

On solutions of polynomial congruences

by

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1. Introduction. An interesting problem in number theory is to find solutions of polynomial congruences. In a recent work [9], Ram Murty considered the polynomial congruence $x^q \equiv a \pmod{p}$, where p is a prime, q is a divisor of $p - 1$ and $a^{(p-1)/q} \equiv 1 \pmod{p}$. He showed that the smallest solution x_0 of the congruence is $\ll p^{3/2}(\log p)/q$. In this paper, we consider consecutive solutions of that congruence when $a = 1$. We show that for a natural number M , the above polynomial congruence has M consecutive solutions for sufficiently large primes p . More precisely, we prove

THEOREM 1.1. *Let p be an odd prime and M be a natural number such that $p > 2^{4M}M^4$. Further, let q be a prime divisor of $p - 1$ with $q > (p - 1)^{1-1/4M}$. Then the congruence*

$$(1) \quad x^q \equiv 1 \pmod{p}$$

has M consecutive solutions.

We also consider two-fold generalizations of the question investigated by Ram Murty. In one direction, we study polynomial congruences of the type

$$x^q \equiv a \pmod{d},$$

where d is not necessarily prime, and in another direction, we consider congruences of the form

$$f(x)^q \equiv a \pmod{p}, \quad (a, p) = 1,$$

where $f(x) \in (\mathbb{Z}/p\mathbb{Z})[x]$. In particular, we prove the following theorems:

THEOREM 1.2. *Let q, d be natural numbers such that $q \mid \phi(d)$. Also let $n(q)$ be the number of elements in $(\mathbb{Z}/d\mathbb{Z})^*$ whose order divides q . Suppose that the polynomial congruence*

$$(2) \quad x^q \equiv a \pmod{d}$$

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has a solution. Then the smallest solution x_0 satisfies

$$|x_0| \ll \frac{d^{1/2} \phi(d) \log d}{n(q)}.$$

Note that Theorem 1.2 is non-trivial for $n(q) \gg d^{1/2+\epsilon}$. As an immediate consequence, we have

COROLLARY 1.3. *Let p be an odd prime, $d = p^n, 2p^n$ and $q \mid \phi(d)$. Suppose that the polynomial congruence*

$$(3) \quad x^q \equiv a \pmod{d}$$

has a solution. Then the smallest solution x_0 satisfies

$$|x_0| \ll \frac{p^{3n/2} n \log p}{q}.$$

REMARK 1.1. The case $n = 1$ in the above corollary is a theorem of Ram Murty (see [9]).

THEOREM 1.4. *Let p, q be primes such that $q \parallel (p - 1)$. Also let $f(x)$ be a polynomial over $\mathbb{Z}/p\mathbb{Z}$ which has m distinct roots and $(\ell, \deg f) = 1$ for any $\ell \mid (p - 1)/q$. Suppose that the polynomial congruence*

$$(4) \quad f(x)^q \equiv a \pmod{p}, \quad (a, p) = 1,$$

has a solution. Then the smallest solution x_0 satisfies

$$|x_0| \ll \frac{mp^{3/2} \log p}{q}.$$

REMARK 1.2. Putting $f(x) = x$ in Theorem 1.4, we again recover the theorem of Ram Murty (see [9]). We also refer to a related article due to Hudson [6].

Next we study the distribution of the roots (if they exist) of the congruence $x^q \equiv a \pmod{d}$ with $(a, d) = 1$. We list the $n(q)$ roots as $r_1 < \dots < r_{n(q)} < d$. In this context, we have the following theorem:

THEOREM 1.5. *Fix $\alpha \in (0, 1)$, $\delta > 0$ and a natural number d . Suppose that $q \mid \phi(d)$ and $n(q) > d^\delta$. Then there exists an $\epsilon(\delta) > 0$ such that*

$$\#\{r_i \mid r_i^q \equiv a \pmod{d}, 0 < r_i < \alpha d, 1 \leq i \leq n(q)\} = n(q)\alpha + O(n(q)d^{-\epsilon(\delta)}).$$

In particular, if there is a solution of $x^q \equiv a \pmod{d}$, then the smallest solution x_0 is $\ll d^{1-\epsilon(\delta)}$.

As an immediate corollary, we have

COROLLARY 1.6. *Fix $\alpha \in (0, 1)$, $\delta > 0$ and $d = p^n, 2p^n$ with p odd prime. Suppose that $q \mid \phi(d)$ and $q > d^\delta$. Then there is $\epsilon(\delta) > 0$ such that*

$$\#\{r_i \mid r_i^q \equiv a \pmod{d}, 0 < r_i < \alpha d, 1 \leq i \leq q\} = q\alpha + O(qd^{-\epsilon(\delta)}).$$

2. Preliminaries. Throughout the paper p is prime, M, V, ℓ, q, d are natural numbers, χ_0 is the principal character modulo p or d depending on the context. First we shall need the following estimate due to Weil [11].

THEOREM 2.1 (Weil). *For an integer ℓ satisfying $2 \leq \ell < p$ and for any non-principal characters χ_1, \dots, χ_ℓ and distinct $a_1, \dots, a_\ell \in \mathbb{Z}/p\mathbb{Z}$, we have*

$$\left| \sum_{n=1}^p \chi_1(n + a_1) \cdots \chi_\ell(n + a_\ell) \right| \leq (\ell - 1)\sqrt{p}.$$

For $\ell = 2$, Davenport [4] proved the above bound. Note that when $\ell = 1$, the above sum is 0. Using this, we prove the following lemma.

LEMMA 2.2. *Let $N(p, M)$ denote the number of M consecutive solutions of*

$$x^q \equiv 1 \pmod{p}.$$

Then

$$\left| N(p, M) - p \left(\frac{q}{p-1} \right)^M \right| \leq 2^M M \sqrt{p}.$$

Proof. Write

$$N(p, M) = \sum_{n=1}^p \prod_{j=0}^{M-1} \left(\frac{1}{p-1} \sum_{\chi} \bar{\chi}(1) \chi((n+j)^q) \right),$$

where the inner sum is over all characters modulo p . Dividing the sum into two parts, with $\chi^q = \chi_0$ and $\chi^q \neq \chi_0$, we have

$$N(p, M) = (p-1)^{-M} \sum_{n=1}^p \prod_{j=0}^{M-1} \left(q + \sum_{\substack{\chi \\ \chi^q \neq \chi_0}} \chi((n+j)^q) \right) = p \left(\frac{q}{p-1} \right)^M + A,$$

where

$$\begin{aligned} A &= \frac{1}{(p-1)^M} \sum_{\ell=1}^M \sum_{n=1}^p q^{M-\ell} \sum_{\substack{(j_1, \dots, j_\ell) \\ 0 \leq j_1 < \dots < j_\ell \leq M-1}} \sum_{\substack{(\chi_{m_1}, \dots, \chi_{m_\ell}) \\ \chi_{m_i}^q \neq \chi_0}} \prod_{i=1}^{\ell} \chi_{m_i}^q(n + j_i) \\ &= \sum_{\ell=1}^M \left(\frac{q}{p-1} \right)^{M-\ell} \sum_{\substack{(j_1, \dots, j_\ell) \\ 0 \leq j_1 < \dots < j_\ell \leq M-1}} \frac{1}{(p-1)^\ell} \sum_{\substack{(\chi_{m_1}, \dots, \chi_{m_\ell}) \\ \chi_{m_i}^q \neq \chi_0}} \sum_{n=1}^p \prod_{i=1}^{\ell} \chi_{m_i}^q(n + j_i). \end{aligned}$$

Hence by using the estimate of Weil (Theorem 2.1), one has

$$|A| \leq M \sqrt{p} \sum_{\ell=1}^M \binom{M}{\ell} \left(\frac{q}{p-1} \right)^{M-\ell} \leq 2^M M \sqrt{p}. \blacksquare$$

We refer to [5] where the estimate of Weil has been exploited in another context. We shall need the following generalization of the Pólya–Vinogradov theorem for proving Theorems 1.2 and 1.4.

LEMMA 2.3. *If $\chi (\neq \chi_0)$ is an ℓ th order character to the prime modulus p and if $f(x)$ is a polynomial over $\mathbb{Z}/p\mathbb{Z}$ which has m distinct roots and $(\ell, \deg f) = 1$, then*

$$\sum_{n \leq T} \chi(f(n)) \ll m\sqrt{p} \log p \quad \text{for } 1 \leq T \leq p.$$

To prove Lemma 2.3, we need the following consequence of the works of Weil [12, 13] (see also [2] and page 45 of [10]).

THEOREM 2.4. *Let p be prime and $\chi (\neq \chi_0)$ be a multiplicative character of order ℓ with $\ell \mid (p-1)$. Suppose that $f(x)$ is a polynomial over $\mathbb{Z}/p\mathbb{Z}$ which has m distinct roots and $(\ell, \deg f) = 1$. Then*

$$\left| \sum_{n=1}^p \chi(f(n))e(an/p) \right| \leq m\sqrt{p},$$

where $e(x) = e^{2\pi ix}$.

Proof of Lemma 2.3. Write

$$S(f, a) = \sum_{n=1}^p \chi(f(n))e(an/p).$$

Now

$$(5) \quad \sum_{n \leq T} \chi(f(n)) = \sum_{n=1}^p \chi(f(n)) \sum_{b \leq T} \left(\frac{1}{p} \sum_{a=1}^p e(a(n-b)/p) \right)$$

since

$$\frac{1}{p} \sum_{a=1}^p e(am/p) = \begin{cases} 1 & \text{if } m \equiv 0 \pmod{p}, \\ 0 & \text{otherwise.} \end{cases}$$

By interchanging the summations in (5), we have

$$\begin{aligned} \sum_{n \leq T} \chi(f(n)) &= \frac{1}{p} \sum_{a=1}^p \sum_{n=1}^p \chi(f(n))e(an/p) \sum_{b \leq T} e(-ab/p) \\ &= \frac{1}{p} \sum_{a=1}^p S(f, a) \sum_{b \leq T} e(-ab/p) \ll m\sqrt{p} \sum_{a=1}^p \frac{1}{a}, \end{aligned}$$

by using Theorem 2.4 and the fact that

$$\left| \sum_{b \leq T} e(-ab/p) \right| \leq \frac{1}{|\sin(\pi a/p)|} \ll \frac{p}{a}.$$

Hence

$$\sum_{n \leq T} \chi(f(n)) \ll m\sqrt{p} \log p. \blacksquare$$

To prove Theorem 1.5, we need the following Erdős–Turán inequality (see page 8 of [8]) and a theorem of Bourgain [1].

LEMMA 2.5. *Let $\{x_n\}$ be a sequence of real numbers in $(0, 1)$. For $\alpha \in (0, 1)$ and $V \in \mathbb{N}$, let $N(V, \alpha) = \#\{n \leq V \mid 0 \leq x_n < \alpha\}$. Then, for any natural numbers M , one has*

$$|N(V, \alpha) - V\alpha| \leq \frac{V}{M+1} + 3 \sum_{m=1}^M \frac{1}{m} \left| \sum_{n \leq V} e(mx_n) \right|.$$

REMARK 2.1. The constant 3 in the above estimate has been improved to 1 by Mauduit, Rivat and Sárközy [7]. The original inequality without explicit constants is due to Davenport [4].

THEOREM 2.6 (Bourgain). *Fix $\delta > 0$ and a natural number d . For any subgroup H of $(\mathbb{Z}/d\mathbb{Z})^*$ with order $> d^\delta$, there is an $\varepsilon'(\delta) > 0$ such that*

$$\left| \sum_{x \in H} e(ax/d) \right| \ll |H|d^{-\varepsilon'(\delta)}.$$

3. Proof of the theorems

Proof of Theorem 1.1. Using Lemma 2.2, we have

$$p \left(\frac{q}{p-1} \right)^M - N(p, M) \leq \left| N(p, M) - p \left(\frac{q}{p-1} \right)^M \right| \leq 2^M M \sqrt{p}.$$

Thus

$$(6) \quad \sqrt{p} \left(\frac{q}{p-1} \right)^M > 2^M M$$

implies $N(p, M) > 0$. By hypothesis, we have

$$\frac{q}{p-1} > \frac{1}{(p-1)^{1/4M}} > \frac{1}{p^{1/4M}}.$$

Hence (6) is satisfied if $p > 2^{4M} M^4$. \blacksquare

REMARK 3.1. Note that the given conditions in Theorem 1.1 ensure

$$q > (p-1)^{1-1/4M} \geq (2^{4M} M^4)^{1-1/4M} \geq 2^{2M} M^2.$$

Proof of Theorem 1.2. Write

$$S = \sum_{n \leq T} \frac{1}{\phi(d)} \sum_{\chi} \bar{\chi}(a) \chi(n^q),$$

where the inner sum is over all characters modulo d . Since

$$\sum_{\chi} \bar{\chi}(a)\chi(n^q) = \begin{cases} \phi(d) & \text{if } n^q \equiv a \pmod{d}, \\ 0 & \text{otherwise,} \end{cases}$$

S counts all solutions of (3) up to T . Further,

$$S = \sum_{n \leq T} \frac{1}{\phi(d)} \left\{ \sum_{\substack{\chi \\ \chi^q = \chi_0}} \bar{\chi}(a)\chi(n^q) + \sum_{\substack{\chi \\ \chi^q \neq \chi_0}} \bar{\chi}(a)\chi(n^q) \right\},$$

where χ_0 is the principal character modulo d . Thus, we have

$$\begin{aligned} S &= \frac{n(q)T}{\phi(d)} + \frac{1}{\phi(d)} \sum_{\substack{\chi \\ \chi^q \neq \chi_0}} \bar{\chi}(a) \sum_{n \leq T} \chi^q(n) \\ &= \frac{n(q)T}{\phi(d)} + O(\sqrt{d} \log d), \end{aligned}$$

by Pólya–Vinogradov (see page 143 of [3]). From this, we see that the main term is greater than the error term provided

$$T \gg \frac{d^{1/2} \phi(d) \log d}{n(q)}.$$

Hence the theorem. ■

Proof of Theorem 1.4. Write

$$S = \sum_{n \leq T} \frac{1}{p-1} \sum_{\chi} \bar{\chi}(a)\chi(f(n)^q),$$

where the inner sum is over all characters modulo p . Then S counts the number of solutions of (4) up to T . As before, by dividing the inner sum into two parts depending on whether $\chi^q = \chi_0$ or not, we get

$$S = \frac{qT}{p-1} + \frac{1}{p-1} \sum_{\chi^q \neq \chi_0} \bar{\chi}(a) \sum_{n \leq T} \chi^q(f(n)).$$

By the given hypothesis, $(\text{order}(\chi^q), \deg f) = 1$. Hence by Theorem 2.3 we have

$$S = \frac{qT}{p-1} + O(m\sqrt{p} \log p).$$

This completes the proof. ■

Proof of Theorem 1.5. List the roots of the polynomial congruence

$$(7) \quad x^q \equiv a \pmod{d}, \quad (a, d) = 1,$$

as $r_1 < \dots < r_{n(q)}$. Consider the sequence $\{r_i/d\}$ of rational numbers in $(0, 1)$. Then by the Erdős–Turán inequality (Lemma 2.5), we have

$$|N(n(q), \alpha) - n(q)\alpha| \leq \frac{n(q)}{M+1} + 3 \sum_{m=1}^M \frac{1}{m} \left| \sum_{i \leq n(q)} e\left(\frac{mr_i}{d}\right) \right|$$

for any $\alpha \in (0, 1)$ and $M \geq 1$. Consider the subgroup

$$H = \{n \in (\mathbb{Z}/d\mathbb{Z})^* \mid n^q \equiv 1 \pmod{d}\}$$

of $(\mathbb{Z}/d\mathbb{Z})^*$. Note that all roots of (7) lie in a coset bH with $b^q \equiv a \pmod{d}$ of H . Hence by the theorem of Bourgain (Theorem 2.6), we have

$$\left| \sum_{i \leq n(q)} e\left(\frac{mr_i}{d}\right) \right| = \left| \sum_{h \in H} e\left(\frac{mbh}{d}\right) \right| \ll n(q)d^{-\varepsilon'(\delta)}.$$

Hence by choosing $M \gg d^{\varepsilon'(\delta)}$, we see that

$$\begin{aligned} \#\{r_i \mid r_i^q \equiv a \pmod{d}, 0 < r_i < \alpha d, 1 \leq i \leq n(q)\} \\ = N(n(q), \alpha) = n(q)\alpha + O(n(q)d^{-\varepsilon(\delta)}). \end{aligned}$$

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