On the elements of prime power order in K_2 of a number field

by

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1. Introduction. Let F be a field and $K_2(F)$ be the Milnor K_2 -group of F (see [4]). It is an important problem to write down explicitly the elements of a given order in $K_2(F)$. Tate [8] proved that if F is a global field containing ζ_n , a primitive *n*th root of unity, then every element of order n in $K_2(F)$ can be written in the form of $\{\zeta_n, a\}, a \in F^*$. Suslin [7] generalized Tate's result to any field containing ζ_n . It is natural to generalize this result further to a field possibly not containing ζ_n . In [1], Browkin considered elements of small orders in $K_2(F)$. Let $\Phi_n(x)$ be the *n*th cyclotomic polynomial and

$$G_n(F) = \{\{a, \Phi_n(a)\} \in K_2(F) \mid a, \Phi_n(a) \in F^*\}.$$

Browkin proved in [1] that for any $a \in F^*$, $\{a, \Phi_n(a)\}^n = 1$ and that for every field $F \neq \mathbb{F}_2$ and n = 1, 2, 3, 4 or 6, $G_n(F)$ is a subgroup of $K_2(F)$. Then Browkin conjectured that for any integer $n \neq 1, 2, 3, 4, 6$ and any field $F, G_n(F)$ is not a subgroup of $K_2(F)$. In particular, he pointed out the case of $F = \mathbb{Q}$ (the rational number field) and n = 5.

From [6], $G_n(\mathbb{Q})$ is not a group if n = 5, 7, from [5], $G_{2^n}(\mathbb{Q})$ is a group if and only if $n \leq 2$, and from [10], for $n \geq 2, G_{2^n 3^m}(\mathbb{Q})$ is a group if and only if n = 2, m = 0. Furthermore, similar results are also true for some special quadratic fields (see [11]). The idea behind the proofs is that the problem can be reduced to some diophantine equations which have no nontrivial solutions. But we think that this idea is too restricted. Actually, we found that the problem could be reduced simply to some equations which could have only finitely many solutions. Following this idea and using Faltings' theorem on the Mordell conjecture, we proved in [12] that if $p \geq 5$ is a prime and $n \geq 2$ a positive integer, then $G_{p^n}(\mathbb{Q})$ is not a subgroup of $K_2(\mathbb{Q})$.

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In this paper, it is proved that for a number field F and a prime number p, if $p \ge 3$ and $n \ge 2$, or p = 2 and $n \ge 4$, then $G_{p^n}(F)$ is not a subgroup of $K_2(F)$.

2. Main theorem

LEMMA 2.1 ([1]). If F is a field and $a, \Phi_n(a) \in F^*$, then $\{a, \Phi_n(a)\}^n = 1$ in $K_2(F)$.

THEOREM 2.2. Let F be a number field and p a prime number. If $p \ge 3$ and $n \ge 2$, or p = 2 and $n \ge 4$, then $G_{p^n}(F)$ is not a subgroup of $K_2(F)$.

Proof. By the definition, if $a, \Phi_{p^n}(a) \in F^*$, then $\{a, \Phi_{p^n}(a)\} \in G_{p^n}(F)$. We shall find a such that $\{a, \Phi_{p^n}(a)\}^p$ is not an element in $G_{p^n}(F)$.

The proof will be divided into several steps.

1) Let S be a finite set of places of F containing all archimedean ones, and all places above p and above the primes ramified in F. Moreover, we assume that S is sufficiently large, so that the ring $\mathcal{O}_{F,S}$ of S-integers is a unique factorization domain.

By the Dirichlet-Hasse-Chevalley theorem (see [9]), the group of S-units in $\mathcal{O}_{F,S}$ is finitely generated: There are fundamental S-units $\varepsilon_1, \ldots, \varepsilon_t$ such that every S-unit u can be written uniquely in the form

$$u = \zeta^r \varepsilon_1^{k_1} \cdots \varepsilon_t^{k_t}, \quad \text{where } r, k_1, \dots, k_t \in \mathbb{Z}.$$

Here ζ is a generator of the group of roots of unity in F and $0 \leq r < \operatorname{ord} \zeta$. Let us consider the S-units of the form

(1) $c = \zeta^r \varepsilon_1^{k_1} \cdots \varepsilon_t^{k_t}$, where $0 \le r < p, \ 0 \le k_j < p$ for $1 \le j \le t$.

The set of the S-units (1) is finite.

The equations

(2)
$$\Phi_p(x) = cy^p$$
 for $p > 3$, $\Phi_{3^2}(x) = cy^3$, $\Phi_{2^4}(x) = cy^2$,

where c is of the form (1), define curves of genera > 1, by a formula of Hurwitz ([3]). It follows from Faltings' theorem ([2]) that each of the equations (2) has only a finite number of solutions $x, y \in F$.

From the identity

$$\Phi_{p^n}(x) = \Phi_{p^k}(x^{p^{n-k}}) \quad \text{ for } n \ge k,$$

it follows that for every c given in (1), also the equation

(3)
$$\Phi_{p^n}(x) = cy^p$$

has only a finite number of solutions $x, y \in F$, where $n \ge 1$ for p > 3, $n \ge 2$ for p = 3 and $n \ge 4$ for p = 2.

2) We state below some properties of cyclotomic polynomials $\Phi_k(x)$, k > 1, used in what follows.

Since $\Phi_k(0) = 1$, we have $\Phi_k(u) \equiv 1 \pmod{u}$ for every positive integer u. In particular, $\Phi_k(u!) \equiv 1 \pmod{u!}$, so every prime divisor of $\Phi_k(u!)$ is greater than u.

Since $\Phi_k(x)$ does not have multiple roots, we have $(\Phi_k(x), \Phi'_k(x)) = 1$. Hence

(4)
$$f(x)\Phi_k(x) + g(x)\Phi'_k(x) = D_k$$

for some $f, g \in \mathbb{Z}[x]$ and $D_k \in \mathbb{N}$. It follows that if a prime $q > D_k$ divides $\Phi_k(u)$ for some $u \in \mathbb{Z}$, then $q \nmid \Phi'_k(u)$. Hence from

$$\Phi_k(u+q) \equiv \Phi_k(u) + q\Phi'_k(x) \pmod{q^2}$$

we deduce that

(5)
$$q \parallel \Phi_k(u) \text{ or } q \parallel \Phi_k(u+q)$$

for every prime $q > D_k$ and $u \in \mathbb{Z}$ such that $q \mid \Phi_k(u)$.

3) Let $m_1 > \max(p, D_{p^n})$, where D_k is defined in (4). We assume moreover that m_1 is greater than every prime number which has a divisor in S, in particular, m_1 is greater than every prime number ramified in F.

Let p_1 be a prime divisor of $\Phi_{p^n}(m_1!)$. Then $p_1 > m_1$, and, by (5),

(6) $p_1 \parallel \Phi_{p^n}(a_1)$, where $a_1 = m_1!$ or $a_1 = m_1! + p_1$.

4) We claim that $\{a_1, \Phi_{p^n}(a_1)\}^p \neq 1$, where a_1 is defined in (6).

Since p_1 does not ramify in F, we have $v_{\mathfrak{p}_1}(r) = v_{p_1}(r)$ for every prime ideal \mathfrak{p}_1 of F dividing p_1 and every $r \in \mathbb{Q}$. Therefore the corresponding tame symbol $\tau_{\mathfrak{p}_1}$ satisfies

$$\tau_{\mathfrak{p}_1}\{a_1, \varPhi_{p^n}(a_1)\}^p \equiv a_1^p \equiv (m_1!)^p \pmod{\mathfrak{p}_1}.$$

If $(m_1!)^p \equiv 1 \pmod{\mathfrak{p}_1}$, then

$$\Phi_{p^n}(a_1) \equiv \Phi_{p^n}(m_1!) \equiv \Phi_{p^n}(1) = p \pmod{\mathfrak{p}_1}.$$

This is impossible, since $\mathfrak{p}_1 | p_1, p_1 | \Phi_{p^n}(a_1)$ and $p < p_1$.

5) Next we proceed inductively. Fix $m_2 > \Phi_{p^n}(a_1)$; then $m_2 > p_1$ and $m_2 > a_1 > m_1$.

Let p_2 be a prime divisor of $\Phi_{p^n}(m_2!)$. Hence $p_2 > m_2$ and, by (5),

 $p_2 \parallel \Phi_{p^n}(a_2)$, where $a_2 = m_2!$ or $a_2 = m_2! + p_2$.

Similarly to the previous considerations we prove that

$$\tau_{\mathfrak{p}_2}\{a_2, \Phi_{p^n}(a_2)\}^p \neq 1, \quad \text{where } \mathfrak{p}_2 \mid p_2.$$

Moreover

$$\tau_{\mathfrak{p}_2}\{a_1, \Phi_{p^n}(a_1)\}^p = 1, \quad \text{since } p_2 > m_2 > \max(a_1, \Phi_{p^n}(a_1)).$$

Hence $\{a_1, \Phi_{p^n}(a_1)\}^p \neq \{a_2, \Phi_{p^n}(a_2)\}^p.$

By induction, we get an infinite sequence $a_1 < a_2 < \cdots$ of positive integers such that the elements

$$\{a_k, \Phi_{p^n}(a_k)\}^p \in K_2(F), \quad k = 1, 2, \dots,$$

are nontrivial and distinct.

6) Assume that for every a_k defined above we have

(7)
$$\{a_k, \Phi_{p^n}(a_k)\}^p = \{b_k, \Phi_{p^n}(b_k)\}$$

for some $b_k \in F^*$. Since for k = 1, 2, ... the left hand sides of (7) are distinct, and $\Phi_{p^n}(x)$ takes every value only a finite number of times, it follows that there are infinitely many distinct elements $\Phi_{p^n}(b_k)$. Therefore, by (3), there is $b = b_{k_0}$ such that $\Phi_{p^n}(b) \neq cy^p$ for every c of the form (1) and every $y \in F$. Then, by (7), for $a = a_{k_0}$ we get

(8)
$$\{a, \Phi_{p^n}(a)\}^p = \{b, \Phi_{p^n}(b)\}$$

Since $\mathcal{O}_{F,S}$ is a unique factorization domain, from $\Phi_{p^n}(b) \neq cy^p$ it follows that there is a prime ideal \mathfrak{q} of $\mathcal{O}_{F,S}$ such that

(9)
$$p \nmid v_{\mathfrak{q}}(\varPhi_{p^n}(b)).$$

If $v_{\mathfrak{q}}(b) < 0$, then $v_{\mathfrak{q}}(\Phi_{p^n}(b)) = \deg \Phi_{p^n} \cdot v_{\mathfrak{q}}(b) = (p-1)p^{n-1}v_{\mathfrak{q}}(b)$, where $n \geq 2$, hence $p \mid v_{\mathfrak{q}}(\Phi_{p^n}(b))$, which contradicts (9); if $v_{\mathfrak{q}}(b) > 0$, then $\Phi_{p^n}(b) \equiv$ $\Phi_{p^n}(0) = 1 \pmod{\mathfrak{q}}$, hence $v_{\mathfrak{q}}(\Phi_{p^n}(b)) = 0$, which contradicts (9). Therefore $v_{\mathfrak{q}}(b) = 0$ and $v_{\mathfrak{q}}(\Phi_{p^n}(b)) =: r > 0$, where $p \nmid r$. Hence $\mathfrak{q} \mid \Phi_{p^n}(b)$. Consider the element $\xi := \{b, \Phi_{p^n}(b)\}^{p^{n-1}}$. By (8), we have

$$\xi = \{a, \Phi_{p^n}(a)\}^{p^n} = 1,$$

in view of Lemma 2.1. Then taking the corresponding tame symbol $\tau_{\mathfrak{q}}$ we get

$$1 = \tau_{\mathfrak{q}} \{ b, \varPhi_{p^n}(b) \}^{p^{n-1}} \equiv b^{rp^{n-1}} \pmod{\mathfrak{q}}.$$

Since $\mathfrak{q} \mid \Phi_{p^n}(b)$ and $\Phi_{p^n}(x) \mid x^{p^n} - 1$, we get $b^{p^n} \equiv 1 \pmod{\mathfrak{q}}$. Hence the order of $b \pmod{\mathfrak{q}}$ is a power of p. Consequently, from $b^{rp^{n-1}} \equiv 1 \pmod{\mathfrak{q}}$ and $p \nmid r$ we conclude that $b^{p^{n-1}} \equiv 1 \pmod{\mathfrak{q}}$. Hence

$$\Phi_{p^n}(b) = \Phi_p(b^{p^{n-1}}) \equiv \Phi_p(1) = p \pmod{\mathfrak{q}}.$$

This is impossible, since $\mathbf{q} \mid \Phi_{p^n}(b)$ and p is an S-unit. This contradiction proves the theorem.

REMARK 2.3. For a number field F, whether or not $G_8(F)$ is a subgroup of $K_2(F)$ is unknown.

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