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On the Lehmer constant of finite cyclic groups

by

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1. Introduction. Let n be a positive integer. Given a polynomial with integer coefficients, $f \in \mathbb{Z}[x]$, denote by $\mathsf{m}_n(f)$ its *logarithmic Mahler measure* over $\mathbb{Z}/n\mathbb{Z}$, defined by

$$\mathsf{m}_n(f) = \frac{1}{n}\sum_{k=0}^{n-1} \log|f(e^{2\pi ik/n})|.$$

By $\lambda_n > 0$ we denote the *Lehmer constant* of $\mathbb{Z}/n\mathbb{Z}$,

$$\lambda_n = \min_{\substack{f\in\mathbb{Z}[x]\\ \mathsf{m}_n(f)>0}} \mathsf{m}_n(f)$$

(see [11]). We notice later that the minimum is indeed attained, and that it is the same if $\deg f \le n-1$ is assumed. Lind [11] has given an upper bound for λ_n (see below) and he obtained the values

$$\lambda_1 = \log 2, \quad \lambda_2 = \frac{1}{2}\log 3, \quad \lambda_4 = \frac{1}{4}\log 3, \quad \lambda_n = \frac{1}{n}\log 2 \quad \text{for all odd } n.$$

We sharpen his result, complement it by a lower bound, and obtain the value of λ_n for all n except for multiples of 420. The main result is formulated in Section 2 and proved in Section 3.

2. Main result. For a positive integer n, let $\left\{\begin{matrix}\rho(n)\\ \rho'(n)\end{matrix}\right\}$ denote the smallest $\left\{\begin{matrix}\text{prime number}\\ \text{positive integer}\end{matrix}\right\}$ that does not divide n. We write $p^k \,\|\, n$ when p^k is a principal divisor of n, that is, if p is a prime and k is a positive integer such

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that $p^k \mid n$ and $p^{k+1} \nmid n$. Let

$$\rho''(n) = \min(\min_{p \nmid n} p, \min_{p^k \| n} p^{p^k}) = \min(\rho(n), \min_{p^k \| n} p^{p^k}).$$

Lind proved that $\lambda_n \le n^{-1} \log \rho(n)$ for all n. Extending his result we obtain the following theorem, our main result.

THEOREM 1. *The Lehmer constant of $\mathbb{Z}/n\mathbb{Z}$ is of the form $\lambda_n = n^{-1} \log \Lambda_n$, with an integer $\Lambda_n \ge 2$ not dividing n and in the range*

$$\rho'(n) \le \Lambda_n \le \rho''(n).$$

For all $n = 1, \dots, 419 \pmod{420}$, we have $\Lambda_n = \rho'(n) = \rho''(n)$.

EXAMPLE 1. Example new values are $\lambda_6 = \frac{1}{6} \log 4$, $\lambda_8 = \frac{1}{8} \log 3$, or more generally,

$$\lambda_n = \begin{cases} \dfrac{1}{n} \log 3 & \text{if, and only if, } n = 2k \text{ with } 3 \nmid k, \\ \dfrac{1}{n} \log 4 & \text{if, and only if, } n = 6k \text{ with odd } k. \end{cases}$$

REMARK 1. (i) Theorem 1 yields the exact value of λ_n when $\rho'(n) = \rho(n)$, or more generally, when $\rho'(n) = \rho''(n)$. Thus it also includes certain multiples of 420. For example, let $n = 6 \cdot k \cdot 420$ with $11 \nmid k$. Then $\rho'(n) = \rho(n) = 11$ and thus $\lambda_n = n^{-1} \log 11$.

(ii) By Theorem 1 the known upper bound $\lambda_n \le n^{-1} \log \rho(n)$ is sharpened strictly for all $n = 6 \pmod{12}$, where it yields the exact value for λ_n, and also for certain multiples of 420. For example, let $n = 11 \cdot 13 \cdot 420$. Then the theorem implies $\lambda_n = n^{-1} \log \Lambda_n$ with $\Lambda_n \in \{8, 9, 16\}$, while $\rho(n) = 17$.

OPEN QUESTION. Determine $\lambda_n = n^{-1} \log \Lambda_n$ for $n = 420$. By Theorem 1(i) we have $\Lambda_{420} \in \{8, 9, 11\}$.

3. Proof of Theorem 1. We have, for $f \in \mathbb{Z}[x]$,

$$\mathsf{m}_n(f) = \frac{1}{n} \log |\Delta_n(f)| \quad \text{with} \quad \Delta_n(f) = \prod_{k=0}^{n-1} f(e^{2\pi i k/n}). \tag{1}$$

The number $\Delta_n(f)$ is always an integer, and there is an elementary way to see that. To this end we recall the determinantal relation of [13], readily extended here to f of arbitrary degree. If $\deg f \le n-1$, write $f(x) = a_0 + a_1 x + \cdots + a_{n-1} x^{n-1}$, with zero coefficients where necessary. If a polynomial of higher degree is given, with coefficients $a'_0, a'_1, \dots$, replace it first with f as above by defining $a_k = \sum_{l = k \,(\mathrm{mod}\, n)} a'_l$. Let C_a denote the $n \times n$ integer circulant matrix with first row $a = (a_0, \dots, a_{n-1})$. Then $\det C_a = \prod_{k=0}^{n-1} f(e^{2\pi i k/n})$ and this implies

$$\Delta_n(f) = \det C_a. \tag{2}$$

Hence, $\Delta_n(f)$ is indeed an integer. Observe that expressing $\mathsf{m}_n(f)$ in terms of the integer $\Delta_n(f)$ justifies the definition of the Lehmer constant λ_n as a minimum, not just an infimum. We will also use the expression of $\Delta_n(f)$ as a resultant, like for example in [2, 5, 11]. Indeed, since $\mathrm{Res}(x^n-1, f(x)) = \prod_{k=0}^{n-1} f(e^{2\pi ik/n})$, we have

$$\Delta_n(f) = \mathrm{Res}(x^n-1, f(x)). \tag{3}$$

The more commonly used expression $\mathrm{Res}(f(x), x^n-1)$, with interchanged arguments, works as well, as long as only absolute values are considered. Indeed, the sign of the determinant in (2) or of the resultant in (3) is irrelevant for $\mathsf{m}_n(f)$. We remark that the opposite sign is obtained for the polynomial

$$f^\vee(x) = -x^{n-1} f(1/x),$$

with coefficient sequence $(-a_{n-1}, -a_{n-2}, \ldots, -a_0)$, the negative of the usual reciprocal polynomial.

Remark 2. (i) Lehmer and Pierce [10, 13] investigated the sequences $\{\Delta_1(f), \Delta_2(f), \ldots\}$ for $f \in \mathbb{Z}[x]$. For example, the polynomial $f(x) = 2 - x$ yields $\Delta_n(f) = 2^n - 1$, the Mersenne numbers; we refer to [6, 7, 8, 9]. For Lehmer's problem, formulated in [10], we refer to [3, 14] and the spectacular solution for odd coefficients in [2]. Lind's Lehmer constants λ_n relate to the family $\{\Delta_n(f) \colon f \in \mathbb{Z}[x]\}$ for fixed n.

(ii) Our approach highlights and makes use of the fact that finding possible (or minimal) values of the logarithmic Mahler measure over $\mathbb{Z}/n\mathbb{Z}$ is equivalent to finding possible (or minimal) values of integer circular determinants, an open problem attributed to Taussky-Todd [12].

Call $f \in \mathbb{Z}[x]$ *cyclotomic* if all its zeros lie on the complex unit circle. As a preliminary observation we determine, for all n, the exact value of a cyclotomic variant of Lind's Lehmer constants.

Lemma 1. *For cyclotomic polynomials $f \in \mathbb{Z}[x]$, the minimal possible value of $\mathsf{m}_n(f) > 0$ is determined by*

$$\min_{\substack{f \in \mathbb{Z}[x] \text{ cyclotomic} \\ \mathsf{m}_n(f) > 0}} \mathsf{m}_n(f) = n^{-1} \log \rho''(n). \tag{4}$$

Proof. First, Kronecker's theorem implies that any cyclotomic polynomial $f \in \mathbb{Z}[x]$ is the product of some of $\Phi_1, \Phi_2, \ldots$ and a constant, if necessary; here $\Phi_m \in \mathbb{Z}[x]$ denotes the mth cyclotomic polynomial, i.e., the monic polynomial whose zeros are the primitive mth roots of unity. Since always

$$\Delta_n(f_1 f_2) = \Delta_n(f_1)\Delta_n(f_2), \tag{5}$$

and consequently, $\mathsf{m}_n(f_1 f_2) \le \mathsf{m}_n(f_1) + \mathsf{m}_n(f_2)$, we thus obtain

$$\min_{\substack{f \in \mathbb{Z}[x] \text{ cyclotomic} \\ \mathsf{m}_n(f) > 0}} \mathsf{m}_n(f) = \min_{\substack{m=1,2,\ldots \\ \mathsf{m}_n(\Phi_m) > 0}} \mathsf{m}_n(\Phi_m). \tag{6}$$

Let $\varphi(n)$ denote Euler's totient of n. We point out that

$$\begin{aligned}(7)\qquad \Delta_n(\Phi_m) &= \operatorname{Res}(x^n-1,\Phi_m(x))\\ &= \begin{cases} 0 & \text{if } m \mid n,\\ 1 & \text{if at least two distinct primes divide } m/\gcd(m,n),\\ p^{\varphi(q)} & \text{if } m/\gcd(m,n) \text{ is the power of a prime } p \nmid n\\ & \text{—here we write } \gcd(m,n)=q,\\ p^{\varphi(q)p^k} & \text{if } m/\gcd(m,n) \text{ is the power of a prime } p \mid n\\ & \text{—here we factorize } \gcd(m,n)=p^k q \text{ with } p^k \parallel n. \end{cases}\end{aligned}$$

We remark that by our approach no negative sign is needed here, for any m,n. This formula is obtained from [1, proof of Theorem 2], where it is used for a short proof of the formula for $\operatorname{Res}(\Phi_{m_1}(x),\Phi_{m_2}(x))$; secondly, since

$$(8)\qquad \operatorname{Res}(x^n-1,\Phi_m(x)) = \operatorname{Res}(\Phi_1(x^n),\Phi_m(x)),$$

the formula (7) also follows from applying [4, Proposition 14]; a third, convenient and direct source is [5, Theorem 3].

Notice that (7) implies, for any n,m, that in particular

$$(9)\qquad \Delta_n(\Phi_m)=0,1, \quad \text{or} \quad \Delta_n(\Phi_m)\ge \min(\min_{p\nmid n} p, \min_{p^k\parallel n} p^{p^k}) = \rho''(n).$$

Since (7) also yields

$$(10)\qquad \begin{aligned} \Delta_n(\Phi_p) &= p && \text{for } p\nmid n,\\ \Delta_n(\Phi_{p^{k+1}}) &= p^{p^k} && \text{for } p^k \parallel n,\end{aligned}$$

we conclude that the inequality in (9) is sharp, that is,

$$(11)\qquad \min_{\substack{m=1,2,\dots\\ \Delta_n(\Phi_m)\ge 2}} \Delta_n(\Phi_m) = \rho''(n).$$

Finally, since $\mathsf{m}_n(\Phi_m) = n^{-1}\log \Delta_n(\Phi_m)$, the statement of the lemma follows by combining (6) and (11). ∎

Lemma 2. *Let n satisfy $n \not\equiv 6 \pmod{12}$ and $n \not\equiv 0 \pmod{420}$. Then $\rho(n)=\rho'(n)$, that is, the least non-divisor of n is a prime (and not a prime power).*

Remark 3. The example given in Remark 1(i) shows that the implication of Lemma 2 cannot be reversed.

Proof of Lemma 2. Suppose that $n \not\equiv 6 \pmod{12}$ and $\rho'(n)<\rho(n)$; we verify that this implies $420 \mid n$. First, if $6\nmid n$, then either $\rho'(n)=\rho(n)=2$ or $\rho'(n)=\rho(n)=3$. This contradicts the assumption $\rho'(n)<\rho(n)$. Hence, we have $n=6k$ for some k. The case of k odd is excluded by the assumption

$n \neq 6 \pmod{12}$, so we obtain k even. In other words, $n = 12k'$ for some k'. If $5 \nmid k'$, then we have $\rho'(n) = \rho(n) = 5$, in contradiction to the assumption $\rho'(n) < \rho(n)$. Therefore, we have $n = 60k''$ for some k''. Finally, if $7 \nmid k''$, then $\rho'(n) = \rho(n) = 7$, again contrary to $\rho'(n) < \rho(n)$. Thus we conclude that $n = 420k'''$ for some k'''. ∎

Proof of Theorem 1.

Step I: First notice that indeed $\lambda_n = n^{-1} \log \Lambda_n$ for an integer $\Lambda_n \geq 2$; in fact,

$$\Lambda_n = \min_{\substack{f \in \mathbb{Z}[x] \\ |\Delta_n(f)| \geq 2}} |\Delta_n(f)|. \tag{12}$$

Therefore, $\Lambda_n = |\Delta_n(f_0)|$ for some $f_0 \in \mathbb{Z}[x]$ with $\deg f_0 = n - 1$. Upon replacing f_0 with $f_0^\vee$ defined above, if necessary, we can assume that $\Lambda_n = \Delta_n(f_0)$.

Step II: We show that $\Lambda_n \nmid n$. Suppose that Λ_n divides n. Then there exists a prime p dividing both Λ_n and n. Let $p^m \parallel \Lambda_n$ and $p^k \parallel n$. Since $\Lambda_n \mid n$ we notice that $m \leq k$. On the other hand, let C_a be the $n \times n$ integer circulant matrix whose first row consists of the coefficients of f_0, so that

$$\Lambda_n = \Delta_n(f_0) = \det C_a. \tag{13}$$

Then we have $p^k \parallel n$ and $p^m \parallel \det C_a$, and a result by Newman [12, Theorem 2] thus implies that $m \geq k + 1$, so we obtain a contradiction.

Step III: The previous step implies that the positive integer Λ_n does not divide n. By definition, $\rho'(n)$ is the smallest number with this property. We thus obtain the lower bound $\rho'(n) \leq \Lambda_n$.

Step IV: The upper bound $\Lambda_n \leq \rho''(n)$ is a consequence of Lemma 1.

Step V: Suppose that $n = 6 \pmod{12}$. Then $2 \mid n$ and $3 \mid n$, while $4 \nmid n$. Hence, $\rho'(n) = 4$. On the other hand,

$$\min_{p^k \parallel n} p^{p^k} = 2^{2^1} = 4, \tag{14}$$

and thus $\rho''(n) = 4$; notice that $\rho(n) \geq 5$. Therefore in Theorem 1 the lower and upper bound coincide, and we obtain $\Lambda_n = \rho'(n) = \rho''(n) = 4$.

Step VI: Suppose that $n \neq 6 \pmod{12}$ and $n \neq 0 \pmod{420}$. By Lemma 2 these conditions on n imply that $\rho(n) = \rho'(n)$. Since always $\rho'(n) \leq \rho''(n) \leq \rho(n)$, we conclude that $\rho'(n) = \rho''(n)$. Thus the lower and upper bound in Theorem 1 coincide and we obtain $\Lambda_n = \rho'(n) = \rho''(n)$. ∎

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