

## On totally real Hilbert–Speiser fields of type $C_p$

by

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**1. Introduction.** Let  $L/K$  be a finite Galois extension of number fields with Galois group  $G$ . Then by a theorem of Noether it is well known that the ring of integers  $\mathcal{O}_L$  is a projective module over the group ring  $\mathcal{O}_K G$  if and only if  $L/K$  is tamely ramified. If  $\mathcal{O}_L$  is in fact free (necessarily of rank 1) over  $\mathcal{O}_K G$ , then  $L/K$  is said to have a *normal integral basis*.

A number field  $K$  is called a *Hilbert–Speiser field* if every finite abelian tamely ramified extension  $L/K$  has a normal integral basis. The celebrated Hilbert–Speiser Theorem says that  $\mathbb{Q}$  is such a field, and the main result of [GRRS99] is that  $\mathbb{Q}$  is in fact the only such field. By fixing a finite abelian group  $G$  one can consider a finer problem: given a number field  $K$ , does every tame  $G$ -Galois extension  $L/K$  have a normal integral basis? If so,  $K$  is said to be a *Hilbert–Speiser field of type  $G$* . The simplest case to consider is when  $G = C_p$ , the cyclic group of prime order  $p$ . This has been studied, for instance, in [Car03], [Car04], [Her05], [Ich02], [Ich04], [Ich07a], [Ich07b] and [IST07]. We continue the investigation of this case by establishing the following result, the proof of which is based on a detailed analysis of locally free class groups and ramification indices.

**THEOREM 1.1.** *Let  $K$  be a totally real number field and let  $p \geq 5$  be prime. Suppose that  $K/\mathbb{Q}$  is ramified at  $p$ . If  $p = 5$  and  $[K(\zeta_5) : K] = 2$ , assume further that there exists a prime  $\mathfrak{p}$  of  $K$  above  $p$  such that the ramification index of  $\mathfrak{p}$  in  $K/\mathbb{Q}$  is at least 3. Then  $K$  is not Hilbert–Speiser of type  $C_p$ .*

**REMARK 1.2.** Some extra conditions in the case  $p = 5$  and  $[K(\zeta_5) : K] = 2$  are required because, for example, as noted in [Ich07a, Remark 1],  $K = \mathbb{Q}(\sqrt{5})$  is in fact Hilbert–Speiser of type  $C_5$ .

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Theorem 1.1 can be seen as an analogue of the following result of Herreng (see [Her05, §3]). The authors are grateful to Nigel P. Byott for pointing out that the original hypothesis that  $K/\mathbb{Q}$  is Galois can be weakened as below.

**THEOREM 1.3** (Herreng). *Let  $K$  be a totally imaginary number field and let  $p$  be an odd prime. Suppose that every prime  $\mathfrak{p}$  of  $K$  above  $p$  is ramified. If*

- (a)  $p > [K : \mathbb{Q}]$ , or
- (b)  $p \geq 5$  and  $\zeta_p \in K$ , or
- (c)  $p \geq 7$  and the ramification index in  $K/\mathbb{Q}$  of every prime  $\mathfrak{p}$  of  $K$  above  $p$  is at least 3,

*then  $K$  is not Hilbert–Speiser of type  $C_p$ .*

Combining Theorems 1.1 and 1.3(a) we immediately obtain the following result, which in many (but not all) respects is a significant sharpening of [Ich07a, Theorems 1 and 2].

**THEOREM 1.4.** *Let  $K$  be a Hilbert–Speiser field of type  $C_p$  for some odd prime  $p$ . If either*

- (a)  $K$  is totally real and  $p \geq 7$ , or
- (b)  $K$  is totally imaginary and  $p > [K : \mathbb{Q}]$ ,

*then  $K \cap \mathbb{Q}(\zeta_{p^n}) = \mathbb{Q}$  for all  $n \geq 1$ .*

**2. Realizable classes.** We briefly recall the work of McCulloh on realizable classes in the special case of cyclic extensions of prime degree (see [McC83] for further details).

Let  $K$  be a number field and let  $p$  be a prime. Let  $\Delta \simeq (\mathbb{Z}/p\mathbb{Z})^\times$  be the group of automorphisms of  $C_p$ . Then the locally free class group  $\text{Cl}(\mathcal{O}_K C_p)$  is a  $\Delta$ -module. As  $L/K$  varies over all tame  $C_p$ -Galois extensions of  $K$ , the class  $(\mathcal{O}_L)$  of  $\mathcal{O}_L$  varies over a subset  $R(\mathcal{O}_K C_p)$  of  $\text{Cl}(\mathcal{O}_K C_p)$ . This subset is in fact a subgroup which can be described explicitly.

Let  $\text{Cl}(\mathcal{O}_K)$  denote the ideal class group of  $K$  and let  $\text{Cl}'(\mathcal{O}_K C_p)$  be the kernel of the map  $\text{Cl}(\mathcal{O}_K C_p) \rightarrow \text{Cl}(\mathcal{O}_K)$  induced by augmentation. Let  $\mathcal{J}$  be the Stickelberger ideal in  $\mathbb{Z}\Delta$  (the definition of  $\mathcal{J}$  will be given later). The key result of relevance to the present paper is that  $R(\mathcal{O}_K C_p)$  is the subgroup  $\text{Cl}'(\mathcal{O}_K C_p)^\mathcal{J}$  of  $\text{Cl}(\mathcal{O}_K C_p)$  where  $\text{Cl}'(\mathcal{O}_K C_p)^\mathcal{J} = \{c^\alpha : c \in \text{Cl}'(\mathcal{O}_K C_p), \alpha \in \mathcal{J}\}$ .

**3. The proof of Theorem 1.1.** Let  $K$  be a totally real number field and let  $p \geq 5$  be prime. Let  $\mathfrak{p}$  be some prime of  $K$  above  $p$  and let  $e$  denote the ramification index of  $\mathfrak{p}$  in  $K/\mathbb{Q}$ . We will assume that  $p$  is ramified in  $K/\mathbb{Q}$  and so  $\mathfrak{p}$  can be chosen such that  $e \geq 2$ . Under these hypotheses we

shall show that  $K$  is not Hilbert–Speiser of type  $C_p$  (note that in the case  $p = 5$  and  $[K(\zeta_p) : K] = 2$  we shall have to assume that  $e \geq 3$ ).

The basic idea of the proof will be to construct certain  $\mathcal{O}_K$ -algebras  $\Gamma$  and  $S$  such that  $\Gamma \subseteq S$  with  $S/\Gamma \simeq \mathcal{O}_K/\mathfrak{p}$  as  $\mathcal{O}_K$ -modules. Together,  $S$  and  $\Gamma$  will be used to construct a non-trivial subgroup of the realizable classes  $R(\mathcal{O}_K C_p) = \text{Cl}'(\mathcal{O}_K C_p)^{\mathcal{J}}$  described in Section 2, thereby giving the desired result. At all primes  $\mathfrak{q} \neq \mathfrak{p}$  of  $K$  the completions  $S_{\mathfrak{q}}$  and  $\Gamma_{\mathfrak{q}}$  will be equal, so the essential part of the argument will be local at  $\mathfrak{p}$ .

Let  $\phi_p(z)$  be the  $p$ th cyclotomic polynomial. Then  $\Gamma := \mathcal{O}_K[z]/(\phi_p(z))$  is an  $\mathcal{O}_K$ -algebra, but is a domain if and only if  $[K(\zeta_p) : K] = p - 1$ . The group  $\Delta := (\mathbb{Z}/p\mathbb{Z})^\times$  acts on  $\Gamma$  in the following way: to each  $\bar{a} \in \Delta$  we associate an automorphism  $\sigma_a$  of  $\Gamma$  defined by  $\sigma_a(z) = z^a$ , where the image of  $z$  in  $\Gamma$  is again written  $z$ . Let  $\omega : \Delta \rightarrow \mathbb{Z}_p^\times$  be the Teichmüller character, so that  $\omega(\sigma_a) = \tilde{a}$  where  $\tilde{a}^{p-1} = 1$ , and  $\tilde{a} \equiv a \pmod{p}$ .

There exists an element  $\lambda$  such that  $\mathbb{Z}_p[\zeta_p] = \mathbb{Z}_p[\lambda]$  with  $\lambda^{p-1} = -p$ ,  $\lambda \equiv 1 - \zeta_p \pmod{(1 - \zeta_p)^2}$  (see, for example, [Lan90, Chapter 14, Lemma 3.1]). Furthermore,  $\Delta$  acts on  $\lambda^i \mathbb{Z}_p$  through the character  $\omega^i$  with  $i \in \{0, \dots, p-2\}$ . Note that  $\Gamma_{\mathfrak{p}} = \mathcal{O}_{K_{\mathfrak{p}}} \otimes_{\mathbb{Z}_p} \mathbb{Z}_p[\zeta_p]$ , for which  $\{1, \lambda, \lambda^2, \dots, \lambda^{p-2}\}$  is an  $\mathcal{O}_{K_{\mathfrak{p}}}$ -basis. Let  $\pi$  denote a parameter of  $\mathcal{O}_{K_{\mathfrak{p}}}$  and define the element  $x := (1/\pi) \otimes \lambda^{p-2}$  in  $K_{\mathfrak{p}} \otimes_{\mathbb{Q}_p} \mathbb{Q}_p(\zeta_p) = K_{\mathfrak{p}}\Gamma$  (we will abuse notation and write  $x = \lambda^{p-2}/\pi$ ).

LEMMA 3.1. *We have  $x^2, x^3, \lambda x, \pi x \in \Gamma_{\mathfrak{p}}$ .*

*Proof.* Since  $e \geq 2$ , we have  $p/\pi^2 \in \mathcal{O}_{K_{\mathfrak{p}}}$ . Hence

$$x^2 = \frac{\lambda^{2p-4}}{\pi^2} = \frac{-p\lambda^{p-3}}{\pi^2} \quad \text{and} \quad x^3 = \frac{(-p)^2\lambda^{p-4}}{\pi^3}$$

are both in  $\Gamma_{\mathfrak{p}}$  (we have used  $p \geq 5$  here). Furthermore, it is clear that

$$\lambda x = \frac{\lambda^{p-1}}{\pi} = \frac{-p}{\pi} \quad \text{and} \quad \pi x = \lambda^{p-2}$$

are both in  $\Gamma_{\mathfrak{p}}$ . ■

We shall now consider three cases, the first two of which overlap.

**3.1.** *The case  $[K(\zeta_p) : K] > 2$ .* A consequence of Lemma 3.1 is that the  $\mathcal{O}_{K_{\mathfrak{p}}}$ -module  $T := \Gamma_{\mathfrak{p}} + x\mathcal{O}_{K_{\mathfrak{p}}}$  is in fact an  $\mathcal{O}_{K_{\mathfrak{p}}}$ -algebra. Furthermore, we have

$$\pi T = \pi\Gamma_{\mathfrak{p}} + \lambda^{p-2}\mathcal{O}_{K_{\mathfrak{p}}} \subseteq \Gamma_{\mathfrak{p}} \subseteq T$$

since  $\lambda^{p-2}$  is part of an  $\mathcal{O}_{K_{\mathfrak{p}}}$ -basis of  $\Gamma_{\mathfrak{p}}$ . We now let  $S$  be the  $\mathcal{O}_K$ -order defined by

$$S_{\mathfrak{q}} = \Gamma_{\mathfrak{q}} \quad (\mathfrak{q} \neq \mathfrak{p}), \quad S_{\mathfrak{p}} = T.$$

We find that  $\Gamma \subseteq S$  and  $\pi S \subseteq \Gamma$  (note that we have abused notation in the obvious way here). Furthermore, the ring  $\bar{S} := S/\pi S$  is isomorphic to

$S_p/\pi S_p = T/\pi T$ . Let  $\bar{\Gamma}$  be the image of  $\Gamma$  under the canonical map  $S \rightarrow \bar{S}$ . We have a Milnor square

$$\begin{array}{ccc} \Gamma & \hookrightarrow & S \\ \downarrow & & \downarrow \\ \bar{\Gamma} & \hookrightarrow & \bar{S} \end{array}$$

where the horizontal arrows are the natural inclusions and the vertical arrows are the natural projections (note that this is a special case of a fiber product). Note that we have  $\delta(\lambda^{p-2}) \equiv \omega^{p-2}(\delta)\lambda^{p-2}$  modulo  $p\mathbb{Z}_p[\zeta_p]$  for every  $\delta \in \Delta$ , so  $\delta(x) \in x + \Gamma \subset S$ . Hence  $\Delta$  acts on  $S$  and so acts on each of the rings in the Milnor square. By [CR87, p. 242] we have the following exact sequence

$$K_1(S) \times K_1(\bar{\Gamma}) \rightarrow K_1(\bar{S}) \rightarrow \text{Cl}(\Gamma) \rightarrow \text{Cl}(S) \rightarrow 0.$$

As all the rings above are commutative, this becomes

$$S^\times \times \bar{\Gamma}^\times \rightarrow \bar{S}^\times \rightarrow \text{Cl}(\Gamma) \rightarrow \text{Cl}(S) \rightarrow 0.$$

Hence we have an embedding of  $\Delta$ -modules

$$N := \frac{\bar{S}^\times}{\bar{\Gamma}^\times \cdot \text{im}(S^\times)} \hookrightarrow \text{Cl}(\Gamma),$$

where  $\text{im}(S^\times)$  is the image of  $S^\times$  under the map  $S \rightarrow \bar{S}$ .

For every  $\Delta$ -module  $X$ , let  $X^-$  and  $X^{\omega^{-1}}$  denote the minus part and the  $\omega^{-1}$ -part of  $\mathbb{Z}_p \otimes_{\mathbb{Z}} X$ , respectively. Then  $X^{\omega^{-1}} \subseteq X^-$ . We will show that  $N^{\omega^{-1}}$  contains a submodule  $M$  of order  $p$ . Note that by the definition of  $x$  and the action of  $\Delta$ , we have  $x \in S^{\omega^{-1}}$ . We define  $\bar{x} \in \bar{S}$  to be the image of  $x \in T$  under the natural projection  $T \rightarrow T/\pi T \simeq \bar{S}$  and note that  $\bar{x} \in \bar{S}^{\omega^{-1}}$ .

Let  $[\text{exp}](z) := \sum_{i=0}^{p-1} (1/i!)z^i$  denote the truncated exponential series. Whenever the ideal  $(a, b)$  generated by  $a$  and  $b$  satisfies  $(a, b)^p = 0$ , we have  $[\text{exp}](a + b) = [\text{exp}](a) \cdot [\text{exp}](b)$  (see the proof of [CGM<sup>+</sup>98,  $p$ -elementary group schemes—constructions and Raynaud’s theory, Remark 1.1]). Let  $y := [\text{exp}](\bar{x}) \in \bar{S}$ . Since  $y^p = [\text{exp}](p\bar{x}) = [\text{exp}](0) = 1$ , we have  $y \in \bar{S}^\times$ . We note that  $y \notin \bar{\Gamma}$  (the summand with  $i = 1$  is  $\bar{x}$  and hence plainly outside  $\bar{\Gamma}$ , and all other summands are in  $\bar{\Gamma}$  by Lemma 3.1). Moreover, as  $[\text{exp}]$  is compatible with the  $\Delta$ -action, we have  $y \in (\bar{S}^\times)^{\omega^{-1}} = \bar{S}^\times \cap \bar{S}^{\omega^{-1}}$ .

LEMMA 3.2. *We have  $(\bar{\Gamma}^\times \cdot \text{im}(S^\times))^{\omega^{-1}} = (\bar{\Gamma}^\times)^{\omega^{-1}}$ .*

*Proof.* Let  $\mathfrak{M}$  denote the maximal order in  $KS = K\Gamma$ . Then  $\mathfrak{M} = \text{ind}_{\Delta_0}^\Delta \mathcal{O}_{K(\zeta_p)}$  with  $\Delta_0 = \text{Gal}(K(\zeta_p)/K)$ . We consider  $S^{\times-} \subseteq \mathfrak{M}^{\times-}$ ; since  $K$  is totally real, complex conjugation  $j \in \Delta_0$  acts on each factor of  $\mathfrak{M}$  separately, and we see that  $\mathcal{O}_{K(\zeta_p)}^\times$  is the multiplicative group of roots of unity  $\langle \zeta_{p^f} \rangle$  for some  $f \geq 1$  (see [Was97, Theorem 4.12]). Hence  $\mathfrak{M}^{\times-} = \text{ind}_{\Delta_0}^\Delta \langle \zeta_{p^f} \rangle$ .

Suppose that  $f = 1$ . Then  $\Delta_0$  acts on  $\zeta_p$  via  $\omega|_{\Delta_0}$ , and from the Frobenius reciprocity theorem one deduces that  $\text{ind}_{\Delta_0}^{\Delta} \langle \zeta_p \rangle$  has non-trivial  $\omega^{-1}$ -part if and only if  $\omega^{-1}|_{\Delta_0} = \omega|_{\Delta_0}$ , that is, if and only if  $\omega^2$  is trivial on  $\Delta_0$ . But this is not the case since  $[K(\zeta_p) : K] = |\Delta_0| > 2$  by hypothesis. Now suppose  $f > 1$ . Then considering the short exact sequence

$$1 \rightarrow \text{ind}_{\Delta_0}^{\Delta} \langle \zeta_{p^{f-1}} \rangle \rightarrow \text{ind}_{\Delta_0}^{\Delta} \langle \zeta_{p^f} \rangle \rightarrow \text{ind}_{\Delta_0}^{\Delta} \langle \zeta_p \rangle \rightarrow 1,$$

we see that the middle term has trivial  $\omega^{-1}$ -part if and only if the same is true of both the outer terms. It now follows by induction on  $f$  that  $\mathfrak{M}^{\times -} = \text{ind}_{\Delta_0}^{\Delta} \langle \zeta_{p^f} \rangle$  has trivial  $\omega^{-1}$ -part. Hence  $(S^{\times})^{\omega^{-1}}$  is trivial, and the lemma is proved. ■

Let  $\bar{y}$  denote the projection of  $y$  to  $N$ . If  $\bar{y}$  were trivial in  $N$ , then  $y$  would have to be in  $(\bar{\Gamma}^{\times} \cdot \text{im}(S^{\times}))^{\omega^{-1}} = (\bar{\Gamma}^{\times})^{\omega^{-1}}$ . However, we have already noted that  $y$  is not even in  $\bar{\Gamma}$ . Hence  $M := \langle \bar{y} \rangle$  is a non-trivial  $\Delta$ -submodule of  $N$  with  $M^{\omega^{-1}} = M$ .

**3.2.** *The case  $e \geq 4$ .* Let  $x_1 = x = \lambda^{p-2}/\pi = (1/\pi) \otimes \lambda^{p-2}$  be as above and define  $x_2 = \lambda^{p-2}/\pi^2 = (1/\pi^2) \otimes \lambda^{p-2}$ .

LEMMA 3.3. *We have  $x_2^2, x_2^3, \lambda x_2, \pi^2 x_2, x_1 x_2, x_1^2 x_2, x_1 x_2^2 \in \Gamma_{\mathfrak{p}}$ .*

*Proof.* We use the assumption that  $p \geq 5$  without further mention. Since  $e \geq 4$ , we have  $p/\pi^4 \in \mathcal{O}_{K_{\mathfrak{p}}}$ . Hence

$$x_2^2 = \frac{\lambda^{2p-4}}{\pi^4} = \frac{-p\lambda^{p-3}}{\pi^4} \quad \text{and} \quad x_2^3 = \frac{p^2\lambda^{p-4}}{\pi^6}$$

are both in  $\Gamma_{\mathfrak{p}}$ . Furthermore, it is clear that

$$\lambda x_2 = \frac{\lambda^{p-1}}{\pi^2} = \frac{-p}{\pi^2} \quad \text{and} \quad \pi^2 x_2 = \lambda^{p-2}$$

are both in  $\Gamma_{\mathfrak{p}}$ . Finally,

$$\begin{aligned} x_1 x_2 &= \frac{\lambda^{2p-4}}{\pi^3} = \frac{(-p)\lambda^{p-3}}{\pi^3}, & x_1^2 x_2 &= \frac{\lambda^{3p-6}}{\pi^4} = \frac{(-p)^2 \lambda^{p-4}}{\pi^4}, \quad \text{and} \\ x_1 x_2^2 &= \frac{\lambda^{3p-6}}{\pi^5} = \frac{(-p)^2 \lambda^{p-4}}{\pi^5} \end{aligned}$$

are all in  $\Gamma_{\mathfrak{p}}$ . ■

A consequence of Lemmas 3.1 and 3.3 is that the  $\mathcal{O}_{K_{\mathfrak{p}}}$ -module  $T := \Gamma_{\mathfrak{p}} + x_1 \mathcal{O}_{K_{\mathfrak{p}}} + x_2 \mathcal{O}_{K_{\mathfrak{p}}}$  is in fact an  $\mathcal{O}_{K_{\mathfrak{p}}}$ -algebra. Furthermore, we have

$$\pi^2 T = \pi^2 \Gamma_{\mathfrak{p}} + \pi \lambda^{p-2} \mathcal{O}_{K_{\mathfrak{p}}} + \lambda^{p-2} \mathcal{O}_{K_{\mathfrak{p}}} = \pi^2 \Gamma_{\mathfrak{p}} + \lambda^{p-2} \mathcal{O}_{K_{\mathfrak{p}}} \subseteq \Gamma_{\mathfrak{p}} \subseteq T$$

since  $\lambda^{p-2}$  is part of an  $\mathcal{O}_{K_{\mathfrak{p}}}$ -basis of  $\Gamma_{\mathfrak{p}}$ . We now let  $S$  be the  $\mathcal{O}_K$ -order defined by

$$S_{\mathfrak{q}} = \Gamma_{\mathfrak{q}} \quad (\mathfrak{q} \neq \mathfrak{p}), \quad S_{\mathfrak{p}} = T.$$

Then  $\Gamma \subseteq S$  and  $\pi^2 S \subseteq \Gamma$ . The same argument as in the previous case gives an embedding of  $\Delta$ -modules

$$N := \frac{\bar{S}^\times}{\bar{\Gamma}^\times \cdot \text{im}(S^\times)} \hookrightarrow \text{Cl}(\Gamma).$$

By definition of  $x_1, x_2$  and the action of  $\Delta$ , we have  $x_1, x_2 \in S^{\omega^{-1}}$ . Let  $y_1 = [\text{exp}](\bar{x}_1), y_2 = [\text{exp}](\bar{x}_2) \in \bar{S} = S/\pi^2 S$ . As in the previous case, both  $y_1, y_2$  are elements of order  $p$  in  $(\bar{S}^\times)^{\omega^{-1}}$ .

LEMMA 3.4.  $(S^\times)^{\omega^{-1}}$  is cyclic.

*Proof.* Let  $\mathfrak{M}$  denote the maximal order in  $KS = K\Gamma$ . As  $S \subseteq \mathfrak{M}$ , we have  $(S^\times)^{\omega^{-1}} \subseteq (\mathfrak{M}^\times)^{\omega^{-1}}$ , and so it suffices to show that  $(\mathfrak{M}^\times)^{\omega^{-1}}$  is a cyclic group.

By the same argument as for Lemma 3.2, we obtain  $\mathfrak{M}^{\times-} = \text{ind}_{\Delta_0}^\Delta \langle \zeta_{pf} \rangle$ . Furthermore, as  $\omega^{-1}$  is an odd character, we have  $(\mathfrak{M}^\times)^{\omega^{-1}} \subseteq \mathfrak{M}^{\times-}$ . Now  $\langle \zeta_{pf} \rangle$  is trivially cyclic as a  $\mathbb{Z}_p[\Delta_0]$ -module; hence

$$\mathfrak{M}^{\times-} = \text{ind}_{\Delta_0}^\Delta \langle \zeta_{pf} \rangle = \mathbb{Z}_p[\Delta] \otimes_{\mathbb{Z}_p[\Delta_0]} \langle \zeta_{pf} \rangle$$

is cyclic as a  $\mathbb{Z}_p[\Delta]$ -module. Thus  $(\mathfrak{M}^\times)^{\omega^{-1}} = \mathbb{Z}_p(\omega^{-1}) \otimes_{\mathbb{Z}_p[\Delta]} \mathfrak{M}^{\times-}$  is cyclic as a  $\mathbb{Z}_p(\omega^{-1})$ -module, where  $\mathbb{Z}_p(\omega^{-1})$  is the ring extension of  $\mathbb{Z}_p$  obtained by adjoining the image of  $\omega^{-1}$ . However,  $\omega^{-1}$  takes its values in  $\mathbb{Z}_p^\times$ , and so  $\mathbb{Z}_p(\omega^{-1}) = \mathbb{Z}_p$ . Therefore  $(\mathfrak{M}^\times)^{\omega^{-1}}$  is cyclic as a  $\mathbb{Z}_p$ -module, and hence is cyclic as a group. ■

Let  $\tilde{y}_1, \tilde{y}_2 \in (\bar{S}^\times/\bar{\Gamma}^\times)^{\omega^{-1}}$  be the images of  $y_1, y_2$  under the natural projection. Since  $y_1, y_2 \notin \bar{\Gamma}$  (the summand with  $i = 1$  is outside  $\bar{\Gamma}$  and all others are in  $\bar{\Gamma}$  by Lemmas 3.1 and 3.3),  $\tilde{y}_1, \tilde{y}_2$  are also each of order  $p$ . Suppose that  $\tilde{y}_1^{k_1} \tilde{y}_2^{k_2}$  is trivial for some  $k_1, k_2 \in \{1, \dots, p-1\}$ . This would mean that  $[\text{exp}](k_1 \bar{x}_1 + k_2 \bar{x}_2)$  is in  $\bar{\Gamma}$ . By virtue of Lemma 3.3, we have  $[\text{exp}](k_1 \bar{x}_1 + k_2 \bar{x}_2) \equiv 1 + k_1 \bar{x}_1 + k_2 \bar{x}_2$  modulo  $\bar{\Gamma}$ . Therefore we would obtain  $k_1 \bar{x}_1 + k_2 \bar{x}_2 \in \bar{\Gamma}$  and so  $k_1 x_1 + k_2 x_2 \in \Gamma_p$ , which is impossible. Hence the subgroup  $\langle \tilde{y}_1, \tilde{y}_2 \rangle \simeq \langle y_1, y_2 \rangle \simeq \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$  is non-cyclic. Let  $\bar{y}_1, \bar{y}_2$  be the projections of  $\tilde{y}_1, \tilde{y}_2$  to  $N$  and let  $M := \langle \bar{y}_1, \bar{y}_2 \rangle \subseteq N^{\omega^{-1}}$ . Note that  $M$  is non-trivial because  $(\text{im}(S^\times))^{\omega^{-1}}$  is cyclic by Lemma 3.4, but  $\langle \tilde{y}_1, \tilde{y}_2 \rangle$  is non-cyclic. Hence  $M$  is a non-trivial  $\Delta$ -submodule of  $N$  with  $M^{\omega^{-1}} = M$ .

**3.3.** *The case  $[K(\zeta_p) : K] = 2$  and  $e = 2$  or  $3$ .* Note that the condition  $[K(\zeta_p) : K] = 2$  implies that  $(p-1)/2$  divides  $e$ . Hence we are reduced to considering the cases  $p = 5$  and  $p = 7$  (since  $p \geq 11$  forces  $e \geq 5$ ). If  $p = 5$ , then  $e$  must be even and so in fact  $e = 2$ . However, this case is excluded by hypothesis. If  $p = 7$ , then we must have  $e = 3$ . In this case, we

let  $x_1 = \lambda^5/\pi$ ,  $x_2 = \lambda^5/\pi^2$  and  $x_3 = \lambda^4/\pi$ . It is straightforward to check that the  $\mathcal{O}_{K_p}$ -module  $T := \Gamma_p + x_1\mathcal{O}_{K_p} + x_2\mathcal{O}_{K_p} + x_3\mathcal{O}_{K_p}$  is in fact an  $\mathcal{O}_{K_p}$ -algebra. The result is then given by a slight variant of the proof of the previous case (note that  $x_1, x_2 \in S^{\omega^{-1}}$  but  $x_3 \notin S^{\omega^{-1}}$ ).

**3.4.** *The proof of Theorem 1.1.* In each of the above cases, we have shown that there exists a non-trivial  $\Delta$ -submodule  $M$  of  $\text{Cl}(\Gamma)$  such that  $M^{\omega^{-1}} = M$ .

*Proof of Theorem 1.1.* Recall that the Stickelberger ideal is defined to be  $\mathcal{J} = \mathbb{Z}\Delta \cap \theta \cdot \mathbb{Z}\Delta = \text{Ann}_\Delta(\langle \zeta_p \rangle) \cdot \theta$  where  $\theta$  is the Stickelberger element  $p^{-1} \sum_{j=1}^{p-2} j\sigma_j^{-1}$ . Let  $\mathcal{J}_p \subseteq \mathbb{Z}_p\Delta$  be the  $p$ -completion of  $\mathcal{J}$ . Then  $\omega^{-1}(\mathcal{J}_p) = \omega^{-1}(\text{Ann}_\Delta(\langle \zeta_p \rangle)) \cdot \omega^{-1}\theta$ . The second factor of the last expression is the generalized Bernoulli number  $B_{1,\omega}$ . Since  $p \geq 5$ , the first factor is  $\mathbb{Z}_p$ . By [Was97, Corollary 5.15] we have  $B_{1,\omega} \equiv B_2/2 = 1/12 \pmod{p}$ . Hence,  $M^{\mathcal{J}} = M^{\omega^{-1}(\mathcal{J}_p)} = M^{\mathbb{Z}_p} = M$  and therefore  $\text{Cl}(\Gamma)^{\mathcal{J}} \neq 0$ .

Let  $\Sigma$  denote the sum of the elements of  $C_p$ . Consider the following Milnor square:

$$\begin{array}{ccc} \mathcal{O}_K C_p & \xrightarrow{\alpha} & \mathcal{O}_K C_p / \mathcal{O}_K \Sigma =: \Lambda \\ \downarrow \beta & & \downarrow \gamma \\ \mathcal{O}_K & \rightarrow & \mathcal{O}_K / p\mathcal{O}_K \end{array}$$

where the horizontal maps are the natural projections,  $\beta$  is the augmentation map, and  $\gamma$  is the map induced by augmentation. The resulting map

$$\text{Cl}(\mathcal{O}_K C_p) \xrightarrow{(\alpha, \beta)} \text{Cl}(\Lambda) \times \text{Cl}(\mathcal{O}_K)$$

is surjective (see, for instance, [CR87, Corollary 49.28]). It follows immediately that

$$\text{Cl}'(\mathcal{O}_K C_p) \rightarrow \text{Cl}(\Lambda)$$

is surjective. However,  $\text{Cl}(\Lambda) \simeq \text{Cl}(\Gamma)$  since  $\Lambda \simeq \Gamma$ , and so  $\text{Cl}(\Lambda)^{\mathcal{J}} \neq 0$ . Therefore  $\text{Cl}'(\mathcal{O}_K C_p)^{\mathcal{J}} = R(\mathcal{O}_K C_p) \neq 0$ , and so  $K$  is not a Hilbert–Speiser field of type  $C_p$ . ■

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