

## On the $k$ -free divisor problem

by

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**1. Introduction.** Let  $d(n)$  denote the divisor function. Dirichlet proved that the error term

$$\Delta(x) := \sum_{n \leq x} d(n) - x \log x - (2\gamma - 1)x, \quad x \geq 2,$$

satisfies  $\Delta(x) = O(x^{1/2})$ . The exponent  $1/2$  was improved by many authors. The latest result is due to Huxley [5], who proved that

$$\Delta(x) = (x^{131/416}(\log x)^{26957/8320}).$$

It is conjectured that

$$(1.1) \quad \Delta(x) = O(x^{1/4+\varepsilon}),$$

which is supported by the classical mean-square result

$$(1.2) \quad \int_1^T \Delta^2(x) dx = \frac{(\zeta(3/2))^4}{6\pi^2\zeta(3)} T^{3/2} + O(T \log^5 T)$$

proved by Tong [15].

Let  $k \geq 2$  denote a fixed integer. An integer  $n$  is called  $k$ -free if  $p^k$  does not divide  $n$  for any prime  $p$ . Let  $d^{(k)}(n)$  denote the number of  $k$ -free divisors of the positive integer  $n$  and define

$$D^{(k)}(x) := \sum_{n \leq x} d^{(k)}(n).$$

Then the expected asymptotic formula for  $D^{(k)}(x)$  is

$$D^{(k)}(x) = C_1^{(k)} x \log x + C_2^{(k)} x + \Delta^{(k)}(x),$$

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where  $C_1^{(k)}, C_2^{(k)}$  are two constants,  $\Delta^{(k)}(x)$  is the error term. In 1874 Mertens [10] proved that  $\Delta^{(2)}(x) \ll x^{1/2} \log x$ . In 1932 Hölder [4] proved that

$$\Delta^{(k)}(x) \ll \begin{cases} x^{1/2} & \text{if } k = 2, \\ x^{1/3} & \text{if } k = 3, \\ x^{33/100} & \text{if } k \geq 4. \end{cases}$$

For  $k = 2, 3$ , it is very difficult to improve the exponent  $1/k$  in the bound  $\Delta^{(k)}(x) \ll x^{1/k}$ , unless we have substantial progress in the study of the zero-free region of  $\zeta(s)$ . Therefore it is reasonable to get better improvements by assuming the truth of the Riemann Hypothesis (RH). Such results were given in [1, 2, 9, 12, 13, 14]. For  $k = 2$ , R. C. Baker proved in [2] that  $\Delta^{(2)}(x) \ll x^{4/11+\varepsilon}$  (under RH). The exponent  $4/11$  can be improved to  $221/608$  by a very slight adaption of the argument in J. Wu [17]. For  $k = 3$ , in [9] Kumchev proved  $\Delta^{(3)}(x) \ll x^{27/85+\varepsilon}$  under RH. For  $k \geq 4$ , it is easy to show that if  $\Delta(x) \ll x^\alpha$  is true, then the estimate  $\Delta^{(k)}(x) \ll x^\alpha \log x$  follows.

We believe that the estimate

$$(1.3) \quad \Delta^{(k)}(x) = O(x^{1/4+\varepsilon})$$

would be true for any  $k \geq 2$ , which is an analogue of (1.1). For  $k \geq 4$  it is easily seen that if the conjecture (1.1) is true, then so is (1.3). For  $k = 2, 3$ , we cannot deduce the conjecture (1.3) from (1.1) directly; in this case we do not know the truth of (1.3) even if both (1.1) and RH are true. However, for any  $k \geq 2$ , the conjecture (1.3) cannot be proved by present methods.

In this paper we shall study the mean square of  $\Delta^{(k)}(x)$  for  $k \geq 4$ , from which the truth of the conjecture (1.3) ( $k \geq 4$ ) is supported partly. Our result is an analogue of (1.2).

**THEOREM 1.** *We have the asymptotic formula*

$$\int_1^T |\Delta^{(k)}(x)|^2 dx = \frac{B_k}{6\pi^2} T^{3/2} + \begin{cases} O(T^{3/2} e^{-c\delta(T)}) & \text{for } k = 4, \\ O(T^{\delta_k+\varepsilon}) & \text{for } k \geq 5, \end{cases}$$

where

$$B_k := \sum_{m=1}^\infty g_k^2(m) m^{-3/2}, \quad g_k(m) := \sum_{m=nd^k} \mu(d) d(n) d^{k/2},$$

$$\delta(u) := (\log u)^{3/5} (\log \log u)^{-1/5},$$

$$\delta_5 := 29/20, \quad \delta_k := 3/2 - 1/2k + 1/k^2 \quad (k \geq 6),$$

and where  $c > 0$  is an absolute constant.

**COROLLARY 1.** *If  $k \geq 4$ , then*

$$\Delta^{(k)}(x) = \Omega(x^{1/4}).$$

By the same method we can study the mean square of  $\Delta(1, 1, k; x)$ , which is defined by

$$\Delta(1, 1, k; x) := \sum_{n \leq x} d(1, 1, k; n) - x\{\zeta(k) \log x + k\zeta'(k) + (2\gamma - 1)\zeta(k)\} - \zeta^2(1/k)x^{1/k},$$

where  $d(1, 1, k; n) = \sum_{n=m_1 m_2 d^k} 1$  and  $\gamma$  is the Euler constant. This is a special three-dimensional divisor problem. From the formula (5.3) of Ivić [7] we have

$$(1.4) \quad \int_1^T \Delta^2(1, 1, k; x) dx \ll T^{3/2+\varepsilon}.$$

From Krätzel [8] we know that

$$(1.5) \quad \Delta(1, 1, k; x) = \Omega(x^{1/4})$$

if  $k \geq 5$ .

Now we prove the following theorem, which improves (1.4).

**THEOREM 2.** *Suppose  $k \geq 3$  is a fixed integer. Then*

$$\int_1^T \Delta^2(1, 1, k; x) dx = \frac{C_k}{6\pi^2} T^{3/2} + \begin{cases} O(T^{53/36} \log^3 T) & \text{if } k = 3, \\ O(T^{29/20} \log^{503} T) & \text{if } k = 4, \\ O(T^{75/52} \log^{1000} T) & \text{if } k = 5, \\ O(T^{3/2-1/2k+1/k^2+\varepsilon}) & \text{if } k \geq 6, \end{cases}$$

where

$$C_k := \sum_{m=1}^{\infty} f_k^2(m)m^{-3/2}, \quad f_k(m) := \sum_{m=nd^k} d(n)d^{k/2}.$$

**COROLLARY 2.** *Formula (1.5) holds for  $k = 3, 4$ .*

**NOTATIONS.** For a real number  $u$ ,  $[u]$  denotes the integer part of  $u$ ,  $\{u\}$  denotes the fractional part of  $u$ ,  $\psi(u) = \{u\} - 1/2$ ,  $\|u\|$  denotes the distance from  $u$  to the integer nearest to  $u$ . We write  $\mu(d)$  for the Möbius function. Let  $(m, n)$  denote the greatest common divisor of natural numbers  $m$  and  $n$ . Furthermore,  $n \sim N$  means  $N < n \leq 2N$ , and  $\varepsilon$  always denotes a sufficiently small positive constant which may be different at different places. Finally,  $SC(\sum)$  denotes the summation condition of the sum  $\sum$ .

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**2. The expression for  $\Delta^{(k)}(x)$ .** In order to prove Theorem 1, we shall give a simple expression of  $\Delta^{(k)}(x)$  in this section.

LEMMA 2.1. *There exists an absolute constant  $c_1 > 0$  such that the estimate*

$$M(u) := \sum_{n \leq u} \mu(n) \ll ue^{-c_1\delta(u)}$$

holds for  $u \geq 2$ .

This is Theorem 12.7 of Ivić [6]. Now we prove the following

LEMMA 2.2. *Suppose  $10 \leq y \ll x^{1/k}$ . Then*

$$\Delta^{(k)}(x) = \sum_{d \leq y} \mu(d)\Delta(x/d^k) + O(xy^{1-k}e^{-c_1\delta(y)} \log x).$$

*Proof.* We have

$$\begin{aligned} D^{(k)}(x) &= \sum_{\substack{mn \leq x \\ m:k\text{-free}}} 1 = \sum_{d^k mn \leq x} \mu(d) = \sum_{d^k n \leq x} \mu(d)d(n) \\ &= \sum_{d \leq y} \mu(d)D(x/d^k) + \sum_{n \leq x/y^k} d(n)M((x/n)^{1/k}) - D(x/y^k)M(y) \\ &= \sum_1 + \sum_2 - \sum_3, \end{aligned}$$

say. From Lemma 2.1 and the estimate  $D(u) \ll u \log u$  we directly get

$$\sum_3 \ll xy^{1-k}e^{-c_1\delta(y)} \log x.$$

Similarly we get

$$\sum_2 \ll xy^{1-k}e^{-c_1\delta(y)} \log x$$

if we note that  $e^{-c_1\delta((x/n)^{1/k})} \leq e^{-c_1\delta(y)}$  for all  $n \leq x/y^k$ . By Lemma 2.1 and simple calculations we have

$$\begin{aligned} \sum_1 &= \sum_{d \leq y} \mu(d) \left\{ \frac{x}{d^k} \log \frac{x}{d^k} + (2\gamma - 1) \frac{x}{d^k} \right\} + \sum_{d \leq y} \mu(d)\Delta\left(\frac{x}{d^k}\right) \\ &= (\text{main term}) + \sum_{d \leq y} \mu(d)\Delta\left(\frac{x}{d^k}\right) + O(xy^{1-k}e^{-c_1\delta(y)} \log x). \end{aligned}$$

Hence Lemma 2.2 follows. ■

**3. Proof of Theorem 1 (beginning).** Suppose  $T \geq 10$  is large. It suffices to evaluate the integral  $\int_T^{2T} |\Delta^{(k)}(x)|^2 dx$ .

Let  $T^\varepsilon \ll y \ll T^{1/k-\varepsilon}$ ,  $T^\varepsilon \ll z \ll T^{1-\varepsilon}$  be two parameters to be determined later. Let

$$\Delta_1(u) := \frac{u^{1/4}}{\pi\sqrt{2}} \sum_{n \leq z} \frac{d(n)}{n^{3/4}} \cos(4\pi\sqrt{nu} - \pi/4), \quad \Delta_2(u; z) := \Delta(u) - \Delta_1(u).$$

Then by Lemma 2.2 we can write

$$(3.1) \quad \Delta^{(k)}(x) = R_1^{(k)}(x) + R_2^{(k)}(x) + R_3^{(k)}(x),$$

where

$$R_1^{(k)}(x) := \frac{x^{1/4}}{\pi\sqrt{2}} \sum_{d \leq y} \frac{\mu(d)}{d^{k/4}} \sum_{n \leq z} \frac{d(n)}{n^{3/4}} \cos\left(4\pi\sqrt{\frac{nx}{d^k}} - \frac{\pi}{4}\right),$$

$$R_2^{(k)}(x) := \sum_{d \leq y} \mu(d) \Delta_2(x/d^k; z),$$

$$R_3^{(k)}(x) := O(xy^{1-k} e^{-c_1\delta(y)} \log x).$$

LEMMA 3.1. *Suppose  $A > 0$  is any fixed constant,  $T^\varepsilon \ll V \ll T^A$ . Then*

$$\int_V^{2V} \Delta_2^2(u; z) du \ll V^{3/2} z^{-1/2} \log^3 V + V \log^5 V.$$

*Proof.* Suppose  $\min(z, V^{11}) < N \ll V^B$  is a large parameter, where  $B > 0$  is a constant suitably large. By Lemma 3 of Meurman [11] we have

$$\Delta_2(u; z) = \frac{u^{1/4}}{\pi\sqrt{2}} \sum_{z < n \leq N} \frac{d(n)}{n^{3/4}} \cos(4\pi\sqrt{nu} - \pi/4) + \Delta_2(u; N),$$

where  $\Delta_2(u; N) \ll u^{-1/4}$  if  $\|u\| \gg u^{5/2} N^{-1/2}$ , and  $\Delta_2(u; N) \ll u^\varepsilon$  otherwise. Thus

$$\int_V^{2V} \Delta_2^2(u; z) du \ll \int_1 + \int_2,$$

where

$$\int_1 = \int_V^{2V} \left| u^{1/4} \sum_{z < n \leq N} \frac{d(n)}{n^{3/4}} \cos(4\pi\sqrt{nu} - \pi/4) \right|^2 du, \quad \int_2 = \int_V^{2V} \Delta_2^2(u; N) du.$$

For  $\int_1$  we have

$$\begin{aligned} \int_1 &\ll \int_V^{2V} \left| u^{1/4} \sum_{z < n \leq N} \frac{d(n)}{n^{3/4}} e(2\sqrt{nu}) \right|^2 du \\ &\ll V^{3/2} \sum_{z < n \leq N} \frac{d^2(n)}{n^{3/2}} + V \sum_{z < m < n \leq N} \frac{d(n)d(m)}{(mn)^{3/4}(\sqrt{n} - \sqrt{m})} \\ &\ll \frac{V^{3/2} \log^3 V}{z^{1/2}} + V \log^5 V, \end{aligned}$$

where we used the well known estimates

$$(3.2) \quad \sum_{n \leq u} d^2(n) \ll u \log^3 u,$$

$$\sum_{z < m < n \leq N} \frac{d(n)d(m)}{(mn)^{3/4}(\sqrt{n} - \sqrt{m})} \ll \log^5 N \ll \log^5 V.$$

For  $\int_2$  we have

$$\int_2 \ll V(V^{5/2+\varepsilon}N^{-1/2} + V^{-1/4}) \ll V^{7/2+\varepsilon}N^{-1/2} + V^{3/4} \ll V.$$

Now Lemma 3.1 follows from the above estimates. ■

By Cauchy’s inequality and Lemma 3.1 we get

$$(3.3) \quad \int_T^{2T} |R_2^{(k)}(x)|^2 dx = \int_T^{2T} \left| \sum_{d \leq y} \mu(d) d^{-1/2} d^{1/2} \Delta_2(x/d^k; z) \right|^2 dx$$

$$\ll \int_T^{2T} \left( \sum_{d \leq y} d^{-1} \right) \left( \sum_{d \leq y} d |\Delta_2(x/d^k; z)|^2 \right) dx$$

$$\ll \sum_{d \leq y} d \int_T^{2T} |\Delta_2(x/d^k; z)|^2 dx \log y \ll \sum_{d \leq y} d^{k+1} \int_{T/d^k}^{2T/d^k} |\Delta_2(u; z)|^2 du \log y$$

$$\ll \sum_{d \leq y} d^{k+1} ((T/d^k)^{3/2} z^{-1/2} \log^3 T + T d^{-k} \log^5 T) \log y$$

$$\ll T^{3/2} z^{-1/2} \sum_{d \leq y} d^{1-k/2} \log^4 T + T y^2 \log^6 T$$

$$\ll \begin{cases} T^{3/2} z^{-1/2} y^{1/2} \log^4 T + T y^2 \log^6 T & \text{if } k = 3, \\ T^{3/2} z^{-1/2} \log^5 T + T y^2 \log^6 T & \text{if } k \geq 4. \end{cases}$$

If  $k = 4$ , we take  $y = T^{1/4} e^{-c_2 \delta(T)}$ , where  $c_2 = c_1/4^{8/5}$ . It is easy to see that  $R_3^{(k)}(x) \ll T^{1/4} e^{-c_3 \delta(T)}$  holds for all  $T \leq x \leq 2T$ , where  $0 < c_3 < c_1/4^{8/5}$  is an absolute constant. Hence

$$(3.4) \quad \int_T^{2T} |R_3^{(4)}(x)|^2 dx \ll T^{3/2} e^{-2c_3 \delta(T)}.$$

If  $k \geq 5$ , then

$$(3.5) \quad \int_T^{2T} |R_3^{(k)}(x)|^2 dx \ll T^3 y^{2-2k}.$$

Now we consider the mean square of  $R_1^{(k)}(x)$ . By the elementary formula

$$\cos u \cos v = \frac{1}{2}(\cos(u - v) + \cos(u + v))$$

we may write

$$\begin{aligned} (3.6) \quad |R_1^{(k)}(x)|^2 &= \frac{x^{1/2}}{2\pi^2} \sum_{d_1, d_2 \leq y} \frac{\mu(d_1)\mu(d_2)}{(d_1 d_2)^{k/4}} \sum_{n_1, n_2 \leq z} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}} \\ &\quad \times \cos\left(4\pi\sqrt{\frac{n_1 x}{d_1^k}} - \frac{\pi}{4}\right) \cos\left(4\pi\sqrt{\frac{n_2 x}{d_2^k}} - \frac{\pi}{4}\right) \\ &= S_1(x) + S_2(x) + S_3(x), \end{aligned}$$

where

$$\begin{aligned} S_1(x) &= \frac{x^{1/2}}{4\pi^2} \sum_{\substack{d_1, d_2 \leq y; n_1, n_2 \leq z \\ n_1 d_2^k = n_2 d_1^k}} \frac{\mu(d_1)\mu(d_2)}{(d_1 d_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}}, \\ S_2(x) &= \frac{x^{1/2}}{4\pi^2} \sum_{\substack{d_1, d_2 \leq y; n_1, n_2 \leq z \\ n_1 d_2^k \neq n_2 d_1^k}} \frac{\mu(d_1)\mu(d_2)}{(d_1 d_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}} \\ &\quad \times \cos\left(4\pi\sqrt{x}\left(\sqrt{\frac{n_1}{d_1^k}} - \sqrt{\frac{n_2}{d_2^k}}\right)\right), \\ S_3(x) &= \frac{x^{1/2}}{4\pi^2} \sum_{d_1, d_2 \leq y; n_1, n_2 \leq z} \frac{\mu(d_1)\mu(d_2)}{(d_1 d_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}} \\ &\quad \times \sin\left(4\pi\sqrt{x}\left(\sqrt{\frac{n_1}{d_1^k}} + \sqrt{\frac{n_2}{d_2^k}}\right)\right). \end{aligned}$$

We have

$$(3.7) \quad \int_T^{2T} S_1(x) dx = \frac{B_k(y, z)}{4\pi^2} \int_T^{2T} x^{1/2} dx,$$

$$\text{where } B_k(y, z) := \sum_{\substack{d_1, d_2 \leq y; n_1, n_2 \leq z \\ n_1 d_2^k = n_2 d_1^k}} \frac{\mu(d_1)\mu(d_2)}{(d_1 d_2)^{k/4}} \frac{d(n_1)d(n_2)}{(n_1 n_2)^{3/4}}.$$

By the first derivative test we get

$$(3.8) \quad \int_T^{2T} S_2(x) dx \ll TE_k(y, z),$$

where

$$\begin{aligned} E_k(y, z) &= \sum_{\substack{d_1, d_2 \leq y; n_1, n_2 \leq z \\ n_1 d_2^k \neq n_2 d_1^k}} \frac{d(n_1)d(n_2)}{(d_1 d_2)^{k/4} (n_1 n_2)^{3/4}} \min\left(T^{1/2}, \frac{1}{|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}|}\right). \end{aligned}$$

By the first derivative test again we get

$$\begin{aligned}
 (3.9) \quad & \int_T^{2T} S_3(x) dx \\
 & \ll \sum_{d_1, d_2 \leq y; n_1, n_2 \leq z} \frac{d(n_1)d(n_2)}{(d_1d_2)^{k/4}(n_1n_2)^{3/4}} \frac{1}{|\sqrt{n_1/d_1^k} + \sqrt{n_2/d_2^k}|} \\
 & \ll \sum_{d_1, d_2 \leq y; n_1, n_2 \leq z} \frac{d(n_1)d(n_2)}{(d_1d_2)^{k/4}(n_1n_2)^{3/4}} \frac{1}{(\sqrt{n_1/d_1^k}\sqrt{n_2/d_2^k})^{1/2}} \\
 & \ll \sum_{d_1, d_2 \leq y; n_1, n_2 \leq z} \frac{d(n_1)d(n_2)}{n_1n_2} \ll y^2 \log^4 z,
 \end{aligned}$$

where the inequality  $ab \geq 2\sqrt{ab}$  and the estimate  $D(u) \ll u \log u$  were used. Now the problem is reduced to evaluating  $B_k(y, z)$  and estimating  $E_k(y, z)$ .

**4. Evaluation of  $B_k(y, z)$ .** We have

$$\begin{aligned}
 B_k(y, z) &= \sum_{\substack{d_1, d_2 \leq y; n_1, n_2 \leq z \\ n_1 d_2^k = n_2 d_1^k}} \frac{\mu(d_1)\mu(d_2)d(n_1)d(n_2)(d_1d_2)^{k/2}}{(n_1d_2^k n_2d_1^k)^{3/4}} \\
 &= \sum_{m \leq zy^k} g_k^2(m; y, z) m^{-3/2},
 \end{aligned}$$

where

$$g_k(m; y, z) := \sum_{\substack{m=nd^k \\ n \leq z, d \leq y}} \mu(d)d(n)d^{k/2}.$$

Let

$$g_k(m) = \sum_{m=nd^k} \mu(d)d(n)d^{k/2}, \quad g_0(m) = f_k(m) = \sum_{m=nd^k} d(n)d^{k/2}.$$

Let  $z_0 := \min(y, z)$ . Obviously,

$$\begin{aligned}
 g_k(m; y, z) &= g_k(m), \quad m \leq z_0, \\
 |g_k(m; y, z)| &\leq g_0(m), \quad |g_k(m)| \leq g_0(m), \quad m \geq 1.
 \end{aligned}$$

Thus

$$\begin{aligned}
 (4.1) \quad B_k(y, z) &= \sum_{m \leq z_0} g_k^2(m) m^{-3/2} + \sum_{z_0 < m \leq zy^k} g_k^2(m; y, z) m^{-3/2} \\
 &= \sum_{m \leq z_0} g_k^2(m) m^{-3/2} + O\left(\sum_{z_0 < m \leq zy^k} |g_0^2(m)| m^{-3/2}\right).
 \end{aligned}$$

For any  $1 < U < V < \infty$ , we shall estimate the sum

$$W_k(U, V) := \sum_{U < m \leq V} |g_0^2(m)| m^{-3/2}.$$



Obviously  $g_0(m)$  is a multiplicative function. So for  $m > 1$ , we have

$$g_0(m) = \prod_{p^\alpha \parallel m} g_0(p^\alpha).$$

If  $1 \leq \alpha \leq k - 1$ , then  $g_0(p^\alpha) = \alpha + 1$ , which implies that if  $n$  is  $k$ -free then  $g_0(n) = d(n)$ .

Now suppose  $ek \leq \alpha < (e + 1)k$  for some integer  $e \geq 1$ . It can be easily seen that if we write  $p^\alpha$  in the form  $p^\alpha = nd^k$ , then  $n = p^{\alpha-jk}, d = p^j, j = 0, 1, \dots, e$ . Then we have

$$\begin{aligned} g_0(p^\alpha) &= \sum_{j=0}^e (\alpha - jk + 1)p^{jk/2} = p^{ek/2} \sum_{j=0}^e (\alpha - jk + 1)p^{-(e-j)k/2} \\ &\leq (\alpha + 1)p^{ek/2} \sum_{j=0}^e p^{-(e-j)k/2} = (\alpha + 1)p^{ek/2} \sum_{j=0}^e p^{-jk/2} \\ &\leq (\alpha + 1)p^{ek/2} \sum_{j=0}^\infty 2^{-jk/2} \leq 2(\alpha + 1)p^{\alpha/2}, \end{aligned}$$

which implies that if  $l$  is  $k$ -full, then

$$g_0(l) \leq \prod_{p^\alpha \parallel l} 2(\alpha + 1)p^{\alpha/2} = 2^{\omega(l)} d(l)l^{1/2} \leq d^2(l)l^{1/2}.$$

Let  $\delta_{(k)}(n), \delta^{(k)}(n)$  denote the characteristic function of  $k$ -free and  $k$ -full numbers, respectively. Each integer  $m$  can be uniquely written as  $m = nl, (n, l) = 1, \delta_{(k)}(n) = 1, \delta^{(k)}(l) = 1$ . Thus

$$W_k(U, V) = \sum_{\substack{U < nl \leq V \\ (n, l) = 1}} g_0^2(n)g_0^2(l)\delta_{(k)}(n)\delta^{(k)}(l)(nl)^{-3/2} \ll \sum_4 + \sum_5,$$

where

$$\begin{aligned} \sum_4 &:= \sum_{l \leq U/3, U < nl \leq V} g_0^2(n)g_0^2(l)\delta_{(k)}(n)\delta^{(k)}(l)(nl)^{-3/2}, \\ \sum_5 &:= \sum_{l > U/3, U < nl \leq V} g_0^2(n)g_0^2(l)\delta_{(k)}(n)\delta^{(k)}(l)(nl)^{-3/2}. \end{aligned}$$

LEMMA 4.1. *We have the estimate*

$$(4.2) \quad \sum_{n \leq u} d^4(n)\delta^{(k)}(n) \ll u^{1/k} \log^{(k+1)^4-1} u, \quad u \geq 2.$$

*Proof.* For  $\Re s > 1/k$ , it is easy to show that

$$\sum_{n=1}^\infty d^4(n)\delta^{(k)}(n)n^{-s} = \zeta^{(k+1)^4}(ks)G_k(s),$$

where  $G_k(s)$  is absolutely convergent for  $\Re s > 1/(1+k)$ . Hence (4.2) follows. ■

By (3.2), partial summation and Lemma 4.1 we have

$$\begin{aligned} \sum_4 &\ll \sum_{l \leq U/3} g_0^2(l) \delta^{(k)}(l) l^{-3/2} \sum_{U/l < n \leq V/l} g_0^2(n) n^{-3/2} \\ &\ll \sum_{l \leq U/3} g_0^2(l) \delta^{(k)}(l) l^{-3/2} (U/l)^{-1/2} \log^3 U \ll U^{-1/2} \log^3 U \sum_{l \leq U/3} d^4(l) \delta^{(k)}(l) \\ &\ll U^{-1/2+1/k} \log^{(k+1)^4+2} U, \\ \sum_5 &\ll \sum_{l > U/3} g_0^2(l) \delta^{(k)}(l) l^{-3/2} \sum_n g_0^2(n) n^{-3/2} \ll \sum_{l > U/3} d^4(l) \delta^{(k)}(l) l^{-1/2} \\ &\ll U^{-1/2+1/k} \log^{(k+1)^4+2} U. \end{aligned}$$

Thus

$$(4.3) \quad W_k(U, V) \ll U^{-1/2+1/k} \log^{(k+1)^4+2} U.$$

From (4.1) and (4.3) we immediately get

$$(4.4) \quad B_k(y, z) = \sum_{m=1}^{\infty} g_k^2(m) m^{-3/2} + O(z_0^{-1/2+1/k} \log^{(k+1)^4+2} z_0).$$

**5. Estimation of  $E_k(y, z)$ .** By a splitting argument, we have

$$(5.1) \quad E_k(y, z) \ll E_k(D_1, D_2, N_1, N_2) z^\varepsilon \log^2 y$$

for some  $(D_1, D_2, N_1, N_2)$  with  $1 \ll D_j \ll y, 1 \ll N_j \ll z, j = 1, 2$ , where

$$\begin{aligned} &E_k(D_1, D_2, N_1, N_2) \\ &= \sum \frac{1}{(d_1 d_2)^{k/4} (n_1 n_2)^{3/4}} \min \left( T^{1/2}, \frac{1}{|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}|} \right), \\ &\text{SC} \left( \sum \right) : d_1 \sim D_1, d_2 \sim D_2, n_1 \sim N_1, n_2 \sim N_2, n_1 d_2^k \neq n_2 d_1^k. \end{aligned}$$

We write

$$\begin{aligned} &E_k(D_1, D_2, N_1, N_2) \\ &= \sum_6 \frac{1}{(d_1 d_2)^{k/4} (n_1 n_2)^{3/4}} \min \left( T^{1/2}, \frac{1}{|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}|} \right) \\ &\quad + \sum_7 \frac{1}{(d_1 d_2)^{k/4} (n_1 n_2)^{3/4}} \min \left( T^{1/2}, \frac{1}{|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}|} \right), \end{aligned}$$

where

$$\begin{aligned} \text{SC}\left(\sum_6\right) : d_1 \sim D_1, d_2 \sim D_2, n_1 \sim N_1, n_2 \sim N_2, \\ \left|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}\right| \geq (\sqrt{n_1/d_1^k} \sqrt{n_2/d_2^k})^{1/2}/10, \\ \text{SC}\left(\sum_7\right) : d_1 \sim D_1, d_2 \sim D_2, n_1 \sim N_1, n_2 \sim N_2, \\ \left|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}\right| < (\sqrt{n_1/d_1^k} \sqrt{n_2/d_2^k})^{1/2}/10. \end{aligned}$$

Trivially we have

$$\begin{aligned} (5.2) \quad \sum_6 &\ll \sum_{\substack{d_j \sim D_j, n_j \sim N_j \\ j=1,2}} \frac{1}{(d_1 d_2)^{k/4} (n_1 n_2)^{3/4}} \left( \sqrt{\frac{n_1}{d_1^k}} \sqrt{\frac{n_2}{d_2^k}} \right)^{-1/2} \\ &\ll D_1 D_2 \ll y^2. \end{aligned}$$

Suppose  $\delta > 0$ , and let  $\mathcal{A}(D_1, D_2, N_1, N_2; \delta)$  denote the number of solutions of inequality

$$(5.3) \quad \left|\sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}\right| \leq \delta, \quad d_1 \sim D_1, \quad d_2 \sim D_2, \quad n_1 \sim N_1, \quad n_2 \sim N_2.$$

In order to estimate  $\sum_7$ , we need an upper bound of  $\mathcal{A}(D_1, D_2, N_1, N_2; \delta)$ .

LEMMA 5.1. *We have*

$$\begin{aligned} \mathcal{A}(D_1, D_2, N_1, N_2; \delta) &\ll \delta (D_1 D_2)^{1+k/4} (N_1 N_2)^{3/4} \\ &\quad + (D_1 D_2 N_1 N_2)^{1/2} \log(2D_1 D_2 N_1 N_2), \end{aligned}$$

where the implied constant is absolute.

*Proof.* We shall use an idea of Fouvry and Iwaniec [3]. Suppose  $u$  and  $v$  are two positive integers and let  $\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta)$  denote the number of solutions of inequality (5.3) with  $(n_1, n_2) = u$ ,  $(d_1, d_2) = v$ . Set  $n_j = m_j u$ ,  $d_j = l_j v$  ( $j = 1, 2$ ); then  $(m_1, m_2, l_1, l_2)$  satisfies

$$(5.4) \quad \left|\sqrt{m_1/m_2} - \sqrt{l_1^k/l_2^k}\right| \leq 2^{k/2} \delta D_1^{k/2} N_2^{-1/2},$$

$$(5.5) \quad \left|\sqrt{m_2/m_1} - \sqrt{l_2^k/l_1^k}\right| \leq 2^{k/2} \delta D_2^{k/2} N_1^{-1/2}.$$

It is easy to show that  $\sqrt{m_1/m_2}$  is  $u^2 N_2^{-3/2} N_1^{-1/2}$ -spaced, so from (5.4) we get

$$\begin{aligned} \mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) &\ll \frac{D_1 D_2}{v^2} \left( 1 + \frac{\delta D_1^{k/2} N_2 N_1^{1/2}}{u^2} \right) \\ &\ll \frac{D_1 D_2}{v^2} + \frac{\delta D_1 D_2 D_1^{k/2} N_2 N_1^{1/2}}{u^2 v^2}. \end{aligned}$$

Similarly, since  $\sqrt{m_2/m_1}$  is  $u^2 N_1^{-3/2} N_2^{-1/2}$ -spaced, from (5.5) we get

$$\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) \ll \frac{D_1 D_2}{v^2} + \frac{\delta D_1 D_2 D_2^{k/2} N_1 N_2^{1/2}}{u^2 v^2}.$$

The above two estimates imply

$$\begin{aligned} (5.6) \quad \mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) &\ll \frac{D_1 D_2}{v^2} + \frac{\delta D_1 D_2}{u^2 v^2} \min(D_1^{k/2} N_2 N_1^{1/2}, D_2^{k/2} N_1 N_2^{1/2}) \\ &\ll \frac{D_1 D_2}{v^2} + \frac{\delta (D_1 D_2)^{1+k/4} (N_1 N_2)^{3/4}}{u^2 v^2} \end{aligned}$$

if we note that  $\min(a, b) \leq a^{1/2} b^{1/2}$ .

It is easy to show that  $(l_1/l_2)^{k/2}$  is  $v^2 D_2^{-2} (D_1/D_2)^{k/2-1}$ -spaced, and so from (5.4) we get

$$\begin{aligned} \mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) &\ll \frac{N_1 N_2}{u^2} (1 + \delta D_1^{k/2} N_2^{-1/2} v^{-2} D_2^2 (D_1/D_2)^{-k/2+1}) \\ &\ll \frac{N_1 N_2}{u^2} + \frac{\delta D_1 D_2 D_2^{k/2} N_1 N_2^{1/2}}{u^2 v^2}. \end{aligned}$$

Similarly from (5.5) we get

$$\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) \ll \frac{N_1 N_2}{u^2} + \frac{\delta D_1 D_2 D_1^{k/2} N_2 N_1^{1/2}}{u^2 v^2}.$$

From the above two estimates we have

$$\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) \ll \frac{N_1 N_2}{u^2} + \frac{\delta (D_1 D_2)^{1+k/4} (N_1 N_2)^{3/4}}{u^2 v^2},$$

which combined with (5.6) gives

$$\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta) \ll \frac{\delta (D_1 D_2)^{1+k/4} (N_1 N_2)^{3/4}}{u^2 v^2} + \min\left(\frac{N_1 N_2}{u^2}, \frac{D_1 D_2}{v^2}\right).$$

Summing over  $u$  and  $v$  completes the proof of Lemma 5.1. ■

Now we estimate  $\sum_7$ . Let  $\Omega = \sqrt{n_1/d_1^k} - \sqrt{n_2/d_2^k}$ . By Lemma 5.1 the contribution of  $T^{1/2}$  is (note that  $|\Omega| \leq T^{-1/2}$ )

$$\begin{aligned} &\ll \frac{T^{1/2}}{(D_1 D_2)^{k/4} (N_1 N_2)^{3/4}} \mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; T^{-1/2}) \\ &\ll \frac{T^{1/2} \log T}{(D_1 D_2)^{k/4-1/2} (N_1 N_2)^{1/4}} + D_1 D_2. \end{aligned}$$

Divide the remaining range into  $O(\log T)$  intervals of the form  $T^{-1/2} < \delta <$

$|\Omega| \leq 2\delta$ . By Lemma 5.1 again we find that the contribution of  $1/|\Omega|$  is

$$\begin{aligned} &\ll \log T \max_{\delta > T^{-1/2}} \frac{\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; 2\delta)}{(D_1 D_2)^{k/4} (N_1 N_2)^{3/4} \delta} \\ &\ll \frac{T^{1/2} \log^2 T}{(D_1 D_2)^{k/4-1/2} (N_1 N_2)^{1/4}} + D_1 D_2 \log T. \end{aligned}$$

From the above two estimates we get

$$(5.7) \quad \sum_7 \ll \frac{T^{1/2} \log^2 T}{(D_1 D_2)^{k/4-1/2} (N_1 N_2)^{1/4}} + y^2 \log T.$$

Now we give another estimate of  $\sum_7$ . By noting that  $\sqrt{n_1/d_1^k} \asymp \sqrt{n_2/d_2^k}$  we get

$$\begin{aligned} \frac{1}{|\Omega|} &= \frac{\sqrt{n_1/d_1^k} + \sqrt{n_2/d_2^k}}{|n_1/d_1^k - n_2/d_2^k|} \ll \frac{(d_1 d_2)^k (\sqrt{n_1/d_1^k} + \sqrt{n_2/d_2^k})}{|n_1 d_2^k - n_2 d_1^k|} \\ &\ll (d_1 d_2)^k (\sqrt{n_1/d_1^k} \sqrt{n_2/d_2^k})^{1/2} \ll (d_1 d_2)^{3k/4} (n_1 n_2)^{1/4} \\ &\ll (D_1 D_2)^{3k/4} (N_1 N_2)^{1/4}. \end{aligned}$$

The range of  $\Omega$  can be divided into  $O(\log T)$  intervals of the form

$$(D_1 D_2)^{-3k/4} (N_1 N_2)^{-1/4} \ll \delta \leq |\Omega| \leq 2\delta.$$

By Lemma 5.1 we have

$$\begin{aligned} (5.8) \quad \sum_7 &\ll \frac{1}{(D_1 D_2)^{k/4} (N_1 N_2)^{3/4}} \sum_{\Omega} \frac{1}{|\Omega|} \\ &\ll \frac{\log T}{(D_1 D_2)^{k/4} (N_1 N_2)^{3/4}} \max_{\delta} \frac{\mathcal{A}_{u,v}(D_1, D_2, N_1, N_2; \delta)}{\delta} \\ &\ll (D_1 D_2)^{(k+1)/2} \log^2 T \end{aligned}$$

if we note that  $\delta \gg (D_1 D_2)^{-3k/4} (N_1 N_2)^{-1/4}$ .

From (5.7) and (5.8) we get

$$\begin{aligned} (5.9) \quad \sum_7 &\ll y^2 \log T \\ &\quad + \min \left( \frac{T^{1/2}}{(D_1 D_2)^{k/4-1/2} (N_1 N_2)^{1/4}}, (D_1 D_2)^{(k+1)/2} \right) \log^2 T \\ &\ll y^2 \log T \\ &\quad + \left( \frac{T^{1/2}}{(D_1 D_2)^{k/4-1/2} (N_1 N_2)^{1/4}} \right)^{(2k+2)/3k} ((D_1 D_2)^{(k+1)/2})^{(k-2)/3k} \log^2 T \\ &\ll y^2 \log T + T^{(k+1)/3k} \log^2 T. \end{aligned}$$

Finally, from (5.1), (5.2) and (5.9) we have

$$(5.10) \quad E_k(y, z) \ll y^2 z^\varepsilon \log^4 T + T^{(k+1)/3k} z^\varepsilon \log^4 T.$$

**6. Proof of Theorem 1 (completion).** First consider the case  $k = 4$ . Take  $z = e^{10c_3\delta(T)}$ , where  $c_3$  was the constant in (3.4). From (3.3) and (3.4) we get

$$\int_T^{2T} |R_2^{(4)}(x) + R_3^{(4)}(x)|^2 dx \ll T^{3/2} e^{-2c_3\delta(T)}.$$

From (3.6)–(3.9), (4.4) and (5.10) we get

$$\begin{aligned} \int_T^{2T} |R_1^{(4)}(x)|^2 dx &= \frac{B_4}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2} z_0^{-1/4} \log^{627} T) \\ &\quad + O(Ty^2 z^\varepsilon \log^5 T + T^{17/12} z^\varepsilon \log^6 T) \\ &= \frac{B_4}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2} e^{-2c_3\delta(T)}). \end{aligned}$$

The above two estimates and Cauchy’s inequality yield

$$\int_T^{2T} R_1^{(4)}(x)(R_2^{(4)}(x) + R_3^{(4)}(x)) dx \ll T^{3/2} e^{-c_3\delta(T)}.$$

From the above three estimates we obtain

$$\begin{aligned} (6.1) \quad &\int_T^{2T} |\Delta^{(4)}(x)|^2 dx \\ &= \int_T^{2T} |R_1^{(4)}(x)|^2 dx + 2 \int_T^{2T} R_1^{(4)}(x)(R_2^{(4)}(x) + R_3^{(4)}(x)) dx \\ &\quad + \int_T^{2T} |R_2^{(4)}(x) + R_3^{(4)}(x)|^2 dx \\ &= \frac{B_4}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2} e^{-c_3\delta(T)}), \end{aligned}$$

which implies the case  $k = 4$  of Theorem 1.

Now suppose  $k \geq 5$ . Take  $z = T^{1-\varepsilon}$ . From (3.3) and (3.5) we get

$$\int_T^{2T} |R_2^{(k)}(x) + R_3^{(k)}(x)|^2 dx \ll T^{1+\varepsilon} y^2 + T^3 y^{2-2k}.$$

From (3.6)–(3.9), (4.4) and (5.10) we get

$$\int_T^{2T} |R_1^{(k)}(x)|^2 dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2+\varepsilon}y^{1/k-1/2}) \\ + O(T^{1+\varepsilon}y^2 + T^{1+(k+1)/3k+\varepsilon}).$$

The above two estimates imply

$$\int_T^{2T} R_1^{(k)}(x)(R_2^{(k)}(x) + R_3^{(k)}(x)) dx \ll T^{5/4+\varepsilon}y + T^{9/4}y^{1-k}.$$

From the above three estimates we deduce

$$\int_T^{2T} |\Delta^{(k)}(x)|^2 dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{1+(k+1)/3k+\varepsilon}) \\ + O(T^{5/4+\varepsilon}y + T^{9/4}y^{1-k} + T^{3/2+\varepsilon}y^{1/k-1/2}).$$

Now on taking  $y = T^{1/5}$  if  $k = 5$  and  $y = T^{1/k-\varepsilon}$  if  $k \geq 6$ , we get

$$(6.2) \quad \int_T^{2T} |\Delta^{(k)}(x)|^2 dx = \frac{B_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{\delta_k+\varepsilon}),$$

where  $\delta_k$  was defined in Section 1. The case  $k \geq 5$  of Theorem 1 now follows from equation (6.2).

**7. An expression for  $\Delta(1, 1, k; x)$ .** In order to prove Theorem 2, we give an expression for  $\Delta(1, 1, k; x)$ . We write

$$(7.1) \quad D(1, 1, k; x) = \sum_{nd^k \leq x} d(n) \\ = \sum_{d \leq y} D(x/d^k) + \sum_{n \leq x/y^k} d(n)[(x/n)^{1/k}] - D(x/y^k)[y] \\ = \sum_8 + \sum_9 - \sum_{10},$$

say, where  $x^\varepsilon \ll y \ll x^{1/k-\varepsilon}$  is a parameter.

We write  $\sum_8$  as

$$\sum_8 = \sum_{d \leq y} \left( \frac{x}{d^k} \log \frac{x}{d^k} + (2\gamma - 1) \frac{x}{d^k} + \Delta\left(\frac{x}{d^k}\right) \right) \\ = x \log x \sum_{d \leq y} \frac{1}{d^k} - kx \sum_{d \leq y} \frac{\log d}{d^k} + (2\gamma - 1)x \sum_{d \leq y} \frac{1}{d^k} + \sum_{d \leq y} \Delta\left(\frac{x}{d^k}\right).$$

By the well known Euler–Maclaurin formula we have

$$\begin{aligned} \sum_{d \leq y} \frac{1}{d^k} &= \zeta(k) - \sum_{d > y} \frac{1}{d^k} = \zeta(k) - \frac{y^{1-k}}{k-1} - \psi(y)y^{-k} + O(y^{-k-1}), \\ \sum_{d \leq y} \frac{\log d}{d^k} &= -\zeta'(k) - \sum_{d > y} \frac{\log d}{d^k} \\ &= -\zeta'(k) + \frac{y^{1-k} \log y}{1-k} - \frac{y^{1-k}}{(k-1)^2} - \frac{\psi(y) \log y}{y^k} + O(y^{-k-1} \log y). \end{aligned}$$

From the above three formulas we get

$$\begin{aligned} (7.2) \quad \sum_8 &= \zeta(k)x \log x - \frac{xy^{1-k} \log x}{k-1} - \psi(y)xy^{-k} \log x \\ &\quad + k\zeta'(k)x - \frac{kxy^{1-k} \log y}{1-k} + \frac{kxy^{1-k}}{(k-1)^2} + \frac{kx\psi(y) \log y}{y^k} \\ &\quad + (2\gamma - 1)\zeta(k)x - (2\gamma - 1) \frac{xy^{1-k}}{k-1} - (2\gamma - 1)\psi(y)xy^{-k} \\ &\quad + \sum_{d \leq y} \Delta\left(\frac{x}{d^k}\right) + O(xy^{-k-1} \log x). \end{aligned}$$

We write

$$\begin{aligned} \sum_9 &= \sum_{n \leq x/y^k} d(n)((x/n)^{1/k} - 1/2 - \psi((x/n)^{1/k})) \\ &= x^{1/k} \sum_{n \leq x/y^k} d(n)n^{-1/k} - \frac{1}{2} D(xy^{-k}) - \sum_{n \leq x/y^k} d(n)\psi((x/n)^{1/k}). \end{aligned}$$

By partial summation we get (with  $M = xy^{-k}$ )

$$\begin{aligned} \sum_{n \leq M} d(n)n^{-1/k} &= \int_{1^-}^M \frac{dD(u)}{u^{1/k}} = \int_{1^-}^M \frac{d(u \log u + (2\gamma - 1)u)}{u^{1/k}} + \int_{1^-}^M \frac{d\Delta(u)}{u^{1/k}} \\ &= \int_1^M \frac{\log u + 1 + 2\gamma - 1}{u^{1/k}} du + \frac{\Delta(M)}{M^{1/k}} + \frac{1}{k} \int_1^M \frac{\Delta(u)}{u^{1+1/k}} du \\ &= \zeta^2(1/k) + \frac{M^{1-1/k} \log M}{1-1/k} - \frac{M^{1-1/k}}{(1-1/k)^2} + \frac{M^{1-1/k}}{1-1/k} + (2\gamma - 1) \frac{M^{1-1/k}}{1-1/k} \\ &\quad + \Delta(M)M^{-1/k} + O(M^{-1/k}), \end{aligned}$$

where we used the estimate



$$\int_M^\infty \frac{\Delta(u)}{u^{1+1/k}} du \ll M^{-1/k},$$

which follows from the well known estimate  $\int_1^t \Delta(u) du \ll t$ .

From the above two formulas we get

$$(7.3) \quad \begin{aligned} \sum_9 &= \zeta^2(1/k)x^{1/k} + \frac{xy^{1-k} \log xy^{-k}}{1-1/k} - \frac{xy^{1-k}}{(1-1/k)^2} + \frac{xy^{1-k}}{1-1/k} \\ &+ (2\gamma-1) \frac{xy^{1-k}}{1-1/k} + y\Delta(xy^{-k}) - \frac{1}{2} D(xy^{-k}) \\ &- \sum_{n \leq x/y^k} d(n)\psi((x/n)^{1/k}) + O(y). \end{aligned}$$

For  $\sum_{10}$  we have

$$(7.4) \quad \begin{aligned} -\sum_{10} &= \psi(y)xy^{-k} \log xy^{-k} + (2\gamma-1)\psi(y)xy^{-k} + \psi(y)\Delta(xy^{-k}) \\ &+ \frac{1}{2} D(xy^{-k}) - xy^{1-k} \log xy^{-k} - (2\gamma-1)xy^{1-k} - y\Delta(xy^{-k}). \end{aligned}$$

From (7.1)–(7.4) we get

$$\begin{aligned} \Delta(1, 1, k; x) &= \sum_{d \leq y} \Delta(x/y^k) - \sum_{n \leq x/y^k} d(n)\psi((x/n)^{1/k}) + O(y) \\ &+ O(xy^{-k-1} \log x) + O(|\Delta(xy^{-k})|). \end{aligned}$$

From  $\Delta(u) \ll u^{1/3}$  we obtain

$$|\Delta(xy^{-k})| \ll x^{1/3}y^{-k/3} \ll y + xy^{-k-1}.$$

Thus we deduce the following lemma.

LEMMA 7.1. *Suppose  $x^\varepsilon \ll y \ll x^{1/k-\varepsilon}$ . Then*

$$\begin{aligned} \Delta(1, 1, k; x) &= \sum_{d \leq y} \Delta(x/y^k) - \sum_{n \leq x/y^k} d(n)\psi((x/n)^{1/k}) + O(xy^{-k-1} \log x) + O(y). \end{aligned}$$

**8. Proof of Theorem 2.** It suffices to evaluate  $\int_T^{2T} \Delta^2(1, 1, k; x) dx$  for large  $T$ . Suppose  $T^\varepsilon \ll y \ll T^{1/k-\varepsilon}$  is a parameter to be determined later and  $z = T^{1-\varepsilon}$ . For simplicity, we write  $\mathcal{L} = \log T$  in this section. Similar to (3.1), by Lemma 7.1 we may write

$$(8.1) \quad \Delta(1, 1, k; x) = R_{1,k}(x) + R_{2,k}(x) - R_{3,k}(x),$$

where

$$R_{1,k}(x) := \frac{x^{1/4}}{\pi\sqrt{2}} \sum_{d \leq y} \frac{1}{d^{k/4}} \sum_{n \leq z} \frac{d(n)}{n^{3/4}} \cos\left(4\pi\sqrt{\frac{nx}{d^k}} - \frac{\pi}{4}\right),$$

$$R_{2,k}(x) := \sum_{d \leq y} \Delta_2(x/d^k; z),$$

$$R_{3,k}(x) := \sum_{n \leq x/y^k} d(n)\psi((x/n)^{1/k}) + O(xy^{-k-1} \log x) + O(y).$$

Similar to the mean square of  $R_1^{(k)}(x)$ , we can prove that

$$(8.2) \quad \int_T^{2T} |R_{1,k}(x)|^2 dx = \frac{C_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + O(T^{3/2+\varepsilon}y^{1/k-1/2}) + O(T^{1+\varepsilon}y^2 + T^{1+(k+1)/3k+\varepsilon}).$$

From (3.3) we have

$$(8.3) \quad \int_T^{2T} |R_{2,k}(x)|^2 dx \ll Ty^2\mathcal{L}^6.$$

Now we study the mean square of

$$S(x) = \sum_{n \leq x/y^k} d(n)\psi((x/n)^{1/k}).$$

Let  $J = [\log^{-1} 2 \log(Ty^{-k}\mathcal{L}^{-1})]$ . Then  $J \ll \mathcal{L}$  and we may write

$$S(x) = \sum_{j=0}^J S_j(x) + O(\mathcal{L}^2), \quad S_j(x) := \sum_{x2^{-j-1}y^{-k} < n \leq x2^{-j}y^{-k}} d(n)\psi((x/n)^{1/k}).$$

Let  $1/T \ll \eta < 1/10$  be a real number and let  $\eta T = N$ . Let

$$M(x, \eta) := \sum_{\eta x < n \leq 2\eta x} d(n)\psi((x/n)^{1/k}).$$

Then  $S_j(x) = M(x, 2^{-j-1}y^{-k})$ ,  $j = 0, 1, \dots, J$ . We shall study the integral  $\int_T^{2T} M^2(x, \eta) dx$ .

According to Vaaler [16], we may write

$$\psi(t) = \sum_{1 \leq |h| \leq N} a(h)e(ht) + O\left(\sum_{|h| \leq N} b(h)e(ht)\right)$$

with  $a(h) \ll 1/|h|$ ,  $b(h) \ll 1/N$ . Thus

$$\begin{aligned} M(x, \eta) &= \sum_{1 \leq |h| \leq N} a(h) \sum_{\eta x < n \leq 2\eta x} d(n)e(h(x/n)^{1/k}) \\ &\quad + O\left(\sum_{|h| \leq N} b(h) \sum_{\eta x < n \leq 2\eta x} d(n)e(h(x/n)^{1/k})\right) \\ &\ll 1 + \sum_{1 \leq h \leq N} h^{-1/2} h^{-1/2} \left| \sum_{\eta x < n \leq 2\eta x} d(n)e(h(x/n)^{1/k}) \right|. \end{aligned}$$

By Cauchy's inequality we get

$$M^2(x, \eta) \ll 1 + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \left| \sum_{\eta x < n \leq 2\eta x} d(n)e(h(x/n)^{1/k}) \right|^2.$$

Integrating, squaring out and then applying the first derivative test we get

$$\begin{aligned} \int_T^{2T} M^2(x, \eta) dx &\ll T + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \int_T^{2T} \left| \sum_{\eta x < n \leq 2\eta x} d(n)e(h(x/n)^{1/k}) \right|^2 dx \\ &= T + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \int_T^{2T} \sum_{\eta x < n \leq 2\eta x} d^2(n) dx \\ &\quad + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \int_T^{2T} \sum_{\substack{\eta x < n, m \leq 2\eta x \\ m \neq n}} d(m)d(n)e(hx^{1/k}(m^{-1/k} - n^{-1/k})) dx \\ &= O(TN\mathcal{L}^5) \\ &\quad + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \sum_{\substack{N < n, m \leq 4N \\ m \neq n}} d(m)d(n) \int_{I(m, n)} e(hx^{1/k}(m^{-1/k} - n^{-1/k})) dx \\ &\ll TN\mathcal{L}^5 + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \sum_{\substack{N < n, m \leq 4N \\ m \neq n}} \frac{T^{1-1/k}d(n)d(m)}{h|m^{-1/k} - n^{-1/k}|} \\ &\ll TN\mathcal{L}^5 + \sum_{1 \leq h \leq N} \frac{\mathcal{L}}{h} \sum_{\substack{N < n, m \leq 4N \\ m \neq n}} \frac{T^{1-1/k}N^{1+1/k}d(n)d(m)}{h|m - n|} \\ &\ll TN\mathcal{L}^5 + T^{1-1/k}N^{2+1/k}\mathcal{L}^5, \end{aligned}$$

where  $I(m, n)$  is a subinterval of  $[T, 2T]$ .

From Cauchy’s inequality and the above estimate we get

$$\int_T^{2T} S^2(x) dx \ll \int_T^{2T} \left| \sum_{j=0}^J S_j(x) \right|^2 dx + T\mathcal{L}^2 \ll \mathcal{L} \sum_{j=0}^J \int_T^{2T} |S_j(x)|^2 dx + T\mathcal{L}^2$$

$$\ll (T^2y^{-k} + T^3y^{-2k-1})\mathcal{L}^6,$$

which implies that

$$(8.4) \quad \int_T^{2T} R_{3,k}^2(x) dx \ll (T^2y^{-k} + T^3y^{-2k-1})\mathcal{L}^6 + Ty^2.$$

From (8.2)–(8.4) and Cauchy’s inequality we get

$$(8.5) \quad \int_T^{2T} R_{1,k}(x)(R_{2,k}(x) + R_{3,k}(x)) dx$$

$$\ll T^{5/4}y\mathcal{L}^3 + T^{7/4}y^{-k/2}\mathcal{L}^3 + T^{9/4}y^{-k-1/2}\mathcal{L}^3.$$

From (8.1)–(8.5) we get

$$\int_T^{2T} \Delta^2(1, 1, k; x) dx = \frac{C_k}{4\pi^2} \int_T^{2T} x^{1/2} dx$$

$$+ O(T^{5/4}y\mathcal{L}^3 + T^{7/4}y^{-k/2}\mathcal{L}^3 + T^{9/4}y^{-k-1/2}\mathcal{L}^3)$$

$$+ O(T^{3/2}y^{1/k-1/2}\mathcal{L}^{(k+1)^4+2} + T^{1+(k+1)/3k+\varepsilon}).$$

Now on taking

$$y = \begin{cases} T^{2/9} & \text{if } k = 3, \\ T^{1/5}\mathcal{L}^{2496/5} & \text{if } k = 4, \\ T^{5/26}\mathcal{L}^{10(6^4-1)/13} & \text{if } k = 5, \\ T^{1/k-\varepsilon} & \text{if } k \geq 6 \end{cases}$$

we get

$$(8.6) \quad \int_T^{2T} \Delta^2(1, 1, k; x) dx$$

$$= \frac{C_k}{4\pi^2} \int_T^{2T} x^{1/2} dx + \begin{cases} O(T^{53/36}\mathcal{L}^3) & \text{if } k = 3, \\ O(T^{29/20}\mathcal{L}^{503}) & \text{if } k = 4, \\ O(T^{75/52}\mathcal{L}^{1000}) & \text{if } k = 5, \\ O(T^{3/2-1/2k+1/k^2+\varepsilon}) & \text{if } k \geq 6. \end{cases}$$

Theorem 2 follows from (8.6) immediately.

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