

## On Fleck quotients

by

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**1. Introduction and main results.** Let  $m \in \mathbb{Z}^+ = \{1, 2, \dots\}$ ,  $n \in \mathbb{N} = \{0, 1, \dots\}$  and  $r \in \mathbb{Z}$ , and define

$$(1.0) \quad C_m(n, r) = \sum_{k \equiv r \pmod{m}} \binom{n}{k} (-1)^k.$$

This sum has been studied by various authors and many applications have been found (cf. [S02] and the references therein). The following well-known observation is fundamental:

$$mC_m(n, r) = \sum_{k=0}^n \binom{n}{k} (-1)^k \sum_{\gamma^m=1} \gamma^{k-r} = \sum_{\gamma^m=1} \gamma^{-r} (1 - \gamma)^n.$$

Note that

$$C_m(n+1, r) = C_m(n, r) - C_m(n, r-1)$$

since  $x^{-r}(1-x)^{n+1} = x^{-r}(1-x)^n - x^{-r+1}(1-x)^n$ .

Let  $p$  be a prime, and let  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . In 1913 A. Fleck (cf. [D, p. 274]) showed that

$$\text{ord}_p(C_p(n, r)) \geq \left\lfloor \frac{n-1}{p-1} \right\rfloor,$$

where  $\text{ord}_p(\alpha)$  denotes the  $p$ -adic order of a  $p$ -adic number  $\alpha$ , and  $\lfloor \cdot \rfloor$  is the well-known floor function. Fleck's result is fundamental in the recent investigation of the  $\psi$ -operator related to Fontaine's theory, Iwasawa's theory, and  $p$ -adic Langlands correspondence (cf. [Co], [SW] and [W]); it also plays an indispensable role in Davis and Sun's study of homotopy exponents of special unitary groups (cf. [DS] and [SD]). In this paper we are interested

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in the *Fleck quotient*

$$(1.1) \quad F_p(n, r) := (-p)^{-\lfloor (n-1)/(p-1) \rfloor} C_p(n, r) + \llbracket n = 0 \rrbracket.$$

(Throughout this paper, for an assertion  $A$  we let  $\llbracket A \rrbracket$  take the value 1 or 0 according as  $A$  holds or not.)

For  $a \in \mathbb{Z}$  and  $m \in \mathbb{Z}^+$ , we use  $\{a\}_m$  to denote the least nonnegative residue of  $a \pmod m$  (thus  $\{a\}_m/m$  is the fractional part  $\{a/m\}$  of  $a/m$ ). For a prime  $p$  and an integer  $a$ , we define  $q_p(a) = (a^{p-1} - 1)/p$ , which is an integer if  $a \not\equiv 0 \pmod p$ .

By a number-theoretic approach related to Gauss sums, we establish the following explicit result.

**THEOREM 1.1.** *Let  $p$  be a prime, and let  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Set  $n_0 = \{n\}_p$  and  $n_1 = \{n_0 - n\}_{p-1} = \{-\lfloor n/p \rfloor\}_{p-1}$ . If  $n_0 \leq n_1$ , then*

$$(1.2) \quad F_p(n, r) \equiv \frac{(-1)^{n_1}}{n_1!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k - r)^{n_1} \pmod p.$$

If  $n_0 > n_1 = 0$ , then

$$(1.3) \quad F_p(n, r) \equiv (-1)^{\{r\}_p} \binom{n_0}{\{r\}_p} \pmod p.$$

If  $n_0 > n_1 > 0$ , then

$$(1.4) \quad F_p(n, r) \equiv \frac{(-1)^{n_1-1}}{(n_1 - 1)!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k - r)^{n_1} q_p(k - r) \pmod p.$$

**COROLLARY 1.1.** *Let  $p$  be a prime and let  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Then*

$$(1.5) \quad F_p(pn, r) \equiv \frac{r^{n^*}}{n^*!} \pmod p$$

where  $n^* = \{-n\}_{p-1}$ . Consequently,

$$(1.6) \quad F_p\left(p \frac{p-1}{2}, r\right) \equiv \begin{cases} (-1)^{(h(-p)+1)/2} \left(\frac{r}{p}\right) \pmod p & \text{if } p \neq 3 \text{ and } 4 \mid p + 1, \\ (-1)^{(h(p)-1)/2} \left(\frac{r}{p}\right)^{\frac{v}{2}} \pmod p & \text{if } 4 \mid p - 1, \end{cases}$$

where  $\left(\frac{\cdot}{p}\right)$  is the Legendre symbol, and  $h(-p)$  and  $h(p)$  are the class numbers of the quadratic fields  $\mathbb{Q}(\sqrt{-p})$  and  $\mathbb{Q}(\sqrt{p})$  respectively, and for  $p \equiv 1 \pmod 4$  we write the fundamental unit of  $\mathbb{Q}(\sqrt{p})$  in the form  $(v + u\sqrt{p})/2$  with  $u, v \in \mathbb{Z}$  and  $u \equiv v \pmod 2$ .

*Proof.* Note that  $\{pn\}_p = 0$ . By Theorem 1.1,

$$F_p(pn, r) \equiv \frac{(-1)^{n^*}}{n^*!} \sum_{k=0}^0 \binom{0}{k} (-1)^k (k-r)^{n^*} = \frac{r^{n^*}}{n^*!} \pmod{p}.$$

When  $p \neq 2$  and  $n = (p-1)/2$ , we have  $n^* = (p-1)/2$  and hence

$$\begin{aligned} F_p\left(p \frac{p-1}{2}, r\right) &\equiv r^{(p-1)/2} (-1)^{(p-1)/2} \frac{((p-1)/2)!}{\prod_{k=1}^{(p-1)/2} k(p-k)} \\ &\equiv \left(\frac{r}{p}\right) (-1)^{(p-1)/2} \frac{((p-1)/2)!}{(p-1)!} \quad (\text{by Euler's criterion}) \\ &\equiv (-1)^{(p+1)/2} \left(\frac{r}{p}\right) \frac{p-1}{2}! \pmod{p} \quad (\text{by Wilson's theorem}). \end{aligned}$$

If  $p > 3$  and  $p \equiv 3 \pmod{4}$ , then

$$\frac{p-1}{2}! \equiv (-1)^{(h(-p)+1)/2} \pmod{p}$$

by a result of L. J. Mordell [M]. If  $p \equiv 1 \pmod{4}$  and  $\varepsilon_p = (v + u\sqrt{p})/2 > 1$  is the fundamental unit of  $\mathbb{Q}(\sqrt{p})$  with  $u, v \in \mathbb{Z}$  and  $u \equiv v \pmod{2}$ , then by S. Chowla [C] we have

$$\frac{p-1}{2}! \equiv (-1)^{(h(p)+1)/2} \frac{v}{2} \pmod{p}.$$

Combining the above we immediately obtain (1.6). ■

REMARK. Let  $n$  be a positive integer and  $p > 2n + 1$  be a prime. By the first part of Corollary 1.1 in the case  $r = 0$ , we have

$$\binom{2pn}{pn} (-1)^n + 2 \sum_{k=0}^{n-1} \binom{2pn}{pk} (-1)^k = \sum_{k=0}^{2n} \binom{2pn}{pk} (-1)^{pk} \equiv 0 \pmod{p^{2n+1}}$$

and hence

$$(1.7) \quad \binom{2pn-1}{pn-1} = \frac{1}{2} \binom{2pn}{pn} \equiv \sum_{k=0}^{n-1} (-1)^{n-1-k} \binom{2pn}{pk} \pmod{p^{2n+1}}.$$

When  $n = 1$  and  $p > 3$ , this gives the Wolstenholme congruence

$$\frac{1}{2} \binom{2p}{p} = \binom{2p-1}{p-1} \equiv 1 \pmod{p^3}.$$

When  $n = 2$  and  $p > 5$ , (1.7) yields the following new congruence:

$$\binom{4p-1}{2p-1} = \frac{1}{2} \binom{4p}{2p} \equiv \binom{4p}{p} - 1 \pmod{p^5}.$$

Our second approach to Fleck quotients is of combinatorial nature. It involves Stirling numbers of the second kind as well as higher-order Bernoulli polynomials.

Let  $n \in \mathbb{N}$ . The Stirling numbers  $S(n, k)$  ( $k \in \mathbb{N}$ ) of the second kind are given by

$$x^n = \sum_{k \in \mathbb{N}} S(n, k)(x)_k,$$

where

$$(x)_0 = 1 \quad \text{and} \quad (x)_k = x(x-1) \cdots (x-k+1) \quad \text{for } k = 1, 2, \dots$$

Clearly,  $S(n, n) = 1$ , and  $S(n, k) = 0$  if  $k > n$ . When  $n+k > 0$ ,  $S(n, k)$  is actually the number of ways to partition a set of cardinality  $n$  into  $k$  nonempty subsets. Here is an explicit formula (cf. [LW, p. 126]) for Stirling numbers of the second kind:

$$S(n, k) = \frac{1}{k!} \sum_{j=0}^k \binom{k}{j} (-1)^{k-j} j^n.$$

As  $S(i, k) = 0$  for all those  $i \in \mathbb{N}$  with  $i < k$ , we have *Euler's identity*

$$\sum_{j=0}^k \binom{k}{j} (-1)^j P(j) = 0,$$

where  $P(x)$  is any polynomial with  $\deg P < k$  having complex number coefficients. It is known (cf. [LW, p. 126]) that

$$\sum_{n=k}^{\infty} S(n, k) \frac{x^n}{n!} = \frac{(e^x - 1)^k}{k!};$$

in other words,

$$(e^x - 1)^k = \sum_{n=k}^{\infty} \bar{S}(n, k) x^n \quad \text{with} \quad \bar{S}(n, k) = \frac{k!}{n!} S(n, k).$$

For  $m = 0, 1, \dots$ , the  $m$ th order Bernoulli polynomials  $B_n^{(m)}(t)$  ( $n \in \mathbb{N}$ ) are defined by

$$(1.8) \quad \frac{x^m e^{tx}}{(e^x - 1)^m} = \sum_{n=0}^{\infty} B_n^{(m)}(t) \frac{x^n}{n!},$$

and those  $B_n^{(m)} = B_n^{(m)}(0)$  are called the  $m$ th order Bernoulli numbers. The usual Bernoulli polynomials and numbers are  $B_n(t) = B_n^{(1)}(t)$  and  $B_n = B_n(0) = B_n^{(1)}$  respectively. (It is well known that  $B_0 = 1$ ,  $B_1 = -1/2$  and  $B_{2k+1} = 0$  for  $k = 1, 2, \dots$ ; the reader may consult [IR, pp. 228–248] for the basic properties of Bernoulli numbers.) For a formal power series  $f(x) = \sum_{n=0}^{\infty} a_n x^n$ , we use  $[x^n]f(x)$  to denote the coefficient  $a_n$  of the monomial  $x^n$  in  $f(x)$ . Thus

$$\begin{aligned}
 B_n^{(m)}(t) &= [x^n]n! \left( \frac{x}{e^x - 1} \right)^m e^{tx} \\
 &= [x^n]n! \sum_{k=0}^{\infty} B_k^{(m)} \frac{x^k}{k!} \sum_{j=0}^{\infty} \frac{(tx)^j}{j!} = \sum_{k=0}^n \binom{n}{k} B_k^{(m)} t^{n-k}.
 \end{aligned}$$

It is also easy to verify that  $B_n^{(m)}(m - t) = (-1)^n B_n^{(m)}(t)$ , and

$$\frac{B_n^{(m)}(t)}{n!} = \sum_{k_0 + \dots + k_{m-1} = n} \frac{B_{k_0}(t)}{k_0!} \prod_{0 < i < m} \frac{B_{k_i}}{k_i!} \quad \text{provided } m > 0.$$

If  $0 \leq n < p - 1$ , then  $B_0, \dots, B_n$  are  $p$ -adic integers by the von Staudt–Clausen theorem (cf. [IR, p. 233]) or the recurrence  $\sum_{k=0}^l \binom{l+1}{k} B_k = 0$  ( $l = 1, 2, \dots$ ), therefore  $B_n^{(m)}(t) \in \mathbb{Z}_p[t]$  where  $\mathbb{Z}_p$  is the ring of  $p$ -adic integers.

Our discovery of the next theorem was actually motivated by Theorem 1.1.

**THEOREM 1.2.** *Let  $p$  be a prime, and let  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Set  $n^* = \{-n\}_{p-1}$ . For any integer  $m \equiv n \pmod{p}$ , if  $m \geq 0$  then  $(-1)^n F_p(n, r)$  is congruent to*

$$\begin{aligned}
 (1.9) \quad \sum_{k=0}^{n^*} \bar{S}(n^* - k + m, m) \frac{(-r)^k}{k!} &= \sum_{k=0}^{n^*} \bar{S}(m + n^*, m + k) \binom{-r}{k} \\
 &= \sum_{k=0}^m \binom{m}{k} (-1)^{m-k} \frac{(k - r)^{m+n^*}}{(m + n^*)!}
 \end{aligned}$$

modulo  $p$ ; if  $m \leq 0$  then we have

$$\begin{aligned}
 (1.10) \quad F_p(n, r) &\equiv \frac{(-1)^{n^*}}{n^*!} B_{n^*}^{(-m)}(-r) \equiv -(p - 1 - n^*)! B_{n^*}^{(-m)}(-r) \pmod{p}.
 \end{aligned}$$

The following consequence determines  $B_n^{(m)}(a)$  modulo a prime  $p$  for  $m \in \{1, \dots, p\}$ ,  $n \in \{0, \dots, p - 2\}$  and  $a \in \mathbb{Z}$ .

**COROLLARY 1.2.** *Let  $p$  be a prime and  $r \in \mathbb{Z}$ . Let  $n_0 \in \{0, \dots, p - 1\}$  and  $n_1 \in \{0, \dots, p - 2\}$ . If  $n_0 \leq n_1$ , then*

$$(1.11) \quad B_{n_1 - n_0}^{(p - n_0)}(-r) \equiv \frac{1}{(n_1)_{n_0}} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^{n_0 - k} (k - r)^{n_1} \pmod{p}.$$

If  $n_0 > n_1 = 0$ , then

$$(1.12) \quad B_{p - n_0 + n_1 - 1}^{(p - n_0)}(-r) \equiv \frac{(-1)^{\{r\}_p - 1}}{n_0!} \binom{n_0}{\{r\}_p} \pmod{p}.$$

If  $n_0 > n_1 > 0$ , then

$$(1.13) \quad B_{p-n_0+n_1-1}^{(p-n_0)}(-r) \equiv \frac{(-1)^{n_1}}{(n_0 - n_1)!(n_1 - 1)!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k - r)^{n_1} q_p(k - r) \pmod{p}.$$

*Proof.* Let  $n$  be a nonnegative integer with  $n \equiv n_0 - pn_1 \pmod{p(p - 1)}$ . Applying (1.10) with  $m = n_0 - p$  we obtain

$$F_p(n, r) \equiv \frac{(-1)^{n^*}}{n^*!} B_{n^*}^{(p-n_0)}(-r) \equiv -(p - 1 - n^*)! B_{n^*}^{(p-n_0)}(-r) \pmod{p},$$

where  $n^* = \{-n\}_{p-1}$ .

If  $n_0 \leq n_1$ , then  $n^* = n_1 - n_0$  and hence

$$B_{n_1-n_0}^{(p-n_0)}(-r) \equiv (-1)^{n_1-n_0} (n_1 - n_0)! F_p(n, r) \pmod{p},$$

which implies (1.11) with the help of (1.2).

Now we consider the case  $n_0 > n_1$ . Clearly  $n^* = n_1 - n_0 + p - 1$  and  $p - 1 - n^* = n_0 - n_1$ . Therefore

$$F_p(n, r) \equiv -(n_0 - n_1)! B_{n_1-n_0+p-1}^{(p-n_0)}(-r) \pmod{p}.$$

The case  $n_1 = 0$  of this, together with (1.3), yields (1.12). When  $n_1 > 0$ , combining the last congruence with (1.4) we obtain (1.13). ■

**COROLLARY 1.3.** *Let  $p$  be a prime and let  $n \in \mathbb{Z}^+$ . Then  $\text{ord}_p(C_p(n, r)) = \lfloor (n - 1)/(p - 1) \rfloor$  for at least  $p - n^* \geq 2$  values of  $r \in \{0, \dots, p - 1\}$ , where  $n^* = \{-n\}_{p-1}$ .*

*Proof.* For any  $r \in \mathbb{Z}$ ,  $\text{ord}_p(C_p(n, r)) = \lfloor (n - 1)/(p - 1) \rfloor$  if and only if  $F_p(n, r) \not\equiv 0 \pmod{p}$ . By Theorem 1.2,

$$F_p(n, r) \equiv \frac{(-1)^{n^*}}{n^*!} B_{n^*}^{(p-\{n\}_p)}(-r) \pmod{p} \quad \text{for all } r = 0, \dots, p - 1.$$

Recall that  $B_{n^*}^{(p-\{n\}_p)}(x) \in \mathbb{Z}_p[x]$  is monic and of degree  $n^*$ . Also, a polynomial of degree  $n^*$  over the field  $\mathbb{Z}/p\mathbb{Z}$  cannot have more than  $n^*$  distinct zeroes in the field (cf. [IR, p. 39]). So the congruence equation  $F_p(n, r) \equiv 0 \pmod{p}$  has at most  $n^*$  solutions with  $r \in \{0, \dots, p - 1\}$ . This yields the desired result. ■

**COROLLARY 1.4.** *Let  $p$  be a prime, and let  $n \in \mathbb{N}$  and  $n^* = \{-n\}_{p-1}$ . Then*

$$(1.14) \quad (-1)^n F_p(n, 0) \equiv \bar{S}(n^* + \{n\}_p, \{n\}_p) \equiv \frac{B_{n^*}^{(m)}}{n^*!} \pmod{p},$$

where  $m$  is any nonnegative integer with  $m + n \equiv 0 \pmod{p}$ . Also,

$$(1.15) \quad (-1)^n F_p(pn + p - 1, r) \equiv \frac{B_{n^*}(-r)}{n^*!} \equiv -(p - 1 - n^*)! B_{n^*}(r + 1) \pmod{p}$$

for all  $r \in \mathbb{Z}$ , and in particular

$$(1.16) \quad \binom{2p - 1}{p + r} + (-1)^p \binom{2p - 1}{r} \equiv (-1)^r p^2 B_{p-2}(-r) \pmod{p^3}$$

for every  $r = 0, \dots, p - 1$ .

*Proof.* Applying Theorem 1.2 with  $r = 0$  we immediately get (1.14).

As  $pn + p - 1 \equiv -1 \pmod{p}$  and  $n^* = \{-(pn + p - 1)\}_{p-1}$ , by the second part of Theorem 1.2 and the identity  $(-1)^{n^*} B_{n^*}(x) = B_{n^*}(1 - x)$ , whenever  $r \in \mathbb{Z}$  we have

$$(-1)^{n^*} F_p(pn + p - 1, r) \equiv \frac{B_{n^*}(-r)}{n^*!} \equiv (-1)^{n^*+1} (p - 1 - n^*)! B_{n^*}(-r) \equiv -(p - 1 - n^*)! B_{n^*}(r + 1) \pmod{p}$$

and hence (1.15) holds.

Now let  $r \in \{0, \dots, p - 1\}$ . By (1.15) in the case  $n = 1$ ,

$$-F_p(2p - 1, r) \equiv -(p - 1 - (p - 2))! B_{p-2}(r + 1) \pmod{p}$$

and hence

$$F_p(2p - 1, r) \equiv B_{p-2}(1 - (-r)) = (-1)^{p-2} B_{p-2}(-r) \pmod{p},$$

which is equivalent to (1.16). ■

Let  $p$  be an odd prime, and let  $h_p$  and  $h_p^+$  denote the class numbers of the cyclotomic field  $\mathbb{Q}(\zeta_p)$  and its maximal real subfield  $\mathbb{Q}(\zeta_p + \zeta_p^{-1})$  respectively, where  $\zeta_p$  is a primitive  $p$ th root of unity in the complex field  $\mathbb{C}$ . It is well known that  $h_p^- = h_p/h_p^+$  is an integer. If  $p$  divides none of the numerators of the Bernoulli numbers  $B_0, B_2, \dots, B_{p-3} \in \mathbb{Z}_p$ , then  $p$  is said to be a *regular* prime. In 1850 E. Kummer proved that

$$p \nmid h_p \Leftrightarrow p \nmid h_p^- \Leftrightarrow p \text{ is regular} \\ \Rightarrow x^p + y^p = z^p \text{ has no integer solution with } xyz \neq 0.$$

Furthermore,

$$h_p^- \equiv \prod_{0 < n \leq (p-3)/2} \left( -\frac{B_{2n}}{4n} \right) \pmod{p}$$

by the proof of Theorem 5.16 in [Wa, p. 62].

COROLLARY 1.5. *Let  $p$  be a prime.*

(i) *For every  $n = 2, \dots, p$  we have*

$$(1.17) \quad \sum_{k=1}^n (-1)^{pk-1} \binom{pn-1}{pk-1} \equiv (n-1)! B_{p-n} p^n \pmod{p^{n+1}}.$$

(ii) *Suppose that  $p > 3$ . Then  $p$  does not divide the class number  $h_p$  of the  $p$ th cyclotomic field  $\mathbb{Q}(\zeta_p)$  if and only if*

$$\text{ord}_p \left( \sum_{k=1}^n (-1)^k \binom{pn-1}{pk-1} \right) = n \quad \text{for all } n = 3, 5, \dots, p-2.$$

Also,

$$(1.18) \quad \sum_{k=1}^{(p-1)/2} (-1)^{k-1} \binom{p(p-1)/2-1}{pk-1} \\ \equiv \llbracket 4 \mid p+1 \rrbracket (-1)^{(h(-p)+1)/2} h(-p) p^{(p-1)/2} \pmod{p^{(p+1)/2}},$$

where  $h(-p)$  is the class number of the imaginary quadratic field  $\mathbb{Q}(\sqrt{-p})$ .

*Proof.* (i) Let  $n \in \{2, \dots, p\}$ . Then  $\lfloor (pn-1)/(p-1) \rfloor = n$  and hence

$$F_p(pn-1, -1) = (-p)^{-n} C_p(pn-1, -1) = (-p)^{-n} \sum_{k=1}^n \binom{pn-1}{pk-1} (-1)^{pk-1}.$$

By Corollary 1.4,  $(-1)^n F_p(pn-1, -1)$  is congruent to

$$(p-1 - \{-(n-1)\}_{p-1})! B_{\{-(n-1)\}_{p-1}} (-1+1) = (n-1)! B_{p-n}$$

modulo  $p$ . Therefore (1.17) holds.

(ii) In view of part (i),

$$\begin{aligned} \text{ord}_p \left( \sum_{k=1}^n (-1)^k \binom{pn-1}{pk-1} \right) &= n \quad \text{for } n = 3, 5, \dots, p-2 \\ &\Leftrightarrow B_{p-n} \not\equiv 0 \pmod{p} \quad \text{for } n = 3, 5, \dots, p-2 \\ &\Leftrightarrow p \text{ is regular} \Leftrightarrow h_p \not\equiv 0 \pmod{p}. \end{aligned}$$

Taking  $n = (p-1)/2$  in (1.17) we get

$$\begin{aligned} \sum_{k=1}^{(p-1)/2} (-1)^{k-1} \binom{p(p-1)/2-1}{pk-1} \\ \equiv \frac{((p-1)/2)!}{(p-1)/2} p^{(p-1)/2} B_{(p+1)/2} \pmod{p^{(p+1)/2}}. \end{aligned}$$

If  $p \equiv 1 \pmod{4}$ , then  $B_{(p+1)/2} = 0$  since  $(p+1)/2 \in \{3, 5, \dots\}$ . If  $p \equiv 3 \pmod{4}$ , then we have  $h(-p) \equiv -2B_{(p+1)/2} \pmod{p}$  (cf. [IR, p. 238]), and



$((p - 1)/2)! \equiv (-1)^{(h(-p)+1)/2} \pmod{p}$  by Mordell [M]. So (1.18) follows from the above. ■

REMARK. Let  $p$  be an odd prime. If  $p \geq 5$ , then (1.17) in the case  $n = 2$  reduces to Wolstenholme's congruence  $\binom{2p-1}{p-1} \equiv 1 \pmod{p^3}$  since  $B_{p-2} = 0$ . Taking  $n = 3$  in (1.17) we get

$$\binom{3p-1}{p-1} - \binom{3p-1}{2p-1} + \binom{3p-1}{3p-1} \equiv 2B_{p-3}p^3 \pmod{p^4};$$

as  $\binom{3p-1}{2p-1} = 2\binom{3p-1}{p-1}$  this yields the congruence

$$\binom{3p-1}{p-1} \equiv 1 - 2p^3B_{p-3} \pmod{p^4}.$$

This was first obtained by J. W. L. Glaisher (cf. [G1, p. 21] and [G2, p. 323]) who showed that

$$\binom{pn-1}{p-1} \equiv 1 - \frac{n(n-1)}{3}p^3B_{p-3} \pmod{p^4} \quad \text{for } n = 1, 2, \dots$$

COROLLARY 1.6. *Let  $p$  be an odd prime, and let  $n \in \{3, \dots, p\}$  and  $r \in \mathbb{Z}$ . Then*

$$(1.19) \quad F_p(pn - 2, r) \equiv -n! \left( \frac{B_{p-n+1}(-r)}{n-1} + (r+1) \frac{B_{p-n}(-r)}{n} \right) \pmod{p}.$$

*Proof.* Clearly  $\{- (pn - 2)\}_{p-1} = p - n + 1$ . By Theorem 1.2,  $F_p(pn - 2, r)$  is congruent to

$$-(p - 1 - (p - n + 1))!B_{p-n+1}^{(2)}(-r) = -(n - 2)!B_{p-n+1}^{(2)}(-r)$$

modulo  $p$ .

Let  $m = p - n + 1$ . By [PS, (2.14)] or [SP, (1.12)],

$$\begin{aligned} & \frac{(-1)^m}{m} \sum_{k=0}^m \binom{m}{k} B_k B_{m-k}(x) - \frac{B_m(1-x)}{m} B_0 \\ &= - \sum_{k=0}^1 \binom{1}{k} B_{1-k}(x) B_{m-1+k}(1-x) - B_1 B_{m-1}(1-x) \\ &= - B_1(x) B_{m-1}(1-x) - B_0(x) B_m(1-x) - B_1 B_{m-1}(1-x) \\ &= (-1)^m ((B_1(x) + B_1) B_{m-1}(x) - B_m(x)) \\ &= (-1)^m ((x - 1) B_{m-1}(x) - B_m(x)). \end{aligned}$$

It follows that

$$\begin{aligned}
 B_m^{(2)}(-r) &= \sum_{k=0}^m \binom{m}{k} B_k B_{m-k}(-r) \\
 &= (1-m)B_m(-r) + m(-r-1)B_{m-1}(-r) \\
 &\equiv (1+n-1)B_{p-n+1}(-r) - (r+1)(-n+1)B_{p-n}(-r) \\
 &\equiv n(n-1) \left( \frac{B_{p-n+1}(-r)}{n-1} + (r+1) \frac{B_{p-n}(-r)}{n} \right) \pmod{p}.
 \end{aligned}$$

Combining the above we immediately obtain (1.19). ■

By Theorem 1.1 or 1.2, for any prime  $p$  the Fleck quotient  $F_p(n, r)$  (with  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ ) modulo  $p$  only depends on  $p$  and  $r$  and the remainder of  $n$  modulo  $p(p-1)$ . This observation can be further extended as follows.

**THEOREM 1.3.** *Let  $p$  be a prime, and let  $a, l, n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Then*

$$(1.20) \quad \sum_{k=0}^n \binom{n}{k} (-1)^k F_p(kp^a(p-1) + l, r) \equiv 0 \pmod{p^{an + \lceil (n-l^*)/(p-1) \rceil}},$$

where  $l^* = \{-l\}_{p-1}$  and  $\lceil \cdot \rceil$  is the ceiling function.

The following consequence is somewhat similar to Kummer’s congruence for Bernoulli numbers (cf. [IR, pp. 238–241]).

**COROLLARY 1.7.** *Let  $p$  be a prime, and let  $a, l \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Then*

$$\begin{aligned}
 F_p(p^a(p-1) + l, r) &\equiv F_p(l, r) \pmod{p^a}, \\
 F_p(2p^a(p-1) + l, r) &\equiv 2F_p(p^a(p-1) + l, r) - F_p(l, r) \pmod{p^{2a}}, \\
 F_p(3p^a(p-1) + l, r) &\equiv 3F_p(2p^a(p-1) + l, r) - 3F_p(p^a(p-1) + l, r) \\
 &\quad + F_p(l, r) \pmod{p^{3a}}.
 \end{aligned}$$

*Proof.* Simply apply (1.20) with  $n = 1, 2, 3$ . ■

Let  $p$  be a prime, and let  $a \in \mathbb{Z}^+$  and  $r \in \mathbb{Z}$ . In 1977 C. S. Weisman [We] extended Fleck’s result by showing that if  $n \geq p^{a-1}$  then

$$C_{p^a}(n, r) \equiv 0 \pmod{p^{\lfloor (n-p^{a-1})/\varphi(p^a) \rfloor}},$$

where  $\varphi$  is Euler’s totient function. In view of this, we define the *generalized Fleck quotient*

$$F_{p^a}(n, r) = (-p)^{-\lfloor (n-p^{a-1})/\varphi(p^a) \rfloor} C_{p^a}(n, r) + \llbracket n < p^{a-1} \rrbracket \in \mathbb{Z}.$$

Note that  $F_{p^a}(n, r) \equiv 1 \pmod{p}$  for  $n = 0, \dots, p^{a-1} - 1$ .

THEOREM 1.4. *Let  $p$  be a prime, and let  $a, n \in \mathbb{Z}^+$  with  $n \geq p^{a-1}$ .*

(i) *For any  $r \in \mathbb{Z}$  we have*

$$(1.21) \quad F_{p^a}(n, r) \equiv \sum_{k=0}^d \binom{r+k-1}{k} F_{p^a}(n+k, 0) \pmod{p},$$

where  $d = \{p^{a-1} - 1 - n\}_{\varphi(p^a)}$  is the least nonnegative integer with  $n + d \equiv p^{a-1} - 1 \pmod{\varphi(p^a)}$ .

(ii) *We have*

$$(1.22) \quad \text{ord}_p(C_{p^a}(n, r)) = \left\lfloor \frac{n - p^{a-1}}{\varphi(p^a)} \right\rfloor \quad (\text{i.e., } p \nmid F_{p^a}(n, r)) \text{ for some } r \in \mathbb{Z}.$$

*If  $n \geq 2p^{a-1}$ , then*

$$(1.23) \quad F_{p^a}(n + p^a(p-1), r) \equiv F_{p^a}(n, r) \pmod{p} \quad \text{for all } r \in \mathbb{Z}.$$

In view of the first congruence in Corollary 1.7 and the last congruence in Theorem 1.4, we propose the following conjecture.

CONJECTURE 1.1. *Let  $p$  be a prime, and let  $a, b, n \in \mathbb{Z}^+$  and  $r \in \mathbb{Z}$ . If  $n \geq 2p^{a+b-2}$ , then*

$$F_{p^a}(n + \varphi(p^{a+b}), r) \equiv F_{p^a}(n, r) \pmod{p^b}.$$

Theorems 1.1, 1.2 and 1.3 will be proved in Sections 2, 3 and 4 respectively. In Section 5 we will first give a new proof of Weisman’s congruence via roots of unity, and then establish Theorem 1.4.

## 2. Proof of Theorem 1.1

LEMMA 2.1. *Let  $p$  be a prime, and let  $n \in \mathbb{N}$  and  $n^* = \{-n\}_{p-1}$ . Define  $G(n) = \sum_{a=1}^{p-1} a^n \zeta_p^a$  and  $\pi = 1 - \zeta_p$ , where  $\zeta_p$  is a primitive  $p$ th root of unity in the complex field  $\mathbb{C}$ . Then*

$$(2.1) \quad G(n) \equiv (-1)^{n^*-1} \sum_{m=n^*}^{p-2} s(m, n^*) \frac{\pi^m}{m!} \pmod{p},$$

where  $s(m, 0), \dots, s(m, m)$  are Stirling numbers of the first kind defined by  $(x)_m = \sum_{k=0}^m (-1)^{m-k} s(m, k) x^k$ .

*Proof.* Clearly,

$$\begin{aligned}
 G(n) &= \sum_{a=1}^{p-1} a^n (1 - \pi)^a = \sum_{a=1}^{p-1} a^n \sum_{m=0}^a \binom{a}{m} (-\pi)^m = \sum_{m=0}^{p-1} \frac{(-\pi)^m}{m!} \sum_{a=1}^{p-1} a^n (a)_m \\
 &= \sum_{m=0}^{p-1} \frac{(-\pi)^m}{m!} \sum_{a=1}^{p-1} a^n \sum_{k=0}^m (-1)^{m-k} s(m, k) a^k \\
 &= \sum_{m=0}^{p-1} \frac{(-\pi)^m}{m!} \sum_{k=0}^m (-1)^{m-k} s(m, k) \sum_{a=1}^{p-1} a^{n+k}.
 \end{aligned}$$

Since

$$1 + x + \cdots + x^{p-1} = \frac{x^p - 1}{x - 1} = \prod_{a=1}^{p-1} (x - \zeta_p^a),$$

we have

$$\frac{p}{\pi^{p-1}} = \prod_{a=1}^{p-1} \frac{1 - \zeta_p^a}{\pi} = \prod_{a=1}^{p-1} \frac{1 - (1 - \pi)^a}{\pi} \equiv \prod_{a=1}^{p-1} a \equiv -1 \pmod{\pi}$$

with the help of Wilson’s theorem. Note also that

$$\sum_{a=1}^{p-1} a^{n+k} \equiv -\llbracket p - 1 \mid n + k \rrbracket \pmod{p}$$

by elementary number theory (see, e.g., [IR, pp. 235–236]). Therefore

$$\begin{aligned}
 G(n) &\equiv \sum_{m=0}^{p-2} \frac{\pi^m}{m!} \sum_{k=0}^m (-1)^k s(m, k) (-\llbracket k = n^* \rrbracket) \\
 &\equiv (-1)^{n^*-1} \sum_{m=n^*}^{p-2} s(m, n^*) \frac{\pi^m}{m!} \pmod{p}. \blacksquare
 \end{aligned}$$

REMARK. Let  $p$  be an odd prime. For each  $a \in \mathbb{Z}$  let  $\bar{a} = a + p\mathbb{Z} \in \mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ . Let  $\omega$  be the Teichmüller character of the multiplicative group  $\mathbb{F}_p^* = \mathbb{F}_p \setminus \{0\}$ . For  $\bar{a} \in \mathbb{F}_p^*$ ,  $\omega(\bar{a})$  is just the  $(p - 1)$ th root of unity in the unique unramified extension of the  $p$ -adic field  $\mathbb{Q}_p$  with  $\omega(\bar{a}) \equiv a \pmod{p}$ . (See, e.g., [Wa, p. 51].) If  $\zeta_p$  is a primitive  $p$ th root of unity in the algebraic closure of  $\mathbb{Q}_p$ , then for  $n \in \mathbb{N}$  and  $\pi = 1 - \zeta_p$  we have

$$\sum_{a=1}^{p-1} a^n \zeta_p^a \equiv \sum_{a=1}^{p-1} \omega^n(\bar{a}) \zeta_p^a \equiv -\frac{(-\pi)^{n^*}}{n^*!} \pmod{\pi^{n^*+1}}$$

with  $n^* = \{-n\}_{p-1}$ , by Stickelberger’s congruence for Gauss’ sums (cf. [BEW, pp. 344–345]).

LEMMA 2.2. *Let  $p$  be a prime, and let  $\zeta_p$  be a primitive  $p$ th root of unity in  $\mathbb{C}$ . Let  $n = p^a m + n_0 > 0$  with  $a \in \mathbb{Z}^+$  and  $m, n_0 \in \mathbb{N}$ . Then for any  $r \in \mathbb{Z}$  we have*

$$\begin{aligned} &\pi^{-p^a m} C_p(n, r) - \llbracket p-1 \mid m \rrbracket C_p(n_0, r) \\ &\equiv \frac{G(p^a m)}{p} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{p^a m^*} \pmod{p^{a-1} \pi^{\min\{n_0+1, p-1\}}}, \end{aligned}$$

where  $\pi = 1 - \zeta_p$  and  $m^* = \{-m\}_{p-1}$ .

*Proof.* Let  $j \in \{1, \dots, p-1\}$ . Then

$$\left(\frac{1 - \zeta_p^j}{\pi}\right)^m = \left(\frac{1 - (1 - \pi)^j}{\pi}\right)^m = \left(\sum_{i=1}^j \binom{j}{i} (-\pi)^{i-1}\right)^m = j^m + \beta_j \pi,$$

where  $\beta_j$  is a suitable element in the ring  $\overline{\mathbb{Z}}$  of algebraic integers. For  $i = 0, 1, \dots$ , if

$$\left(\frac{1 - \zeta_p^j}{\pi}\right)^{p^i m} = j^{p^i m} + p^i \pi \beta_j^{(i)}$$

for some  $\beta_j^{(i)} \in \overline{\mathbb{Z}}$ , then

$$\left(\frac{1 - \zeta_p^j}{\pi}\right)^{p^{i+1} m} = (j^{p^i m} + p^i \pi \beta_j^{(i)})^p = j^{p^{i+1} m} + p^{i+1} \pi \beta_j^{(i+1)}$$

for some  $\beta_j^{(i+1)} \in \overline{\mathbb{Z}}$ . So

$$\left(\frac{1 - \zeta_p^j}{\pi}\right)^{p^a m} \equiv j^{p^a m} \pmod{p^a \pi}.$$

Observe that

$$pC_p(n, r) = \sum_{j=0}^{p-1} \zeta_p^{-jr} (1 - \zeta_p^j)^n = \pi^{p^a m} \sum_{j=1}^{p-1} \zeta_p^{-jr} \left(\frac{1 - \zeta_p^j}{\pi}\right)^{p^a m} (1 - \zeta_p^j)^{n_0}.$$

As  $\pi^{n_0}$  divides  $(1 - \zeta_p^j)^{n_0}$  in the ring  $\overline{\mathbb{Z}}$ , by the above  $\pi^{-p^a m} pC_p(n, r)$  is congruent to

$$\sum_{j=1}^{p-1} \zeta_p^{-jr} j^{p^a m} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k \zeta_p^{jk} = \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k S_{k-r}$$

modulo  $p^a \pi^{n_0+1}$ , where

$$S_{k-r} = \sum_{j=1}^{p-1} j^{p^a m} \zeta_p^{j(k-r)}.$$

If  $k \not\equiv r \pmod{p}$ , then

$$\begin{aligned} S_{k-r} &= (k-r)^{-p^a m} \sum_{j=1}^{p-1} (j(k-r))^{p^a m} \zeta_p^{j(k-r)} \\ &\equiv (k-r)^{p^a m^*} \sum_{t=1}^{p-1} t^{p^a m} \zeta_p^t = (k-r)^{p^a m^*} G(p^a m) \pmod{p^{a+1}}. \end{aligned}$$

(Note that if  $j(k-r) \equiv t \pmod{p}$  then  $(j(k-r))^{p^a} \equiv t^{p^a} \pmod{p^{a+1}}.$ )

Choose a primitive root  $g$  modulo  $p$ . Since

$$(g^{p^a m} - 1) \sum_{j=1}^{p-1} j^{p^a m} = \sum_{j=1}^{p-1} (gj)^{p^a m} - \sum_{t=1}^{p-1} t^{p^a m} \equiv 0 \pmod{p^{a+1}},$$

if  $p-1 \nmid m$  then  $g^{p^a m} - 1 \not\equiv 0 \pmod{p}$  and so  $\sum_{j=1}^{p-1} j^{p^a m} \equiv 0 \pmod{p^{a+1}}$ . Thus, when  $k \equiv r \pmod{p}$  we have

$$S_{k-r} = \sum_{j=1}^{p-1} j^{p^a m} \equiv (p-1) \llbracket p-1 \mid m \rrbracket \pmod{p^{a+1}}.$$

Recall that  $p/\pi^{p-1} \equiv -1 \pmod{\pi}$ . In view of the above,

$$\begin{aligned} \pi^{-p^a m} p C_p(n, r) - \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{p^a m^*} G(p^a m) \\ \equiv \sum_{\substack{k=0 \\ p \mid k-r}}^{n_0} \binom{n_0}{k} (-1)^k (\llbracket p-1 \mid m \rrbracket (p-1) - (k-r)^{p^a m^*} G(p^a m)) \\ \equiv C_p(n_0, r) \llbracket p-1 \mid m \rrbracket p \pmod{p^a \pi^{\min\{n_0+1, p-1\}}}, \end{aligned}$$

where we have noted that if  $p-1 \mid m$  (i.e.,  $m^* = 0$ ) then

$$p-1 - G(p^a m) \equiv p - \sum_{t=0}^{p-1} \zeta_p^t = p - \frac{1 - \zeta_p^p}{1 - \zeta_p} = p \pmod{p^{a+1}}.$$

Therefore the desired congruence follows. ■

*Proof of Theorem 1.1.* In the case  $n = 0$ , (1.2) holds since  $n_1 = n_0 = 0$  and  $F_p(n, r) = -p C_p(0, r) + 1$ . Below we assume  $n > 0$ .

Let  $\zeta_p$  be a primitive  $p$ th root of unity in  $\mathbb{C}$ , and set  $\pi = 1 - \zeta_p$ . By Lemma 2.2 in the case  $a = 1$ ,

$$\begin{aligned} \pi^{-p \lfloor n/p \rfloor} C_p(n, r) - \llbracket n_1 = 0 \rrbracket C_p(n_0, r) \\ \equiv \frac{G(p \lfloor n/p \rfloor)}{p} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1} \pmod{\pi^{\min\{n_0+1, p-1\}}}. \end{aligned}$$

In view of Lemma 2.1,

$$G\left(p \left\lfloor \frac{n}{p} \right\rfloor\right) \equiv G\left(\left\lfloor \frac{n}{p} \right\rfloor\right) \equiv (-1)^{n_1-1} \sum_{m=n_1}^{p-2} s(m, n_1) \frac{\pi^m}{m!} \pmod{p}.$$

If  $n_0 > n_1$ , then

$$\sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1} \equiv \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{n_1} = 0 \pmod{p},$$

where we have applied Fermat's little theorem and Euler's identity (mentioned in Section 1). Therefore

$$\begin{aligned} & \pi^{-p\lfloor n/p \rfloor} C_p(n, r) - \llbracket n_1 = 0 \rrbracket C_p(n_0, r) \\ & \equiv \frac{(-1)^{n_1-1}}{p} \sum_{m=n_1}^{p-2} s(m, n_1) \frac{\pi^m}{m!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1} \\ & \pmod{\pi^{\llbracket n_0 > n_1 \rrbracket \min\{n_0+1, p-1\}}}. \end{aligned}$$

Recall that  $-p/\pi^{p-1} \equiv 1 \pmod{\pi}$ . Since  $s(n_1, n_1) = 1$  and

$$\frac{p^{\llbracket n_0 \leq n_1 \rrbracket}}{\pi^{n_1}} \pi^{\llbracket n_0 > n_1 \rrbracket \min\{n_0+1, p-1\}} \equiv 0 \pmod{\pi},$$

by the above we have

$$\begin{aligned} & \frac{p^{\llbracket n_0 \leq n_1 \rrbracket} C_p(n, r)}{\pi^{p\lfloor n/p \rfloor + n_1}} - p^{\llbracket n_0 = 0 \rrbracket} \llbracket n_1 = 0 \rrbracket C_p(n_0, r) \\ & \equiv \frac{(-1)^{n_1-1}/n_1!}{p^{\llbracket n_0 > n_1 \rrbracket}} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1} \pmod{\pi}. \end{aligned}$$

Note that

$$\left\lfloor \frac{n-1}{p-1} \right\rfloor = \left\lfloor \frac{p\lfloor n/p \rfloor + n_0 - 1}{p-1} \right\rfloor = \frac{p\lfloor n/p \rfloor + n_1}{p-1} - \llbracket n_0 \leq n_1 \rrbracket$$

and hence

$$\begin{aligned} & \frac{(-p)^{\llbracket n_0 \leq n_1 \rrbracket} C_p(n, r)}{\pi^{p\lfloor n/p \rfloor + n_1}} = \frac{C_p(n, r)}{(-p)^{\lfloor (n-1)/(p-1) \rfloor}} \left( \frac{-p}{\pi^{p-1}} \right)^{(p\lfloor n/p \rfloor + n_1)/(p-1)} \\ & \equiv F_p(n, r) \pmod{\pi}. \end{aligned}$$

In view of the above,

$$\begin{aligned} & (-1)^{\llbracket n_0 \leq n_1 \rrbracket} F_p(n, r) - \llbracket n_0 > n_1 = 0 \rrbracket C_p(n_0, r) \\ & \equiv \frac{(-1)^{n_1-1}/n_1!}{p^{\llbracket n_0 > n_1 \rrbracket}} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1} \pmod{\pi}. \end{aligned}$$

As the rational  $p$ -adic integer

$$D = F_p(n, r) - \llbracket n_0 > n_1 = 0 \rrbracket C_p(n_0, r) - \frac{(-1)^{n_1}}{(-p)^{\llbracket n_0 > n_1 \rrbracket} \cdot n_1!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1}$$

is divisible by  $\pi$ , we have  $D^{p-1} \equiv 0 \pmod{p}$  and hence  $D \equiv 0 \pmod{p}$ . Thus

$$(2.2) \quad F_p(n, r) - \llbracket n_0 > n_1 = 0 \rrbracket C_p(n_0, r) \equiv \frac{(-1)^{n_1}}{(-p)^{\llbracket n_0 > n_1 \rrbracket} \cdot n_1!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k (k-r)^{pn_1} \pmod{p}.$$

In the case  $n_0 \leq n_1$ , (2.2) reduces to (1.2). When  $n_0 > n_1 = 0$ , (2.2) yields (1.3) since  $C_p(n_0, r) = (-1)^{\{r\}_p} \binom{n_0}{\{r\}_p}$  and  $\sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k = (1-1)^{n_0} = 0$ .

Now assume that  $n_0 > n_1 > 0$ . As  $\sum_{k=0}^{n_0} \binom{n_0}{k} (k-r)^{n_1} = 0$  by Euler’s identity, (2.2) implies that

$$F_p(n, r) \equiv \frac{(-1)^{n_1-1}}{n_1!} \sum_{k=0}^{n_0} \binom{n_0}{k} (-1)^k \frac{(k-r)^{pn_1} - (k-r)^{n_1}}{p} \pmod{p}.$$

If  $n_1 = 1$ , then

$$\frac{(k-r)^{pn_1} - (k-r)^{n_1}}{p} = (k-r)^{n_1} n_1 q_p(k-r);$$

if  $n_1 \geq 2$  and  $k \equiv r \pmod{p}$ , then

$$\frac{(k-r)^{pn_1} - (k-r)^{n_1}}{p} \equiv 0 \equiv (k-r)^{n_1} n_1 q_p(k-r) \pmod{p};$$

if  $a = k-r \not\equiv 0 \pmod{p}$ , then

$$\frac{(k-r)^{pn_1} - (k-r)^{n_1}}{p} = a^{n_1} \frac{(1+p \cdot q_p(a))^{n_1} - 1}{p} \equiv a^{n_1} n_1 q_p(a) \pmod{p}.$$

Therefore (1.4) follows. ■

**3. Proof of Theorem 1.2.** The following lemma is a refinement of an induction technique used by Sun [S06].

LEMMA 3.1. *Let  $p$  be a prime, and let  $n \in \mathbb{N}$  with  $n \geq p$ . Then*

$$(3.1) \quad F_p(n, r) \equiv - \sum_{j=1}^{p-1} \frac{1}{j} \sum_{i=0}^{j-1} F_p(n-p+1, r-i) \pmod{p}.$$



*Proof.* Set  $n' = n - (p - 1) > 0$ . By the Chu–Vandermonde convolution identity (cf. [GKP, (5.27)]),

$$\begin{aligned} F_p(n, r) &= (-p)^{-\lfloor (n-1)/(p-1) \rfloor} \sum_{\substack{0 \leq k \leq n \\ k \equiv r \pmod{p}}} \sum_{j=0}^k \binom{p-1}{j} \binom{n'}{k-j} (-1)^k \\ &= -\frac{1}{p} \sum_{j=0}^{p-1} \binom{p-1}{j} (-p)^{-\lfloor (n'-1)/(p-1) \rfloor} \sum_{\substack{j \leq k \leq n \\ p|k-r}} \binom{n'}{k-j} (-1)^k \\ &= -\frac{1}{p} \sum_{j=0}^{p-1} \binom{p-1}{j} (-1)^j F_p(n', r - j). \end{aligned}$$

For any  $j = 0, \dots, p - 1$ , clearly

$$\begin{aligned} \binom{p-1}{j} (-1)^j &= \prod_{0 < i \leq j} \left(1 - \frac{p}{i}\right) \\ &\equiv 1 - \sum_{0 < i \leq j} \frac{p}{i} \equiv (-1)^{p-1} + p \sum_{j < k < p} \frac{1}{k} \pmod{p^2}. \end{aligned}$$

(Note that  $2 \sum_{k=1}^{p-1} 1/k = \sum_{k=1}^{p-1} (1/k + 1/(p-k)) \equiv 0 \pmod{p}$ .) Also,

$$\sum_{j=0}^{p-1} F_p(n', r - j) = (-p)^{-\lfloor (n'-1)/(p-1) \rfloor} \sum_{k=0}^{n'} \binom{n'}{k} (-1)^k = 0.$$

Therefore

$$F_p(n, r) \equiv - \sum_{j=0}^{p-1} \sum_{j < k < p} \frac{F_p(n', r - j)}{k} = - \sum_{k=1}^{p-1} \frac{1}{k} \sum_{j=0}^{k-1} F_p(n', r - j) \pmod{p}.$$

This proves (3.1). ■

*Proof of Theorem 1.2.* (i) Suppose  $m \geq 0$ . Then

$$\begin{aligned} &\sum_{k=0}^{n^*} \bar{S}(m + n^* - k, m) \frac{(-r)^k}{k!} \\ &= [x^{m+n^*}] \sum_{l=m}^{\infty} \bar{S}(l, m) x^l \sum_{k=0}^{\infty} \frac{(-rx)^k}{k!} = [x^{m+n^*}] (e^x - 1)^m e^{-rx} \\ &= [x^{n^*}] \left(\frac{e^x - 1}{x}\right)^m e^{-rx} = [x^{m+n^*}] \sum_{k=0}^m \binom{m}{k} (-1)^{m-k} e^{(k-r)x} \\ &= \sum_{k=0}^m \binom{m}{k} (-1)^{m-k} \frac{(k-r)^{m+n^*}}{(m+n^*)!}. \end{aligned}$$

By the identity (2.4) of Sun [S03], for any  $l = 0, 1, \dots$  we have

$$\begin{aligned} \sum_{k=0}^m \binom{m}{k} (-1)^{m-k} (k+l)^{m+n^*} &= \sum_{j=0}^l \binom{l}{j} (m+j)! S(m+n^*, m+j) \\ &= \sum_{j=0}^{n^*} \binom{l}{j} (m+j)! S(m+n^*, m+j). \end{aligned}$$

Thus

$$\sum_{k=0}^m \binom{m}{k} (-1)^{m-k} (k+x)^{m+n^*} = \sum_{j=0}^{n^*} \binom{x}{j} (m+j)! S(m+n^*, m+j)$$

and hence

$$\sum_{k=0}^m \binom{m}{k} (-1)^{m-k} \frac{(k-r)^{m+n^*}}{(m+n^*)!} = \sum_{j=0}^{n^*} \binom{-r}{j} \bar{S}(m+n^*, m+j).$$

If  $m \leq 0$ , then

$$\frac{B_{n^*}^{(-m)}(-r)}{n^*!} = [x^{n^*}] \left( \frac{x}{e^x - 1} \right)^{-m} e^{-rx} = [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^m e^{-rx}.$$

Note also that

$$\frac{1}{n^*!} = \frac{\prod_{j=1}^{p-1-n^*} (p-j)}{(p-1)!} \equiv (-1)^{n^*+1} (p-1-n^*)! \pmod{p}$$

by Wilson’s theorem.

In view of the above, whether  $m \geq 0$  or  $m \leq 0$ , we only need to show that

$$(-1)^n F_p(n, r) \equiv [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^m e^{-rx} \pmod{p}.$$

(ii) All those formal power series  $f(x) = \sum_{k=0}^{\infty} a_k x^k$  with  $a_k \in \mathbb{Q}$  and  $a_0, \dots, a_{n^*} \in \mathbb{Z}_p$  form a ring  $R_{n^*}$  under the usual addition and multiplication. In particular, this ring contains

$$e^{-rx} = \sum_{k=0}^{\infty} (-r)^k \frac{x^k}{k!}, \quad \frac{e^x - 1}{x} = \sum_{k=0}^{\infty} \frac{x^k}{(k+1)!}, \quad \frac{x}{e^x - 1} = \sum_{k=0}^{\infty} B_k \frac{x^k}{k!}.$$

(Recall that  $n^* < p - 1$  and  $B_0, \dots, B_{n^*} \in \mathbb{Z}_p$ .) If  $f(x) = \sum_{k=0}^{\infty} a_k x^k$  and

$g(x) = \sum_{k=0}^{\infty} b_k x^k$  belong to  $R_{n^*}$ , then

$$\begin{aligned} [x^{n^*}]f(x)g(x)^p &= [x^{n^*}] \sum_{j=0}^{n^*} a_j x^j \left( \sum_{k=0}^{n^*} b_k x^k \right)^p \\ &\equiv [x^{n^*}] \sum_{j=0}^{n^*} a_j x^j \sum_{k=0}^{n^*} b_k^p x^{pk} = a_{n^*} b_0^p \\ &\equiv [x^{n^*}]f(x)[x^0]g(x) \pmod{p}. \end{aligned}$$

Consequently, for any  $a \in \mathbb{Z}$  we have

$$[x^{n^*}] \left( \frac{e^x - 1}{x} \right)^m e^{ax} \equiv [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^n e^{ax} \pmod{p}$$

since  $m \equiv n \pmod{p}$ . From this and part (i), it suffices to use induction on  $n$  to show that

$$(3.2) \quad (-1)^n F_p(n, r) \equiv [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^n e^{-rx} \pmod{p}.$$

(iii) Obviously

$$(-1)^0 F_p(0, r) = -pC_p(0, r) + 1 \equiv 1 = [x^0] \left( \frac{e^x - 1}{x} \right)^0 e^{-rx} \pmod{p}.$$

So (3.2) holds for  $n = 0$ .

Suppose that  $0 < n \leq p - 1$ . Then  $n^* = p - 1 - n$  and

$$\begin{aligned} [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^n e^{-rx} &= [x^{p-1}] (e^x - 1)^n e^{-rx} \\ &= \sum_{k=0}^n \binom{n}{k} (-1)^{n-k} [x^{p-1}] e^{(k-r)x} = \sum_{k=0}^n \binom{n}{k} (-1)^{n-k} \frac{(k-r)^{p-1}}{(p-1)!} \\ &\equiv (-1)^{n-1} \sum_{k \not\equiv r \pmod{p}} \binom{n}{k} (-1)^k \pmod{p}. \end{aligned}$$

(To get the last congruence we have applied Wilson's theorem and Fermat's little theorem.) Since

$$- \sum_{k \not\equiv r \pmod{p}} \binom{n}{k} (-1)^k = \sum_{k \equiv r \pmod{p}} \binom{n}{k} (-1)^k = F_p(n, r),$$

the desired (3.2) follows.

Now fix  $n \geq p$  and assume that (3.2) holds for smaller values of  $n$ . Clearly  $n' = n - (p - 1) > 0$  and  $\{-n'\}_{p-1} = n^*$ . In light of Lemma 3.1,

$$F_p(n, r) \equiv - \sum_{j=1}^{p-1} \frac{1}{j} \sum_{k=0}^{j-1} F_p(n', r - k) \pmod{p}.$$

By the induction hypothesis and part (ii),

$$\begin{aligned} (-1)^{n'} F_p(n', r - k) &\equiv [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^{n'} e^{-(r-k)x} \\ &\equiv [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^{n+1} e^{(k-r)x} \pmod{p}. \end{aligned}$$

Thus  $(-1)^{n-1} F_p(n, r)$  is congruent to

$$\begin{aligned} \sum_{j=1}^{p-1} \frac{1}{j} \sum_{k=0}^{j-1} \left( [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^{n+1} e^{(k-r)x} \right) \\ = [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^{n+1} e^{-rx} \sum_{j=1}^{p-1} \left( \frac{1}{j} \cdot \frac{e^{jx} - 1}{e^x - 1} \right) \\ = [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^n e^{-rx} \sum_{j=1}^{p-1} \frac{e^{jx} - 1}{jx} \end{aligned}$$

modulo  $p$ . This yields

$$\begin{aligned} (-1)^n F_p(n, r) &\equiv - [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^n e^{-rx} \sum_{j=1}^{p-1} \sum_{k=1}^{p-1} \frac{(jx)^{k-1}}{k!} \\ &\equiv [x^{n^*}] \left( \frac{e^x - 1}{x} \right)^n e^{-rx} \pmod{p}, \end{aligned}$$

since  $n^* < p - 1$  and  $\sum_{j=1}^{p-1} j^{k-1} \equiv -\llbracket p - 1 \mid k - 1 \rrbracket \pmod{p}$ .

In view of the above, we have completed the proof. ■

**4. Proof of Theorem 1.3.** Let  $\zeta_p$  be a primitive  $p$ th root of unity in  $\mathbb{C}$ , and set  $\pi = 1 - \zeta_p$ . For any  $k = 0, \dots, n$ , we have

$$\begin{aligned} pC_p(kp^a(p - 1) + l, r) &= \sum_{j=0}^{p-1} \zeta_p^{-jr} (1 - \zeta_p^j)^{kp^a(p-1)+l} \\ &= \sum_{j=1}^{p-1} \zeta_p^{-jr} (1 - \zeta_p^j)^{kp^a(p-1)+l} + \llbracket k = l = 0 \rrbracket \end{aligned}$$

and thus

$$\begin{aligned} F_p(kp^a(p-1) + l, r) &= (-p)^{-\lfloor (kp^a(p-1) + l - 1)/(p-1) \rfloor} C_p(kp^a(p-1) + l, r) + \llbracket k = l = 0 \rrbracket \\ &= -(-p)^{-kp^a - \lfloor (l-1)/(p-1) \rfloor - 1} \sum_{j=1}^{p-1} \zeta_p^{-jr} (1 - \zeta_p^j)^{kp^a(p-1) + l}. \end{aligned}$$

Therefore, for  $S_n = \sum_{k=0}^n \binom{n}{k} (-1)^k F_p(kp^a(p-1) + l, r)$  we have

$$(4.1) \quad S_n = - \sum_{j=1}^{p-1} \zeta_p^{-jr} (1 - \zeta_p^j)^l (-p)^{-\lfloor (l-1)/(p-1) \rfloor - 1} c_{n,j},$$

where

$$\begin{aligned} c_{n,j} &= \sum_{k=0}^n \binom{n}{k} (-1)^k (-p)^{-kp^a} (1 - \zeta_p^j)^{kp^a(p-1)} \\ &= (1 - (-p)^{-p^a} (1 - \zeta_p^j)^{p^a(p-1)})^n. \end{aligned}$$

Let  $j \in \{1, \dots, p-1\}$ . Clearly

$$\left( \frac{1 - \zeta_p^j}{\pi} \right)^{p-1} = \left( \frac{1 - (1 - \pi)^j}{\pi} \right)^{p-1} \equiv j^{p-1} \equiv 1 \pmod{\pi}$$

and hence

$$b_j := \frac{(1 - \zeta_p^j)^{p-1}}{-p} = \left( \frac{1 - \zeta_p^j}{\pi} \right)^{p-1} \frac{\pi^{p-1}}{-p} \equiv 1 \pmod{\pi}.$$

(Recall the congruence  $p/\pi^{p-1} \equiv -1 \pmod{\pi}$ .) It follows that  $b_j^{p^a} \equiv 1 \pmod{p^a \pi}$  and

$$(4.2) \quad c_{n,j} = (1 - b_j^{p^a})^n \equiv 0 \pmod{p^{an} \pi^n}.$$

Since  $(1 - \zeta_p^j)^l \equiv 0 \pmod{\pi^l}$  and  $\text{ord}_p(\pi) = 1/(p-1)$ , in view of (4.1) and (4.2) we have

$$\text{ord}_p(S_n) \geq \frac{l+n}{p-1} + an - \left\lfloor \frac{l-1}{p-1} \right\rfloor - 1 = an + \frac{l+n}{p-1} - \frac{l+l^*}{p-1} = an + \frac{n-l^*}{p-1}$$

and hence  $\text{ord}_p(S_n) \geq an + \lceil (n-l^*)/(p-1) \rceil$ . This proves (1.20). ■

### 5. On generalized Fleck quotients

LEMMA 5.1. *Let  $d, q \in \mathbb{Z}^+$ ,  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Let  $\zeta_{dq}$  be a primitive  $dq$ th root of unity in  $\mathbb{C}$ . Then*

$$(5.1) \quad C_{dq}(n, r) = \frac{1}{d} \sum_{k=0}^n \binom{n}{k} C_q(k, r) \sum_{j=0}^{d-1} \zeta_{dq}^{j(k-r)} (1 - \zeta_{dq}^j)^{n-k}.$$

*Proof.* Note that  $\zeta = \zeta_{dq}^d$  is a primitive  $q$ th root of unity. Thus

$$\begin{aligned} q \sum_{k=0}^n \binom{n}{k} C_q(k, r) \sum_{j=0}^{d-1} \zeta_{dq}^{j(k-r)} (1 - \zeta_{dq}^j)^{n-k} \\ = \sum_{k=0}^n \binom{n}{k} \sum_{s=0}^{q-1} \zeta^{-sr} (1 - \zeta^s)^k \sum_{j=0}^{d-1} \zeta_{dq}^{j(k-r)} (1 - \zeta_{dq}^j)^{n-k} \\ = \sum_{s=0}^{q-1} \sum_{j=0}^{d-1} \zeta_{dq}^{-(ds+j)r} \sum_{k=0}^n \binom{n}{k} (\zeta_{dq}^j (1 - \zeta_{dq}^{ds}))^k (1 - \zeta_{dq}^j)^{n-k} \\ = \sum_{s=0}^{q-1} \sum_{j=0}^{d-1} \zeta_{dq}^{-(ds+j)r} (1 - \zeta_{dq}^{ds+j})^n = \sum_{t=0}^{dq-1} \zeta_{dq}^{-tr} (1 - \zeta_{dq}^t)^n = dq C_{dq}(n, r). \end{aligned}$$

So we have (5.1). ■

With the help of Lemma 5.1 we can prove the following result via roots of unity.

**THEOREM 5.1** (Weisman, 1977). *Let  $p$  be a prime, and let  $a \in \mathbb{Z}^+$ ,  $n \in \mathbb{N}$  and  $r \in \mathbb{Z}$ . Then  $F_{p^a}(n, r) \in \mathbb{Z}$ .*

*Proof.* We use induction on  $a$ .

The case  $a = 1$  reduces to Fleck’s result. A proof of Fleck’s result via roots of unity was given by A. Granville [Gr].

Now let  $a \geq 2$  and assume that  $F_{p^{a-1}}(n', r') \in \mathbb{Z}$  for all  $n' \in \mathbb{N}$  and  $r' \in \mathbb{Z}$ . If  $n < p^a$ , then  $\lfloor (n - p^{a-1})/\varphi(p^a) \rfloor \leq 0$  and hence  $F_{p^a}(n, r) \in \mathbb{Z}$ . Below we suppose  $n \geq p^a$  and let  $\zeta_{p^a}$  be a primitive  $p^a$ th root of unity in  $\mathbb{C}$ .

By Lemma 5.1,

$$(5.2) \quad C_{p^a}(n, r) = \frac{1}{p} \sum_{k=0}^n \binom{n}{k} C_{p^{a-1}}(k, r) \sum_{j=0}^{p-1} \zeta_{p^a}^{j(k-r)} (1 - \zeta_{p^a}^j)^{n-k}.$$

Observe that

$$\prod_{\substack{j=1 \\ p \nmid j}}^{p^a-1} (1 - \zeta_{p^a}^j) = \prod_{\substack{\gamma^{p^a}=1 \\ \gamma^{p^{a-1}} \neq 1}} (1 - \gamma) = \lim_{x \rightarrow 1} \frac{x^{p^a} - 1}{x^{p^{a-1}} - 1} = \frac{p^a}{p^{a-1}} = p.$$

If  $p \nmid j$ , then  $(1 - \zeta_{p^a}^j)/(1 - \zeta_{p^a})$  is a unit in the ring  $\mathbb{Z}[\zeta_{p^a}]$  and thus

$$\text{ord}_p(1 - \zeta_{p^a}^j) = \text{ord}_p(1 - \zeta_{p^a}) = \frac{1}{\varphi(p^a)}.$$

From this and the induction hypothesis, for any  $k = 0, \dots, n$  we have

$$\begin{aligned} \text{ord}_p \left( C_{p^{a-1}}(k, r) \sum_{j=0}^{p-1} \zeta_{p^a}^{j(k-r)} (1 - \zeta_{p^a}^j)^{n-k} \right) &\geq \max \left\{ 0, \left\lfloor \frac{k - p^{a-2}}{\varphi(p^{a-1})} \right\rfloor \right\} + \frac{n - k}{\varphi(p^a)} \\ &= \max \left\{ 0, \frac{pk - p^{a-1}}{\varphi(p^a)} - \left\lfloor \frac{k - p^{a-2}}{\varphi(p^{a-1})} \right\rfloor \right\} + \frac{n - k}{\varphi(p^a)} \\ &= \max \left\{ \frac{n - k}{\varphi(p^a)}, \frac{n - p^{a-1}}{\varphi(p^a)} + \frac{k}{p^{a-1}} - \left\lfloor \frac{k - p^{a-2}}{\varphi(p^{a-1})} \right\rfloor \right\} > \frac{n - p^{a-1}}{\varphi(p^a)}. \end{aligned}$$

(Note that if  $k \geq p^{a-1}$  then  $k/p^{a-1} \geq 1 > \{(k - p^{a-2})/\varphi(p^{a-1})\}$ .) Therefore, from (5.2) we get

$$\text{ord}_p(C_{p^a}(n, r)) > \frac{n - p^{a-1}}{\varphi(p^a)} - 1 \geq \left\lfloor \frac{n - p^{a-1}}{\varphi(p^a)} \right\rfloor - 1.$$

So  $F_{p^a}(n, r) = (-p)^{-\lfloor (n - p^{a-1})/\varphi(p^a) \rfloor} C_{p^a}(n, r) \in \mathbb{Z}$  as desired. ■

*Proof of Theorem 1.4.* (i) Write  $n + d = p^{a-1} - 1 + m\varphi(p^a)$  with  $m \in \mathbb{N}$ . Then, for any  $k = 0, \dots, d$  we have

$$\left\lfloor \frac{n + k - p^{a-1}}{\varphi(p^a)} \right\rfloor = \left\lfloor m - \frac{d - k + 1}{\varphi(p^a)} \right\rfloor = m - 1.$$

Below we use induction on  $d$  to show the desired congruence (1.21).

In the case  $d = 0$  (i.e.,  $n - p^{a-1} \equiv -1 \pmod{\varphi(p^a)}$ ), we have  $F_{p^a}(n, r) \equiv F_{p^a}(n, 0) \pmod{p}$  because

$$F_{p^a}(n, i) - F_{p^a}(n, i - 1) = (-p)^{-m+1} C_{p^a}(n + 1, i) = -p F_{p^a}(n + 1, i)$$

for all  $i \in \mathbb{Z}$ . Furthermore, by a result of Weisman [We] (see also [SW, Theorem 1.5]),  $F_{p^a}(n, r) \equiv 1 \pmod{p}$  if  $d = 0$ .

Now let  $d > 0$  and assume that the desired result holds for smaller values of  $d$ . Clearly,  $(n + 1) + (d - 1) = p^{a-1} - 1 + m\varphi(p^a)$  and

$$\left\lfloor \frac{n + 1 + k - p^{a-1}}{\varphi(p^a)} \right\rfloor = m - 1 \quad \text{for } k = 0, \dots, d - 1.$$

If  $r \geq 0$  then

$$C_{p^a}(n, r) - C_{p^a}(n, 0) = \sum_{0 < i \leq r} (C_{p^a}(n, i) - C_{p^a}(n, i - 1)) = \sum_{0 < i \leq r} C_{p^a}(n + 1, i);$$

if  $r < 0$  then

$$\begin{aligned} C_{p^a}(n, r) - C_{p^a}(n, 0) &= \sum_{r < i \leq 0} (C_{p^a}(n, i - 1) - C_{p^a}(n, i)) \\ &= - \sum_{r < i \leq 0} C_{p^a}(n + 1, i). \end{aligned}$$

Therefore

$$F_{p^a}(n, r) - F_{p^a}(n, 0) = \begin{cases} \sum_{0 < i \leq r} F_{p^a}(n + 1, i) & \text{if } r \geq 0, \\ - \sum_{r < i \leq 0} F_{p^a}(n + 1, i) & \text{if } r < 0. \end{cases}$$

By the induction hypothesis, whenever  $i \in \mathbb{Z}$  we have

$$F_{p^a}(n + 1, i) \equiv \sum_{k=0}^{d-1} \binom{i + k - 1}{k} F_{p^a}(n + 1 + k, 0) \pmod{p}.$$

For any  $k = 0, \dots, d - 1$ , if  $r \geq 0$  then

$$\sum_{0 < i \leq r} \binom{i + k - 1}{k} = \sum_{j=0}^{r+k-1} \binom{j}{k} = \binom{r + k}{k + 1}$$

by an identity of S.-C. Chu (cf. [GKP, (5.10)]); if  $r < 0$  then

$$\begin{aligned} - \sum_{r < i \leq 0} \binom{i + k - 1}{k} &= (-1)^{k+1} \sum_{r < i \leq 0} \binom{-i}{k} = (-1)^{k+1} \sum_{j=0}^{-r-1} \binom{j}{k} \\ &= (-1)^{k+1} \binom{-r}{k + 1} = \binom{r + k}{k + 1}. \end{aligned}$$

Thus, by the above,  $F_{p^a}(n, r)$  is congruent to

$$F_{p^a}(n, 0) + \sum_{k=0}^{d-1} \binom{r + k}{k + 1} F_{p^a}(n + 1 + k, 0) = \sum_{k=0}^d \binom{r + k - 1}{k} F_{p^a}(n + k, 0)$$

modulo  $p$ . This concludes the induction proof of (1.21).

(ii) In the case  $a = 1$ , the desired results in Theorem 1.4(ii) follow from Corollaries 1.3 and 1.7.

Now we let  $a \geq 2$  and  $r \in \mathbb{Z}$ . Write  $n = p^{a-2}(pn_1 + n_0) + s$  and  $r = p^{a-2}(pr_1 + r_0) + t$ , where  $s, t \in \{0, \dots, p^{a-2} - 1\}$ ,  $n_0, r_0 \in \{0, \dots, p - 1\}$  and  $n_1 \in \mathbb{N}$  and  $r_1 \in \mathbb{Z}$ .

If  $p^{a-1} \leq n < p^a$ , then

$$F_{p^a}(n, r) = C_{p^a}(n, r) = \binom{n}{\{r\}_{p^a}} (-1)^{\{r\}_{p^a}},$$

and in particular  $\text{ord}_p(C_{p^a}(n, 0)) = 0 = \lfloor (n - p^{a-1})/\varphi(p^a) \rfloor$ .



Below we assume that  $n \geq 2p^{a-1}$  (i.e.,  $n_1 \geq 2$ ). By [SD, Theorem 1.7],

$$F_{p^a}(n, r) \equiv (-1)^t \binom{s}{t} F_{p^2}(pn_1 + n_0, pr_1 + r_0) \pmod{p}.$$

If  $p \mid n_1$ , or  $p - 1 \nmid n_1 - 1$ , or  $n_0 = r_0 = p - 1$ , then by [SW, Theorem 1.2] in the case  $l = 0$ , we have

$$F_{p^2}(pn_1 + n_0, pr_1 + r_0) \equiv (-1)^{r_0} \binom{n_0}{r_0} F_p(n_1, r_1) \pmod{p}$$

and hence  $F_{p^a}(n, r) \equiv b_{n,r} F_p(n_1, r_1) \pmod{p}$ , where

$$\begin{aligned} b_{n,r} &:= (-1)^{\{r\}_{p^{a-1}}} \binom{\{n\}_{p^{a-1}}}{\{r\}_{p^{a-1}}} = (-1)^{p^{a-2}r_0+t} \binom{p^{a-2}n_0 + s}{p^{a-2}r_0 + t} \\ &\equiv (-1)^t \binom{s}{t} (-1)^{r_0} \binom{n_0}{r_0} \pmod{p} \quad (\text{by Lucas' theorem (cf. [HS])}). \end{aligned}$$

By Corollary 1.3, there is an  $r'_1 \in \mathbb{Z}$  such that  $F_p(n_1, r'_1) \not\equiv 0 \pmod{p}$ . Thus, if  $p \mid n_1$  or  $p - 1 \nmid n_1 - 1$ , then

$$F_{p^a}(n, p^{a-1}r'_1) \equiv F_p(n_1, r'_1) \not\equiv 0 \pmod{p}.$$

If  $n_0 = p - 1$ , then

$$F_{p^a}(n, p^{a-2}(pr'_1 + p - 1)) \equiv (-1)^{p-1} \binom{p-1}{p-1} F_p(n_1, r'_1) \not\equiv 0 \pmod{p}.$$

When  $p \nmid n_1$ ,  $p - 1 \mid n_1 - 1$  and  $n_0 < r_0$ , by applying the second part of [SW, Theorem 1.2] in the case  $l = 0$ , we have

$$F_{p^2}(pn_1 + n_0, pr_1 + r_0) \equiv \llbracket n_1 > 1 \rrbracket \frac{(-1)^{n_0} n_1}{r_0 \binom{r_0-1}{n_0}} = \frac{(-1)^{n_0} n_1}{r_0 \binom{r_0-1}{n_0}} \pmod{p}$$

and hence

$$F_{p^a}(n, r) \equiv (-1)^{n_0+t} \frac{n_1 \binom{s}{t}}{r_0 \binom{r_0-1}{n_0}} \pmod{p}.$$

In particular, if  $p \nmid n_1$ ,  $p - 1 \mid n_1 - 1$  and  $n_0 < p - 1$ , then

$$F_{p^a}(n, p^{a-2}(n_0 + 1)) \equiv \frac{(-1)^{n_0} n_1}{n_0 + 1} \not\equiv 0 \pmod{p}.$$

In view of the above, we already have (1.22).

To prove the congruence in (1.23), we also have to consider the case  $p \nmid n_1$ ,  $p - 1 \mid n_1 - 1$  and  $n_0 \geq r_0$ . By [SW, Lemmas 3.2 and 3.3],

$$\begin{aligned}
 & p^{-\lfloor (pn_1+n_0-p)/\varphi(p^2) \rfloor} C_{p^2}(pn_1 + n_0, pr_1 + r_0) \\
 & \quad - (-1)^{r_0} \binom{n_0}{r_0} p^{-\lfloor (n_1-1)/(p-1) \rfloor} C_p(n_1, r_1) \\
 & \equiv (-1)^{n_1-1} p^{-\lfloor (n_1-1-1)/(p-1) \rfloor} C_p(n_1 - 1, r_1) (-1)^{n_1+r_0} n_1 \binom{n_0}{r_0} \frac{\sigma_{n_0,r_0}(n_1)}{p} \\
 & \equiv -(-1)^{r_0} \binom{n_0}{r_0} p^{-(n_1-1)/(p-1)+1} C_p(n_1 - 1, r_1) n_1 \frac{\sigma_{n_0,r_0}(n_1)}{p} \pmod{p},
 \end{aligned}$$

where

$$\sigma_{n_0,r_0}(n_1) = 1 + (-1)^p \frac{\prod_{1 \leq i \leq p, i \neq p-r_0} (p(n_1 - 1) + r_0 + i)}{\prod_{1 \leq i \leq p, i \neq p-(n_0-r_0)} (n_0 - r_0 + i)} \equiv 0 \pmod{p}.$$

Therefore

$$\begin{aligned}
 & F_{p^2}(pn_1 + n_0, pr_1 + r_0) - (-1)^{r_0} \binom{n_0}{r_0} F_p(n_1, r_1) \\
 & \equiv (-1)^{r_0} \binom{n_0}{r_0} F_p(n_1 - 1, r_1) n_1 \frac{\sigma_{n_0,r_0}(n_1)}{p} \pmod{p}
 \end{aligned}$$

and hence

$$F_{p^a}(n, r) \equiv b_{n,r} \left( F_p(n_1, r_1) + F_p(n_1 - 1, r_1) n_1 \frac{\sigma_{n_0,r_0}(n_1)}{p} \right) \pmod{p}.$$

Observe that  $n + p^a(p - 1) = p^{a-2}(pn'_1 + n_0) + s$  with  $n'_1 = n_1 + p(p - 1)$ . Clearly  $F_p(n'_1, r_1) \equiv F_p(n_1, r_1) \pmod{p}$  by Corollary 1.7, and  $\sigma_{n_0,r_0}(n'_1) \equiv \sigma_{n_0,r_0}(n_1) \pmod{p^2}$  if  $n_0 \geq r_0$ . Thus, by the above,  $F_{p^a}(n + p^a(p - 1), r) \equiv F_{p^a}(n, r) \pmod{p}$ . ■

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