

## Approximating algebraic numbers by $j$ -invariants of elliptic curves

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Consider elliptic curves of the form  $E_{A,B} : y^2 = x^3 + Ax + B$ , where  $A$  and  $B$  are integers. It was demonstrated by Bennett and the author in [2] that for any fixed  $\varepsilon > 0$ , all but finitely many curves of this form with a rational point of order at least 5 satisfy

$$|A| \leq |B|^{1+\varepsilon}.$$

Notice that if  $E_{A,B}$  is a curve whose coefficients are large and do not satisfy the above inequality, then the  $j$ -invariant

$$j(E_{A,B}) = 1728 \left( \frac{4A^3}{4A^3 + 27B^2} \right)$$

is very close to 1728. Viewed in another light, then, this result says that  $j(E_{A,B})$  cannot be “too close” to 1728 (relative to the sizes of  $A$  and  $B$ ) if  $E_{A,B}(\mathbb{Q})$  contains a torsion point of order at least 5. In [5], the author proved similar results bounding  $|B|$  by a power of  $|A|$ , again for  $E_{A,B}$  with certain torsion/isogeny structure. One may similarly view these results as saying that  $j(E_{A,B})$  cannot be “too close” to 0 for curves  $E_{A,B}$  with given torsion structure. This prompts us to formulate here a general result on the approximation of a fixed algebraic number by the  $j$ -invariants of elliptic curves with certain torsion structure. The main results are stated over arbitrary number fields and contain, as special cases, most of the results in [2, 5].

It is easy to show that for each  $N$ ,

$$\{j(E) : E/\mathbb{Q} \text{ admits a rational } N\text{-isogeny}\}$$

is either empty or dense in  $\mathbb{Q}$ . When one has a dense subset of a larger set of numbers, one might ask how well elements in the larger set may be

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approximated by members of the smaller set. Fixing  $\varepsilon > 0$ , our main result (applied to  $\mathbb{Q}$ ) states that if  $r$  is a fixed rational number other than 0 or 1728, and  $p/q = j(E)$  for an elliptic curve  $E/\mathbb{Q}$  admitting a non-trivial  $\mathbb{Q}$ -rational isogeny, then

$$|r - p/q| \geq q^{-2/3-\varepsilon},$$

with at most finitely many exceptional values of  $p/q$ . If  $p/q = j(E)$  for an elliptic curve  $E/\mathbb{Q}$  admitting a  $\mathbb{Q}$ -rational isogeny of degree at least 4 or 6, respectively, we have in addition

$$|1728 - p/q| > q^{-2/3-\varepsilon} \quad \text{and} \quad |p/q| > q^{-3/4-\varepsilon},$$

respectively, again with at most finitely many exceptions. The proof of the general result uses three pillars of diophantine approximation, namely Roth's theorem, Siegel's theorem, and Faltings' theorem; as these results are available over number fields, ours will be too.

Although the results of [2, 5] focus on the archimedean absolute value, the tools used have  $p$ -adic analogues and, making use of these, we may provide local versions of the above results. For example, it is shown in Section 3 that for any finite set of primes  $S$  there are, up to quadratic twisting, at most finitely many elliptic curves  $E_{A,B}$  with  $A, B \in \mathbb{Z}$  admitting  $\mathbb{Q}$ -isogenies of degree at least 4 such that  $B$  is an  $S$ -unit. As twisting by an  $S$ -unit will produce another curve with the same properties, the qualifier "up to twisting" is crucial.

Our notation is, in general, selected to coincide with [8]. If  $K/\mathbb{Q}$  is a number field, then we let  $M_K$  denote the set of standard absolute values on  $K$ , and for  $v \in M_K$ , we let  $n_v$  denote the local degree  $[K_v : \mathbb{Q}_v]$  (where  $K_v$  and  $\mathbb{Q}_v$  are the completions of  $K$  and  $\mathbb{Q}$  at  $v$ ). The absolute value corresponding to  $v \in M_K$  will be normalized so that  $|\cdot|_v$  extends  $|\cdot|_p^{n_v}$  if  $p$  is the prime above  $v$ , for non-archimedean valuations, or  $|\cdot|^{n_v}$  for archimedean ones. As such, we define the  $K$ -height of

$$P = [x_0, \dots, x_n] \in \mathbb{P}^n(K)$$

by

$$H_K(P) = \prod_{v \in M_K} \max\{|x_0|_v, \dots, |x_n|_v\}$$

and, when  $x \in K$ , we will use  $H_K(x)$  as an abbreviation of  $H_K([x, 1])$ . If  $a/b \in \mathbb{Q}$  is a fraction in lowest terms, this corresponds to our usual conception of height:

$$H_{\mathbb{Q}}(a/b) = \max\{|a|, |b|\}.$$

For convenience, we will also identify the non-archimedean absolute values in  $M_K$  with the primes which define them.

**THEOREM 1.** *Let  $N \geq 2$  and  $\varepsilon > 0$ . Fix a number field  $K/\mathbb{Q}$ , a finite set of places  $S \subseteq M_K$  containing all infinite places, and some  $r \in K$ . Then unless*

$$(N, r) \in \{(2, 1728), (3, 1728), (2, 0), (3, 0), (4, 0), (5, 0)\},$$

*there is a constant  $\mu_0 = \mu_0(N, r) < 1$  such that for every elliptic curve  $E/K$  admitting a  $K$ -rational isogeny of degree  $N$ , either  $j(E) = r$  or*

$$\prod_{v \in S} \min\{|r - j(E)|_v, 1\} \gg H_K(j(E))^{-\mu_0 - \varepsilon}.$$

*Here the implied constant depends only on  $N$ ,  $\varepsilon$ , and  $r$ . Furthermore, we may take  $\mu_0 = 0$  if  $X_0(N)$  has positive genus, and  $\varepsilon = 0$  if this genus is at least 2.*

In fact, when  $X_0(N)$  has genus 0, we may take

$$\mu_0(N, r) = \frac{2e_r}{N \prod_{p|N} (1 + 1/p)}, \quad \text{where } e_r = \begin{cases} 3 & \text{if } r = 0, \\ 2 & \text{if } r = 1728, \\ 1 & \text{otherwise.} \end{cases}$$

Notice that, as  $X_0(N)$  has genus 0 for only finitely many values of  $N$ , we may make a uniform statement on the approximation of a fixed  $r$  by an elliptic curve with any non-trivial isogeny (excepting the cases excluded in the theorem).

It is worth noting that, for  $x, y \in K$  and  $v \in M_K$ ,

$$\prod_{v \in S} |y - x|_v \gg H_K(x)^{-1}$$

rather trivially, so the substance of the result is that  $\mu_0 < 1$ . Indeed, the inequality in the theorem holds as well in the exceptional cases listed, but in these cases  $\mu_0(N, r) \geq 1$ , and so the trivial bound supersedes the bound above. For simplicity in the statements of later results, we set  $\mu_0(N, r) = 1$  for the exceptional cases mentioned in the theorem.

Theorem 1, of course, implies results for  $j$ -invariants of curves with  $K$ -rational points of order  $N$ , as each such curve admits the  $K$ -rational isogeny (of degree  $N$ ) which annihilates the point of order  $N$  (explicit formulae for such an isogeny may be found in [10]). In general, however, the existence of torsion affords us something slightly stronger.

**THEOREM 2.** *Let  $N \geq 2$  and  $\varepsilon > 0$ . Fix a number field  $K/\mathbb{Q}$ , a finite set of places  $S \subseteq M_K$  containing all infinite places, and some  $r \in K$ . Then unless*

$$(N, r) \in \{(2, 1728), (3, 1728), (2, 0), (3, 0), (4, 0)\},$$

*there is a constant  $\mu_1 = \mu_1(N, r) < 1$  such that for every elliptic curve  $E/K$*

with a  $K$ -rational point of order  $N$ , either  $j(E) = r$  or

$$\prod_{v \in S} \min\{|r - j(E)|_v, 1\} \gg H_K(j(E))^{-\mu_1 - \varepsilon},$$

where the implied constant depends only on  $N$ ,  $\varepsilon$ , and  $r$ . Furthermore, we may take  $\mu_1 = 0$  if  $X_1(N)$  has positive genus, and  $\varepsilon = 0$  if this genus is at least 2.

When  $X_1(N)$  has genus 0, we may take

$$\mu_1(N, r) = \begin{cases} 2e_r/3 & \text{if } N = 2, \\ \frac{4e_r}{N^2 \prod_{p|N} (1 - 1/p^2)} & \text{otherwise,} \end{cases}$$

where  $e_r$  is as above. Again, we will set  $\mu_1(N, r) = 1$  in the exceptional cases.

The gist of the proof of the main result is as follows. Let  $K$  be a number field,  $C/K$  a non-singular curve, and  $\mathcal{E} \rightarrow C$  an elliptic surface over  $K$  (see [9]). For each  $t \in C$  such that the fibre  $\mathcal{E}_t$  of  $\mathcal{E}$  above  $t$  is non-singular, let  $j_{\mathcal{E}}(t) = j(\mathcal{E}_t)$ . Then the map  $j_{\mathcal{E}}$  extends to a morphism  $j_{\mathcal{E}} : C \rightarrow \mathbb{P}^1$ . The question of how well a value  $j_{\mathcal{E}}(t)$ , for  $t \in C(K)$ , approximates some  $r \in \mathbb{P}^1(K)$  can be lifted to an approximation question on  $C$ , namely how well  $t$  approximates the preimages of  $r$  by  $j_{\mathcal{E}}$ , which are points in  $C(L)$  for some algebraic extension  $L/K$  depending on  $r$ . So we may apply Roth's theorem, Siegel's theorem, or Faltings' theorem depending on the genus of  $C$ . Theorem 1 is deduced in this way, with  $C = X_0(N)$  and  $\mathcal{E} \rightarrow X_0(N)$  a surface parametrizing curves admitting isogenies of degree  $N$ . Theorem 2 is nearly identical, with  $C = X_1(N)$ .

Section 1 contains the proof of Theorem 1, following the above outline. In Section 2 we show how many of the results of [2, 5] can be deduced directly from Theorems 1 and 2, while Section 3 focusses on local versions of these results. The results in Section 1 are presented in full generality, but for the sake of simplicity, the results in the later sections are shown only over  $\mathbb{Q}$ .

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**1. The proof of the main result.** As mentioned, the proof breaks down into three cases, according as  $X_0(N)$  has genus 0, 1, or greater.

*When  $X_0(N)$  has genus 0.* In this case, our result is a consequence of Roth's theorem on approximation of algebraic numbers by rationals [7], and later variants thereof that apply to number fields and various absolute values.

Fix  $\delta > 0$  to be specified later, and  $N$  such that  $X_0(N)$  has genus 0. We let  $j$  denote the morphism mentioned in the introduction (dropping the subscript). As  $X_0(N) \cong \mathbb{P}^1$ , we may consider  $j$  to be a morphism  $\mathbb{P}^1 \rightarrow \mathbb{P}^1$  which sends the point at infinity to itself. In particular, we may restrict attention to the affine map  $j : \overline{K} \rightarrow \overline{K}$ . Let  $\alpha^{(1)}, \dots, \alpha^{(n)}$  be the roots of  $j(x) - r$ , and let  $e_j(x)$  denote the ramification index of  $j$  at  $x$ . Factoring  $j(x) - r$  over  $K(\alpha^{(i)})$ , for each  $i$ , we see that

$$|r - j(x)|_v \gg \min_i \{ |\alpha^{(i)} - x|_v^{e_j(\alpha^{(i)})}, 1 \},$$

where the implied constant depends just on  $r$ ,  $K$ , and  $N$ . Thus, by Roth's theorem (and later generalisations),

$$\prod_{v \in S} \min\{|r - j(x)|_v, 1\} \gg H_K(x)^{-(2+\delta)e_r},$$

where  $e_r$  is the largest  $e_j(\alpha^{(i)})$  as  $i$  varies, and the implied constant now depends on  $\delta$  as well. On the other hand,  $j$  is a morphism, and so

$$H_K(x)^{\deg(j)} \gg H_K(j(x)),$$

from which we obtain

$$\prod_{v \in S} \min\{|r - j(x)|_v, 1\} \gg H_k(j(x))^{-(2+\delta)e_r/\deg(j)}.$$

Now note that  $j : X_0(N) \rightarrow \mathbb{P}^1$  is a morphism of degree

$$\deg(j) = N \prod_{p|N} (1 + 1/p)$$

(see, for example, [9]; in particular exercises on page 86) which is unramified except possibly at points above 0 and 1728. Above these points, the ramification index is 3 (or 1) and 2 (or 1), respectively. By letting  $\delta = \varepsilon \deg(j)/e_r$ , we have our result. Similarly, for Theorem 2, we note that  $j : X_1(N) \rightarrow \mathbb{P}^1$  is a morphism of degree

$$\deg(j) = \begin{cases} 3 & \text{if } N = 2, \\ N^2 \prod_{p|N} (1 - 1/p^2) & \text{otherwise,} \end{cases}$$

with similar ramification.

*When  $X_0(N)$  has genus 1.* In this case, we apply Siegel's theorem for diophantine approximation on curves of genus 1 (see, for example, [8, IX.3]). By a standard construction, there exists an elliptic curve  $E_r/K$  such that  $j(E_r) = r$ , and there is a finite extension  $L/K$  over which  $E_r$  admits an isogeny of degree  $N$  (for example, let  $L$  be the splitting field of the  $N$ -division polynomial of  $E_r$ ). Thus  $E_r$  corresponds to some  $Q \in X_0(N)(L)$ .

Set, for  $v \in S$ ,

$$d_v(P, Q) = \min\{|j(P) - j(Q)|_v^{1/e_j(Q)}, 1\},$$

where  $e_j(Q)$  is again the ramification index of  $j$  at  $Q$ . Then by Siegel's theorem [8, p. 247], for any  $\delta > 0$  we have

$$\frac{\log d_v(P, Q)}{\log H_K(j(P))} \geq -\delta$$

for all but finitely many  $P \in X_0(N)(L)$ . Thus

$$|r - j(P)|_v = |j(P) - j(Q)|_v \gg H_L(j(P))^{-e_j(Q)\delta}$$

as  $P$  ranges over  $X_0(N)(K)$  (or, more generally, over  $X_0(N)(L)$ ). As before, we have  $e_j(Q) = 1$  unless  $j(Q) = 0$  or 1728, in which case we may have  $e_j(Q) = 3$  or 2, respectively. Note that  $H_L(x) = H_K(x)^{[L:K]}$ , and so by selecting

$$\delta = \frac{\varepsilon}{e_j(Q)[L:K](\#S)},$$

we get

$$\prod_{v \in S} \min\{|r - j(E)|_v, 1\} \gg H_K(j(E))^{-\varepsilon}$$

for elliptic curves  $E/K$  admitting  $K$ -rational isogenies of degree  $N$ .

*When  $X_0(N)$  has genus at least 2.* By Faltings' theorem [4],  $X_0(N)(K)$  is finite when the genus of  $X_0(N)$  is at least 2. So for each  $v \in S$  and each  $P \in X_0(N)(K)$ , either  $j(P) = r$  or  $|r - j(P)|_v$  is bounded below by some positive value that depends only on  $v$ ,  $N$ , and  $K$ . The result is immediate.

**2. From  $j$  to  $A$  and  $B$ .** In this section we show how many of the results of [2, 5] follow from Theorems 1 and 2. For simplicity, and in keeping with the focus of the aforementioned papers, we restrict our attention to  $K = \mathbb{Q}$  and  $S$  containing just the place at infinity. From this point forward, we will denote by  $E_{A,B}$  the elliptic curve

$$E_{A,B} : y^2 = x^3 + Ax + B,$$

where  $A$  and  $B$  will always be taken to lie in  $\mathbb{Z}$ . If we set  $H(E_{A,B}) = \max\{|A|^3, |B|^2\}$ , then

$$H(j(E_{A,B})) \leq 54H(E_{A,B}).$$

**LEMMA 3.** *Suppose that  $\kappa > 2/3$ , and that  $E_{A,B}$  satisfies  $|A| > |B|^\kappa$ . Then*

$$|1728 - j(E_{A,B})| \ll H(j(E_{A,B}))^{-1+2/3\kappa},$$

where the implied constant is absolute.

*Proof.* As  $\kappa > 2/3$ , we have  $|4A^3 + 27B^2| > |A|^3$  for sufficiently large  $|A|$ . Thus

$$\begin{aligned} |1728 - j(E_{A,B})| &= 6^6 \left| \frac{B^2}{4A^3 + 27B^2} \right| \\ &\leq 6^6 |A|^{2/\kappa-3} \ll H(j(E_{A,B}))^{(2/\kappa-3)/3}, \end{aligned}$$

as  $H(E_{A,B}) = |A|^3$ . ■

LEMMA 4. Suppose  $E_{A,B}$  is as above, with  $|B| > |A|^\kappa$  and  $\kappa > 3/2$ . Then

$$|j(E_{A,B})| \ll H(j(E_{A,B}))^{-1+3\kappa/2},$$

where the implied constant is absolute.

The following proposition is the conjunction of Theorems 1 and 2 and Lemmas 3 and 4.

PROPOSITION 5. Let  $N \geq 2$  and  $\varepsilon > 0$ . Then for all but finitely many pairs of integers  $A, B \in \mathbb{Z}$  with  $|B| \geq 2$  such that  $E_{A,B}$  admits a  $\mathbb{Q}$ -rational isogeny of degree  $N$  (respectively, contains a  $\mathbb{Q}$ -rational point of order  $N$ ), we have

$$\frac{2}{3}(1 - \mu_i(N, 0)) - \varepsilon < \frac{\log |A|}{\log |B|} < \frac{2}{3(1 - \mu_i(N, 1728))} + \varepsilon$$

with  $i = 0$  (respectively, with  $i = 1$ ).

In cases where  $\mu_i(N, 0) = 1$  or  $\mu_i(N, 1728) = 1$ , the bounds are trivial (interpreting the pole as an infinite bound). Note that the non-trivial bounds correspond precisely to those appearing in [5]. It should also be pointed out that the condition  $|B| \geq 2$  is entirely an artifact of the form of the proposition. One may easily describe the torsion/isogeny structure of curves with  $|B| \leq 1$  (see [5]).

**3. Local results.** Suppose  $E_{A,B}$  is a curve admitting a  $\mathbb{Q}$ -rational isogeny of degree  $N$ , and suppose that  $B$  (respectively  $A$ ) is an  $S$ -unit, that is, an integer whose prime divisors all lie in  $S$ . Then it is easy to construct infinitely many other curves with the same property merely by twisting  $E_{A,B}$  by  $S$ -units. As it betides, this is the only way to construct an infinite family of such curves.

We will say that the elliptic curve  $E_{A,B}$  is *quasi-minimal* if there does not exist a curve  $E_{A',B'}$  isomorphic to  $E_{A,B}$  over  $\mathbb{Q}$  with  $|A'| < |A|$  and  $A', B' \in \mathbb{Z}$ . Equivalently,  $E_{A,B}$  is quasi-minimal if there is no prime  $p$  with  $p^4 | A$  and  $p^6 | B$ . Curves that are quasi-minimal might not be minimal, in the traditional sense, at 2 or 3. We will say that  $E_{A,B}$  is *twist-minimal* if there is no  $E_{A',B'}$  isomorphic to  $E_{A,B}$  over  $\mathbb{C}$  with  $|A'| < |A|$  and  $A', B' \in \mathbb{Z}$ .

Equivalently,  $E_{A,B}$  is twist-minimal if there is no prime  $p$  with  $p^2 \mid A$  and  $p^3 \mid B$ .

**THEOREM 6.** *Let  $S$  be a finite set of primes. Then there are at most finitely many twist-minimal curves  $E_{A,B}$  such that*

- (i)  $A$  is an  $S$ -unit and  $E_{A,B}$  admits a  $\mathbb{Q}$ -rational isogeny of degree at least 6, or
- (ii)  $B$  is an  $S$ -unit and  $E_{A,B}$  admits a  $\mathbb{Q}$ -rational isogeny of degree at least 4.

*There are at most finitely many minimal curves  $E_{A,B}$  such that*

- (iii)  $A$  is an  $S$ -unit and  $E_{A,B}(\mathbb{Q})$  contains a point of order at least 5, or
- (iv)  $B$  is an  $S$ -unit and  $E_{A,B}(\mathbb{Q})$  contains a point of order at least 4.

Our proof will make use of the following lemma.

**LEMMA 7.** *Let  $S$  be a finite set of places (containing the infinite place), and fix  $\mu < 1$  and  $m, n, C > 0$ . If  $a$  and  $b$  are integers such that*

- (i)  $a$  is an  $S$ -unit,
- (ii) for every prime  $p$ , either  $\text{ord}_p(a) \leq m$  or  $\text{ord}_p(b) \leq n$ ,
- (iii) the inequality

$$(1) \quad \prod_{v \in S} \min\{|a/b|_v, 1\} \geq CH(a/b)^{-\mu}$$

*holds,*

*then  $|a|$  and  $|b|$  are bounded in terms of  $S, \mu, C, m$ , and  $n$ .*

*Proof.* Suppose  $p \mid a$ . Then by (ii), either  $\text{ord}_p(a) \leq m$  or  $\text{ord}_p(a/b) \geq \text{ord}_p(a) - n$ . In particular,

$$\text{ord}_p(a) \leq n + m + \max\{\text{ord}_p(a/b), 0\},$$

and so

$$|a|_p^{-1} \leq p^{n+m} \min\{|a/b|_p, 1\}^{-1}.$$

As  $a$  is divisible only by primes in  $S$ , we have

$$\begin{aligned} \prod_{v \in S} \min\{|a/b|_v, 1\} &\leq \min\{|a/b|, 1\} \prod_{p \in S \setminus \{\infty\}} p^{n+m} |a|_p \\ &= \min\{|a/b|, 1\} s^{n+m} |a|^{-1}, \end{aligned}$$

where  $s$  is the product of the finite primes in  $S$ . From (1), we now have

$$\min\{|a/b|, 1\} \geq Cs^{-n-m} |a| H(a/b)^{-\mu}.$$

Suppose that  $|a| \leq |b|$ . Then the above becomes

$$|a/b| \geq Cs^{-n-m} |a| |b|^{-\mu},$$

which in turn yields  $|a| \leq |b| \leq (s^{n+m}C^{-1})^{1/(1-\mu)}$ . If, on the other hand,  $|a| > |b|$ , then we obtain

$$1 \geq Cs^{-n-m}|a|^{1-\mu},$$

whence  $|b| < |a| \leq (s^{n+m}C^{-1})^{1/(1-\mu)}$ . ■

*Proof of Theorem 6.* The theorem is certainly not weakened if we enlarge the set of primes, so we will assume without loss of generality that  $2, 3 \in S$ . We will first treat the case (i), where  $A$  is an  $S$ -unit and  $E_{A,B}$  admits a rational isogeny of degree at least 6. Suppose that  $E_{A,B}$  is twist-minimal, and note that

$$j(E_{A,B}) = \frac{6912A^3}{4A^3 + 27B^2}.$$

Let  $a = 6912A^3 = 2^8 3^3 A^3$  and  $b = 4A^3 + 27B^2$ . Then if  $p \geq 5$  is a prime and  $p^6 | a$ , we have  $p^2 | A$ . If  $p^6 | b$ , then  $p^6 | 1728b - a = 6^6 B^2$ , and so  $p^3 | B$ . This contradicts the twist-minimality of  $E_{A,B}$ , so either  $\text{ord}_p(a) \leq 5$  or  $\text{ord}_p(b) \leq 5$ . Similarly, if  $2^{14} | a$ , then  $2^2 | A$ . If we also have  $2^{12} | b$ , then  $2^{12} | 1728b - a = 6^6 B^2$ , and so  $2^3 | B$ . Under the hypothesis that  $E_{A,B}$  is twist-minimal we have either  $\text{ord}_2(a) \leq 13$  or  $\text{ord}_2(b) \leq 11$ . By a similar argument,  $\text{ord}_3(a) \leq 8$  or  $\text{ord}_3(b) \leq 5$ .

If  $E_{A,B}$  admits a  $\mathbb{Q}$ -rational isogeny of degree at least 6 we have, after applying Theorem 1 with  $\varepsilon = 1/8$ ,

$$\prod_{v \in S} \min\{|a/b|_v, 1\} \geq CH(a/b)^{-7/8}$$

for some  $C > 0$ . Note that, as  $2, 3 \in S$ ,  $a$  is an  $S$ -unit if  $A$  is, and so we may apply Lemma 7 with  $m = 13$ ,  $n = 11$ ,  $\mu = 7/8$ , and  $C$  and  $S$  as above. We see that  $|a|$  and  $|b|$  are bounded by some expression depending only on  $S$ , and so  $|A|$  and  $|B|$  are as well.

The proofs of the other three cases are straightforward modifications of the above argument, applying Theorem 1 or Theorem 2 with  $r = 0$  or  $r = 1728$  as appropriate. The only subtle point is that we may replace “twist-minimal” with “minimal” for curves with a  $\mathbb{Q}$ -rational point of the appropriate order. One way to see that this is true is to consider that an elliptic curve  $E/\mathbb{Q}$  with a  $\mathbb{Q}$ -rational point of order  $N \geq 3$  may have at most one (non-trivial) quadratic twist with a  $\mathbb{Q}$ -rational point of order  $N$ . If  $E$  and its twist over  $\mathbb{Q}(\sqrt{D})$  both contain  $\mathbb{Q}$ -rational points of order  $N$ , then  $E(\mathbb{Q}(\sqrt{D}))$  contains full  $N$ -torsion. As the Weil pairing of any two generators of the full  $N$ -torsion on  $E$  is a primitive  $N$ th root of unity, the above situation can occur only for the twist of  $E$  over  $\mathbb{Q}(\sqrt{-3})$  when  $N = 3$ , the twist of  $E$  over  $\mathbb{Q}(i)$  when  $N = 4$ , and not at all when  $N \geq 5$ . ■

For example, it follows from Theorem 6 that there are only finitely many minimal  $E_{A,B}$  with a  $\mathbb{Q}$ -rational point of order 5 such that  $B$  is a  $\{2, 3\}$ -unit.

Although perhaps only a curiosity, a much stronger statement holds in this special setting.

**PROPOSITION 8.** *Let  $A$  and  $B$  be integers such that  $E_{A,B}$  is minimal,  $E_{A,B}(\mathbb{Q})$  contains a point of order 5, and  $B$  is not divisible by any prime  $p \equiv 1 \pmod{4}$ . Then  $A = -432$  and  $B = 8208$ .*

*Proof.* If  $E_{A,B}$  is minimal, and  $E_{A,B}(\mathbb{Q})$  contains a point of order 5, then for some coprime integers  $s$  and  $t$ ,

$$(2) \quad \begin{aligned} A &= -27(s^4 - 12s^3t + 14s^2t^2 + 12st^3 + t^4), \\ B &= 54(s^2 + t^2)(s^4 - 18s^3t + 74s^2t^2 + 18st^3 + t^4) \end{aligned}$$

(see, for example, [2]). If  $B$  is not divisible by any prime  $p \equiv 1 \pmod{4}$ , then neither is  $s^2 + t^2$ . But clearly  $s^2 + t^2$  is not divisible by any prime  $p \equiv 3 \pmod{4}$  either, and thus  $s^2 + t^2 = 2^n$  for some  $n$ . As  $\gcd(s, t) = 1$ , we need only consider the values of  $s^2 + t^2$  in  $\mathbb{Z}/4\mathbb{Z}$  to see that  $n \in \{0, 1\}$ , and so

$$(s, t) \in \{(\pm 1, 0), (0, \pm 1), (\pm 1, \pm 1), (\pm 1, \mp 1)\}.$$

The only non-singular curve that results is  $E_{-432, 8208}$ . ■

We note, with a view to Theorem 6, that if  $S$  is a finite set of primes,  $E_{A,B}(\mathbb{Q})$  contains a point of order 5, and  $B$  is an  $S$ -unit, then (2) defines a Thue–Mahler equation. This gives us not only a more direct verification of the relevant case of Theorem 6, but also, through theorems of Baker and Coates [1, 3], an effective method for finding all such  $E_{A,B}$ . Indeed, Theorem 6 can be made computationally effective in all cases by a similar reduction to the solution of Thue–Mahler equations.

**4. Analogous results over function fields.** Many of the above results have analogues over function fields. In many cases, we can make effective statements in this context that are stronger than those in [2, 5]. Rather than pursue these slight improvements here, we present an unconditional analogue of a result from [2] which used the *abc* Conjecture.

Recall that in [2] it was shown that there exists, for each  $\varepsilon > 0$ , a constant  $C_\varepsilon > 0$  such that if  $E_{A,B}(\mathbb{Q})$  contains a point of order 3, where  $A, B \in \mathbb{Z}$ , then

$$\log |A| \leq (2 + \varepsilon) \log |B| + C_\varepsilon.$$

(À propos of the analogy below, note that  $\log |A| = h(A)$ .) We will prove the analogous result for elliptic curves defined over function fields of genus and characteristic 0. The analogue of the *abc* Conjecture is known to be true in this setting (see [6]). To see the analogy between the two results, let  $k$  be an algebraically closed field of characteristic 0, let  $t \in k \cup \{\infty\}$ , and let  $f \in k(T)$ . We denote by  $\text{ord}_t(f)$  the order of vanishing of  $f$  at  $t$ , in the usual

sense, and define a valuation and an absolute value by

$$v_t(f) = \text{ord}_t(f), \quad |f|_t = e^{-\text{ord}_t(f)}.$$

We see that  $f$  is integral with respect to these valuations if and only if  $f \in k[T]$  (that is,  $f$  is a polynomial), and that in this case the height of  $f$  is

$$h(f) = \deg(f).$$

**PROPOSITION 9.** *Let  $k$  be an algebraically closed field of characteristic 0, and let  $K = k(T)$  be the field of rational functions in  $T$  over  $k$ . Then for all  $A, B \in k[T]$  such that  $E_{A,B}$  contains a  $K$ -rational point of order 3, we have*

$$\deg(A) \leq 2 \deg(B).$$

*Proof.* The proof follows almost exactly as in [2]. Suppose  $(x, y) \in E_{A,B}(K)$  is a point of order 3. Then, examining duplication on  $E_{A,B}$ , we obtain both

$$\left( \frac{3x^2 + A}{2y} \right)^2 = 3x \quad \text{and} \quad 3x^4 + 6Ax^4 + 12Bx = A^2.$$

Note from the second equation that  $x \in k[T]$ , for any pole of  $x$  is as well a pole of  $A$ . By the first equation, we have  $3x = s^2$ , say, for  $s \in k[T]$ . If we write  $A = st$ , the second equation becomes

$$3s^6 + 6s^3t + 12B = t^2.$$

Solving the quadratic equation in  $t$ , we obtain

$$t = 3s^3 \pm \sqrt{12(s^6 + B)}.$$

Hence  $s^6 + B$  is a square in  $k[T]$ , say  $M^2 = s^6 + B$ . Applying the *abc* Theorem for  $K$  (see [6]), we have

$$6 \deg(s) \leq \deg(BMs) - 1.$$

If, on the one hand, we have  $2 \deg(M) = \deg(B)$ , then this implies

$$5 \deg(s) \leq \frac{3}{2} \deg(B) - 1.$$

If, on the other hand,  $\deg(B) < 2 \deg(M)$ , then  $\deg(M) = 3 \deg(s)$ . If this is the case, we derive

$$6 \deg(s) \leq \deg(B) + \deg(Ms) - 1 \leq \deg(B) + 4 \deg(s) - 1.$$

Finally, if  $2 \deg(M) < \deg(B)$ , then  $6 \deg(s) = \deg(B)$ . In any case, we have

$$\deg(A) \leq 4 \deg(s) \leq 2 \deg(B).$$

Note that for  $\deg(B) \geq 2$ , we have actually shown

$$\deg(A) \leq 2 \deg(B) - 2. \quad \blacksquare$$

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