

Hyperbolicity and integral points off divisors in subgeneral position in projective algebraic varieties

by

DO DUC THAI and NGUYEN HUU KIEN (Hanoi)

1. Introduction. First of all, we recall some basic notions in Diophantine geometry. For details we refer the readers to [5], [8] and [16].

Let k be a number field. Let $v : k \rightarrow [0, \infty)$ be a valuation on k . For each $x \in k$, denote by $|x|_v$ or $v(x)$ the absolute value of x with respect to v .

We denote by M_k a set of representatives of all equivalence classes of nontrivial valuations over k . Let M_k^∞ be the subset of M_k consisting of all archimedean valuations, and M_k^0 be the subset consisting of all nonarchimedean valuations. Then M_k^∞ is finite and $M_k = M_k^\infty \cup M_k^0$.

For each valuation v , we denote by \bar{k}_v the algebraic closure of k_v , and we extend v to \bar{k}_v . We also denote by \bar{k} the algebraic closure of k .

Let S be a finite subset of M_k such that $M_k^\infty \subset S$. We set

$$\mathcal{O}_S = \{x \in k \mid |x|_v \leq 1 \ \forall v \in M_k \setminus S\}.$$

Then \mathcal{O}_S is a ring, called *the ring of S -integers* of k . A point $x = (x_1, \dots, x_n) \in k^n$ is said to be an *S -integral point* if $x_i \in \mathcal{O}_S$ for all $1 \leq i \leq n$.

We now recall *the product formula* which is an important fact in Diophantine geometry.

THEOREM 1.1. *Let k be a number field. Then for each equivalence class $v \in M_k$ there exists a valuation $\|\cdot\|_v \in v$ such that*

$$\prod_{v \in M_k} \|x\|_v = 1 \quad \text{for all } x \in k \setminus \{0\}.$$

From now on, we fix for each $v \in M_k$ a representative element $\|\cdot\|_v$ such that the product formula is satisfied.

For each $x = [x_0 : \dots : x_n] \in \mathbb{P}^n(k)$, the *relative height* and the *absolute height* of x are defined

2010 *Mathematics Subject Classification*: Primary 11J97; Secondary 32H30, 11D57.
Key words and phrases: (S, D) -integral point, divisors in N -subgeneral position.

$$H_k(x) = \prod_{v \in M_k} \max\{\|x_j\|_v \mid 0 \leq j \leq n\}, \quad h(x) = \frac{1}{[k : \mathbb{Q}]} \log H_k(x).$$

Let k, M_k, S be as above. Let D be a divisor on a nonsingular variety V . Extend $\|\cdot\|_v$ to an absolute value on the algebraic closure \overline{k}_v . Then a *local Weil function* for D relative to v is a function $\lambda_{D,v} : V(\overline{k}_v) \setminus |D| \rightarrow \mathbb{R}$ such that if D is represented locally by (f) on an open set U , then

$$\lambda_{D,v}(P) = -\frac{1}{[k : \mathbb{Q}]} \log \|f(P)\|_v + \alpha(P),$$

where $\alpha(P)$ is a continuous function on $U(\overline{k}_v)$. By choosing embeddings $k \rightarrow \overline{k}_v$ and $\overline{k} \rightarrow \overline{k}_v$, we may also think of $\lambda_{D,v}$ as a function on $V(k) \setminus |D|$ or $V(\overline{k}) \setminus |D|$. Concerning basic notions and properties of global Weil functions for D over k we refer to [5, Chapter 10, Secs. 1 and 2]. A *global Weil function* for D over k is a collection $\{\lambda_{D,v}\}$ of local Weil functions, for $v \in M_k$, where the α_v above satisfy certain reasonable boundedness conditions as v varies.

Now, we give the definition of (S, D) -integral points.

Fix a number field k . Let \mathcal{O}_S be the ring of S -integers of k . A point $P \in \mathbb{A}^n(k)$ is called an *S -integral point* if all its coordinates are S -integers. Similarly, an affine variety $V \subset \mathbb{A}^n$ defined over k inherits a notion of integral point from the definition for \mathbb{A}^n . Now let V be a projective variety and D be a very ample effective divisor on V , and let $1 = x_0, x_1, \dots, x_n$ be a basis for $\mathcal{L}(D)$. Then $P \mapsto (x_1(P), \dots, x_n(P))$ defines an embedding of $V \setminus D$ into \mathbb{A}^n . We say P is an *(S, D) -integral point* if $x_i(P) \in \mathcal{O}_S$ for all i . We note that any point P in $V(k) \setminus D$ can be an (S, D) -integral point for some basis of $\mathcal{L}(D)$. Thus we let integrality be a property of the set of points. This is a natural concept in light of the following lemma.

LEMMA 1.2 ([16, Lemma 1.4.1]). *Let D be a very ample effective divisor on V . Let R be a subset of $V(k) \setminus |D|$. Then the following are equivalent:*

- (i) *R is a set of (S, D) -integral points on V .*
- (ii) *There exists a global Weil function $\lambda_{D,v}$ and constants c_v for each $v \in M_k \setminus S$, such that almost all $c_v = 0$ and for all $P \in R$, all $v \in M_k \setminus S$ and all embeddings of k in \overline{k}_v ,*

$$\lambda_{D,v}(P) \leq c_v.$$

COROLLARY 1.3 ([16, Lemma 1.4.2]). *The notion of (S, D) -integrality is independent of the multiplicities of the components of D .*

The lemma motivates a more general definition of integrality:

DEFINITION 1.4. Let D be an effective divisor on V and let R be a subset of $V(\overline{k}) \setminus |D|$. Then R is an *(S, D) -integral set of points* if there exists a global Weil function satisfying condition (ii) of Lemma 1.2.

The problem of integral points has a long history, going back to A. Thue [15], C. L. Siegel [14], S. Lang [5], P. Vojta [16], G. Faltings [3] and others. The classical theorem of Thue–Siegel says that $\mathbb{P}^1 \setminus \{3 \text{ distinct points}\}$ has finitely many integral points.

In 1991, M. Ru and P.-M. Wong [12] estimated the dimensions of integral points in the case of $\mathbb{P}^n \setminus \{2n + 1 \text{ hyperplanes in general position}\}$.

In 2008, A. Levin [6] generalized the above theorem of Ru–Wong to the case of $\mathbb{P}^n \setminus \{r \text{ hyperplanes in } s\text{-subgeneral position}\}$. Namely, he proved the following.

THEOREM A ([6, Corollary 3A]). *Let \mathcal{H} be a set of hyperplanes in \mathbb{P}^n defined over a number field k . Suppose that the intersection of any $s + 1$ distinct hyperplanes in \mathcal{H} is empty. Let $r = \#\mathcal{H}$. Suppose $r > s$. Then for every number field $K \supset k$ and $S \subset M_K$, for all sets R of S -integral points on $\mathbb{P}^n \setminus |\mathcal{H}|$,*

$$\dim R \leq \left\lceil \frac{s}{r - s} \right\rceil.$$

In particular, if $r > 2s$, then all such R are finite. Furthermore, if the hyperplanes in \mathcal{H} are in general position ($s = n$), then the above bound is achieved by some R .

In 1993, M. Ru [11] estimated the dimensions of integral points in the case of $\mathbb{P}^n \setminus \{2n + 1 \text{ hypersurfaces in general position}\}$. Namely, he proved the following.

THEOREM B ([11, Theorem 5]). *Let k be a number field. Let $\{D_i\}_{i=1}^q$ be a finite family of (irreducible) hypersurfaces in \mathbb{P}^n_k in general position and set $D = \sum_{i=1}^q D_i$. Then every set of (S, D) -integral points is contained in a finite union of subvarieties of \mathbb{P}^n_k of dimension $2n - q + 1$.*

Working in a different direction, J. Noguchi and J. Winkelmann [8, Secs. 4.9 and 9.7] showed the finiteness of the set of integral points of $V \setminus \{l \text{ hypersurfaces in general position}\}$, where V is a projective algebraic variety over k . Namely, they showed

THEOREM C ([8, Theorem 9.7.6]). *Let V be a projective algebraic variety over k . Let $\{D_i\}_{i=1}^l$ be a finite family of ample divisors on V in general position and set $D = \sum_{i=1}^l D_i$. Assume that $l \geq 2 \dim V + r(\{D_i\})$, or more strongly that $l \geq 2 \dim V + \text{rank}_{\mathbb{Z}} \text{NS}(V)$, where $\text{NS}(V)$ is the Néron–Severi group of V . Then every (S, D) -integral subset is finite.*

Here we denote by $r(\{D_i\})$ the rank of the subgroup $\sum_i \mathbb{Z} \cdot c_1(D_i)$ generated by $c_1(D_i) \in H^2(M, \mathbb{Z})$, $1 \leq i \leq l$, in $H^2(M, \mathbb{Z})$ (see [8, Notation 4.9.1, p. 157]).

Note that in Theorem C, we have $l > 2 \dim V + 1$ in general, and there are no estimations of dimensions for sets of (S, D) -integral points in V .

A natural question arises: *How to generalize Theorems A, B and C to the case where the hypersurfaces are in N -subgeneral position in an (irreducible) projective algebraic variety V over k ?*

It seems that some key techniques in the proofs of Theorems A, B and C could not be used for the above question. The first main purpose of this paper is to give a complete answer to the above question. To state the first result, we recall the following.

DEFINITION 1.5. Let k be a number field and V be an irreducible subvariety of dimension n of \mathbb{P}_k^m . Let $N \geq n$. A family of hypersurfaces D_1, \dots, D_q of \mathbb{P}_k^m is said to be in N -subgeneral position in V if $\bigcap_{j=0}^N D_{i_j} \cap V(\bar{k}) = \emptyset$ for all tuples $q \geq i_N > i_{N-1} > \dots > i_0 \geq 1$.

In this paper, we always assume that k is a number field, M_k is the set of all nonequivalent valuations of k and $S \subset M_k$ is a finite set containing all the archimedean valuations. We now state the main theorem of this paper.

THEOREM 1.6. *Let V be an irreducible algebraic subvariety of dimension n of \mathbb{P}_k^m and D_1, \dots, D_q be hypersurfaces in \mathbb{P}_k^m in N -subgeneral position on V ($q > N \geq n$). Assume that $D = \bigcup_{i=1}^q D_i$. Then every set of (S, D) -integral points is contained in an algebraic subvariety W of V such that*

$$\dim W \leq \frac{N}{q - N}.$$

When D_i are hyperplanes in N -subgeneral position in \mathbb{P}^m , Theorem 1.6 implies Theorem A. When $N = n$, we get Theorems B and C. Theorem 1.6 also implies the finiteness of the set of integral points off divisors in subgeneral position in a projective algebraic variety $V \subset \mathbb{P}_k^m$.

COROLLARY 1.7. *Let the notation be as above.*

- (i) *Assume that $q \geq 2N + 1$ and $N \geq n$. Then every set of (S, D) -integral points is finite.*
- (ii) *Assume that $q \geq N + n + 1$ and $n \leq N < 2(n + 1)$. If D intersects any irreducible rational curve in $V(\bar{k})$ in (at least) three points, then every (S, D) -integral point set of V is finite. However, if there is an irreducible rational curve in $V(\bar{k})$ such that D only intersects this curve in (at most) two points, then generally the assertion is not true.*

As is well known, there are deep interactions between Kobayashi hyperbolicity and Diophantine approximation. In 1974, S. Lang conjectured the following.

LANG'S CONJECTURE. *Let F be an algebraic number field and V a projective algebraic variety. Assume that for some embedding $F \hookrightarrow \mathbb{C}$, the complex manifold $V_{\mathbb{C}}$ given by V is Kobayashi hyperbolic. Then $V(F)$ is a finite set.*

Motivated by Lang's conjecture, the complete hyperbolicity of the complement of divisors in general position in a projective algebraic variety $V \subset \mathbb{P}_{\mathbb{C}}^M$ has been studied by several authors (see M. Ru [11] and Noguchi–Winkelmann [8] and references therein for related subjects). For instance, Noguchi and Winkelmann showed the following.

THEOREM D ([8, Theorem 7.3.4]). *Let $\{D_i\}_{i=1}^l$ be a family of ample divisors on a projective algebraic variety X of dimension n , which is in general position. Assume that $l \geq 2n + r(\{D_i\}_{i=1}^l)$, or more strongly that $l \geq 2n + \text{rank}_{\mathbb{Z}} \text{NS}(V)$, where $\text{NS}(V)$ is the Néron–Severi group of V . Then the open variety $X \setminus \text{Supp} \sum_{i=1}^l D_i$ is complete hyperbolic and hyperbolically embedded into X .*

The second main purpose of this paper is to show the complete hyperbolicity of the complement of divisors in N -subgeneral position in a projective algebraic variety $V \subset \mathbb{P}_{\mathbb{C}}^M$. Namely, we will prove the following.

THEOREM 1.8. *Let V be an algebraic subvariety of dimension n of $\mathbb{P}_{\mathbb{C}}^m$. Let $\{D_i\}_{i=1}^q$ be a family of hypersurfaces of $\mathbb{P}_{\mathbb{C}}^m$ in N -subgeneral position in V ($q > N \geq n$). Let W be a subvariety of V such that there is a nonconstant holomorphic curve $f : \mathbb{C} \rightarrow W \setminus \bigcup_{W \not\subseteq D_i} D_i$ with Zariski dense image. Then*

$$\dim W \leq \frac{N}{q - N}.$$

In particular, if $q \geq 2N + 1$, then $V \setminus \bigcup_{i=1}^q D_i$ is complete hyperbolic and hyperbolically embedded into V .

2. Integral points off divisors in subgeneral position in projective algebraic varieties.

We now recall the following lemmas.

LEMMA 2.1 ([16, Lemma 1.4.5]). *Let S be a finite set of valuations of k containing all the archimedean valuations. Let k' be a finite extension of k . Let S' be the set of valuations of k' lying over valuations of S . Assume D is an effective divisor on V . Then $I \subset V(\bar{k})$ is a set of (S, D) -integral points if and only if it is a set of (S', D) -integral points.*

LEMMA 2.2 ([16, Lemma 1.4.6]). *Let I be an (S, D) -integral set of points on V and let f be a rational function with no poles outside of D . Then there is a nonzero constant $b \in k$ such that $bf(P)$ is S -integral for all $p \in I$.*

LEMMA 2.3 (Unit lemma). *Let k be a number field and n a positive integer. Let A be a finitely generated subgroup of k^* . Then all but finitely*

many solutions of the equation

$$u_0 + u_1 + \dots + u_n = 1, \quad u_i \in \Lambda \forall i,$$

satisfy an equation of the form $\sum_{i \in I} u_i = 0$, where I is a proper nonempty subset of $\{0, \dots, n\}$.

LEMMA 2.4 ([4, Chapter I, Theorem 7.2]). *Let V be a closed irreducible algebraic subvariety of \mathbb{P}_k^m of dimension $n \geq 1$ and let D be a hypersurface. Then either $V \subset D$, or the intersection $X = V \cap D$ is nonempty and every component of X has dimension $n - 1$.*

LEMMA 2.5. *Let V be a closed (irreducible) algebraic variety in \mathbb{P}_k^m of dimension $n \geq 1$, $N \geq n$ and D_1, \dots, D_{2N+1} be hypersurfaces in N -subgeneral position in V . Then there exists a subset $\{i_1, \dots, i_{n+2}\}$ of $\{1, \dots, 2N + 1\}$ such that we can choose one irreducible component X_j of each $V \cap D_{i_j}$ ($j = 1, \dots, n + 2$) in such a way that X_1, \dots, X_{n+2} are distinct. Moreover if $t_1 < \dots < t_s$, then $X_{t_1} \not\subset \bigcup_{i=2}^s D_{t_i}$.*

Proof. Denote by A_j^i ($1 \leq i \leq m_j$) the irreducible components of $V \cap D_j$ ($1 \leq j \leq 2N + 1$). It is easy to see that there exists $j_1 \in \{1, \dots, 2N + 1\}$ such that $V \not\subset D_{j_1}$. By Lemma 2.4, we have $\dim A_{j_1}^i = n - 1$ for each $1 \leq i \leq m_{j_1}$. In particular, $\dim A_{j_1}^1 = n - 1$. Similarly, we can take D_{j_2} such that $A_{j_1}^1 \not\subset D_{j_2}$. This implies that every component of $A_{j_1}^1 \cap D_{j_2}$ has dimension $n - 2$. Since $A_{j_1}^1 \cap D_{j_2} \subset V \cap D_{j_2} = \bigcup_{i=1}^{m_{j_2}} D_{j_2}^i$, we can find i such that $D_{j_2}^i$ contains an irreducible component of $A_{j_1}^1 \cap D_{j_2}$. By setting $A_{j_2}^1 = D_{j_2}^i$, we have $\dim\{A_{j_1}^1 \cap A_{j_2}^1\} = n - 2$, where $\{Y\}$ denotes any irreducible component of the projective algebraic variety Y of maximal dimension. Set $X_1 = A_{j_1}^1$ and $X_2 = A_{j_2}^1$. Then $X_1 \neq X_2$. Note that $2N + 1 - i > N$ for each $i \leq n \leq N$.

By repeating the above process, for each $1 \leq i \leq n$, we can select D_{j_i} such that

$$\dim \{A_{j_i}^1 \cap \{A_{j_{i-1}}^1 \cap \{\dots \cap A_{j_1}^1\} \dots\}\} = n - i.$$

We set $X_i = A_{j_i}^1$ ($1 \leq i \leq n$). These are irreducible and distinct. Moreover, each X_i is an irreducible component of $V \cap D_{j_i}$. By our choice, $\{A_{j_n}^1 \cap \{A_{j_{n-1}}^1 \cap \{\dots \cap A_{j_1}^1\} \dots\}\}$ is nonempty; pick x_0 in this set. Since at most N of the D_j ($1 \leq j \leq 2N + 1$) can intersect at x_0 , we can find a $D_{j_{n+1}}$ such that $x_0 \notin D_{j_{n+1}}$. Select $y_0 \in D_{j_{n+1}} \cap V$. At most N of the D_j ($1 \leq j \leq 2N + 1$) can intersect at y_0 . The total number of hypersurfaces that intersect either at x_0 or at y_0 is at most $2N$. Therefore, there exists $D_{j_{n+2}}$ such that $\{x_0, y_0\} \cap D_{j_{n+2}} = \emptyset$. Denote by X_{n+1} the irreducible component of $V_{j_{n+1}}$ containing y_0 , and by X_{n+2} any irreducible component of $V \cap D_{j_{n+2}}$. It is obvious that $X_j \neq X_i$ for all $1 \leq i < j \leq n$. Since $x_0 \in X_i$ ($1 \leq i \leq n$) and x_0 belongs to neither X_{n+1} nor X_{n+2} , we have $X_i \neq X_j$ ($1 \leq i \leq n$; $n + 1 \leq j \leq n + 2$).

Furthermore, since X_{n+1} contains y_0 , while X_{n+2} does not, it follows that $X_{n+1} \neq X_{n+2}$. In summary, X_1, \dots, X_{n+2} are distinct.

For the “moreover” part, by the irreducibility of X_{t_1} , suppose for contradiction that there exists $2 \leq i \leq s$ such that $X_{t_1} \subset D_{t_i}$. Again by the construction above, we have

$$\{A_{j_{t_1-1}}^1 \cap \{A_{j_{t_1-2}}^1 \cap \{\dots \cap A_{j_{t_1}}^1\} \dots\}\} \subset A_{j_{t_1}}^1 = X_{t_1}$$

and

$$\{A_{j_{t_1-1}}^1 \cap \{A_{j_{t_1-2}}^1 \cap \{\dots \cap A_{j_{t_1}}^1\} \dots\}\} \not\subset D_{j_{t_1}}$$

This is impossible. ■

LEMMA 2.6. *Let V be an irreducible algebraic subvariety of dimension n of $\mathbb{P}_{\bar{k}}^m$ and D_1, \dots, D_q be hypersurfaces in $\mathbb{P}_{\bar{k}}^m$ in N -subgeneral position in V . Set $D = \bigcup_{i=1}^q D_i$. Assume that $q \geq 2N + 1$. Then every set of (S, D) -integral points is finite.*

Proof. Let J be a set of (S, D) - integral points of $V(k)$. Assume that the hypersurfaces D_1, \dots, D_q are defined by P_1, \dots, P_q respectively, which are homogeneous polynomials in $n + 1$ variables with coefficients in \bar{k} . By Lemma 2.1, without loss of generality, we may assume that the coefficients of P_i ($1 \leq i \leq q$) are in k .

CLAIM. *For every (irreducible) algebraic subvariety U of V of dimension p defined over k , $J \cap U$ is contained in a finite union of proper closed subvarieties of U .*

Indeed, by the assumption, D_1, \dots, D_q are in N -subgeneral position over U . By Lemma 2.5, there exist $p + 2$ distinct (irreducible) hypersurfaces X_1, \dots, X_{p+2} in $U(\bar{k})$ such that each X_i ($1 \leq i \leq p + 2$) is an irreducible component of $U(\bar{k}) \cap D_{j_i}$. Set $Q_i = P_{j_i}^{d/d_{j_i}}$ ($1 \leq i \leq p + 2$), where $d = \gcd(d_{j_1}, \dots, d_{j_{p+2}})$. Then the function field of $U(\bar{k})$ has transcendence degree p , and hence the rational functions $Q_2/Q_1, \dots, Q_{p+2}/Q_1$ on $U(\bar{k})$ are algebraically dependent, so there exists a polynomial T with coefficients in \bar{k} such that

$$T(Q_2/Q_1, \dots, Q_{p+2}/Q_1) = 0$$

identically on $U(\bar{k})$. By using the norm $N_k^{k'}$ of T , where k' is a finite extension of k that contains all coefficients of T , we may assume that the coefficients of T are in k . Thus,

$$\sum_{i=1}^l c_i T_i / T_0 = 1,$$

where $c_i \in k^*$ and each T_0, \dots, T_l is a monomial in $\{Q_2/Q_1, \dots, Q_{p+2}/Q_1\}$. We can choose T with l minimal. Since Q_i/Q_1 ($2 \leq i \leq p + 2$) are rational

functions of U and have no poles outside D , this implies that there exists $a_i \in k^*$ such that for every $x \in J \cap U$,

$$a_i Q_i(x)/Q_1(x) \in \mathcal{O}_S.$$

By the same argument, there exists $b_i \in k^*$ such that

$$b_i Q_1(x)/Q_i(x) \in \mathcal{O}_S$$

for all $x \in J \cap U$. Set

$$A = \{a_i, b_i, c_j \mid 2 \leq i \leq p + 2, 1 \leq j \leq l\},$$

$$S' = \{v \in M_k \mid \exists a \in A \text{ such that } \|a\|_v \neq 1\}.$$

Since A is finite, so is S' . Set $S'' = S \cup S'$. Then S'' is finite, $\mathcal{O}_S \subset \mathcal{O}_{S''}$ and $a \in \mathcal{O}_{S''}^*$ for each $a \in A$. Since $\mathcal{O}_{S''}$ is a ring and a is a unit element for every $a \in A$, it follows that both $c_i Q_i(x)/Q_1(x)$ and $Q_1(x)/(c_i Q_i(x))$ are in $\mathcal{O}_{S''}$ for all $x \in J \cap U$. Hence $c_i Q_i(x)/Q_1(x)$ is a unit element in $\mathcal{O}_{S''}$ for each $x \in J \cap U$. Since S'' is finite, $\mathcal{O}_{S''}^*$ is a finitely generated subgroup of k^* . The unit lemma implies that all but finitely many points of $J \cap U$ are contained in some diagonal hypersurface

$$H_I = \left\{ x \in U \mid \sum_{i \in I} c_i T_i(x)/T_0(x) = 0 \right\},$$

where I is a proper subset of $\{1, \dots, l\}$. If $H_I(x) = 0$ on $U(\bar{k})$, then we can take $T' = \sum_{i \in I} c_i T_i$ and since I is a proper subset of $\{1, \dots, l\}$, we get $l' < l$. This contradicts the minimum property of l . If $(T_1(x)/T_0(x), \dots, T_l(x)/T_0(x))$ belongs to the finite set of exceptional solutions $\{(d_{j_i})_{i=1}^l \mid j = 1, \dots, s\}$, then $x \in \bigcup_{j=1}^s \{y \in U \setminus D \mid T_1(y) - d_{j_1} T_0(y) = 0\}$. Since $x \in U \setminus D$, we get $T_1(x) \neq 0$, and hence we can eliminate j such that $d_{j_1} = 0$. If $T_1(x) - dT_0(x) = 0$ for all $x \in U(\bar{k})$, and $d \neq 0$, then we may write

$$Q_{i_1}^{\alpha_1} \cdots Q_{i_t}^{\alpha_t} = dQ_{i_{t+1}}^{\alpha_{t+1}} \cdots Q_{i_s}^{\alpha_s}$$

on $U(\bar{k})$. Without loss of generality, we may suppose that

$$i_1 = \min\{i_j \mid j = 1, \dots, s\}.$$

By Lemma 2.5, we see that $X_{i_1} \not\subseteq \bigcup_{j=t+1}^s D_{i_j}$. Then there exists $x_0 \in X_{i_1} \setminus \bigcup_{j=t+1}^s D_{i_j}$. So we have

$$Q_{i_1}^{\alpha_1}(x_0) \cdots Q_{i_t}^{\alpha_t}(x_0) = dQ_{i_{t+1}}^{\alpha_{t+1}}(x_0) \cdots Q_{i_s}^{\alpha_s}(x_0).$$

Since the right side is nonzero, so is the left side. This is a contradiction proving the Claim.

By induction, we can show that J is contained in a finite union of proper closed subvarieties of dimension i for each $n \geq i \geq 0$. For $i = 0$, this implies that J is a finite set. ■

We emphasize that the assumption $q \geq 2N + 1$ in Lemma 2.6 plays an essential role, because we need to use Lemma 2.5 to construct the sequence X_1, \dots, X_{p+2} . So a natural question is to find conditions on D, V such that we also get X_1, \dots, X_{p+2} by the same process as in Lemma 2.5. This idea suggests the following lemma.

LEMMA 2.7. *The process in Lemma 2.5 is successful if $n > N/(q - N)$.*

Proof. We suppose the contrary.

CLAIM. *There exist $n + 1$ sets I_1, \dots, I_{n+1} such that:*

- (i) I_1, \dots, I_{n+1} are disjoint subsets of $\{1, \dots, q\}$.
- (ii) $|I_j| \geq q - N$ for each $1 \leq j \leq n + 1$.
- (iii) For each $1 \leq j \leq n + 1$ and $s, t \in I_j$, $D_s \cap V = D_t \cap V$. In other words, $D_s \cap V =: F_j$ does not depend on $s \in I_j$ for each $1 \leq j \leq n + 1$.
- (iv) For each $1 \leq j \leq n + 1$, there exists an irreducible component E_j of F_j such that

$$\dim \{E_i \cap \{E_{i-1} \cap \{\dots \cap E_1\} \dots\}\} = n - i \quad (1 \leq i \leq n).$$

We shall prove the Claim by induction.

For $j = 1$, by Lemma 2.5, there exist D_{t_1}, \dots, D_{t_n} such that for every $1 \leq i \leq n$, there is an irreducible component W_i of $D_{t_i} \cap V$ such that

$$\dim \{W_i \cap \{W_{i-1} \cap \{\dots \cap W_1\} \dots\}\} = n - i \quad (1 \leq i \leq n).$$

Then $\{W_n \cap \{W_{n-1} \cap \{\dots \cap W_1\} \dots\}\}$ is nonempty. Take

$$x_0 \in \{W_n \cap \{W_{n-1} \cap \{\dots \cap W_1\} \dots\}\}.$$

Set $I_1 = \{1 \leq s \leq q \mid \{x_0\} \not\subseteq D_s \cap V\}$. Since at most N of the D_t ($1 \leq t \leq q$) can intersect at x_0 , we have $|I_1| \geq q - N$. We now show that $D_s \cap V \subset D_t \cap V$ for any $s, t \in I_1$. Indeed, suppose that $y_0 \in D_s \cap V$, but $y_0 \notin D_t \cap V$. Then, by choosing $D_{t_{n+1}} = D_s$ and $D_{t_{n+2}} = D_t$, the process in Lemma 2.5 is successful. This is impossible by the assumption. This yields $D_s \cap V = D_t \cap V$ for any $s, t \in I_1$.

For $j = 2$, take an irreducible component E_1 of $F_1 = D_s \cap V, s \in I_1$. Repeating the process in Lemma 2.5, we may find D_{t_2}, \dots, D_{t_n} and their respective irreducible components W_2, \dots, W_n such that

$$\dim \{W_i \cap \{W_{i-1} \cap \{\dots \cap E_1\} \dots\}\} = n - i \quad (1 \leq i \leq n).$$

By the same argument, there exists a subset I_2 of $\{1, \dots, q\}$ such that $|I_2| \geq q - N$ and $D_s \cap V = D_t \cap V$ for all $s, t \in I_2$ and there exists $x_0 \in E_1 \setminus F_2$, where $F_2 = D_s \cap V$ for some $s \in I_2$. So $E_1 \not\subseteq F_2$.

For $j = 3$, take an irreducible component E_2 of F_2 such that $\dim\{E_1 \cap E_2\} = n - 2$. Repeating the process in Lemma 2.5 for $W_1 = E_1, W_2 = E_2$ and by the above argument, we can find I_3 satisfying the above conditions. Moreover, $E_2 \cap E_1 \not\subseteq F_3$.

Similarly, we find subsets I_1, \dots, I_n satisfying the above conditions. At the end, in the same way, we still find I_{n+1} such that $|I_{n+1}| \geq q - N$ and $D_s \cap V = D_t \cap V$ for all $s, t \in I_{n+1}$. So the Claim is proved.

Since (i) and (ii) hold, we now have $q \geq (n + 1)(q - N)$, and hence $n \leq N/(q - N)$, a contradiction. ■

Proof of Theorem 1.6. Use the same argument as in the proof of Lemma 2.6 and apply Lemma 2.7. ■

Proof of Corollary 1.7. (i) This assertion is Lemma 2.6.

(ii) The first part can be deduced from the Thue–Siegel theorem (see [14], [15]) and Theorem 1.6. For the second part, we consider the following.

EXAMPLE 2.8. Let $k = \mathbb{Q}[\sqrt{2}]$, $V = \{x_3 = 0\} \subset \mathbb{P}_k^2$ and $D_1 = \{x_1 = 0\}$, $D_2 = \{x_2 = 0\}$, $D_3 = \{x_1^2 - x_3^2 = 0\}$, $D_4 = \{x_2^2 - x_3^2 = 0\}$. Then D_1, D_2, D_3, D_4 are hypersurfaces in 2-subgeneral position in V . Take $J = \{((1 + \sqrt{2})^n : 1 : 0) \mid n \in \mathbb{N}\}$. So $J \subset V \setminus D$ and $|J| = \infty$. Since $\{1, x_2/x_1, x_1/x_2\}$ is a base of $\mathcal{L}(D')$, where $D' = D_1 + D_2$, we have the embedding

$$\left(\frac{x_1}{x_2}, \frac{x_2}{x_1}\right) : V \setminus D' \rightarrow \mathbb{A}^1.$$

It is easy to see that $(1 + \sqrt{2})^n \in \mathcal{O}_k^* \subset \mathcal{O}_S^*$. Hence, J is a set of (S, D) -integral points of V by Corollary 1.3, but J is an infinite set.

3. Hyperbolicity of the complement of divisors in subgeneral position in projective algebraic varieties. First of all, we recall the following.

LEMMA 3.1 (Borel lemma). *Let u_i be nonvanishing entire functions satisfying the unit equation*

$$\sum_{i=1}^n u_i = 1.$$

Then the image of the entire curve $f = (u_1, \dots, u_n)$ is contained in a diagonal hyperplane.

LEMMA 3.2 (see [7, Lemma 4.8] and [8, Theorem 7.2.13]). *Let X be a compact complex space and let $\{E_i\}_{i \in I}$ be a family of Cartier hypersurfaces of X . Assume that for every subset $\emptyset \subseteq J \subseteq I$, every holomorphic curve*

$$f : \mathbb{C} \rightarrow \bigcap_{j \in J} E_j \setminus \bigcup_{i \in I \setminus J} E_i$$

is reduced to a constant mapping, where $\bigcap_{j \in \emptyset} E_j = X$. Then $X \setminus \bigcup_{i \in I} E_i$ is complete hyperbolic and hyperbolically embedded into X .

Proof of Theorem 1.8. Let P_1, \dots, P_q be homogeneous polynomials defining the hypersurfaces D_j ($1 \leq j \leq q$). Without loss of generality, we may assume that P_j ($1 \leq j \leq q$) have the same degree. It suffices to prove that if W is an irreducible subvariety of V with $\dim W := p > N/(q - N)$ and $f(\mathbb{C}) \subset W$, then $f(\mathbb{C})$ is contained in a proper subvariety of W . Using Lemma 2.7, we may choose irreducible components X_1, \dots, X_{p+2} of $D_{j_1}, \dots, D_{j_{p+2}}$ as in Lemma 2.5. Set $Q_i = P_{j_i}$. Then $Q_1(f), \dots, Q_{p+2}(f)$ are nonvanishing entire functions. Since the transcendence dimension of the function field of W is p , the rational functions $Q_2/Q_1, \dots, Q_{p+2}/Q_1$ on W are algebraically dependent. Hence there exists a polynomial T with coefficients in \mathbb{C} such that

$$T(Q_2/Q_1, \dots, Q_{p+2}/Q_1) = 0$$

identically on W . Therefore,

$$\sum_{i=1}^l c_i T_i / T_0 = 1,$$

where $c_i \neq 0$ and T_0, \dots, T_l are monomials in $Q_2/Q_1, \dots, Q_{p+2}/Q_1$. Set

$$T_i(f) = T_i(Q_2(f)/Q_1(f), \dots, Q_{p+2}(f)/Q_1(f)) \quad (0 \leq i \leq l).$$

Then $T_i(f)/T_0(f)$ ($1 \leq i \leq l$) are nonvanishing entire functions. Using the Borel lemma and in the same way as in the Unit Lemma and by repeating the discussion as in the case of (S, D) -integral points, we get the first claim.

Now we apply Lemma 3.2 to prove the last claim. In fact, assume that J is any subset of $\{1, \dots, q\}$ with $|J| = l$. If $l \geq N + 1$, then $\bigcap_{j \in J} D_j \cap V = \emptyset$ by our assumption, and hence the assertion is proved. If $0 \leq l \leq N$, then by definition, the family $\{D_i\}_{i \in I \setminus J}$ is in $(N - l)$ -subgeneral position on $\bigcap_{j \in J} D_j \cap V$. By the above, any holomorphic curve $f : \mathbb{C} \rightarrow (\bigcap_{j \in J} D_j \cap V) \setminus \bigcup_{i \in I \setminus J} D_i$ is contained in a proper subvariety W of $\bigcap_{j \in J} D_j \cap V$ such that

$$\dim W \leq \frac{N - l}{(q - l) - (N - l)}.$$

Since $q \geq 2N + 1$ and $0 \leq l \leq N$ we have $\dim W \leq \frac{N-l}{q-N} < 1$. Therefore $f(\mathbb{C})$ is discrete, and hence f is constant by the connectedness of $f(\mathbb{C})$. The proof is complete. ■

Acknowledgements. We would like to express our gratitude to the referee. His/her valuable comments on the first version of this paper led to significant improvements. This work was done during a stay of the authors at the Vietnam Institute for Advanced Study in Mathematics (VIASM). We would like to thank VIASM for partial support, and the staff of VIASM for their hospitality.

This research was supported by NAFOSTED of Vietnam (Grant No. 101.04-2014.48).

References

- [1] J. H. Evertse and R. G. Ferretti, *Diophantine inequalities on projective varieties*, Int. Math. Res. Notices 2002, no. 25, 1295–1330.
- [2] J. H. Evertse and R. G. Ferretti, *A generalization of the subspace theorem with polynomials of high degree*, in: Developments Math. 16, Springer, New York, 2008, 175–198.
- [3] G. Faltings, *Diophantine approximation on abelian varieties*, Ann. of Math. 133 (1991), 549–576.
- [4] R. Hartshorne, *Algebraic Geometry*, Grad. Texts in Math. 52, Springer, New York, 1977.
- [5] S. Lang, *Fundamentals of Diophantine Geometry*, Springer, Berlin, 1983.
- [6] A. Levin, *The dimensions of integral points and holomorphic curves on the complements of hyperplanes*, Acta Arith. 134 (2008), 259–270.
- [7] J. Noguchi and J. Winkelmann, *Holomorphic curves and integral points off divisors*, Math. Z. 239 (2002), 593–610.
- [8] J. Noguchi and J. Winkelmann, *Nevanlinna Theory in Several Complex Variables and Diophantine Approximation*, Grundlehren Math. Wiss. 350, Springer, Berlin, 2013.
- [9] C. F. Osgood, *A number theoretic-differential equations approach to generalizing Nevanlinna theory*, Indian J. Math. 23 (1981), 1–15.
- [10] C. F. Osgood, *Sometimes effective Thue–Siegel–Roth–Schmidt–Nevanlinna bounds, or better*, J. Number Theory 21 (1985), 347–389.
- [11] M. Ru, *Integral points and the hyperbolicity of the complement of hypersurfaces*, J. Reine Angew. Math. 442 (1993), 163–176.
- [12] M. Ru and P.-M. Wong, *Integral points of $\mathbb{P}^n - \{2n + 1 \text{ hyperplanes in general position}\}$* , Invent. Math. 106 (1991), 195–216.
- [13] I. R. Shafarevich, *Basic Algebraic Geometry*, Springer, Berlin, 1977.
- [14] C. L. Siegel, *Approximation algebraischer Zahlen*, Math. Z. 10 (1921), 173–213.
- [15] A. Thue, *Über Annäherungswerte algebraischer Zahlen*, J. Reine Angew. Math. 135 (1909), 284–305.
- [16] P. Vojta, *Diophantine Approximations and Value Distribution Theory*, Lecture Notes in Math. 1239, Springer, Berlin, 1987.
- [17] P. Vojta, *A refinement of Schmidt’s subspace theorem*, Amer. J. Math. 111 (1989), 489–518.

Do Duc Thai, Nguyen Huu Kien
 Department of Mathematics
 Hanoi National University of Education
 136 Xuan Thuy St., Cau Giay, Hanoi, Vietnam
 E-mail: doducthai@hnue.edu.vn
 hkiensp@gmail.com

Received on 15.5.2014
 and in revised form on 6.3.2015

(7804)