

## On the topology of sums in powers of an algebraic number

by

NIKITA SIDOROV (Manchester) and BORIS SOLOMYAK (Seattle, WA)

**1. Introduction and auxiliary results.** Let  $q \in (1, 2)$  and put

$$A_n(q) = \left\{ \sum_{k=0}^n a_k q^k \mid a_k \in \{-1, 0, 1\} \right\},$$

and  $\Lambda(q) = \bigcup_{n \geq 1} A_n(q)$ . (It is obvious that the sets  $A_n(q)$  are nested.) The question we want to address is the topological structure of  $\Lambda(q)$ . Is it dense? discrete? mixed?

The first important result has been obtained by A. Garsia [12]: if  $q$  is a Pisot number (an algebraic integer greater than 1 whose conjugates are less than 1 in modulus), then  $\Lambda(q)$  is uniformly discrete. On the other hand, if  $q$  does not satisfy an algebraic equation with coefficients  $0, \pm 1$ , then it is a simple consequence of the pigeonhole principle that 0 is a limit point of  $\Lambda(q)$ , and thus it is dense—see below.

Surprisingly little is known about the case when  $q$  is a root of a polynomial with coefficients  $0, \pm 1$ . The most notable result is [11, Theorem I] in which the authors prove in particular that if  $q < (1 + \sqrt{5})/2$  and  $q$  is not Pisot, then  $\Lambda(q)$  has a finite accumulation point.

In this paper we study this case and give two sufficient conditions for  $\Lambda(q)$  to be dense. These conditions are rather general and cover a substantial subset of such  $q$ 's—see Theorems 2.1 and 2.4.

Put

$$Y_n(q) = \left\{ \sum_{k=0}^n a_k q^k \mid a_k \in \{0, 1\} \right\}$$

and  $Y(q) = \bigcup_{n \geq 1} Y_n(q)$ . The set  $Y(q)$  is discrete and we can write its elements in ascending order:

$$Y(q) = \{0 = y_0(q) < y_1(q) < y_2(q) < \cdots\}.$$

---

2010 *Mathematics Subject Classification*: Primary 11J17; Secondary 11K16, 11R06.

*Key words and phrases*: algebraic number, Perron number, Salem number, power sum.

Following [11], we define

$$l(q) = \varliminf_{n \rightarrow \infty} (y_{n+1}(q) - y_n(q)).$$

**THEOREM 1.1** ([8]). *If 0 is a limit point of  $\Lambda(q)$ , then  $\Lambda(q)$  is dense in  $\mathbb{R}$ .*

It is obvious that 0 is a limit point of  $\Lambda(q)$  if and only if  $l(q) = 0$ . This yields

**COROLLARY 1.2.** *The set  $\Lambda(q)$  is dense in  $\mathbb{R}$  if and only if  $l(q) = 0$ .*

The purpose of this paper is to find some wide classes of algebraic  $q$  for which  $l(q) = 0$ .

Put for any  $\beta \in \mathbb{C}$ ,

$$Y_n(\beta) = \left\{ \sum_{k=0}^n a_k \beta^k \mid a_k \in \{0, 1\}, 0 \leq k \leq n \right\}$$

and  $z_n(\beta) := \#Y_n(\beta)$ . It is obvious that  $z_n(\beta) \leq 2^{n+1}$ .

In order to estimate  $z_n(\beta)$  for  $|\beta| > 1$ , it is useful to consider the set

$$A_\lambda := \left\{ \sum_{k=0}^{\infty} a_k \lambda^k \mid a_k \in \{0, 1\}, k \geq 0 \right\}, \quad \text{where } \lambda = \beta^{-1}.$$

We have  $|\lambda| < 1$ , so the series converges for any choice of the coefficients  $a_k \in \{0, 1\}$ . It is easy to see that the set  $A_\lambda$  is compact, being the image of the infinite product space  $\{0, 1\}^\infty$  under a continuous mapping. It satisfies the set equation

$$A_\lambda = \lambda A_\lambda \cup (1 + \lambda A_\lambda),$$

and can be characterized as the unique compact set with this property [14]. It is thus the attractor of the iterated function system  $\{z \mapsto \lambda z, z \mapsto \lambda z + 1\}$  in the complex plane; see [14] for details.

The sets  $A_\lambda$  with  $|\lambda| < 1$  have been extensively studied in the “fractal” literature; see e.g. [2, 4, 15, 21] and the book [3, Chapter 8.2]. Note that some of these sources are concerned with the sets

$$\tilde{A}_\lambda := \left\{ \sum_{k=0}^{\infty} a_k \lambda^k \mid a_k \in \{-1, 1\}, k \geq 0 \right\},$$

however, it is clear that  $A_\lambda = T(\tilde{A}_\lambda)$ , where  $T(z) = \frac{1}{2}(z + (1 - \lambda)^{-1})$ , so all the results immediately transfer.

**LEMMA 1.3.**

- (i) *If  $\lambda \in \mathbb{C}$  with  $|\lambda| \in (1/2, 1)$ , then  $z_n(\lambda) = \#Y_n(\lambda) \geq |\lambda|^{-n-1}$  for all  $n$ .*

- (ii) If  $\lambda \in \mathbb{C}$  with  $2^{-1/2} \leq |\lambda| < 1$ , and  $|\operatorname{Re} \lambda| \leq |\lambda|^2 - 1/2$ , then  $z_n(\lambda) \geq |\lambda|^{-2(n+1)}$  for all  $n$ .

*Proof.* By the definition of the set  $A_\lambda$ , we have, for all  $n \geq 0$ ,

$$(1.1) \quad A_\lambda = \bigcup_{z \in Y_n(\lambda)} (z + \lambda^{n+1} A_\lambda).$$

(i) Suppose that the set  $A_\lambda$  is connected, and let  $u, v \in A_\lambda$  be such that  $|u - v| = \operatorname{diam}(A_\lambda)$ . Then there exists a “chain” of distinct subsets  $A_j := z_j + \lambda^n A_\lambda \subset A_\lambda$ ,  $j = 1, \dots, m$ , with  $z_j \in Y_n(\lambda)$ , such that  $u \in A_1, v \in A_m$  and  $A_j \cap A_{j+1} \neq \emptyset$  for all  $j \leq m - 1$ . Therefore,

$$\begin{aligned} \operatorname{diam}(A_\lambda) &\leq \sum_{j=1}^m \operatorname{diam}(A_j) = m \operatorname{diam}(\lambda^{n+1} A_\lambda) \\ &\leq \#Y_n(\lambda) |\lambda|^{n+1} \operatorname{diam}(A_\lambda), \end{aligned}$$

and the claim follows. If, on the other hand,  $A_\lambda$  is disconnected, then  $\lambda A_\lambda \cap (\lambda A_\lambda + 1) = \emptyset$ . This is a general principle for attractors of iterated function systems with two contracting maps (see [13, 4] or [3, Chapter 8.2]). Therefore, in this case  $\lambda$  is not a zero of a power series with coefficients  $\{-1, 0, 1\}$ , much less of a polynomial, hence  $z_n(\lambda) = 2^{n+1} > |\lambda|^{-n-1}$  for all  $n$ .

(ii) By [21, Prop. 2.6(i)], in view of the above remark concerning  $\tilde{A}_\lambda$ , we know that  $A_\lambda$  has nonempty interior for all  $\lambda$  in the open unit disc such that  $0 \leq |\operatorname{Re} \lambda| \leq |\lambda|^2 - 0.5$ . Then from (1.1), for the Lebesgue measure  $\mathcal{L}^2$ , we have

$$\mathcal{L}^2(A_\lambda) \leq \#Y_n(\lambda) \mathcal{L}^2(\lambda^{n+1} A_\lambda) = z_n(\lambda) |\lambda|^{2(n+1)} \mathcal{L}^2(A_\lambda),$$

as desired. ■

Note that the proof of Lemma 1.3 does not use the fact that  $\lambda$  is nonreal. Hence we obtain the following result as a direct corollary:

LEMMA 1.4. *If  $q \in (1, 2)$ , then  $z_n(\pm q) \geq Cq^n$  for some  $C > 0$ .*

REMARKS 1.5.

- (i) Lemma 1.4 for  $+q$  was proved in [11], using the fact that  $y_{n+1}(q) - y_n(q) \leq 1$  for all  $n$  and any  $q \in (1, 2)$ .
- (ii) With a bit more work one can show that in the setting of Lemma 1.3(i) we have  $z_n(\lambda) \geq C_n |\lambda|^{-n}$  for some  $C_n \uparrow \infty$ , assuming that  $\lambda$  is nonreal. However, this is not needed in this paper.
- (iii) It follows from the results of [7, 17] that for any  $\varphi \neq 0, \pi$ , the set  $A_\lambda$  has nonempty interior for  $\lambda = r e^{i\varphi}$  with  $r$  sufficiently close to 1, but it seems difficult to apply them in the absence of quantitative estimates.

LEMMA 1.6. *If  $\beta \in \mathbb{C} \setminus \{0\}$ , then  $z_n(\beta) = z_n(1/\beta)$ .*

*Proof.* Define  $\phi : Y_n(\beta) \rightarrow Y_n(1/\beta)$  as follows:

$$\phi\left(\sum_{k=0}^n a_k \beta^k\right) = \sum_{k=0}^n a_{n-k} (1/\beta)^k.$$

A relation  $\sum_{k=0}^n a_k \beta^k = \sum_{k=0}^n b_k \beta^k$  is equivalent to  $\sum_{k=0}^n a_k \beta^{k-n} = \sum_{k=0}^n b_k \beta^{k-n}$ , which in turn is equivalent to  $\phi(\sum_{k=0}^n a_k \beta^k) = \phi(\sum_{k=0}^n b_k \beta^k)$ . Thus,  $\phi$  is a bijection. ■

LEMMA 1.7. *Let  $q \in (1, 2)$ . If  $z_n(q) \gg q^n$  (i.e.,  $\overline{\lim}_{n \rightarrow \infty} q^{-n} z_n(q) = +\infty$ ), then  $l(q) = 0$ .*

*Proof.* Since  $\sum_{k=0}^n a_k q^k < q^{n+1}/(q - 1)$ , the result follows immediately from the pigeonhole principle. ■

Consequently, if  $q$  is not a root of a polynomial with coefficients  $0, \pm 1$ , then  $z_n(q) = 2^{n+1}$ , and  $l(q) = 0$  (which is well known, of course—see, e.g., [8]). If  $q$  is such a root, it is obvious that  $z_n(q) \ll 2^n$ , and the problem becomes nontrivial. It is generally believed that  $l(q) = 0$  unless  $q$  is Pisot, but this is probably a very tough conjecture.

**2. Main results.** We need some preliminaries. Put

$$L(q) = \overline{\lim}_{n \rightarrow \infty} (y_{n+1}(q) - y_n(q)).$$

Note that  $L(q) = 0$  is equivalent to  $y_{n+1}(q) - y_n(q) \rightarrow 0$  as  $n \rightarrow \infty$ . This condition was studied in the seminal paper [11]; in particular, it was shown that if  $q < 2^{1/4} \approx 1.18921$  and  $q$  is not equal to the square root of the second Pisot number  $\approx 1.17485$ , then  $L(q) = 0$  <sup>(1)</sup>. It was also shown in the same paper that  $L(\sqrt{2}) = 0$ .

It is worth noting that the two conditions  $l(q) = 0$  and  $L(q) = 0$  are, generally speaking, very different in nature; for instance,  $l(q) = 0$  for all transcendental  $q$ , whereas  $L(q) = 1$  for all  $q \geq (1 + \sqrt{5})/2$  (see, e.g., [10]) and no  $q \in (\sqrt{2}, (1 + \sqrt{5})/2)$  with  $L(q) = 0$  is known.

Throughout this section we assume that  $q \in (1, 2)$  is a root of a polynomial with coefficients  $0, \pm 1$ . It is easy to show that in this case any conjugate of  $q$  is less than 2 in modulus.

Finally, recall that an algebraic integer  $q > 1$  is called a *Perron number* if each of its conjugates is less than  $q$  in modulus.

THEOREM 2.1. *If  $q \in (1, 2)$  is not a Perron number, then  $l(q) = 0$ . If, in addition,  $q < \sqrt{2}$  and  $-q$  is not a conjugate of  $q$ , then  $L(q) = 0$ .*

---

<sup>(1)</sup> V. Komornik has recently shown [16] that the second condition can be removed, so  $L(q) = 0$  if  $q < 2^{1/4}$ .

*Proof.* We first prove  $l(q) = 0$ . We have three cases.

CASE 1:  $q$  has a real conjugate  $p$  and  $q < |p|$ . Since  $p$  is an algebraic conjugate of  $q$ , it follows from Galois theory that the map  $\psi : Y_n(q) \rightarrow Y_n(p)$  given by  $\psi(\sum_{i=0}^n a_i q^i) = \sum_{i=0}^n a_i p^i$  is a bijection. Hence  $z_n(q) = z_n(p) \geq C|p|^n$  by Lemma 1.4 and  $z_n(q) \gg q^n$ . Now the claim follows from Lemma 1.7.

CASE 2:  $q$  has a complex nonreal conjugate  $p$  and  $q < |p|$ . This case is similar to Case 1:  $z_n(q) = z_n(p) \geq C|p|^n$  by Lemma 1.3(i) and  $z_n(q) \gg q^n$ .

CASE 3:  $q$  has a conjugate  $p$  and  $q = |p|$ . Let  $f$  denote the minimal polynomial for  $q$ . Then  $f(x) = g(x^m)$  for some  $m \geq 2$  by [6]. Put  $\beta = q^m$ . We have

$$\begin{aligned} Y_{mk}(q) &= \{a_0 + a_1\beta^{1/m} + a_2\beta^{2/m} + \dots + a_{mk}\beta^n \mid a_i \in \{0, 1\}\} \\ &= \{A_1 + \beta^{1/m}A_2 + \beta^{2/m}A_3 + \dots + \beta^{(m-1)/m}A_m : \\ &\quad A_1 \in Y_k(\beta), A_i \in Y_{k-1}(\beta), 2 \leq i \leq m\}. \end{aligned}$$

Observe that any relation of the form

$$A_1 + \beta^{1/m}A_2 + \dots + \beta^{(m-1)/m}A_m = A'_1 + \beta^{1/m}A'_2 + \dots + \beta^{(m-1)/m}A'_m$$

implies  $A_1 = A'_1, \dots, A_m = A'_m$ . Indeed, if  $q$  satisfies an equation  $B_1 + qB_2 + \dots + q^{m-1}B_m = 0$  with  $B_i \in \mathbb{Z}[q^m]$ , then  $qe^{2\pi ij/m}$  satisfies the same equation for  $j = 1, \dots, m - 1$ , hence  $B_i = 0$  for all  $i$ . Thus,  $z_{mk}(\beta^{1/m}) = z_k(\beta)(z_{k-1}(\beta))^{m-1}$ .

Now, if  $q \geq 2^{1/m}$ , then  $\beta \geq 2$ , so  $z_k(\beta) = 2^{k+1}$ , and we see from the above argument that for  $n = mk$  we have  $z_n(q) \geq C2^n \gg q^n$ . Otherwise  $z_n(q) \geq z_n(\beta) \geq C\beta^n \gg q^n$ . Hence by Lemma 1.7,  $l(q) = 0$ .

Let us now prove the second part of the theorem. Suppose  $q < \sqrt{2}$  is not Perron and  $-q$  is not its conjugate; then  $q$  has a conjugate  $\alpha \neq -q$  with  $|\alpha| \geq q$ . Thus,  $q^2$  has a conjugate  $\alpha^2$ , and  $|\alpha|^2 \geq q^2$  with  $\alpha^2 \neq q^2$ . If  $|\alpha| > \sqrt{2}$ , then  $\alpha^2$  (and hence  $q^2$ ) is not a root of a  $-1, 0, 1$  polynomial. Otherwise, we can apply the first part of this theorem to  $q^2$ . In either case,  $l(q^2) = 0$ , whence by [10, Theorem 5],  $L(q) = 0$ . ■

REMARK 2.2. Stankov [22] has proved a similar result for the set

$$(2.1) \quad \mathcal{A}(q) = \left\{ \sum_{k=0}^n a_k q^k \mid a_k \in \{-1, 1\}, n \geq 1 \right\}.$$

More precisely, he has shown that if  $\mathcal{A}(q)$  is discrete, then all *real* conjugates of  $q$  are of modulus strictly less than  $q$ .

COROLLARY 2.3. *If  $q \in (1, 2)$  is the square root of a Pisot number and not itself Pisot, then  $l(q) = 0$ .*

*Proof.* If  $q = \sqrt{\beta}$  and  $\beta$  is Pisot, then either  $-q$  is a conjugate of  $q$ , or  $q$  is Pisot. ■

**THEOREM 2.4.**

- (i) Suppose  $q \in (1, 2)$  has a conjugate  $\alpha$  such that  $|\alpha|q < 1$ . Then  $l(q) = 0$ , and consequently  $\Lambda(q)$  is dense in  $\mathbb{R}$ .
- (ii) Suppose  $q \in (1, 2)$  has a nonreal conjugate  $\alpha$  such that  $|\alpha|q = 1$ . Then  $l(q) = 0$ .

If, in addition,  $q < \sqrt{2}$  in either case, then  $L(q) = 0$ .

*Proof.* (i) As above, we have  $z_n(q) = z_n(\alpha)$ . On the other hand, by Lemma 1.6,  $z_n(\alpha) = z_n(1/\alpha)$ , and by Lemmas 1.4 and 1.3,  $z_n(1/\alpha) \geq C(|1/\alpha|)^n$ . Hence  $z_n(q) \geq C(|1/\alpha|)^n \gg q^n$ , in view of  $|\alpha|q < 1$ . Hence by Lemma 1.7,  $l(q) = 0$ .

If  $q < \sqrt{2}$ , then  $q^2$  has a conjugate  $\alpha^2$ , and  $q^2|\alpha|^2 < 1$ . Hence  $l(q^2) = 0$ , whence  $L(q) = 0$ .

(ii) Denote  $\alpha_1 = q$ ,  $\alpha_2 = \alpha$ , and  $\alpha_3 = \bar{\alpha}$ . Since  $|\alpha|q = 1$  and  $\alpha$  is nonreal, we have three conjugates satisfying  $\alpha_1^2\alpha_2\alpha_3 = 1$ . Smyth [20, Lemma 1] characterizes such situations, but it is easier for us to proceed directly. The Galois group of the minimal polynomial for  $q$  is transitive, so there is an automorphism of the Galois group mapping  $\alpha_1$  to  $\alpha_2$ . We deduce that  $\alpha_2^2\alpha_i\alpha_j = 1$  for some distinct conjugates  $\alpha_i$  and  $\alpha_j$  of  $\alpha_1$ . But this implies  $\max\{|\alpha_i|, |\alpha_j|\} \geq \alpha_1 = q$ , hence  $q$  is not a Perron number, and  $l(q) = 0$  by Theorem 2.1.

If  $q < \sqrt{2}$ , then  $q^2|\alpha|^2 = 1$ , and (ii) applies to  $q^2$ , unless  $\alpha^2 \in \mathbb{R}$ . In the latter case  $\alpha = \pm i/q$ , whence the minimal polynomial for  $q$  contains only powers divisible by 4. Hence the minimal polynomial for  $q^2$  contains only even powers, which implies that  $-q^2$  is conjugate to  $q^2$ , whence  $q^2$  is not Perron, and  $l(q^2) = 0$ . ■

**REMARK 2.5.** If  $|\alpha|q = 1$  and  $\alpha$  is real, we do not know if  $l(q) = 0$ . In fact, this includes the interesting (and probably, difficult) case of Salem numbers <sup>(2)</sup>.

**DEFINITION 2.6.** We say that an algebraic integer  $q > 1$  is *anti-Pisot* if it has only one conjugate less than 1 in modulus and at least one conjugate greater than 1 in modulus other than  $q$  itself.

**COROLLARY 2.7.** If  $q \in (1, 2)$  is anti-Pisot and also a root of a polynomial with coefficients in  $\{-1, 0, 1\}$ , then  $l(q) = 0$ .

---

<sup>(2)</sup> Recall that an algebraic number  $q > 1$  is called a *Salem number* if all its conjugates have absolute value no greater than 1, and at least one has absolute value exactly 1.

*Proof.* Let  $\alpha = \alpha_1, \alpha_2, \dots, \alpha_{k-1}, q$  be all the conjugates of  $q$ . We have  $|\prod_{j=1}^{k-1} \alpha_j|q = 1$ , because  $q$  satisfies an algebraic equation with coefficients  $0, \pm 1$ , whence its minimal polynomial must have a constant term  $\pm 1$ .

Suppose  $|\alpha| < 1$ ; then it is clear that  $\alpha \in \mathbb{R}$  (since it is unique). If  $|\alpha_2| > 1$  and  $|\alpha_j| \geq 1$  for  $j = 3, \dots, k - 1$ , then it is obvious that  $|\alpha|q \leq |\alpha_2|^{-1} < 1$ , i.e., the condition of Theorem 2.4(i) is satisfied. ■

### 3. Examples

EXAMPLE 3.1. Let  $q \approx 1.22074$  be the positive root of  $x^4 = x + 1$ . Then  $q$  has a single conjugate  $\alpha \approx -0.72449$  inside the open unit disc and no conjugates of modulus 1, whence  $q$  is anti-Pisot, and by Corollary 2.7,  $l(q) = 0$ . Furthermore,  $q < \sqrt{2}$ , whence  $L(q) = 0$  as well.

Note that  $q > 2^{1/4}$ , so we cannot derive the latter claim immediately from [11, Theorem IV].

EXAMPLE 3.2. An example of  $q$  with a real conjugate  $\alpha$  which is not anti-Pisot but still satisfies the condition of Theorem 2.4(i), is the appropriate root of  $x^5 = x^4 + x^2 + x - 1$ . Here  $q \approx 1.52626$  and  $\alpha \approx 0.59509$ .

EXAMPLE 3.3. For the equation  $x^5 = x^4 - x^2 + x + 1$  we have  $q \approx 1.26278$  and  $|\alpha| \approx 0.74090$  so  $|\alpha|q \approx 0.93559$  (and  $\alpha \notin \mathbb{R}$ ). By Theorem 2.4(i),  $L(q) = 0$ .

EXAMPLE 3.4. For the equation  $x^8 = x^7 + x^6 + x^5 - x^4 - x^3 - x^2 + x - 1$  we have  $q \approx 1.52501$ . Among its conjugates is  $\alpha \approx 0.3741 + 0.52404i$  with  $|\alpha| \approx 0.64387 < 1/q = 0.65574$ , so again  $l(q) = 0$  by Theorem 2.4(i). Note that  $q > \sqrt{2}$  so we cannot claim  $L(q) = 0$ .

EXAMPLE 3.5. The following example illustrates Theorem 2.4(ii). Let  $q \approx 1.19863$  be the largest root of  $x^{12} = x^9 + x^6 + x^3 - 1$ ; then  $\alpha = \zeta q^{-1}$  is a root of this equation as well, where  $\zeta$  is any complex nonreal cubic root of unity. Hence  $q|\alpha| = 1$ , and Theorem 2.4(ii) applies, i.e.,  $L(q) = 0$ . Note that  $q = \sqrt[3]{\beta}$ , where  $\beta$  is a quartic Salem number.

EXAMPLE 3.6. For the equation  $x^{11} = x^{10} + x^9 - x^6 + x^4 - x^2 - 1$  we have  $q \approx 1.5006$ . Among its conjugates is  $\lambda \approx 0.02625 + 0.7414i$ . Theorem 2.4 does not apply, but we can use Lemma 1.3(ii) to obtain

$$z_n(q) = z_n(\lambda) \geq |\lambda|^{-2(n+1)} \approx 1.81696^{n+1} \gg q^n,$$

which implies that  $l(q) = 0$ . Note that Lemma 1.3(ii) indeed applies, because  $0.02625 \approx \operatorname{Re} \lambda < |\lambda|^2 - 1/2 \approx 0.05037$ .

EXAMPLE 3.7. Consider the equation  $x^{18} = -x^{16} + x^{14} + x^{11} + x^{10} + \dots + x + 1$  (no powers missing between  $x^{10}$  and 1). It has a root  $q \approx 1.22289$ , and the conjugates largest in modulus are  $u, \bar{u}$  approximately equal to  $-.03958 \pm 1.3109i$ . Then Theorem 2.1 implies  $L(q) = 0$ .

It is worth mentioning that there is another way to obtain this result. Consider  $q^2$  and its conjugates  $u^2, \bar{u}^2$ . We claim that although  $|u^2| < 2$ ,  $u^2$ , and hence  $q^2$ , is not a zero of a  $-1, 0, 1$  polynomial (whence  $l(q^2) = 0$ , which implies  $L(q) = 0$ ).

Indeed, if it were, then  $q^{-2}, u^{-2}, (\bar{u})^{-2}$  would also be zeros of such a polynomial. However, the product of these three numbers is  $\approx 0.226024$ , so this is impossible, in view of the following

*CLAIM. Suppose  $z_1, z_2, z_3$  are three different roots of a  $-1, 0, 1$  polynomial. Then  $|z_1 z_2 z_3| \geq 1/2 \cdot (4/3)^{-3/2} = 0.32476\dots$*

This claim is a slight generalization of [5, Theorem 2]; see [19, Theorem 2.4].

**EXAMPLE 3.8.** Finally, an example of  $q$  for which none of our criteria works is the real root of  $x^5 = x^4 + x^3 - x + 1$ . Here  $q \approx 1.54991$ , and the other four conjugates are nonreal, with the moduli  $\approx 1.04492$  and  $\approx 0.76871$  respectively.

Another example is any Salem number  $q \in (1, 2)$ , for instance  $q \approx 1.72208$  which is a root of  $x^4 = x^3 + x^2 + x - 1$  (which is of course none other than  $\beta$  from Example 3.5).

#### 4. Final remarks and open problems

**4.1.** Our first remark concerns the case  $q \in (m, m+1)$  with  $m \geq 2$ . Here the natural definition for  $A(q)$  is

$$A(q) = \left\{ \sum_{k=0}^n a_k q^k \mid a_k \in \{-m, -m+1, \dots, m-1, m\}, n \geq 1 \right\}.$$

Theorem 2.4 holds for this case, provided  $\alpha \in \mathbb{R}$  (and so does Case 1 of Theorem 2.1)—the proof is essentially the same. The case of nonreal  $\alpha$  is less straightforward, since there is no ready-to-apply complex machinery for  $m \geq 2$ . (Basically, we need that if  $\alpha$  is a zero of a polynomial with coefficients in  $\{-m, \dots, m\}$ , then the attractor of the iterated function system  $\{\alpha z + j\}_{j=0}^m$  in the complex plane is connected. This can be verified for  $m = 2, 3$  but we do not know if this is true in general.) Note also that an analogue of Theorem 1.1 for  $m \geq 2$  has been proved in [9].

**4.2.** We do not know whether the extra condition that  $-q$  is not a conjugate of  $q$  is really necessary in the second claim of Theorem 2.1. In particular, is it true that  $L(\sqrt{\varphi}) = 0$  if  $\varphi$  is the golden ratio?

**4.3.** In [18, Proposition 1.2] it is shown that if  $q < \sqrt{2}$  and  $q^2$  is not a root of a polynomial with coefficients  $0, \pm 1$ , then the set  $\mathcal{A}(q)$  given by (2.1) is dense in  $\mathbb{R}$ . In fact, what the authors use in their proof is the condition  $l(q^2) = 0$ . Consequently, Theorems 2.1 and 2.4 provide sufficient conditions

for  $\mathcal{A}(q)$  to be dense in the case when  $q^2$  satisfies an algebraic equation with coefficients  $0, \pm 1$ .

**4.4.** Is  $l(q) = 0$  for  $q$  in Example 3.8 and suchlike?

**4.5.** All our criteria suggest that  $l(q) = 0$  implies  $L(q) = 0$  for  $q < \sqrt{2}$ . Is this really the case?

**Acknowledgments.** The authors are indebted to the Max-Planck Institute where a significant part of the work was done in the summer of 2009. We are also grateful to Martijn de Vries for indicating the papers [8, 9]. The research of Solomyak was supported in part by NSF grant DMS-0654408.

**Added in proof** (June 2011). In the recent paper by Sh. Akiyama and V. Komornik [1] several results mentioned in the introductory part of the present paper have been significantly improved, namely:

- If  $q \in (1, \sqrt{2})$  is non-Pisot, then  $l(q) = 0$  and  $\mathcal{A}(q)$  is dense in  $\mathbb{R}$ .
- If  $q \in (\sqrt{2}, 2)$  is non-Pisot, then  $\Lambda(q)$  has a finite accumulation point.
- For  $q \in (1, 2^{1/3})$  we have  $L(q) = 0$ .

## References

- [1] Sh. Akiyama and V. Komornik, *Discrete spectra and Pisot numbers*, arXiv:1103.4508.
- [2] C. Bandt, *On the Mandelbrot set for pairs of linear maps*, *Nonlinearity* 15 (2002), 1127–1147.
- [3] M. F. Barnsley, *Fractals Everywhere*, Academic Press, 1988.
- [4] M. F. Barnsley and A. N. Harrington, *A Mandelbrot set for pairs of linear maps*, *Phys. D* 15 (1985), 421–432.
- [5] F. Beaucloup, P. Borwein, D. W. Boyd and C. Pinner, *Multiple roots of  $[-1, 1]$  power series*, *J. London Math. Soc.* (2) 57 (1998), 135–147.
- [6] D. W. Boyd, *Irreducible polynomials with many roots of maximal modulus*, *Acta Arith.* 68 (1994), 85–88.
- [7] Z. Daróczy and I. Kátai, *Generalized number systems in the complex plane*, *Acta Math. Hungar.* 51 (1988), 409–416.
- [8] V. Drobot, *On sums of powers of a number*, *Amer. Math. Monthly* 80 (1973), 42–44.
- [9] V. Drobot and S. McDonald, *Approximation properties of polynomials with bounded integer coefficients*, *Pacific J. Math.* 86 (1980), 447–450.
- [10] P. Erdős, I. Joó, and V. Komornik, *On the sequence of numbers of the form  $\varepsilon_0 + \varepsilon_1 q + \dots + \varepsilon_n q^n$ ,  $\varepsilon_i \in \{0, 1\}$* , *Acta Arith.* 83 (1998), 201–210.
- [11] P. Erdős and V. Komornik, *Developments in non-integer bases*, *Acta Math. Hungar.* 79 (1998), 57–83.
- [12] A. Garsia, *Arithmetic properties of Bernoulli convolutions*, *Trans. Amer. Math. Soc.* 102 (1962), 409–432.
- [13] M. Hata, *On the structure of self-similar sets*, *Japan J. Appl. Math.* 2 (1985), 381–414.
- [14] J. E. Hutchinson, *Fractals and self-similarity*, *Indiana Univ. Math. J.* 30 (1981), 713–747.

- [15] K.-H. Indlekofer, A. Járαι, and I. Kátai, *On some properties of attractors generated by iterated function systems*, Acta Sci. Math. (Szeged) 60 (1995), 411–427.
- [16] V. Komornik, personal communication.
- [17] V. Komornik and P. Loreti, *Expansions in complex bases*, Canad. Math. Bull. 50 (2007), 399–408.
- [18] Y. Peres and B. Solomyak, *Approximation by polynomials with coefficients  $\pm 1$* , J. Number Theory 84 (2000), 185–198.
- [19] P. Shmerkin, *Overlapping self-affine sets*, Indiana Univ. Math. J. 55 (2006), 1291–1332.
- [20] C. J. Smyth, *Conjugate algebraic numbers on conics*, Acta Arith. 40 (1982), 333–346.
- [21] B. Solomyak and H. Xu, *On the ‘Mandelbrot set’ for a pair of linear maps and complex Bernoulli convolutions*, Nonlinearity 16 (2003), 1733–1749.
- [22] D. Stankov, *On spectra of neither Pisot nor Salem algebraic integers*, Monatsh. Math. 159 (2010), 115–131.

Nikita Sidorov  
School of Mathematics  
The University of Manchester  
Oxford Road  
Manchester M13 9PL, United Kingdom  
E-mail: sidorov@manchester.ac.uk

Boris Solomyak  
Department of Mathematics  
University of Washington  
Box 354350  
Seattle, WA 98195, U.S.A.  
E-mail: solomyak@math.washington.edu

*Received on 17.9.2009  
and in revised form on 5.1.2011*

(6154)