

**Algebraic continued fractions in  $\mathbb{F}_q((T^{-1}))$   
and recurrent sequences in  $\mathbb{F}_q$**

by

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*Mit den besten Wünschen für Wolfgang Schmidt  
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**1. Introduction.** Formal power series over a finite field are analogues of real numbers. Like quadratic real numbers, for which the continued fraction expansion is well known, certain algebraic power series have a continued fraction expansion which can be explicitly described. Most of these power series belong to a particular subset of algebraic elements related to the existence of the Frobenius isomorphism in these power series fields. The reader may consult [BL] for further information on these elements, called hyperquadratic. In a recent work [L1] we have introduced a family of hyperquadratic elements having a continued fraction expansion with a regular pattern. This expansion is linked to particular sequences in a finite field. Here we complete the study of these sequences. It is also worth mentioning that, in an unexpected way, the present work sheds a new light on an older one [LR].

We are concerned with power series over a finite field  $\mathbb{F}_q$  of odd characteristic  $p$ . Given a formal indeterminate  $T$ , we consider the ring of polynomials  $\mathbb{F}_q[T]$  and the field of rational functions  $\mathbb{F}_q(T)$ . Then if  $|T|$  is a fixed real number greater than one, we introduce the ultrametric absolute value defined on the field  $\mathbb{F}_q(T)$  by  $|P/Q| = |T|^{\deg(P) - \deg(Q)}$ . The completion of this field for this absolute value is the field of power series in  $1/T$  over  $\mathbb{F}_q$ , which is often denoted by  $\mathbb{F}_q((T^{-1}))$ , and here simply by  $\mathbb{F}(q)$ . If  $\alpha \in \mathbb{F}(q)$  and  $\alpha \neq 0$ , we have

$$\alpha = \sum_{k \leq k_0} u_k T^k, \quad \text{where } k_0 \in \mathbb{Z}, u_k \in \mathbb{F}_q, u_{k_0} \neq 0 \quad \text{and} \quad |\alpha| = |T|^{k_0}.$$

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We know that each irrational element  $\alpha$  of  $\mathbb{F}(q)$  can be expanded as an infinite continued fraction. This will be denoted by  $\alpha = [a_1, \dots, a_n, \dots]$ , where the  $a_i \in \mathbb{F}_q[T]$  are non-constant polynomials (except possibly for the first one) and are called the *partial quotients* of  $\alpha$ . As usual the tail of the expansion,  $[a_n, a_{n+1}, \dots]$ , called the *complete quotient*, is denoted by  $\alpha_n$ , where  $\alpha_1 = \alpha$ . The numerator and the denominator of the truncated expansion  $[a_1, a_2, \dots, a_n]$ , which is called a *convergent*, are denoted by  $x_n$  and  $y_n$ . These polynomials, called *continuants*, are both defined by the same recursive relation:  $K_n = a_n K_{n-1} + K_{n-2}$  for  $n \geq 2$ , with the initial conditions  $x_0 = 1$  and  $x_1 = a_1$  for the sequence of numerators, and  $y_0 = 0$  and  $y_1 = 1$  for the sequence of denominators. For a general account of continued fractions in power series fields and also for numerous references the reader may consult W. Schmidt's article [S].

In this note we consider continued fraction expansions for algebraic power series over a finite field. We recall that the first works in this area are due to L. Baum and M. Sweet [BS] and later to W. Mills and D. Robbins [MR]. The problem discussed here has been introduced in [L1]. In the next section we present the background of this problem and we state a technical lemma to go from characteristic zero to positive characteristic. In the third section, in Proposition A, we define a large class of algebraic continued fractions in the fields  $\mathbb{F}(q)$ . In the fourth section we state the main result, Theorem B, which gives an explicit description of these continued fractions under certain conditions. We also present an illustration in the field of power series over  $\mathbb{F}_{27}$ , which is Corollary C. At the end of this section we state a conjecture concerning a family of irreducible polynomials over  $\mathbb{F}_p$ . The last section is dedicated to the proof of Theorem B and its corollary.

**2. A special pair of polynomials.** For each integer  $k \geq 1$ , we consider the following pair of polynomials in  $\mathbb{Q}[T]$ :

$$P_k(T) = (T^2 - 1)^k \quad \text{and} \quad Q_k(T) = \int_0^T (x^2 - 1)^{k-1} dx.$$

We have the following finite continued fraction expansions in  $\mathbb{Q}(T)$ :

$$P_1(T)/Q_1(T) = [T, -T], \quad P_2(T)/Q_2(T) = [3T, T/3, -3T/4, -4T/3],$$

and more generally

$$(1) \quad P_k/Q_k = [v_{1,k}T, \dots, v_{i,k}T, \dots, v_{2k,k}T],$$

where the rational numbers  $v_{i,k}$  for  $k \geq 1$  and  $1 \leq i \leq 2k$  are defined by  $v_{1,k} = 2k - 1$  and recursively, for  $1 \leq i \leq 2k - 1$ , by

$$(2) \quad v_{i+1,k}v_{i,k} = (2k - 2i - 1)(2k - 2i + 1)(i(2k - i))^{-1}.$$

This continued fraction expansion for  $P_k/Q_k$  has been established in [L1]. Moreover, we consider the rational numbers

$$(3) \quad \theta_k = (-1)^k 2^{-2k} \binom{2k}{k} \quad \text{and} \quad \omega_k = -(2k\theta_k)^{-2} \quad \text{for } k \geq 1.$$

These rational numbers were introduced in [L1] in connection with the pair  $(P_k, Q_k)$ . Indeed, we have  $Q_k(1) = -(2k\theta_k)^{-1}$  and also

$$(4) \quad v_{2k+1-i,k} = v_{i,k} \omega_k^{(-1)^{i+1}}.$$

We recall that throughout this note,  $p$  is an odd prime number. Our aim is to obtain, by reducing the identity (1) modulo  $p$ , a similar identity in  $\mathbb{F}_p(T)$ . Clearly the integer  $k$  must be well chosen. The easiest way to do so is to assume that  $2k < p$ , and this is what we did in [L1]. Here we shall extend this to other values of  $k$ . We set  $r = p^t$ , where  $t$  is a positive integer. Then we introduce the subset  $E(r)$  of integers  $k$  such that

$$(5) \quad k = mp^l + (p^l - 1)/2 \quad \text{for } 1 \leq m \leq (p - 1)/2 \text{ and } 0 \leq l \leq t - 1.$$

For instance,  $E(3) = \{1\}$ ,  $E(5) = \{1, 2\}$  and  $E(25) = \{1, 2, 7, 12\}$ . Note that  $E(r) \subset \{1, \dots, (r - 1)/2\}$  with equality if  $r = p$ . Also  $(r - 1)/2 \in E(r)$  in all cases. We have the following result, where  $\mathbf{v}_p(x)$  is used to denote the  $p$ -adic valuation of a rational number  $x$ .

LEMMA 1. *Let  $p$  and  $r$  be as above. Let  $k$  be a positive integer with  $k \in E(r)$ .*

- (i) *For  $1 \leq i \leq 2k - 1$  we have  $\mathbf{v}_p(i) = \mathbf{v}_p(2k - 2i + 1)$  and  $\mathbf{v}_p(2k - i) = \mathbf{v}_p(2k - 2i - 1)$ . For  $1 \leq i \leq 2k$  we have  $\mathbf{v}_p(v_{i,k}) = 0$ . Consequently,  $v_{i,k}$  for  $1 \leq i \leq 2k$ , and  $i/(2k - 2i + 1)$  and  $(2k - i)/(2k - 2i - 1)$  for  $1 \leq i \leq 2k - 1$ , will be considered as elements of  $\mathbb{F}_p^*$ .*
- (ii) *For  $0 \leq i \leq 2k$  we have  $\mathbf{v}_p(\binom{2k}{i}) = 0$ . Consequently,  $\theta_k$ ,  $2k\theta_k$  and  $\omega_k$  as well as  $\binom{2k}{i}$  for  $0 \leq i \leq 2k$  will be considered as elements of  $\mathbb{F}_p^*$ .*
- (iii) *We can define in  $\mathbb{F}_p[T]$  the pair of polynomials*

$$P_k(T) = (T^2 - 1)^k \quad \text{and} \quad Q_k(T) = \sum_{0 \leq i \leq k-1} b_i T^{2i+1},$$

where  $b_i = (-1)^{k-1-i} \binom{k-1}{i} (2i+1)^{-1} \in \mathbb{F}_p$ . The identity (1) holds in  $\mathbb{F}_p(T)$  for the rational function  $P_k/Q_k$ , with the  $v_{i,k}$  defined in  $\mathbb{F}_p^*$  as above.

*Proof.* Let  $k \in E(r)$ . According to (5) we have  $2k + 1 = (2m + 1)p^l$  with  $3 \leq 2m + 1 \leq p$  and  $0 \leq l \leq t - 1$ . For  $1 \leq i \leq 2k - 1$  we have  $i < p^t$  and therefore  $\mathbf{v}_p(i) \leq \mathbf{v}_p(2k + 1)$ . This implies clearly that  $\mathbf{v}_p(i) = \mathbf{v}_p(2k - 2i + 1)$ . We also have  $2k - 2i - 1 = 2(2k - i) - (2k + 1)$ , and consequently, changing

$i$  into  $2k - i$ , the same arguments show that  $\mathbf{v}_p(2k - i) = \mathbf{v}_p(2k - 2i - 1)$ . In view of (2), it follows that  $\mathbf{v}_p(v_{i,k}v_{i+1,k}) = 0$  for  $1 \leq i \leq 2k - 1$ . Since  $\mathbf{v}_p(v_{1,k}) = \mathbf{v}_p(2k - 1) = 0$  we have  $\mathbf{v}_p(v_{i,k}) = 0$  for  $1 \leq i \leq 2k$ . So we have proved (i).

For (ii) we use a classical formula on the  $p$ -adic valuation of  $n!$ . Indeed, for an integer  $n \geq 1$  and a prime  $p$  we have  $\mathbf{v}_p(n!) = (n - s_p(n))/(p - 1)$ , where  $s_p(n)$  denotes the sum of the digits of  $n$  when it is written in base  $p$ . Since  $k \in E(r)$  we can write  $2k = 2mp^l + (p - 1)(p^{l-1} + \dots + 1)$ . For  $0 \leq i \leq 2k$ , this writing implies the equality  $s_p(2k - i) + s_p(i) = s_p(2k)$ . Consequently,  $\mathbf{v}_p((2k - i)!) + \mathbf{v}_p(i!) = \mathbf{v}_p((2k)!)$  and therefore  $\mathbf{v}_p\binom{2k}{i} = 0$  for  $0 \leq i \leq 2k$ .

Now we prove (iii). According to (1), by a trivial integration, we can write in  $\mathbb{Q}(T)$

$$\sum_{0 \leq i \leq k-1} b_i T^{2i+1} = (T^2 - 1)^k [0, v_{1,k}T, \dots, v_{2k,k}T].$$

Since  $k \in E(r)$ , the right hand side of this equality can be reduced modulo  $p$  in  $\mathbb{F}_p(T)$ . Thus the left hand side is well defined by reduction modulo  $p$ , i.e.  $\mathbf{v}_p(b_i) \geq 0$  for  $0 \leq i \leq k - 1$ . Consequently, the pair  $(P_k, Q_k)$  is well defined in  $(\mathbb{F}_p[T])^2$  and we have the desired continued fraction expansion for the rational function  $P_k(T)/Q_k(T)$  in  $\mathbb{F}_p(T)$ . This completes the proof of the lemma.

Given  $r$  and  $k \in E(r)$ , we now need to introduce a pair of finite sequences  $(g_i)_{0 \leq i \leq 2k}$  and  $(h_i)_{0 \leq i \leq 2k}$  of functions in  $\mathbb{F}_p(X)$  which will be used further on. We set

$$(G) \quad \begin{cases} g_0(X) = \theta_k + X, & g_{2k}(X) = 1/(\theta_k - X), \\ \text{and for } 1 \leq i \leq 2k - 1, \\ g_i(X) = 2k\theta_k v_{i,k} (i/(2k - 2i + 1)) \frac{\theta_k + w_{i,k}X}{\theta_k + w_{i-1,k}X}, \end{cases}$$

and also

$$(H) \quad \begin{cases} h_0(X) = X/(\theta_k + X), & h_{2k}(X) = X/(\theta_k - X), \\ \text{and for } 1 \leq i \leq 2k - 1, \\ h_i(X) = (-1)^i \binom{2k}{i} \frac{\theta_k X}{(\theta_k + w_{i,k}X)(\theta_k + w_{i-1,k}X)}, \end{cases}$$

where

$$w_{i,k} = (-1)^i \binom{2k-1}{i} \in \mathbb{F}_p \quad \text{for } 0 \leq i \leq 2k - 1.$$

By Lemma 1, the functions defined above are not zero. Moreover, we may have  $w_{i,k} = 0$  for some  $i$  but  $w_{i,k} - w_{i-1,k} = (-1)^i \binom{2k}{i} \neq 0$  for  $1 \leq i \leq 2k - 1$ .

**3. Continued fractions of type  $(r, l, k)$  in  $\mathbb{F}(q)$ .** In [L1] a process to generate in  $\mathbb{F}(q)$  algebraic continued fractions from certain polynomials in  $\mathbb{F}_q[T]$  is presented. The following proposition is a particular case of a more general theorem (see [L1, Theorem 1, pp. 332–333]).

PROPOSITION A. *Let  $p$  be an odd prime number. Set  $q = p^s$  and  $r = p^t$  with  $s, t \geq 1$ . Let  $k$  be an integer with  $k \in E(r)$ . Let  $(P_k, Q_k) \in (\mathbb{F}_p[T])^2$  be defined as in Lemma 1. Let  $l \geq 1$  be an integer. Let  $(\lambda_1, \dots, \lambda_l)$  be an  $l$ -tuple in  $(\mathbb{F}_q^*)^l$ . Let  $(\varepsilon_1, \varepsilon_2) \in (\mathbb{F}_q^*)^2$ . There exists a unique infinite continued fraction  $\alpha = [\lambda_1 T, \dots, \lambda_l T, \alpha_{l+1}] \in \mathbb{F}(q)$  defined by*

$$\alpha^r = \varepsilon_1 P_k \alpha_{l+1} + \varepsilon_2 Q_k.$$

*This element  $\alpha$  is the unique root in  $\mathbb{F}(q)$  with  $|\alpha| \geq |T|$  of the algebraic equation*

$$y_l X^{r+1} - x_l X^r + (\varepsilon_1 P_k y_{l-1} - \varepsilon_2 Q_k y_l) X - \varepsilon_1 P_k x_{l-1} + \varepsilon_2 Q_k x_l = 0,$$

*where  $x_l, x_{l-1}, y_l$  and  $y_{l-1}$  are the continuants defined in the introduction.*

Note that these continued fractions satisfy an algebraic equation of a particular type. The reader may consult the introduction of [BL] for a presentation of these particular algebraic power series which are called hyperquadratic. A continued fraction defined as in Proposition A is generated by the pair  $(P_k, Q_k)$  for  $k \in E(r)$ . Such a continued fraction will be called an *expansion of type  $(r, l, k)$* . When the pair  $(P_k, Q_k)$  is fixed, this expansion depends on the  $l$ -tuple  $(\lambda_1, \dots, \lambda_l)$  in  $(\mathbb{F}_q^*)^l$  and on the pair  $(\varepsilon_1, \varepsilon_2)$  in  $(\mathbb{F}_q^*)^2$ . When these  $l + 2$  elements in  $\mathbb{F}_q^*$  are taken arbitrarily, the expansion has a regular pattern only up to a certain point (see [L1, Proposition 4.6, p. 347]). In the next section we are concerned with a particular subfamily of these continued fractions.

**4. Perfect continued fractions of type  $(r, l, k)$  in  $\mathbb{F}(q)$ .** In previous works we have seen that an expansion of type  $(r, l, k)$ , under certain conditions on  $(\lambda_1, \dots, \lambda_l)$  and  $(\varepsilon_1, \varepsilon_2)$ , may be given explicitly. A first example was given in [L1, Theorem 3]. In [L2] a more general case was treated, but there we restricted ourselves to the case of a prime base field  $\mathbb{F}_p$  and we also only considered the case  $r = p$ . Note that in this way we could prove the conjecture for the expansion of a quartic power series over  $\mathbb{F}_{13}$  made by Mills and Robbins in [MR, p. 403]. Here our aim is to describe explicitly many expansions of type  $(r, l, k)$  having a very regular pattern as Mills and Robbins' example does. To do so we need first to introduce further notations. Given  $l, k \geq 1$ , we define the sequence  $(f(n))_{n \geq 1}$  of integers, by  $f(n) = (2k + 1)n + l - 2k$ . We also define the sequence  $(i(n))_{n \geq 1}$  of integers

in the following way:

$$i(n) = 1 \quad \text{if } n \notin f(\mathbb{N}^*) \quad \text{and} \quad i(f(n)) = i(n) + 1.$$

Finally, we introduce the sequence  $(A_i)_{i \geq 1}$  of polynomials in  $\mathbb{F}_p[T]$  defined recursively by

$$A_1 = T \quad \text{and} \quad A_{i+1} = [A_i^r / P_k] \quad \text{for } i \geq 1$$

(here the square brackets denote the integer part, i.e. the polynomial part). Note that the sequence  $(A_i)_{i \geq 1}$  depends on the polynomial  $P_k$  chosen with  $k \in E(r)$ . It is remarkable that if  $2k = r - 1$  then this sequence of polynomials is constant:  $A_i = T$  for  $i \geq 1$ .

For an arbitrary continued fraction of type  $(r, l, k)$  the sequence of partial quotients is based on the above sequence  $(A_i)_{i \geq 1}$  but only up to a certain rank (see the remark after Lemma 5.1 below). Nevertheless, it may happen that this sequence of partial quotients is entirely determined by  $(A_i)_{i \geq 1}$ . The aim of the following theorem is to give this description as well as the conditions of its existence. These particular expansions of type  $(r, l, k)$ , which are defined in this theorem, will be called *perfect* (this term was introduced in [L1, p. 348]).

**THEOREM B.** *Let  $p$  be an odd prime and  $q = p^s$ ,  $r = p^t$  with  $s, t \geq 1$  be given. Let  $k \in E(r)$ . Let  $(A_i)_{i \geq 1}$  in  $\mathbb{F}_p[T]$  and  $(f(n))_{n \geq 1}$  and  $(i(n))_{n \geq 1}$  in  $\mathbb{N}^*$  be the sequences defined above. Let  $\alpha \in \mathbb{F}(q)$  be a continued fraction of type  $(r, l, k)$  defined by the  $l$ -tuple  $(\lambda_1, \dots, \lambda_l)$  in  $(\mathbb{F}_q^*)^l$  and the pair  $(\varepsilon_1, \varepsilon_2)$  in  $(\mathbb{F}_q^*)^2$ . Then the partial quotients of this expansion satisfy*

$$(I) \quad a_n = \lambda_n A_{i(n)} \quad \text{where } \lambda_n \in \mathbb{F}_q^* \text{ for } n \geq 1$$

if and only if we can define in  $\mathbb{F}_q^*$

$$(II) \quad \delta_n = 2k\theta_k[\lambda_n^r, \dots, \lambda_1^r, 2k\theta_k\varepsilon_2^{-1}] \quad \text{for } 1 \leq n \leq l$$

and we have

(III) either

$$(III_1) \quad \delta_l = 4k^2\theta_k(\varepsilon_1/\varepsilon_2)^r, \quad \text{or}$$

(III<sub>2</sub>)  $\delta_l \neq 4k^2\theta_k(\varepsilon_1/\varepsilon_2)^r$  and there exists in  $\mathbb{F}_q^*$  a sequence  $(\gamma_n)_{n \geq 1}$  defined recursively by

$$(I) \quad \begin{cases} \gamma_1^r = (4k^2\theta_k\varepsilon_1^r\delta_l^{-1} - \varepsilon_2^r)\theta_k\delta_1^{-r}, \\ \gamma_n = \gamma_{n-1}(\delta_n\delta_{n-1}\omega_k)^{-1} \quad \text{for } 2 \leq n \leq l, \\ \gamma_{f(n)+i} = C_0 h_i(\gamma_n^r) \quad \text{for } 0 \leq i \leq 2k \text{ and } n \geq 1, \end{cases}$$

where

$$C_0 = \gamma_l \varepsilon_1^r (\delta_1 \gamma_1)^{-r} (\delta_l \omega_k)^{-1} \in \mathbb{F}_q^*.$$

If (II) and (III) hold then we can define recursively a sequence  $(\delta_n)_{n \geq 1}$  in  $\mathbb{F}_q^*$  by the initial values  $\delta_1, \dots, \delta_l$  given by (II) and the formulas

$$(D) \quad \delta_{f(n)+i} = \varepsilon_1^{r(-1)^{n+i}} \delta_n^{r(-1)^i} g_{i,n} \quad \text{for } n \geq 1 \text{ and } 0 \leq i \leq 2k,$$

where  $g_{i,n} = g_i(0)$  in case (III<sub>1</sub>) and  $g_{i,n} = g_i(\gamma_n^r)$  in case (III<sub>2</sub>). Then the sequence  $(\lambda_n)_{n \geq 1}$  in  $\mathbb{F}_q^*$ , introduced in (I), is defined recursively by the first values  $\lambda_1, \dots, \lambda_l$  and the formulas

$$(LD) \quad \lambda_{f(n)} = \varepsilon_1^{(-1)^n} \lambda_n^r, \quad \lambda_{f(n)+i} = -v_{i,k} \varepsilon_1^{(-1)^{n+i}} \delta_n^{(-1)^i}$$

for  $n \geq 1$  and  $1 \leq i \leq 2k$ .

In this theorem we have two conditions (II) and (III) which are not at the same level. Condition (II) is primary and clearly necessary to define recursively the sequence  $(\delta_n)_{n \geq 1}$  in  $\mathbb{F}_q^*$  by (D). This condition has already been pointed out in [L2] even though there we only considered the simplest case where the base field is prime, that is,  $q = p$ . Here it is necessary to underline that there is a mistake in the formula given there for  $\delta_n$  when  $1 \leq n \leq l$ . Indeed in [L2, Theorem 1, condition (H<sub>1</sub>)],  $\delta_i = [2k\theta_k \lambda_i, \dots, 2k\theta_k \lambda_1, \varepsilon_2^{-1}]$  should read  $\delta_i = 2k\theta_k [\lambda_i, \dots, \lambda_1, 2k\theta_k \varepsilon_2^{-1}]$ . Note that this last formula is in agreement with (II) in Theorem B if the base field is prime and consequently the Frobenius isomorphism is reduced to the identity in  $\mathbb{F}_p$ . This mistake has no consequence on Theorem 2 of [L2] because there the values of  $\delta_i$  were computed with the right formula. Condition (III) is of a different kind. It is split into two distinct cases. In fact case (III<sub>1</sub>) has also already been considered in [L2, Theorem 1, condition (H<sub>2</sub>)], again when the base field is prime.

We now want to discuss case (III<sub>2</sub>). It is more complex because of a possible obstruction in the recursive definition of the sequence  $(\gamma_n)_{n \geq 1}$  in  $\mathbb{F}_q^*$ . Actually it is conjectured that this second case can only happen if the base field  $\mathbb{F}_q$  is a particular algebraic extension of the prime field  $\mathbb{F}_p$ . Indeed, the sequence  $(\gamma_n)_{n \geq 1}$  is clearly well defined if  $\gamma_n \notin \mathbb{F}_p$  for all  $n$ . There is a sufficient condition to obtain that. We recall that the functions  $h_i$  for  $0 \leq i \leq 2k$  involved in the recursive definition of  $(\gamma_n)_{n \geq 1}$  are of two types:  $h(x) = ax/(x + u)$  or  $h'(x) = ax/(x + u_1)(x + u_2)$ , where  $a \in \mathbb{F}_p^*$  and  $u, u_1, u_2 \in \mathbb{F}_p$ . Consequently, if  $x$  is an algebraic element over  $\mathbb{F}_p$  of degree  $d > 2$  then  $h_i(x)$  has degree  $d$  (for all  $h_i$  of type  $h$ ) or  $d/2$  (possibly for some  $h_i$  of type  $h'$ ). This remark implies that if the first  $l$  terms of the sequence  $\gamma_n$  have each a degree over  $\mathbb{F}_p$  different from a power of two and the constant  $C_0$  has a degree over  $\mathbb{F}_p$  which is a power of two, then by induction the degrees over  $\mathbb{F}_p$  of all the terms remain greater than one and thus none belongs to  $\mathbb{F}_p$ . This sufficient condition for the existence of  $(\gamma_n)_{n \geq 1}$  may also be necessary but this remains a conjecture. Observe that if this conjecture is true and if

the base field is prime then the continued fraction can only be perfect in case  $(III_1)$ . We will make a more precise conjecture in that direction at the end of this section. Before going further on, we need to point out the similarity with the problem discussed in [LR], particularly on pages 562–565. In this older work we investigated the existence of algebraic continued fractions with linear partial quotients, and this matches the case  $2k = r - 1$  in the present work. The approach in [LR] was singular and completely different, and forced us to make the restriction  $l \geq r$ .

Now we want to illustrate the occurrence of case  $(III_2)$  if the base field is  $\mathbb{F}_q$ , where  $q = p^m$  and  $m$  is not a power of two. We take  $p = 3$ ,  $q = 27$  and  $r = 3$ , with  $l = 1$  and  $k = 1$ . Since  $2k = r - 1$ , if the expansion is perfect then all partial quotients are linear. The elements of the finite field  $\mathbb{F}_{27}$  will be represented by means of a root  $u$  of the irreducible polynomial  $P(X) = X^3 + X^2 - X + 1$  over  $\mathbb{F}_3$ . Then  $u^{13} = -1$  and

$$\mathbb{F}_{27} = \{0, \pm u^i : 0 \leq i \leq 12\}.$$

We have the following corollary.

**COROLLARY C.** *Define the sequences  $(\gamma_n)_{n \geq 1}$  and  $(\delta_n)_{n \geq 1}$  in  $\mathbb{F}_{27}^*$  as follows. The first is defined recursively by  $\gamma_1 = u$  and*

$$\gamma_{3n-1} = \frac{\gamma_n^3}{1 + \gamma_n^3}, \quad \gamma_{3n} = \frac{\gamma_n^3}{1 - \gamma_n^6}, \quad \gamma_{3n+1} = \frac{\gamma_n^3}{1 - \gamma_n^3} \quad \text{for } n \geq 1.$$

*The second, based on the first, is defined recursively by  $\delta_1 = u^4$  and*

$$\delta_{3n-1} = u^{5(-1)^n} \delta_n^3 (1 + \gamma_n^3), \quad \delta_{3n} = \frac{\gamma_n^3 - 1}{\delta_{3n-1}}, \quad \delta_{3n+1} = \frac{\delta_{3n-1}}{1 - \gamma_n^6} \quad \text{for } n \geq 1.$$

*Consider the following algebraic equation with coefficients in  $\mathbb{F}_{27}[T]$ :*

$$(E) \quad X^4 - TX^3 - u^3TX + uT^2 - u^6 = 0.$$

*This equation has a unique root  $\alpha$  in  $\mathbb{F}(27)$  which can be expanded as an infinite continued fraction*

$$\alpha = [T, u^7T, u^2T, u^{11}T, -uT, \dots] = [\lambda_1T, \dots, \lambda_nT, \dots],$$

*where the sequence  $(\lambda_n)_{n \geq 1}$  in  $\mathbb{F}_{27}^*$  is defined recursively by  $\lambda_1 = 1$  and*

$$\lambda_{3n-1} = -u^{6(-1)^n} \lambda_n^3, \quad \lambda_{3n} = u^{6(-1)^{n+1}} \delta_n^{-1}, \quad \lambda_{3n+1} = -\lambda_{3n}^{-1} \quad \text{for } n \geq 1.$$

Before concluding this section, we make a conjecture in connection with the sequence  $(\gamma_n)_{n \geq 1}$  described in Theorem B. For brevity we take  $k = 1$ . Let  $p$  be an odd prime. Consider the three elements of  $\mathbb{F}_p(x)$  given by

$$h_0(x) = \frac{2x}{2x - 1}, \quad h_1(x) = \frac{4x}{1 - 4x^2}, \quad h_2(x) = \frac{-2x}{2x + 1}.$$



We define recursively a sequence  $(u_n)_{n \geq 1}$  of rational functions in  $\mathbb{F}_p(x)$  by

$$u_1(x) = x, \quad u_{3n+i-1}(x) = h_i(u_n(x)) \quad \text{for } 0 \leq i \leq 2 \text{ and } n \geq 1.$$

Let  $\mathcal{P}(p) \subset \mathbb{F}_p[x]$  be the subset of all monic polynomials irreducible over  $\mathbb{F}_p$  which appear as prime factors of the numerator or denominator of  $u_n(x)$  for some  $n \geq 1$ . Let  $\mathcal{P}_2(p) \subset \mathbb{F}_p[x]$  be the subset of all monic polynomials irreducible over  $\mathbb{F}_p$  of degree  $2^k$  for  $k \geq 0$ . Then the arguments developed after Theorem B show that  $\mathcal{P}(p) \subset \mathcal{P}_2(p)$ . We conjecture that  $\mathcal{P}(p) = \mathcal{P}_2(p)$  for all odd primes  $p$ .

**5. Proofs of Theorem B and Corollary C.** In this section  $p, q$  and  $r$  are as above. We consider an integer  $k \in E(r)$  and an integer  $l \geq 1$ . Moreover the numbers  $\theta_k, \omega_k \in \mathbb{F}_p^*$  and the natural integers  $f(n), i(n)$  for  $n \geq 1$  are defined as above. The proof of Theorem B will be divided into several steps.

LEMMA 5.1. *Let  $\alpha = [\lambda_1 T, \dots, \lambda_l T, \alpha_{l+1}] \in \mathbb{F}(q)$  be a continued fraction of type  $(r, l, k)$  for the pair  $(\varepsilon_1, \varepsilon_2) \in (\mathbb{F}_q^*)^2$ . Then there exists a sequence  $(\lambda_n)_{n \geq 1}$  in  $\mathbb{F}_q^*$  such that we have*

$$(I) \quad a_n = \lambda_n A_{i(n)} \quad \text{for } n \geq 1$$

if and only if there exists a sequence  $(\delta_n)_{n \geq 0}$  in  $\mathbb{F}_q^*$  such that

$$(LD) \quad \lambda_{f(n)} = \varepsilon_1^{(-1)^n} \lambda_n^r, \quad \lambda_{f(n)+i} = -v_{i,k} \varepsilon_1^{(-1)^{n+i}} \delta_n^{(-1)^i}$$

for  $1 \leq i \leq 2k$  and  $n \geq 1$ , with

$$(D_1) \quad \delta_n = 2k\theta_k^{i(n)} \lambda_n^r - (\omega_k \delta_{n-1})^{-1} \quad \text{for } n \geq 1,$$

where  $\delta_0 = -(\omega_k \varepsilon_2)^{-1}$ .

This lemma, which is the first and main step in the proof of Theorem B, is a direct consequence of [L1, Prop. 4.6, p. 347]. There we proved that an expansion of type  $(r, l, k)$  for an arbitrary pair  $(\varepsilon_1, \varepsilon_2) \in (\mathbb{F}_q^*)^2$  has the pattern given by (I), where  $(\lambda_n)_{n \geq 1}$  is described by (D<sub>1</sub>) and (LD), but only up to a certain rank (if  $\delta_n$  ever vanishes in (D<sub>1</sub>)). Note that in the proof of Proposition 4.6 of [L1] we made the restriction  $2k < p$ . This condition was sufficient to have in  $\mathbb{F}_p(T)$  the identity (1) of Section 2 which is the foundation of the proof. But, according to Lemma 1 of Section 2, we may replace this condition by  $k \in E(r)$  and this does not affect the proof of the proposition. Now to separate the sequence  $(\delta_n)_{n \geq 0}$  from  $(\lambda_n)_{n \geq 1}$ , we have the following lemma.

LEMMA 5.2. *Let  $(\lambda_n)_{n \geq 1}$  and  $(\delta_n)_{n \geq 0}$  be two sequences in  $\mathbb{F}_q^*$ . Assume that they satisfy (LD). Then they satisfy (D<sub>1</sub>) if and only if*

$$(II_0) \quad \delta_n = 2k\theta_k [\lambda_n^r, \dots, \lambda_1^r, \delta_0 / (2k\theta_k)] \quad \text{for } 1 \leq n \leq l,$$

$$(D_2) \quad \delta_{f(n)} + (\omega_k \delta_{f(n)-1})^{-1} = \theta_k \varepsilon_1^{r(-1)^n} (\delta_n^r + (\omega_k \delta_{n-1})^{-r}) \quad \text{for } n \geq 1$$

and

$$(D_3) \quad \delta_{f(n)+i} + (\omega_k \delta_{f(n)+i-1})^{-1} = -2k\theta_k v_{i,k} \varepsilon_1^{r(-1)^{n+i}} \delta_n^{r(-1)^i}$$

for  $1 \leq i \leq 2k$  and  $n \geq 1$ .

*Proof.* First we assume that  $(D_1)$  holds for  $n \geq 1$ . For  $1 \leq n \leq l$  we have  $i(n) = 1$ . By (3) from Section 2, we have  $\omega_k = -(2k\theta_k)^{-2}$ , and consequently  $(D_1)$  for  $1 \leq n \leq l$  can be written as

$$\delta_n = 2k\theta_k \lambda_n^r + (2k\theta_k)^2 \delta_{n-1}^{-1}.$$

By induction, it is clear that  $(D_1)$  for  $1 \leq n \leq l$  is equivalent to  $(II_0)$ . Now for  $1 \leq j \leq 2k$  and  $n \geq 1$  we have  $i(f(n) + j) = 1$ , and consequently, taking into account  $(LD)$ , we have the equivalence between  $(D_3)$  and  $(D_1)$  at rank  $f(n) + j$ . Observing that for  $n \geq 1$  we have  $i(f(n)) = i(n) + 1$ , and taking into account  $(LD)$ , we see that  $(D_1)$  at rank  $f(n)$  and  $n$  implies  $(D_2)$ . Conversely, suppose  $(II_0)$ ,  $(D_2)$  and  $(D_3)$  are satisfied. Then  $(D_1)$  holds for  $1 \leq n \leq l$  and also at rank  $f(n) + i$  for  $n \geq 1$  and  $1 \leq i \leq 2k$ . On the other hand, if  $(D_1)$  and  $(D_2)$  hold at rank  $n \geq 1$ , then taking into account  $(LD)$ ,  $(D_1)$  holds at rank  $f(n)$ . Hence, with the cases already established and using induction, we see that  $(D_1)$  holds for  $n \geq 1$ . This completes the proof of the lemma.

In the next lemma we introduce a new sequence  $(\gamma_n)_{n \geq 1}$  in  $\mathbb{F}_q$  which is linked to  $(\delta_n)_{n \geq 0}$ .

LEMMA 5.3. *Let  $(g_i)_{0 \leq i \leq 2k}$  be the sequence of functions in  $\mathbb{F}_p(X)$  defined by  $(G)$  in Section 2. Let  $(\delta_n)_{n \geq 0}$  be a sequence in  $\mathbb{F}_q^*$  with  $\delta_0, \delta_1, \dots, \delta_l$  given. Then  $(\delta_n)_{n \geq 0}$  satisfies  $(D_2)$  and  $(D_3)$  if and only if there exists a sequence  $(\gamma_n)_{n \geq 1}$  in  $\mathbb{F}_q$  such that*

$$(D) \quad \delta_{f(n)+i} = \varepsilon_1^{r(-1)^{n+i}} \delta_n^{r(-1)^i} g_i(\gamma_n^r) \quad \text{for } 0 \leq i \leq 2k \text{ and } n \geq 1,$$

with

$$(I_1) \quad \gamma_1^r = (\theta_k \delta_0^{-r} - \varepsilon_1^r \delta_l^{-1}) (\omega_k \delta_1)^{-r},$$

$$(I_2) \quad \gamma_n = \gamma_{n-1} (\delta_n \delta_{n-1} \omega_k)^{-1} \quad \text{for } n \geq 2.$$

*Proof.* First we prove that the sequence  $(g_i)_{0 \leq i \leq 2k}$  in  $\mathbb{F}_p(X)$ , described in  $(G)$ , can also be defined recursively by  $g_0(X) = \theta_k + X$  and

$$(6) \quad g_{i+1}(X) = 2k\theta_k(-v_{i+1,k} + 2k\theta_k/g_i(X)) \quad \text{for } 0 \leq i \leq 2k - 1.$$

For  $i = 0$ , (6) becomes

$$g_1(X) = 2k\theta_k(-v_{1,k} + 2k\theta_k/(\theta_k + X)).$$

Since  $v_{1,k} = 2k - 1$ , this equality implies

$$g_1(X) = 2k\theta_k(\theta_k - (2k - 1)X)/(\theta_k + X).$$

This is in agreement with (G) for  $i = 1$ . Now we use induction on  $i$ . Let  $1 \leq i < 2k - 1$  and assume that  $g_i(X)$  is as stated in (G). Then, by (2) from Section 2, we have

$$(7) \quad 2k\theta_k/g_i(X) = \frac{(2k - i)v_{i+1,k}}{2k - 2i - 1} \frac{\theta_k + w_{i-1,k}X}{\theta_k + w_{i,k}X}.$$

Moreover, a direct computation shows that, for  $1 \leq i < 2k - 1$ ,

$$(8) \quad (2k - i)w_{i-1,k} - (2k - 2i - 1)w_{i,k} = (i + 1)w_{i+1,k}.$$

Combining (6)–(8), we get  $g_{i+1}(X)$  as stated in (G). It remains to compute  $g_{2k}(X)$ . From (6) and (7) for  $i = 2k - 1$ , we obtain

$$(9) \quad g_{2k}(X) = 2k\theta_k \left( -v_{2k,k} - \frac{v_{2k,k}}{2k - 1} \frac{\theta_k + (2k - 1)X}{\theta_k - X} \right).$$

Recalling (3) and (4) from Section 2, we also have  $v_{2k,k} = v_{1,k}\omega_k$ , and consequently

$$(10) \quad v_{2k,k} = -(2k - 1)(2k\theta_k)^{-2}.$$

Finally, combining (9) and (10), we get  $g_{2k}(X) = 1/(\theta_k - X)$ , and this is in agreement with (G) for  $i = 2k$ .

We now set

$$(11) \quad g_{i,n} = \delta_{f(n)+i} / (\varepsilon_1^{r(-1)^{n+i}} \delta_n^{r(-1)^i}) \quad \text{for } 0 \leq i \leq 2k \text{ and } n \geq 1.$$

Then we define the sequence  $(\gamma_n)_{n \geq 1} \subseteq \mathbb{F}_q$  from  $(\delta_n)_{n \geq 1} \subseteq \mathbb{F}_q^*$  by

$$(12) \quad \gamma_n^r = g_{0,n} - \theta_k \quad \text{for } n \geq 1.$$

By definition, (12) becomes  $g_{0,n} = g_0(\gamma_n^r)$ , thus (11) implies (D) for  $i = 0$ . Now we prove that (D<sub>3</sub>) is equivalent to (D) for  $1 \leq i \leq 2k$ . According to (11), we need to prove that (D<sub>3</sub>) is equivalent to  $g_{i,n} = g_i(\gamma_n^r)$  for  $1 \leq i \leq 2k$  and  $n \geq 1$ . If we use (11) and again  $\omega_k = -(2k\theta_k)^{-2}$ , (D<sub>3</sub>) can be written as

$$g_{i,n} = 2k\theta_k(-v_{i,k} + 2k\theta_k/g_{i-1,n}) \quad \text{for } 1 \leq i \leq 2k.$$

Since  $g_{0,n} = g_0(\gamma_n^r)$ , with the recursive definition of  $(g_i)_{1 \leq i \leq 2k}$ , we see that (D<sub>3</sub>) is equivalent to  $g_{i,n} = g_i(\gamma_n^r)$  for  $1 \leq i \leq 2k$ . Now we shall see that (D<sub>2</sub>) and (D<sub>3</sub>) imply (I<sub>1</sub>) and (I<sub>2</sub>). Hence, with  $(\gamma_n)_{n \geq 1}$  defined by (12), we have (D). For  $n = 1$ , (D<sub>2</sub>) becomes

$$(13) \quad \delta_{l+1} + (\omega_k \delta_l)^{-1} = \theta_k \varepsilon_1^{-r} (\delta_1^r + (\omega_k \delta_0)^{-r}).$$

But, using (D) for  $n = 1$  and  $i = 0$ , we also have

$$(14) \quad \delta_{l+1} = \varepsilon_1^{-r} \delta_1^r (\theta_k + \gamma_1^r).$$

Combining (13) and (14), we obtain the value for  $\gamma_1^r$  stated in (I<sub>1</sub>). Now we assume that  $n \geq 2$  and we recall that  $f(n) - 1 = f(n - 1) + 2k$ . Consequently,

by  $(D)$  for  $i = 0$  and for  $i = 2k$ , and by (11),  $(D_2)$  implies

$$(15) \quad g_0(\gamma_n^r)\delta_n^r + (\omega_k g_{2k}(\gamma_{n-1}^r)\delta_{n-1}^r)^{-1} = \theta_k(\delta_n^r + (\omega_k \delta_{n-1}^r)^{-r}).$$

Since, by  $(G)$ ,  $g_0(\gamma_n^r) = \theta_k + \gamma_n^r$  and  $g_{2k}(\gamma_{n-1}^r) = 1/(\theta_k - \gamma_{n-1}^r)$ , (15) gives

$$(\gamma_n \delta_n - \omega_k^{-1} \gamma_{n-1} \delta_{n-1}^{-1})^r = 0,$$

which is  $(I_2)$ . Conversely, we assume that both sequences satisfy  $(D)$ ,  $(I_1)$  and  $(I_2)$ . First, as we have seen above,  $(D_3)$  holds for  $1 \leq i \leq 2k$  and  $n \geq 1$ . Then  $(D)$  for  $n = 1$  and  $i = 0$  implies  $\theta_k + \gamma_1^r = \delta_{l+1} \varepsilon_1^r \delta_1^{-r}$ . If we take  $(I_1)$  into account, this implies  $(D_2)$  for  $n = 1$ . Finally, for  $n \geq 2$ , we have seen that  $(I_2)$  implies (15). Using  $(D)$  for  $i = 0$  and for  $i = 2k$  shows that (15) is equivalent to  $(D_2)$ . The proof of the lemma is complete.

In the last lemma we describe the sequence  $(\gamma_n)_{n \geq 1}$ , if it is not identically zero.

LEMMA 5.4. *Let  $(h_i)_{0 \leq i \leq 2k}$  be the sequence of functions in  $\mathbb{F}_p(X)$  defined by  $(H)$  in Section 2. Let  $(\delta_n)_{n \geq 1}$  and  $(\gamma_n)_{n \geq 1}$  be two sequences in  $\mathbb{F}_q^*$  with  $\delta_1, \dots, \delta_l$  and  $\gamma_1$  given. Assume that they satisfy  $(D)$ . Then they satisfy  $(I_2)$  if and only if*

$$(I_2') \quad \gamma_n = \gamma_{n-1}(\delta_n \delta_{n-1} \omega_k)^{-1} \quad \text{for } 2 \leq n \leq l$$

and

$$(I_3) \quad \gamma_{f(n)+i} = C_0 h_i(\gamma_n^r) \quad \text{for } 0 \leq i \leq 2k \text{ and } n \geq 1,$$

where

$$C_0 = \gamma_l \varepsilon_1^r (\delta_1 \gamma_1)^{-r} (\delta_l \omega_k)^{-1} \in \mathbb{F}_q^*.$$

*Proof.* First we prove that  $(I_2)$  implies  $(I_3)$ . We will use the connection between  $(g_i)_{0 \leq i \leq 2k}$  and  $(h_i)_{0 \leq i \leq 2k}$  in  $\mathbb{F}_p(X)$ . Indeed, from  $(G)$  and  $(H)$ , an elementary calculation shows that

$$(16) \quad g_i(X)g_{i-1}(X)\omega_k = h_{i-1}(X)/h_i(X) \quad \text{for } 1 \leq i \leq 2k.$$

We also have

$$(17) \quad g_0(X)h_0(X) = X \quad \text{and} \quad h_{2k}(X) = Xg_{2k}(X).$$

For  $1 \leq i \leq 2k$  and  $n \geq 1$ , using  $(D)$  and (16), we have

$$(18) \quad \omega_k \delta_{f(n)+i} \delta_{f(n)+i-1} = h_{i-1}(\gamma_n^r)/h_i(\gamma_n^r).$$

By  $(I_2)$  at rank  $f(n) + i$ , (18) implies

$$(19) \quad \gamma_{f(n)+i}/\gamma_{f(n)+i-1} = h_i(\gamma_n^r)/h_{i-1}(\gamma_n^r).$$

Clearly, for  $0 \leq i \leq 2k$  and  $n \geq 1$ , from (19) we obtain

$$(20) \quad \gamma_{f(n)+i} = \gamma_{f(n)} h_i(\gamma_n^r)/h_0(\gamma_n^r).$$

We now assume that  $n \geq 2$ . Recalling that  $f(n) - 1 = f(n - 1) + 2k$ , by (D) for  $i = 0$  and  $i = 2k$  and (17), we also have

$$(21) \quad \delta_{f(n)}\delta_{f(n)-1} = (\delta_n\delta_{n-1})^r(\gamma_n/\gamma_{n-1})^r h_{2k}(\gamma_{n-1}^r)/h_0(\gamma_n^r).$$

By  $(I_2)$  at rank  $n$ , (21) becomes

$$(22) \quad \omega_k\delta_{f(n)}\delta_{f(n)-1} = h_{2k}(\gamma_{n-1}^r)/h_0(\gamma_n^r).$$

By  $(I_2)$  at rank  $f(n)$ , (22) implies

$$(23) \quad \gamma_{f(n)} = \gamma_{f(n)-1}h_0(\gamma_n^r)/h_{2k}(\gamma_{n-1}^r).$$

By (20) we also have

$$(24) \quad \gamma_{f(n)-1} = \gamma_{f(n-1)+2k} = \gamma_{f(n-1)}h_{2k}(\gamma_{n-1}^r)/h_0(\gamma_{n-1}^r).$$

Combining (23) and (24), we obtain

$$(25) \quad \gamma_{f(n)} = \gamma_{f(n-1)}h_0(\gamma_n^r)/h_0(\gamma_{n-1}^r).$$

Consequently, by (25), for  $n \geq 1$  we have

$$(26) \quad \gamma_{f(n)}/h_0(\gamma_n^r) = C_0 = \gamma_{f(1)}/h_0(\gamma_1^r).$$

To compute  $C_0$ , we apply  $(I_2)$  at rank  $f(1) = l + 1$  and (D) for  $n = 1$  and  $i = 0$ . We obtain

$$C_0 = \gamma_l\varepsilon_1^r(\delta_1\gamma_1)^{-r}(\delta_l\omega_k)^{-1}.$$

Finally, combining (20) and (26), we get  $(I_3)$ . We now prove that  $(I_2')$  and  $(I_3)$  imply  $(I_2)$ . Hence  $(I_2)$  holds for  $2 \leq n \leq l$ . Moreover, we obtain (19) directly from  $(I_3)$ . Together with (18), this proves that  $(I_2)$  holds at rank  $f(n) + i$  for  $n \geq 1$  and  $1 \leq i \leq 2k$ . We observe that  $(I_2)$  also holds for  $l + 1$ . Indeed, applying  $(I_3)$  for  $n = 1$  and  $i = 0$ , together with the value of  $C_0$ , we obtain  $(I_2)$  for  $l + 1$ . Now we assume that  $n \geq 2$  and we apply  $(I_3)$  for  $i = 0$  and for  $i = 2k$ . We have

$$(27) \quad \gamma_{f(n)}/\gamma_{f(n)-1} = h_0(\gamma_n^r)/h_{2k}(\gamma_{n-1}^r).$$

Combining (21) and (27) we obtain

$$\gamma_{f(n)}/\gamma_{f(n)-1} = (\delta_n\delta_{n-1})^r(\gamma_n/\gamma_{n-1})^r(\delta_{f(n)}\delta_{f(n)-1})^{-1}.$$

This shows that if  $(I_2)$  holds at rank  $n \geq 2$  then it holds at rank  $f(n)$ . Consequently, with the cases already established and using induction, we see that  $(I_2)$  holds for all  $n \geq 2$ . The proof of the lemma is complete.

*Proof of Theorem B.* Let  $\alpha \in \mathbb{F}(q)$  be a continued fraction of type  $(r, l, k)$  defined by the  $l$ -tuple  $\lambda_1, \dots, \lambda_l \in (\mathbb{F}_q^*)^l$  and the pair  $(\varepsilon_1, \varepsilon_2) \in (\mathbb{F}_q^*)^2$ . According to Lemmas 5.1 and 5.2, the sequence of partial quotients for  $\alpha$  satisfies (I) if and only if there exists a sequence  $(\delta_n)_{n \geq 0}$  in  $\mathbb{F}_q^*$  satisfying  $(II_0)$ ,  $(D_2)$  and  $(D_3)$ , where the sequence  $(\lambda_n)_{n \geq 1}$  is based on  $(\delta_n)_{n \geq 0}$  by  $(LD)$ . Given the value for  $\delta_0$  in Lemma 5.1, the existence of this sequence requires condition (II) of Theorem B. According to Lemma 5.3, this sequence does exist

if and only if there exists a sequence  $(\gamma_n)_{n \geq 1}$  satisfying  $(D)$ ,  $(I_1)$  and  $(I_2)$ . Now distinguish two cases: either  $\varepsilon_2^r \delta_l - 4k^2 \theta_k \varepsilon_1^r = 0$  or  $\varepsilon_2^r \delta_l - 4k^2 \theta_k \varepsilon_1^r \neq 0$ . In the first case, which is case  $(III_1)$  of Theorem B, by  $(I_1)$  and according to the previous value for  $\delta_0$ , we have  $\gamma_1 = 0$  and also, by  $(I_2)$ ,  $\gamma_n = 0$  for  $n \geq 2$ . In the second case, which is case  $(III_2)$  of Theorem B, again by  $(I_1)$  and  $(I_2)$ , the sequence  $(\gamma_n)_{n \geq 1}$  is in  $\mathbb{F}_q^*$ , and consequently, in view of Lemma 5.4, it can be described by the formulas  $(I)$  of Theorem B. In both cases, the sequence  $(\delta_n)_{n \geq 0}$  is determined recursively from  $\delta_1, \dots, \delta_l$  and by  $(D)$  from  $(\gamma_n)_{n \geq 1}$ , identically zero or not. So the proof of the theorem is complete.

*Proof of Corollary C.* First, looking at the degrees of the polynomial coefficients of equation  $(E)$ , we observe that if this equation has a root  $\alpha$  in  $\mathbb{F}(27)$  then we must have  $|\alpha| = |T|$ . Now, with Proposition A, we consider the continued fraction of type  $(3, 1, 1)$  in  $\mathbb{F}(27)$  defined by  $\lambda_1 = 1$  and the pair  $(-u^6, u^3) \in (\mathbb{F}_{27}^*)^2$ . So we have  $\alpha^3 = -u^6(T^2 - 1)\alpha_2 + u^3T$ , where  $\alpha_2 = 1/(\alpha - T)$ . Hence this continued fraction satisfies equation  $(E)$ . This proves that  $(E)$  has no other root in  $\mathbb{F}(27)$  (and consequently this root is algebraic over  $\mathbb{F}_{27}(T)$  of degree four). Now we need to prove that the expansion for  $\alpha$  is perfect. Here we have  $k = 1$  and  $l = 1$ , and consequently  $\theta_1 = 1$  and  $f(n) = 3n - 1$  for  $n \geq 1$ . From Lemma 5.1, we also have  $\delta_0 = u^{-3}$  and  $\delta_1 = -\lambda_1^3 + \varepsilon_2 = -1 + u^3 = u^4 \in \mathbb{F}_{27}^*$ . So  $(II)$  holds.

We now compute  $\gamma_1$ . We have  $\gamma_1^3 = (4\theta_1 \varepsilon_1^3 \delta_1^{-3} - \varepsilon_2^3)\theta_1 \delta_1^{-3} = u^3 \neq 0$ . We are in case  $(III_2)$  if the sequence  $(\gamma_n)_{n \geq 1}$  can be defined. First we compute  $C_0$ . We have  $C_0 = -\delta_1^{-1}(\gamma_1 \varepsilon_1^3)(\delta_1 \gamma_1)^{-3} = 1$ . Applying the formulas in  $(I)$  with the triplet  $(h_0, h_1, h_2)$  in  $(\mathbb{F}_3(X))^3$  stated in  $(H)$ , we obtain the recursive definition given in the corollary for  $(\gamma_n)_{n \geq 1}$ . As  $\gamma_1 = u$  has degree 3 over  $\mathbb{F}_3$ , by induction all the terms have the same degree over  $\mathbb{F}_3$ , and therefore  $(\gamma_n)_{n \geq 1}$  is well defined. Applying the formulas  $(D)$  with the triplet  $(g_0, g_1, g_2)$  in  $(\mathbb{F}_3(X))^3$  stated in  $(G)$ , we obtain the recursive definition for  $(\delta_n)_{n \geq 1}$  from  $(\gamma_n)_{n \geq 1}$  as stated in the corollary. Finally, by the formulas  $(LD)$ , the sequence  $(\lambda_n)_{n \geq 1}$  satisfies the recursive definition indicated in the corollary. This completes the proof.

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