

On the k -free divisor problem (II)

by

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1. Introduction. Let $d(n)$ denote the divisor function. Dirichlet first proved that the error term

$$\Delta(x) := \sum'_{n \leq x} d(n) - x \log x - (2\gamma - 1)x, \quad x \geq 2,$$

satisfies $\Delta(x) = O(x^{1/2})$, where $\sum'_{n \leq x}$ means that the term for $n = x$ should be halved when x is an integer. The exponent $1/2$ was improved by many authors. The latest result is due to Huxley [4], who proved that

$$\Delta(x) \ll x^{131/416} (\log x)^{26957/8320}.$$

It is conjectured that

$$(1.1) \quad \Delta(x) = O(x^{1/4+\varepsilon}),$$

which is supported by the classical mean-square result

$$(1.2) \quad \int_1^T \Delta^2(x) dx = \frac{(\zeta(3/2))^4}{6\pi^2\zeta(3)} T^{3/2} + O(T \log^5 T)$$

proved by Tong [12].

Let $k \geq 2$ denote a fixed integer. An integer n is called k -free if p^k does not divide n for any prime p . Let $d^{(k)}(n)$ denote the number of k -free divisors of the positive integer n and define

$$D^{(k)}(x) := \sum'_{n \leq x} d^{(k)}(n).$$

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Then the expected asymptotic formula for $D^{(k)}(x)$ is

$$(1.3) \quad D^{(k)}(x) = C_1^{(k)}x \log x + C_2^{(k)}x + \Delta^{(k)}(x),$$

where $C_1^{(k)}, C_2^{(k)}$ are two constants, and $\Delta^{(k)}(x)$ is the error term. In 1874 Mertens [7] proved that $\Delta^{(2)}(x) \ll x^{1/2} \log x$. In 1932 Perron [9] proved that

$$\Delta^{(k)}(x) \ll \begin{cases} x^{1/2} & \text{if } k = 2, \\ x^{1/3} & \text{if } k = 3, \\ x^{33/100} & \text{if } k \geq 4. \end{cases}$$

For $k = 2, 3$, it is very difficult to improve the exponent $1/k$ in the bound $\Delta^{(k)}(x) \ll x^{1/k}$, unless we have substantial progress in the study of the zero-free region of $\zeta(s)$. Therefore it is reasonable to get better improvements by assuming the truth of the Riemann Hypothesis (RH). Such results have been given in [1, 2, 6, 8, 10, 11]. In particular, in [2] R. C. Baker proved $\Delta^{(2)}(x) \ll x^{4/11+\varepsilon}$ and in [6] Kumchev proved $\Delta^{(3)}(x) \ll x^{27/85+\varepsilon}$ under RH. For $k \geq 4$, it is easy to show that if $\Delta(x) \ll x^\alpha$, then $\Delta^{(k)}(x) \ll x^\alpha \log x$.

We believe that the estimate

$$(1.4) \quad \Delta^{(k)}(x) \ll x^{1/4+\varepsilon}$$

is true for any $k \geq 2$, which is an analogue of (1.1). For $k \geq 4$ the conjecture (1.4) is partly supported by the asymptotic formula

$$(1.5) \quad \int_1^T |\Delta^{(k)}(x)|^2 dx = \frac{B_k}{6\pi^2} T^{3/2} + \begin{cases} O(T^{3/2}e^{-c\delta(T)}) & \text{for } k = 4, \\ O(T^{\delta_k+\varepsilon}) & \text{for } k \geq 5, \end{cases}$$

proved in [3], where $c > 0$ is an absolute constant and

$$B_k := \sum_{m=1}^\infty g_k^2(m)m^{-3/2}, \quad g_k(m) := \sum_{m=nl^k} \mu(l)d(n)l^{k/2},$$

$$\delta(u) := (\log u)^{3/5}(\log \log u)^{-1/5},$$

$$\delta_5 := 29/20, \quad \delta_k := 3/2 - 1/2k + 1/k^2 \quad (k \geq 6).$$

The approach in [3] fails for $k = 3$ and gives only a weak result for $k = 4$. However, if RH is true, we can do much better. In this short note, we shall prove the following

THEOREM. *If RH is true, then*

$$(1.6) \quad \int_1^T |\Delta^{(k)}(x)|^2 dx = \frac{B_k}{6\pi^2} T^{3/2} + O(T^{3/2-\eta_k+\varepsilon})$$

with $\eta_k := (k - 2)/(12k - 8)$ ($k = 3, 4, 5, 6$), where the implied constant depends only on ε .

COROLLARY. *If RH is true, then*

$$\Delta^{(3)}(x) = \Omega(x^{1/4}).$$

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2. Proof of Theorem

2.1. Mean square of $\Delta_{2,y}^{(k)}(x)$. Suppose RH is true. It is obvious that

$$\sum_{n=1}^{\infty} \frac{d^{(k)}(n)}{n^s} = \frac{\zeta^2(s)}{\zeta(ks)} \quad (\Re s > 1),$$

which implies that

$$d^{(k)}(n) = \sum_{n=l^k m} \mu(l)d(m).$$

Let $y > 2$ be a parameter. Define

$$d_{1,y}^{(k)}(n) := \sum_{\substack{n=l^k m \\ l \leq y}} \mu(l)d(m), \quad d_{2,y}^{(k)}(n) := \sum_{\substack{n=l^k m \\ l > y}} \mu(l)d(m).$$

Then

$$d^{(k)}(n) = d_{1,y}^{(k)}(n) + d_{2,y}^{(k)}(n).$$

It is easy to see that for $\Re s > 1$ we have

$$(2.1) \quad \sum_{n=1}^{\infty} \frac{d_{2,y}^{(k)}(n)}{n^s} = \zeta^2(s)f_y(k s),$$

where $f_y(s) := \sum_{l>y} \mu(l)/l^s$. It is well-known that under RH, the function $f_y(s)$ can be analytically continued to $\Re s > 1/2$ and that uniformly in the strip $1/2 + \varepsilon < \Re s \leq 1$ the estimate

$$(2.2) \quad f_y(s) \ll y^{1/2-\sigma+\varepsilon}(1+|t|)^\varepsilon$$

holds.

Let

$$D_{i,y}^{(k)}(x) := \sum'_{n \leq x} d_{i,y}^{(k)}(n) \quad (i = 1, 2).$$

Then

$$(2.3) \quad D^{(k)}(x) = D_{1,y}^{(k)}(x) + D_{2,y}^{(k)}(x).$$

Note that here y is independent of x . We have $D_{2,y}^{(k)}(x) \equiv 0$ when $y > x^{1/k}$.

For $D_{1,y}^{(k)}(x)$, we have

$$\begin{aligned}
 (2.4) \quad D_{1,y}^{(k)}(x) &= \sum_{l \leq y} \mu(l) \sum'_{m \leq x/l^k} d(m) \\
 &= \sum_{l \leq y} \mu(l) \left\{ \frac{x}{l^k} \log \frac{x}{l^k} + (2\gamma - 1) \frac{x}{l^k} + \Delta\left(\frac{x}{l^k}\right) \right\} \\
 &= \operatorname{Res}_{s=1} \left(\zeta^2(s) \frac{x^s}{s} \sum_{l \leq y} \frac{\mu(l)}{l^s} \right) + \sum_{l \leq y} \mu(l) \Delta\left(\frac{x}{l^k}\right).
 \end{aligned}$$

By Perron’s formula we know that

$$(2.5) \quad D_{2,y}^{(k)}(x) = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \zeta^2(s) \frac{x^s}{s} f_y(ks) ds.$$

Moving the line of integration in (2.5) to some $c < 1$ (but close to 1), by the residue theorem we get

$$(2.6) \quad D_{2,y}^{(k)}(x) = \operatorname{Res}_{s=1} \left(\zeta^2(s) \frac{x^s}{s} f_y(ks) \right) + \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \zeta^2(s) \frac{x^s}{s} f_y(ks) ds.$$

Let

$$\Delta_{2,y}^{(k)}(x) := \sum'_{n \leq x} d_{2,y}^{(k)}(n) - \operatorname{Res}_{s=1} \left(\zeta^2(s) \frac{x^s}{s} f_y(ks) \right).$$

Then

$$(2.7) \quad \Delta_{2,y}^{(k)}(x) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \zeta^2(s) \frac{x^s}{s} f_y(ks) ds.$$

Since $\zeta^2(s)f_y(ks)s^{-1} \rightarrow 0$ uniformly in the strip $1/4 < \Re s < 1$ when $|t| \rightarrow \infty$, (2.7) is true for any $1/4 < c < 1$. Replacing in (2.7) x by $1/x$, taking $c = 1/4 + \varepsilon$ and then using Parseval’s identity (see for example, (A.5) of Ivić [5]) we get

$$(2.8) \quad \int_0^\infty \frac{|\Delta_{2,y}^{(k)}(x)|^2}{x^{3/2+2\varepsilon}} dx = \frac{1}{2\pi} \int_{-\infty}^\infty \frac{|\zeta(1/4 + \varepsilon + it)|^4 |f_y(k(1/4 + \varepsilon + it))|^2}{|1/4 + \varepsilon + it|^2} dt.$$

From (2.2) we have

$$(2.9) \quad |f_y(k(1/4 + \varepsilon + it))|^2 \ll y^{1-k/2-2k\varepsilon+2\varepsilon} (1 + |t|)^{2\varepsilon}.$$

Under RH we have, for any $0 \leq \sigma \leq 1/2$,

$$\zeta(\sigma + it) \ll (1 + |t|)^{1/2-\sigma+\varepsilon/4}.$$

Thus

$$|\zeta(1/4 + \varepsilon + it)|^4 \ll (1 + |t|)^{1-3\varepsilon},$$

which combined with (2.8) and (2.9) implies

$$\int_0^\infty \frac{|\Delta_{2,y}^{(k)}(x)|^2}{x^{3/2+2\varepsilon}} dx \ll y^{1-k/2-2k\varepsilon+2\varepsilon} \int_{-\infty}^\infty (1+|t|)^{-1-\varepsilon} dt \ll y^{1-k/2}.$$

Hence for any $M > 2$ we have

$$\int_M^{2M} \frac{|\Delta_{2,y}^{(k)}(x)|^2}{x^{3/2+2\varepsilon}} dx \ll y^{1-k/2},$$

so

$$(2.10) \quad \int_M^{2M} |\Delta_2^{(k)}(x)|^2 dx \ll M^{3/2+\varepsilon} y^{1-k/2}.$$

2.2. Completion of proof. Suppose $T \geq 10$ is large. It suffices to evaluate the integral $\int_T^{2T} |\Delta^{(k)}(x)|^2 dx$. From (2.3)–(2.7) we have

$$(2.11) \quad \Delta^{(k)}(x) = \Delta_{1,y}^{(k)}(x) + \Delta_{2,y}^{(k)}(x),$$

where

$$\Delta_{1,y}^{(k)}(x) := \sum_{l \leq y} \mu(l) \Delta(x/l^k).$$

Let $T^\varepsilon \ll y \ll T^{1/4-\varepsilon}$ and $T^\varepsilon \ll z \ll T^{1-\varepsilon}$ be two parameters to be determined later. Let

$$\Delta_1(u) := \frac{u^{1/4}}{\sqrt{2}\pi} \sum_{n \leq z} \frac{d(n)}{n^{3/4}} \cos(4\pi\sqrt{nu} - \pi/4), \quad \Delta_2(u; z) := \Delta(u) - \Delta_1(u).$$

Then we can write

$$(2.12) \quad \Delta_{1,y}^{(k)}(x) = R_1^{(k)}(x) + R_2^{(k)}(x),$$

where

$$R_1^{(k)}(x) := \frac{x^{1/4}}{\sqrt{2}\pi} \sum_{l \leq y} \frac{\mu(l)}{l^{k/4}} \sum_{n \leq z} \frac{d(n)}{n^{3/4}} \cos\left(4\pi\sqrt{\frac{nx}{l^k}} - \frac{\pi}{4}\right),$$

$$R_2^{(k)}(x) := \sum_{l \leq y} \mu(l) \Delta_2\left(\frac{x}{l^k}; z\right).$$

Taking $z = T^{1-\varepsilon}$ we deduce from (3.3) of [3] that

$$(2.13) \quad \int_T^{2T} |R_2^{(k)}(x)|^2 dx \ll \begin{cases} T^{3/2} z^{-1/2} y^{1/2} \log^4 T + Ty^2 \log^6 T & \text{if } k = 3, \\ T^{3/2} z^{-1/2} \log^5 T + Ty^2 \log^6 T & \text{if } k \geq 4, \end{cases}$$

$$\ll Ty^2 \log^6 T \quad (k \geq 3).$$

Now we consider the mean square of $R_1^{(k)}(x)$. By the elementary formula

$$\cos u \cos v = \frac{1}{2} (\cos (u - v) + \cos (u + v))$$

we may write

$$\begin{aligned} (2.14) \quad |R_1^{(k)}(x)|^2 &= \frac{x^{1/2}}{2\pi^2} \sum_{l_1, l_2 \leq y} \frac{\mu(l_1)\mu(l_2)}{(l_1 l_2)^{k/4}} \sum_{n_1, n_2 \leq z} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}} \\ &\quad \times \cos \left(4\pi \sqrt{\frac{n_1 x}{l_1^k}} - \frac{\pi}{4} \right) \cos \left(4\pi \sqrt{\frac{n_2 x}{l_2^k}} - \frac{\pi}{4} \right) \\ &= S_1(x) + S_2(x) + S_3(x), \end{aligned}$$

where

$$S_1(x) = \frac{x^{1/2}}{4\pi^2} \sum_{\substack{l_1, l_2 \leq y; n_1, n_2 \leq z \\ n_1 l_2^k = n_2 l_1^k}} \frac{\mu(l_1)\mu(l_2)}{(l_1 l_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}},$$

$$\begin{aligned} S_2(x) &= \frac{x^{1/2}}{4\pi^2} \sum_{\substack{l_1, l_2 \leq y; n_1, n_2 \leq z \\ n_1 l_2^k \neq n_2 l_1^k}} \frac{\mu(l_1)\mu(l_2)}{(l_1 l_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}} \cos \left(4\pi \sqrt{x} \left(\sqrt{\frac{n_1}{l_1^k}} - \sqrt{\frac{n_2}{l_2^k}} \right) \right), \end{aligned}$$

$$\begin{aligned} S_3(x) &= \frac{x^{1/2}}{4\pi^2} \sum_{l_1, l_2 \leq y; n_1, n_2 \leq z} \frac{\mu(l_1)\mu(l_2)}{(l_1 l_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}} \sin \left(4\pi \sqrt{x} \left(\sqrt{\frac{n_1}{l_1^k}} + \sqrt{\frac{n_2}{l_2^k}} \right) \right). \end{aligned}$$

From (3.7) and (4.4) of [3] we have

$$(2.15) \quad \int_T^{2T} S_1(x) dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2+\varepsilon} y^{-1/2+1/k}).$$

From (3.8) and (5.10) of [3] we get

$$(2.16) \quad \int_T^{2T} S_2(x) dx \ll T^{1+\varepsilon} y^2 + T^{1+(k+1)/3k+\varepsilon}.$$

From (3.9) of [3] we have

$$(2.17) \quad \int_T^{2T} S_3(x) dx \ll T y^2 \log^4 T.$$

From (2.14)–(2.17) we obtain

$$(2.18) \quad \int_T^{2T} |R_1^{(k)}(x)|^2 dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2+\varepsilon} y^{-1/2+1/k}) \\ + O(T^{1+\varepsilon} y^2 + T^{1+(k+1)/3k+\varepsilon}).$$

From (2.13), (2.18) and the Cauchy inequality we get

$$(2.19) \quad \int_T^{2T} R_1^{(k)}(x) R_2^{(k)}(x) dx \ll T^{5/4} y \log^3 T.$$

From (2.13), (2.18) and (2.19) we get

$$(2.20) \quad \int_T^{2T} |\Delta_{1,y}^{(k)}(x)|^2 dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2+\varepsilon} y^{-1/2+1/k}) \\ + O(T^{5/4} y \log^3 T + T^{1+(k+1)/3k+\varepsilon}),$$

which combining (2.10) with $M = T$ gives

$$(2.21) \quad \int_T^{2T} \Delta_{1,y}^{(k)}(x) \Delta_{2,y}^{(k)}(x) dx \ll T^{3/2+\varepsilon} y^{-(k-2)/4}.$$

From (2.20), (2.21) and (2.10) with $M = T$ and then taking $y = T^{k/2(3k-2)}$ we get

$$(2.22) \quad \int_T^{2T} |\Delta^{(k)}(x)|^2 dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2+\varepsilon} y^{-1/2+1/k}) \\ + O(T^{5/4} y \log^3 T + T^{1+(k+1)/3k+\varepsilon}) \\ = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2-\eta_k+\varepsilon})$$

where η_k was defined in the Theorem upon noting that $(k-2)/4 \geq 1/2 - 1/k$. Hence our Theorem follows from a splitting argument.

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