

On the surjectivity of Galois representations attached to elliptic curves over number fields

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1. Surjectivity of a Galois representation. Let K be a number field, fix \overline{K} , an algebraic closure of K , and let j be transcendental over K . Let E be an elliptic curve defined over the field $K(j)$ such that $j(E) = j$. Given a prime number $p \geq 7$, the natural action of $\text{Gal}(\overline{K(j)}/\overline{K(j)})$ on the group of p -torsion points of E induces a representation $\tilde{\pi}_E: \text{Gal}(\overline{K(j)}/\overline{K(j)}) \rightarrow \text{SL}(2, \mathbb{F}_p)$. The universal deformation of $\tilde{\pi}_E$, with respect to certain ramification conditions (see [Roh], [Roh04]), is an epimorphism

$$\pi_E: \text{Gal}(\overline{K(j)}/\overline{K(j)}) \rightarrow \text{SL}(2, \mathbb{Z}_p[[X]]).$$

Let \tilde{K} be the extension of K generated by all roots of unity of p -power order. In [Roh00a], [Roh00b], D. E. Rohrlich showed that π_E descends to an epimorphism

$$\varrho_E: \text{Gal}(\overline{K(j)}/\tilde{K}(j)) \rightarrow \text{SL}(2, \mathbb{Z}_p[[X]]).$$

Notice that ϱ_E encapsulates arithmetic information which was not present in π_E .

Let A be an elliptic curve defined over K with j -invariant $j(A) \notin \{0, 1728\}$ and suppose that A coincides with the fiber of E at $j = j(A)$. Choose a place σ of $\overline{K(j)}$ extending the place $j = j(A)$ of $\tilde{K}(j)$, and write D and I for the corresponding decomposition and inertia subgroups of $\text{Gal}(\overline{K(j)}/\tilde{K}(j))$. We “specialize” the representation ϱ_E to $j = j(A)$ by restricting the map to the decomposition group D . By the ramification constraints of the universal deformation (see [Roh00b]), the map ϱ_E is unramified outside $\{0, 1728, \infty\}$, thus $\varrho_E|_D$ factors through $D/I \cong \text{Gal}(\overline{K}/\tilde{K})$. We obtain a representation

$$\varrho_A: \text{Gal}(\overline{K}/\tilde{K}) \rightarrow \text{SL}(2, \mathbb{Z}_p[[X]]).$$

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If we write $\bar{\varrho}_A: \text{Gal}(\bar{K}/\tilde{K}) \rightarrow \text{SL}(2, \mathbb{Z}_p)$ for the representation determined up to equivalence by the natural action of $\text{Gal}(\bar{K}/\tilde{K})$ on the Tate module of A , then, by construction, ϱ_A is a deformation of $\bar{\varrho}_A$, and in particular $\varrho_A|_{X=0} = \bar{\varrho}_A$. The image of $\bar{\varrho}_A$, which has been characterized by M. Deuring [Deu53], [Deu58], J.-P. Serre [Ser72], J. Tate [ST68] and others, depends drastically on whether the elliptic curve A has complex multiplication or not.

In light of the results of Deuring, Serre and Tate, one would naturally want to know how large is the image of the representation ϱ_A . Let

$$\tilde{\varrho}_A: \text{Gal}(\bar{K}/\tilde{K}) \rightarrow \text{SL}(2, \mathbb{F}_p)$$

be the representation induced by the Galois action on the points of order p on A . In [Roh04], Rohrlich proved in the case $K = \mathbb{Q}$ that if $\tilde{\varrho}_A$ is surjective and $\nu_p(j(A)) = -1$ then ϱ_A is surjective, where ν_p is the usual p -adic valuation on \mathbb{Q} . In this note we generalize Rohrlich's results to more general number fields.

Fix \wp , a prime of K lying above a prime $p \geq 7$. We write ν_\wp for the standard \wp -adic valuation on K , so that, for a uniformizer π of \wp , $\nu_\wp(\pi) = 1$ and $\nu_\wp(p) = e$, where $e = e(\wp | p)$ is the ramification index.

THEOREM 1.1. *If $\tilde{\varrho}_A$ is surjective, e is not divisible by $p - 1$, $\nu_\wp(j(A)) = -t$ with $t \in \mathbb{N}$, $\text{gcd}(p, t) = 1$, and*

$$t < \frac{ep}{p-1} = e + \frac{e}{p-1},$$

then ϱ_A is surjective.

Proof. The strategy of the proof is the same as in [Roh04, proof of Theorem 1] (which shows the case $K = \mathbb{Q}$). We summarize it here and point out where the proof diverges for a number field K as in the statement of Theorem 1.1.

It suffices to verify the surjectivity of the projective representation

$$P\varrho_A: \text{Gal}(\bar{K}/\tilde{K}) \rightarrow \text{PSL}(2, A)$$

because the only subgroup of $\text{SL}(2, A)$ with projective image $\text{PSL}(2, A)$ is the full group $\text{SL}(2, A)$. We similarly define projective maps $P\varrho_E$ and $P\bar{\varrho}_A$. By the definition of ϱ_A , in order to verify the surjectivity of $P\varrho_A$ it suffices to show that the image via $P\varrho_E$ of the decomposition group D is the full group $\text{PSL}(2, A)$.

The kernel of ϱ_E determines a fixed field \mathbf{L} , in particular $\text{Gal}(\mathbf{L}/\tilde{K}(j)) \cong \text{PSL}(2, \mathbb{Z}_p[[X]])$. For $i \geq 1$, let $\mathbf{L}_i \subseteq \mathbf{L}$ be the fixed field determined by the kernel of the reduction map

$$\text{Gal}(\mathbf{L}/\tilde{K}(j)) \cong \text{PSL}(2, \mathbb{Z}_p[[X]]) \rightarrow \text{PSL}(2, \mathbb{Z}_p[[X]]/(p, X)^i).$$

Recall that we have chosen a place σ of $\overline{K(j)}$ extending $j = j(A)$. Let ℓ_ν be the residue class field of $\sigma|_{\mathbf{L}_\nu}$, i.e. $\ell_\nu = \sigma(\mathbf{L}_\nu) \setminus \{\infty\}$.

A criterion of Boston ([Bos86, Prop. 2, p. 262]) reduces the problem to proving that the image of D in $\text{Gal}(\mathbf{L}_2/\tilde{K}(j))$ maps to all of $\text{PSL}(2, A/(p, X)^2)$. Equivalently, one needs to show that $[\mathbf{L}_2 : \tilde{K}(j)] = [\ell_2 : \tilde{K}]$. Notice that the assumption on the surjectivity of $\tilde{\varrho}_A$ implies that $\tilde{\varrho}_A$ is surjective (see, for example, [Ser68, IV-23, Lemma 3]), and so is $P\tilde{\varrho}_A$, the projectivization of $\tilde{\varrho}_A$. It follows that $[\mathbf{L}_1 : \tilde{K}(j)] = [\ell_1 : \tilde{K}]$, therefore it suffices to prove that

$$(1) \quad [\mathbf{L}_2 : \mathbf{L}_1] = [\ell_2 : \ell_1].$$

1.1. Siegel functions. We follow the definitions established in [Roh04].

DEFINITION 1.2. Let $p \geq 7$ be a prime and define $R = \mathbb{F}_p^2 \setminus \{(0, 0)\}$.

- (1) M is the set of all functions $m: R \rightarrow \mathbb{Z}$ with $m(r) = m(-r)$. M is clearly a \mathbb{Z} -module.
- (2) We write N for the \mathbb{Z} -submodule of M consisting of all those $m \in M$ that reduce modulo p to a function defined by a homogeneous polynomial of degree two over \mathbb{F}_p .

Let $r \in R$ and let $s = (s_1, s_2) \in \mathbb{Z}^2$ be any lift of r , i.e. $s = (s_1, s_2) \equiv r \pmod p$, and put $a = a_s = p^{-1}(s_1, s_2)$. Then the symbol f_r represents any Siegel function g_a^{12} (see [KL81, p. 29]). If $s \in \mathbb{Z}^2$ is replaced by another lift of r then f_r is multiplied by a p th root of unity ([KL81, Remark on p. 30]), so the symbol $f_r(\tau)$ is only well defined up to p th roots of unity. For $m \in M$ we also define the symbolic m th power:

$$f^m = \prod_{r \in R} f_r^{m(r)}.$$

The key ingredient in the proof of Theorem 1.1 is given by the following result of Rohrlich ([Roh04, Theorem 2]).

THEOREM 1.3. *The extension $\mathbf{L}_2/\mathbf{L}_1$ is generated by p th roots of Siegel units. More precisely, $\mathbf{L}_2 = \mathbf{L}_1(\{(f^m)^{1/p} : m \in N\})$.*

Using the previous theorem, Rohrlich reduces the proof of (1) to the following local statement (see [Roh04, pp. 19, 20]; the argument is valid in our case, by simply replacing \mathbb{Q} by K). Since $\nu_\varphi(j(A)) = -t < 0$ there is a unique Tate curve B over K_φ with $j(B) = j(A)$. Suppose there is an $m \in N$ such that $\sigma(f^m)^{1/p} \notin K_\varphi(B[p^\nu])$ for all sufficiently large $\nu \in \mathbb{N}$. Then equality (1) follows.

Let \mathcal{O}_φ be the ring on integers in K_φ and let q be the unique element of $\pi\mathcal{O}_\varphi$ such that $j(q) = j(B)$, where π , as before, is a uniformizer of φ . Proposition 8 of [Roh04] can be generalized to:

PROPOSITION 1.4. *There exists $m \in \mathbb{N}$ such that:*

$$\sigma(f^m) = q^\mu(1 - uq)(1 - vq^2) = q^\mu(1 + wq)$$

with $\mu \in \mathbb{Z}$, $u, w \in \mathcal{O}_\wp^\times$, and $v \in \mathcal{O}_\wp$. In particular, $\sigma(f^m) \in K_\wp$.

The proof found in [Roh04] is valid without change. Let $f = f^m$ with m as in the previous proposition. Hence, in order to finish the proof of Theorem 1.1, we need to show:

PROPOSITION 1.5. *Suppose that $v_\wp(j(A)) = -t$ with $t \in \mathbb{N}$, e is not divisible by $p - 1$, $\gcd(p, t) = 1$, and*

$$t < \frac{ep}{p - 1} = e + \frac{e}{p - 1}.$$

Then $\sigma(f)^{1/p} \notin K_\wp(B[p^\nu])$ for all sufficiently large $\nu \in \mathbb{N}$.

Proof. It suffices to show that $\sigma(f)^{1/p}$ has degree p over $K_\wp(B[p^\nu])$ for all sufficiently large ν . Note that $K_\wp(B[p^\nu]) = K_\wp(\zeta, q^{1/p^\nu})$ where ζ is a primitive p^ν th root of unity (see [Lan87, Chapter 15, Theorem 3]).

Since $v_\wp(j(A)) = -t$, we have $v_\wp(q) = t$ (and by assumption $\gcd(p, t) = 1$). It follows that $\gcd(v_\wp(q), p^\nu) = 1$ and the order of q in $K_\wp^\times / K_\wp^{\times p^\nu}$ is p^ν .

Recall that by Proposition 1.4 we can write $\sigma(f)$ as $q^\mu(1 - uq)(1 - vq^2) = q^\mu(1 + wq)$ with $\mu \in \mathbb{Z}$, $u, w \in \mathcal{O}_\wp^\times$, and $v \in \mathcal{O}_\wp$. We claim that $\alpha := q^{-\mu}\sigma(f)$ has degree p^ν in $K_\wp^\times / K_\wp^{\times p^\nu}$. For suppose the contrary, i.e. $\alpha^{p^{\nu-1}} = \beta^{p^\nu}$ for some $\beta \in K_\wp$. Then $\beta^p = \xi\alpha$ with ξ a $p^{\nu-1}$ th root of unity and $\xi = \beta^p\alpha^{-1} \in K_\wp$. Since K_\wp cannot contain nontrivial p th roots of unity (or $p - 1$ would divide e), it follows that $\xi = 1$.

Hence $\alpha = \beta^p$. Let $\beta = 1 + b\pi$ for some $b \in \mathcal{O}_\wp$, π a uniformizer for \wp . By the binomial theorem,

$$(1 + b\pi)^p = \sum_{h=0}^p \binom{p}{h} b^h \pi^h,$$

so the terms in $\beta^p - 1$ have \wp -adic valuations in the list

$$p(\nu_\wp(b) + 1), \quad i(\nu_\wp(b) + 1) + e \quad \text{with } 1 \leq i \leq p - 1$$

and the minimum nonzero valuation is either $p(\nu_\wp(b) + 1)$ or $\nu_\wp(b) + 1 + e$ (and both cannot be equal, since that implies that $p - 1$ divides e). This value must equal t since we are assuming $\alpha = 1 + wq = \beta^p$, but t is not divisible by p by hypothesis, so the minimum valuation must be $t = \nu_\wp(b) + 1 + e$.

First suppose $t < e + 1$. This implies that $\nu_\wp(b) < 0$, which is contradictory since $b \in \mathcal{O}_\wp$. Otherwise $e + 1 \leq t < ep/(p - 1)$ and the fact that $\nu_\wp(b) + 1 + e < p(\nu_\wp(b) + 1)$ implies that

$$p > \frac{\nu_\wp(b) + 1 + e}{\nu_\wp(b) + 1}.$$

Substituting $\nu_\varphi(b) = t - e - 1$ we obtain $p > t/(t - e)$ and hence $t > ep/(p - 1)$ (since $t > e$), which contradicts our assumption on t . Therefore, we conclude that α is not a p th power.

REMARK 1.6. Using the φ -adic logarithm and exponential maps one can prove that if $\nu_\varphi(\gamma) > e + e/(p - 1)$ then $(1 + \gamma)^{1/p} \in K_\varphi$. So the bound on t in the theorem is best possible, at least for this method of proof.

Thus we have proved that the order of α in $K_\varphi^\times/K_\varphi^{\times p^\nu}$ is exactly p^ν . Therefore, the subgroup of $K_\varphi^\times/K_\varphi^{\times p^\nu}$ generated by the cosets of q and $\sigma(f)$ has order $p^{2\nu}$.

LEMMA 1.7. *Let L be a field with $\text{char}(L) = 0$, and let ζ be a primitive p^ν th root of unity. Let $M = L(\zeta)$. Then the following natural map is injective:*

$$L^\times/L^{\times p^\nu} \rightarrow M^\times/M^{\times p^\nu}.$$

We claim that Proposition 1.5 follows from the previous lemma (which we will prove below). Indeed, let $\mathbf{F}_\nu = K_\varphi(\zeta)$ where ζ is a primitive p^ν th root of unity. The natural map

$$K_\varphi^\times/K_\varphi^{\times p^\nu} \rightarrow \mathbf{F}_\nu^\times/\mathbf{F}_\nu^{\times p^\nu}$$

is injective by the previous lemma, so the image of the group generated by the cosets of q and $\sigma(f)$ also has order $p^{2\nu}$.

It follows that $[\mathbf{F}_\nu(q^{1/p^\nu}, \sigma(f)^{1/p^\nu}) : \mathbf{F}_\nu] = p^{2\nu}$ and we can deduce that

$$[\mathbf{F}_\nu(q^{1/p^\nu}, \sigma(f)^{1/p^\nu}) : \mathbf{F}_\nu(q^{1/p^\nu})] = p^\nu.$$

Hence $\sigma(f)^{1/p^\nu}$ has degree p^ν over $\mathbf{F}_\nu(q^{1/p^\nu}) = K_\varphi(B[p^\nu])$, so $\sigma(f)^{1/p}$ has degree p over $K_\varphi(B[p^\nu])$. ■

Proof of Lemma 1.7. As a consequence of Hilbert’s Theorem 90 we obtain:

$$H^1(\text{Gal}(\bar{L}/L), \mu_{p^\nu}) = L^\times/L^{\times p^\nu}, \quad H^1(\text{Gal}(\bar{M}/M), \mu_{p^\nu}) = M^\times/M^{\times p^\nu}.$$

Moreover, the natural map $L^\times/L^{\times p^\nu} \rightarrow M^\times/M^{\times p^\nu}$ corresponds to the restriction map in cohomology, which fits in the exact sequence

$$0 \rightarrow H^1(\text{Gal}(M/L), \mu_{p^\nu}) \rightarrow H^1(\text{Gal}(\bar{L}/L), \mu_{p^\nu}) \rightarrow H^1(\text{Gal}(\bar{M}/M), \mu_{p^\nu}).$$

Thus, in order to show that the map is injective, is enough to show that

$$H^1(\text{Gal}(M/L), \mu_{p^\nu}) = 0.$$

Since $M = L(\zeta)$ where ζ is a primitive p^ν th root of unity, we can think of $\text{Gal}(M/L)$ as a subgroup of $(\mathbb{Z}/p^\nu\mathbb{Z})^\times$ acting on $\mu_{p^\nu} \cong \mathbb{Z}/p^\nu\mathbb{Z}$ via multiplication, and to finish the proof, we must prove:

LEMMA 1.8. $H^1(G, \mathbb{Z}/p^\nu\mathbb{Z}) = 0$ for any $G \leq (\mathbb{Z}/p^\nu\mathbb{Z})^\times$.

Statements similar to this one can be found in the literature (see e.g. [Rub99, Lemma 6.1]), but for the convenience of the reader we include a proof of the precise statement needed here.

Proof. For this, let $\psi : G \rightarrow \mathbb{Z}/p^\nu\mathbb{Z}$ be a cocycle. We wish to prove that ψ is actually a coboundary. Since $G \leq (\mathbb{Z}/p^\nu\mathbb{Z})^\times$, G is cyclic, that is, $G = \langle a \rangle$ for some a . Moreover, suppose that the order of G is n_0 . Since ψ is a cocycle $\psi(1) = 0$ and, inductively, one can show that

$$\psi(a^t) = (a^{t-1} + a^{t-2} + \dots + 1)\psi(a) = \left(\frac{a^t - 1}{a - 1}\right)\psi(a).$$

Note that $1/(a - 1)$ might not make sense in $\mathbb{Z}/p^\nu\mathbb{Z}$, so we also let a be an integer representative of the congruence class, and we write $((a^t - 1)/(a - 1))$ for the congruence class of $(a^t - 1)/(a - 1) \in \mathbb{Z}$ modulo $p^\nu\mathbb{Z}$.

Note that n_0 , the order of G , divides $p^{\nu-1}(p - 1)$, the order of $(\mathbb{Z}/p^\nu\mathbb{Z})^\times$. First, suppose that $\gcd(n_0, p - 1) > 1$. Then $a \not\equiv 1 \pmod p$, since the elements which are congruent to 1 modulo p generate subgroups with order a power of p . Since $a \not\equiv 1 \pmod p$, $a - 1 \in (\mathbb{Z}/p^\nu\mathbb{Z})^\times$ and it follows that

$$(\clubsuit) \quad \psi(a^t) = \left(\frac{a^t - 1}{a - 1}\right)\psi(a) = (a^t - 1) \frac{\psi(a)}{a - 1} = a^t \frac{\psi(a)}{a - 1} - \frac{\psi(a)}{a - 1}$$

with $\psi(a)/(a - 1) \in \mathbb{Z}/p^\nu\mathbb{Z}$. Hence ψ is a coboundary in this case.

Only the case $n_0 = p^{\nu-m}$ remains, where m is an integer satisfying $1 \leq m < \nu$. This corresponds to the case $G = \{\alpha \in (\mathbb{Z}/p^\nu\mathbb{Z})^\times : \alpha \equiv 1 \pmod{p^m}\}$. Thus a , the chosen generator of G , satisfies $a \equiv 1 + up^m \pmod{p^\nu}$, with $u \not\equiv 0 \pmod p$. It suffices to show that $\psi(a) \equiv 0 \pmod{p^m}$ since that will imply that $\psi(a)/(a - 1) \in \mathbb{Z}/p^\nu\mathbb{Z}$ and we can proceed as in (\clubsuit) to prove that ψ is a coboundary. We start with

$$0 \equiv \psi(1) \equiv \psi(a \cdot a^{p^{\nu-m}-1}) \equiv \psi(a) + a \cdot \psi(a^{p^{\nu-m}-1}) \pmod{p^\nu}$$

and

$$\psi(a^{p^{\nu-m}-1}) \equiv \left(\frac{a^{p^{\nu-m}-1} - 1}{a - 1}\right)\psi(a) \pmod{p^\nu},$$

thus

$$(\boxtimes) \quad 0 \equiv \psi(a) + a \left(\frac{a^{p^{\nu-m}-1} - 1}{a - 1}\right)\psi(a) \pmod{p^\nu}.$$

It is easy to see that $(1 + up^\eta)^{p^\kappa} = 1 + u'p^{\eta+\kappa}$, with $u \equiv u' \pmod p$. Hence

$$a \left(\frac{a^{p^{\nu-m}-1} - 1}{a - 1}\right) = \frac{a^{p^{\nu-m}-1} - 1}{a - 1} - 1 \equiv p^{\nu-m} - 1 \pmod{p^{\nu+1}}$$

and the congruence remains true modulo p^ν . Finally, substituting in (\boxtimes) above, we obtain

$$0 \equiv \psi(a) + (p^{\nu-m} - 1)\psi(a) \equiv p^{\nu-m}\psi(a) \pmod{p^\nu}.$$

Therefore, $\psi(a) \equiv 0 \pmod{p^m}$, which concludes the proof of the lemma. ■

We have thus finished the proof of Theorem 1.1. ■

2. Example. Let $K = \mathbb{Q}(\sqrt{-11})$, $p = 11$ and set $\tau = (1 + \sqrt{-11})/2$. We write \wp for the unique prime ideal of K lying above 11, thus the ramification index $e = e(\wp | p)$ is 2. Let A/K be the curve

$$A: y^2 + (2\tau - 1)y = x^3 + \tau x^2, \quad j(A) = \frac{-61440 - 851968\tau}{11 \cdot 4931},$$

$$\Delta_A = -3795 - 352\tau, \quad N_{K/\mathbb{Q}}(\Delta_A) = 3^3 \cdot 11^2 \cdot 3941, \quad N_{K/\mathbb{Q}}(j(A)) = \frac{2^{24} \cdot 3^3}{11^2 \cdot 3941}.$$

In particular, $t = -v_\wp(j(A)) = 2$. Note that $e = 2$ is not divisible by $p - 1 = 10$; $\gcd(p, t) = \gcd(11, 2) = 1$ and $ep/(p - 1) = 11/5 > 2 = t$.

Hence it remains to check that the representation $\tilde{\rho}_A: \text{Gal}(\bar{K}/\tilde{K}) \rightarrow \text{SL}(2, \mathbb{F}_p)$ is surjective. In [Ser72, Proposition 19], J.-P. Serre gives conditions for a subgroup G of $\text{SL}(2, \mathbb{F}_p)$ to be the full group $\text{SL}(2, \mathbb{F}_p)$. We reproduce the result here for the reader's convenience:

PROPOSITION. *Suppose $p \geq 5$ and the following hypotheses are satisfied:*

- (1) *the subgroup G contains a matrix s_1 such that $\text{Tr}(s_1)^2 - 4 \det(s_1)$ is a nonzero quadratic residue modulo p , and $\text{Tr}(s_1) \not\equiv 0 \pmod{p}$;*
- (2) *G contains a matrix s_2 such that $\text{Tr}(s_2)^2 - 4 \det(s_2)$ is not a quadratic residue modulo p , and $\text{Tr}(s_2) \not\equiv 0 \pmod{p}$;*
- (3) *G contains a matrix s_3 such that $u = \text{Tr}(s_3)^2 / \det(s_3)$ is not 0, 1, 2 or 4 modulo p and $u^2 - 3u + 1 \not\equiv 0 \pmod{p}$.*

Then G is the full group $\text{SL}(2, \mathbb{F}_p)$.

Let $G < \text{SL}(2, \mathbb{F}_p)$ be the image of the representation $\tilde{\rho}_A$. Let S_A denote the set of all prime ideals of K such that A has bad reduction. S_A is the set of prime ideals which divide Δ_A , i.e. $S_A = \{3, 11, 3941\}$. Then, for every $\nu \notin S_A \cup \{\wp\}$, the image via $\tilde{\rho}_A$ of a Frobenius element $\pi_\nu \in \text{Gal}(\bar{K}/\tilde{K})$ is a matrix that we also denote by π_ν such that:

- (1) $\text{Tr}(\pi_\nu) \equiv a_\nu \pmod{p}$ where a_ν is the trace of the Frobenius automorphism of A at the place ν ;
- (2) $\det(\pi_\nu) \equiv \mathbf{N}(\nu) \pmod{p}$.

In order to conclude that $G = \text{SL}(2, \mathbb{F}_p)$ we exhibit three Frobenius elements s_1, s_2, s_3 ($s_3 = s_2$) that satisfy the conditions in the Proposition above. The trace of the Frobenius automorphism was calculated using the computer software PARI [Pari00].

- The prime number 5 is split in K . Let ν_5 be one of the prime ideals of K lying above 5 (so $\mathbf{N}(\nu_5) = 5$). The trace of the Frobenius automorphism is $a_{\nu_5} = -1$. Let $s_1 = \pi_{\nu_5}$. Then

$$\mathrm{Tr}(s_1)^2 - 4\det(s_1) \equiv (-1)^2 - 4 \cdot 5 \equiv -19 \equiv 5^2 \pmod{11}.$$

- The prime number 13 is inert in K . Let ν_{13} be the prime ideal of K lying above 13 (so $\mathbf{N}(\nu_{13}) = 169$). The trace of the Frobenius automorphism is $a_{\nu_{13}} = 10$. Let $s_2 = \pi_{\nu_{13}}$. Then

$$\mathrm{Tr}(s_2)^2 - 4\det(s_2) \equiv (10)^2 - 4 \cdot 169 \equiv -576 \equiv 7 \pmod{11}$$

and 7 is not a quadratic residue modulo 11.

- Let $s_3 = s_2$ and let $u = \mathrm{Tr}(s_3)^2/\det(s_3) \equiv \frac{100}{169} \equiv 3 \pmod{11}$. Then $u^2 - 3u + 1 \equiv 1 \pmod{11}$.

Therefore $\tilde{\varrho}_A$ is surjective and all conditions of Theorem 1.1 have been verified, thus the map $\varrho_A: \mathrm{Gal}(\overline{K}/\tilde{K}) \rightarrow \mathrm{SL}(2, \mathbb{Z}_{11}[[X]])$ is surjective. ■

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