large enough, where $c_0 = -6 \log 2 - 3 \log \pi - \log p$.

The proof of Theorem 1.2 starts from an approximate functional equation and then an application of Petersson's trace formula. Subsequently, we need to deal with a diagonal term and an off-diagonal term as expected. For the diagonal term, we use the analytic continuation of a Dirichlet series which may be of interest in other problems. For the off-diagonal term, we apply a result of Iwaniec, Luo and Sarnak [ILS] to deal with an averaging of J -Bessel functions. Goldfeld and Li's Voronoï formula for GL_3 in [GL] plays an important role in estimating the off-diagonal term. Theorem 1.2 yields the following non-vanishing result.

COROLLARY 1.3. *For each K large enough, there exists $g \in \mathcal{B}_k$ with $K \leq k \leq 2K$ such that for any prime p ,*

$$L'(1/2, f \times g) \lambda_g(p) \neq 0.$$

Proof. Let p be a fixed prime. By Jacquet and Shalika [JS], $L(1, f) \neq 0$. Then by Theorem 1.2, if $A(1, p) \neq p^{-1}$,

$$\begin{aligned} \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{g \in \mathcal{B}_k} \omega_g^{-1} L'(1/2, f \times g) \lambda_g(p) \\ \sim \frac{3(A(1, p) - p^{-1})L(1, f) \widehat{h}(0) K \log K}{2\sqrt{p}}, \end{aligned}$$

and if $A(1, p) = p^{-1}$,

$$\sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{g \in \mathcal{B}_k} \omega_g^{-1} L'(1/2, f \times g) \lambda_g(p) \sim \frac{\log p}{p\sqrt{p}} L(1, f) \widehat{h}(0) K.$$

It follows that there exists $g \in \mathcal{B}_k$ such that $L'(1/2, f \times g) \lambda_g(p) \neq 0$. ■

Now we can prove Theorem 1.1. Let $A(1, p)$ and $A'(1, p)$ be the normalized $(p, 1)$ th Fourier coefficients of f and f' , respectively. By the strong multiplicity one theorem (see Theorem 12.6.1 in Goldfeld [G]), we only need to prove $A(1, p) = A'(1, p)$ for all but finitely many primes p . If $A(1, p) = A'(1, p) = p^{-1}$, then we are done. In the following, we assume that $A(1, p) \neq p^{-1}$ and $A'(1, p) \neq p^{-1}$. In [S], the author proved that

$$\sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{g \in \mathcal{B}_k} \omega_g^{-1} L'(1/2, f \times g) \sim \frac{3L(1, f) \widehat{h}(0) K \log K}{2}.$$

Thus under the condition (1.1), we have

$$(1.2) \quad L(1, f) = cL(1, f').$$

On the other hand, by (1.1) and Theorem 1.2, we have

$$(1.3) \quad \frac{3(A(1, p) - p^{-1})L(1, f) \widehat{h}(0)}{2\sqrt{p}} = c \frac{3(A'(1, p) - p^{-1})L(1, f') \widehat{h}(0)}{2\sqrt{p}}.$$

By (1.2) and (1.3) we obtain $A(1, p) = A'(1, p)$. This proves Theorem 1.1.

In Section 2, we recall some basic facts about Maass cusp forms for $SL_3(\mathbb{Z})$. In Section 3, we study the properties of $GL_3 \times GL_2$ L -functions. We will prove Theorem 1.2 in Sections 4–6.

2. Maass cusp forms for $SL_3(\mathbb{Z})$. Let f be a Maass cusp form of type (ν_1, ν_2) for $SL_3(\mathbb{Z})$ and let $A(m_1, m_2)$ denote the (m_1, m_2) th Fourier coefficient of f . Assume f is normalized so that $A(1, 1) = 1$. We have (see Remark 12.1.8 in [G])

$$(2.1) \quad \sum_{m_2 \leq N} |A(m_1, m_2)| \ll_f N |m_1|.$$

Let \widetilde{f} denote the dual Maass form of f . Then \widetilde{f} is of type (ν_2, ν_1) and the (m_1, m_2) th Fourier coefficient of \widetilde{f} is the corresponding (m_2, m_1) th Fourier coefficient of f . If f is self-dual, then the Fourier coefficients are all real and $A(m_1, m_2) = A(m_2, m_1)$.

For $\Re s > 1$, we define the Godement–Jacquet L -function associated to f ,

$$L(s, f) = \sum_{n \geq 1} A(1, n) n^{-s},$$

which has a holomorphic continuation to all $s \in \mathbb{C}$ and satisfies the functional equation

$$(2.2) \quad \gamma(s, f) L(s, f) = \widetilde{\gamma}(1-s, f) L(1-s, \widetilde{f})$$

where

$$(2.3) \quad \gamma(s, f) = \pi^{-3s/2} \Gamma\left(\frac{s-\alpha}{2}\right) \Gamma\left(\frac{s-\beta}{2}\right) \Gamma\left(\frac{s-\gamma}{2}\right),$$

$$(2.4) \quad \tilde{\gamma}(s, f) = \pi^{-3s/2} \Gamma\left(\frac{s+\alpha}{2}\right) \Gamma\left(\frac{s+\beta}{2}\right) \Gamma\left(\frac{s+\gamma}{2}\right),$$

with

$$\alpha = -\nu_1 - 2\nu_2 + 1, \quad \beta = -\nu_1 + \nu_2, \quad \gamma = 2\nu_1 + \nu_2 - 1.$$

Here $L(s, \tilde{f})$ is the L -function associated to the dual Maass form \tilde{f} . By Luo, Rudnick and Sarnak [LRS] we have $|\Re\alpha|, |\Re\beta|, |\Re\gamma| \leq 1/2 - 1/10$.

Let p be a fixed prime. For $\Re s > 2$, we define

$$(2.5) \quad L_p(s, f) = \sum_{m \geq 1} \frac{A(p, m)}{m^s},$$

$$(2.6) \quad L_p(s, \tilde{f}) = \sum_{m \geq 1} \frac{A(m, p)}{m^s}.$$

The following result shows $L_p(s, f)$ and $L_p(s, \tilde{f})$ have holomorphic continuations to all $s \in \mathbb{C}$.

LEMMA 2.1. *Let p be a fixed prime. Then $L_p(s, f)$ and $L_p(s, \tilde{f})$ defined in (2.5) and (2.6) have holomorphic continuations to all $s \in \mathbb{C}$ and satisfy the functional equation*

$$(A(1, p) - p^{s-1})\gamma(s, f)L_p(s, f) = (A(p, 1) - p^{-s})\tilde{\gamma}(1-s, f)L_p(1-s, \tilde{f}),$$

where $\gamma(s, f)$ and $\tilde{\gamma}(s, f)$ are defined in (2.3) and (2.4), respectively.

Proof. Applying the multiplicative property

$$A(m_1, 1)A(1, m_2) = \sum_{d|(m_1, m_2)} A\left(\frac{m_1}{d}, \frac{m_2}{d}\right), \quad m_1, m_2 \geq 1,$$

we have

$$(2.7) \quad \begin{aligned} L_p(s, f) &= (A(p, 1) - p^{-s})L(s, f), \\ L_p(s, \tilde{f}) &= (A(1, p) - p^{-s})L(s, \tilde{f}). \end{aligned}$$

Then the lemma follows from the functional equation (2.2). ■

Let $\psi(x)$ be a smooth function compactly supported on $(0, \infty)$ and denote the Mellin transform of $\psi(x)$ by

$$\tilde{\psi}(s) := \int_0^\infty \psi(x) x^s \frac{dx}{x}.$$

For $k = 0, 1$, we set

$$(2.8) \quad \Psi_k(x) = \int_{\Re s = \sigma} (\pi^3 x)^{-s} \frac{\Gamma\left(\frac{1+s+2k+\alpha}{2}\right) \Gamma\left(\frac{1+s+2k+\beta}{2}\right) \Gamma\left(\frac{1+s+2k+\gamma}{2}\right)}{\Gamma\left(\frac{-s-\alpha}{2}\right) \Gamma\left(\frac{-s-\beta}{2}\right) \Gamma\left(\frac{-s-\gamma}{2}\right)} \times \tilde{\psi}(-s-k) ds$$

with $\sigma > \max\{-1 - \Re\alpha, -1 - \Re\beta, -1 - \Re\gamma\}$,

$$(2.9) \quad \Psi_{0,1}^0(x) = \Psi_0(x) + \frac{\pi^{-3} c^3 m}{n_1^2 n_2 i} \Psi_1(x),$$

$$(2.10) \quad \Psi_{0,1}^1(x) = \Psi_0(x) - \frac{\pi^{-3} c^3 m}{n_1^2 n_2 i} \Psi_1(x).$$

We have the following Voronoï formula for GL_3 (see Goldfeld and Li [GL]):

LEMMA 2.2. *Let $\psi \in C_c^\infty(0, \infty)$. Let $d, \bar{d}, c \in \mathbb{Z}$ with $c \neq 0$, $(d, c) = 1$ and $d\bar{d} \equiv 1 \pmod{c}$. Then*

$$\begin{aligned} & \sum_{n>0} A(m, n) e\left(\frac{n\bar{d}}{c}\right) \psi(n) \\ &= \frac{c\pi^{-5/2}}{4i} \sum_{n_1|cm} \sum_{n_2>0} \frac{A(n_2, n_1)}{n_1 n_2} S(md, n_2; mcn_1^{-1}) \Psi_{0,1}^0\left(\frac{n_2 n_1^2}{c^3 m}\right) \\ &+ \frac{c\pi^{-5/2}}{4i} \sum_{n_1|cm} \sum_{n_2>0} \frac{A(n_2, n_1)}{n_1 n_2} S(md, -n_2; mcn_1^{-1}) \Psi_{0,1}^1\left(\frac{n_2 n_1^2}{c^3 m}\right). \end{aligned}$$

As pointed out in Li [Li2], $x^{-1}\Psi_1(x)$ has similar asymptotic behavior to $\Psi_0(x)$. Therefore, we only need to consider $\Psi_0(x)$. The following result is Lemma 6.1 of Li [Li1]. For $\alpha = \beta = \gamma = 0$, it was proved by Ivić [I].

LEMMA 2.3. *Suppose ψ is a smooth function compactly supported on $[X, 2X]$. Let $\Psi_0(x)$ be defined as in (2.8). Then for any fixed integer $M \geq 1$ and $xX \gg 1$, we have*

$$\begin{aligned} \Psi_0(x) &= 2\pi^4 x i \int_0^\infty \psi(y) \sum_{j=1}^M \frac{c_j \cos(6\pi x^{1/3} y^{1/3}) + d_j \sin(6\pi x^{1/3} y^{1/3})}{(\pi^3 x y)^{j/3}} dy \\ &+ O((xX)^{(-M+2)/3}), \end{aligned}$$

where c_j and d_j are constants depending on α, β and γ . In particular, $c_1 = 0$ and $d_1 = -2/\sqrt{3\pi}$.

3. Rankin–Selberg L -functions. Let f be a self-dual Hecke–Maass cusp form of type (ν, ν) for $SL_3(\mathbb{Z})$ and \mathcal{B}_k be an orthogonal basis of holomorphic cusp forms of weight $k \equiv 2 \pmod{4}$ for $SL_2(\mathbb{Z})$. The Rankin–Selberg

L -function of f and $g \in \mathcal{B}_k$ defined by

$$L(s, f \times g) = \sum_{m \geq 1} \sum_{n \geq 1} \frac{\lambda_g(n) A(m, n)}{(m^2 n)^s}$$

is entire and satisfies the functional equation

$$\Lambda(s, f \times g) = -\Lambda(1-s, f \times g)$$

where $\Lambda(s, f \times g) = \gamma(s, k) L(s, f \times g)$ and for $\alpha = -3\nu + 1$,

$$(3.1) \quad \gamma(s, k) = \pi^{-3s} \Gamma\left(\frac{s + \frac{k-1}{2} - \alpha}{2}\right) \Gamma\left(\frac{s + \frac{k-1}{2}}{2}\right) \Gamma\left(\frac{s + \frac{k-1}{2} + \alpha}{2}\right) \\ \times \Gamma\left(\frac{s + \frac{k+1}{2} - \alpha}{2}\right) \Gamma\left(\frac{s + \frac{k+1}{2}}{2}\right) \Gamma\left(\frac{s + \frac{k+1}{2} + \alpha}{2}\right).$$

Set $G(u) = e^{u^2}$. We define

$$(3.2) \quad V(y, k) = \frac{1}{2\pi i} \int_{(3)} y^{-u} G(u) \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \frac{du}{u^2}.$$

One has the following approximate functional equation for $L'(1/2, f \times g)$ (see Iwaniec and Kowalski [IK]).

LEMMA 3.1. *For a self-dual Hecke–Maass cusp form f of type (ν, ν) for $SL_3(\mathbb{Z})$ and g in an orthogonal basis of holomorphic cusp forms of weight $k \equiv 2 \pmod{4}$ for $SL_2(\mathbb{Z})$, we have*

$$L'(1/2, f \times g) = 2 \sum_{m \geq 1} \sum_{n \geq 1} \frac{\lambda_g(n) A(m, n)}{(m^2 n)^{1/2}} V(m^2 n, k)$$

where $V(y, k)$ is defined in (3.2).

$V(y, k)$ has the following properties (see Lemma 4.2 in Sun [S]).

LEMMA 3.2. *For $y > 0$ and k large enough, we have*

$$V(y, k) \ll_{f, A} (k^3/y)^A,$$

and

$$V(y, k) = \log(k^3/y) + c_0 + O_f(y/k^3 + k^{-1}),$$

where $c_0 = -6 \log 2 - 3 \log \pi$.

4. Proof of Theorem 1.2. Petersson's trace formula states that for any $m, n \geq 1$,

$$(4.1) \quad \sum_{g \in \mathcal{B}_k} \omega_g^{-1} \lambda_g(m) \lambda_g(n) = \delta_{mn} + 2\pi i^k \sum_{c \geq 1} \frac{S(m, n; c)}{c} J_{k-1} \left(\frac{4\pi \sqrt{mn}}{c} \right),$$

where $\delta_{mn} = 1$ if $m = n$, and is 0 otherwise, $J_{k-1}(x)$ is the J -Bessel function and $S(m, n; c)$ is the classical Kloosterman sum defined by

$$S(m, n; c) = \sum_{d\bar{d} \equiv 1 \pmod{c}} e\left(\frac{md + n\bar{d}}{c}\right).$$

Applying Lemma 3.1 and Petersson's trace formula (4.1) we have

$$\begin{aligned} & \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{g \in \mathcal{B}_k} \omega_g^{-1} L'(1/2, f \times g) \lambda_g(p) \\ &= \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{g \in \mathcal{B}_k} \omega_g^{-1} \lambda_g(p) \left\{ 2 \sum_{m \geq 1} \sum_{n \geq 1} \frac{\lambda_g(n) A(m, n)}{(m^2 n)^{1/2}} V(m^2 n, k) \right\} \\ &= 2 \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{m \geq 1} \sum_{n \geq 1} \frac{A(m, n)}{(m^2 n)^{1/2}} V(m^2 n, k) \left\{ \sum_{g \in \mathcal{B}_k} \omega_g^{-1} \lambda_g(n) \lambda_g(p) \right\} \\ &= \mathcal{D} + N\mathcal{D}, \end{aligned}$$

where

$$(4.2) \quad \mathcal{D} = 2p^{-1/2} \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \sum_{m \geq 1} \frac{A(m, p)}{m} V(m^2 p, k),$$

$$(4.3) \quad \begin{aligned} N\mathcal{D} &= -4\pi \sum_{m \geq 1} \sum_{n \geq 1} \frac{A(m, n)}{(m^2 n)^{1/2}} \sum_{c \geq 1} c^{-1} S(n, p; c) \\ &\quad \times \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) V(m^2 n, k) J_{k-1}\left(\frac{4\pi\sqrt{np}}{c}\right). \end{aligned}$$

Then Theorem 1.2 follows from

$$(4.4) \quad \begin{aligned} \mathcal{D} &= \frac{3(A(1, p) - p^{-1})L(1, f)\widehat{h}(0)K \log K}{2\sqrt{p}} \\ &\quad + \frac{(A(1, p) - p^{-1})(2L'(1, f) + c_0 L(1, f))\widehat{h}(0)K}{2\sqrt{p}} \\ &\quad + \frac{\log p}{p\sqrt{p}} L(1, f)\widehat{h}(0)K + O_{f,p}(1), \end{aligned}$$

$$(4.5) \quad N\mathcal{D} = O_{\epsilon, f, p}(K^\epsilon).$$

We will establish (4.4) and (4.5) in Sections 5 and 6, respectively.

5. Estimation of \mathcal{D} . By (4.2) we have

$$(5.1) \quad \mathcal{D} = 2p^{-1/2} \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) \Delta(k),$$

where

$$\Delta(k) = \sum_{m \geq 1} \frac{A(m, p)}{m} V(m^2 p, k).$$

By the definition of $V(y, k)$ in (3.2),

$$\begin{aligned} (5.2) \quad \Delta(k) &= \sum_{m \geq 1} \frac{A(m, p)}{m} \frac{1}{2\pi i} \int_{(3)} (m^2 p)^{-u} G(u) \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \frac{du}{u^2} \\ &= \frac{1}{2\pi i} \int_{(3)} \left(\sum_{m \geq 1} \frac{A(m, p)}{m^{1+2u}} \right) p^{-u} G(u) \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \frac{du}{u^2} \\ &= \frac{1}{2\pi i} \int_{(3)} L_p(1 + 2u, f) p^{-u} G(u) \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \frac{du}{u^2}, \end{aligned}$$

where $L_p(s, f)$ is defined in (2.5). By Lemma 2.1, we can move the line of integration in (5.2) to $\Re u = -1/2$, picking up a double pole at $u = 0$,

$$\begin{aligned} (5.3) \quad \Delta(k) &= \operatorname{res}_{u=0} \left(L_p(1 + 2u, f) \frac{G(u)}{p^u u^2} \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \right) \\ &\quad + \frac{1}{2\pi i} \int_{(-1/2)} L_p(1 + 2u, f) p^{-u} G(u) \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \frac{du}{u^2}. \end{aligned}$$

First we compute the residue in (5.3). By the duplication formula, $\gamma(s, k)$ in (3.1) is

$$\begin{aligned} \gamma(s, k) &= \pi^{3/2-3s} 2^{3-3(s+(k-1)/2)} \Gamma\left(s + \frac{k-1}{2} - \alpha\right) \Gamma\left(s + \frac{k-1}{2}\right) \\ &\quad \times \Gamma\left(s + \frac{k-1}{2} + \alpha\right). \end{aligned}$$

Thus,

$$(5.4) \quad \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} = (2\pi)^{-3u} \frac{\Gamma(u + k/2 - \alpha) \Gamma(u + k/2) \Gamma(u + k/2 + \alpha)}{\Gamma(k/2 - \alpha) \Gamma(k/2) \Gamma(k/2 + \alpha)},$$

and

$$\begin{aligned} (5.5) \quad \lim_{u \rightarrow 0} \frac{d}{du} \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} &= -3 \log(2\pi) + \frac{\Gamma'(k/2 - \alpha)}{\Gamma(k/2 - \alpha)} + \frac{\Gamma'(k/2)}{\Gamma(k/2)} + \frac{\Gamma'(k/2 + \alpha)}{\Gamma(k/2 + \alpha)}. \end{aligned}$$

By Stirling's formula, for $|\arg z| \leq \pi - \delta$, $\delta > 0$,

$$\frac{\Gamma'(z)}{\Gamma(z)} = \log z - \frac{1}{2z} + O_\delta\left(\frac{1}{|z|^2}\right).$$

Thus by (5.5),

$$\lim_{u \rightarrow 0} \frac{d}{du} \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} = -3 \log(2\pi) + 3 \log(k/2) + O_f(k^{-1}),$$

and the residue in (5.3) is

$$\begin{aligned} (5.6) \quad & \lim_{u \rightarrow 0} \frac{d}{du} \left(L_p(1 + 2u, f) \frac{G(u)}{p^u} \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \right) \\ &= 2L'_p(1, f) - L_p(1, f) \log p + L_p(1, f) \lim_{u \rightarrow 0} \frac{d}{du} \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \\ &= 3L_p(1, f) \log k + 2L'_p(1, f) + c_0 L_p(1, f) + O_f(k^{-1}), \end{aligned}$$

where $c_0 = -6 \log 2 - 3 \log \pi - \log p$. Here we have used the fact that $G(0) = 1$ and $G'(0) = 0$. By (2.7), we have

$$\begin{aligned} L_p(1, f) &= (A(1, p) - p^{-1})L(1, f), \\ L'_p(1, f) &= (A(1, p) - p^{-1})L'(1, f) + \frac{\log p}{p}L(1, f). \end{aligned}$$

So by (5.6), the residue in (5.3) is

$$\begin{aligned} (5.7) \quad & \text{res}_{u=0} \left(L_p(1 + 2u, f) \frac{G(u)}{p^u u^2} \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \right) \\ &= 3(A(1, p) - p^{-1})L(1, f) \log k + (A(1, p) - p^{-1})(2L'(1, f) + c_0 L(1, f)) \\ &\quad + \frac{2 \log p}{p}L(1, f) + O_f(k^{-1}). \end{aligned}$$

Next, we compute the integral in (5.3). By Stirling's formula, for $|\arg z| \leq \pi - \delta$, $\delta > 0$,

$$\log \Gamma(z) = \left(z - \frac{1}{2} \right) \log z - z + \frac{1}{2} \log(2\pi) + O_\delta \left(\frac{1}{|z|} \right).$$

Thus, for $u = -1/2 + iv$, we have

$$\begin{aligned} & \log \frac{\Gamma(u + k/2 - \alpha)}{\Gamma(k/2 - \alpha)} \\ &= \left(-1 + \frac{k}{2} - \Re \alpha + i(v - \Im \alpha) \right) \log \left(-\frac{1}{2} + \frac{k}{2} - \Re \alpha + i(v - \Im \alpha) \right) \\ &\quad - \left(-\frac{1}{2} + \frac{k}{2} - \Re \alpha + i(v - \Im \alpha) \right) + \frac{1}{2} \log(2\pi) + o_f(1) \\ &\quad - \left(-\frac{1}{2} + \frac{k}{2} - \Re \alpha - i\Im \alpha \right) \log \left(\frac{k}{2} - \Re \alpha - i\Im \alpha \right) \\ &\quad + \left(\frac{k}{2} - \Re \alpha - i\Im \alpha \right) - \frac{1}{2} \log(2\pi) + o_f(1) \end{aligned}$$

$$\begin{aligned}
&= \left(-1 + \frac{k}{2} - \Re\alpha + i(v - \Im\alpha)\right) \log\left(\frac{k}{2} + iv\right) \\
&\quad - \left(-\frac{1}{2} + \frac{k}{2} - \Re\alpha - i\Im\alpha\right) \log\left(\frac{k}{2}\right) + \left(\frac{1}{2} - iv\right) \\
&\quad + \left(-1 + \frac{k}{2} - \Re\alpha + i(v - \Im\alpha)\right) \log\left(1 + \frac{-1/2 - \Re\alpha - i\Im\alpha}{k/2 + iv}\right) \\
&\quad - \left(-\frac{1}{2} + \frac{k}{2} - \Re\alpha - i\Im\alpha\right) \log\left(1 + \frac{-\Re\alpha - i\Im\alpha}{k/2}\right) + o_f(1).
\end{aligned}$$

For $-1 < x \leq 1$, we have

$$\log(1+x) = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \cdots + (-1)^{n+1} \frac{x^n}{n} + \cdots.$$

Thus for k sufficiently large, we have

$$\begin{aligned}
\log\left(1 + \frac{-1/2 - \Re\alpha - i\Im\alpha}{k/2 + iv}\right) &= O\left(\left|\frac{-1/2 - \Re\alpha - i\Im\alpha}{k/2 + iv}\right|\right) = O_f\left(\frac{1}{k}\right), \\
\log\left(1 + \frac{-\Re\alpha - i\Im\alpha}{k/2}\right) &= O\left(\left|\frac{-\Re\alpha - i\Im\alpha}{k/2}\right|\right) = O_f\left(\frac{1}{k}\right).
\end{aligned}$$

Therefore,

$$\begin{aligned}
(5.8) \quad &\log \frac{\Gamma(u + k/2 - \alpha)}{\Gamma(k/2 - \alpha)} \\
&= \left(-1 + \frac{k}{2} - \Re\alpha\right) \log\left(\frac{k^2}{4} + v^2\right)^{1/2} - (v - \Im\alpha) \arctan\left(\frac{2v}{k}\right) \\
&\quad - \left(-\frac{1}{2} + \frac{k}{2} - \Re\alpha\right) \log\left(\frac{k}{2}\right) + i\theta + O_f(1),
\end{aligned}$$

where

$$\begin{aligned}
\theta &= (v - \Im\alpha) \log\left(\frac{k^2}{4} + v^2\right)^{1/2} + \left(-1 + \frac{k}{2} - \Re\alpha\right) \arctan\left(\frac{2v}{k}\right) \\
&\quad - (\Im\alpha) \log\left(\frac{k}{2}\right) - v.
\end{aligned}$$

By (5.8), we obtain

$$\begin{aligned}
(5.9) \quad &\left|\frac{\Gamma(u + k/2 - \alpha)}{\Gamma(k/2 - \alpha)}\right| \ll_f \frac{(k/2 + |v|)^{-1+k/2-\Re\alpha} e^{\frac{\pi}{2}(|v|+|\Im\alpha|)}}{(k/2)^{-1/2+k/2-\Re\alpha}} \\
&\ll_f k^{-1/2} \left(1 + \frac{2|v|}{k}\right)^{-1+k/2-\Re\alpha} e^{\pi|v|/2}.
\end{aligned}$$

Similarly,

$$(5.10) \quad \left| \frac{\Gamma(u + k/2)}{\Gamma(k/2)} \right| \ll_f k^{-1/2} \left(1 + \frac{2|v|}{k} \right)^{-1+k/2} e^{\pi|v|/2},$$

$$(5.11) \quad \left| \frac{\Gamma(u + k/2 + \alpha)}{\Gamma(k/2 + \alpha)} \right| \ll_f k^{-1/2} \left(1 + \frac{2|v|}{k} \right)^{-1+k/2+\Re\alpha} e^{\pi|v|/2},$$

By (5.4) and (5.9)–(5.11), we have

$$(5.12) \quad \left| \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \right| \ll_f k^{-3/2} \left(1 + \frac{2|v|}{k} \right)^{-3+3k/2} e^{3\pi|v|/2}.$$

By (2.7), (5.12) and the convexity bound for $L(s, f)$:

$$L(\sigma + iv, f) \ll_f (1 + |v|)^{3(1-\sigma)/2+\epsilon}, \quad 0 \leq \sigma \leq 1,$$

for any $\epsilon > 0$, we have

$$(5.13) \quad \begin{aligned} & \frac{1}{2\pi i} \int_{(-1/2)} L_p(1 + 2u, f) p^{-u} G(u) \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \frac{du}{u^2} \\ & \ll_{f,p} \int_{(-1/2)} |L(1 + 2u, f)| |G(u)| \left| \frac{\gamma(1/2 + u, k)}{\gamma(1/2, k)} \right| \frac{du}{|u|^2} \\ & \ll_{f,p} k^{-3/2} \int_{-\infty}^{\infty} (1 + |v|)^{3/2+\epsilon} e^{-v^2} \left(1 + \frac{2|v|}{k} \right)^{-3+3k/2} e^{3\pi|v|/2} \frac{dv}{1/4 + v^2} \\ & \ll_{f,p} k^{-3/2} \end{aligned}$$

for k sufficiently large. By (5.3), (5.7) and (5.13), we have

$$(5.14) \quad \begin{aligned} \Delta(k) &= 3(A(1, p) - p^{-1})L(1, f) \log k \\ & \quad + (A(1, p) - p^{-1})(2L'(1, f) + c_0L(1, f)) \\ & \quad + \frac{2 \log p}{p} L(1, f) + O_{f,p}(k^{-1}). \end{aligned}$$

Then (4.4) follows from (5.1) and (5.14). Here we have used the fact that

$$4 \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) = K\widehat{h}(0) + O_A(K^{-A})$$

for any $A > 0$.

6. Estimation of $N\mathcal{D}$. In this section, we estimate $N\mathcal{D}$ of (4.3). Let ω be a smooth function of compact support on $[1, 2]$. By Lemma 3.2, we only need to estimate

$$(6.1) \quad ND^* = \sum_{m \geq 1} \sum_{n \geq 1} \frac{A(m, n)}{(m^2 n)^{1/2}} \omega\left(\frac{m^2 n}{N}\right) \sum_{c \geq 1} c^{-1} S(n, p; c) \\ \times \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) V(m^2 n, k) J_{k-1}\left(\frac{4\pi\sqrt{np}}{c}\right)$$

with $N \leq K^{3+\epsilon}$ for any $\epsilon > 0$.

The following result is Proposition 8.1 in Iwaniec, Luo and Sarnak [ILS].

LEMMA 6.1. *Fix a real valued function $h \in C_0^\infty(\mathbb{R}^+)$ and $K \geq 1$. Then*

$$4 \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) J_{k-1}(x) \\ = h\left(\frac{x}{K}\right) + \frac{K}{\sqrt{x}} \Im\left(e^{ix-i\pi/4} \mathcal{H}\left(\frac{K^2}{2x}\right)\right) + O\left(\frac{x}{K^3}\right),$$

where

$$\mathcal{H}(v) = \int_0^\infty \frac{h(\sqrt{u})}{\sqrt{2\pi u}} e^{iuv} du.$$

Applying Lemma 6.1 for $x = 4\pi\sqrt{np}/c$ we have

$$(6.2) \quad 4 \sum_{k \equiv 2 \pmod{4}} h\left(\frac{k-1}{K}\right) V(m^2 n, k) J_{k-1}(x) \\ = h\left(\frac{x}{K}\right) V(m^2 n, x+1) + \frac{K}{\sqrt{x}} \Im\left(e^{ix-i\pi/4} \mathcal{H}\left(\frac{K^2}{2x}\right)\right) + O_f\left(\frac{x}{K^3}\right),$$

where

$$\mathcal{H}(v) = \int_0^\infty \frac{h(\sqrt{u})}{\sqrt{2\pi u}} V(m^2 n, \sqrt{u}K+1) e^{iuv} du.$$

By multiple partial integration, we have

$$(6.3) \quad \mathcal{H}(v) \ll_{f,A,B} |v|^{-A} \left(\frac{K^3}{m^2 n}\right)^B$$

for any $A, B > 0$. By Weil's bound for Kloosterman sums,

$$|S(n, p; c)| \leq c^{1/2} (n, p, c)^{1/2} \tau(c).$$

Thus the contribution from the error term in (6.2) to ND^* in (6.1) is

$$(6.4) \quad \ll_f \sum_{m \geq 1} \sum_{n \geq 1} \frac{|A(m, n)|}{(m^2 n)^{1/2}} \omega\left(\frac{m^2 n}{N}\right) \sum_{c \geq 1} c^{-1} c^{1/2} (n, p, c)^{1/2} \tau(c) K^{-3} \frac{4\pi\sqrt{np}}{c} \\ \ll_{\epsilon, f, p} K^{-3} \sum_{m \geq 1} m^{-1} \sum_{n \geq 1} |A(m, n)| \omega\left(\frac{m^2 n}{N}\right) \sum_{c \geq 1} c^{-3/2+\epsilon}$$

$$\begin{aligned} &\ll_{\epsilon, f, p} K^{-3} \sum_{m \leq \sqrt{2N}} m^{-1} \sum_{n \leq 2N/m^2} |A(m, n)| \\ &\ll_{\epsilon, f, p} K^{-3} \sum_{m \leq \sqrt{2N}} m^{-1} \cdot Nm^{-1} \ll_{\epsilon, f, p} NK^{-3} \ll_{\epsilon, f, p} K^\epsilon \end{aligned}$$

for any $\epsilon > 0$. Here we have used the bound (2.1). By (6.1), (6.2) and (6.4), we need to estimate the quantities

$$(6.5) \quad \begin{aligned} ND_1 &= \sum_{m \geq 1} \sum_{n \geq 1} \frac{A(m, n)}{(m^2n)^{1/2}} \omega\left(\frac{m^2n}{N}\right) \sum_{c \geq 1} c^{-1} S(n, p; c) \\ &\quad \times h\left(\frac{4\pi\sqrt{np}}{cK}\right) V\left(m^2n, \frac{4\pi\sqrt{np}}{c} + 1\right), \end{aligned}$$

$$(6.6) \quad \begin{aligned} ND_2 &= \sum_{m \geq 1} \sum_{n \geq 1} \frac{A(m, n)}{(m^2n)^{1/2}} \omega\left(\frac{m^2n}{N}\right) \sum_{c \geq 1} c^{-1} S(n, p; c) \\ &\quad \times \frac{K\sqrt{c}}{(pn)^{1/4}} \mathfrak{S}\left(e^{i4\pi\sqrt{np}/c - i\pi/4} \mathcal{H}\left(\frac{K^2c}{8\pi\sqrt{pn}}\right)\right). \end{aligned}$$

Note that

$$\frac{K^2c}{8\pi\sqrt{n}} \gg_p K^2N^{-1/2} \gg_p K^{1/2-\epsilon}$$

for any $\epsilon > 0$, so by (6.3), ND_2 in (6.6) is negligible.

It remains to estimate ND_1 . Note that $1 \leq 4\pi\sqrt{np}/(cK) \leq 2$ and $1 \leq m^2n/N \leq 2$. Thus

$$(6.7) \quad \frac{2\pi\sqrt{pN}}{Km} \leq c \leq \frac{4\pi\sqrt{2pN}}{Km}.$$

Opening the Kloosterman sum in (6.5), we have

$$(6.8) \quad \begin{aligned} ND_1 &= \sum_{m \geq 1} \sum_{n \geq 1} \frac{A(m, n)}{(m^2n)^{1/2}} \omega\left(\frac{m^2n}{N}\right) \sum_c c^{-1} \\ &\quad \times \sum_{d\bar{d} \equiv 1 \pmod{c}} e\left(\frac{pd + n\bar{d}}{c}\right) h\left(\frac{4\pi\sqrt{np}}{cK}\right) V\left(m^2n, \frac{4\pi\sqrt{np}}{c} + 1\right) \\ &= \sum_{m \geq 1} m^{-1} \sum_c c^{-1} \sum_{d\bar{d} \equiv 1 \pmod{c}} e\left(\frac{pd}{c}\right) \left\{ \sum_{n \geq 1} A(m, n) e\left(\frac{n\bar{d}}{c}\right) \psi(n) \right\}, \end{aligned}$$

where

$$\psi(y) = y^{-1/2} \omega\left(\frac{m^2y}{N}\right) h\left(\frac{4\pi\sqrt{py}}{cK}\right) V\left(m^2y, \frac{4\pi\sqrt{py}}{c} + 1\right).$$

Applying the Voronoï formula in Lemma 2.2 for the n -sum, we have

$$(6.9) \quad \begin{aligned} & \sum_{n \geq 1} A(m, n) e\left(\frac{n\bar{d}}{c}\right) \psi(n) \\ &= \frac{c\pi^{-5/2}}{4i} \sum_{n_1 | cm} \sum_{n_2 > 0} \frac{A(n_2, n_1)}{n_1 n_2} S(md, n_2; mcn_1^{-1}) \Psi_{0,1}^0\left(\frac{n_2 n_1^2}{c^3 m}\right) \\ & \quad + \frac{c\pi^{-5/2}}{4i} \sum_{n_1 | cm} \sum_{n_2 > 0} \frac{A(n_2, n_1)}{n_1 n_2} S(md, -n_2; mcn_1^{-1}) \Psi_{0,1}^1\left(\frac{n_2 n_1^2}{c^3 m}\right), \end{aligned}$$

where $\Psi_{0,1}^0(x)$ and $\Psi_{0,1}^1(x)$ are defined in (2.9) and (2.10), respectively. By (6.8) and (6.9), we only need to estimate

$$\begin{aligned} ND_1^0 &= \frac{\pi^{-5/2}}{4i} \sum_{m \geq 1} m^{-1} \sum_{c \geq 1} \sum_{d\bar{d} \equiv 1 \pmod{c}} e\left(\frac{pd}{c}\right) \\ & \quad \times \sum_{n_1 | cm} \sum_{n_2 > 0} \frac{A(n_2, n_1)}{n_1 n_2} S(md, n_2; mcn_1^{-1}) \Psi_0\left(\frac{n_2 n_1^2}{c^3 m}\right). \end{aligned}$$

By (6.7),

$$\frac{n_2 n_1^2}{c^3 m} \frac{N}{m^2} = N \frac{n_2 n_1^2}{(cm)^3} \gg_p N \left(\frac{K}{\sqrt{N}}\right)^3 = K^3 N^{-1/2} \gg K^{3/2-\epsilon}$$

for any $\epsilon > 0$. Thus by Lemma 2.3 for $x = n_2 n_1^2 / (c^3 m)$,

$$\begin{aligned} \Psi_0(x) &= 2\pi^4 xi \int_0^\infty \psi(y) \sum_{j=1}^M \frac{c_j \cos(6\pi x^{1/3} y^{1/3}) + d_j \sin(6\pi x^{1/3} y^{1/3})}{(\pi^3 xy)^{j/3}} dy \\ & \quad + O\left(\left(\frac{n_2 n_1^2}{c^3 m} \frac{N}{m^2}\right)^{(-M+2)/3}\right), \end{aligned}$$

where c_j and d_j are constants depending only on f . In particular, $c_1 = 0$ and $d_1 = -2/\sqrt{3}\pi$. Denote

$$\Psi_0^j(x) = 2\pi^4 xi \int_0^\infty \psi(y) \frac{c_j \cos(6\pi x^{1/3} y^{1/3}) + d_j \sin(6\pi x^{1/3} y^{1/3})}{(\pi^3 xy)^{j/3}} dy.$$

Then

$$\Psi_0(x) = \sum_{j=1}^M \Psi_0^j(x) + O\left(\left(\frac{n_2 n_1^2}{c^3 m} \frac{N}{m^2}\right)^{(-M+2)/3}\right).$$

Take $M = 8$. Then the contribution from the O -term above to ND_1^0 is

negligible. Now we estimate $\Psi_0^1(x)$:

$$\Psi_0^1(x) = 2\pi^4 xi \int_0^\infty \psi(y) \frac{d_1 \sin(6\pi x^{1/3} y^{1/3})}{(\pi^3 xy)^{1/3}} dy = 2\pi^3 x^{2/3} d_1 \int_0^\infty b(y) \sin(a(y)) dy,$$

where $a(y) = 6\pi x^{1/3} y^{1/3}$ and

$$b(y) = y^{-5/6} \omega \left(\frac{m^2 y}{N} \right) h \left(\frac{4\pi \sqrt{py}}{cK} \right) V \left(m^2 y, \frac{4\pi \sqrt{py}}{c} + 1 \right).$$

Since $a'(y)y \gg K^{1/2-\epsilon}$, by multiple partial integration, one shows that the contribution from $\Psi_0^1(x)$ to ND_1^0 is negligible. Repeating the above arguments for $\Psi_0^j(x)$, $j = 2, \dots, M$, one shows that the other terms are also negligible. Thus ND_1^0 is negligible. This proves (4.5).

Acknowledgements. The author is very grateful to the referee for valuable comments. This research was partly supported by the National Natural Science Foundation of China (grant no. 11101239).

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*Received on 2.6.2010
and in revised form on 31.5.2011*

(6402)