

Product property for capacities in \mathbb{C}^N

by MIROSLAW BARAN and LEOKADIA BIALAS-CIEZ (Kraków)

*Dedicated to Professor Józef Siciak
on the occasion of his 80th birthday*

Abstract. The paper deals with logarithmic capacities, an important tool in pluripotential theory. We show that a class of capacities, which contains the L -capacity, has the following product property:

$$C_\nu(E_1 \times E_2) = \min(C_{\nu_1}(E_1), C_{\nu_2}(E_2)),$$

where E_j and ν_j are respectively a compact set and a norm in \mathbb{C}^{N_j} ($j = 1, 2$), and ν is a norm in $\mathbb{C}^{N_1+N_2}$, $\nu = \nu_1 \oplus_p \nu_2$ with some $1 \leq p \leq \infty$.

For a convex subset E of \mathbb{C}^N , denote by $C(E)$ the standard L -capacity and by ω_E the minimal width of E , that is, the minimal Euclidean distance between two supporting hyperplanes in \mathbb{R}^{2N} . We prove that $C(E) = \omega_E/2$ for a ball E in \mathbb{C}^N , while $C(E) = \omega_E/4$ if E is a convex symmetric body in \mathbb{R}^N . This gives a generalization of known formulas in \mathbb{C} . Moreover, we show by an example that the last equality is not true for an arbitrary convex body.

1. Introduction. *Siciak's extremal function* is defined for a compact subset E of \mathbb{C}^N by the formula

$$(1.1) \quad \Phi_E(z) = \Phi(E, z) = \sup\{|P(z)|^{1/\deg P} : \deg P \geq 1, \|P\|_E \leq 1\}, \quad z \in \mathbb{C}^N.$$

We refer to [S1, S2, S3, K2] for definitions and basic properties related to this important tool in pluripotential theory and its applications to approximation theory.

If ν is a complex norm in \mathbb{C}^N then we define the ν -capacity of E as the quantity

$$(1.2) \quad \log C_\nu(E) = \liminf_{z \rightarrow \infty} (\log \nu(z) - \log \Phi(E, z)),$$

which is finite for any compact set $E \subset \mathbb{C}^N$. Here and subsequently, we write $z \rightarrow \infty$ when $\nu(z) \rightarrow \infty$. If $\nu(z) = \|z\|_2$ is the standard Euclidean norm then

2010 *Mathematics Subject Classification*: Primary 32U20; Secondary 32U15.

Key words and phrases: logarithmic capacity, product property, Siciak's extremal function, convex set, convex symmetric body.

we get the so called L -capacity and we put $C_\nu(E) = C(E)$. Kołodziej [Ko] has proved that $C(E)$ is a Choquet capacity. Since any two norms ν and μ in \mathbb{C}^N are equivalent, we have

$$\min_{\mu(z)=1} \nu(z) \leq \frac{C_\nu(E)}{C_\mu(E)} \leq \max_{\mu(z)=1} \nu(z).$$

By a well known result of Siciak (see [S1, S3]), $C_\nu(E) = 0$ if and only if E is a pluripolar set.

Following [BC1, BC2] assume that there exists a norm $\nu = \nu_0$ (which depends on E) such that we can replace the \liminf in (1.2) by \lim (we shall denote such a modification by (1.2)*). If ν_1 is another norm with this property then it must be a positive multiple of ν_0 . Indeed, if ν_0, ν_1 satisfy (1.2)* then the limit

$$\lim_{z \rightarrow \infty} \frac{\nu_1(z)}{\nu_0(z)} = \alpha \in (0, +\infty)$$

exists, whence

$$\alpha = \liminf_{z \rightarrow \infty} \frac{\nu_1(z)}{\nu_0(z)} = \min_{\nu_0(z)=1} \nu_1(z) = \limsup_{z \rightarrow \infty} \frac{\nu_1(z)}{\nu_0(z)} = \max_{\nu_0(z)=1} \nu_1(z),$$

which means $\nu_1 = \alpha\nu_0$. We shall call ν_0 that satisfies (1.2)* and $C_{\nu_0}(E) = 1$ the C -norm for E and denote it by h_E . So, if the C -norm exists, then for an arbitrary norm ν we have

$$C_\nu(E) = \min_{h_E(z)=1} \nu(z) = \frac{1}{\max_{\nu(z)=1} h_E(z)} = (\|\text{Id} : (\mathbb{C}^N, \nu) \rightarrow (\mathbb{C}^N, h_E)\|)^{-1}$$

where $\|\cdot\|$ is the usual norm of linear mappings. Hence, if L is a linear isomorphism of \mathbb{C}^N we get the following connection between the ν -capacity of E and $L(E)$:

$$C_\nu(L(E)) = (\|L^{-1} : (\mathbb{C}^N, \nu) \rightarrow (\mathbb{C}^N, h_E)\|)^{-1}.$$

Let $\Psi(E, \cdot)$ be Siciak's homogeneous extremal function for E . Taking into account the results of [S1, S2, S3], it is not difficult to check the following

PROPOSITION 1.1. *Assume that a compact $E \subset \mathbb{C}^N$ possesses the C -norm. Then*

(a)

$$(1.3) \quad h_E(z) = \lim_{r \rightarrow \infty} \frac{1}{r} \Phi(E, rz) \geq \Psi(E, z), \quad z \in \mathbb{C}^N.$$

(b) *If $F \subset \mathbb{C}^M$ also possesses the C -norm then so does $E \times F$ and*

$$h_{E \times F}(z, w) = \max(h_E(z), h_F(w)), \quad (z, w) \in \mathbb{C}^{N+M}.$$

(c) *If L is a linear isomorphism of \mathbb{C}^N then $h_{L(E)} = h_E \circ L^{-1}$.*

(d) *If $E_R = \{z \in \mathbb{C}^N : \Phi(E, z) \leq R\}$ then $h_{E_R} = (1/R)h_E$.*

EXAMPLE 1.2. If q is a norm in \mathbb{C}^N and $E = \{z \in \mathbb{C}^N : q(z) \leq 1\}$ then $h_E(z) = q(z) = \Psi(E, z)$, $z \in \mathbb{C}^N$.

REMARK 1.3. If for a compact $E \subset \mathbb{C}^N$ the limit

$$f_E(z) = \lim_{r \rightarrow \infty} r^{-1} \Phi(E, rz)$$

exists for $z \in \mathbb{C}^N$ (as in (1.3)), then we shall call f_E the *C-h-function* of E (it is a positive homogeneous function) whenever additionally f_E is continuous and $\lim_{z \rightarrow \infty} \Phi(E, z)/f_E(z) = 1$.

If f_E is a C-h-function of E and ν is an arbitrary complex norm in \mathbb{C}^N then

$$C_\nu(E) = \frac{1}{\sup_{\nu(z)=1} f_E(z)} = \inf_{f_E(z)=1} \nu(z) =: \rho_\nu(S_{f_E}(0, 1)) =: \frac{1}{2} \omega_\nu(S_{f_E}(0, 1)),$$

where $S_{f_E}(0, 1) = \{z \in \mathbb{C}^N : f_E(z) = 1\}$.

We do not know when a C-h-function exists and when it is a norm in \mathbb{C}^N .

EXAMPLE 1.4. Let $Q = (Q_1, \dots, Q_N) : \mathbb{C}^N \rightarrow \mathbb{C}^N$ be a polynomial mapping such that $\deg Q_j = d \geq 1$, $j = 1, \dots, N$, and $Q = \widehat{Q} + R$, where $\widehat{Q} = (\widehat{Q}_1, \dots, \widehat{Q}_N)$ is the main (homogeneous) part of Q of degree d , $\deg R < d$ and $\widehat{Q}^{-1}(\{0\}) = \{0\}$. Then, by the Klimek theorem [K1] (see also [K2, Thm. 5.3.1]), for an arbitrary compact E we have

$$\Phi(Q^{-1}(E), z) = (\Phi(E, Q(z)))^{1/d}, \quad z \in \mathbb{C}^N.$$

If E possesses the C-norm then $Q^{-1}(E)$ possesses a C-h-function and

$$f_{Q^{-1}(E)} = (h_E \circ \widehat{Q})^{1/d}.$$

REMARK 1.5. Note the following result of Klimek [K1]: if $F : \mathbb{C}^N \rightarrow \mathbb{C}^N$ is a polynomial mapping of degree $d \geq 1$ and $\liminf_{z \rightarrow \infty} (\|F(z)\|_2 / \|z\|_2^d) > 0$ then for every compact set E in \mathbb{C}^N ,

$$\begin{aligned} C(F^{-1}(E)) \liminf_{z \rightarrow \infty} \|F(z)\|_2 / \|z\|_2^d &\leq (C(E))^{1/d} \\ &\leq C(F^{-1}(E)) \limsup_{z \rightarrow \infty} \|F(z)\|_2 / \|z\|_2^d. \end{aligned}$$

It follows from [BC1] that if for a compact $E \subset \mathbb{C}^N$ there exists a C-norm then

$$d_\infty(E) = d_\infty(B_{h_E}(0, 1)),$$

where $B_{h_E}(0, 1)$ is the unit ball for the C-norm and $d_\infty(E)$ denotes the transfinite diameter for E . By the Shestakov formula for $L(E)$, where L is a linear isomorphism of \mathbb{C}^N (cf. [BC1]), we have

$$d_\infty(L(E)) = \sqrt[N]{|\det L|} d_\infty(E).$$

A product property was first proved in [BC1] (see also [BC2, CM, BES]):

$$d_\infty(E_1 \times E_2) = d_\infty(E_1)^{\frac{N_1}{N_1+N_2}} d_\infty(E_2)^{\frac{N_2}{N_1+N_2}},$$

where $E_1 \subset \mathbb{C}^{N_1}, E_2 \subset \mathbb{C}^{N_2}$. We see that the given formula for $C_\nu(L(E))$ is much more complicated, but the product property for capacities is simpler, as we shall see later.

2. Product property for capacities in \mathbb{C}^N . If $f : \mathbb{C}^N \rightarrow \mathbb{R}$ is locally bounded and ν is a norm in \mathbb{C}^N , then we put

$$M_\nu(f, r) = \sup\{f(z) : \nu(z) \leq r\}, \quad r > 0.$$

Moreover, if f is plurisubharmonic, then by the maximum principle we can write $M_\nu(f, r) = \sup_{\nu(z)=r} f(z)$.

For a locally bounded function $f : \mathbb{C}^N \rightarrow \mathbb{R}$ we denote by f^* its upper regularization: $f^*(z) = \limsup_{w \rightarrow z} f(w)$, which is upper semicontinuous.

It is well known (Siciak's theorem, see e.g. [S3]) that if $C(E) = 0$ then $\Phi^*(E, z) \equiv +\infty$. In the case $C(E) > 0$ we have $\log \Phi^*(E, \cdot) \in \text{PSH}(\mathbb{C}^N) \cap L_{\text{loc}}^\infty(\mathbb{C}^N)$ and $\log \Phi^*(E, z) - \log \nu(z) = O(1)$ for an arbitrary norm ν as $\nu(z) \rightarrow \infty$.

PROPOSITION 2.1. *If $C(E) > 0$ then:*

(a) *for an arbitrary norm ν and for all $r > 0$,*

$$M_\nu(\Phi_E, r) = M_\nu(\Phi_E^*, r) = \sup_{\nu(z)=r} \Phi^*(E, z) = \sup_{\nu(z)=r} \Phi(E, z);$$

(b) *for each $r > 0$ exists $z_r \in \mathbb{C}^N$ such that $\nu(z_r) = r$ and $\Phi^*(E, z_r) = M_\nu(\Phi_E^*, r)$;*

(c) *$M_\nu(\log \Phi_E^*, e^t)$ is an increasing convex function in \mathbb{R} ;*

(d) *$M_\nu(\log \Phi_E^*, e^t) - t = O(1)$ as $t \rightarrow +\infty$.*

Proof. Part (a) is a consequence of the maximum principle for plurisubharmonic functions (see [K2, Cor. 2.9.9]) and Bedford–Taylor theory (see [K2]): the set $\{z \in \mathbb{C}^N : \Phi(E, z) < \Phi^*(E, z)\}$ is pluripolar if it is non-empty. Since psh functions are upper semicontinuous, this implies (b). Assertion (c) is a special case of Prop. 1.4 in [LG]. The last part follows from Siciak's result (see [S2, K2]). ■

LEMMA 2.2. *For any $a \in \mathbb{R}$, if $\varphi : (a, +\infty) \rightarrow \mathbb{R}$ is a convex function such that $\varphi(t) = o(t)$ as $t \rightarrow +\infty$, then φ is a decreasing function.*

Proof. Let $a < t_1 < t_2 < t$ and $t_2 = (1 - \alpha)t_1 + \alpha t$. Then $\alpha = \frac{t_2 - t_1}{t - t_1}$ and, by convexity of φ ,

$$\varphi(t_2) \leq (1 - \alpha)\varphi(t_1) + \alpha\varphi(t) = (1 - o(1))\varphi(t_1) + o(1),$$

which gives $\varphi(t_2) \leq \varphi(t_1)$. ■

THEOREM 2.3. Let ν be a norm in \mathbb{C}^N and $C(E) > 0$. Put

$$A_\nu(E, t) := M_\nu(\log \Phi_E^*, e^t) = M_\nu(\log \Phi_E, e^t).$$

Then

- (a) $A_\nu(E, t) - t$ is a convex decreasing function on \mathbb{R} ;
- (b) for all $t \in \mathbb{R}$,

$$\begin{aligned} A_\nu(E, t) - t &\geq -\log C_\nu(E), \\ \lim_{t \rightarrow \infty} (A_\nu(E, t) - t) &= \inf_{t \in \mathbb{R}} (A_\nu(E, t) - t) = -\log C_\nu(E). \end{aligned}$$

Proof. $A_\nu(E, t) - t$ is a convex function on \mathbb{R} as a sum of two convex functions. By Proposition 2.1(d) and Lemma 2.2 this function is decreasing, which implies (a) and (b) except the fact that $A_\nu(E, t) - t \rightarrow -\log C_\nu(E)$. To show this, we need to prove the crucial fact

$$C_\nu(E) = \lim_{r \rightarrow \infty} \frac{r}{M_\nu(\Phi_E^*, r)}.$$

By Proposition 2.1(b), we have

$$\begin{aligned} \lim_{r \rightarrow \infty} \frac{r}{M_\nu(\Phi_E^*, r)} &= \lim_{r \rightarrow \infty} \frac{\nu(z_r)}{\Phi^*(E, z_r)} \\ &\geq \liminf_{\nu(z) \rightarrow \infty} \frac{\nu(z)}{\Phi^*(E, z)} \geq \liminf_{\nu(z) \rightarrow \infty} \frac{\nu(z)}{\sup_{\nu(w) \leq \nu(z)} \Phi^*(E, w)} = \lim_{r \rightarrow \infty} \frac{r}{M_\nu(\Phi_E^*, r)}, \end{aligned}$$

which completes the proof. ■

COROLLARY 2.4.

$$C_\nu(E) = \lim_{r \rightarrow \infty} \frac{r}{M_\nu(\Phi_E, r)} = \sup_{r > 0} \frac{r}{M_\nu(\Phi_E, r)} \geq \frac{1}{\sup_{\nu(z)=1} \Phi^*(E, z)}.$$

COROLLARY 2.5. For all $r > 0$,

$$\sup_{\nu(z)=r} \Phi(E, z) \geq \frac{r}{C_\nu(E)}.$$

Let n be a norm in \mathbb{R}^2 such that $n(x_1, x_2) = n(x_2, x_1) = n(|x_1|, |x_2|)$, for each $r \geq 0$ the function $n(r, \cdot)$ is increasing on \mathbb{R}_+ , $n(1, 0) = n(0, 1) = 1$ and $n(x_1, x_2) \geq \max(|x_1|, |x_2|)$. If ν_1 is a norm in \mathbb{C}^N and ν_2 is a norm in \mathbb{C}^M then $\nu(z, w) = n(\nu_1(z), \nu_2(w))$ is a norm in $\mathbb{C}^N \times \mathbb{C}^M$ and $\{(z, w) \in \mathbb{C}^{N+M} : \nu(z, w) = r\} = \{(r_1 z, r_2 w) : \nu_1(z) = 1 = \nu_2(w), r_j \geq 0, n(r_1, r_2) = r\}$. Note that the norms n with the above properties form a convex set.

THEOREM 2.6 (Product property). If E and F are compact subsets of \mathbb{R}^N and \mathbb{R}^M respectively, then for the norm ν defined above

$$M_\nu(\Phi_{E \times F}, r) = \max(M_{\nu_1}(\Phi_E, r), M_{\nu_2}(\Phi_F, r)), \quad r > 0,$$

and

$$C_\nu(E \times F) = \min(C_{\nu_1}(E), C_{\nu_2}(F)).$$

Proof. It suffices to prove the first part, which easily implies the second. We have

$$\begin{aligned}
\sup_{\nu(z,w)=r} \Phi(E \times F, (z, w)) &= \sup_{\nu(z,w)=r} \max(\Phi(E, z), \Phi(F, w)) \\
&= \sup_{n(r_1, r_2)=r, \nu_1(z)=1=\nu_2(w)} \max(\Phi(E, r_1 z), \Phi(F, r_2 w)) \\
&= \sup_{n(r_1, r_2)=r} \max(M_{\nu_1}(\Phi_E, r_1), M_{\nu_2}(\Phi_F, r_2)) \\
&\leq \max(M_{\nu_1}(\Phi_E, r), M_{\nu_2}(\Phi_F, r)).
\end{aligned}$$

On the other hand,

$$\begin{aligned}
&\sup_{n(r_1, r_2)=r} \max(M_{\nu_1}(\Phi_E, r_1), M_{\nu_2}(\Phi_F, r_2)) \\
&\geq \max(\max(M_{\nu_1}(\Phi_E, r), M_{\nu_1}(\Phi_E, 0)), \max(M_{\nu_2}(\Phi_F, r), M_{\nu_2}(\Phi_F, 0))) \\
&= \max(M_{\nu_1}(\Phi_E, r), M_{\nu_2}(\Phi_F, r)),
\end{aligned}$$

which completes the proof. ■

COROLLARY 2.7. *If $E \subset \mathbb{C}^N$ and $F \subset \mathbb{C}^M$ are compact sets then*

$$C(E \times F) = \min(C(E), C(F)).$$

Moreover for $E = E_1 \times \cdots \times E_N$, $E_j \subset \mathbb{C}$, we have $C(E) \leq d_\infty(E)$, with equality if and only if $C(E_1) = \cdots = C(E_N)$.

For $1 \leq p \leq \infty$ we take

$$\|z\|_p = (|z_1|^p + \cdots + |z_N|^p)^{1/p}, \quad \|z\|_\infty = \max(|z_1|, \dots, |z_N|).$$

If $\nu_1 = \|\cdot\|_p$, $\nu_2 = \|\cdot\|_p$, $n(x_1, x_2) = \|(x_1, x_2)\|_p$ then we put $C_p(E) = C_{\|\cdot\|_p}(E)$.

COROLLARY 2.8. *If $E \subset \mathbb{C}^N$ and $F \subset \mathbb{C}^M$ are compact sets then*

$$C_p(E \times F) = \min(C_p(E), C_p(F)).$$

3. L -capacity for convex sets in \mathbb{C}^N . If E is a convex body in \mathbb{K}^N , $\mathbb{K} = \mathbb{C}$ or $\mathbb{K} = \mathbb{R}$, and if we put

$$\rho_\nu(E) := \sup_{a \in \text{int}_{\mathbb{K}} E} \sup\{r \geq 0 : B_\nu(a, r) \subset E\},$$

then $\rho_\nu(E)$ is the ν inner radius of E and $\omega_\nu(E) = 2\rho_\nu(E)$ is the ν width of E in \mathbb{K}^N .

EXAMPLE 3.1. Let q be a norm in \mathbb{C}^N and $E = \{z \in \mathbb{C}^N : q(z) \leq 1\}$ be its closed unit ball. Then ([S1]) $\Phi(E, z) = \max(1, q(z))$, which gives $h_E(z) = q(z)$. Thus for every norm ν ,

$$C_\nu(E) = \rho_\nu(E) = \frac{1}{2}\omega_\nu(E).$$

In the next example we shall use dual norms in \mathbb{C}^N . If ν is a complex norm then $\nu^*(z) := \sup\{|\langle z, w \rangle| : \nu(w) \leq 1\}$ where $\langle z, w \rangle := z_1 \overline{w_1} + \dots + z_N \overline{w_N}$.

EXAMPLE 3.2. Let q be a norm in \mathbb{R}^N and $E = \{x \in \mathbb{R}^N : q(x) \leq 1\}$. Then ([Lu], see also [B1], [K2, Th. 5.4.6])

$$\Phi(E, z) = \max_{w \in E^*} h\left(\frac{1}{2}|\langle z, w \rangle + 1| + \frac{1}{2}|\langle z, w \rangle - 1|\right),$$

where $E^* = \{x \in \mathbb{R}^N : |\langle x, y \rangle| \leq 1 \ \forall y \in E\}$ is the dual ball and $h(t) = t + \sqrt{t^2 - 1}$, $t \geq 1$. Thus we get

$$h(\max(1, \check{q}(z))) \leq \Phi(E, z) \leq h(1 + \check{q}(z)), \quad \check{q}(z) = \max_{w \in E^*} |\langle z, w \rangle|$$

(see [B4] for the properties of E^* and \check{q}). Hence we get $h_E(z) = 2\check{q}(z)$ and for every norm ν ,

$$C_\nu(E) = \frac{1}{2} \inf_{\check{q}(z)=1} \nu(z) = \frac{1}{2} \frac{1}{\sup_{\nu(z)=1} \check{q}(z)}.$$

Denote $q_{\mathbb{K}}^*(z) = \sup_{w \in E} |\langle z, w \rangle|$, $z \in \mathbb{K}^N$. Then

$$\begin{aligned} C_\nu(E) &= \frac{1}{2} \frac{1}{\max_{\nu(z)=1} \max_{w \in E^*} |\langle z, w \rangle|} = \frac{1}{2} \frac{1}{\max_{w \in E^*} \max_{\nu(z)=1} |\langle z, w \rangle|} \\ &= \frac{1}{2} \frac{1}{\max_{w \in E^*} \max_{\nu(z)=1} |\langle w, z \rangle|} = \frac{1}{2} \frac{1}{\max_{w \in E^*} \nu^*(w)} \\ &= \frac{1}{2} \frac{1}{\max_{(\nu^*|_{\mathbb{R}^N})_{\mathbb{R}}^*(x)=1} \max_{w \in E^*} |\langle x, w \rangle|} = \frac{1}{2} \inf_{q(x)=1} (\nu^*|_{\mathbb{R}^N})_{\mathbb{R}}^*(x) \\ &= \frac{1}{2} \rho_{(\nu^*|_{\mathbb{R}^N})_{\mathbb{R}}^*}(E) = \frac{1}{4} \omega_{(\nu^*|_{\mathbb{R}^N})_{\mathbb{R}}^*}(E). \end{aligned}$$

If ν satisfies $(\nu^*|_{\mathbb{R}^N})_{\mathbb{R}}^*(x) = \nu(x)$ for all $x \in \mathbb{R}^N$ then we get

$$C_\nu(E) = \frac{1}{4} \omega_\nu(E).$$

The norms $\nu(z) = \|z\|_p$, $p \geq 1$, and in particular the Euclidean norm, have this property.

Now we shall consider the case when ν is the Euclidean norm, i.e. $C_\nu = C$ is the standard L -capacity.

EXAMPLE 3.3. We shall denote by $S_{\mathbb{K}}^{N-1}$ the unit Euclidean sphere in \mathbb{K}^N . If E is a convex body in \mathbb{K}^N and

$$\begin{aligned} H_\xi^0(E) &= \left\{ z \in \mathbb{K}^N : \operatorname{Re} \langle z, \xi \rangle = \min_{z \in E} \operatorname{Re} \langle z, \xi \rangle =: a_\xi(E) \right\}, \\ H_\xi^1(E) &= \left\{ z \in \mathbb{K}^N : \operatorname{Re} \langle z, \xi \rangle = \max_{z \in E} \operatorname{Re} \langle z, \xi \rangle =: b_\xi(E) \right\} \end{aligned}$$

are supporting hyperplanes then

$$\rho_\xi(E) := \inf\{\|z - w\|_2 : z \in H_\xi^0, w \in H_\xi^1\} = b_\xi(E) - a_\xi(E)$$

is the width of E in direction ξ , and

$$\omega_E = \inf_{\xi \in S_{\mathbb{K}}^{N-1}} \rho_{\xi}(E)$$

is the minimal width of E . If E is a compact subset of \mathbb{R}^N then we put $\omega_E := \omega_{\text{conv}(E)}$.

Note the following property: *if E is a convex body in \mathbb{K}^N and F is a convex body in \mathbb{R}^M then*

$$\omega_{E \times F} = \min(\omega_E, \omega_F).$$

Indeed, it is easily seen that $\omega_{E \times F} \leq \min(\omega_E, \omega_F)$. Next, observe that

$$\begin{aligned} a_{(\xi_1, \xi_2)}(E \times F) &= \|\xi_1\|_2 a_{\xi_1 / \|\xi_1\|_2}(E) + \|\xi_2\|_2 a_{\xi_2 / \|\xi_1\|_2}(F), \\ b_{(\xi_1, \xi_2)}(E \times F) &= \|\xi_1\|_2 b_{\xi_1 / \|\xi_1\|_2}(E) + \|\xi_2\|_2 b_{\xi_2 / \|\xi_1\|_2}(F), \\ \rho_{(\xi_1, \xi_2)}(E \times F) &= \|\xi_1\|_2 \rho_{\xi_1 / \|\xi_1\|_2}(E) + \|\xi_2\|_2 \rho_{\xi_2 / \|\xi_1\|_2}(F), \\ \omega_{E \times F} &\geq \min_{0 \leq \alpha \leq 1} \alpha \omega_E + \sqrt{1 - \alpha^2} \omega_F = \min(\omega_E, \omega_F). \end{aligned}$$

If $E = \{x \in \mathbb{R}^N : q(x) \leq 1\}$ is a ball then for $\xi \in S_{\mathbb{R}}^{N-1}$ we have

$$\begin{aligned} \rho_{\xi}(E) &= 2 \text{dist}(0, H_{\xi}^1) = 2 \sup_{x \in E} |\langle x, \xi \rangle| = 2q^*(\xi), \\ \inf_{\xi \in S_{\mathbb{R}}^{N-1}} q^*(\xi) &= \frac{1}{\sup_{q^*(x)=1} \|x\|_2} = 2C(E), \end{aligned}$$

which gives a generalization of the one-dimensional case of an interval,

$$C(E) = \frac{1}{4} \omega_E.$$

If $E = \{z \in \mathbb{C}^N : q(z) \leq 1\}$ is a complex ball then for $\xi \in S_{\mathbb{C}}^{N-1}$ we have

$$\begin{aligned} \rho_{\xi}(E) &= 2 \text{dist}(0, H_{\xi}^1) = 2 \sup_{z \in E} |\langle z, \xi \rangle| = 2q^*(\bar{\xi}), \\ \inf_{\xi \in S_{\mathbb{R}}^{N-1}} q^*(\bar{\xi}) &= \frac{1}{\sup_{q^*(x)=1} \|x\|_2} = C(E), \end{aligned}$$

which gives a generalization of the one-dimensional case of a disc,

$$C(E) = \frac{1}{2} \omega_E.$$

EXAMPLE 3.4. Let now E be a convex body in \mathbb{R}^N . Then we have the lower bound

$$\begin{aligned} \Phi(E, z) &\geq \sup_{\xi \in S_{\mathbb{R}}^{N-1}} \Phi([a_{\xi}(E), b_{\xi}(E)], \langle z, \xi \rangle) \\ &= \sup_{\xi \in S_{\mathbb{R}}^{N-1}} \Phi\left([-1, 1], \frac{2\langle z, \xi \rangle}{b_{\xi}(E) - a_{\xi}(E)} - \frac{b_{\xi}(E) + a_{\xi}(E)}{b_{\xi}(E) - a_{\xi}(E)}\right), \quad z \in \mathbb{C}^N, \end{aligned}$$

with equality if $z \in \mathbb{R}^N$ (see [BCL]). This gives

$$\sup_{\|z\|_2 \leq r} \Phi(E, z) \geq h \left(\max \left(\sup_{\xi \in S_{\mathbb{R}}^{N-1}} \left| \frac{b_\xi(E) + a_\xi(E)}{b_\xi(E) - a_\xi(E)} \right|, \frac{2r}{\omega_E} \right) \right),$$

and therefore

$$C(E) \leq \frac{1}{4} \omega_E.$$

It is known that we have equality in the above bound if E is a symmetric ($E = -E$) convex body. There are some other cases when this is also true, e.g. when E is the standard simplex $S_N = \{x \in \mathbb{R}^N : x_j \geq 0, x_1 + \dots + x_N \leq 1\}$. In this case we have (see [B1])

$$\Phi(S_N, z) = h(|z_1| + \dots + |z_N| + |z_1 + \dots + z_N - 1|), \quad z \in \mathbb{C}^N.$$

Hence we can easily deduce that

$$\begin{aligned} h_{S_N}(z) &= 2(|z_1| + \dots + |z_N| + |z_1 + \dots + z_N|), \\ C(S_N) &= \frac{1}{\max_{z \in S_{\mathbb{C}}^{N-1}} h_{S_N}(z)} = \frac{1}{4\sqrt{N}} = \frac{1}{4} \omega_{S_N}. \end{aligned}$$

EXAMPLE 3.5. Now we shall present a counterexample to the equality $C(E) = \frac{1}{4} \omega_E$ for a convex body.

Let $L : \mathbb{C}^3 \rightarrow \mathbb{C}^3$ be given by

$$L(z_1, z_2, z_3) = (z_2, 2z_2 + z_3, z_1), \quad L^{-1}(z) = (z_3, z_1, z_2 - 2z_1)$$

and put $E = L(S_3)$. Then it is easy to check that $\frac{1}{4} \omega_E = \frac{1}{4\sqrt{5}}$.

On the other hand, $C(E) = 1/\|L^{-1} : (\mathbb{C}^3, \|\cdot\|_2) \rightarrow (\mathbb{C}^3, h_{S_3})\|$. Hence

$1/C(E)$

$$\begin{aligned} &= 2 \max\{|z_3| + |z_1| + |z_2 - 2z_1| + |z_3 + z_2 - z_1| : |z_1|^2 + |z_2|^2 + |z_3|^2 = 1\} \\ &= 2 \max\left\{2|w_3| + \frac{|w_1 + w_2|}{2} + \frac{|3w_1 + w_2|}{3} + |w_1| : \frac{|w_1|^2}{2} + \frac{|w_2|^2}{2} + |w_3|^2 = 1\right\} \\ &= 2 \max\{2r_3 + 3r_1 + r_2 : \frac{1}{2}r_1^2 + \frac{1}{2}r_2^2 + r_3^2 = 1\} = 4\sqrt{6}, \end{aligned}$$

which gives

$$\frac{1}{4\sqrt{6}} = C(E) < \frac{1}{4\sqrt{5}} = \frac{1}{4} \omega_E.$$

This example also proves that the equality $C(E) = \frac{1}{4} \omega_E$ for a convex body in \mathbb{R}^N is not invariant under linear maps if $N \geq 3$. The situation for $N = 2$ is not clear.

However, we can show that if $E = S_2$ and $L(x, y) = (ax + by, cx + dy)$, $ad - bc \neq 0$, then

$$\omega_{L(E)} = |ad - bc| \min \left\{ \frac{1}{\sqrt{(c-d)^2 + (a-b)^2}}, \frac{1}{\sqrt{a^2 + c^2}}, \frac{1}{\sqrt{b^2 + d^2}} \right\},$$

$$\|L^{-1} : (\mathbb{C}^2, \|\cdot\|_2) \rightarrow (\mathbb{C}^2, h_{S_2})\|$$

$$= \frac{2}{|ad - bc|} \max\{\sqrt{(c-d)^2 + (a-b)^2}, \sqrt{a^2 + c^2}, \sqrt{b^2 + d^2}\},$$

which implies $C(L(E)) = \frac{1}{4}\omega_{L(E)}$ and the equality $C(T) = \frac{1}{4}\omega_T$ holds for an arbitrary triangle $T \subset \mathbb{R}^2$.

4. Capacities in \mathbb{R}^N . Fix a norm ν in \mathbb{R}^N . For a compact set $E \subset \mathbb{R}^N$ define

$$C_{\nu, \mathbb{R}}(E) = \liminf_{\nu(x) \rightarrow \infty} \frac{\nu(x)}{\sup_{\nu(w) \leq \nu(x)} \Phi(E, w)}$$

and in the case $\nu(x) = \|x\|_2$ we put $C_{\mathbb{R}}(E) := C_{\nu, \mathbb{R}}(E)$. Note that $\frac{1}{2}C_{\mathbb{R}}(E) \leq C(E) \leq C_{\mathbb{R}}(E)$ (see [Sz]) and $C_{\mathbb{R}}(E) = 0$ if and only if E is a pluripolar subset of \mathbb{C}^N .

EXAMPLE 4.1. If E is an arbitrary convex body in \mathbb{R}^N then $C_{\mathbb{R}}(E) = \frac{1}{4}\omega_E$ (see [BCL]).

REMARK 4.2. We can formulate a few problems for $C_{\nu, \mathbb{R}}$:

- (1) Is $C_{\nu, \mathbb{R}}$ continuous with respect to sequences of sets E_j with $E_j \supset E_{j+1}$?
- (2) Does the limit

$$\lim_{\nu(x) \rightarrow \infty} \frac{\nu(x)}{\sup_{\nu(w) \leq \nu(x)} \Phi(E, w)}$$

exist for any compact set $E \subset \mathbb{R}^N$?

- (3) Is the ratio $\frac{r}{\sup_{\nu(w) \leq r} \Phi(E, w)}$ an increasing function of r ?
- (4) When does the equality $C(E) = C_{\mathbb{R}}(E)$ hold? In particular, for which convex bodies is it true?

References

- [B1] M. Baran, *Siciak's extremal function of convex sets in \mathbb{C}^n* , Ann. Polon. Math. 48 (1988), 275–280.
- [B2] M. Baran, *Plurisubharmonic extremal function and complex foliation for the complement of a convex subset of \mathbb{R}^n* , Michigan Math. J. 39 (1992), 395–404.
- [B3] M. Baran, *Complex equilibrium measure and Bernstein type theorems for compact sets in \mathbb{R}^n* , Proc. Amer. Math. Soc. 123 (1995), 485–494.
- [B4] M. Baran, *Conjugate norms in \mathbb{C}^n and related geometrical problems*, Dissertationes Math. 377 (1998), 67 pp.
- [BC1] R. Bloom and J.-P. Calvi, *On the multivariate transfinite diameter*, Ann. Polon. Math. 72 (1999), 285–305.

- [BC2] R. Bloom et J.-P. Calvi, *Sur le diamètre transfini en plusieurs variables*, C. R. Acad. Sci. Paris 329 (1999), 567–570.
- [BES] Z. Błocki, A. Edigarian and J. Siciak, *On the product property for the transfinite diameter*, Ann. Polon. Math. 101 (2011), 209–214.
- [BCL] L. Bos, J.-P. Calvi and N. Levenberg, *On the Siciak extremal function for real compact convex sets*, Ark. Mat. 39 (2001), 245–262.
- [CM] J.-P. Calvi and Phung Van Manh, *A determinantal proof of the product formula for the multivariate transfinite diameter*, Bull. Polish Acad. Sci. 53 (2005), 291–298.
- [K1] M. Klimek, *On the invariance of the L -regularity under holomorphic mappings*, Univ. Iagell. Acta Math. 23 (1982), 27–38.
- [K2] M. Klimek, *Pluripotential Theory*, Oxford Univ. Press, Oxford, 1991.
- [LG] P. Lelong and L. Gruman, *Entire Functions of Several Complex Variables*, Springer, Berlin, 1986.
- [Lu] M. Lundin, *The extremal plurisubharmonic function for convex symmetric subsets of \mathbb{R}^N* , Michigan Math. J. 32 (1985), 197–201.
- [Ko] S. Kołodziej, *The logarithmic capacity in \mathbb{C}^n* , Ann. Polon. Math. 48 (1988), 253–267.
- [S1] J. Siciak, *On some extremal functions and their applications in the theory of analytic functions of several complex variables*, Trans. Amer. Math. Soc. 105 (1962), 322–357.
- [S2] J. Siciak, *Extremal plurisubharmonic functions in \mathbb{C}^N* , Ann. Polon. Math. 39 (1981), 175–211.
- [S3] J. Siciak, *Extremal Plurisubharmonic Functions and Capacities in \mathbb{C}^n* , Sophia Kokyuroku Math. 14, Sophia Univ., Tokyo 1982.
- [Sz] T. Szlachetka, *Some properties of capacities in \mathbb{R}^n and \mathbb{C}^n* , in preparation.

Mirosław Baran, Leokadia Bialas-Ciez
Institute of Mathematics
Faculty of Mathematics and Computer Science
Jagiellonian University
30-348 Kraków, Poland
E-mail: Mirosław.Baran@im.uj.edu.pl
Leokadia.Bialas-Ciez@im.uj.edu.pl

*Received 23.11.2011
and in final form 18.5.2012*

(2612)

