

The distribution of Fourier coefficients of cusp forms over sparse sequences

by

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1. Introduction and main results. According to the Langlands program, the “most general” L -function should be a product of L -functions of automorphic cuspidal representations of GL_m/\mathbb{Q} . Therefore these automorphic L -functions do deserve deep investigation. The Hecke L -function is an important automorphic L -function.

Let $S_k(\Gamma)$ be the space of holomorphic cusp forms of even integral weight k for the full modular group $\Gamma = \mathrm{SL}(2, \mathbb{Z})$. Suppose that $f(z)$ is an eigenfunction of all the Hecke operators belonging to $S_k(\Gamma)$. Then the Hecke eigenform $f(z)$ has the following Fourier expansion at the cusp ∞ :

$$f(z) = \sum_{n=1}^{\infty} a_f(n) e^{2\pi i n z},$$

where we normalize $f(z)$ so that $a_f(1) = 1$. Instead of $a_f(n)$, one often considers the normalized Fourier coefficient

$$\lambda_f(n) = \frac{a_f(n)}{n^{(k-1)/2}}.$$

It is well-known that $\lambda_f(n)$ is real and has the multiplicative property

$$(1.1) \quad \lambda_f(m)\lambda_f(n) = \sum_{d|(m,n)} \lambda_f(mn/d^2),$$

where $m, n \geq 1$ are any integers. The Fourier coefficients of cusp forms are interesting objects. In 1974, P. Deligne [2] proved the Ramanujan–Petersson conjecture

$$(1.2) \quad |\lambda_f(n)| \leq d(n),$$

where $d(n)$ is the divisor function.

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The Hecke L -function attached to $f \in S_k(\Gamma)$ is defined, for $\text{Re}(s) > 1$, by

$$L(f, s) = \sum_{n=1}^{\infty} \frac{\lambda_f(n)}{n^s}.$$

For the sum of the normalized Fourier coefficients over natural numbers, Rankin [20] proved that

$$S(x) = \sum_{n \leq x} \lambda_f(n) \ll x^{1/3} (\log x)^{-\delta},$$

where $0 < \delta < 0.06$.

In 2001, Ivić [6] studied the sum of the normalized Fourier coefficients over squares, i.e.

$$S_2(x) = \sum_{n \leq x} \lambda_f(n^2).$$

By using (1.1), the Rankin–Selberg method, and the zero-free region of Riemann zeta function, he gave a nontrivial estimate

$$S_2(x) \ll_f x \exp(-A(\log x)^{3/5} (\log \log x)^{-1/5}),$$

where A is a suitable positive constant.

Later Fomenko [3] observed that

$$S_2(x) \ll_f x^{1/2} (\log x)^3.$$

Recently Sankaranarayanan [22] showed that

$$S_2(x) \ll x^{3/4} (\log x)^{19/2} \log \log x$$

uniformly for any holomorphic cusp form of even integral weight k for the full modular group satisfying $k \ll x^{1/3} (\log x)^{22/3}$.

Subsequently by using the properties of symmetric power L -functions, Lü [16] proved that for any $\varepsilon > 0$,

$$S_3(x) = \sum_{n \leq x} \lambda_f(n^3) \ll_{f,\varepsilon} x^{3/4+\varepsilon}, \quad S_4(x) = \sum_{n \leq x} \lambda_f(n^4) \ll_{f,\varepsilon} x^{7/9+\varepsilon}.$$

On the other hand, Rankin [19] and Selberg [23] studied the average behavior of $\lambda_f^2(n)$ over natural numbers and showed that

$$\sum_{n \leq x} \lambda_f^2(n) = c_1 x + O_f(x^{3/5}),$$

where c_1 is a positive constant depending on f . Recently we studied the asymptotic formula for the sum

$$\sum_{n \leq x} \lambda_f^2(n^j), \quad j = 2, 3, 4.$$

By using the properties of symmetric power L -functions and their Rankin–Selberg L -functions (which have been established in [4], [7], [9], [10], [11], [14], and [24]), in [12] we proved that for any $\varepsilon > 0$, we have

$$(1.3) \quad \sum_{n \leq x} \lambda_f^2(n^j) = c_j x + O_{f,\varepsilon}(x^{1-\frac{2}{(j+1)^2+2}+\varepsilon}), \quad j = 2, 3, 4,$$

where c_j are suitable constants depending on f .

In this paper we first improve these results by applying the convolution method arguments and a classical lemma of Landau.

THEOREM 1.1. *Let $f(z) \in S_k(\Gamma)$ be a Hecke eigenform of even integral weight k for the full modular group, and let $\lambda_f(n)$ denote its n th normalized Fourier coefficient. Then*

$$\sum_{n \leq x} \lambda_f^2(n^j) = c_j x + O_f(x^{1-\frac{2}{(j+1)^2+1}}), \quad j = 2, 3, 4.$$

Furthermore by applying an identity among automorphic L -functions and some techniques of analytic number theory, we can still improve Theorem 1.1 for $j = 2$. More precisely, we prove:

THEOREM 1.2. *Let $f(z) \in S_k(\Gamma)$ be a Hecke eigenform of even integral weight k for the full modular group. Then for any $\varepsilon > 0$,*

$$\sum_{n \leq x} \lambda_f^2(n^2) = c_2 x + O_{f,\varepsilon}(x^{53/69+\varepsilon}).$$

For comparison, we have $9/11 = 0.818\dots$ (for $j = 2$ in (1.3)), $4/5 = 0.8$ (for $j = 2$ by Theorem 1.1) and $53/69 = 0.768\dots$

2. Some lemmas. According to Deligne [2], for any prime number p there are $\alpha_f(p)$ and $\beta_f(p)$ such that

$$(2.1) \quad \lambda_f(p) = \alpha_f(p) + \beta_f(p) \quad \text{and} \quad |\alpha_f(p)| = \alpha_f(p)\beta_f(p) = 1.$$

The j th symmetric power L -function attached to $f \in S_k(\Gamma)$ is defined as

$$(2.2) \quad L(\text{sym}^j f, s) := \prod_p \prod_{m=0}^j (1 - \alpha_f(p)^{j-m} \beta_f(p)^m p^{-s})^{-1}$$

for $\text{Re}(s) > 1$. In particular,

$$L(\text{sym}^0 f, s) = \zeta(s), \quad L(\text{sym}^1 f, s) = L(f, s).$$

In the half-plane $\text{Re}(s) > 1$, we can write $L(\text{sym}^j f, s)$ as a Dirichlet series

$$L(\text{sym}^j f, s) = \sum_{n=1}^{\infty} \frac{\lambda_{\text{sym}^j f}(n)}{n^s}.$$

The Rankin–Selberg L -function associated to $\text{sym}^j f \times \text{sym}^j f$ is defined as

$$(2.3) \quad L(\text{sym}^j f \times \text{sym}^j f, s) := \prod_p \prod_{m=0}^j \prod_{u=0}^j (1 - \alpha_f(p)^{j-m} \beta_f(p)^m \alpha_f(p)^{j-u} \beta_f(p)^u p^{-s})^{-1}$$

for $\text{Re}(s) > 1$.

LEMMA 2.1 (Lao and Sankaranarayanan [12, Lemma 2.1]). *Let $f(z) \in S_k(\Gamma)$ be a Hecke eigenform of even integral weight k for the full modular group. For $j = 2, 3, 4$, we introduce*

$$(2.4) \quad L_j(s) := \sum_{n=1}^{\infty} \frac{\lambda_f^2(n^j)}{n^s} \quad \text{for } \text{Re}(s) > 1.$$

Then

$$(2.5) \quad L_j(s) = L(\text{sym}^j f \times \text{sym}^j f, s) U_j(s) \quad \text{for } \text{Re}(s) > 1,$$

where $U_j(s)$ converges uniformly and absolutely in the half-plane $\text{Re}(s) \geq 1/2 + \varepsilon$ for any $\varepsilon > 0$.

LEMMA 2.2. *For $\text{Re}(s) > 1$, we have*

$$L(\text{sym}^2 f \times \text{sym}^2 f, s) = \zeta(s) L(\text{sym}^2 f, s) L(\text{sym}^4 f, s).$$

Proof. This follows from (2.2) with $j = 0, 2, 4$, and from (2.3) with $j = 3$. ■

Based on the work of Cogdell and Michel [1], Lau and Wu [14] showed that for $j = 2, 3, 4$, $L(\text{sym}^j f, s)$ and $L(\text{sym}^j f \times \text{sym}^j f, s)$ have meromorphic continuations to the whole complex plane, and satisfy a functional equation.

LEMMA 2.3 (Cogdell and Michel [1, Section 3.2.1]). *Let $f(z) \in S_k(\Gamma)$ be a Hecke eigencuspform of even integral weight k . For $j = 2, 3, 4$, the archimedean local factor of $L(\text{sym}^j f, s)$ is*

$$L_{\infty}(\text{sym}^j f, s) = \begin{cases} \prod_{v=0}^n \Gamma_{\mathbb{C}}(s + (v + 1/2)(k - 1)) & \text{if } j = 2n + 1, \\ \Gamma_{\mathbb{R}}(s + \delta_{2|n}) \prod_{v=1}^n \Gamma_{\mathbb{C}}(s + v(k - 1)) & \text{if } j = 2n, \end{cases}$$

where $\Gamma_{\mathbb{R}} = \pi^{-s/2} \Gamma(s/2)$, $\Gamma_{\mathbb{C}} = 2(2\pi)^{-s} \Gamma(s)$, and $\delta_{2|n}$ is 1 when 2 does not divide n , and 0 otherwise.

For $2 \leq j \leq 4$, the complete L -function

$$\Lambda(\text{sym}^j f, s) = L_{\infty}(\text{sym}^j f, s) L(\text{sym}^j f, s)$$

is an entire function on \mathbb{C} , and satisfies the functional equation

$$\Lambda(\text{sym}^j f, s) = \epsilon_{\text{sym}^j f} \Lambda(\text{sym}^j f, 1 - s),$$

where $\epsilon_{\text{sym}^j f} = \pm 1$.

LEMMA 2.4 (Lau and Wu [14, Proposition 2.1]). *Let $f(z) \in S_k(\Gamma)$ be a Hecke eigenform of even integral weight k . For $j = 2, 3, 4$, the archimedean local factor of $L(\text{sym}^j f \times \text{sym}^j f, s)$ is*

$$L_\infty(\text{sym}^j f \times \text{sym}^j f, s) = \Gamma_{\mathbb{R}}(s)^{\delta_{2|j}} \Gamma_{\mathbb{C}}(s)^{[j/2] + \delta_{2|j}} \prod_{v=1}^j \Gamma_{\mathbb{C}}(s + v(k-1))^{j-v+1},$$

where $\delta_{2|j} = 1 - \delta_{2 \nmid j}$. The complete L -function

$$\Lambda(\text{sym}^j f \times \text{sym}^j f, s) := L_\infty(\text{sym}^j f \times \text{sym}^j f, s) L(\text{sym}^j f \times \text{sym}^j f, s)$$

is entire except possibly for simple poles at $s = 0, 1$ and satisfies the functional equation

$$\Lambda(\text{sym}^j f \times \text{sym}^j f, s) = \epsilon_{\text{sym}^j f \times \text{sym}^j f} \Lambda(\text{sym}^j f \times \text{sym}^j f, 1-s)$$

with $|\epsilon_{\text{sym}^j f \times \text{sym}^j f}| = 1$.

LEMMA 2.5. *For any $\varepsilon > 0$, $\sigma \geq 1/2$, and $|t| \geq 2$, we have*

$$\zeta(\sigma + it) \ll_\varepsilon (1 + |t|)^{\max\{\frac{1}{3}(1-\sigma), 0\} + \varepsilon},$$

$$L(\text{sym}^2 f, \sigma + it) \ll_{f, \varepsilon} (1 + |t|)^{\max\{\frac{11}{8}(1-\sigma), 0\} + \varepsilon},$$

$$L(\text{sym}^j f, \sigma + it) \ll_{f, \varepsilon} (1 + |t|)^{\max\{\frac{j+1}{2}(1-\sigma), 0\} + \varepsilon}, \quad j = 3, 4.$$

Proof. For any $\varepsilon > 0$, we have (see [18])

$$\zeta(\sigma + it) \ll_\varepsilon (1 + |t|)^{\frac{1}{3}(1-\sigma) + \varepsilon}, \quad 1/2 \leq \sigma \leq 1, |t| \geq 2.$$

The estimate

$$L(\text{sym}^2 f, \sigma + it) \ll_{f, \varepsilon} (1 + |t|)^{\frac{11}{8}(1-\sigma) + \varepsilon}, \quad 1/2 \leq \sigma \leq 1, |t| \geq 2,$$

is due to X. Q. Li [15]. From Lemma 2.3, we have

$$L(\text{sym}^j f, \sigma + it) \ll_{f, \varepsilon} (1 + |t|)^{\frac{j+1}{2}(1-\sigma) + \varepsilon}, \quad 1/2 \leq \sigma \leq 1, |t| \geq 2, j = 3, 4.$$

The claim for $\sigma > 1$ holds by the absolute convergence of the Dirichlet series involved, which follows from (1.2). ■

LEMMA 2.6. *Let $j = 2, 3, 4$. Then for $T \geq T_0$ (where T_0 is sufficiently large),*

$$\int_T^{2T} |L(\text{sym}^j f, 1/2 + \varepsilon + it)|^2 dt \ll_{f, \varepsilon} T^{\frac{j+1}{2} + \varepsilon},$$

where ε is any positive constant.

Proof. From (2.2), the L -function $L(\text{sym}^j f, s)$ is of degree $j + 1$. Lemma 2.4 shows that the L -function $L(\text{sym}^j f, s)$ can be extended as an entire function and also satisfy a nice functional equation of the Riemann zeta type. Thus we can write the functional equation here as

$$L(\text{sym}^j f, s) = \chi(s) L(\text{sym}^j f, 1-s),$$

where

$$|\chi(s)| \asymp |t|^{\frac{j+1}{2}(1-2\sigma)} \quad \text{as } |t| \rightarrow \infty$$

uniformly in any fixed strip $a \leq \sigma \leq b$. Now we follow the arguments of Sankaranarayanan [21, Theorem 4.1(i)]. The only necessary changes are that we need the free parameters Y and Y_1 therein to be $Y = Y_1 = cT^{(j+1)/2}$, where c is a suitable positive constant. This leads to the estimate of this lemma. ■

LEMMA 2.7 (Heath-Brown [5]). *For $T \geq 1$,*

$$\int_1^T |\zeta(1/2 + it)|^{12} dt \ll T^{2+\varepsilon}.$$

LEMMA 2.8. *Let $a_n \geq 0$ and set*

$$f(s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s}.$$

Suppose $f(s)$ is convergent in some half-plane and has an analytic continuation, except for a pole at $s = \alpha$ of order k , to the entire complex plane and it satisfies a functional equation

$$c^s \Delta(s) f(s) = c^{1-s} \Delta(1-s) f(1-s),$$

where c is a positive constant and $\Delta(s) = \prod_{i=1}^N \Gamma(\alpha_i s + \beta_i)$ ($\alpha_i > 0$). Then

$$\sum_{n \leq x} a_n = x^\alpha P_{k-1}(\log x) + O(x^{\alpha(1-\frac{2}{2A+1})} \log^{k-1} x),$$

where $A = \sum_{i=1}^N \alpha_i$ and $P_{k-1}(y)$ is a polynomial in y of degree $k - 1$.

Proof. This is one of the many possible versions of a classical lemma of Landau. See for e.g. Murty [17, Lemma 1]. ■

3. Proof of Theorem 1.1. The product over primes in (2.3) gives a Dirichlet series representation

$$L(\text{sym}^j f \times \text{sym}^j f, s) = \sum_{n=1}^{\infty} \frac{\lambda_{\text{sym}^j f \times \text{sym}^j f}(n)}{n^s} \quad \text{for } \text{Re}(s) > 1,$$

where $\lambda_{\text{sym}^j f \times \text{sym}^j f}(n)$ is nonnegative in view of [13, Lemma 3.1(a)]. By Lemma 2.4, $L(\text{sym}^j f \times \text{sym}^j f, s)$ satisfies the conclusion of Lemma 2.8 with $\alpha = 1$, $k = 1$, and $2A = (j + 1)^2$. Then we have

$$\sum_{n \leq x} \lambda_{\text{sym}^j f \times \text{sym}^j f}(n) = d_j x + O(x^{1-\frac{2}{(j+1)^2+1}}),$$

where d_j is a suitable constant depending on f . By Lemma 2.1,

$$\lambda_f^2(n^j) = \sum_{n=ml} \lambda_{\text{sym}^j f \times \text{sym}^j f}(m) u_j(l),$$

where

$$\sum_{l \leq x} |u_j(l)| l^{-v} \ll 1 \quad \text{for } v \geq 1/2 + \varepsilon.$$

Hence

$$\begin{aligned} \sum_{n \leq x} \lambda_f^2(n^j) &= \sum_{ml \leq x} \lambda_{\text{sym}^j f \times \text{sym}^j f}(m) u_j(l) = \sum_{l \leq x} u_j(l) \sum_{m \leq x/l} \lambda_{\text{sym}^j f \times \text{sym}^j f}(m) \\ &= \sum_{l \leq x} u_j(l) \{d_j(x/l) + O((x/l)^{1-\frac{2}{(j+1)^2+1}})\} \\ &=: c_j x + O(x^{1-\frac{2}{(j+1)^2+1}}). \end{aligned}$$

This completes the proof of Theorem 1.1.

4. Proof of Theorem 1.2. Recall that

$$L_2(s) = \sum_{n=1}^{\infty} \frac{\lambda_f^2(n^2)}{n^s} \quad \text{for } \text{Re}(s) > 1.$$

From Lemmas 2.1 and 2.2, we observe that

$$L_2(s) = L(\text{sym}^2 f \times \text{sym}^2 f, s) U_2(s) = \zeta(s) L(\text{sym}^2 f, s) L(\text{sym}^4 f, s) U_2(s)$$

can be meromorphically continued to the half-plane $\text{Re}(s) > 1/2$. In this region, $L_2(s)$ has only a simple pole at $s = 1$.

Now, we begin to prove Theorem 1.2. By Perron's formula (see [8, Proposition 5.54]), we have

$$\sum_{n \leq x} \lambda_f^2(n^2) = \frac{1}{2\pi i} \int_{b-iT}^{b+iT} L_2(s) \frac{x^s}{s} ds + O(x^{1+\varepsilon}/T),$$

where $b = 1 + \varepsilon$ and $1 \leq T \leq x$ is a parameter to be chosen later. Here we have used (1.2).

Next we move the integration to the parallel segment with $\text{Re}(s) = 1/2 + \varepsilon$. By Cauchy's residue theorem, we have

$$\begin{aligned} (4.1) \quad \sum_{n \leq x} \lambda_f^2(n^2) &= \frac{1}{2\pi i} \left\{ \int_{1/2+\varepsilon-iT}^{1/2+\varepsilon+iT} + \int_{1/2+\varepsilon+iT}^{b+iT} + \int_{b+iT}^{1/2+\varepsilon-iT} \right\} L_2(s) \frac{x^s}{s} ds \\ &\quad + \text{Res}_{s=1}(L_2(s)x^s/s) + O(x^{1+\varepsilon}/T) \\ &=: I_1 + I_2 + I_3 + c_2 x + O(x^{1+\varepsilon}/T). \end{aligned}$$

For I_1 , by Lemma 2.1,

$$\begin{aligned} I_1 &\ll x^{1/2+\varepsilon} \\ &\quad + x^{1/2+\varepsilon} \int_1^T |L(\text{sym}^2 f \times \text{sym}^2 f, 1/2 + \varepsilon + it) U_j(1/2 + \varepsilon + it)| t^{-1} dt \\ &\ll x^{1/2+\varepsilon} + x^{1/2+\varepsilon} \int_1^T |L(\text{sym}^2 f \times \text{sym}^2 f, 1/2 + \varepsilon + it)| t^{-1} dt. \end{aligned}$$

Therefore

$$\begin{aligned} I_1 &\ll x^{1/2+\varepsilon} \\ &\quad + x^{1/2+\varepsilon} \sum_{1 \leq j \leq \lfloor \frac{\log T}{\log 2} \rfloor + 1} \int_{T/2^j}^{T/2^{j-1}} |L(\text{sym}^2 f \times \text{sym}^2 f, 1/2 + \varepsilon + it)| t^{-1} dt \\ &\ll x^{1/2+\varepsilon} \\ &\quad + x^{1/2+\varepsilon} \log T \max_{T_1 \leq T} \left\{ \frac{1}{T_1} \int_{T_1/2}^{T_1} |L(\text{sym}^2 f \times \text{sym}^2 f, 1/2 + \varepsilon + it)| dt \right\}. \end{aligned}$$

Using the decomposition in Lemma 2.2, by Hölder's inequality, we have

$$\begin{aligned} I_1 &\ll x^{1/2+\varepsilon} + x^{1/2+\varepsilon} \log T \max_{T_1 \leq T} \left\{ \frac{1}{T_1} \left(\int_{T_1/2}^{T_1} |\zeta(1/2 + \varepsilon + it)|^{12} dt \right)^{1/12} \right. \\ &\quad \times \left(\int_{T_1/2}^{T_1} |L(\text{sym}^2 f, 1/2 + \varepsilon + it)|^{12/5} dt \right)^{5/12} \\ &\quad \left. \times \left(\int_{T_1/2}^{T_1} |L(\text{sym}^4 f, 1/2 + \varepsilon + it)|^2 dt \right)^{1/2} \right\}. \end{aligned}$$

Furthermore,

$$\begin{aligned} I_1 &\ll x^{1/2+\varepsilon} + x^{1/2+\varepsilon} \log T \max_{T_1 \leq T} \left\{ \frac{1}{T_1} \left(\int_{T_1/2}^{T_1} |\zeta(1/2 + \varepsilon + it)|^{12} dt \right)^{1/12} \right. \\ &\quad \times \left(\max_{T_1/2 \leq t \leq T_1} |L(\text{sym}^2 f, 1/2 + \varepsilon + it)|^{2/5} \int_{T_1/2}^{T_1} |L(\text{sym}^2 f, 1/2 + \varepsilon + it)|^2 dt \right)^{5/12} \\ &\quad \left. \times \left(\int_{T_1/2}^{T_1} |L(\text{sym}^4 f, 1/2 + \varepsilon + it)|^2 dt \right)^{1/2} \right\}. \end{aligned}$$

After applying Lemmas 2.5–2.7, we have

$$(4.2) \quad I_1 \ll x^{1/2+\varepsilon} + x^{1/2+\varepsilon} T^{\frac{1}{6}+(\frac{2}{5} \times \frac{11}{16} + \frac{3}{2}) \times \frac{5}{12} + \frac{5}{4} - 1 + \varepsilon} \ll x^{1/2+\varepsilon} T^{37/32+\varepsilon}.$$

For the integrals over the horizontal segments, we use Lemmas 2.2 and 2.5 to get

$$(4.3) \quad \begin{aligned} I_2 + I_3 &\ll \int_{1/2+\varepsilon}^b x^\sigma |L(\text{sym}^2 f \times \text{sym}^2 f, \sigma + iT)| T^{-1} d\sigma \\ &\ll \max_{1/2+\varepsilon \leq \sigma \leq b} x^\sigma T^{(\frac{1}{3} + \frac{11}{8} + \frac{5}{2})(1-\sigma) + \varepsilon} T^{-1} + x^{1+\varepsilon} / T \\ &\ll \max_{1/2+\varepsilon \leq \sigma \leq b} \left(\frac{x}{T^{101/24}} \right)^\sigma T^{101/24-1+\varepsilon} + \frac{x^{1+\varepsilon}}{T} \\ &\ll \left(\frac{x}{T^{101/24}} \right)^b T^{101/24-1+\varepsilon} + \left(\frac{x}{T^{101/24}} \right)^{1/2+\varepsilon} T^{101/24-1+\varepsilon} + \frac{x^{1+\varepsilon}}{T} \\ &\ll \frac{x^{1+\varepsilon}}{T} + x^{1/2+\varepsilon} T^{53/48+\varepsilon}. \end{aligned}$$

From (4.1)–(4.3), we have

$$(4.4) \quad \sum_{n \leq x} \lambda_f^2(n^2) = c_2 x + O(x^{1+\varepsilon}/T) + O(x^{1/2+\varepsilon} T^{37/32+\varepsilon}).$$

On taking $T = x^{16/69}$ in (4.4), we conclude that

$$\sum_{n \leq x} \lambda_f^2(n^2) = c_2 x + O(x^{53/69+\varepsilon}).$$

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