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## A hybrid of theorems of Goldbach and Piatetski-Shapiro

by

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**1. Introduction.** It is well known that almost all sufficiently large even integers can be written as a sum of two primes. We state this in the form that for almost all sufficiently large even integer n,

(1.1) 
$$\sum_{n=p_1+p_2} (\log p_1)(\log p_2) = (1+o(1))C(n)n,$$

where

(1.2) 
$$C(n) = \frac{n}{\phi(n)} \prod_{p \nmid n} \left( 1 - \frac{1}{(p-1)^2} \right).$$

It is interesting to find more familiar thin sets of primes which serve this purpose. An example is the set of Piatetski-Shapiro primes of type  $\gamma$  which are of the form  $[n^{1/\gamma}]$ . We denote this set by  $P_{\gamma}$ .

For the counting function of  $P_{\gamma}$ , Piatetski-Shapiro [8] first proved that for  $11/12 < \gamma \leq 1$  (the case  $\gamma > 1$  is trivial),

(1.3) 
$$P_{\gamma}(x) = \sum_{\substack{p \le x \\ p = [n^{1/\gamma}]}} 1 = (1 + o(1)) \frac{x^{\gamma}}{\log x}.$$

Heath-Brown [3] extended the range to  $662/755 < \gamma \leq 1$ . The best result is due to Liu and Rivat [6].

In this paper we shall apply the sieve method combined with the circle method to prove the following theorems.

THEOREM 1. If  $\gamma$  is fixed with  $8/9 < \gamma \leq 1$ , then for almost all sufficiently large even integers n,

$$T_1(n) = \frac{1}{\gamma} \sum_{\substack{p_1 + p_2 = n \\ p_1 \in P_{\gamma}}} p_1^{1-\gamma} (\log p_1) (\log p_2) = (1 + o(1))C(n)n.$$

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THEOREM 2. If  $\gamma_1, \gamma_2$  are fixed with  $27/29 < \gamma_i \leq 1$ , then for almost all sufficiently large even integers n,

$$T_2(n) = \frac{1}{\gamma_1 \gamma_2} \sum_{\substack{p_1 + p_2 = n \\ p_i \in P_{\gamma_i}}} p_1^{1 - \gamma_1} p_2^{1 - \gamma_2} (\log p_1) (\log p_2) = (1 + o(1))C(n)n$$

THEOREM 3. If  $\gamma$  is fixed with  $21/23 < \gamma \leq 1$ , then for almost all sufficiently large even integers n,

$$T(n) = \sum_{\substack{p_1 + p_2 = n \\ p_i \in P_{\gamma}}} 1 \ge \frac{\varrho_0 C(n) n^{2\gamma - 1}}{\log^2 n},$$

where  $\rho_0$  is a definite positive constant.

Throughout this paper, we always assume that n, N are sufficiently large even integers and  $\varepsilon$  is a sufficiently small positive constant. Assume that  $c, c_1, c_2$  are positive constants which have different values at different places.  $m \sim M$  means that there are positive constants  $c_1$  and  $c_2$  such that  $c_1M < m \le c_2M$ . We also assume that  $\gamma$  is fixed with  $21/23 < \gamma \le 1$  and that (1.4)  $N(d) = [-d^{\gamma}] - [-(d+1)^{\gamma}].$ 

2. Some preliminary lemmas. In the following, we assume that (2.1)  $H = N^{1-\gamma+\Delta+8\varepsilon}.$ 

By the discussion in [1], the asymptotic formula, valid for  $0 \leq \Delta \leq 1 - \gamma$ ,

(2.2) 
$$\sum_{N/10$$

depends on the fact that for  $J \leq H$  and  $0 \leq u \leq 1$ ,

(2.3) 
$$\min\left(1,\frac{N^{1-\gamma}}{J}\right)\sum_{h\sim J}\left|\sum_{n\sim N}\Lambda(n)e(\alpha n+h(n+u)^{\gamma})\right|\ll N^{1-\Delta-6\varepsilon}.$$

LEMMA 1 ([1, Proposition 2]). Assume that  $N^{1-\gamma+2\Delta+30\varepsilon} \ll M \ll N^{5\gamma-4-6\Delta-120\varepsilon}$  and that a(m), b(k) = O(1). Then for  $J \leq H$  and  $0 \leq u \leq 1$ , we have

$$\min\left(1,\frac{N^{1-\gamma}}{J}\right)\sum_{h\sim J}\left|\sum_{m\sim M}\sum_{km\sim N}a(m)b(k)e(\alpha km+h(km+u)^{\gamma})\right|\ll N^{1-\Delta-10\varepsilon}.$$

LEMMA 2 ([1, Proposition 3]). Assume that  $M \ll N^{4\gamma-3-5\Delta-50\varepsilon}$ , a(m) = O(1) and

(2.4) 
$$6(1-\gamma) + \frac{19}{3}\Delta < 1.$$

Then for 
$$J \leq H$$
 and  $0 \leq u \leq 1$ , we have  

$$\min\left(1, \frac{N^{1-\gamma}}{J}\right) \sum_{h \sim J} \left|\sum_{m \sim M} a(m) \sum_{km \sim N} e(\alpha km + h(km + u)^{\gamma})\right| \ll N^{1-\Delta-10\varepsilon}.$$

LEMMA 3. We have

(2.5) 
$$\sum_{N/10$$

*Proof.* Taking  $\Delta = 0$  and  $V = N^{1-\gamma+30\varepsilon}$  in the proof of Lemma 4 of [5] yields the assertion.

We define w(u) as the continuous solution of the equations

(2.6) 
$$w(u) = 1/u, \qquad 1 \le u \le 2,$$

(2.7) 
$$(uw(u))' = w(u-1), \quad u > 2.$$

w(u) is called *Buchstab's function*; it plays an important role in finding asymptotic formulas in the sieve method. In particular,

(2.8) 
$$w(u) = \begin{cases} \frac{1 + \log(u - 1)}{u}, & 2 \le u \le 3, \\ \frac{1 + \log(u - 1)}{u} + \frac{1}{u} \int_{2}^{u - 1} \frac{\log(t - 1)}{t} dt, & 3 \le u \le 4. \end{cases}$$

LEMMA 4 ([5, Lemma 8]). We have the following bounds:

- (1)  $w(u) \ge 0.5607$  for  $u \ge 2.47$ ;
- (2)  $w(u) \le 0.5644$  for  $u \ge 3$ .

LEMMA 5 ([5, Lemma 9]). Assume that  $\mathcal{E} = \{n : x < n \leq 2x\}$  and that  $z \leq x$ . Let

$$P(z) = \prod_{p < z} p.$$

Then for sufficiently large x and z, we have

$$S(\mathcal{E}, z) = \sum_{\substack{x < n \le 2x \\ (n, P(z)) = 1}} 1 = \left( w \left( \frac{\log x}{\log z} \right) + O(\varepsilon) \right) \frac{x}{\log z}.$$

**3.** The proofs of Theorems 1 and 2. The reduction of Theorems 1 and 2 to the estimate (1.1) is by means of the identity

(3.1) 
$$f_1 f_2 - g_1 g_2 = (f_1 - g_1) f_2 + g_1 (f_2 - g_2).$$

We let  $g_1 = g_2 = g = \sum_{p \le n} e(\alpha p) \log p$ . Then the sum in (1.1) is given by

$$R(n) = \int_{0}^{1} g^{2}(\alpha) e(-\alpha n) \, d\alpha.$$

We let, for  $1 \leq i \leq 2$ ,

$$f_i(\alpha) = \frac{1}{\gamma_i} \sum_{p < n} N(p) e(\alpha p) p^{1 - \gamma_i} \log p,$$

and

$$T(n) = \int_{0}^{1} f_1(\alpha) f_2(\alpha) e(-\alpha n) \, d\alpha.$$

Thus T(n) = R(n) + E, where  $E = \int_0^1 (f_1 f_2 - g_1 g_2) e(-\alpha n) d\alpha$ .

By the Parseval theorem, Cauchy's inequality and (3.1) we have

(3.2) 
$$\sum_{N < n \le 2N} |E|^2 \le \int_0^1 |f_1 f_2 - g_1 g_2|^2 d\alpha$$
$$\ll \sup_\alpha |f_1 - g|^2 \int_0^1 |f_2|^2 d\alpha + \sup_\alpha |f_2 - g|^2 \int_0^1 |g|^2 d\alpha.$$

Since

$$\int_{0}^{1} |f_{2}|^{2} d\alpha \ll n^{2-\gamma_{2}} \log n, \quad \int_{0}^{1} |g|^{2} d\alpha \ll n \log n,$$

we require, for  $1 \leq i \leq 2$ , an estimate

$$\sup_{\alpha} |f_i - g| \ll n^{1 - \delta_i - \varepsilon}$$

for some  $\varepsilon > 0$ , where  $\delta_2 = 0$ ,  $\delta_1 = \frac{1}{2}(1 - \gamma_2)$ , and then we have

$$\sum_{N < n \le 2N} |E|^2 \ll N^{3-\varepsilon}.$$

Hence Theorems 1 and 2 follow from

THEOREM 4. Let  $\gamma$ ,  $\delta$  satisfy  $0 < \gamma \leq 1$ ,  $0 < \delta$  and  $9(1 - \gamma) + 11\delta < 1$ . Then uniformly in  $\alpha$ , we have

$$\frac{1}{\gamma} \sum_{\substack{p < N \\ p = [n^{1/\gamma}]}} e(\alpha p) p^{1-\gamma} \log p = \sum_{p < N} e(\alpha p) \log p + O(N^{1-\delta}),$$

where the implied constant may depend on  $\gamma$  and  $\delta$  only.

*Proof.* If  $9(1-\gamma)+11\delta < 1$ , then  $1-4(1-\gamma)-5\delta-\varepsilon > 5(1-\gamma)+6\delta+\varepsilon$ provided  $\varepsilon$  is sufficiently small, hence by Propositions 2 and 3 of [1] we can take  $a = 1 - (1 - \gamma) - 2\delta - \varepsilon$  in Proposition 3 of [1]. The conditions (3.7) and (3.8) of [1] are satisfied by Section 6 of [1], the condition (3.9) 1-a < c/2 of [1] follows for sufficiently small  $\varepsilon$ . Since  $3(1-\gamma)+6\delta < 1$ , by Propositions 1, 2 and 3 of [1], the conclusion follows.

4. Mean value formulas in the sieve method. From now on we assume  $21/23 < \gamma \le 27/29$ .

LEMMA 6. Assume that  $M, K \ll N^{7/23}$  and that a(m), b(k) = O(1). Let

(4.1) 
$$I(n) = \sum_{\substack{n=n_1+n_2\\n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma-1}}{\log n_2}.$$

Then for  $N < n \leq 2N$ , except for  $O(N \log^{-2} N)$  values, we have

$$\sum_{\substack{m \sim M, \, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k) \left(\sum_{\substack{n = mkl + p_2 \\ n/10 < mkl \le n \\ n/10 < p_2 \le n}} N(mkl)N(p_2) - \frac{1}{\phi(mk)} I(n)\right) = O\left(\frac{N^{2\gamma - 1}}{\log^{20} N}\right).$$

*Proof.* We have

$$\Sigma_{1} = \sum_{\substack{m \sim M, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k) \sum_{\substack{n = mkl + p_{2} \\ n/10 < mkl \le n \\ n/10 < p_{2} \le n}} N(mkl)N(p_{2})$$
$$= \int_{0}^{1} \sum_{\substack{m = mkl + p_{2} \\ n/10 < p_{2} \le n}} a(m)b(k)N(mkl)e(\alpha mkl) \cdot \sum_{\substack{m = mkl + p_{2} \\ n/10 < p_{2} \le n}} N(p)e(n)$$

$$= \int_{0}^{1} \sum_{\substack{n/10 < mkl \le n \\ m \sim M, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k)N(mkl)e(\alpha mkl) \cdot \sum_{n/10 < p \le n} N(p)e(\alpha p)e(-\alpha n) \, d\alpha.$$

Let

$$g(\alpha) = \sum_{\substack{n/10 < mkl \le n \\ m \sim M, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k)N(mkl)e(\alpha mkl),$$
  
$$f(\alpha) = \gamma \sum_{\substack{n/10 < mkl \le n \\ m \sim M, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k)(mkl)^{\gamma-1}e(\alpha mkl)$$

By the discussion in [1], the asymptotic formula

(4.2) 
$$g(\alpha) = f(\alpha) + O(N^{3\gamma/2 - 1/2 - 5\varepsilon})$$

depends on the fact that for  $J \leq H_1 = N^{3(1-\gamma)/2+8\varepsilon}$  and  $0 \leq u \leq 1$ ,

(4.3) 
$$\min\left(1, \frac{N^{1-\gamma}}{J}\right) \times \sum_{h\sim J} \left|\sum_{m\sim M} \sum_{k\sim K} \sum_{mkl\sim N} a(m)b(k)e(\alpha kml + h(kml + u)^{\gamma})\right| \ll N^{1/2+\gamma/2-6\varepsilon}.$$

If either M or K is larger than  $N^{4/23}$ , then by Lemma 1 with  $\Delta = \frac{1}{2}(1-\gamma)$ , (4.3) holds. If  $M, K \leq N^{4/23}$ , then  $MK \ll N^{8/23} \ll N^{13\gamma/2-11/2-50\varepsilon}$ . By Lemma 2 with  $\Delta = \frac{1}{2}(1-\gamma)$ , (4.3) also holds. Hence (4.2) holds.

Let

$$D(\alpha) = \sum_{n/10$$

By (2.5) and (4.2) we have

$$\begin{split} g(\alpha)D(\alpha) - f(\alpha)S(\alpha) &= (g(\alpha) - f(\alpha))D(\alpha) + f(\alpha)(D(\alpha) - S(\alpha)) \\ &\ll N^{3\gamma/2 - 1/2 - 5\varepsilon}|D(\alpha)| + N^{\gamma - 5\varepsilon}|f(\alpha)|. \end{split}$$

Thus

$$\Sigma_1 = \int_0^1 g(\alpha) D(\alpha) e(-\alpha n) \, d\alpha = \int_0^1 f(\alpha) S(\alpha) e(-\alpha n) \, d\alpha + \Psi.$$

We note that N(p) = 0 or 1 and that  $p \in P_{\gamma}$  is equivalent to N(p) = 1; we also have the estimate  $\sum_{p \leq n} N(p) \leq \sum_{l \leq n} N(l) \ll N^{\gamma}$ . Hence by the Parseval theorem,

(4.4) 
$$\sum_{N < n \le 2N} |\Psi|^2 \le \int_0^1 |g(\alpha)D(\alpha) - f(\alpha)S(\alpha)|^2 d\alpha$$
$$\ll N^{3\gamma - 1 - 10\varepsilon} \int_0^1 |D(\alpha)|^2 d\alpha + N^{2\gamma - 10\varepsilon} \int_0^1 |f(\alpha)|^2 d\alpha \ll N^{4\gamma - 1 - 9\varepsilon}.$$

In the following we investigate

$$\Sigma_2 = \int_0^1 f(\alpha) S(\alpha) e(-\alpha n) \, d\alpha.$$

Let  $Q = N \log^{-80} N$  and

(4.5) 
$$E_1 = \bigcup_{1 \le q \le \log^{80} N} \bigcup_{\substack{1 \le a \le q \\ (a,q) = 1}} I(a,q), \quad E_2 = (-1/Q, 1 - 1/Q] \setminus E_1,$$

where

(4.6) 
$$I(a,q) = [a/q - q^{-1}Q^{-1}, a/q + q^{-1}Q^{-1}].$$

Then  $E_1$  is the major arcs, and  $E_2$  is the minor arcs. Thus

$$\Sigma_2 = \left(\int_{E_1} + \int_{E_2}\right) f(\alpha) S(\alpha) e(-\alpha n) \, d\alpha.$$

For any  $\alpha \in E_2$ , there is one q (log<sup>80</sup>  $N < q \leq Q$ ) such that  $|\alpha - a/q| < 1/(qQ)$ . By (2) in Section 25 of [2] we have  $S(\alpha) \ll N^{\gamma} \log^{-35} N$ . Hence by

the Parseval theorem and Lemma 6 of  $\left[5\right]$  we have

(4.7) 
$$\sum_{N < n \le 2N} \left| \int_{E_2} f(\alpha) S(\alpha) e(-\alpha n) \, d\alpha \right|^2 \\ \le \int_{E_2} |f(\alpha) S(\alpha)|^2 \, d\alpha \ll N^{2\gamma} \log^{-70} N \int_0^1 |f(\alpha)|^2 \, d\alpha \ll N^{4\gamma - 1} \log^{-60} N.$$

If  $\alpha = a/q + \beta \in E_1$ , let R = MK and

(4.8) 
$$j(r) = \gamma \sum_{\substack{mk=r\\m\sim M, k\sim K}} a(m)b(k).$$

As in (25) of [5] we have

(4.9) 
$$f(\alpha) = \sum_{\substack{r \sim R \\ (r,n)=1, \ q|r}} \frac{j(r)}{r} \sum_{n/10 < s \le n} s^{\gamma-1} e(\beta s) + O(N^{\gamma-\varepsilon}).$$

By (27) of [5] we obtain

(4.10) 
$$S(\alpha) = \gamma \frac{\mu(q)}{\phi(q)} \sum_{n/10 < s \le n} \frac{s^{\gamma - 1} e(\beta s)}{\log s} + O(N^{\gamma} \exp(-c_2 \sqrt{\log N})).$$

Hence

$$\begin{split} \Sigma_{3} &= \int_{E_{1}} f(\alpha) S(\alpha) e(-\alpha n) \, d\alpha \\ &= \sum_{q \leq \log^{80} N} \sum_{\substack{a=0\\(a,q)=1}}^{q-1} e\left(-\frac{an}{q}\right) \int_{-1/(qQ)}^{1/(qQ)} f\left(\frac{a}{q} + \beta\right) S\left(\frac{a}{q} + \beta\right) e(-\beta n) \, d\beta \\ &= \gamma \sum_{q \leq \log^{80} N} \frac{\mu(q) C(q, -n)}{\phi(q)} \sum_{\substack{r \sim R\\(r,n)=1, \, q \mid r}} \frac{j(r)}{r} \int_{-1/(qQ)}^{1/(qQ)} \left(\sum_{n/10 < s \leq n} s^{\gamma - 1} e(\beta s)\right) \\ &\times \left(\sum_{n/10 < s \leq n} \frac{s^{\gamma - 1} e(\beta s)}{\log s}\right) e(-\beta n) \, d\beta + O\left(\frac{N^{2\gamma - 1}}{\log^{20} N}\right), \end{split}$$

where

$$C(q,m) = \sum_{\substack{a=0\\(a,q)=1}}^{q-1} e\left(\frac{am}{q}\right).$$

Since

$$\int_{1/(qQ)}^{1/2} \left(\sum_{n/10 < s \le n} s^{\gamma - 1} e(\beta s)\right) \left(\sum_{n/10 < s \le n} \frac{s^{\gamma - 1} e(\beta s)}{\log s}\right) e(-\beta n) \, d\beta \\
\ll \int_{1/(qQ)}^{1/2} n^{2(\gamma - 1)} \, \frac{d\beta}{\beta^2} \ll \frac{q N^{2\gamma - 1}}{\log^{80} N},$$

we obtain

$$\Sigma_3 = \frac{1}{\gamma} I(n) \sum_{q \le \log^{80} N} \frac{\mu(q)C(q, -n)}{\phi(q)} \sum_{\substack{r \sim R \\ (r,n)=1, q \mid r}} \frac{j(r)}{r} + O\left(\frac{N^{2\gamma-1}}{\log^{20} N}\right),$$

where

$$I(n) = \sum_{\substack{n=n_1+n_2\\n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma-1}}{\log n_2}.$$

Let

$$\begin{split} \Omega &= \sum_{q \leq \log^{80} N} \frac{\mu(q)C(q,-n)}{\phi(q)} \sum_{\substack{r \sim R \\ (r,n)=1, \ q \mid r}} \frac{j(r)}{r} \\ &= \sum_{\substack{r \sim R \\ (r,n)=1}} \frac{j(r)}{r} \sum_{\substack{q \leq \log^{80} N \\ q \mid r}} \frac{\mu(q)C(q,-n)}{\phi(q)}. \end{split}$$

Now

$$\sum_{\substack{r \sim R \\ (r,n)=1}} \frac{j(r)}{r} \sum_{\substack{q > \log^{80} N \\ q|r}} \frac{\mu(q)C(q,-n)}{\phi(q)} \ll \frac{1}{\log^{60} N} \sum_{r \sim R} \frac{d^2(r)}{r} \ll \frac{1}{\log^{50} N},$$

 $\mathbf{SO}$ 

$$\begin{split} \Omega &= \sum_{\substack{r \sim R \\ (r,n)=1}} \frac{j(r)}{r} \sum_{q|r} \frac{\mu(q)C(q,-n)}{\phi(q)} + O\left(\frac{1}{\log^{50} N}\right) \\ &= \sum_{\substack{r \sim R \\ (r,n)=1}} \frac{j(r)}{r} \sum_{q|r} \frac{\mu^2(q)}{\phi(q)} + O\left(\frac{1}{\log^{50} N}\right) \\ &= \sum_{\substack{r \sim R \\ (r,n)=1}} \frac{j(r)}{\phi(r)} + O\left(\frac{1}{\log^{50} N}\right) = \gamma \sum_{\substack{m \sim M, \, k \sim K \\ (m,n)=(k,n)=1}} \frac{a(m)b(k)}{\phi(mk)} + O\left(\frac{1}{\log^{50} N}\right). \end{split}$$

Hence

(4.11) 
$$\Sigma_3 = I(n) \sum_{\substack{m \sim M, \, k \sim K \\ (m,n) = (k,n) = 1}} \frac{a(m)b(k)}{\phi(mk)} + O\left(\frac{N^{2\gamma - 1}}{\log^{20} N}\right).$$

By (4.4), (4.7) and (4.11), the lemma follows.

LEMMA 7. Assume that  $M, K \ll N^{7/23}$  and that a(m), b(k) = O(1). Let

$$(4.12) \quad J_1(n) = \sum_{n^{7/23} < p_1 \le n^{1/2}} \frac{1}{p_1} \sum_{\substack{n=n_1+n_2 \\ n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma-1}}{\log \frac{n_2}{p_1}},$$

$$(4.13) \quad J_2(n) = \sum_{n^{7/23} < p_1 \le n^{1/3}} \sum_{p_1 < p_2 \le \sqrt{n/p_1}} \frac{1}{p_1 p_2} \sum_{\substack{n=n_1+n_2 \\ n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma-1}}{\log \frac{n_2}{p_1 p_2}}.$$

Then for  $N < n \le 2N$ , except for  $O(N \log^{-2} N)$  values, we have

(4.14) 
$$\sum_{\substack{m \sim M, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k) \\ \times \left(\sum_{\substack{n = mkl + p_1 p_2 \\ n/10 < mkl, p_1 p_2 \le n \\ n^{7/23} < p_1 \le n^{1/2} \\ p_1 < p_2}} N(mkl)N(p_1 p_2) - \frac{1}{\phi(mk)} J_1(n)\right) \\ = O\left(\frac{N^{2\gamma - 1}}{\log^{20} N}\right),$$

and

(4.15) 
$$\sum_{\substack{m \sim M, k \sim K \\ (m,n) = (k,n) = 1}} a(m)b(k) \\ \times \left(\sum_{\substack{n = mkl + p_1 p_2 p_3 \\ n/10 < mkl, p_1 p_2 p_3 \le n \\ n^{7/23} < p_1 \le n^{1/3} \\ p_1 < p_2 < p_3}} N(mkl)N(p_1 p_2 p_3) - \frac{1}{\phi(mk)} J_2(n)\right) \\ = O\left(\frac{N^{2\gamma - 1}}{\log^{20} N}\right).$$

*Proof.* This can be proved in almost the same way as Lemma 6; we only give the outline of the proof of (4.14). Let

$$D(\alpha) = \sum_{\substack{n/10 < p_1 p_2 \le n \\ n^{7/23} < p_1 \le n^{1/3} \\ p_1 < p_2}} N(p_1 p_2) e(\alpha p_1 p_2),$$
  
$$S(\alpha) = \gamma \sum_{\substack{n/10 < p_1 p_2 \le n \\ n^{7/23} < p_1 \le n^{1/3} \\ p_1 < p_2}} (p_1 p_2)^{\gamma - 1} e(\alpha p_1 p_2)$$

For any  $\alpha \in E_2$ , there is one q (log<sup>80</sup>  $N < q \leq Q$ ) such that  $|\alpha - a/q| < 1/(qQ)$ . By Lemma 5.7 of [7] we have  $S(\alpha) \ll N^{\gamma} \log^{-35} N$ .

If  $\alpha = a/q + \beta \in E_1$ , then just as for  $g(\alpha)$  in the proof of Lemma 18 in [5], we obtain

$$S(\alpha) = \gamma \frac{\mu(q)}{\phi(q)} \sum_{n^{7/23} < p_1 \le n^{1/2}} \frac{1}{p_1} \sum_{n/10 < s \le n} \frac{s^{\gamma - 1} e(\beta s)}{\log \frac{s}{p_1}} + O(N^{\gamma} \exp(-c_2 \sqrt{\log N})).$$

Then we can prove (4.14) in the same way used in Lemma 6.

## 5. Asymptotic formulas

LEMMA 8. Assume that  $N^{16/23} \ll M \ll N^{19/23}$ ,  $0 \le a(m) = O(1)$  and that a(m) = 0 if m has a prime factor  $< N^{\varepsilon}$ . Then for  $N < n \le 2N$ , except for  $O(N \log^{-2} N)$  values, we have

$$\begin{split} \Sigma_4 &= \sum_{\substack{n=mp_1+p_2\\n/10$$

where

(5.1) 
$$Z(\gamma) = \gamma^2 \int_{1/10}^{9/10} u^{\gamma-1} (1-u)^{\gamma-1} du.$$

*Proof.* We have

$$\Sigma_4 = \int_{0}^{1} \sum_{\substack{n/10 < mp_1 \le n \\ m \sim M}} a(m) N(mp_1) e(\alpha mp_1) \cdot \sum_{\substack{n/10 < p \le n }} N(p) e(\alpha p) e(-\alpha n) \, d\alpha.$$

As in Lemma 6, for  $N < n \le 2N$ , except for  $O(N \log^{-2} N)$  values, we have

$$\Sigma_4 = \int_{E_1} g(\alpha) S(\alpha) e(-\alpha n) \, d\alpha + O\left(\frac{N^{2\gamma - 1}}{\log^{10} N}\right),$$

where  $E_1$  is defined in Lemma 6,

$$g(\alpha) = \sum_{\substack{n/10 < mp_1 \le n \\ m \sim M}} a(m)(mp_1)^{\gamma - 1} e(\alpha mp_1), \quad S(\alpha) = \gamma \sum_{\substack{n/10 < p \le n \\ m \sim M}} p^{\gamma - 1} e(\alpha p).$$

By page 22 of [5] we have

$$g(\alpha) = \gamma \frac{\mu(q)}{\phi(q)} \sum_{m \sim M} \frac{a(m)}{m} \sum_{n/10 < s \le n} \frac{s^{\gamma - 1} e(\beta s)}{\log \frac{s}{m}} + O(N^{\gamma} \exp(-c_1 \sqrt{\log N})),$$
  
$$S(\alpha) = \gamma \frac{\mu(q)}{\phi(q)} \sum_{n/10 < s \le n} \frac{s^{\gamma - 1} e(\beta s)}{\log s} + O(N^{\gamma} \exp(-c_2 \sqrt{\log N})).$$

Hence

$$\begin{split} \Sigma_4 &= \sum_{q \leq \log^{80} N} \sum_{\substack{a=0\\(a,q)=1}}^{q-1} e\left(-\frac{an}{q}\right) \int_{-1/(qQ)}^{1/(qQ)} g\left(\frac{a}{q} + \beta\right) S\left(\frac{a}{q} + \beta\right) e(-\beta n) \, d\beta \\ &+ O\left(\frac{N^{2\gamma-1}}{\log^{10} N}\right) \\ &= \gamma^2 \sum_{q \leq \log^{80} N} \frac{\mu^2(q) C(q, -n)}{\phi^2(q)} \int_{-1/(qQ)}^{1/(qQ)} \sum_{m \sim M} \frac{a(m)}{m} \sum_{n/10 < s \leq n} \frac{s^{\gamma-1} e(\beta s)}{\log \frac{s}{m}} \\ &\times \left(\sum_{n/10 < s \leq n} \frac{s^{\gamma-1} e(\beta s)}{\log s}\right) e(-\beta n) \, d\beta + O\left(\frac{N^{2\gamma-1}}{\log^{20} N}\right) \\ &= \sum_{q \leq \log^{80} N} \frac{\mu^2(q) C(q, -n)}{\phi^2(q)} K(n) + O\left(\frac{N^{2\gamma-1}}{\log^{20} N}\right), \end{split}$$

where

$$\begin{split} K(n) &= \gamma^2 \sum_{m \sim M} \frac{a(m)}{m} \sum_{\substack{n=n_1+n_2\\n/10 < n_1, n_2 \le n}} \frac{(n_1 n_2)^{\gamma-1}}{\log \frac{n_1}{m} \log n_2} \\ &= (1+O(\varepsilon)) Z(\gamma) \frac{n^{2\gamma-1}}{\log n} \sum_{m \sim M} \frac{a(m)}{m \log \frac{n}{m}} \\ &= (1+O(\varepsilon)) Z(\gamma) \frac{n^{2\gamma-1}}{n \log n} \sum_{m \sim M} a(m) \sum_{n/m < p \le 2n/m} 1. \end{split}$$

Moreover,

$$\sum_{q \le \log^{80} N} \frac{\mu^2(q)C(q, -n)}{\phi^2(q)} = \sum_{q=1}^{\infty} \frac{\mu^2(q)C(q, -n)}{\phi^2(q)} + O\left(\frac{1}{\log^{30} N}\right)$$
$$= C(n) + O\left(\frac{1}{\log^{30} N}\right).$$

Hence the lemma follows.

LEMMA 9. Assume that  $N^{16/23} \ll M \ll N^{19/23}$ ,  $0 \le a(m) = O(1)$  and that a(m) = 0 if m has a prime factor  $< N^{\varepsilon}$ . Let

$$\Sigma_5 = \sum_{\substack{n=mp+d\\n/10 < mp, d \le n\\m \sim M}} a(m)N(mp)N(d),$$

where  $d = p_1 p_2$   $(n^{7/23} < p_1 \le n^{1/2}, p_1 < p_2)$  or  $d = p_1 p_2 p_3$   $(n^{7/23} < p_1 \le n^{1/3}, p_1 < p_2 < p_3)$ . Then for  $N < n \le 2N$ , except for  $O(N \log^{-2} N)$  values, we

have

$$\begin{split} \Sigma_5 &= (1+O(\varepsilon))Z(\gamma)C(n)\,\frac{n^{2\gamma-1}}{n\log n}\sum_{m\sim M}a(m)\sum_{n/m$$

*Proof.* In almost the same way as in Lemma 8, referring to Lemma 7, for  $N < n \le 2N$ , except for  $O(N \log^{-2} N)$  values, we obtain

$$\begin{split} \Sigma_5 &= (1+O(\varepsilon))C(n)\sum_{m\sim M} \frac{a(m)}{m} \bigg(\sum_{n^{7/23} < p_1 \le n^{1/2}} \frac{1}{p_1} \sum_{\substack{n=n_1+n_2 \\ n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma-1}}{\log \frac{n_1}{m} \log \frac{n_2}{p_1}} \\ &+ \sum_{n^{7/23} < p_1 \le n^{1/3}} \sum_{p_1 < p_2 < \sqrt{n/p_1}} \frac{1}{p_1 p_2} \sum_{\substack{n=n_1+n_2 \\ n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma-1}}{\log \frac{n_1}{m} \log \frac{n_2}{p_1 p_2}} \bigg) \\ &+ O\bigg(\frac{N^{2\gamma-1}}{\log^8 N}\bigg) \\ &= (1+O(\varepsilon))C(n)\gamma^2 \sum_{m\sim M} \frac{a(m)}{m \log \frac{n}{m}} \bigg(\sum_{\substack{n^{7/23} < p_1 \le n^{1/2}}} \frac{1}{p_1 \log \frac{n}{p_1}} \\ &+ \sum_{n^{7/23} < p_1 \le n^{1/3}} \sum_{p_1 < p_2 < \sqrt{n/p_1}} \frac{1}{p_1 p_2 \log \frac{n}{p_1 p_2}} \bigg) \sum_{\substack{n=n_1+n_2 \\ n/10 < n_1, n_2 \le n}} (n_1 n_2)^{\gamma-1} \\ &+ O\bigg(\frac{N^{2\gamma-1}}{\log^8 N}\bigg) \\ &= (1+O(\varepsilon))Z(\gamma)C(n) \frac{n^{2\gamma-1}}{n \log n} \sum_{m\sim M} a(m) \sum_{\substack{n/m < p \le 2n/m}} 1 \\ &\times \bigg(\sum_{7/23}^{1/2} \frac{dt}{t(1-t)} + \sum_{7/23}^{1/3} \frac{dt}{t} \sum_{\substack{n=1,1/2 \\ n/2}} \frac{dw}{w(1-t-w)}\bigg) + O\bigg(\frac{N^{2\gamma-1}}{\log^8 N}\bigg). \end{split}$$

6. Sieve method. Set  

$$\mathcal{A} = \{a : a = n - p, N(a) = N(p) = 1, n/10 
$$\mathcal{B} = \{b : b = n - d, N(b) = N(d) = 1, 0 < d \le 9n/10,$$

$$d = p_1 p_2 (n^{7/23} < p_1 \le n^{1/2}, p_1 < p_2) \text{ or }$$

$$d = p_1 p_2 p_3 (n^{7/23} < p_1 \le n^{1/3}, p_1 < p_2 < p_3)\},$$$$

$$P(z) = \prod_{p < z, p \nmid n} p, \quad \mathcal{S}(\mathcal{A}, z) = \sum_{\substack{a \in \mathcal{A} \\ (a, P(z)) = 1}} 1, \quad \mathcal{S}(\mathcal{B}, w) = \sum_{\substack{b \in \mathcal{B} \\ (b, P(w)) = 1}} 1.$$

Note once again that  $p\in P_{\gamma}$  is equivalent to N(p)=1. Applying Buchstab's identity, we get

(6.1) 
$$T(n) \ge \mathcal{S}(\mathcal{A}, n^{1/2}) = \mathcal{S}(\mathcal{A}, n^{4/23}) - \sum_{n^{4/23}$$

Using Buchstab's identity again, we get

(6.2) 
$$S_{1} = S(\mathcal{A}, n^{7/46}) - \sum_{n^{7/46} 
$$= S(\mathcal{A}, n^{7/46}) - \sum_{n^{7/46} 
$$+ \sum_{n^{7/46} 
$$+ \sum_{n^{7/46}$$$$$$$$

Next,

(6.3) 
$$S_{3} = \sum_{n^{7/23} 
$$= \sharp \{ d : d = n - p_{4}, N(d) = N(p_{4}) = 1, n/10 < p_{4} \le n, d = p_{1}p_{2} (n^{7/23} < p_{1} \le n^{1/2}, p_{1} < p_{2}) \text{ or} d = p_{1}p_{2}p_{3} (n^{7/23} < p_{1} \le n^{1/3}, p_{1} < p_{2} < p_{3}) \}$$
  

$$= \sharp \{ p_{4} : p_{4} = n - d, N(p_{4}) = N(d) = 1, 0 < d \le 9n/10, d = p_{1}p_{2} (n^{7/23} < p_{1} \le n^{1/2}, p_{1} < p_{2}) \text{ or} d = p_{1}p_{2}p_{3} (n^{7/23} < p_{1} \le n^{1/3}, p_{1} < p_{2} < p_{3}) \}$$
  

$$= S(\mathcal{B}, n^{1/2}).$$$$

Using Buchstab's identity again, we have

(6.4) 
$$S(\mathcal{B}, n^{1/2}) = S(\mathcal{B}, n^{7/46}) - \sum_{n^{7/46}$$

$$\leq \mathcal{S}(\mathcal{B}, n^{7/46}) - \sum_{n^{7/46}$$

Lemma 10.

$$\Phi_1 = \mathcal{S}(\mathcal{A}, n^{4/23}) \ge 3.60972Z(\gamma)C(n) \frac{n^{2\gamma-1}}{\log^2 n}.$$

Proof. Take

$$X = I(n) = \sum_{\substack{n=n_1+n_2\\n/10 < n_1, n_2 \le n}} \frac{\gamma^2 (n_1 n_2)^{\gamma - 1}}{\log n_2}$$

and

$$\omega(d) = \begin{cases} d/\phi(d), & (d,n) = 1, \\ 0, & (d,n) > 1, \end{cases} \quad r(d) = \sharp \mathcal{A}_d - \frac{X}{\phi(d)}.$$

By Theorem 7.11 and (7.40) of [7], we have

$$W(z) = \prod_{p < z} \left( 1 - \frac{\omega(p)}{p} \right) = C(n) \frac{e^{-\gamma}}{\log z} \left( 1 + O\left(\frac{1}{\log z}\right) \right),$$

where  $\gamma$  is Euler's constant.

Let  $z = n^{7/46}$ ,  $D = n^{14/23}$ . By Iwaniec's bilinear sieve method (see [4, Theorem 1]), we obtain

$$\Phi_1 \ge \frac{C(n)X}{\log z} \left( f\left(\frac{\log D}{\log z}\right) - O(\varepsilon) \right) - \sum_{\substack{m < n^{7/23}, k < n^{7/23} \\ (m,n) = (k,n) = 1}} a(m)b(k)r(mk),$$

where f(u) is a standard function. In particular

(6.5) 
$$f(u) = \begin{cases} \frac{2}{u} \log(u-1), & 2 \le u \le 4, \\ \frac{2}{u} \left( \log(u-1) + \int_{3}^{u-1} \frac{dt}{t} \int_{2}^{t-1} \frac{\log(s-1)}{s} \, ds \right), & 4 \le u \le 6. \end{cases}$$

By Lemma 6, we have

$$\sum_{\substack{m < n^{7/23}, \, k < n^{7/23} \\ (m,n) = (k,n) = 1}} a(m)b(k)r(mk) = O\left(\frac{N^{2\gamma - 1}}{\log^{10} N}\right).$$

On the other hand,

$$X = \frac{(1+O(\varepsilon))\gamma^2}{\log n} \sum_{\substack{n=n_1+n_2\\n/10< n_1, n_2 \le n}} (n_1 n_2)^{\gamma-1} = (1+O(\varepsilon))Z(\gamma) \frac{n^{2\gamma-1}}{\log n}.$$

Hence,

$$\Phi_1 \ge 3.60972Z(\gamma)C(n) \frac{n^{2\gamma-1}}{\log^2 n}.$$

Lemma 11.

$$\Phi_2 = \sum_{n^{7/46}$$

Proof. Take

$$z(p) = \left(\frac{n^{14/23}}{p}\right)^{1/5}, \quad D(p) = \frac{n^{14/23}}{p}.$$

By Iwaniec's bilinear sieve method we obtain

$$\Phi_2 \le (1+O(\varepsilon))C(n)X \sum_{n^{7/46}$$

where

$$R^{+} = \sum_{\substack{n^{7/46}$$

and F(u) is a standard function. In particular,

(6.6) 
$$F(u) = \begin{cases} 2/u, & 2 \le u \le 3, \\ \frac{2}{u} \left( 1 + \int_{2}^{u-1} \frac{\log(t-1)}{t} \, dt \right), & 3 \le u \le 5. \end{cases}$$

In  $R^+$ , let ph = m. By Lemma 6 we have

$$R^+ = O\left(\frac{n^{2\gamma-1}}{\log^{10} n}\right).$$

From the above discussion and the prime number theorem, we have

$$\begin{split} \varPhi_{2} &\leq Z(\gamma)C(n) \, \frac{n^{2\gamma-1}}{\log n} \sum_{n^{7/46}$$

Lemma 12.

$$\Gamma_1 = \mathcal{S}(\mathcal{B}, n^{7/46}) \le 3.18061 Z(\gamma) C(n) \, \frac{n^{2\gamma - 1}}{\log^2 n}.$$

*Proof.* We take  $Y = J_1(n) + J_2(n)$ , where  $J_1(n)$ ,  $J_2(n)$  are defined in (4.12) and (4.13) respectively, and

$$r(d) = \sharp \mathcal{B}_d - \frac{Y}{\phi(d)}.$$

By Iwaniec's bilinear sieve method, we have

$$\Gamma_1 \le \frac{C(n)Y}{\log n} \cdot \frac{46}{7} (F(4) + O(\varepsilon)) + \sum_{\substack{m < n^{7/23}, k < n^{7/23} \\ (m,n) = (k,n) = 1}} a(m)b(k)r(mk).$$

Applying Lemma 7, we have

$$\sum_{\substack{m < n^{7/23}, \, k < n^{7/23} \\ (m,n) = (k,n) = 1}} a(m)b(k)r(mk) = O\left(\frac{N^{2\gamma - 1}}{\log^{10} N}\right).$$

On the other hand,

(6.7) 
$$Y = (1 + O(\varepsilon))Z(\gamma) \frac{n^{2\gamma - 1}}{\log n} \times \left(\int_{7/23}^{1/2} \frac{dt}{t(1 - t)} + \int_{7/23}^{1/3} \frac{dt}{t} \int_{t}^{(1 - t)/2} \frac{dw}{w(1 - t - w)}\right).$$

Hence,

$$\Gamma_1 \le 3.18061 Z(\gamma) C(n) \frac{n^{2\gamma-1}}{\log^2 n}$$

Lemma 13.

$$\Gamma_2 = \sum_{n^{7/46}$$

*Proof.* Using Lemma 7, in almost the same way as in Lemma 11, we obtain

$$\Gamma_2 \ge (1+O(\varepsilon))C(n)Y \sum_{n^{7/46}$$

Lemma 14.

$$\Gamma_4 = \sum_{n^{7/46}$$

*Proof.* We have

$$\Gamma_4 \le \sum_{n^{7/46}$$

Take

$$D(p,q) = \frac{n^{14/23}}{pq}.$$

By Iwaniec's bilinear sieve method, we have

$$\Gamma_4 \le (1+O(\varepsilon))C(n)Y \sum_{n^{7/46}$$

where

$$R^{-} = \sum_{n^{7/46}$$

In  $R^-$ , let ph = m, qg = k. By Lemma 7, we have

$$R^- = O\left(\frac{N^{2\gamma-1}}{\log^{10} N}\right).$$

Hence,

$$\Gamma_4 \le 0.10885 Z(\gamma) C(n) \frac{n^{2\gamma-1}}{\log^2 n}.$$

## 7. The estimation of $S_2$ , $\Phi_3$ , $\Gamma_3$ and $\Gamma_5$

Lemma 15.

$$S_2 = \sum_{n^{4/23}$$

Proof. By Lemmas 4, 5 and 8, it follows that

$$S_{2} = \sum_{\substack{n=rp+p_{2}\\n/10

$$= (1+O(\varepsilon))Z(\gamma)C(n)\frac{n^{2\gamma-1}}{\log n}\sum_{\substack{n^{4/23}

$$= Z(\gamma)C(n)\frac{n^{2\gamma-1}}{\log n}\sum_{\substack{n^{4/23}$$$$$$

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$$= Z(\gamma)C(n) \frac{n^{2\gamma-1}}{\log^2 n} \int_{4/23}^{7/23} \frac{1}{u^2} w\left(\frac{1-u}{u}\right) du + O\left(\frac{\varepsilon n^{2\gamma-1}}{\log^2 n}\right)$$
$$= Z(\gamma)C(n) \frac{n^{2\gamma-1}}{\log^2 n} \int_{16/7}^{19/4} w(t) dt + O\left(\frac{\varepsilon n^{2\gamma-1}}{\log^2 n}\right) \le 1.38679Z(\gamma)C(n) \frac{n^{2\gamma-1}}{\log^2 n}.$$

Lemma 16.

$$\Phi_3 = \sum_{n^{7/46}$$

*Proof.* We have

$$\Phi_{3} = \sum_{\substack{n = rpq + p_{2} \\ n/10 < rpq, p_{2} \le n \\ n^{7/46} < p \le n^{4/23} \\ (n^{14/23}/p)^{1/5} < q \le n^{7/23}/p \\ (r, P(q)) = 1} N(rpq)N(p_{2}).$$

Note that  $n^{4/23} \ll pq \ll n^{7/23}$  and  $n^{16/23} \ll r \ll n^{19/23}$ . By Lemma 8 with a small modification, and Lemmas 4 and 5, we have

$$\begin{split} \varPhi_{3} &= (1+O(\varepsilon))Z(\gamma)C(n) \frac{n^{2\gamma-1}}{n\log n} \\ &\times \sum_{n^{7/46}$$

Lemma 17.

$$\Gamma_5 = \sum_{n^{4/23}$$

*Proof.* We have

$$\Gamma_5 = \sum_{\substack{n = rp + d \\ n/10 < rp, d \le n \\ n^{4/23} < p \le n^{7/23}, (r, P(p)) = 1}} N(rp)N(d),$$

where  $d = p_1 p_2$   $(n^{7/23} < p_1 \le n^{1/2}, p_1 < p_2)$  or  $d = p_1 p_2 p_3$   $(n^{7/23} < p_1 \le n^{1/3}, p_1 < p_2 < p_3)$ . By Lemmas 4, 5 and 9, in almost the same way as in Lemma 15, we have

$$\begin{split} \Gamma_5 &= Z(\gamma)C(n) \, \frac{n^{2\gamma-1}}{\log^2 n} \int_{16/7}^{19/4} w(t) \, dt \\ &\times \left( \int_{7/23}^{1/2} \frac{dt}{t(1-t)} + \int_{7/23}^{1/3} \frac{dt}{t} \int_{t}^{(1-t)/2} \frac{dw}{w(1-t-w)} \right) + O\left(\frac{\varepsilon n^{2\gamma-1}}{\log^2 n}\right) \\ &\ge 1.16780Z(\gamma)C(n) \, \frac{n^{2\gamma-1}}{\log^2 n}. \end{split}$$

Lemma 18.

$$\Gamma_3 = \sum_{n^{7/46}$$

*Proof.* We have

$$\Gamma_{3} = \sum_{\substack{n = rpq + d \\ n/10 < rpq, d \le n \\ n^{7/46} < p \le n^{4/23} \\ (n^{14/23}/p)^{1/5} < q \le n^{7/23}/p \\ (r, P(q)) = 1}} N(rpq)N(d),$$

where  $d = p_1 p_2$   $(n^{7/23} < p_1 \le n^{1/2}, p_1 < p_2)$  or  $d = p_1 p_2 p_3$   $(n^{7/23} < p_1 \le n^{1/3}, p_1 < p_2 < p_3)$ . By Lemma 9 and the deduction in Lemma 16, we get

$$\begin{split} \Gamma_3 &\leq 0.5644Z(\gamma)C(n) \, \frac{n^{2\gamma-1}}{\log^2 n} \int_{7/46}^{4/23} \frac{dt}{t} \, \int_{(14/23-t)/5}^{7/23-t} \frac{dw}{w^2} \\ & \times \left( \int_{7/23}^{1/2} \frac{dt}{t(1-t)} + \int_{7/23}^{1/3} \frac{dt}{t} \, \int_{t}^{(1-t)/2} \frac{dw}{w(1-t-w)} \right) + O\left(\frac{\varepsilon n^{2\gamma-1}}{\log^2 n}\right) \\ &\leq 0.26308Z(\gamma)C(n) \, \frac{n^{2\gamma-1}}{\log^2 n}. \end{split}$$

8. The proof of Theorem 3. Applying Lemmas 10, 11 and 16 to the expression in (6.2), we obtain

$$S_1 \ge 3.07706Z(\gamma)C(n) \frac{n^{2\gamma-1}}{\log^2 n}.$$

Applying Lemmas 12, 13, 14, 17 and 18 to the expression in (6.4), we get

$$\mathcal{S}_3 \le 1.67648 Z(\gamma) C(n) \frac{n^{2\gamma - 1}}{\log^2 n}.$$

In (6.1), the above two inequalities and Lemma 15 yield

$$T(n) \ge 0.01379 Z(\gamma) C(n) \frac{n^{2\gamma - 1}}{\log^2 n} \ge \frac{\varrho_0 C(n) n^{2\gamma - 1}}{\log^2 n}.$$

Hence, Theorem 3 follows.

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