

## On commuting properties of endomorphisms of formal $A$ -modules over finite fields

by

HUA-CHIEH LI (Taipei)

**1. Introduction.** There have recently been increasing studies of discrete dynamical systems relevant to  $p$ -adic numbers; see, for example, [1, 2, 10]. In [10], Lubin studied the iterations of analytic transformations of the  $p$ -adic open unit disk with a fixed point at 0 and found out that two commuting transformations have the same set of pre-periodic points. However, very few interesting commuting examples are known outside endomorphisms of formal groups. In fact, Lubin [10] conjectures that such a phenomenon is exclusive to endomorphisms of formal group laws. There are some dynamical system results built on these ideas (see [5, 7, 9]).

Throughout this paper  $\mathcal{O}$  is the ring of integers of a finite extension of  $\mathbb{Q}_p$  with maximal ideal  $\mathcal{M}$  and residue field  $k = \mathcal{O}/\mathcal{M}$ . We call a power series  $g(x) \in \mathcal{O}[[x]]$  *stable* if  $g(0) = 0$  and  $g'(0)$  is neither 0 nor a root of 1. As usual, we write  $h(g(x)) = h \circ g(x)$ ; in a less standard notation, we denote by  $g^{\circ n}(x)$  the  $n$ -fold composition of  $g(x)$  with itself; this makes sense for negative  $n$  in case  $g(x)$  is invertible.

Suppose  $F(x, y)$  is a formal group law over the characteristic 0 ring  $\mathcal{O}$ .  $F(x, y)$  is constructed by means of a series  $l(x)$  defined over the field of fractions of  $\mathcal{O}$ , so that

$$F(x, y) = l^{\circ -1}(l(x) + l(y)).$$

This series, the *logarithm* of  $F(x, y)$ , plays an important role in formal dynamics over  $\mathcal{O}$ . For example, for  $\alpha \in \mathcal{O}$  set  $g(x) = l^{\circ -1}(\alpha \cdot l(x))$ . Then  $g(x) \in \text{End}_{\mathcal{O}}(F)$  if and only if  $g(x) \in \mathcal{O}[[x]]$ . Hence, the map  $c$  from  $\text{End}_{\mathcal{O}}(F)$  to  $\mathcal{O}$  given by  $g(x) \mapsto g'(0)$  is an injective ring homomorphism and we will denote  $g(x)$  by  $[\alpha]_F(x)$ . Moreover, suppose that  $g(x)$  is stable. Then for  $h(x) \in \mathcal{O}[[x]]$ ,  $g(h(x)) = h(g(x))$  if and only if  $h(x)$  is an endomorphism of  $F(x, y)$ .

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2000 *Mathematics Subject Classification*: Primary 11S31, 16W60; Secondary 14L05, 37C25.

On the other hand, for a formal group over the field  $k$  of characteristic  $p$ , the situation changes. The logarithm, for example, does not exist under those circumstances and so the map  $c$  from  $\text{End}_k(F)$  to  $k$  given by  $g(x) \mapsto g'(0)$  is no longer injective and two endomorphisms are no longer commutative under composition.

Let  $F(x, y)$  be any formal group over  $\mathcal{O}$ . Denote by  $\bar{F}(x, y)$  the coefficient-wise reduction of  $F(x, y)$  to  $k$ . (For  $g(x) \in \mathcal{O}[[x]]$ , we will also denote by  $\bar{g}(x) \in k[[x]]$  the coefficient-wise reduction of  $g(x)$  to  $k$ .) Then  $\bar{F}(x, y)$  is clearly a formal group over  $k$ . Additionally, if  $F(x, y)$  has finite height, then the reduced map  $\text{End}_{\mathcal{O}}(F) \rightarrow \text{End}_k(\bar{F})$  is injective. In fact,  $\text{End}_k(\bar{F})$  can be a rather larger ring than its characteristic counterpart.

With Lubin's original conjecture in mind, and with some experimental evidence, Sarkis (see [11]) makes the following conjecture:

**CONJECTURE.** *Let  $k$  be a finite field and let  $\mathcal{F}(x, y)$  be a finite-height formal group over  $k$ . Let  $\mu(x)$  be a non-torsion automorphism of  $\mathcal{F}(x, y)$ . Suppose that  $\omega(x) \in k[[x]]$  and  $\mu(\omega(x)) = \omega(\mu(x))$ . Then  $\omega(x)$  is an endomorphism of  $\mathcal{F}(x, y)$ .*

We remark that the assumption of  $\mu(x)$  being a non-torsion automorphism is essential ([11]). There are some partial results supporting this conjecture. Let  $A$  be the ring of integers of some finite unramified extension of  $\mathbb{Q}_p$ . We can apply results in [3, Section 21.8] to show that if  $\mathcal{F}(x, y)$  is a formal  $A$ -module and  $\omega(x)$  commutes with all the endomorphisms of  $\mathcal{F}(x, y)$  which correspond to  $A$  (i.e. if  $\varrho_{\mathcal{F}} : A \rightarrow \text{End}(\mathcal{F})$  is the  $A$ -module structure on  $\mathcal{F}(x, y)$ , then  $\omega \circ \varrho_{\mathcal{F}}(\alpha) = \varrho_{\mathcal{F}}(\alpha) \circ \omega$  for all  $\alpha \in A$ ), then  $\omega(x)$  is an endomorphism of  $\mathcal{F}(x, y)$ . In [11, Theorem 46], Sarkis shows that if  $\mu(x)$  is a unit of  $\mathbb{Z}_p$  in the endomorphism ring of  $\mathcal{F}(x, y)$  (i.e.  $\mu(x) = [\alpha]_{\mathcal{F}}(x)$  with  $\alpha \in \mathbb{Z}_p^*$ ), then the conjecture is true.

**DEFINITION 1.1.** Let  $\mathcal{O}$  be the ring of integers of some finite extension of  $\mathbb{Q}_p$ . For an element  $\alpha \in \mathcal{O}^*$ , suppose that  $[\mathbb{Q}_p(\alpha) : \mathbb{Q}_p] = [\mathbb{F}_p(\bar{\alpha}) : \mathbb{F}_p] = n$ . Then we call  $\alpha$  a *primitive unramified element* of degree  $n$ .

Note that every unit in  $\mathbb{Z}_p$  is a primitive unramified element.

Our main aim is to state a generalization of Sarkis' theorem [11, Theorem 46] and Hazewinkel's results.

**MAIN THEOREM** (Theorem 3.2). *Let  $\alpha$  be a primitive unramified element which is not a root of 1 and let  $A = \mathbb{Z}[\alpha]$ . Suppose that  $(\mathcal{F}(x, y), \varrho_{\mathcal{F}})$  is a finite-height formal  $A$ -module over  $k$ . If  $\omega(x) \in k[[x]]$  satisfies*

$$\varrho_{\mathcal{F}}(\alpha) \circ \omega = \omega \circ \varrho_{\mathcal{F}}(\alpha),$$

*then  $\omega(x)$  is an endomorphism of  $\mathcal{F}(x, y)$ .*

Our approach uses a “not quite commutative” method developed by Honda [4]. In Section 2, we will describe some preliminary results about Honda’s method and about Hazewinkel’s functional equation lemma for constructing formal groups. Then in Section 3, we will give a detailed proof of the Main Theorem.

**2. Preliminaries on formal groups over finite fields.** In this section, we provide some necessary background for studying formal groups over the finite field  $k = \mathbb{F}_q$  where  $q = p^h$  for some prime number  $p$ . For simplicity, we only give results which will be needed later; see [3] for more details.

Let  $K$  be the unramified extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$  and maximal ideal  $\mathcal{M}$  such that the residue field  $\mathcal{O}/\mathcal{M}$  is  $k$ . Let  $A$  be a subring of  $\mathcal{O}$  and let  $\mathcal{F}(x, y)$  be a formal  $A$ -module over  $k$ . By the existence of a universal formal  $A$ -module (see [3]), there exists a formal  $A$ -module  $F(x, y)$  over  $\mathcal{O}$  that reduces modulo  $\mathcal{M}$  to  $\mathcal{F}(x, y)$ . Hence, throughout this section, we use the following setting:  $K$  is the unramified extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$  and maximal ideal  $\mathcal{M}$  such that  $\mathcal{O}/\mathcal{M} = k$ , and  $\sigma \in \text{Gal}(K/\mathbb{Q}_p)$  is the Frobenius automorphism of  $K$  over  $\mathbb{Q}_p$ .

In [3], Hazewinkel gives a method of constructing formal groups by means of a certain recursive procedure. In our case, every formal group law  $F(x, y)$  over  $\mathcal{O}$  is a functional equation formal group law ([3, Proposition 20.1.3]). In other words, there exists  $\{s_1, s_2, \dots\} \subset \mathcal{O}$  such that the logarithm  $l(x) \in K[[x]]$  of  $F(x, y)$  satisfies the recursion formula

$$l(x) = g(x) + \frac{1}{p} \sum_{i=1}^{\infty} s_i \sigma_*^i l(x^{p^i}),$$

where  $g(x) \in \mathcal{O}[[x]]$  and  $\sigma_*^i l(x)$  is the power series obtained from  $l(x)$  by applying the automorphism  $\sigma^i$  to the coefficients of  $l(x)$ . We remark that the equation above is in fact a recursion formula for the coefficients of  $l(x)$ . Indeed, let

$$g(x) = \sum_{i=1}^{\infty} c_i x^i \quad \text{and} \quad l(x) = \sum_{i=1}^{\infty} a_i x^i.$$

Then the  $a_n$ ,  $n = 1, 2, \dots$ , are recursively determined as follows. Write  $n = p^r m$  where  $m$  is such that  $p$  does not divide  $m$ . Then we have

$$a_n = c_n + \frac{s_1}{p} \sigma(a_{n/p}) + \dots + \frac{s_r}{p} \sigma^r(a_{n/p^r}).$$

Moreover,  $F(x, y)$  is strictly isomorphic to a  $p$ -typical formal group law with logarithm  $L(x) \in K[[x]]$  satisfying the recursion formula

$$L(x) = x + \frac{1}{p} \sum_{i=1}^{\infty} s_i \sigma_*^i L(x^{p^i}).$$

In this case,  $L(x)$  can be written as

$$L(x) = \sum_{i=0}^{\infty} b_i x^{p^i},$$

and we have

$$b_0 = 1, \quad b_r = \frac{s_1}{p} \sigma(b_{r-1}) + \cdots + \frac{s_{r-1}}{p} \sigma^{r-1}(b_1) + \frac{s_r}{p}.$$

We let  $K_\sigma[[T]]$  be the non-commutative power series ring in one indeterminate  $T$  with the multiplication rule  $Ta = \sigma(a)T$  for all  $a \in K$ , and let  $\mathcal{O}_\sigma[[T]]$  be the subring of  $K_\sigma[[T]]$  with coefficients in  $\mathcal{O}$ . Let

$$\eta = \sum_{i=0}^{\infty} c_i T^i \in K_\sigma[[T]], \quad f(x) = \sum_{j=1}^{\infty} a_j x^j \in K[[x]].$$

We define

$$\eta * f(x) = \sum_{i=0}^{\infty} c_i \sum_{j=1}^{\infty} \sigma^i(a_j) (x^{p^i})^j.$$

It is obvious from the definition that for  $\eta, \theta \in K_\sigma[[T]]$  and  $f(x) \in K[[x]]$ ,

$$(\eta + \theta) * f(x) = \eta * f(x) + \theta * f(x), \quad (\eta\theta) * f(x) = \eta * (\theta * f(x)).$$

Now let

$$\eta = p - \sum_{i=1}^{\infty} s_i T^i \in \mathcal{O}_\sigma[[T]].$$

We calculate that the coefficients  $b_i$  of  $\eta^{-1}p = \sum_{i=0}^{\infty} b_i T^i$  satisfy

$$b_0 = 1, \quad b_r = \frac{s_1}{p} \sigma(b_{r-1}) + \cdots + \frac{s_{r-1}}{p} \sigma^{r-1}(b_1) + \frac{s_r}{p}.$$

Comparing this with the recursive relation of the functional equation lemma for the logarithm, we have

$$(\eta^{-1}p) * i(x) = L(x), \quad \text{where } i(x) = x.$$

As mentioned before, the functional equation techniques can be used to study endomorphisms of formal group laws over characteristic 0 rings. For example,  $f(x) \in \mathcal{O}[[x]]$  is an endomorphism of a formal group over  $\mathcal{O}$  with logarithm  $l(x)$  if and only if  $l^{\circ-1}(f'(0) \cdot l(x)) \in \mathcal{O}[[x]]$ . For endomorphisms of formal group laws over finite fields, Honda [4] gives the following similar results:

**LEMMA 2.1.** *Let  $F(x, y)$  be the formal group law over  $\mathcal{O}$  with logarithm  $l(x)$  satisfying the recursion formula*

$$l(x) = x + \frac{1}{p} \sum_{i=1}^{\infty} s_i \sigma_*^i l(x^{p^i}).$$

Let  $\vartheta \in \mathcal{O}_\sigma[[T]]$  and let

$$\eta = p - \sum_{i=1}^{\infty} s_i T^i.$$

- (1) Set  $\alpha_\vartheta(x) = l^{\circ-1}((\vartheta * l)(x))$ . Then  $\alpha_\vartheta(x) \in \mathcal{O}[[x]]$  if and only if there exists an  $\eta_\vartheta \in \mathcal{O}_\sigma[[T]]$  such that  $\eta_\vartheta \eta = \eta \vartheta$ .
- (2) If  $\alpha_\vartheta(x) \in \mathcal{O}[[x]]$ , then reducing modulo  $\mathcal{M}$ ,  $\bar{\alpha}_\vartheta(x)$  is an endomorphism of  $\bar{F}(x, y)$ .
- (3) If  $\vartheta_1, \vartheta_2 \in \mathcal{O}_\sigma[[T]]$  and  $\alpha_{\vartheta_1}, \alpha_{\vartheta_2} \in \mathcal{O}[[x]]$ , then

$$\bar{\alpha}_{\vartheta_1 \vartheta_2}(x) = \bar{\alpha}_{\vartheta_1}(\bar{\alpha}_{\vartheta_2}(x)).$$

- (4) If  $\vartheta \in \mathcal{O}_\sigma[[T]]$  and  $\alpha_\vartheta \in \mathcal{O}[[x]]$ , then  $\bar{\alpha}_\vartheta(x) = 0$  if and only if  $\vartheta$  is in the right ideal of  $\mathcal{O}_\sigma[[T]]$  generated by  $\eta$ .
- (5) Every element of  $\text{End}_k(\bar{F}(x, y))$  is of the form  $\bar{\alpha}_\vartheta(x)$  for some  $\vartheta \in \mathcal{O}_\sigma[[T]]$ .

Lemma 2.1 provides us an explicit method to describe endomorphisms of a formal group law over a finite field.

EXAMPLE 2.2. Consider the formal group  $F_h(x, y)$  with logarithm

$$l_h(x) = x + \frac{1}{p} x^{p^h} + \frac{1}{p^2} x^{p^{2h}} + \dots$$

Clearly,  $F_h(x, y)$  is a formal group of height  $h$  defined over  $\mathbb{Z}_p$ . Let  $K$  be the unramified extension of  $\mathbb{Q}_p$  of degree  $h$ . Considering  $\eta = p - T^h \in \mathcal{O}_\sigma[[T]]$ , we have  $l_h(x) = (\eta^{-1} p) * i(x)$ . It is easy to see that for every  $\vartheta \in \mathcal{O}_\sigma[[T]]$ ,  $\eta \vartheta = \vartheta \eta$ . Therefore, by Lemma 2.1,  $\text{End}_{\mathbb{F}_{p^h}}(\bar{F}_h(x, y))$  is isomorphic to the non-commutative ring  $\mathcal{O}_\sigma[[T]]/(\eta)$ . Let  $K^{\text{nr}}$  be the maximal unramified extension of  $K$  and let  $\mathcal{O}^{\text{nr}}$  be the integral closure of  $\mathcal{O}$  in  $K^{\text{nr}}$ . It is easy to see that the only series  $\theta \in \mathcal{O}_\sigma^{\text{nr}}[[T]]$  with  $\eta \theta \eta = \eta \theta$  for some  $\eta_\theta \in \mathcal{O}_\sigma^{\text{nr}}[[T]]$  are the series in  $\mathcal{O}_\sigma[[T]]$ . Therefore, by Lemma 2.1 again, we have

$$E_h = \text{End}_{k^{\text{sc}}}(\bar{F}_h(x, y)) = \text{End}_k(\bar{F}_h(x, y)),$$

where  $k^{\text{sc}}$  is the separable closure of  $k = \mathbb{F}_{p^h}$  corresponding to the residue field of  $\mathcal{O}^{\text{nr}}$ . It can be checked that  $E_h$  is a free module of rank  $h^2$  over  $\mathbb{Z}_p$ . Moreover, if we consider  $D_h = E_h \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ , then  $D_h$  is a central division algebra over  $\mathbb{Q}_p$  of invariant equal to  $h^{-1}$ .

We remark that we are interested in this example because over a separably closed field of characteristic  $p > 0$ , the one-dimensional formal group laws are classified by their heights ([6, Theorem IV] or [3, Theorem 19.4.1]). In other words, every one-dimensional formal group law over a separably closed field of characteristic  $p > 0$  of height  $h$  is isomorphic to  $\bar{F}_h(x, y)$ .

**3. Main Theorem.** Suppose that  $\alpha$  is a primitive unramified element and  $A = \mathbb{Z}[\alpha]$ . Recall that if  $(\mathcal{F}(x, y), \varrho_{\mathcal{F}})$  is a finite-height formal  $A$ -module over  $k$ , then  $\mathcal{F}(x, y)$  is actually a reduction of a formal group. More precisely, there exists a field  $K$  which is an unramified extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$  and maximal ideal  $\mathcal{M}$  such that the residue field  $\mathcal{O}/\mathcal{M}$  is  $k$  and there exists a formal group law  $F(x, y)$  over  $\mathcal{O}$  such that  $\overline{F}(x, y) = \mathcal{F}(x, y)$  and  $\overline{[\alpha]}_F(x) = \varrho_{\mathcal{F}}(\alpha)$ .

First we remark that although  $\varrho_{\mathcal{F}}(\alpha) \in k[[x]]$  is of the form  $\overline{[\alpha]}_F(x)$ , if  $G(x, y) \in \mathcal{O}[[x, y]]$  is another formal group law such that  $\overline{F}(x, y) = \overline{G}(x, y)$ , it does not mean that  $\varrho_{\mathcal{F}}(\alpha) = \overline{[\alpha]}_G(x)$ .

Because all the formal groups over  $k$  of the same height are isomorphic over  $k^{\text{sc}}$ , without loss of generality, we can suppose that  $\varrho_{\mathcal{F}}(\alpha) = \overline{[\alpha]}_F(x)$  where  $\overline{F}(x, y) = \overline{F}_h(x, y)$ . ( $F_h(x, y)$  is the formal group defined in Example 2.2.) By the remark above,  $\varrho_{\mathcal{F}}(\alpha)$  may not be equal to  $\overline{[\alpha]}_{F_h}(x)$ . But if we consider  $\text{End}(\overline{F}_h(x, y))$  as the maximal order of a central division algebra  $D$  over  $\mathbb{Q}_p$  of rank  $h$ , then  $\varrho_{\mathcal{F}}(\alpha)$  and  $\overline{[\alpha]}_{F_h}(x)$  are in  $L_1$  and  $L_2$  respectively, where  $L_1$  and  $L_2$  are subfields of  $D$  which are unramified of the same degree over  $\mathbb{Q}_p$ . By the Skolem–Noether theorem, there exists  $\gamma \in D$  such that  $\gamma^{-1} \cdot \varrho_{\mathcal{F}}(\alpha) \cdot \gamma = \overline{[\alpha]}_{F_h}(x)$ . If  $\omega(x)$  commutes with  $\varrho_{\mathcal{F}}(\alpha)$ , then  $\gamma^{-1} \cdot \omega(x) \cdot \gamma$  also commutes with  $\overline{[\alpha]}_{F_h}(x)$ . If we can show that  $\gamma^{-1} \cdot \omega(x) \cdot \gamma$  is an endomorphism of  $\overline{F}_h(x, y)$  (in other words,  $\gamma^{-1} \cdot \omega(x) \cdot \gamma$  is in the maximal order of  $D$ ), then  $\omega(x)$  is also in the maximal order of  $D$  and hence is an endomorphism of  $\overline{F}(x, y) = \overline{F}_h(x, y)$ . Therefore without loss of generality, we assume that  $\varrho_{\mathcal{F}}(\alpha) = \overline{[\alpha]}_{F_h}(x)$  and  $\varrho_{\mathcal{F}}(\alpha) \circ \omega = \omega \circ \varrho_{\mathcal{F}}(\alpha)$ , and claim that  $\omega(x)$  is an endomorphism of  $\overline{F}_h(x, y)$ .

Let  $l(x)$  be the logarithm of  $F_h(x, y)$ . Our goal is to show that there exists  $\eta \in \mathcal{O}_{\sigma}[[T]]$  such that  $l^{\circ-1}(\eta * l(x))$  is equal to  $\omega(x)$  after reducing modulo  $\mathcal{M}$ .

**LEMMA 3.1.** *Let  $\mu(x) = \overline{[\alpha]}_{F_h}(x) \in k[[x]]$  where  $\alpha$  is primitive unramified of degree  $s$  and  $k = \mathbb{F}_{p^h}$ . Suppose that  $f(x) \in k^{\text{sc}}[[x]]$  is such that  $f(\mu(x)) = \mu(f(x))$ . Then  $f(x) \equiv ax^{p^r} \pmod{x^{p^r+1}}$  with  $a \in k \setminus \{0\}$  and  $r = \lambda s$  for some  $\lambda \in \mathbb{N} \cup \{0\}$ .*

*Proof.* By a similar proof to Lubin’s [10, Main Theorem 6.3], we see that  $f(x) = g(x^{p^r})$  for some  $g(x) \in k^{\text{sc}}[[x]]$  with  $g'(0) \neq 0$ .

Since  $\mu'(0) \in k \setminus \{0\}$ , by iterating  $\mu(x)$  a certain number of times (say  $m$  times), we can suppose that  $f(x)$  commutes with  $\xi(x) = \mu^{\circ m}(x)$  where

$$\xi(x) \equiv x + b_0 x^{p^t} \pmod{x^{p^t+1}} \quad \text{for some } b_0 \in k \text{ and } t \in \mathbb{N},$$

and

$$\xi^{\circ p}(x) \equiv x + b_1 x^{p^{t+h}} \pmod{x^{p^{t+h}+1}} \quad \text{for some } b_1 \in k.$$

(This can be seen by using the fact that  $[\alpha]_{F_h}(x)$  is an endomorphism of  $F_h(x, y)$  or the fact that  $\text{Height}([\alpha]_{F_h}(x)) = h$  as in [8].)

Now write  $f(x) \equiv ax^{p^r} \pmod{x^{p^r+1}}$ . The coefficient of the leading term of  $f(\mu(x))$  is  $a\bar{\alpha}^{p^r}$  and the coefficient of the leading term of  $\mu(f(x))$  is  $a\bar{\alpha}$ . This shows that  $\alpha \equiv \alpha^{p^r} \pmod{\mathcal{M}}$ . Since  $\alpha$  is primitive unramified of degree  $s$ , it implies that  $r = \lambda s$  for some  $\lambda \in \mathbb{N} \cup \{0\}$ .

Finally, we claim that  $a \in k$ . Consider the equality  $\xi(f(x)) = f(\xi(x))$ . Since

$$f(\xi(x)) - f(x) = g((\xi(x))^{p^r}) - g(x^{p^r}) = g'(0) \cdot (b_0 x^{p^t})^{p^r} + \text{higher terms},$$

the coefficient of the leading term of  $f(\xi(x)) - f(x)$  is  $ab_0^{p^r}$ . Since  $F_h(x, y) \in \mathbb{Z}_p[[x, y]]$ , we have  $[\alpha]_{F_h}(x) \in \mathbb{Z}_p[\alpha][[x]]$  and hence  $\mu(x) = [\bar{\alpha}]_{F_h}(x) \in \mathbb{F}_{p^s}[[x]]$  (so that  $\xi(x) \in \mathbb{F}_{p^s}[[x]]$ ). In other words,

$$b_0^{p^r} = b_0^{p^{s\lambda}} = b_0.$$

Therefore, the leading coefficient of  $f(\xi(x)) - f(x)$  is  $ab_0$ . On the other hand, the leading coefficient of  $\xi(f(x)) - f(x)$  is  $a^{p^t}b_0$ . Since

$$f(\xi(x)) - f(x) = \xi(f(x)) - f(x),$$

we have  $a^{p^t} = a$ . Similarly, by considering

$$f(\xi^{\circ p}(x)) - f(x) = \xi^{\circ p}(f(x)) - f(x),$$

we obtain  $a^{p^{t+h}} = a$ . Therefore  $a^{p^h} = a$ , and hence  $a \in k$ . ■

Now we have all the ingredients to prove our main theorem.

**THEOREM 3.2.** *Let  $\mathcal{F}(x, y)$  be a finite-height formal group over  $k$  and let  $\mu(x)$  be a non-torsion automorphism of  $\mathcal{F}(x, y)$ . Suppose that there exists a formal group  $F(x, y) \in \mathcal{O}[[x, y]]$  such that  $\bar{F}(x, y) = \mathcal{F}(x, y)$  and  $\mu(x) = [\alpha]_F(x)$  with  $\alpha$  a primitive unramified element. If  $\omega(x) \in k^{\text{sc}}[[x]]$  satisfies  $\mu(\omega(x)) = \omega(\mu(x))$ , then  $\omega(x)$  is also an endomorphism of  $\mathcal{F}(x, y)$ .*

*Proof.* Suppose that the height of  $\mathcal{F}(x, y)$  is  $h$ . As mentioned before, without loss of generality, we can assume that  $F(x, y) = F_h(x, y)$ ,  $\mathcal{F}(x, y) = \bar{F}_h(x, y)$  and  $K$  is unramified over  $\mathbb{Q}_p$  of degree  $h$  with ring of integers  $\mathcal{O}$  and maximal ideal  $\mathcal{M}$  such that  $\mathcal{O}/\mathcal{M} = k = \mathbb{F}_{p^h}$ .

Let  $l(x)$  be the logarithm of  $F_h(x, y)$  and let  $u(x) = l^{\circ-1}(\alpha \cdot l(x)) = [\alpha]_{F_h}(x)$ . Then we have  $\mu(x) = \bar{u}(x)$ . Suppose that  $\omega(x)$  commutes with  $\mu(x)$ . To prove our theorem, by Lemma 2.1, it is enough to show that there exists  $\theta \in \mathcal{O}_\sigma[[T]]$  such that  $f(x) = l^{\circ-1}(\theta * l(x))$  and  $\omega(x) = \bar{f}(x)$ .

Suppose that  $\alpha$  is a primitive unramified element of degree  $s$ . Let  $\omega(x) \equiv a_0 x^n \pmod{x^{n+1}}$  with  $a_0 \neq 0$ . Then by Lemma 3.1, we have  $a_0 \in k$  and  $n = p^{\lambda s}$ . Choose any  $\alpha_0 \in \mathcal{O}$  such that  $a_0 = \bar{\alpha}_0$  and let  $\theta_0 = -\alpha_0 T^{\lambda s} \in \mathcal{O}_\sigma[[T]]$ . By Example 2.2, we have  $l^{\circ-1}(\theta_0 * l(x)) \in \mathcal{O}[[x]]$ . Let  $\tau_0(x) \in k[[x]]$  be such

that  $\tau_0(x) = \overline{l^{\circ-1}(\theta_0 * l(x))}$ . Consider

$$\omega_1(x) = \mathcal{F}(\omega(x), \tau_0(x)).$$

We have

$$\begin{aligned}\omega_1(\mu(x)) &= \mathcal{F}(\omega(\mu(x)), \tau_0(\mu(x))), \\ \mu(\omega_1(x)) &= \mu(\mathcal{F}(\omega(x), \tau_0(x))) = \mathcal{F}(\mu(\omega(x)), \mu(\tau_0(x))).\end{aligned}$$

Since  $\sigma^s(\alpha) = \alpha$ , we have

$$\theta_0 \cdot \alpha = -\alpha_0 \sigma^{\lambda s}(\alpha) T^{\lambda s} = -\alpha_0 \alpha T^{\lambda s} = \alpha \cdot \theta_0$$

in  $\mathcal{O}_\sigma[[T]]$ , and hence Lemma 2.1 says that  $\tau_0(\mu(x)) = \mu(\tau_0(x))$ . This implies that  $\omega_1(\mu(x)) = \mu(\omega_1(x))$ . It is clear that the initial degree of  $\omega_1(x)$  is greater than the initial degree of  $\omega$ . The proof is completed by induction. ■

The series  $\mu(x)$  in Theorem 3.2 is a reduction of an endomorphism of a formal group over  $\mathcal{O}$ . The series  $\omega(x)$  may not be a reduction of an endomorphism. However, our next result shows that under a certain condition,  $\omega(x)$  does come from an endomorphism over  $\mathcal{O}$ .

**COROLLARY 3.3.** *Let  $K$  be unramified over  $\mathbb{Q}_p$  of degree  $h$ . Let  $\mathcal{F}(x, y)$  be a formal group over  $k$  of height  $h$  and let  $F(x, y)$  be a formal group over  $\mathcal{O}$  such that  $\overline{F}(x, y) = \mathcal{F}(x, y)$ . Suppose that  $\mu(x) = \overline{[\alpha]}_F(x)$  is a non-torsion automorphism of  $\mathcal{F}(x, y)$  with  $\alpha$  a primitive unramified element of degree  $h$  and suppose that  $\omega(x) \in k^{\text{sc}}[[x]]$  is such that  $\mu(\omega(x)) = \omega(\mu(x))$ . Then  $\omega(x) = \overline{[\beta]}_F(x)$  for some  $\beta \in \mathcal{O}$ .*

*Proof.* Without loss of generality, we can assume that  $F(x, y) = F_h(x, y)$  and  $\mathcal{F}(x, y) = \overline{F}_h(x, y)$ . We use the same notations as in the proof of Theorem 3.2.

From Theorem 3.2, there exists  $\theta \in \mathcal{O}_\sigma[[T]]$  such that  $f(x) = l^{\circ-1}(\theta * l(x))$  and  $\omega(x) = \overline{f}(x)$ . The commutativity of  $\mu(x)$  and  $\omega(x)$  also implies that  $\theta \cdot \alpha = \alpha \cdot \theta$  in  $\mathcal{O}_\sigma[[T]]$ . Suppose that

$$\theta \equiv a_0 + a_1 T + \cdots + a_{h-1} T^{h-1} \pmod{(p - T^h)}$$

in  $\mathcal{O}_\sigma[[T]]$ . We have

$$\begin{aligned}\theta \cdot \alpha &\equiv a_0 \alpha + a_1 \sigma(\alpha) T + \cdots + a_{h-1} \sigma^{h-1}(\alpha) T^{h-1} \pmod{(p - T^h)}, \\ \alpha \cdot \theta &\equiv \alpha a_0 + \alpha a_1 T + \cdots + \alpha a_{h-1} T^{h-1} \pmod{(p - T^h)}.\end{aligned}$$

The assumption that  $\alpha$  is primitive unramified of degree  $h$  implies that  $\theta \equiv a_0 \pmod{(p - T^h)}$  and hence by Lemma 2.1,

$$\omega(x) = \overline{f}(x) = \overline{l^{\circ-1}(\theta * l(x))} = \overline{l^{\circ-1}(a_0 \cdot l(x))} = \overline{[a_0]}_F(x). \quad \blacksquare$$

**REMARK 3.4.** Let  $A = \mathcal{O}$ . In the language of formal  $A$ -modules, the condition in Corollary 3.3 says that  $\mathcal{F}(x, y)$  is a formal  $A$ -module of  $A$ -height equal to 1. Therefore, every  $A$ -endomorphism actually comes from  $A$ .

Finally, we remark that the hypothesis on  $\mu(x)$  can be weakened. Consider a non-primitive unramified unit  $\alpha = \alpha_0 + p^n \alpha_1$  with  $n \in \mathbb{N}$  and  $\alpha_0, \alpha_1$  satisfying the following:

1.  $\alpha_1$  is primitive.
2.  $\alpha_0$  is a unit such that  $\alpha_0 \in \mathbb{Z}_p[\alpha_1]$  and  $\alpha_0^r \equiv 1 \pmod{p^n}$ , where  $r$  is the least positive integer such that  $\overline{\alpha_0}^r = 1$  in  $k$  (i.e.  $r$  is the order of  $\overline{\alpha_0}$  in  $k$ ).

Then, for any non-negative integer  $s$ , we have  $\alpha^{p^s r} = 1 + p^{s+n} \alpha'$ , with  $\alpha'$  a primitive unramified element of the same degree as  $\alpha_1$ . Therefore, by using a similar argument to the proof of Theorem 3.2, we can show that if  $\mu(x) = \overline{[\alpha]}_F(x)$  is a non-torsion automorphism of  $\mathcal{F}(x, y)$ , and  $\omega(x) \in k^{\text{sc}}[[x]]$  satisfies  $\mu(\omega(x)) = \omega(\mu(x))$ , then  $\omega(x)$  is also an endomorphism of  $\mathcal{F}(x, y)$ .

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Department of Mathematics  
National Taiwan Normal University  
88, Sec. 4, Ting Chou Road  
Taipei, Taiwan, R.O.C.  
E-mail: li@math.ntnu.edu.tw

Received on 14.12.2004  
and in revised form on 17.6.2005

(4904)