## On canonical subfield preserving polynomials

by

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**1. Introduction.** Let q be a prime power and m a natural number. In [1] the structure of the group consisting of permutation polynomials [3] of  $\mathbb{F}_{q^m}$  having coefficients in the base field  $\mathbb{F}_q$  was made explicit. We start by observing that, if f is a permutation of  $\mathbb{F}_{q^m}$  with coefficients in  $\mathbb{F}_q$  then

$$f(\mathbb{F}_q) = \mathbb{F}_q \quad \text{and} \quad \forall d, s \,|\, m \quad f(\mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}) = \mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}.$$

Indeed for any integer  $s \geq 1$ , since f has coefficients in  $\mathbb{F}_q$ , and  $\mathbb{F}_{q^s}$  is a field, we have  $f(\mathbb{F}_{q^s}) \subseteq \mathbb{F}_{q^s}$ . As f is also a bijection, this is in fact an equality. The property above then follows directly (see also [1, Lemma 2]).

It is now natural to ask which polynomials f, having coefficients in  $\mathbb{F}_q,$  have the property that

(1.1) 
$$f(\mathbb{F}_q) \subseteq \mathbb{F}_q$$
 and  $\forall d, s \mid m \quad f(\mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}) \subseteq \mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}.$ 

Let us denote by  $T_q^m$  the set of such polynomials. We remark that this is a monoid under composition, and its invertible elements  $(T_q^m)^*$  form the group of permutation polynomials with coefficients in  $\mathbb{F}_q$ , mentioned above.

In this paper we give the explicit semigroup structure of  $T_q^m$ , obtaining the main result of [1] (i.e. the group structure mentioned above) as a corollary. The explicit semigroup structure will allow us to compute the probability that a polynomial chosen uniformly at random having coefficients in  $\mathbb{F}_q$  satisfies condition (1.1). This will imply the following remarkable results:

- Given p prime, for q relatively large, the density of  $T_q^p$  is approximately zero.
- Given q, for p a relatively large prime, the density of  $T_q^p$  is approximately one.
- For q = p a large prime the density of  $T_p^p$  is approximately 1/e.

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Indeed, Theorem 5.3 shows how the asymptotic density intrinsically depends on the ratio between p and q (to be compared with the trivial density in Theorem 5.1 and Corollary 5.2).

## 2. Preliminary definitions

DEFINITION 2.1. We say  $f : \mathbb{F}_{q^m} \to \mathbb{F}_{q^m}$  is subfield preserving if (2.1)  $f(\mathbb{F}_q) \subseteq \mathbb{F}_q$  and  $\forall d, s \mid m \quad f(\mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}) \subseteq \mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}$ . Moreover, we will say f is q-canonical if its polynomial representation has coefficients in  $\mathbb{F}_q$  (or simply canonical when q is understood).

REMARK 2.2. One of the reasons why we use the term *canonical* to address the property of having coefficients in a subfield is that, under this property, the induced map  $\tilde{f}$  of f(x) is always well defined no matter what irreducible polynomial we choose for the representation of the finite field extension  $\mathbb{F}_{q^m}$ .

Denote by  $\mathcal{L}_{\mathbb{F}_{q^m}}$  the set of all subfield preserving polynomials.

REMARK 2.3. If we drop the condition on the coefficients, the semigroup structure becomes straightforward:

$$\mathcal{L}_{\mathbb{F}_{q^m}} \cong \bigotimes_{k|m} M_{[k\pi(k)]}$$

with  $\pi(k)$  being the number of monic irreducible polynomials of degree k over  $\mathbb{F}_q$  and  $M_{[n]}$  being the set of all maps from  $\{1, \ldots, n\}$  to itself.

REMARK 2.4. Clearly not all subfield preserving polynomials are canonical, which can also be checked by a cardinality count using the results later in the paper.

We will need the following lemma, whose proof can be easily adapted from [1] and [2].

LEMMA 2.5. Let  $f : \mathbb{F}_{q^m} \to \mathbb{F}_{q^m}$  be a map. Then  $f \in \mathbb{F}_q[x]$  if and only if  $f \circ \varphi_q = \varphi_q \circ f$  where  $\varphi_q(x) = x^q$ .

Indeed, the set of functions we are looking at is  $T_q^m = \mathcal{L}_{\mathbb{F}_{q^m}} \cap \mathcal{C}_{\varphi_q}$  where  $\mathcal{C}_{\varphi_q} := \{f : \mathbb{F}_{q^m} \to \mathbb{F}_{q^m} \mid f \circ \varphi_q = \varphi_q \circ f\}.$ 

**3. Combinatorial underpinning.** Let S be a finite set and  $\psi: S \to S$  a bijection. For any  $T \subseteq S$ , let

 $\mathcal{K}_{\psi}(T) := \{ f: T \to T \mid \forall x \in T \ f \circ \psi(x) = \psi \circ f(x) \}.$ 

For any partition  $\mathcal{P}$  of S into sets  $P_k$ , let

$$M_S(\mathcal{P}) := \{ f : S \to S \mid \forall k \ f(P_k) \subseteq P_k \}.$$

When  $\mathcal{P} = \{S\}$  is the trivial partition, we will denote  $M_S(\{S\}) = M_S$ , the monoid of maps from S to itself.

For any bijection  $\phi: S \to S$ , define  $\phi_k$  for any k as the composition of the cycles of  $\phi$  of length k, and set  $\phi_k = (\emptyset)$  if  $\phi$  has no such cycles. Let  $W = \{1, \ldots, |S|\}$ . Then  $\phi = \prod_{k \in W, \phi_k \neq (\emptyset)} \phi_k$ . If  $\operatorname{supp}(\phi_k)$  denotes the set of elements moved by  $\phi_k$ , then  $\phi$  induces a partition  $\mathcal{P}_{\phi}$  on  $S = \bigcup_{k \in W} S_k$ , with  $S_k = \operatorname{supp}(\phi_k)$  for  $k \geq 2$ , and  $S_1$  being the set of fixed points of  $\phi$ .

Lemma 3.1.

$$M_S(\mathcal{P}_{\phi}) \cap \mathcal{K}_{\phi}(S) \cong \underset{k \in W, \phi_k \neq (\emptyset)}{\times} \mathcal{K}_{\phi_k}(S_k).$$

*Proof.* Clearly any  $f \in \mathcal{K}_{\phi_k}(S_k)$  can be extended to S as the identity and the extension  $\overline{f}$  belongs to  $\mathcal{K}_{\phi}(S) \cap M_S(\mathcal{P}_{\phi})$ . Indeed we have a natural injection

$$\underset{k \in W, \phi_k \neq (\emptyset)}{\times} \mathcal{K}_{\phi_k}(S_k) \hookrightarrow M_S(\mathcal{P}_{\phi}) \cap \mathcal{K}_{\phi}(S).$$

This is also a surjection: in fact, let  $f \in M_S(\mathcal{P}_{\phi}) \cap \mathcal{K}_{\phi}(S)$  and define

$$f_k(x) := \begin{cases} f(x) & \text{if } x \in S_k, \\ x & \text{otherwise.} \end{cases}$$

Since  $M_S(\mathcal{P}_{\phi}) \cap \mathcal{K}_{\phi}(S) \subseteq M_S(\mathcal{P}_{\phi})$ , then  $f_k(S_k) \subseteq S_k$ , which implies

$$f_k\big|_{S_k} \in \mathcal{K}_{\phi_k}(S_k)$$

As the  $S_k$  form a partition, the composition of all the  $f_k$  coincides with f.

Now, for  $n, k \in \mathbb{N}$  let  $U_n^k$  be a set with kn elements and  $\psi$  a bijection of  $U_n^k$  having n cycles of length k. Let us label the elements of  $U_n^k$  in the following way: let  $a_{ij}$  be the *j*th element of the *i*th cycle, with  $i \in \{1, \ldots, n\}$  and  $j \in \{1, \ldots, k\}$ .

Let [h] denote  $\{1, \ldots, h\}$  for a natural number h. We say  $\lambda : [h] \to [h]$  is a *cyclic shift* of [h] if  $\lambda(j + \ell) = \lambda(j) + \ell$  modulo h for any  $j, \ell \in [h]$ .

Let  $\gamma_1, \ldots, \gamma_n$  be cyclic shifts of [k] and  $\sigma : [n] \to [n]$  a map. We then define  $f_{\sigma}^{\gamma} : U_n^k \to U_n^k$  as follows:

$$f^{\gamma}_{\sigma}(a_{ij}) := a_{\sigma(i)\gamma_i(j)}.$$

THEOREM 3.2.  $g \in \mathcal{K}_{\psi}(U_n^k)$  iff there exists  $\gamma := (\gamma_1, \ldots, \gamma_n), \gamma_i$  cyclic shifts of [k], and a map  $\sigma : [n] \to [n]$  such that  $g = f_{\sigma}^{\gamma}$ .

*Proof.* Suppose first  $g \in \mathcal{K}_{\psi}(U_n^k)$ . Then

$$g(a_{ij}) = g(\psi^{j-1}(a_{i1})) = \psi^{j-1}(g(a_{i1})).$$

Define  $\sigma(i) := [g(a_{i1})]_1$  and  $\gamma_i(j) := [g(a_{ij})]_2$ , where the subscripts  $[x]_1$  and  $[x]_2$  refer to the indices i, j of  $x \in U_n^k$  in the representation  $a_{ij}$  above.

Observe that for all  $i \in [n]$ ,  $\gamma_i$  is a cyclic shift: indeed, modulo k,

$$\gamma_i(j+\ell) = [g(a_i \ _{j+\ell})]_2 = [g(\psi^\ell(a_{ij}))]_2 = [\psi^\ell(g(a_{ij}))]_2$$
$$= [g(a_{ij})]_2 + \ell = \gamma_i(j) + \ell.$$

Moreover,

$$g(a_{ij}) = g(\psi^{j-1}(a_{i1})) = \psi^{j-1}(g(a_{i1})) = \psi^{j-1}(a_{\sigma(i)\gamma_i(1)})$$
  
=  $a_{\sigma(i)\gamma_i(1)+j-1} = a_{\sigma(i)\gamma_i(j)} = f_{\sigma}^{\gamma}(a_{ij}).$ 

Let us now prove the other implication:

$$\psi(f_{\sigma}^{\gamma}(a_{ij})) = \psi(a_{\sigma(i)\gamma_i(j)}) = a_{\sigma(i)\gamma_i(j)+1}$$
$$= a_{\sigma(i)\gamma_i(j+1)} = f_{\sigma}^{\gamma}(a_{ij+1}) = f_{\sigma}^{\gamma}(\psi(a_{ij}))$$

for all  $i \in [n]$  and  $j \in [k]$ .

**3.1. Semidirect product of monoids.** We now recall the definition of semidirect product of monoids

DEFINITION 3.3. Let M, N be monoids and let  $\Gamma : M \to \operatorname{End}(N)$  with  $m \mapsto \Gamma_m$  be an antihomomorphism of monoids (i.e.  $\Gamma_{m_1m_2} = \Gamma_{m_2} \circ \Gamma_{m_1}$ ). We define  $M \ltimes_{\Gamma} N$  as the monoid having support  $M \times N$  and operation \* defined by the formula

$$(m_1, n_1) * (m_2, n_2) = (m_1 m_2, \Gamma_{m_2}(n_1) n_2).$$

REMARK 3.4. It is straightforward to verify that \* is associative.

We will now prove an easy lemma that will be useful in Section 4. For any monoid H let us denote by  $H^*$  the group of invertible elements of H.

LEMMA 3.5. Let  $M \ltimes G$  be a semidirect product of monoids where G is a group. Then

$$(M \ltimes G)^* = M^* \ltimes G.$$

*Proof.* The inclusion  $(M \ltimes G)^* \subseteq M^* \ltimes G$  is trivial, since if  $(m, g) \in (M \ltimes G)^*$  then there exists (m', g') such that

$$(m,g) * (m',g') = (e_1,e_2),$$

so  $mm' = e_1$ , the identity element of M. To prove the converse inclusion, let  $(m, g) \in M^* \ltimes G$ . Then its inverse is  $(m^{-1}, \Gamma_{m^{-1}}(g^{-1}))$ .

We are now ready to prove the main proposition of this section as a corollary of Theorem 3.2.

We first observe that the set of cyclic shifts of [k] is clearly isomorphic to  $C_k$ , the cyclic group of order k, and each cyclic shift is determined by its action on 1.

Corollary 3.6.

$$\mathcal{K}_{\psi}(U_n^k) \cong M_{[n]} \ltimes_{\Gamma} C_k^n$$

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where  $\Gamma$  is defined by

$$\Gamma(\sigma)(\gamma) := \Gamma_{\sigma}(\gamma) := \gamma_{\sigma} := (\gamma_{\sigma(1)}, \dots, \gamma_{\sigma(n)}) \quad \text{for any } \gamma \in C_k^n$$

*Proof.* First observe that

$$\Gamma_{\mu}(\gamma_{\sigma(1)},\ldots,\gamma_{\sigma(n)})=(\gamma_{\sigma(\mu(1))},\ldots,\gamma_{\sigma(\mu(i))},\ldots,\gamma_{\sigma(\mu(n))})$$

for any  $\sigma, \mu \in M_{[n]}$ . This can be easily seen by denoting  $\gamma_{\sigma(i)} =: g_i$ . Therefore,  $\Gamma$  is an antihomomorphism, as desired:

$$\Gamma(\sigma\mu)(\gamma) = \gamma_{\sigma\mu} = (\gamma_{\sigma(\mu(1))}, \dots, \gamma_{\sigma(\mu(i))}, \dots, \gamma_{\sigma(\mu(n))})$$
$$= \Gamma_{\mu}(\gamma_{\sigma(1)}, \dots, \gamma_{\sigma(n)}) = \Gamma_{\mu} \circ \Gamma_{\sigma}(\gamma).$$

Let

$$\Delta: M_{[n]} \ltimes C_k^n \to \mathcal{K}_{\psi}(U_n^k), \quad (\sigma, \gamma) \mapsto f_{\sigma}^{\gamma}$$

Then  $\Delta$  is clearly a bijection by Theorem 3.2. It is also an automorphism since

$$\begin{aligned} &\Delta((\overline{\sigma},\overline{\gamma})*(\sigma,\gamma))(a_{i,j}) = \Delta(\overline{\sigma}\sigma,\overline{\gamma}_{\sigma}\gamma)(a_{i,j}) = f_{\overline{\sigma}\sigma}^{\overline{\gamma}_{\sigma}\gamma}(a_{i,j}) \\ &= a_{\overline{\sigma}\sigma(i),\overline{\gamma}_{\sigma(i)}\gamma_i(j)} = f_{\overline{\sigma}}^{\overline{\gamma}}(a_{\sigma(i),\gamma_i(j)}) = f_{\overline{\sigma}}^{\overline{\gamma}} \circ f_{\sigma}^{\gamma}(a_{i,j}) = (\Delta(\overline{\sigma},\overline{\gamma}) \circ \Delta(\sigma,\gamma))(a_{i,j}) \\ \text{for all } i \in [n] \text{ and } j \in [k]. \end{aligned}$$

4. Semigroup structure of  $T_q^m$ . Consider now  $T_q^m$  and notice that, since  $M_{\mathbb{F}_{q^m}}(\mathcal{P}_{\varphi_q}) = \mathcal{L}_{\mathbb{F}_{q^m}}$  and  $\mathcal{K}_{\varphi_q}(\mathbb{F}_{q^m}) = \mathcal{C}_{\varphi_q}$ , we have

(4.1) 
$$T_q^m = \mathcal{L}_{\mathbb{F}_{q^m}} \cap \mathcal{C}_{\varphi_q} = M_{\mathbb{F}_{q^m}}(\mathcal{P}_{\varphi_q}) \cap \mathcal{K}_{\varphi_q}(\mathbb{F}_{q^m}).$$

Indeed, the condition

 $f(S_k) \subseteq S_k$ 

for each  $S_k$  in the partition induced by  $\varphi_q$  is equivalent to the subfield preserving requirement (2.1), since

$$S_1 = \mathbb{F}_q$$
 and  $S_k = \bigcap_{a|k, a \neq k} (\mathbb{F}_{q^k} \setminus \mathbb{F}_{q^a})$  for  $k \ge 2$ .

Any element  $\alpha$  in a cycle of length d is associated to the irreducible polynomial  $\prod_{i=0}^{d-1} (x - \alpha^{q^i}) \in \mathbb{F}_q[x]$ , so there is a bijection between the cycles of  $\varphi_q$  of length d and the monic irreducible polynomials of degree d over  $\mathbb{F}_q$ , whose cardinality is

$$\pi(d) = \frac{1}{d} \sum_{j|d} \mu(d/j) q^j$$

with  $\mu$  being the Möbius function. Now, write

$$\varphi_q = \prod_{k|m} \phi_k$$

as above with  $\phi = \varphi_q$  and label the elements of the finite field as follows:  $a_{i,j}^{(k)}$  is the *j*th element in the *i*th *k*-cycle.

EXAMPLE 4.1. Let  $\mathbb{F}_{2^2} = \mathbb{F}_2[\alpha]/(\alpha^2 + \alpha + 1)$ , consisting of  $\{0, 1, \alpha, \alpha + 1\}$ . Indeed,

$$\varphi_q = \phi_1 \phi_2 = (0)(1)(\alpha, \alpha + 1)$$

and then  $a_{1,1}^{(1)} = 0$ ,  $a_{2,1}^{(1)} = 1$ ,  $a_{1,1}^{(2)} = \alpha$  and  $a_{1,2}^{(2)} = \alpha + 1$ .

THEOREM 4.2.

(4.2) 
$$T_q^m \cong \bigotimes_{k|m} M_{[\pi(k)]} \ltimes C_k^{\pi(k)}$$

*Proof.* This follows from Lemma 3.1 and Corollary 3.6 using the partition induced by the Frobenius morphism. Indeed, using (4.1) and Lemma 3.1 we get

$$T_q^m \cong \bigotimes_{k \in W, \, \phi_k \neq (\emptyset)} \mathcal{K}_{\phi_k}(S_k).$$

Using now Corollary 3.6 we get

$$T_q^m \cong \bigotimes_{k|m} M_{[\pi(k)]} \ltimes C_k^{\pi(k)}.$$

More explicitly, the action of  $t \in \times_{k|m} M_{[\pi(k)]} \ltimes C_k^{\pi(k)}$  on an element  $a_{i,j}^{(k)} \in S_k \subseteq \mathbb{F}_{q^m}$  is given by

$$t(a_{i,j}^{(k)}) = (\sigma^{(k)}, \gamma^{(k)})(a_{i,j}^{(k)}) = f_{\sigma^{(k)}}^{\gamma^{(k)}}(a_{i,j}^{(k)}) = a_{\sigma^{(k)}(i),\gamma_i^{(k)}(j)}^{(k)},$$

where  $\gamma^{(k)}$  and  $\sigma^{(k)}$  are the components indexed by k.

Corollary 4.3.

$$(T_q^m)^* \cong \bigotimes_{k|m} \mathcal{S}_{\pi(k)} \ltimes C_k^{\pi(k)},$$

where  $S_{\pi(k)}$  is the permutation group of  $\pi(k)$  elements.

*Proof.* Observe that trivially

$$(T_q^m)^* \cong \bigotimes_{k|m} (M_{[\pi(k)]} \ltimes C_k^{\pi(k)})^*.$$

Applying now Lemma 3.5 yields

$$(T_q^m)^* \cong \bigotimes_{k|m} (M_{[\pi(k)]} \ltimes C_k^{\pi(k)})^* \cong \bigotimes_{k|m} \mathcal{S}_{\pi(k)} \ltimes C_k^{\pi(k)}. \blacksquare$$

COROLLARY 4.4.

$$|T_q^m| = \prod_{k|m} k^{\pi(k)} \pi(k)^{\pi(k)}, \quad |(T_q^m)^*| = \prod_{k|m} k^{\pi(k)} \pi(k)!$$

REMARK 4.5. Corollary 4.3 corresponds to [1, Theorem 2], and Corollary 4.4 generalizes the corollary of [1, Theorem 2].

REMARK 4.6. Let us observe that a simpler decomposition of  $(T_q^m)^*$ , as a direct product of two monoids, can be seen as follows:

- First notice that any permutation polynomial over  $\mathbb{F}_q$  can be extended to a permutation polynomial over  $\mathbb{F}_{q^m}$  with coefficients in  $\mathbb{F}_q$  by simply defining it as the identity function on  $\mathbb{F}_{q^m} \setminus \mathbb{F}_q$  and Lagrange interpolation over the whole field. The resulting permutation polynomial over  $\mathbb{F}_{q^m}$  has coefficients in  $\mathbb{F}_q$ , since it commutes with  $\varphi_q$ , which is easily checked by looking at the base field and the rest separately.
- $(T_q^m)^*$  then has a normal subgroup isomorphic to  $\mathcal{S}_q$  consisting of

$$\{s \in (T_q^m)^* \mid s \text{ is the identity on } \mathbb{F}_{q^m} \setminus \mathbb{F}_q\}.$$

• Let

$$H_q^m := \{ h \in (T_q^m)^* \, | \, h \text{ is the identity on } \mathbb{F}_q \}.$$

Then  $H_q^m$  is also normal in  $(T_q^m)^*$ .

• We have  $S_q \times H_q^m = (T_q^m)^*$ . Indeed, note first that  $H_q^m \cap S_q = 1$ . Now given  $f \in (T_q^m)^*$  we have to prove that it can be written as a composition of an element of  $H_q^m$  and an element of  $S_q$ . Let  $s_2 \in S_q$ be such that  $s_2$  restricted to  $\mathbb{F}_q$  is f. Let  $s_1 \in S_q$  be such that  $s_1$ restricted to  $\mathbb{F}_q$  is the inverse permutation of the restriction of f to  $\mathbb{F}_q$ . In other words,  $f \circ s_1$  restricted to  $\mathbb{F}_q$  is the identity. Observe then that since  $f \circ s_1$  also has coefficients in  $\mathbb{F}_q$ , it lives in  $H_q^m$ . Verify that  $s_2 \circ f \circ s_1 = f$ . Thus we have written f as a composition of an element of  $S_q$  and an element of  $H_q^m$ .

5. Asymptotic density of  $T_q^m$ . Let us first compute the asymptotic density of the group of permutation polynomials described in [1] inside the whole group of permutation polynomials, and inside the monoid of the polynomial functions having coefficients in the subfield  $\mathbb{F}_q$ . We will restrict to the case  $\mathbb{F}_{q^p}$ , p prime.

THEOREM 5.1. Consider an element of  $\mathbb{F}_q[x]/(x^{q^p}-x)$  chosen uniformly at random. The probability that this is a permutation polynomial tends to 0 as p and/or q tends to  $\infty$ .

*Proof.* Given Corollary 4.4, we need to consider

$$L := \lim_{p \lor q \to \infty} \frac{q!(p)^{\frac{q^p-q}{p}} \left(\frac{q^p-q}{p}\right)!}{q^{q^p}}$$

By Stirling approximation this is

$$L = \lim_{p \lor q \to \infty} \frac{q!(p)^{\frac{q^{p}-q}{p}} \left(\frac{q^{p}-q}{pe}\right)^{\frac{q^{p}-q}{p}} \sqrt{2\pi \frac{q^{p}-q}{p}}}{q^{q^{p}}}.$$

Now notice that

$$\lim_{p \lor q \to \infty} \left(\frac{q^p - q}{q^p}\right)^{\frac{q^p - q}{p}} = \lim_{p \lor q \to \infty} \left(1 - \frac{1}{q^{p-1}}\right)^{q^{p-1} \cdot \frac{q - q^{2-p}}{p}}$$

By the continuity of the exponential function, this can be written as

$$\lim_{p \lor q \to \infty} e^{\frac{q-q^{2-p}}{p} \ln \left(1 - \frac{1}{q^{p-1}}\right)^{q^{p-1}}} = e^{-\lim_{p \lor q \to \infty} \frac{q}{p}}$$

so that

$$L = \lim_{p \lor q \to \infty} \frac{q! (q^p)^{\frac{q^p - q}{p}} e^{-\frac{q}{p}} \sqrt{2\pi \frac{q^p - q}{p}}}{q^{q^p} e^{\frac{q^p - q}{p}}} = \lim_{p \lor q \to \infty} \frac{q! e^{-\frac{q}{p}} \sqrt{2\pi \frac{q^p - q}{p}}}{q^q e^{\frac{q^p - q}{p}}} = 0,$$

as one can easily see by exploring the cases  $q \to \infty$  with Stirling and q fixed.  $\blacksquare$ 

By observing that  $q^{p}! > q^{q^{p}}$  eventually for large p and/or q, we also have the following:

COROLLARY 5.2. Consider a permutation of the set  $\mathbb{F}_{q^m}$  chosen uniformly at random. The probability that its associated permutation polynomial has coefficients in the subfield  $\mathbb{F}_q$  tends to 0 as p and/or q tends to  $\infty$ .

We are now interested in an asymptotic estimate for the density of  $T_q^p$  in  $\mathbb{F}_q[x]/(x^{q^p}-x)$  for p a prime number. We will show in fact that the monoid of canonical subfield preserving polynomials has nontrivial density inside the monoid of polynomial functions having coefficients in  $\mathbb{F}_q$ . Given Corollary 4.4, the probability that an element of  $\mathbb{F}_q[x]/(x^{q^p}-x)$  chosen uniformly at random is subfield preserving is

$$\frac{|T_q^p|}{q^{q^p}} = \frac{q^q (q^p - q)^{\frac{q^p - q}{p}}}{q^{q^p}}.$$

THEOREM 5.3. Consider an element of  $\mathbb{F}_q[x]/(x^{q^p}-x)$  chosen uniformly at random. The probability that it is subfield preserving tends to  $e^{-\lim_{p \lor q \to \infty} \frac{q}{p}}$  as p and/or q tends to  $\infty$ .

*Proof.* We need to consider

$$\ell := \lim_{p \lor q \to \infty} \frac{q^q (q^p - q)^{\frac{q^p - q}{p}}}{q^{q^p}}$$

With similar arguments to those in Theorem 5.1, this transforms to

$$\ell = \lim_{p \lor q \to \infty} \frac{q^q (q^p)^{\frac{q^p - q}{p}}}{q^{q^p}} e^{-\frac{q}{p}} = e^{-\lim_{p \lor q \to \infty} \frac{q}{p}}. \bullet$$

COROLLARY 5.4.

- $\lim_{p\to\infty} |T_q^p|/q^{q^p} = 1$  if q is fixed.  $\lim_{q\to\infty} |T_q^p|/q^{q^p} = 0$  if p is fixed.

COROLLARY 5.5. Let q = p. Then

$$\lim_{p \to \infty} \frac{|T_p^p|}{p^{p^p}} = 1/e.$$

REMARK 5.6. Clearly all the limits above are computed for p and qrunning over the natural numbers, but they hold in particular for the subsequences of increasing primes p and possible orders of finite fields q.

**6. Example.** Let us consider the structure of  $T_2^2$  as an example. Let  $\alpha$  be a root of  $x^2 + x + 1 = 0$ , so that  $\mathbb{F}_{2^2} = \mathbb{F}_2[\alpha]/(\alpha^2 + \alpha + 1)$ . It is easy to check that for each polynomial  $f \in L$  with

 $L := \{0, 1, x^{2} + x, x^{2} + x + 1, x^{3}, x^{3} + 1, x^{3} + x^{2} + x, x^{3} + x^{2} + x + 1\}$ we have  $f(\alpha) \in \mathbb{F}_2$ . We know that  $T_2^2$  contains eight polynomials, so that

$$T_2^2 = \frac{\mathbb{F}_2[x]}{(x^4 - x)} \setminus L$$
  
= {x, x + 1, x<sup>2</sup>, x<sup>2</sup> + 1, x<sup>3</sup> + x<sup>2</sup> + 1, x<sup>3</sup> + x, x<sup>3</sup> + x<sup>2</sup>, x<sup>3</sup> + x + 1}.

The structure is  $C_2 \times M_2$ .

Indeed,  $C_1^2 \rtimes \overline{M_2} = \overline{M_2}$  and consists of

 $\{x, x^2 + 1, x^3 + x^2, x^3 + x + 1\},\$ 

that is, those functions which fix  $\mathbb{F}_4 \setminus \mathbb{F}_2$  and act as  $M_2$  on  $\mathbb{F}_2$ .

Also  $C_2 \rtimes M_1 = C_2$  and consists of

$$\{x, x^2\},\$$

that is, those functions which fix  $\mathbb{F}_2$  and act as  $C_2$  on  $\mathbb{F}_4 \setminus \mathbb{F}_2$ . This is also  $H_2^2$ .

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