

On Karatsuba Conjecture and the Lindelöf Hypothesis

by

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1. Introduction. Let $s = \sigma + it$ be a complex variable, and $\zeta(s)$ the Riemann zeta function. An interesting problem in the theory of the Riemann zeta function is to obtain lower estimates of the function

$$F(T; \Delta; \sigma) = \max_{T \leq t \leq T+\Delta} |\zeta(\sigma + it)|$$

for a fixed σ , $1/2 \leq \sigma \leq 1$, where $\Delta = \Delta(T)$ decreases with T . Many important results in this area are described in [2, 6].

The most interesting case is $\sigma = 1/2$. Set

$$F(T; \Delta) = F(T; \Delta; 1/2), \quad G(T; \Delta) = \max_{|s-s_0|=\Delta} |\zeta(s)|,$$

where $s_0 = 1/2 + iT$. Karatsuba [3, 4] considered the behavior of $F(T; \Delta)$ and $G(T; \Delta)$ for $\Delta = \Delta(T) \rightarrow 0$ as $T \rightarrow \infty$. He stated the following conjectures:

CONJECTURE 1. *There exist a constant $A > 0$ and a function $\Delta = \Delta(T) \rightarrow 0$ as $T \rightarrow \infty$, such that for T large enough,*

$$F(T; \Delta) \geq T^{-A}.$$

CONJECTURE 2. *Conjecture 1 is valid for $\Delta = (\log \log T)^{-1}$.*

CONJECTURE 3. *Conjecture 1 is valid for $\Delta = (\log T)^{-1}$.*

CONJECTURE 1'. *There exist a constant $A > 0$ and a function $\Delta = \Delta(T) \rightarrow 0$ as $T \rightarrow \infty$, such that for T large enough,*

$$G(T; \Delta) \geq T^{-A}.$$

CONJECTURE 2'. *Conjecture 1' is valid for $\Delta = (\log \log T)^{-1}$.*

CONJECTURE 3'. *Conjecture 1' is valid for $\Delta = (\log T)^{-1}$.*

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Obviously for $N = 1, 2, 3$ Conjecture N implies Conjecture N' , and Conjecture 3 implies all the other conjectures.

In [2], Garaev proved

THEOREM A. *Conjecture 3' is equivalent to Conjecture 3.*

It is known that the Riemann hypothesis

all the complex zeros of $\zeta(s)$ lie on the critical line $\sigma = 1/2$

implies Conjecture 3 and thus all the Karatsuba conjectures. This can be proved by using [6, Theorems 14.13 and 14.15] (see [2] for the details).

The *Lindelöf Hypothesis* is that

$$\zeta(1/2 + it) = O(t^\varepsilon)$$

as $t \rightarrow \infty$ for every positive ε . There are many equivalent forms of the Lindelöf Hypothesis; one of them is the following.

THEOREM B ([6, Theorem 13.5]). *A necessary and sufficient condition for the truth of the Lindelöf Hypothesis is that, for every $\sigma > 1/2$,*

$$(1.1) \quad N(\sigma, T+1) - N(\sigma, T) = o(\log T),$$

where $N(\sigma, T)$ is the number of zeros $\beta + i\gamma$ of the Riemann zeta function such that $\beta > \sigma$, $0 < t \leq T$.

By (1.1), we see that the Lindelöf Hypothesis is equivalent to a much less drastic hypothesis about the distribution of the zeros than the Riemann Hypothesis.

A natural question is: what is the relation between the Karatsuba Conjectures and Lindelöf Hypothesis? In this paper, we will prove

THEOREM 1. *On the Lindelöf Hypothesis, Conjecture 1 is valid for an arbitrary given constant $A > 0$.*

The proof of Theorem 1 is based on the following.

THEOREM 2. *On the Lindelöf Hypothesis, as $T \rightarrow \infty$,*

$$(1.2) \quad \max_{0 \leq c \leq 1} \left| \int_T^{T+c} \log |\zeta(1/2 + it)| dt \right| = o(\log T).$$

2. Proof of Theorem 2. To prove Theorem 2, we need the following lemmas.

LEMMA 1. *On the Lindelöf Hypothesis, as $T \rightarrow \infty$,*

$$\arg \zeta(\sigma + iT) = o(\log T)$$

uniformly for $\sigma \in [1/2, 2)$, where, if T is not the ordinate of a zero of $\zeta(s)$, the value of $\arg \zeta(\sigma + iT)$ is obtained by continuous variation along the

straight lines joining $2, 2 + iT, \sigma + iT$, starting with the value 0; if T is the ordinate of a zero of $\zeta(s)$,

$$\arg \zeta(\sigma + iT) = \lim_{t \rightarrow T+0} \arg \zeta(\sigma + it).$$

Proof. We refer to Cramér [1], who proved the case $\sigma = 1/2$, and the proof also applies to the case $1/2 < \sigma < 2$. ■

LEMMA 2. *On the Lindelöf Hypothesis, as $T \rightarrow \infty$,*

$$\int_{1/2}^1 (N(\sigma, T + 1) - N(\sigma, T)) d\sigma = o(\log T).$$

Proof. Let

$$f(\sigma, T) = \frac{N(\sigma, T + 1) - N(\sigma, T)}{\log T}.$$

By Theorem B, we have

$$\lim_{T \rightarrow \infty} f(\sigma, T) = 0, \quad \forall \sigma \in (1/2, 1].$$

By [6, Theorem 9.2], we have

$$f(\sigma, T) \leq f(1/2, T) \leq M, \quad \forall T > 0, \sigma \in (1/2, 1],$$

where M is an absolute constant. Thus by the Lebesgue Theorem, we get

$$\lim_{T \rightarrow \infty} \int_{1/2}^1 f(\sigma, T) d\sigma = \int_{1/2}^1 \lim_{T \rightarrow \infty} f(\sigma, T) d\sigma = \int_{1/2}^1 0 d\sigma = 0.$$

The proof is complete. ■

We next need a general formula concerning the zeros of an analytic function in a rectangle, due to Littlewood.

LEMMA 3 (see [5, 6]). *Suppose that $\phi(s)$ is meromorphic in and upon the boundary of a rectangle bounded by the lines $t = 0, t = T, \sigma = \alpha, \sigma = \beta$ ($\beta > \alpha$), and regular and not zero on $\sigma = \beta$. The function $\log \phi(s)$ is regular in the neighborhood of $\sigma = \beta$, and here, starting with any value of the logarithm, we define $F(s) = \log \phi(s)$. For other points s of the rectangle, we define $F(s)$ to be the value obtained from $\log \phi(\beta + it)$ by continuous variation along $t = \text{constant}$ from $\beta + it$ to $\alpha + it$, provided that the path does not cross a zero or pole of $\phi(s)$; if it does, we put*

$$F(s) = \lim_{\varepsilon \rightarrow 0} F(\sigma + it + i\varepsilon).$$

Let $\nu(\sigma', T)$ denote the excess of the number of zeros of $\phi(s)$ over the number of poles of $\phi(s)$ in the part of the rectangle for which $\sigma > \sigma'$, including zeros

or poles on $t = T$, but not those on $t = 0$. Then

$$(2.1) \quad \int F(s) ds = -2\pi i \int_{\alpha}^{\beta} \nu(\sigma, T) d\sigma,$$

where the first integral is taken around the rectangle in the positive direction.

Proof of Theorem 2. Applying Lemma 3 with $\phi(s) = \zeta(s)$, $\alpha = 1/2$, $\beta = 2$, and taking the imaginary part of (2.1), we get

$$(2.2) \quad \int_0^T \log |\zeta(1/2 + it)| dt = \int_0^T \log |\zeta(2 + it)| dt - \int_{1/2}^2 \arg \zeta(\sigma + iT) d\sigma - 2\pi \int_{1/2}^1 N(\sigma, T) d\sigma,$$

where the value of $\arg \zeta(\sigma + iT)$ is defined in Lemma 1. Replacing T with $T + c$ in (2.2), we obtain

$$(2.3) \quad \int_0^{T+c} \log |\zeta(1/2 + it)| dt = \int_0^{T+c} \log |\zeta(2 + it)| dt - \int_{1/2}^2 \arg \zeta(\sigma + i(T + c)) d\sigma - 2\pi \int_{1/2}^1 N(\sigma, T + c) d\sigma.$$

(2.3) minus (2.2) gives

$$\begin{aligned} \int_T^{T+c} \log |\zeta(1/2 + it)| dt &= - \int_{1/2}^2 (\arg \zeta(\sigma + i(T + c)) - \arg \zeta(\sigma + iT)) d\sigma \\ &+ \int_T^{T+c} \log |\zeta(2 + it)| dt - 2\pi \int_{1/2}^1 (N(\sigma, T + c) - N(\sigma, T)) d\sigma. \end{aligned}$$

Hence

$$(2.4) \quad \begin{aligned} \max_{0 \leq c \leq 1} \left| \int_T^{T+c} \log |\zeta(1/2 + it)| dt \right| &\leq \max_{0 \leq c \leq 1} \left| \int_{1/2}^2 (\arg \zeta(\sigma + i(T + c)) - \arg \zeta(\sigma + iT)) d\sigma \right| \\ &+ \max_{0 \leq c \leq 1} \left| \int_T^{T+c} \log |\zeta(2 + it)| dt \right| \\ &+ \max_{0 \leq c \leq 1} \left| 2\pi \int_{1/2}^1 (N(\sigma, T + c) - N(\sigma, T)) d\sigma \right|. \end{aligned}$$

By Lemma 1,

$$(2.5) \quad \max_{0 \leq c \leq 1} \left| \int_{1/2}^2 (\arg \zeta(\sigma + i(T+c)) - \arg \zeta(\sigma + iT)) d\sigma \right| \\ \leq \int_{1/2}^2 \left(\max_{0 \leq c \leq 1} |\arg \zeta(\sigma + i(T+c))| + |\arg \zeta(\sigma + iT)| \right) d\sigma = o(\log T).$$

Let $\Lambda(n) = \log p$ if n is p or a power of p , and otherwise $\Lambda(n) = 0$, and let $A_1(n) = \Lambda(n)/\log n$. We have

$$\log \zeta(2 + it) = \sum_{n=2}^{\infty} \frac{A_1(n)}{n^{2+it}}.$$

Hence

$$(2.6) \quad \max_{0 \leq c \leq 1} \left| \int_T^{T+c} \log |\zeta(2 + it)| dt \right| \\ = \max_{0 \leq c \leq 1} \left| \operatorname{Re} \sum_{n=2}^{\infty} \frac{A_1(n)}{n^2} \frac{n^{-i(T+c)} - n^{-iT}}{-i \log n} \right| = O(1) = o(\log T).$$

By Lemma 2,

$$(2.7) \quad \max_{0 \leq c \leq 1} \left| 2\pi \int_{1/2}^1 (N(\sigma, T+c) - N(\sigma, T)) d\sigma \right| \\ = 2\pi \int_{1/2}^1 (N(\sigma, T+1) - N(\sigma, T)) d\sigma = o(\log T).$$

Combining (2.4)–(2.7), we get (1.2). ■

3. Proof of Theorem 1. By Theorem 2, there exists a function $h(T)$ such that

$$(3.1) \quad h(T) > 0, \quad \forall T > 1,$$

$$(3.2) \quad \lim_{T \rightarrow \infty} h(T) = 0$$

and for all $T > 1$,

$$(3.3) \quad \max_{0 \leq c \leq 1} \left| \int_T^{T+c} \log |\zeta(1/2 + it)| dt \right| = h(T) \log T.$$

Given arbitrary $A > 0$, set

$$(3.4) \quad h_1(T) = \sup_{t \geq T} h(t), \quad T > 1,$$

$$(3.5) \quad \Delta = \Delta(T) = \frac{h_1(T)}{A}.$$

Then $\Delta(T)$ decreases, and $\lim_{T \rightarrow \infty} \Delta(T) = 0$. Hence there exists $T_0 > 1$ such that $\Delta(T) \leq 1$ for $T > T_0$. Then by (3.3)–(3.5), for $T > T_0$ we have

$$\left| \int_T^{T+\Delta(T)} \log |\zeta(1/2 + it)| dt \right| \leq \Delta(T) A \log T.$$

Thus

$$\frac{1}{\Delta(T)} \int_T^{T+\Delta(T)} \log |\zeta(1/2 + it)| dt \geq -A \log T.$$

That is, the mean value of $\log |\zeta(1/2 + it)|$ on $[T, T + \Delta(T)]$ is not less than $-A \log T$, so there exists $t_0 \in [T, T + \Delta(T)]$ such that

$$\log |\zeta(1/2 + it_0)| \geq -A \log T.$$

Hence $|\zeta(1/2 + it_0)| \geq T^{-A}$. Then $F(T; \Delta) \geq T^{-A}$. The proof is complete. ■

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