

## On the Linnik–Sprindžuk theorem about the zeros of $L$ -functions

by

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*Dedicated to Professor W. M. Schmidt  
on the occasion of his 75th birthday*

**1. Introduction.** One of the many mysteries of the zeros of  $L$ -functions is embodied by the following theorem of Sprindžuk [11], [12], obtained by a development of Linnik’s [8] ideas. Assume the Riemann Hypothesis (RH) for the Riemann zeta function  $\zeta(s)$ ; then the generalized RH for the Dirichlet  $L$ -functions is equivalent to the asymptotic formulae

$$\sum_{\gamma} |\gamma|^{i\gamma} e^{-i\gamma - \pi|\gamma|/2} \left(x + 2\pi i \frac{a}{q}\right)^{-1/2 - i\gamma} = -\frac{\mu(q)}{x\sqrt{2\pi}\varphi(q)} + O(x^{-1/2-\varepsilon})$$

as  $x \rightarrow 0^+$ , where  $\gamma$  runs over the imaginary parts of the non-trivial zeros of  $\zeta(s)$ , and  $q \geq 2$  and  $a$  are integers with  $(a, q) = 1$ ,  $0 < |a| \leq q/2$ . Roughly speaking, the Linnik–Sprindžuk theorem says that the generalized RH is equivalent to RH plus a suitable property of the vertical distribution of the zeros of  $\zeta(s)$ . Another way of looking at this theorem is to say that the generalized RH is equivalent to RH plus a suitable behaviour of certain “twists” of the zeta-zeros. In other words, the zeros of  $\zeta(s)$  contain information on the zeros of  $L(s, \chi)$ , and conversely. Such a result has been extended in various ways by Fujii [2]–[4] and by Suzuki [13]. In particular, Suzuki [13] extended the Linnik–Sprindžuk theorem to the Selberg class  $\mathcal{S}$  of  $L$ -functions, thus obtaining a similar relation between the zeros of a function  $F(s)$  and those of the twists  $F(s, \chi)$  by primitive Dirichlet characters, provided both  $F(s)$  and  $F(s, \chi)$  belong to  $\mathcal{S}$ .

Our aim in this paper is to obtain a different form of the above Linnik–Sprindžuk phenomenon. We formulate our results in the framework of the

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2000 *Mathematics Subject Classification*: 11M41, 11M26.

*Key words and phrases*: Linnik–Sprindžuk theorem, Riemann Hypothesis, Selberg class.

Selberg class  $\mathcal{S}$ , defined as follows. Every function  $F \in \mathcal{S}$  is a Dirichlet series

$$F(s) = \sum_{n=1}^{\infty} \frac{a(n)}{n^s}$$

absolutely convergent for  $\sigma > 1$ , and there exists an integer  $m \geq 0$  such that  $(s-1)^m F(s)$  is an entire function of finite order; the minimum of such integers is denoted by  $m_F$ . Moreover,  $F(s)$  satisfies a functional equation of type

$$(1) \quad Q^s \prod_{j=1}^r \Gamma(\lambda_j s + \mu_j) F(s) = \omega Q^{1-s} \prod_{j=1}^r \Gamma(\lambda_j(1-s) + \bar{\mu}_j) \bar{F}(1-s),$$

where  $\bar{F}(s) = \overline{F(\bar{s})}$ ,  $|\omega| = 1$ ,  $Q > 0$ ,  $\lambda_j > 0$  and  $\Re \mu_j \geq 0$ . In addition,  $a(n) \ll n^\varepsilon$  for every  $\varepsilon > 0$ , and  $F(s)$  has an Euler product satisfying

$$\log F(s) = \sum_{n=1}^{\infty} \frac{b(n)}{n^s},$$

where  $b(n) = 0$  unless  $n = p^k$  with  $k \geq 1$ , and  $b(n) \ll n^\vartheta$  for some  $\vartheta < 1/2$ . We refer to our surveys [5], [6], [9] and [10] for the basic theory of the Selberg class. We also use the notation

$$\psi(s) = \frac{\Gamma'}{\Gamma}(s)$$

for the logarithmic derivative of  $\Gamma(s)$ .

For  $F \in \mathcal{S}$  and  $\alpha \in \mathbb{R} \setminus \{0\}$  we write

$$H_F(s, \alpha) = \sum_{\varrho} \Gamma(\varrho - s) (2\pi i \alpha)^{s-\varrho},$$

$$G_F(s, \alpha) = H_F(s, \alpha) + \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j),$$

where  $\varrho$  runs over the non-trivial zeros of  $F(s)$ . By the Riemann–von Mangoldt and Stirling's formulae, the series for  $H_F(s, \alpha)$  converges absolutely and uniformly on compact sets for  $\sigma > 3/2$  and  $s \neq \varrho + l$  with  $l = 1, 2, \dots$  (see beginning of the next section). Moreover, assuming the General Riemann Hypothesis (GRH) for  $F(s)$ , the above condition  $\sigma > 3/2$  can be replaced by  $\sigma > 1$ . The analytic properties of  $G_F(s, \alpha)$  are given by the following theorem.

**THEOREM 1.** *Let  $F \in \mathcal{S}$  and  $m \in \mathbb{Z} \setminus \{0\}$ . Then  $G_F(s, m)$  is meromorphic on  $\mathbb{C}$ . Moreover,  $G_F(s, m)$  is holomorphic for  $\sigma < 1$ , while for  $\sigma \geq 1$  it has simple poles at  $s = \varrho + k$ , where  $\varrho$  runs over the non-trivial zeros of  $F(s)$  and  $k = 1, 2, \dots$ , and at  $s = 1$  if  $m_F \neq 0$ .*

Theorem 1 immediately yields the analytic properties of  $H_F(s, m)$ .

COROLLARY 1. *Let  $F \in \mathcal{S}$  and  $m \in \mathbb{Z} \setminus \{0\}$ . Then  $H_F(s, m)$  is meromorphic on  $\mathbb{C}$ . Moreover,  $H_F(s, m)$  has simple poles at the points  $s = -(\mu_j + k)/\lambda_j$ ,  $j = 1, \dots, r$  and  $k = 0, 1, \dots$ , for  $\sigma < 1$ , while for  $\sigma \geq 1$  it has simple poles at  $s = \varrho + k$ , where  $\varrho$  runs over the non-trivial zeros of  $F(s)$  and  $k = 1, 2, \dots$ , and at  $s = 1$  if  $m_F \neq 0$ .*

Note that the polar structure of  $H_F(s, m)$  does not depend on  $m$ ; this is already clear for  $\sigma > 3/2$  from the convergence properties of the series for  $H_F(s, \alpha)$ . Note also that the poles of  $H_F(s, m)$  in the half-plane  $\sigma < 1$  almost coincide with the trivial zeros of  $F(s)$ , the only difference occurring at  $s = 0$  if  $m_F \neq 0$ ; moreover, such poles lie in the half-plane  $\sigma \leq 0$ .

Let now  $\chi \pmod{q}$ ,  $q \geq 2$ , be a primitive Dirichlet character and write

$$l^*(s, \chi) = 2\chi(-1)\omega_\chi q^{s-1/2}l(s, \bar{\chi}) \cos\left(\frac{\pi(s + a(\chi))}{2}\right),$$

where

$$l(s, \chi) = \sum_{0 < a < q/2} \frac{\chi(a)}{a^s}, \quad a(\chi) = \begin{cases} 0 & \text{if } \chi(-1) = 1, \\ 1 & \text{if } \chi(-1) = -1, \end{cases} \quad \omega_\chi = \frac{\tau(\chi)}{i^{a(\chi)}\sqrt{q}}$$

and  $\tau(\chi)$  is the Gauss sum. Moreover, let

$$F(s, \chi) = \sum_{n=1}^{\infty} \frac{a(n)\chi(n)}{n^s}$$

be the twist of  $F(s)$  by  $\chi$ , and write

$$H_F(s, \chi) = \sum_{\varrho} \Gamma(\varrho - s)(2\pi)^{s-\varrho} l^*(\varrho - s, \chi),$$

$$G_F(s, \chi) = H_F(s, \chi) - \frac{F'}{F}(s, \chi),$$

where again the summation is over the non-trivial zeros of  $F(s)$ . The function  $H_F(s, \chi)$  is a kind of twist of  $H_F(s, \alpha)$  (see Lemma 4 below), and its convergence properties are similar to those of  $H_F(s, \alpha)$  (i.e. convergence for  $\sigma > 3/2$  with  $s \neq \varrho + l$ , and for  $\sigma > 1$  under GRH). We have

THEOREM 2. *Let  $F \in \mathcal{S}$  and  $\chi \pmod{q}$ ,  $q \geq 2$ , be a primitive Dirichlet character. Then  $G_F(s, \chi)$  is meromorphic on  $\mathbb{C}$ . Moreover,  $G_F(s, \chi)$  is holomorphic for  $\sigma < 1$ , while for  $\sigma \geq 1$  it has simple poles at  $s = \varrho + k$ , where  $\varrho$  runs over the non-trivial zeros of  $F(s)$  and  $k = 1, 2, \dots$ , provided  $l^*(-k, \bar{\chi}) \neq 0$ , and at  $s = 1$  if  $m_F \neq 0$  and  $l^*(-1, \bar{\chi}) \neq 0$ .*

We briefly discuss the meaning of Theorem 2 after Corollary 2 below. Note that the zeros of  $l^*(-k, \bar{\chi})$  come from those of  $l(-k, \bar{\chi})$  and of  $\cos(\pi(-k + a(\chi))/2)$ , and the zeros of the latter are easily described; in

particular, the cosine factor cancels the poles of  $G_F(s, \chi)$  in infinitely many strips of type  $k \leq \sigma \leq k + 1$ .

Given  $F \in \mathcal{S}$ , it is expected that the conductor  $q_F = (2\pi)^{d_F} Q^2 \prod_{j=1}^r \lambda_j^{2\lambda_j}$  is an integer (where  $d_F = 2 \sum_{j=1}^r \lambda_j$  is the degree and  $Q, \lambda_j$  are given by (1)), and that the twists  $F(s, \chi)$  belong to the class  $\mathcal{S}$  for every primitive character  $\chi \pmod{q}$  with  $(q, q_F) = 1$ ; see [7]. However, at present nothing is known in general about the analytic properties of  $F(s, \chi)$  outside the half-plane  $\sigma > 1$  of absolute convergence, although the above twist conjecture is known to hold for most classical  $L$ -functions. Theorem 2 shows that the continuation properties of  $F(s, \chi)$  and of  $H_F(s, \chi)$  are closely related; in particular, the meromorphic continuation to the whole complex plane of  $F(s, \chi)$  is equivalent to that of  $H_F(s, \chi)$ , and more precise information can be obtained assuming the twist conjecture. Therefore, in this case we cannot switch from  $G_F(s, \chi)$  to the more interesting function  $H_F(s, \chi)$  for  $\sigma \leq 1$ . We can, however, immediately deduce the meromorphic continuation of  $H_F(s, \chi)$  to  $\sigma > 1$  without assuming GRH.

**COROLLARY 2.** *Let  $F \in \mathcal{S}$  and  $\chi \pmod{q}$ ,  $q \geq 2$ , be a primitive Dirichlet character. Then  $H_F(s, \chi)$  is meromorphic for  $\sigma > 1$  with simple poles at  $s = \varrho + k$ , where  $\varrho$  runs over the non-trivial zeros of  $F(s)$  and  $k = 1, 2, \dots$ , provided  $l^*(-k, \bar{\chi}) \neq 0$ , and at  $s = 1$  if  $m_F \neq 0$  and  $l^*(-1, \bar{\chi}) \neq 0$ .*

In the spirit of the Linnik–Sprindžuk theorem, we now assume the twist conjecture and observe the behaviour of the poles when switching from  $H_F(s, \alpha)$  to  $H_F(s, \chi)$ . We first note from Corollary 1 that  $H_F(s, m)$  has poles at (essentially) the trivial zeros of  $F(s)$ , is holomorphic for  $0 < \sigma < 1$  and has poles at the shifted non-trivial zeros of  $F(s)$  in each strip  $k \leq \sigma \leq k + 1$  with integer  $k \geq 1$ . Then, if we “twist”  $H(s, \alpha)$  to get  $H_F(s, \chi)$ , by Theorem 2 the poles in the strips  $k \leq \sigma \leq k + 1$  remain unchanged (if  $l^*(-k, \bar{\chi}) \neq 0$ ) or disappear (if  $l^*(-k, \bar{\chi}) = 0$ ), but for  $\sigma < 1$  simple poles at the zeros of  $F(s, \chi)$  pop up. In particular,  $H_F(s, \chi)$  is defined by means of the non-trivial zeros of  $F(s)$ , and its poles keep track of the non-trivial zeros of both  $F(s)$  and  $F(s, \chi)$ .

We finally remark that suitable variants of Theorem 2 can be obtained by the arguments in this paper. For example, in the prototypical case of  $\zeta(s)$  we may consider functions of type

$$K(s, \chi) = \sum_{\gamma > 0} \frac{g^*(\varrho - s, \bar{\chi})}{(\varrho/i)^{s+1/2-\varrho}},$$

where  $\varrho = \beta + i\gamma$  runs over the non-trivial zeros of  $\zeta(s)$  and

$$g^*(s, \chi) = \left(\frac{q}{2\pi}\right)^s g(s, \chi), \quad g(s, \chi) = \sum_{a=1}^q \frac{\chi(a)}{a^s}.$$

Then  $K(s, \chi)$  is convergent for  $\sigma > 3/2$  (for  $\sigma > 1$  under RH), has meromorphic continuation to the whole complex plane, and its poles are located at the points  $s = \varrho_\chi - k$ , with integer  $k \geq 0$  and  $\varrho_\chi$  running over the non-trivial zeros of  $L(s, \chi)$ , and at  $s = k$  with integer  $k \leq 1$ .

**Acknowledgments.** This research was partially supported by a MUR grant Cofin2006 and by the KBN grant N N201 1482 33.

**2. Proofs.** Let  $\alpha \in \mathbb{R} \setminus \{0\}$ ,  $X > 0$ ,  $z_X(\alpha) = 1/X + 2\pi i\alpha$ ,  $e(x) = e^{2\pi i x}$  and for  $\sigma > 1$

$$-\frac{F'}{F}(s) = \sum_{n=1}^{\infty} \frac{b(n) \log n}{n^s} = \sum_{n=1}^{\infty} \frac{\Lambda_F(n)}{n^s},$$

say. By Mellin's transform and then shifting the line of integration to  $-\infty$  we have

$$\begin{aligned} (2) \quad & \sum_{n=1}^{\infty} \frac{\Lambda_F(n)}{n^s} e(-n\alpha) e^{-n/X} \\ &= \frac{1}{2\pi i} \int_{(2)} \left\{ -\frac{F'}{F}(s+w) \right\} \Gamma(w) z_X(\alpha)^{-w} dw \\ &= m_F \Gamma(1-s) z_X(\alpha)^{s-1} + \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \left\{ -\frac{F'}{F}(s-k) \right\} z_X(\alpha)^k \\ &\quad - \sum_{\varrho} \Gamma(\varrho-s) z_X(\alpha)^{s-\varrho} - \sum_{j=1}^r \sum_{l=0}^{\infty} \Gamma\left(-s - \frac{l+\mu_j}{\lambda_j}\right) z_X(\alpha)^{s+(l+\mu_j)/\lambda_j} \end{aligned}$$

for  $s$  different from the poles of the  $\Gamma$ -functions involved and of  $-\frac{F'}{F}(s-k)$ . In fact, the series on the right hand side of (2) are convergent and

$$\int_{(-K)} \left\{ -\frac{F'}{F}(s+w) \right\} \Gamma(w) z_X(\alpha)^{-w} dw \rightarrow 0$$

as  $K \rightarrow \infty$  over a suitable sequence such that the lines  $\sigma = K$  are free from the poles of the integrand.

As we already remarked in the Introduction, the series

$$H_F(s, \alpha) = \sum_{\varrho} \Gamma(\varrho-s) z_{\infty}(\alpha)^{s-\varrho}$$

converges absolutely and uniformly on compact sets for  $\sigma > 3/2$  and  $s \neq \varrho+l$  with  $l = 1, 2, \dots$  (for  $\sigma > 1$  under GRH). Indeed,

$$\Gamma(\varrho-s) \ll e^{-\pi|\gamma|/2} |\gamma|^{1/2-\sigma} \quad \text{and} \quad z_{\infty}^{s-\varrho} \ll e^{\pi|\gamma|/2},$$

hence for  $\varrho - s \notin \mathbb{Z}$ ,

$$H_F(s, \alpha) \ll \sum_{\varrho} (1 + |\gamma|)^{1/2-\sigma},$$

which is convergent for  $\sigma > 3/2$  thanks to the Riemann–von Mangoldt formula for the number of zeros in the critical strip (similarly under GRH). Therefore, letting  $X \rightarrow \infty$  in (2), for  $\sigma > 3/2$  and  $s$  different from the poles of the  $\Gamma$ -functions involved and of  $-\frac{F'}{F}(s-k)$  we get

$$(3) \quad \begin{aligned} H_F(s, \alpha) = & - \sum_{n=1}^{\infty} \frac{A_F(n)}{n^s} e(-n\alpha) + m_F \Gamma(1-s) z_{\infty}(\alpha)^{s-1} \\ & + \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \left\{ -\frac{F'}{F}(s-k) \right\} z_{\infty}(\alpha)^k \\ & - \sum_{j=1}^r \sum_{l=0}^{\infty} \Gamma\left(-s - \frac{l + \mu_j}{\lambda_j}\right) z_{\infty}(\alpha)^{s+(l+\mu_j)/\lambda_j}. \end{aligned}$$

We have  $z_{\infty}(\alpha) = 2\pi i\alpha$  and, by the functional equation,

$$-\frac{F'}{F}(s) = 2 \log Q + \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) + \sum_{j=1}^r \lambda_j \psi(\lambda_j(1-s) + \bar{\mu}_j) + \frac{\bar{F}'}{F}(1-s),$$

hence (3) becomes, for the same values of  $s$ ,

$$\begin{aligned} H_F(s, \alpha) = & - \sum_{n=1}^{\infty} \frac{A_F(n)}{n^s} e(-n\alpha) + \sum_{n=1}^{\infty} \frac{A_F(n)}{n^s} + m_F \Gamma(1-s) (2\pi i\alpha)^{s-1} \\ & + \sum_{k=1}^{\infty} \frac{(-1)^k}{k!} \left\{ 2 \log Q + \sum_{j=1}^r \lambda_j \psi(\lambda_j(s-k) + \mu_j) \right. \\ & \left. + \sum_{j=1}^r \lambda_j \psi(\lambda_j(1-s+k) + \bar{\mu}_j) + \frac{\bar{F}'}{F}(1-s+k) \right\} (2\pi i\alpha)^k \\ & - \sum_{j=1}^r \sum_{l=0}^{\infty} \Gamma\left(-s - \frac{l + \mu_j}{\lambda_j}\right) (2\pi i\alpha)^{s+(l+\mu_j)/\lambda_j} \\ = & \sum_{n=1}^{\infty} \frac{A_F(n)(1 - e(-n\alpha))}{n^s} + m_F \Gamma(1-s) (2\pi i\alpha)^{s-1} \\ & + 2e(-\alpha) \log Q - \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) \\ & + \sum_{j=1}^r \left( \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \lambda_j \psi(\lambda_j(s-k) + \mu_j) (2\pi i\alpha)^k \right. \end{aligned}$$

$$\begin{aligned}
& - \sum_{l=0}^{\infty} \Gamma\left(-s - \frac{l + \mu_j}{\lambda_j}\right) (2\pi i \alpha)^{s+(l+\mu_j)/\lambda_j} \\
& + \sum_{j=1}^r \lambda_j \sum_{k=1}^{\infty} \frac{(-1)^k}{k!} \psi(\lambda_j(1-s+k) + \bar{\mu}_j) (2\pi i \alpha)^k \\
& + \sum_{k=1}^{\infty} \frac{(-1)^k}{k!} \frac{\bar{F}'}{\bar{F}} (1-s+k) (2\pi i \alpha)^k \\
& = \sum_{n=1}^{\infty} \frac{A_F(n)(1 - e(-n\alpha))}{n^s} + m_F \Gamma(1-s) (2\pi i \alpha)^{s-1} + 2e(-\alpha) \log Q \\
& - \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) + A(s, \alpha) + B(s, \alpha) + C(s, \alpha),
\end{aligned}$$

say. With this notation, we have proved the following

LEMMA 1. *Let  $F \in \mathcal{S}$  and  $\alpha \in \mathbb{R} \setminus \{0\}$ . Then  $H_F(s, \alpha)$  is meromorphic for  $\sigma > 3/2$ , and*

$$\begin{aligned}
H_F(s, \alpha) + \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) \\
& = \sum_{n=1}^{\infty} \frac{A_F(n)(1 - e(-n\alpha))}{n^s} + m_F \Gamma(1-s) (2\pi i \alpha)^{s-1} \\
& \quad + 2e(-\alpha) \log Q + A(s, \alpha) + B(s, \alpha) + C(s, \alpha).
\end{aligned}$$

The functions  $B(s, \alpha)$  and  $C(s, \alpha)$  are easy to deal with. Indeed, for  $\sigma < 2$  and  $k \geq 1$  we have  $\Re(\lambda_j(1-s+k) + \bar{\mu}_j) \geq \lambda_j(2-\sigma) > 0$ , therefore

$$\psi(\lambda_j(1-s+k) + \bar{\mu}_j) \ll_s \log k$$

and hence the series in  $B(s, \alpha)$  is absolutely convergent. Thus

$$(4) \quad B(s, \alpha) \text{ is holomorphic for } \sigma < 2.$$

Moreover, for  $\sigma < 2$  and  $k \geq 2$  we have  $\Re(1-s+k) \geq 3-\sigma > 1$ , hence

$$(5) \quad C(s, \alpha) = -2\pi i \alpha \frac{\bar{F}'}{\bar{F}}(2-s) + O_s\left(\sum_{k=2}^{\infty} \frac{(2\pi|\alpha|)^k}{k!}\right).$$

Therefore the  $O$ -term in (5) is holomorphic for  $\sigma < 2$ , and in particular

$$(6) \quad C(s, \alpha) \text{ is meromorphic for } \sigma < 2 \text{ and holomorphic for } \sigma < 1.$$

In order to study  $A(s, \alpha)$  we write

$$(7) \quad A(s, \alpha) = \sum_{j=1}^r A(s; \lambda_j, \mu_j, \alpha),$$

where

$$(8) \quad A(s; \lambda, \mu, \alpha) = \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \lambda \psi(\lambda(s-k) + \mu) (2\pi i \alpha)^k \\ - \sum_{l=0}^{\infty} \Gamma\left(-s - \frac{l+\mu}{\lambda}\right) (2\pi i \alpha)^{s+(l+\mu)/\lambda},$$

and prove the following

LEMMA 2. *For  $\lambda > 0$ ,  $\mu \in \mathbb{C}$  and  $\alpha \in \mathbb{R}$  the function  $A(s; \lambda, \mu, \alpha)$  is entire.*

*Proof.* We write (8) as

$$(9) \quad A(s; \lambda, \mu, \alpha) = A_1(s; \lambda, \mu, \alpha) - A_2(s; \lambda, \mu, \alpha)$$

and investigate first  $A_2(s; \lambda, \mu, \alpha)$ . For  $\sigma > 0$  and  $\xi > 0$  we have

$$\Gamma(s) = \int_0^{\infty} e^{-x} x^{s-1} dx = \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \int_0^{\xi} x^{s+k-1} dx + \int_{\xi}^{\infty} e^{-x} x^{s-1} dx \\ = \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \frac{\xi^{s+k}}{s+k} + \int_{\xi}^{\infty} e^{-x} x^{s-1} dx,$$

and by analytic continuation this holds for every  $s \in \mathbb{C} \setminus \{0, -1, -2, \dots\}$ . Hence, assuming

$$(10) \quad \xi > 2\pi|\alpha|,$$

we have

$$A_2(s; \lambda, \mu, \alpha) = \sum_{l=0}^{\infty} \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \frac{\xi^{-s-(l+\mu)/\lambda+k}}{-s-(l+\mu)/\lambda+k} (2\pi i \alpha)^{s+(l+\mu)/\lambda} \\ + \sum_{l=0}^{\infty} (2\pi i \alpha)^{s+(l+\mu)/\lambda} \int_{\xi}^{\infty} e^{-x} x^{-s-(l+\mu)/\lambda-1} dx \\ = - \sum_{l=0}^{\infty} \sum_{k=0}^{\infty} \frac{(-\xi)^k}{k!} \left(\frac{2\pi i \alpha}{\xi}\right)^{s+(l+\mu)/\lambda} \frac{1}{s+(l+\mu)/\lambda-k} \\ + (2\pi i \alpha)^{s+\mu/\lambda} \int_{\xi}^{\infty} e^{-x} x^{-s-\mu/\lambda-1} \sum_{l=0}^{\infty} \left(\frac{2\pi i \alpha}{x}\right)^{l/\lambda} dx \\ = - \sum_{l=0}^{\infty} \sum_{k=0}^{\infty} \frac{(-\xi)^k}{k!} \left(\frac{2\pi i \alpha}{\xi}\right)^{s+(l+\mu)/\lambda} \frac{1}{s+(l+\mu)/\lambda-k} \\ + (2\pi i \alpha)^{s+\mu/\lambda} \int_{\xi}^{\infty} e^{-x} x^{-s-\mu/\lambda-1} \frac{1}{1-(2\pi i \alpha/x)^{1/\lambda}} dx.$$



Since the last summand is an entire function of  $s$  we get

$$(11) \quad A_2(s; \lambda, \mu, \alpha) = - \sum_{l=0}^{\infty} \sum_{k=0}^{\infty} \frac{(-\xi)^k}{k!} \left( \frac{2\pi i \alpha}{\xi} \right)^{s+(l+\mu)/\lambda} \frac{1}{s + (l + \mu)/\lambda - k} + E_1(s, \xi),$$

where  $E_1(s, \xi)$  is an entire function.

In order to deal with  $A_1(s; \lambda, \mu, \alpha)$  we recall (see eq. (3) on p. 15 of [1]) that for  $s \neq 0, -1, \dots$ ,

$$\psi(s) = -\gamma + \sum_{l=0}^{\infty} \frac{s-1}{(l+1)(s+l)},$$

where  $\gamma$  is Euler's constant. Hence

$$(12) \quad A_1(s; \lambda, \mu, \alpha) = -\gamma \lambda e(-\alpha) + \lambda \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \frac{(-1)^k}{k!} \frac{\lambda(s-k) + \mu - 1}{(l+1)(\lambda(s-k) + \mu + l)} (2\pi i \alpha)^k \\ = -\gamma \lambda e(-\alpha) + \lambda \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \frac{(-2\pi i \alpha)^k}{k!} \frac{s + (\mu - 1)/\lambda - k}{(l+1)(s + (l + \mu)/\lambda - k)}.$$

Thus from (9), (11) and (12) we obtain

$$(13) \quad A(s; \lambda, \mu, \alpha) = \lambda \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \frac{(-2\pi i \alpha)^k}{k!} \frac{s + (\mu - 1)/\lambda - k}{(l+1)(s + (l + \mu)/\lambda - k)} \\ + \sum_{l=0}^{\infty} \sum_{k=0}^{\infty} \frac{(-\xi)^k}{k!} \left( \frac{2\pi i \alpha}{\xi} \right)^{s+(l+\mu)/\lambda} \frac{1}{s + (l + \mu)/\lambda - k} + E_2(s, \xi) \\ = \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \frac{(-2\pi i \alpha)^k}{k!} \left( \frac{\lambda s + \mu - 1 - k\lambda}{l+1} + \left( \frac{2\pi i \alpha}{\xi} \right)^{s+(l+\mu)/\lambda - k} \right) \\ \times \frac{1}{s + (l + \mu)/\lambda - k} + E_2(s, \xi) \\ = \sum_{\substack{k, l \geq 0 \\ |s+(l+\mu)/\lambda - k| < 1}} \frac{(-2\pi i \alpha)^k}{k!} \left( \frac{\lambda s + \mu - 1 - k\lambda}{l+1} + \left( \frac{2\pi i \alpha}{\xi} \right)^{s+(l+\mu)/\lambda - k} \right) \\ \times \frac{1}{s + (l + \mu)/\lambda - k}$$

$$\begin{aligned}
& + \sum_{\substack{k, l \geq 0 \\ |s+(l+\mu)/\lambda-k| \geq 1}} \frac{(-2\pi i \alpha)^k}{k!} \left( \frac{\lambda s + \mu - 1 - k\lambda}{l+1} + \left( \frac{2\pi i \alpha}{\xi} \right)^{s+(l+\mu)/\lambda-k} \right) \\
& \qquad \qquad \qquad \times \frac{1}{s + (l + \mu)/\lambda - k} + E_2(s, \xi) \\
& = S_1(s, \xi) + S_2(s, \xi) + E_2(s, \xi)
\end{aligned}$$

say, with an entire function  $E_2(s, \xi)$ . In  $S_1(s, \xi)$  we always have  $k \ll l \ll k$  and, recalling (10), also

$$\left| \frac{\frac{\lambda s + \mu - 1 - k\lambda}{l+1} + (2\pi i \alpha / \xi)^{s+(l+\mu)/\lambda-k}}{s + (l + \mu)/\lambda - k} \right| = \left| \frac{\lambda}{l+1} + \frac{(2\pi i \alpha / \xi)^{s+(l+\mu)/\lambda-k} - 1}{s + (l + \mu)/\lambda - k} \right| \ll 1,$$

therefore

$$S_1(s, \xi) \ll \sum_{k=0}^{\infty} \frac{1}{k!} \sum_{k \ll l \ll k} 1.$$

Since the series is convergent,  $S_1(s, \xi)$  is an entire function. Moreover, again recalling (10) we obtain

$$\begin{aligned}
S_2(s, \xi) & \ll \sum_{\substack{k, l \geq 0 \\ |s+(l+\mu)/\lambda-k| \geq 1}} \frac{|2\pi \alpha|^k}{k!} \left( \frac{k+1}{l+1} + \left| \frac{2\pi \alpha}{\xi} \right|^{l/\lambda-k} \right) \frac{1}{|s + (l + \mu)/\lambda - k|} \\
& \ll \sum_{k=0}^{\infty} \frac{|2\pi \alpha|^k (k+1)}{k!} \sum_{\substack{l \geq 0 \\ |s+(l+\mu)/\lambda-k| \geq 1}} \frac{1}{(l+1)|s + (l + \mu)/\lambda - k|} \\
& \quad + \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \frac{\xi^k}{k!} \left| \frac{2\pi \alpha}{\xi} \right|^{l/\lambda}.
\end{aligned}$$

The two series are convergent, and hence  $S_2(s, \xi)$  is an entire function as well. Lemma 2 then follows from (13). ■

REMARK. If  $\lambda \in \mathbb{Q}^+$  the proof of Lemma 1 can be simplified: one just has to compute the residue at each suspected pole and to show that it vanishes.

From Lemma 1, (4), (6), (7) and Lemma 2 we immediately deduce the following basic formula.

LEMMA 3. *Let  $F \in \mathcal{S}$  and  $\alpha \in \mathbb{R} \setminus \{0\}$ . Then*

$$H_F(s, \alpha) + \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) = \sum_{n=1}^{\infty} \frac{\Lambda_F(n)(1 - e(-n\alpha))}{n^s} + M_F(s, \alpha),$$

where  $M_F(s, \alpha)$  is meromorphic on  $\mathbb{C}$  and holomorphic for  $\sigma < 1$ .

The proof of Theorem 1 is now easy. By Lemma 3, for  $\alpha = m \in \mathbb{Z} \setminus \{0\}$  we have

$$G_F(s, m) = H_F(s, m) + \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) = M_F(s, m),$$

and the first two statements of Theorem 1 follow. To prove the last statement we first note that  $H_F(s, m)$ ,  $G_F(s, m)$  and  $M_F(s, m)$  have the same poles for  $\sigma \geq 1$ . Thanks to the convergence properties of the series, for  $\sigma > 3/2$  the function  $H_F(s, m)$  is holomorphic apart from simple poles at  $s = \varrho + k$ , where  $\varrho$  and  $k$  run over the non-trivial zeros of  $F(s)$  and over the integers  $\geq 2$ , respectively. Concerning the remaining range  $1 \leq \sigma \leq 3/2$ , from Lemma 1, (4), (5) and Lemma 2 we have

$$M_F(s, m) = m_F \Gamma(1-s)(2\pi i m)^{s-1} - 2\pi i m \frac{\bar{F}'}{\bar{F}}(2-s) + h(s),$$

where  $h(s)$  is holomorphic for  $\sigma < 2$ . Hence in that range the poles of  $M_F(s, m)$  are a simple pole at  $s = 1$  (if  $m_F \neq 0$ ) and simple poles at  $2-s = \bar{\varrho}$ , since the zeros of  $\bar{F}(s)$  are at  $\bar{\varrho}$ . Therefore  $M_F(s, m)$  has simple poles at  $s = 2 - \bar{\varrho} = 2 - \beta + i\gamma = 1 + 1 - \beta + i\gamma = 1 + \varrho$  by the functional equation. Theorem 1 is thus proved. ■

Turning to the proof of Theorem 2, the next lemma supports the assertion that  $H_F(s, \chi)$  is a kind of twist of  $H_F(s, \alpha)$ .

LEMMA 4. *Let  $\chi \pmod{q}$ ,  $q > 2$ , be a primitive Dirichlet character. Then for  $\sigma > 3/2$  we have*

$$H_F(s, \chi) = \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) H_F\left(s, \frac{a}{q}\right).$$

*Proof.* Thanks to the convergence properties of  $H_F(s, \alpha)$ , for  $\sigma > 3/2$  we have

$$\begin{aligned} (14) \quad & \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) H_F\left(s, \frac{a}{q}\right) \\ &= \sum_{\varrho} \Gamma(\varrho - s) (2\pi)^{s-\varrho} \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) \left(i \frac{a}{q}\right)^{s-\varrho}. \end{aligned}$$

But, writing  $w = \varrho - s$ ,

$$\begin{aligned} & \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) \left(i \frac{a}{q}\right)^{-w} \\ &= \frac{\tau(\chi)}{q} \sum_{0 < a < q/2} \bar{\chi}(a) \left(\frac{a}{q}\right)^{-w} (i^{-w} + \chi(-1)(-1)^{-w}) \end{aligned}$$

$$\begin{aligned}
&= \chi(-1) \frac{\tau(\chi)}{q} q^w \sum_{0 < a < q/2} \frac{\bar{\chi}(a)}{a^w} (\chi(-1)e^{-i\pi w/2} + e^{i\pi w/2}) \\
&= \chi(-1) \frac{\tau(\chi)}{\sqrt{q}} q^{w-1/2} l(w, \bar{\chi}) i^{-a(\chi)} (e^{-i\pi(w+a(\chi))/2} + e^{i\pi(w+a(\chi))/2}) \\
&= 2\chi(-1) \frac{\tau(\chi)}{i^{a(\chi)}\sqrt{q}} q^{w-1/2} l(w, \bar{\chi}) \cos\left(\frac{\pi(w+a(\chi))}{2}\right) = l^*(w, \chi),
\end{aligned}$$

and the lemma follows from (14). ■

Theorem 2 now follows from Lemmas 3 and 4. In fact, for a primitive character  $\chi \pmod{q}$  we have, since  $q > 2$  and hence  $(q/2, q) > 1$  if  $q/2 \in \mathbb{N}$ ,

$$(15) \quad \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) e\left(-\frac{na}{q}\right) = \frac{1}{\tau(\chi)} \sum_{0 < |a| < q/2} \overline{\chi(a) e\left(\frac{na}{q}\right)} = \chi(n)$$

and hence, using the orthogonality of the characters, by Lemmas 4 and 3 for  $\sigma > 3/2$  we obtain

$$\begin{aligned}
H_F(s, \chi) &= \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) \left( \sum_{n=1}^{\infty} \frac{\Lambda_F(n)}{n^s} - \sum_{n=1}^{\infty} \frac{\Lambda_F(n) e(-na/q)}{n^s} \right. \\
&\quad \left. - \sum_{j=1}^r \lambda_j \psi(\lambda_j s + \mu_j) + M_F\left(s, \frac{a}{q}\right) \right) \\
&= \frac{F'}{F}(s, \chi) + \frac{\tau(\chi)}{q} \sum_{0 < |a| < q/2} \bar{\chi}(a) M_F\left(s, \frac{a}{q}\right).
\end{aligned}$$

Hence Lemma 3 implies that  $G(s, \chi)$  is meromorphic on  $\mathbb{C}$  and holomorphic for  $\sigma < 1$ . As in the proof of Theorem 1, the poles of  $G_F(s, \chi)$  in the half-plane  $\sigma > 3/2$  are detected by means of the convergence properties of the series defining  $H_F(s, \chi)$ .

The remaining range  $1 \leq \sigma \leq 3/2$  is treated as follows. By the properties of  $H_F(s, \alpha)$  in Lemma 1, (4), (6) and Lemma 2, for  $1 < \sigma < 2$  we have

$$\begin{aligned}
(16) \quad H_F\left(s, \frac{a}{q}\right) &= \frac{F'}{F}(s) - \sum_{n=1}^{\infty} \frac{\Lambda_F(n) e(-an/q)}{n^s} \\
&\quad + m_F \Gamma(1-s) \left(2\pi i \frac{a}{q}\right)^{s-1} - 2\pi i \frac{a}{q} \frac{\bar{F}'}{\bar{F}}(2-s) + k_1(s)
\end{aligned}$$

where  $k_1(s)$  is holomorphic for  $1 \leq \sigma < 2$ . Inserting (16) in Lemma 4, by (15) we get

$$\begin{aligned}
(17) \quad H_F(s, \chi) &= \frac{F'}{F}(s, \chi) + \frac{m_{F\tau}(\chi)}{q} \Gamma(1-s) \sum_{0 < |a| < q/2} \bar{\chi}(a) \left(2\pi i \frac{a}{q}\right)^{s-1} \\
&\quad - \frac{2\pi i \tau(\chi)}{q^2} \frac{\bar{F}'}{\bar{F}}(2-s) \sum_{0 < |a| < q/2} a \bar{\chi}(a) + k_2(s) \\
&= \frac{F'}{F}(s, \chi) + \frac{m_{F\tau}(\chi)}{q} \Gamma(1-s) g(1-s, \chi) \\
&\quad - 2\pi \frac{\bar{F}'}{\bar{F}}(2-s) l^*(-1, \chi) + k_2(s),
\end{aligned}$$

say, where  $k_2(s)$  is holomorphic for  $1 \leq \sigma < 2$ . But, by the orthogonality of characters,  $g(0, \chi) = 0$  and hence the corresponding term in (17) is also holomorphic for  $1 \leq \sigma < 2$ . Therefore, (17) takes the form

$$G_F(s, \chi) = -2\pi \frac{\bar{F}'}{\bar{F}}(2-s) l^*(-1, \chi) + k_3(s)$$

with  $k_3(s)$  holomorphic for  $1 \leq \sigma < 2$ . This means that  $G_F(s, \chi)$  has poles at the points  $\varrho + 1$  if  $l^*(-1, \chi) = l^*(-1, \bar{\chi}) \neq 0$ , and also at  $s = 1$  if  $m_F \neq 0$  and  $l^*(-1, \chi) \neq 0$ . ■

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*Received on 4.12.2007*

(5585)