

Four prime squares and powers of 2

by

HONGZE LI (Shanghai)

1. Introduction. Let

$$\mathcal{A}_3 = \{n : n \in \mathbb{N}, n \equiv 3 \pmod{24}, n \not\equiv 0 \pmod{5}\},$$

$$\mathcal{A}_5 = \{n : n \in \mathbb{N}, n \equiv 5 \pmod{24}\}.$$

In 1938 Hua [3] proved that almost all $n \in \mathcal{A}_3$ are representable as sums of three squares of primes, and all sufficiently large $n \in \mathcal{A}_5$ are representable as sums of five squares of primes. In view of these results and Lagrange's theorem of four squares, it is reasonable to conjecture that every large even integer $n \equiv 4 \pmod{24}$ is a sum of four squares of primes

$$(1.1) \quad n = p_1^2 + p_2^2 + p_3^2 + p_4^2.$$

In [6], Liu, Liu and Zhan proved that every large even integer N can be written as a sum of four squares of primes and powers of 2,

$$(1.2) \quad N = p_1^2 + p_2^2 + p_3^2 + p_4^2 + 2^{\nu_1} + \cdots + 2^{\nu_k}.$$

In [4], Liu and Liu showed that $k = 8330$ is acceptable in (1.2). Recently, Liu and Lü [7] improved this result and proved that $k = 165$ suffices.

In this note, we will prove the following:

THEOREM. *Every sufficiently large even integer can be written as a sum of four squares of primes and 151 powers of 2.*

Throughout this paper, ε always denotes a sufficiently small positive number, though it may be different at each appearance.

2. Outline and preliminary results. Suppose N , our main parameter, is "sufficiently large". We write

$$(2.1) \quad P = N^{1/5-\varepsilon}, \quad Q = NP^{-1}L^{14}, \quad L = \log_2 N.$$

2000 *Mathematics Subject Classification*: 11P32, 11P05, 11P55, 11N36.

Key words and phrases: additive number theory, circle method.

The circle method, in the form we require here, begins with the observation that

$$\begin{aligned}
 (2.2) \quad R(N) &:= \sum_{\substack{N=p_1^2+\dots+p_4^2+2^{\nu_1}+\dots+2^{\nu_k} \\ p_1, \dots, p_4 \leq N^{1/2}}} (\log p_1) \cdots (\log p_4) \\
 &= \int_0^1 f^4(\alpha) g^k(\alpha) e(-\alpha N) d\alpha,
 \end{aligned}$$

where we write $e(x) = \exp(2\pi i x)$ and

$$(2.3) \quad f(\alpha) = \sum_{p^2 \leq N} (\log p) e(\alpha p^2), \quad g(\alpha) = \sum_{2^\nu \leq N} e(\alpha 2^\nu) := \sum_{\nu \leq L} e(\alpha 2^\nu).$$

By Dirichlet’s lemma on rational approximation, each $\alpha \in [1/Q, 1 + 1/Q]$ can be written as

$$(2.4) \quad \alpha = \frac{a}{q} + \beta, \quad |\beta| \leq q^{-1} Q^{-1},$$

for some integers a, q with $1 \leq a \leq q \leq Q, (a, q) = 1$. We denote by $I(a, q)$ the set of α satisfying (2.4), and define the major arcs \mathfrak{M} and the minor arcs \mathfrak{m} as follows:

$$(2.5) \quad \mathfrak{M} = \bigcup_{1 \leq q \leq P} \bigcup_{\substack{a=1 \\ (a,q)=1}}^q I(a, q), \quad \mathfrak{m} = [1/Q, 1 + 1/Q] \setminus \mathfrak{M}.$$

It follows from $2P < Q$ that the major arcs $I(a, q)$ are mutually disjoint.

By (2.2) we have

$$\begin{aligned}
 (2.6) \quad R(N) &= \int_{\mathfrak{M}} f^4(\alpha) g^k(\alpha) e(-\alpha N) d\alpha + \int_{\mathfrak{m}} f^4(\alpha) g^k(\alpha) e(-\alpha N) d\alpha \\
 &=: R_{\mathfrak{M}}(N) + R_{\mathfrak{m}}(N).
 \end{aligned}$$

We will prove that $R(N) > 0$ for sufficiently large N ; this proves the Theorem.

For the integral on the major arcs, we need the following lemma.

LEMMA 1 ([7, Lemma 2.1]). *Let \mathfrak{M} be as in (2.5) with P, Q determined by (2.1). Then for $2 \leq n \leq N$, we have*

$$(2.7) \quad \int_{\mathfrak{M}} f^4(\alpha) e(-\alpha n) d\alpha = \frac{\pi^2}{16} \mathfrak{S}(n)n + O\left(\frac{N}{\log N}\right),$$

where $\mathfrak{S}(n)$ is defined in (4.4), and satisfies $\mathfrak{S}(n) \gg 1$ for $n \equiv 4 \pmod{24}$.

On the minor arcs, we need estimates for the measure of the set

$$(2.8) \quad \mathcal{E}_\lambda := \{\alpha \in (0, 1] : |g(\alpha)| \geq \lambda L\}.$$

The following lemma is due to Heath-Brown and Puchta [1] and calculated by Liu and Lü [7].

LEMMA 2. We have $\text{meas}(\mathcal{E}_\lambda) \ll N^{-E(\lambda)}$, with $E(0.887167) > 3/4 + 10^{-10}$.

3. Estimation of an integral. In this section we shall estimate the integral $\int_0^1 |f(\alpha)g(\alpha)|^4 d\alpha$. We have

LEMMA 3. Let $f(\alpha)$ and $g(\alpha)$ be as in (2.3). Then

$$\int_0^1 |f(\alpha)g(\alpha)|^4 d\alpha \leq c_1 \frac{\pi^2}{16} NL^4,$$

where

$$c_1 \leq \left(\frac{32^4 \cdot 101 \cdot 1.620767}{3} + \frac{8 \cdot \log^2 2}{\pi^2} \right) (1 + \varepsilon)^9.$$

To show this we need

LEMMA 4. For odd q , let $\varepsilon(q)$ be the order of 2 in the multiplicative group of integers modulo q . Then the series $\sum_{q=1, 2 \nmid q}^\infty \mu^2(q)/q\varepsilon(q)$ is convergent, and its value c_2 satisfies $c_2 < 1.620767$.

In Lemma 4.2 of [7], one has $c_2 < 43/25$.

Proof of Lemma 3. By Proposition 3 in [2], we know that the conclusion of Lemma 3.1 of [7] holds for $D = N^{1/16-2\varepsilon}$. By the argument in Section 3 of [7], in the proof of Lemma 2.2 of [7], we can fix $z = N^{1/32-\varepsilon}$, and then we can get $c_1 \leq (1 + \varepsilon)^6 \cdot 101 \cdot 32^4$ in Lemma 2.2 of [7]. Following the argument of the proof of Lemma 4.1 of [7], by Lemma 4 we get the assertion of Lemma 3.

Proof of Lemma 4. For the estimation of c_2 , we follow [1]. We set

$$(3.1) \quad m = \prod_{e \leq x} (2^e - 1),$$

$$(3.2) \quad s(x) = \sum_{\varepsilon(d) \leq x} k(d), \quad h(n) = \sum_{d|n} k(d),$$

where $k(d)$ is the multiplicative function defined by taking

$$(3.3) \quad k(p^\alpha) = \begin{cases} 0, & p = 2 \text{ or } \alpha \geq 2, \\ 1/p, & \text{otherwise.} \end{cases}$$

Hence

$$\begin{aligned} s(x) &\leq \sum_{d|m} k(d) = h(m) \\ &= \prod_{\substack{p|m \\ p>2}} \left(1 + \frac{1}{p} \right) \leq \prod_{\substack{p|m \\ p>2}} \left(1 - \frac{1}{p^2} \right) \prod_{p|m} \frac{p}{p-1} = \prod_{\substack{p|m \\ p>2}} \left(1 - \frac{1}{p^2} \right) \frac{m}{\phi(m)}. \end{aligned}$$

Moreover, we have

$$\frac{m}{\phi(m)} \leq e^\gamma \log x \quad \text{for } x \geq 9,$$

as shown in (3.9) of [5]. When $x \geq 9$ we have

$$\prod_{\substack{p|m \\ p>2}} \left(1 - \frac{1}{p^2}\right) \leq 0.831951343,$$

hence for $x \geq 9$, we have

$$s(x) \leq 1.4817719 \log x.$$

It then follows that

$$\begin{aligned} c_2 &= \int_1^\infty s(x) \frac{dx}{x^2} = \int_1^9 s(x) \frac{dx}{x^2} + \int_9^\infty s(x) \frac{dx}{x^2} \\ &\leq \sum_{\varepsilon(d) \leq 9} \int_{\varepsilon(d)}^9 k(d) \frac{dx}{x^2} + 1.4817719 \int_9^\infty \log x \frac{dx}{x^2} \\ &\leq \sum_{\varepsilon(d) < 9} k(d) \left(\frac{1}{\varepsilon(d)} - \frac{1}{9}\right) + 1.4817719 \frac{1 + \log 9}{9}. \end{aligned}$$

Let

$$\sum_{\varepsilon(d)=e} k(d) = \kappa(e).$$

Then

$$\sum_{e|d} \kappa(e) = \sum_{\varepsilon(e)|d} k(e).$$

However, $\varepsilon(e) | d$ if and only if $e | 2^d - 1$, hence

$$\sum_{e|d} \kappa(e) = \sum_{e|2^d-1} k(e) = h(2^d - 1).$$

Therefore

$$\kappa(e) = \sum_{d|e} \mu(e/d) h(2^d - 1),$$

and then

$$\sum_{\varepsilon(d) < 9} k(d) \left(\frac{1}{\varepsilon(d)} - \frac{1}{9}\right) = \sum_{m < 9} \kappa(m) \left(\frac{1}{m} - \frac{1}{9}\right).$$

By using the information on the prime factorization of $2^d - 1$ for $d < 9$, we

find that

$$\sum_{m < 9} \kappa(m) \left(\frac{1}{m} - \frac{1}{9} \right) = 1.094371632 \dots,$$

and hence we have

$$(3.4) \quad c_2 \leq \sum_{m < 9} \kappa(m) \left(\frac{1}{m} - \frac{1}{9} \right) + 1.4817719 \frac{1 + \log 9}{9} \leq 1.6207669 \dots$$

This completes the proof of the lemma.

4. Proof of Theorem. For the proof, we need the following lemmas.

LEMMA 5. Let $\mathcal{A}(N, k) = \{n \geq 2 : n = N - 2^{\nu_1} - \dots - 2^{\nu_k}\}$ with $k \geq 100$. Then for $N \equiv 4 \pmod{8}$,

$$\sum_{\substack{n \in \mathcal{A}(N, k) \\ n \equiv 4 \pmod{24}}} n \geq (1/3 - 2^{-90})NL^k.$$

In Lemma 6.1 of [4], one has $1/3$ replaced by $1/4$.

Proof. Following the argument of Lemma 6.1 in [4], we have

$$(4.1) \quad \sum_{\substack{n \in \mathcal{A}(N, k) \\ n \equiv 4 \pmod{24}}} n \geq \sum_{((\nu))} (N - 2^{\nu_1} - \dots - 2^{\nu_k}) \geq (N - N/L) \sum_{((\nu))} 1,$$

where $((\nu))$ means ν_1, \dots, ν_k satisfy

$$(4.2) \quad 3 \leq \nu_1, \dots, \nu_k \leq \log_2(N/kL), \quad 2^{\nu_1} + \dots + 2^{\nu_k} \equiv N - 4 \pmod{3}.$$

Let

$$H(d, N, K) = \#\left\{(\nu_1, \dots, \nu_K) : 1 \leq \nu_i \leq \varepsilon(d), d \mid N - \sum 2^{\nu_i}\right\}.$$

When $d = 3$, $\varepsilon(3) = 2$, and it is an easy exercise to check that

$$H(3, N, K) = \begin{cases} \frac{1}{3}(2^K - (-1)^K), & 3 \nmid N, \\ \frac{1}{3}(2^K + (-1)^K), & 3 \mid N. \end{cases}$$

Thus if $K > 100$ we have

$$H(3, N, K)\varepsilon(3)^{-K} \geq \frac{1}{3}(1 - 2^{-98}).$$

And

$$\sum_{((\nu))} 1 \geq \frac{1}{3}(1 - 2^{-98})H(3, N, k)([\log_2(N/kL)/\varepsilon(3)] - 2)^k \geq \frac{1}{3}(1 - 2^{-96})L^k.$$

From this and (4.1) we get Lemma 5.

LEMMA 6. *Let*

$$(4.3) \quad C(q, a) = \sum_{\substack{m=1 \\ (m,q)=1}}^q e\left(\frac{am^2}{q}\right), \quad B(n, q) = \sum_{\substack{a=1 \\ (a,q)=1}}^q C^4(q, a)e\left(-\frac{an}{q}\right),$$

$$(4.4) \quad A(n, q) = \frac{B(n, q)}{\varphi^4(q)}, \quad \mathfrak{S}(n) = \sum_{q=1}^{\infty} A(n, q).$$

Then for $n \equiv 4 \pmod{24}$, one has

$$\mathfrak{S}(n) > c_3$$

with $c_3 = 4.99457$, while for $n \not\equiv 4 \pmod{24}$, one has $\mathfrak{S}(n) = 0$.

In Lemma 5.2 of [7], one has $\mathfrak{S}(n) > 4.952$.

Proof. This is Proposition 4.3 in [6] except for the value of c_3 . It has been shown in [6] that

$$(4.5) \quad \mathfrak{S}(n) = (1 + A(n, 2) + A(n, 4) + A(n, 8)) \prod_{p \geq 3} (1 + A(n, p)),$$

where $A(n, p)$ is defined in (4.4). By the proof of Lemma 4.2 in [6], for $n \equiv 4 \pmod{24}$ we have

$$(4.6) \quad 1 + A(n, 2) + A(n, 4) + A(n, 8) = 8, \quad 1 + A(n, 3) = 3.$$

By the proof of Lemma 5.2 in [7] we have

$$(4.7) \quad B(n, p) \geq \begin{cases} -5p^2 + 2p - 1 & \text{if } p \nmid n, p \equiv 3 \pmod{4}, \\ -5p^2 - 10p - 1 & \text{if } p \nmid n, p \equiv 1 \pmod{4}, \\ (p - 1)(p^2 - 6p + 1) & \text{if } p \mid n. \end{cases}$$

Hence

$$\begin{aligned} \prod_{p \geq 5} (1 + A(n, p)) &\geq \prod_{\substack{p \equiv 1 \pmod{4} \\ p \geq 5, p \nmid n}} \left(1 - \frac{5p^2 + 10p + 1}{(p - 1)^4}\right) \\ &\quad \times \prod_{\substack{p \equiv 3 \pmod{4} \\ p \geq 5, p \nmid n}} \left(1 - \frac{5p^2 - 2p + 1}{(p - 1)^4}\right) \prod_{\substack{p \geq 5 \\ p \mid n}} \left(1 + \frac{p^2 - 6p + 1}{(p - 1)^3}\right) \\ &> \prod_{\substack{p \equiv 1 \pmod{4} \\ p \geq 5}} \left(1 - \frac{5p^2 + 10p + 1}{(p - 1)^4}\right) \prod_{\substack{p \equiv 3 \pmod{4} \\ p \geq 5}} \left(1 - \frac{5p^2 - 2p + 1}{(p - 1)^4}\right). \end{aligned}$$

We apply the elementary inequality

$$(1 + x)^a < 1 + ax - \frac{a(a - 1)}{2} x^2 \quad \text{if } a > 2, \quad -1 < x < 0.$$

For $p > 82$ and $p \equiv 1 \pmod{4}$, we have

$$1 - \frac{5p^2 + 10p + 1}{(p - 1)^4} \geq \left(1 - \frac{1}{(p - 1)^2}\right)^{5.25},$$

and for $p > 35$ and $p \equiv 3 \pmod{4}$, we have

$$1 - \frac{5p^2 - 2p + 1}{(p - 1)^4} \geq \left(1 - \frac{1}{(p - 1)^2}\right)^{5.25}.$$

Thus

$$\begin{aligned} & \prod_{p \geq 5} (1 + A(n, p)) \\ & \geq \prod_{\substack{p \equiv 1 \pmod{4} \\ 5 \leq p < 82}} \left(1 - \frac{5p^2 + 10p + 1}{(p - 1)^4}\right) \prod_{\substack{p \equiv 3 \pmod{4} \\ 5 \leq p < 35}} \left(1 - \frac{5p^2 - 2p + 1}{(p - 1)^4}\right) \\ & \quad \times \prod_{\substack{p \equiv 1 \pmod{4} \\ p > 82}} \left(1 - \frac{1}{(p - 1)^2}\right)^{5.25} \prod_{\substack{p \equiv 3 \pmod{4} \\ p > 35}} \left(1 - \frac{1}{(p - 1)^2}\right)^{5.25} \\ & = \prod_{\substack{p \equiv 1 \pmod{4} \\ 5 \leq p < 82}} \left(1 - \frac{5p^2 + 10p + 1}{(p - 1)^4}\right) \prod_{\substack{p \equiv 3 \pmod{4} \\ 5 \leq p < 35}} \left(1 - \frac{5p^2 - 2p + 1}{(p - 1)^4}\right) \\ & \quad \times \prod_{\substack{p \equiv 1 \pmod{4} \\ 5 \leq p < 82}} \left(1 - \frac{1}{(p - 1)^2}\right)^{-5.25} \prod_{\substack{p \equiv 3 \pmod{4} \\ 3 \leq p < 35}} \left(1 - \frac{1}{(p - 1)^2}\right)^{-5.25} \\ & \quad \times \prod_{p \geq 3} \left(1 - \frac{1}{(p - 1)^2}\right)^{5.25} \\ & \geq 1.8422 \cdot (0.6601)^{5.25} > 0.208107568, \end{aligned}$$

where we have used the well known result $\prod_{p \geq 3} (1 - 1/(p - 1)^2) = 0.6601 \dots$. By (4.5) and (4.6) the lemma follows.

Now we prove the Theorem. Following the argument of [7], suppose first $N \equiv 4 \pmod{8}$, let \mathcal{E}_λ be defined in (2.8), and \mathfrak{M} and \mathfrak{m} as in (2.5) with P, Q determined in (2.1). Then (2.2) becomes

$$(4.8) \quad R(N) = \int_0^1 f^4(\alpha) g^k(\alpha) e(-\alpha N) d\alpha = \int_{\mathfrak{M}} + \int_{\mathfrak{m} \cap \mathcal{E}_\lambda} + \int_{\mathfrak{m} \setminus \mathcal{E}_\lambda}.$$

For the major arcs, by Lemma 1 we have

$$\begin{aligned}
 (4.9) \quad \int_{\mathfrak{M}} f^4(\alpha)g^k(\alpha)e(-\alpha N) d\alpha &= \sum_{n \in \mathcal{A}(N,k)} \int_{\mathfrak{M}} f^4(\alpha)e(-\alpha n) d\alpha \\
 &= \frac{\pi^2}{16} \sum_{n \in \mathcal{A}(N,k)} \mathfrak{S}(n)n + O(NL^{k-1}) \\
 &\geq c_3 \frac{\pi^2}{16} \left\{ \sum_{\substack{n \in \mathcal{A}(N,k) \\ n \equiv 4 \pmod{24}}} n \right\} + O(NL^{k-1}) \\
 &\geq \frac{4.99457}{3} (1 - 2^{-90}) \frac{\pi^2}{16} NL^k,
 \end{aligned}$$

where we have used Lemmas 5 and 6.

For the second integral in (4.8), by the estimation in [7], we have

$$\max_{\alpha \in \mathfrak{m}} |f(\alpha)| \ll N^{1/2-1/16+\varepsilon}.$$

Therefore

$$(4.10) \quad \int_{\mathfrak{m} \cap \mathcal{E}_\lambda} \ll N^{-E(0.887167)} N^{2-1/4+\varepsilon} L^k \ll N^{1-\varepsilon},$$

where we have used Lemma 2 for $\lambda = 0.887167$.

For the last integral in (4.8), by the definition of \mathcal{E}_λ and Lemma 3, we have

$$(4.11) \quad \int_{\mathfrak{m} \setminus \mathcal{E}_\lambda} \leq (\lambda L)^{k-4} \int_0^1 |f(\alpha)g(\alpha)|^4 d\alpha \leq c_1 \lambda^{k-4} \frac{\pi^2}{16} NL^k.$$

Combining this and (4.9) and (4.10), we get

$$(4.12) \quad R(N) \geq \frac{\pi^2}{16} NL^k \left(\frac{4.99456}{3} - c_1 \lambda^{k-4} \right).$$

When $k \geq 149$, for $\lambda = 0.887167$, by the above estimate we have

$$R(N) > 0.$$

This means that every large even integer N with $N \equiv 4 \pmod{24}$ can be written in the form of (1.2) for $k \geq 149$.

If N is a large even integer but $N \not\equiv 4 \pmod{24}$, then by the argument of [7], N can be written in the form (1.2) for $k \geq 151$. This completes the proof of the Theorem.

Acknowledgments. This work was supported by the National Natural Science Foundation of China (Grant No. 10471090).

References

- [1] D. R. Heath-Brown and J. C. Puchta, *Integers represented as a sum of primes and powers of two*, Asian J. Math. 6 (2002), 535–565.
- [2] D. R. Heath-Brown and D. I. Tolev, *Lagrange's four squares theorem with one prime and three almost-prime variables*, J. Reine Angew. Math. 558 (2003), 159–224.
- [3] L. K. Hua, *Some results in additive prime number theory*, Quart. J. Math. Oxford 9 (1938), 68–80.
- [4] J. Y. Liu and M. C. Liu, *Representation of even integers as sums of squares of primes and powers of 2*, J. Number Theory 83 (2000), 202–225.
- [5] J. Y. Liu, M. C. Liu and T. Z. Wang, *On the almost Goldbach problem of Linnik*, J. Théor. Nombres Bordeaux 11 (1999), 133–147.
- [6] J. Y. Liu, M. C. Liu and T. Zhan, *Squares of primes and powers of 2*, Monatsh. Math. 128 (1999), 283–313.
- [7] J. Y. Liu and G. S. Lü, *Four squares of primes and 165 powers of 2*, Acta Arith. 114 (2004), 55–70.

Department of Mathematics
Shanghai Jiaotong University
Shanghai 200240
People's Republic of China
E-mail: lihz@sjtu.edu.cn

Received on 14.4.2006

(5187)