

Polynomial interpolation and approximation in \mathbb{C}^d

by T. BLOOM (Toronto), L. P. BOS (Verona),
J.-P. CALVI (Toulouse) and N. LEVENBERG (Bloomington, IN)

Abstract. We update the state of the subject approximately 20 years after the publication of T. Bloom, L. Bos, C. Christensen, and N. Levenberg, *Polynomial interpolation of holomorphic functions in \mathbb{C} and \mathbb{C}^n* , Rocky Mountain J. Math. 22 (1992), 441–470. This report is mostly a survey, with a sprinkling of assorted new results throughout.

1. Introduction. Let z_0, \dots, z_n be $n + 1$ distinct points in the complex plane and let f be a function which is defined at these points. The polynomials

$$l_j(z) = \frac{\prod_{k \neq j} (z - z_k)}{\prod_{k \neq j} (z_j - z_k)}, \quad j = 0, \dots, n,$$

are polynomials of degree n with $l_j(z_k) = \delta_{j,k}$ which we call the *fundamental Lagrange interpolating polynomials*, or FLIP's, associated to z_0, \dots, z_n . The polynomial $p(z) = \sum_{j=0}^n f(z_j)l_j(z)$ is then the unique polynomial of degree at most n satisfying $p(z_j) = f(z_j)$, $j = 0, \dots, n$; we call it the *Lagrange interpolating polynomial*, or LIP, associated to f, z_0, \dots, z_n . If Γ is a rectifiable Jordan curve such that the points z_0, \dots, z_n are inside Γ , and f is holomorphic inside and on Γ , we can estimate the error in our approximation of f by p at points inside Γ using the Hermite Remainder Formula: for any z inside Γ ,

$$(1.1) \quad f(z) - p(z) = \frac{1}{2\pi i} \int_{\Gamma} \frac{\omega(z)}{\omega(t)} \frac{f(t)}{t - z} dt,$$

where $\omega(z) = \prod_{k=0}^n (z - z_k)$. This elementary yet fundamental formula is the key to proving many important results on polynomial approximation and interpolation. We first recall the following result of Walsh which gives a quantitative version of the classical Runge theorem.

2010 *Mathematics Subject Classification*: 32U15, 32E30.

Key words and phrases: polynomial interpolation, Fekete points, Lebesgue constant.

THEOREM 1 (Walsh). *Let K be a compact subset of the plane such that $\mathbb{C} \setminus K$ is connected and has a Green function g_K . Let $R > 1$, and define*

$$D_R := \{z \in \mathbb{C} : g_K(z) < \log R\}.$$

For f continuous on K , let

$$(1.2) \quad d_n(f, K) := \inf\{\|f - p_n\|_K : p_n \text{ polynomial of degree } \leq n\}$$

where $\|f - p_n\|_K = \sup_{z \in K} |f(z) - p_n(z)|$. Then

$$\limsup_{n \rightarrow \infty} d_n(f, K)^{1/n} \leq 1/R$$

if and only if f is the restriction to K of a function holomorphic in D_R .

Here, $\mathbb{C} \setminus K$ having a Green function g_K means that g_K is continuous and subharmonic in \mathbb{C} , harmonic in $\mathbb{C} \setminus K$ with $g_K(z) - \log|z|$ bounded as $|z| \rightarrow \infty$, and $g_K = 0$ on K . This final condition says that K is a *regular* compact set.

Consider an array of points $\{z_{nj}\}$, $j = 0, \dots, n$, $n = 1, 2, \dots$. For each f defined in a neighborhood of this array, we can form the sequence of LIP's $\{L_n f\}$ associated to f . Let $\omega_n(z) := \prod_{j=0}^n (z - z_{nj})$. An easy consequence of Theorem 1 and (1.1) is the following.

THEOREM 2. *Let $K \subset \mathbb{C}$ be compact and regular with $\mathbb{C} \setminus K$ connected. Let $\{z_{nj}\}$ be an array of points in K . Then for any f which is holomorphic in a neighborhood of K , we have $L_n f \rightrightarrows f$ on K (uniform convergence) if and only if*

$$\lim_{n \rightarrow \infty} |\omega_n(z)|^{1/(n+1)} = \delta(K) \cdot e^{g_K(z)}$$

uniformly on compact subsets of $\mathbb{C} \setminus K$.

Here

$$(1.3) \quad \delta(K) := \lim_{n \rightarrow \infty} \left(\max_{z_0, \dots, z_n \in K} \prod_{i < j} |z_i - z_j| \right)^{\frac{2}{n(n+1)}}$$

is the transfinite diameter of K . In [9], several conditions on the array $\{z_{nj}\}$ were discussed which imply that, for any f holomorphic in a neighborhood of K , we have $L_n f \rightrightarrows f$ on K . The results in this univariate setting are well-understood.

In \mathbb{C}^d , $d > 1$, knowledge of Lagrange interpolation is less complete. Let \mathcal{P}_n denote the complex vector space of holomorphic polynomials of degree at most n and let

$$N = N(n) := \dim \mathcal{P}_n = \binom{n+d}{n}.$$

Thus

$$\mathcal{P}_n = \text{span}\{e_1, \dots, e_N\}$$

where $\{e_j(z) := z^{\alpha(j)}\}$ are the standard basis monomials. We let

$$l_n := \sum_{j=1}^N \deg e_j = \frac{dnN}{d+1}.$$

For points $\zeta_1, \dots, \zeta_N \in \mathbb{C}^d$, define a (generalized) *Vandermonde determinant* of order n as

$$(1.4) \quad \begin{aligned} \text{VDM}(\zeta_1, \dots, \zeta_N) &= \det [e_i(\zeta_j)]_{i,j=1, \dots, N} \\ &= \det \begin{bmatrix} e_1(\zeta_1) & e_1(\zeta_2) & \dots & e_1(\zeta_N) \\ \vdots & \vdots & \ddots & \vdots \\ e_N(\zeta_1) & e_N(\zeta_2) & \dots & e_N(\zeta_N) \end{bmatrix}. \end{aligned}$$

Given N points $A_n = \{A_{n1}, \dots, A_{nN}\}$ with

$$\text{VDM}(A_{n1}, \dots, A_{nN}) \neq 0,$$

we can form the FLIP's

$$(1.5) \quad l_{nj}(x) := \frac{\text{VDM}(A_{n1}, \dots, x, \dots, A_{nN})}{\text{VDM}(A_{n1}, \dots, A_{nN})}, \quad j = 1, \dots, N.$$

In the one (complex) variable case, we get *cancellation* in this ratio so that the formulas for the FLIP's simplify. In general, we still have $l_{nj}(A_{ni}) = \delta_{ji}$ and $l_{nj} \in \mathcal{P}_n$ since l_{nj} is a linear combination of e_1, \dots, e_N . For f defined at the points in A_n ,

$$(1.6) \quad (L_n f)(x) := \sum_{j=1}^N f(A_{nj}) l_{nj}(x)$$

is the Lagrange interpolating polynomial (LIP) for f and the points in A_n .

In one variable, we have $\text{VDM}(A_{n1}, \dots, A_{nN}) \neq 0$ provided the points in A_n are distinct. Given a compact set $K \subset \mathbb{C}^d$, we say that K is *determining* for $\bigcup \mathcal{P}_n$ if whenever $h \in \bigcup \mathcal{P}_n$ satisfies $h = 0$ on K , it follows that $h \equiv 0$. For these sets we can find points $\{A_{n1}, \dots, A_{nN}\}$ for each n with $\text{VDM}(A_{n1}, \dots, A_{nN}) \neq 0$; we call these points *unisolvent* of degree n . Despite the lack of a Hermite-type remainder formula, we can describe one condition on an array $\{A_{nj}\}_{j=1, \dots, N; n=1, 2, \dots}$ lying in a compact set $K \subset \mathbb{C}^d$ satisfying a multivariate version of "regular with $\mathbb{C} \setminus K$ connected" which implies that, for any f holomorphic in a neighborhood of K , we have $L_n f \rightrightarrows f$ on K . We call

$$A_n := \sup_{z \in K} A_n(z) := \sup_{z \in K} \sum_{j=1}^N |l_{nj}(z)|$$

the n th *Lebesgue constant* for K , A_n (the function $z \mapsto A_n(z)$ is the n th *Lebesgue function*). It is the norm of the linear operator $\mathcal{L}_n : C(K) \rightarrow \mathcal{P}_n \subset C(K)$ where $\mathcal{L}_n(f) = L_n f$ from (1.6) and we equip $C(K)$ with the uniform norm.

The next result follows from a multivariate version of Theorem 1 together with the *Lebesgue inequality* which says that for every continuous function f on K we have

$$(1.7) \quad \|f - L_n f\|_K \leq (1 + \Lambda_n) d_n(f, K)$$

with $d_n(f, K)$ as in (1.2) using polynomials in \mathbb{C}^d .

PROPOSITION 3. *Let $K \subset \mathbb{C}^d$ be polynomially convex and L -regular and let $A_n \subset K$ satisfy $\text{VDM}(A_{n1}, \dots, A_{nN}) \neq 0$ for each $n = 1, 2, \dots$. If*

$$\limsup_{n \rightarrow \infty} \Lambda_n^{1/n} = 1,$$

then for each $f \in C(K)$,

$$\limsup_{n \rightarrow \infty} \|f - L_n f\|_K^{1/n} = \limsup_{n \rightarrow \infty} d_n(f, K)^{1/n},$$

and $L_n f \rightrightarrows f$ on K for each f holomorphic on a neighborhood of K .

The notions of polynomial convexity and L -regularity will be defined in the next section.

This property $\limsup_{n \rightarrow \infty} \Lambda_n^{1/n} = 1$ is one of several we consider in the definition below. For a compact subset $K \subset \mathbb{C}^d$ let

$$V_n(K) := \max_{\zeta_1, \dots, \zeta_N \in K} |\text{VDM}(\zeta_1, \dots, \zeta_N)|.$$

Then the transfinite diameter of K is given by the formula

$$(1.8) \quad \delta(K) = \lim_{n \rightarrow \infty} V_n(K)^{\frac{d+1}{dn}} = \lim_{n \rightarrow \infty} V_n(K)^{1/l_n}$$

(note this agrees with (1.3) when $d = 1$).

DEFINITION 4. Let K be compact. Consider the following four properties which an array $\{A_{nj}\}_{j=1, \dots, N; n=1, 2, \dots} \subset K$ may or may not have:

- (1) $\lim_{n \rightarrow \infty} \Lambda_n^{1/n} = 1$;
- (2) $\lim_{n \rightarrow \infty} |\text{VDM}(A_{n1}, \dots, A_{nN})|^{1/l_n} = \delta(K)$;
- (3) $\lim_{n \rightarrow \infty} N^{-1} \sum_{j=1}^N \delta_{A_{nj}} = \mu_K$ weak*;
- (4) $L_n f \rightrightarrows f$ on K for each f holomorphic on a neighborhood of K .

Here δ_A denotes the unit point mass at A . The probability measure μ_K is the (pluri-)potential-theoretic equilibrium measure of K that is, for $K \subset \mathbb{C}$ nonpolar, $\mu_K = (2\pi)^{-1} \Delta V_K^*$, and for $K \subset \mathbb{C}^d$ nonpluripolar with $d > 1$, $\mu_K = (2\pi)^{-d} (dd^c V_K^*)^d$, the complex Monge–Ampère measure of $V_K^*(z) := \limsup_{\zeta \rightarrow z} V_K(\zeta)$ where

$$\begin{aligned} V_K(z) &= \sup \left\{ \frac{1}{\deg(p)} \log |p(z)| : p \in \bigcup \mathcal{P}_n, \|p\|_K \leq 1 \right\} \\ &= \sup \{u(z) : u \in L(\mathbb{C}^d) \text{ and } u \leq 0 \text{ on } K\}. \end{aligned}$$

Here $L(\mathbb{C}^d)$ is the set of all plurisubharmonic functions on \mathbb{C}^d of *logarithmic growth*, i.e., $u \in L(\mathbb{C}^d)$ if u is plurisubharmonic in \mathbb{C}^d and $u(z) \leq \log |z| + O(1)$ as $|z| \rightarrow \infty$. In [9] it was shown that for $K \subset \mathbb{C}$ *regular*, i.e., $V_K = V_K^* = g_K$, and $\mathbb{C} \setminus K$ connected, we have the implications

$$(1.9) \quad (1) \Rightarrow (2) \Rightarrow (3) \Rightarrow (4)$$

(only the last implication requires regularity of K), while none of the reverse implications are necessarily true (although for arrays lying on the boundary of K , (3) and (4) are equivalent; for a more precise discussion, see [12]). Proposition 3 being true in \mathbb{C}^d for any d shows that the implication (1) \Rightarrow (4) remains true in \mathbb{C}^d ; and, as was shown in [9], (1) \Rightarrow (2) as well.

We continue in the next section with the necessary definitions and an elaboration on the relationship between conditions (1) and (2). Recent deep results of R. Berman and S. Boucksom ([4] and with D. Witt Nyström [5]) yield (2) \Rightarrow (3); we discuss consequences of this result on recovering the measure μ_K in Section 3. In Section 4 we describe methods of recovering the extremal function V_K . We discuss the important Bernstein–Markov property in Section 5. A brief introduction to weighted pluripotential theory in \mathbb{C}^d is provided in Section 6, and a connection with unweighted pluripotential theory in \mathbb{C}^{d+1} as in [8] is given. Section 7 provides explicit and semi-explicit constructions of arrays in certain compact sets satisfying conditions related to (1)–(4). We give a reprise of the analysis of so-called Bos arrays on the real unit disk $B_2 \subset \mathbb{R}^2 \subset \mathbb{C}^2$ in Section 8. In Section 9, we discuss computational approaches to constructing arrays in a compact set K satisfying some of the properties (1)–(4). A brief discussion of Kergin interpolation forms the content of Section 10, and we conclude this work, as was done in [9], with a list of ten open problems.

We would like to thank the organizers of the Conference on Several Complex Variables on the occasion of Professor Józef Siciak’s 80th birthday for their hospitality and we dedicate this work to Professor Siciak for his contributions and inspiration to the pluripotential theory community.

2. Subexponential Lebesgue constants and asymptotic Fekete arrays. We work in \mathbb{C}^d using the same notation as in Section 1. For $K \subset \mathbb{C}^d$ compact, recall that $V_n(K) := \max_{\zeta_1, \dots, \zeta_N \in K} |\text{VDM}(\zeta_1, \dots, \zeta_N)|$ and $\delta(K) = \lim_{n \rightarrow \infty} V_n(K)^{(d+1)/(dnN)}$ is the transfinite diameter of K . Points $z_1, \dots, z_N \in K$ satisfying $V_n(K) = |\text{VDM}(z_1, \dots, z_N)|$ are called *n th order Fekete points for K* . Zakharyuta [47] showed that the limit exists. Clearly if a compact set K is contained in an algebraic subvariety of \mathbb{C}^d then $\delta(K) = 0$. It turns out that for $K \subset \mathbb{C}^d$ compact, $\delta(K) = 0$ if and only if K is pluripolar [33].

If the compact set $K \subset \mathbb{C}^d$ is L -regular, meaning that $V_K = V_K^*$, and for $R > 1$ we define

$$(2.1) \quad D_R := \{z : V_K(z) < \log R\},$$

then we have the *Bernstein–Walsh inequality*

$$|p(z)| \leq \|p\|_K R^{\deg p}, \quad z \in D_R,$$

for every polynomial p in \mathbb{C}^d . A compact set $K \subset \mathbb{C}^d$ is *polynomially convex* if K coincides with its *polynomial hull*

$$\widehat{K} := \{z \in \mathbb{C}^d : |p(z)| \leq \|p\|_K, p \text{ polynomial}\}.$$

Then Theorem 1 of Walsh goes over *exactly* to several complex variables:

THEOREM 5 ([41]). *Let K be an L -regular, polynomially convex compact set in \mathbb{C}^d . Let $R > 1$, and let D_R be defined by (2.1). Let f be continuous on K . Then*

$$\limsup_{n \rightarrow \infty} d_n(f, K)^{1/n} \leq 1/R$$

if and only if f is the restriction to K of a function holomorphic in D_R .

The “only if” direction is the same for any d and uses the Bernstein–Walsh inequality. Theorem 5 immediately yields Proposition 3, showing that for L -regular, polynomially convex compact sets in \mathbb{C}^d , condition (1) implies (4). Unless otherwise noted, when discussing condition (4) of Definition 4 we will always assume K is L -regular and polynomially convex.

It is easy to see that (1) implies (2) but the converse is not true. On pp. 462–463 in [9], it was observed that for an array $\{A_{nj}\} \subset K$ with

$$|\text{VDM}(A_{n1}, \dots, A_{nN})| = c_n V_n(K)$$

where

$$0 < c_n < 1, \quad \limsup_{n \rightarrow \infty} c_n^{1/n} < 1, \quad \text{and} \quad \lim_{n \rightarrow \infty} c_n^{1/n} = 1$$

(e.g., $c_n = v^n$ for $0 < v < 1$), property (2) holds but (1) does not. More precisely, we have the following.

PROPOSITION 6. *Let $\{A_{nj}\}_{j=1, \dots, N; n=1, 2, \dots} \subset K$ be an array of points. Suppose that*

$$\lim_{n \rightarrow \infty} \left(\frac{V_n(K)}{|\text{VDM}(A_{n1}, \dots, A_{nN})|} \right)^{1/n} = 1.$$

Then (1) in Definition 4 holds.

Proof. The result follows trivially from the observation that if

$$\frac{V_n(K)}{|\text{VDM}(A_{n1}, \dots, A_{nN})|} \leq a_n,$$

then $A_n \leq N \cdot a_n$. This is a consequence of the fact that each FLIP (1.5) can be written as

$$l_{nj}(z) := \frac{\text{VDM}(A_{n1}, \dots, z, \dots, A_{nN})}{\text{VDM}(A_{n1}, \dots, A_{nN})}$$

so that

$$|l_{nj}(z)| \leq a_n \frac{|\text{VDM}(A_{n1}, \dots, z, \dots, A_{nN})|}{V_n(K)}.$$

Since $|\text{VDM}(A_{n1}, \dots, z, \dots, A_{nN})| \leq V_n(K)$ for each $z \in K$, we have $\|l_{nj}\|_K \leq a_n$. ■

3. Arrays yielding μ_K . In one variable, $-n^{-2} \log |\text{VDM}(z_1, \dots, z_n)|$ is a discrete “approximation” to the logarithmic energy of the measure $\mu_n = n^{-1} \sum_{j=1}^n \delta_{z_j}$. This is the idea behind the classical proof that (2) \Rightarrow (3). In several complex variables, the complex Monge–Ampère operator is nonlinear and, until recently, no reasonable notion of the energy of a measure existed. We state without proof the remarkable result of Berman, Boucksom and Witt Nyström [5] that, nevertheless, (2) \Rightarrow (3) for general nonpluripolar compact sets K in the multivariate setting.

THEOREM 7 ([5]). *Let $K \subset \mathbb{C}^d$ be compact and nonpluripolar. For each n , take points $x_1^{(n)}, x_2^{(n)}, \dots, x_N^{(n)} \in K$ for which*

$$(3.1) \quad \lim_{n \rightarrow \infty} |\text{VDM}(x_1^{(n)}, \dots, x_N^{(n)})|^{\frac{d+1}{dnN}} = \delta(K)$$

(asymptotic Fekete points) and let $\mu_n := N^{-1} \sum_{j=1}^N \delta_{x_j^{(n)}}$. Then

$$\mu_n \rightarrow \mu_K \quad \text{weak}^*.$$

This gives a positive answer to question (5.6) posed in [9]. In Proposition 3.7 of [9] it was shown that for a *Leja sequence* $\{x_1, x_2, \dots\} \subset K$,

$$\lim_{n \rightarrow \infty} |\text{VDM}(x_1, \dots, x_N)|^{\frac{d+1}{dnN}} = \delta(K).$$

Thus the asymptotic Fekete property (3.1) holds for this *sequence* of points; so from Theorem 7 it follows that the discrete measures

$$\mu_n := \frac{1}{N} \sum_{i=1}^N \delta_{x_i}$$

converge weak* to μ_K . A *Leja sequence* is defined inductively as follows. Take the standard monomial basis $\{e_1, e_2, \dots\}$ for $\bigcup \mathcal{P}_n$ ordered so that $\deg e_i \leq \deg e_j$ if $i \leq j$. Given m points z_1, \dots, z_m in \mathbb{C}^d , we write

$$\text{VDM}(z_1, \dots, z_m) = \det [e_i(z_j)]_{i,j=1, \dots, m}.$$

Starting with any point $x_1 \in K$, having chosen $x_1, \dots, x_m \in K$ we choose $x_{m+1} \in K$ so that

$$|\text{VDM}(x_1, \dots, x_m, x_{m+1})| = \max_{x \in K} |\text{VDM}(x_1, \dots, x_m, x)|.$$

We remark that despite the desirable property that $\mu_n \rightarrow \mu_K$ weak*, it is unknown if (1) always holds for a Leja sequence, even in the univariate case ($d = 1$). This is the first question in Section 5 of [9].

We end this section with the statement of a result of R. Taylor and V. Totik that gives a partial answer in the $d = 1$ setting.

THEOREM 8 ([44]). *Let $K \subset \mathbb{C}$ be compact and assume that the outer boundary of K can be written as a finite union of C^2 arcs. Then any Leja sequence for K satisfies (1).*

In particular, Leja sequences on an interval satisfy (1). We return to this topic in Section 7.

4. Recovering the function V_K . In Section 2.4 of [9], an elementary argument shows that for arrays satisfying property (1) of Definition 4, the Lebesgue functions $A_n(z)$ can be used to recover V_K in the sense that

$$(4.1) \quad \lim_{n \rightarrow \infty} \log A_n(z) = V_K(z), \quad z \in \mathbb{C}^d.$$

This was proved in the univariate case but the same proof works in all dimensions. Property (2) is not sufficient for (4.1) to hold. In this section, we investigate special families of polynomials which can be used to recover the extremal function V_K .

Zakharyuta's proof of the existence of the limit in (1.8) introduced the useful notion of *directional Chebyshev constants*. Let $e_1(z), \dots, e_j(z), \dots$ be a listing of the monomials $\{e_i(z) = z^{\alpha(i)} = z_1^{\alpha_1} \dots z_d^{\alpha_d}\}$ in \mathbb{C}^d indexed using a lexicographic ordering on the multiindices $\alpha = \alpha(i) = (\alpha_1, \dots, \alpha_d) \in \mathbb{N}^d$, but with $\deg e_i = |\alpha(i)|$ nondecreasing. Define the class of polynomials

$$P_i = P(\alpha(i)) := \left\{ e_i(z) + \sum_{j < i} c_j e_j(z) \right\},$$

and the Chebyshev constants

$$T(\alpha) := \inf \{ \|p\|_K : p \in P_i \}.$$

We write $t_{\alpha, K} := t_{\alpha(i), K}$ for a Chebyshev polynomial, i.e., $t_{\alpha, K} \in P_i$ and $\|t_{\alpha, K}\|_K = T(\alpha)$. Let $\Sigma = \Sigma_d$ denote the standard simplex in \mathbb{R}^d , i.e.,

$$\Sigma = \left\{ \theta = (\theta_1, \dots, \theta_d) \in \mathbb{R}^d : \sum_{j=1}^d \theta_j = 1, \theta_j \geq 0, j = 1, \dots, d \right\},$$

and let

$$\Sigma^0 := \{\theta \in \Sigma : \theta_j > 0, j = 1, \dots, d\}.$$

For all $\theta \in \Sigma^0$, the limit

$$\tau(K, \theta) := \lim_{\alpha/|\alpha| \rightarrow \theta} T(\alpha)^{1/|\alpha|}$$

exists and is called the *directional Chebyshev constant* for K in the direction θ . Zakharyuta [47] showed that

$$(4.2) \quad \delta(K) = \exp \left[\frac{1}{\text{meas}(\Sigma)} \int_{\Sigma^0} \log \tau(K, \theta) d\theta \right].$$

In [7], the following theorem was proved.

THEOREM 9 ([7]). *Let $K \subset \mathbb{C}^d$ be compact, L -regular, and polynomially convex. Let $\{p_j\}$ be a sequence of polynomials such that for all $\theta \in \Sigma^0$, there exists a subsequence $Y_\theta \subset \mathbb{Z}^+$ with $p_j \in P(\alpha_j)$, $j \in Y_\theta$ and*

$$\lim_{j \in Y_\theta} \|p_j\|_K^{1/\deg p_j} = \tau(K, \theta).$$

Then

$$\left[\limsup_{j \rightarrow \infty} \frac{1}{\deg p_j} \log \frac{|p_j(z)|}{\|p_j\|_K} \right]^* = V_K(z), \quad z \notin K.$$

The family $\{p_j\}$ is said to be θ -aCh (“theta-asymptotically Chebyshev”) if the property in Theorem 9 holds. Bloom proved, in particular, that *Leja polynomials* associated to a Leja sequence have this θ -aCh property. Using Theorem 9, he proved an interesting corollary related to our condition (1). To present his proof, we begin with a *triangular array* $\{B_{sj}\}_{j=1, \dots, s, s=1, 2, \dots}$ with the property that $\text{VDM}(B_{s1}, \dots, B_{ss}) \neq 0$ for each s . Define, for each multiindex $\alpha = \alpha(s)$, the polynomial

$$G_{\alpha(s)}(z) := \frac{\text{VDM}(B_{s1}, \dots, B_{ss}, z)}{\text{VDM}(B_{s1}, \dots, B_{ss})}.$$

Note that $G_{\alpha(s)}(z) = z^{\alpha(s)} + \sum_{j < s} c_j z^{\alpha(j)} \in P_s$. Moreover, it is straightforward to see that

$$G_{\alpha(s)}(z) = z^{\alpha(s)} - L_{\alpha(s)}(z^{\alpha(s)}) = t_{\alpha(s), K} - L_{\alpha(s)}(t_{\alpha(s), K})$$

where $L_{\alpha(s)}(f)$ is the LIP for f and the points B_{s1}, \dots, B_{ss} , i.e.,

$$L_{\alpha(s)}(f)(z) = \sum_{j=1}^s f(B_{sj}) l_{sj}(z)$$

and

$$l_{sj}(z) = \frac{\text{VDM}(B_{s1}, \dots, B_{s(j-1)}, z, B_{s(j+1)}, \dots, B_{ss})}{\text{VDM}(B_{s1}, \dots, B_{ss})}.$$

Letting

$$A_{\alpha(s)} := \sup_{z \in K} \sum_{j=1}^s |l_{sj}(z)|,$$

we have the following.

THEOREM 10 ([7]). *If K is L -regular and $\lim_{|\alpha(s)| \rightarrow \infty} A_{\alpha(s)}^{1/|\alpha(s)|} = 1$, then*

$$V_K(z) = \left[\limsup_{|\alpha(s)| \rightarrow \infty} \frac{1}{|\alpha(s)|} \log \frac{G_{\alpha(s)}(z)}{\|G_{\alpha(s)}\|_K} \right]^*$$

for $z \in \mathbb{C}^d \setminus \widehat{K}$.

The hypothesis $\lim_{|\alpha(s)| \rightarrow \infty} A_{\alpha(s)}^{1/|\alpha(s)|} = 1$ implies that the family of polynomials $\{G_{\alpha(s)}\}$ is θ -aCh. In particular, *Fekete polynomials* for each $s = 1, 2, \dots$ defined from an array that maximizes $|\text{VDM}(\zeta_1, \dots, \zeta_s)|$ over $(\zeta_1, \dots, \zeta_s) \in K^s$ are shown to have this property. Note that in this case, for $s = N = \dim \mathcal{P}_n$, the s points B_{s1}, \dots, B_{ss} coincide with the n th order (degree) Fekete points A_{n1}, \dots, A_{nN} . A weighted version of Theorem 9 was proved as Theorem 3.5 of [14]. We will utilize this in Section 6.

5. Bernstein–Markov property. For a compact set $K \subset \mathbb{C}^d$ and a measure ν on K , we say that the pair (K, ν) satisfies a *Bernstein–Markov property* if there exist constants M_n such that $\limsup_{n \rightarrow \infty} M_n^{1/n} = 1$ and all polynomials $Q_n \in \mathcal{P}_n$ satisfy

$$\|Q_n\|_K \leq M_n \|Q_n\|_{L^2(\nu)}.$$

In [9] it was shown (Theorem 3.3) how one could recover the transfinite diameter $\delta(K)$ from the asymptotics of Gram determinants associated to a Bernstein–Markov pair (K, ν) . More recently, strong Bergman asymptotics were proved in [5] in this setting: if (K, ν) satisfies a Bernstein–Markov property, then

$$(5.1) \quad \frac{1}{N} B_n^\nu d\nu \rightarrow \mu_K \quad \text{weak}^*$$

where

$$B_n^\nu(z) := \sum_{j=1}^N |q_j(z)|^2$$

is the n th Bergman function for (K, ν) and $\{q_1, \dots, q_N\}$ is an orthonormal basis for \mathcal{P}_n with respect to $L^2(\nu)$. Thus it is natural to ask which compact sets K admit measures ν satisfying a Bernstein–Markov property. The following result was proved in [16]; since the proof is short, we include it.

PROPOSITION 11 ([16]). *Let $K \subset \mathbb{C}^d$ be an arbitrary compact set. Then there exists a probability measure ν such that (K, ν) satisfies a Bernstein–Markov property.*

Proof. To construct ν , we first observe that if K is a finite set, any measure ν which puts positive mass at each point of K will work. If K has infinitely many points, for each $k = 1, 2, \dots$ let $m_k = \dim \mathcal{P}_k(K)$, where $\mathcal{P}_k(K)$ denotes the holomorphic polynomials of degree at most k on \mathbb{C}^d restricted to K . Then $\lim_{k \rightarrow \infty} m_k = \infty$ and $m_k \leq \binom{d+k}{k} = O(k^d)$. Moreover for each k , let

$$\mu_k := \frac{1}{m_k} \sum_{j=1}^{m_k} \delta_{z_j^{(k)}}$$

where $\{z_j^{(k)}\}_{j=1, \dots, m_k}$ is a set of Fekete points of order k for K , i.e., if $\{e_1, \dots, e_{m_k}\}$ is any basis for $\mathcal{P}_k(K)$, then

$$(5.2) \quad \left| \det [e_i(z_j^{(k)})]_{i,j=1, \dots, m_k} \right| = \max_{q_1, \dots, q_{m_k} \in K} \left| \det [e_i(q_j)]_{i,j=1, \dots, m_k} \right|.$$

Define

$$\nu := c \sum_{k=1}^{\infty} \frac{1}{k^2} \mu_k$$

where $c > 0$ is chosen so that ν is a probability measure. If $p \in \mathcal{P}_k(K)$, we have

$$p(z) = \sum_{j=1}^{m_k} p(z_j^{(k)}) l_j^{(k)}(z)$$

where $l_j^{(k)} \in \mathcal{P}_k(K)$ with $l_j^{(k)}(z_k^{(k)}) = \delta_{jk}$. We have $\|l_j^{(k)}\|_K = 1$ from (5.2) and hence

$$\|p\|_K \leq \sum_{j=1}^{m_k} |p(z_j^{(k)})|.$$

On the other hand,

$$\|p\|_{L^2(d\nu)} \geq \|p\|_{L^1(d\nu)} \geq \frac{c}{k^2} \int_K |p| d\mu_k = \frac{c}{m_k k^2} \sum_{j=1}^{m_k} |p(z_j^{(k)})|.$$

Thus

$$\|p\|_K \leq \frac{m_k k^2}{c} \|p\|_{L^2(d\nu)}. \quad \blacksquare$$

This gives a positive answer to the first part of question (5.2) in [9]. The second part has a negative answer, as the simple example of

$$K = \{z \in \mathbb{C} : |z| \leq 1\} \cup \{2\}$$

shows.

For certain measures ν with compact and nonpolar support on the real line $\mathbb{R} \subset \mathbb{C}$, *pointwise* asymptotics of the Bergman functions $\{B_n^\nu\}$ are known (cf. [45]). In the higher-dimensional setting, very little is known. Bos et al. [21] consider one natural analogue of the interval, namely, the real unit ball

$$B_d := \left\{ (z_1, \dots, z_d) \in \mathbb{C}^d : \operatorname{Im} z_j = 0, j = 1, \dots, d, \sum_{j=1}^d (\operatorname{Re} z_j)^2 \leq 1 \right\}$$

in $\mathbb{R}^d \subset \mathbb{C}^d$. Writing $x_j := \operatorname{Re} z_j$ and $x = (x_1, \dots, x_d)$, we know that

$$(5.3) \quad d\mu_{B_d} = \omega^0(x) dx := \frac{2}{\omega_d \sqrt{1 - \sum_{j=1}^d x_j^2}} dx$$

where $dx = dx_1 \wedge \dots \wedge dx_d$ is d -dimensional Lebesgue measure on \mathbb{R}^d and ω_d is the surface area of the unit sphere $S^d \subset \mathbb{R}^{d+1}$. Lemma 1 in [21] shows that if $d\nu(x) = \omega(x) dx$ where $\omega(x) = \omega(-x)$ is a positive *centrally symmetric* weight satisfying a certain Lipschitz property, then

$$(5.4) \quad \lim_{n \rightarrow \infty} \frac{1}{N} B_n^\nu(x) = \frac{\omega^0(x)}{\omega(x)}, \quad |x| < 1,$$

and the convergence is uniform on compact subsets of the \mathbb{R}^d -interior of B_d . The first step is the special case where $\omega(x) = \omega^0(x)$ (note in this case the right-hand side of (5.4) is the constant function 1; it is also shown that the limit is the constant function 2 on the sphere $|x| = 1$).

Having a Bernstein–Markov measure allows one to replace Chebyshev polynomials by orthogonal polynomials in certain asymptotic computations. Using this idea, the exact calculation of the transfinite diameter of the real ball $B_d = \{x \in \mathbb{R}^d : |x| \leq 1\}$ and the real unit simplex $S_d := \{x \in \mathbb{R}_+^d : \sum_{j=1}^d x_j \leq 1\}$ in $\mathbb{R}^d \subset \mathbb{C}^d$ was recently achieved in [10].

PROPOSITION 12 ([10]). *The transfinite diameter of the unit ball B_d is:*

(1) *for d even,*

$$\delta(B_d) = \frac{1}{2} \exp \left(-\frac{1}{4} \frac{2d+1}{d} \sum_{j=1}^d \frac{1}{j} + \frac{1}{2} + \frac{1}{2} \log(2) + \frac{1}{4d} \sum_{j=1}^d \frac{(-1)^j}{j} \right),$$

(2) *for d odd,*

$$\delta(B_d) = \frac{1}{2} \exp \left(-\frac{1}{4} \frac{2d+1}{d} \sum_{j=1}^d \frac{1}{j} + \frac{1}{2} + \frac{d-1}{2d} \log(2) - \frac{1}{4d} \sum_{j=1}^d \frac{(-1)^j}{j} \right).$$

The transfinite diameter of the simplex S_d is

$$\delta(S_d) = (\delta(B_d))^2.$$

We remark that very recent results on asymptotics of Bergman functions associated to a quite general class of multivariate orthogonal polynomials on B_d and S_d have been obtained by Kroó and Lubinsky [31].

6. Weighted vs. unweighted. In the weighted theory, one considers *closed* sets which for certain weights may be unbounded. To be precise, let $K \subset \mathbb{C}^d$ be closed and let w be an admissible weight function on K , i.e., w is a nonnegative, usc function with $\{z \in K : w(z) > 0\}$ nonpluripolar; if K is unbounded, we require that w satisfies the growth property

$$(6.1) \quad |z|w(z) \rightarrow 0 \quad \text{as } |z| \rightarrow \infty, z \in K.$$

Let $Q := -\log w$ and define the weighted extremal function or weighted pluricomplex Green function $V_{K,Q}^*(z) := \limsup_{\zeta \rightarrow z} V_{K,Q}(\zeta)$ where

$$V_{K,Q}(z) := \sup\{u(z) : u \in L(\mathbb{C}^d), u \leq Q \text{ on } K\}.$$

In the unbounded case, property (6.1) is equivalent to

$$Q(z) - \log |z| \rightarrow +\infty \quad \text{as } |z| \rightarrow \infty \text{ through points in } K.$$

Due to this growth assumption for Q , $V_{K,Q}$ is well-defined and coincides with $V_{K \cap \mathcal{B}_R, Q}$ for $R > 0$ sufficiently large, where $\mathcal{B}_R = \{z : |z| \leq R\}$ (Definition 2.1 and Lemma 2.2 of Appendix B in [38]). It is known that the support

$$S_w := \text{supp}(\mu_{K,Q})$$

of the *weighted extremal measure*

$$\mu_{K,Q} := \frac{1}{(2\pi)^d} (dd^c V_{K,Q}^*)^d$$

is compact;

$$S_w \subset S_w^* := \{z \in K : V_{K,Q}^*(z) \geq Q(z)\};$$

moreover,

$$V_{K,Q}^* = Q \quad \text{q.e. on } S_w$$

(i.e., $V_{K,Q}^* = Q$ on $S_w \setminus F$ where F is pluripolar); and if $u \in L(\mathbb{C}^d)$ satisfies $u \leq Q$ q.e. on S_w then $u \leq V_{K,Q}^*$ on \mathbb{C}^d .

The unweighted case is when K is compact and $w \equiv 1$ ($Q \equiv 0$); we then write $V_K := V_{K,0}$ to be consistent with the previous notation.

Even in one variable ($d = 1$) the weighted theory introduces new phenomena compared to the unweighted case. As an elementary example, μ_K puts no mass on the interior of K (in one variable, the support of μ_K is the outer boundary of K); but this is not necessarily true in the weighted setting. As a simple but illustrative example, taking K to be the closed unit ball $\{z : |z| \leq 1\}$ and $Q(z) = |z|^2$, it is easy to see that $V_{K,Q} = Q$ on the ball $\{z : |z| \leq 1/\sqrt{2}\}$ and $V_{K,Q}(z) = \log |z| + 1/2 - \log(1/\sqrt{2})$ outside this

ball. One can check that if K_R is the ball $\{z : |z| \leq R\}$ for $1/\sqrt{2} \leq R \leq \infty$ and $Q(z) = |z|^2$, one has $V_{K_R, Q} = V_{K, Q}$.

Now let $K \subset \mathbb{C}^d$ be compact and let w be an admissible weight function on K . Generalizing (1.4), given $\zeta_1, \dots, \zeta_N \in K$, let

$$\begin{aligned} W(\zeta_1, \dots, \zeta_N) &:= \text{VDM}(\zeta_1, \dots, \zeta_N) w(\zeta_1)^n \cdots w(\zeta_N)^n \\ &= \det \begin{bmatrix} e_1(\zeta_1) & e_1(\zeta_2) & \cdots & e_1(\zeta_N) \\ \vdots & \vdots & \ddots & \vdots \\ e_N(\zeta_1) & e_N(\zeta_2) & \cdots & e_N(\zeta_N) \end{bmatrix} \cdot w(\zeta_1)^n \cdots w(\zeta_N)^n \end{aligned}$$

be a (generalized) *weighted Vandermonde determinant* of order n . Let

$$W_n(K) := \max_{\zeta_1, \dots, \zeta_N \in K} |W(\zeta_1, \dots, \zeta_N)|$$

and define an *n th order weighted Fekete set for K and w* to be a set of N points $\zeta_1, \dots, \zeta_N \in K$ with the property that

$$|W(\zeta_1, \dots, \zeta_N)| = W_n(K).$$

We also write $\delta^{w,n}(K) := W_n(K)^{(d+1)/(dnN)}$ and define

$$\delta^w(K) := \lim_{n \rightarrow \infty} \delta^{w,n}(K) = \lim_{n \rightarrow \infty} W_n(K)^{\frac{d+1}{dnN}}.$$

A proof of the existence of the limit may be found in [4] or [15]; in the latter work one defines the circled set

$$F = F(K, w) := \{(t, z) = (t, t\lambda) \in \mathbb{C}^{d+1} : \lambda \in K, |t| = w(\lambda)\}$$

and shows that, indeed, for the closure \overline{F} ,

$$\delta^w(K) = \delta(\overline{F})^{(d+1)/d}.$$

In [5] the authors proved a weighted version of (2) \Rightarrow (3), that is, a weighted version of Theorem 7:

THEOREM 13 ([5]). *Let $K \subset \mathbb{C}^d$ be compact with admissible weight w . For each n , take points $x_1^{(n)}, x_2^{(n)}, \dots, x_N^{(n)} \in K$ for which*

$$\lim_{n \rightarrow \infty} |W(x_1^{(n)}, \dots, x_N^{(n)})|^{\frac{d+1}{dnN}} = \delta^w(K)$$

(asymptotic weighted Fekete points) and let $\mu_n := N^{-1} \sum_{j=1}^N \delta_{x_j^{(n)}}$. Then

$$\mu_n \rightarrow \mu_{K, Q} \quad \text{weak}^*.$$

The main results in [4], which are stated and proved in a much more general setting than weighted pluripotential theory in \mathbb{C}^d and lead to the results in [5], *require* the weighted theory. A self-contained exposition of the weighted pluripotential-theoretic setting can be found in [32].

As a final remark on weighted pluripotential theory, we provide a solution of Problem 3.4 in Appendix B of [38] in the locally regular, w -continuous

case, correcting the end of Section 8 in [14]. Here, we say K is *locally regular* if for each $z \in K$ the unweighted pluricomplex Green function for the set $K \cap \overline{B}(z, r)$ is continuous for $r = r(z) > 0$ sufficiently small, where $B(z, r)$ denotes the Euclidean ball with center z and radius r . If K is locally regular and w is continuous, then $V_{K,Q}$ is continuous. The problem is to show that the weighted Fekete polynomials can be used to recover the weighted pluricomplex Green function $V_{K,Q}$ in the sense of Theorem 3.5 of [14]; see (6.2) below. Let $K \subset \mathbb{C}^d$ be locally regular and let w be a continuous admissible weight on K . We define *weighted Chebyshev constants*

$$\tau_i^w(K) := \inf\{\|w^{|\alpha(i)|}p\|_K : p \in P_i\}^{1/|\alpha(i)|}$$

and we let $t_{\alpha,K}^w$ denote a weighted Chebyshev polynomial, i.e., $t_{\alpha,K}^w$ is of the form $w^{\alpha(i)}p$ with $p \in P_i$ and $\|t_{\alpha,K}^w\|_K = \tau_i^w(K)^{|\alpha(i)|}$.

Let $m_s = \binom{d+s}{s}$. Note that $|\alpha(i)| = s$ for $m_{s-1} + 1 \leq i \leq m_s$. For any positive integer i , given $\zeta_1, \dots, \zeta_i \in K$, let

$$W(\zeta_1, \dots, \zeta_i) := \text{VDM}(\zeta_1, \dots, \zeta_i)w(\zeta_1)^{|\alpha(i)|} \dots w(\zeta_i)^{|\alpha(i)|}.$$

Generalizing the notion of an n th order weighted Fekete set for degree n , for each $i = 1, 2, \dots$, an *i th weighted Fekete set for K and w* will be a set of i points $\zeta_1, \dots, \zeta_i \in K$ with the property that

$$W_i := |W(\zeta_1, \dots, \zeta_i)| = \sup_{\xi_1, \dots, \xi_i \in K} |W(\xi_1, \dots, \xi_i)|.$$

Fix i with $|\alpha(i)| = s$. We will define *weighted Fekete polynomials* p_i for each positive integer i with $|\alpha(i)| = |\alpha(i-1)| = s$, i.e., $m_{s-1} + 1 \leq i-1 \leq m_s$. Choose an $(i-1)$ st weighted Fekete set $\zeta_1, \dots, \zeta_{i-1}$ and form the weighted polynomial

$$w(z)^{|\alpha(i)|}p_i(z) = w(z)^{|\alpha(i)|} \left\{ e_i(z) + \sum_{j < i} c_j e_j(z) \right\} := \frac{W(\zeta_1, \dots, \zeta_{i-1}, z)}{W_{i-1}}.$$

Thus

$$\frac{W_i}{W_{i-1}} \geq \|w^{|\alpha(i)|}p_i\|_K \geq \tau_i^w(K)^{|\alpha(i)|}.$$

Next we choose an i th weighted Fekete set ζ_1, \dots, ζ_i . In the expansion of the determinant of this weighted Vandermonde

$$W(\zeta_1, \dots, \zeta_i) := \text{VDM}(\zeta_1, \dots, \zeta_i)w(\zeta_1)^{|\alpha(i)|} \dots w(\zeta_i)^{|\alpha(i)|},$$

we replace the last row $w(\zeta_k)^{|\alpha(i)|}e_i(\zeta_k)$, $k = 1, \dots, i$, by $t_{\alpha(i),K}^w(\zeta_k)$, $k = 1, \dots, i$. Expanding the weighted Vandermonde determinant by the last row,

$$W_i \leq \sum_{k=1}^i |t_{\alpha(i),K}^w(\zeta_k)| \cdot |W(\zeta_1, \dots, \zeta_{i-1})| \leq i\tau_i^w(K)^{|\alpha(i)|}W_{i-1}.$$

Thus

$$\tau_i^w(K)^{|\alpha(i)|} \leq \|w^{|\alpha(i)|} p_i\|_K \leq \frac{W_i}{W_{i-1}} \leq i \tau_i^w(K)^{|\alpha(i)|}.$$

The sequence of weighted polynomials $\{w^{|\alpha(i)|} p_i : \alpha(i) \neq (s, 0, \dots, 0), s = 1, 2, \dots\}$ satisfies the hypothesis of Theorem 3.5 of [14]—note that we have $(1, 0, \dots, 0) \notin \Sigma^0$ —so that we obtain its conclusion:

$$(6.2) \quad \left[\limsup_{i \rightarrow \infty} \frac{1}{|\alpha(i)|} \log \frac{|p_i(z)|}{\|w^{|\alpha(i)|} p_i\|_K} \right]^* = V_{K, Q}(z), \quad z \notin \widehat{K}.$$

7. Explicit good interpolation points. An important question in numerical analysis and computational mathematics is to provide *explicit* or *computable* arrays $\{A_n\} = \{A_{nj} : j = 1, \dots, N\} \subset K$ satisfying (4) in Definition 4. By this we mean points whose coordinates can be entered on a computer with arbitrary precision to evaluate LIP's. Typical explicit points in the univariate setting are *Chebyshev points*, for which

$$\{A_n\} = \left\{ \cos\left(\frac{2k+1}{2(n+1)}\pi\right) : k = 0, \dots, n \right\}, \quad K = [-1, 1],$$

or the *roots of unity*, for which

$$\{A_n\} = \{\exp(2ik\pi/(n+1)) : k = 0, \dots, n\}, \quad K = \{|z| \leq 1\}.$$

Semi-explicit points, such as Fejér points (the image of a complete set of roots of unity under an exterior conformal mapping) or points related to orthogonal polynomials, still deserve interest since, in particular cases and for n not too large, they can be computed with high precision. The Fekete points for $[-1, 1]$ are extreme points of Legendre polynomials plus $\{-1, 1\}$. Recently Xu [46] constructed semi-explicit points on a region in \mathbb{R}^2 bounded by two lines and a parabola using zeros of Jacobi polynomials. Typical non-explicit good points are multivariate Fekete points, which cannot currently be efficiently computed. Even in the one-variable case, only a few examples of explicit good interpolation points are known. If K is not an interval or a disk one generally uses *algorithms* which provide numerical approximations for the points (cf. Section 9).

To clarify what we mean by good points for polynomial interpolation we utilize the following hierarchy which slightly refines some conditions listed in Definition 4.

DEFINITION 14. Let $\{A_n\}$ be an array of interpolation points in $K \subset \mathbb{C}^d$. We denote by A_n the Lebesgue constant for A_n, K , and by $L_n f$ the LIP (1.6) of f, A_n . Here are four properties that $\{A_n\}$ may or may not possess:

- (H1) $L_n f \rightrightarrows f$ on K for each f holomorphic on a neighborhood of K , see Definition 4(4);

- (H2) the Lebesgue constants Λ_n grow subexponentially: $\lim_{n \rightarrow \infty} \Lambda_n^{1/n} = 1$, see Definition 4(1);
- (H3) the Lebesgue constants Λ_n grow polynomially: there exists $s \in \mathbb{N}$ such that $\Lambda_n = O(n^s)$ as $n \rightarrow \infty$;
- (H4) the Lebesgue constants Λ_n grow subpolynomially: $\Lambda_n = o(n)$ as $n \rightarrow \infty$.

Clearly (H4) \Rightarrow (H3) \Rightarrow (H2) \Rightarrow (H1), but none of the reverse implications is true. That there are sequences of points satisfying (H3) but not (H4), and (H2) but not (H3), will follow from the results below. In the multivariate case, since there is currently no analogue to Theorem 2, we are left with the last three conditions and are obliged to study multivariate Lebesgue constants. In the univariate case, we may establish (H1) without having recourse to Lebesgue constants, e.g., in obtaining a discretization of the equilibrium measure (using (3) \Rightarrow (4) in (1.9)). An array of multivariate Fekete points is a fundamental example satisfying (H3).

For theoretical approximation of holomorphic functions on a neighborhood of K , conditions (H4) and (H3) do not provide better results than (H2). However, computations with arrays of points having smaller Lebesgue constants benefit from a higher stability and, from the point of view of approximation theory, one can derive convergence results for larger classes of functions. For instance, from Lebesgue's inequality (1.7) and Jackson's theorem (see e.g. [36, §1.1.2]), if K is a product of intervals in $\mathbb{R}^d \subset \mathbb{C}^d$ then (H3) implies that $L_n f \rightrightarrows f$ on K for each f which is $(s+1)$ -times continuously differentiable on a neighborhood of K while (H4) only requires f to be continuously differentiable. In general, conditions (H3) and (H4) imply convergence results for classes of functions f for which $d_n(f, K)$ (recall (1.2)) is known to decrease polynomially in n . Such estimates are known for several natural spaces of functions holomorphic on the interior of K with some regularity up to the boundary (cf. [39] and the references therein).

The array of Padua points $\{\mathbf{P}_n\}$ is an explicit example of multivariate interpolation points satisfying condition (H4). We follow the presentation given in [17]. Another point of view can be found in [20]. The points of \mathbf{P}_n lie in $K = [-1, 1]^2$ and are located on the classical Lissajous curve $t \in [0, \pi] \mapsto \gamma_n(t) := (\cos(nt), \cos((n+1)t))$. The Padua points are the double points of this curve together with its points on the (real) boundary of the square. A simple formula is the following:

$$(7.1) \quad \mathbf{P}_n = \left\{ \gamma_n \left(\frac{i}{n+1}\pi + \frac{j}{n}\pi \right) : i+j \leq n, (i, j) \in \mathbb{N}^2 \right\}.$$

It is readily seen that the above $\binom{n+2}{2}$ points are pairwise distinct, but the fact that \mathbf{P}_n is a unisolvent set of degree n is not immediate. For a proof, it

suffices to exhibit a FLIP (1.5) for any point $a \in \mathbf{P}_n$. There is a remarkable formula expressing such a FLIP with the help of the reproducing kernel K_n for the inner product based on the tensor product of two arcsine measures,

$$\begin{aligned} (p, q) \in \mathcal{P}_n &\mapsto \langle p, q \rangle := \frac{1}{\pi^2} \int_{[-1,1]^2} p(x, y)q(x, y) \frac{1}{\sqrt{1-x^2}} \frac{1}{\sqrt{1-y^2}} dx dy \\ &= \frac{1}{\pi^2} \int_{[0,\pi]^2} p(\cos(t), \cos(s))q(\cos(t), \cos(s)) dt ds. \end{aligned}$$

The reproducing kernel K_n is defined on $[-1, 1]^2$ via the relation

$$p(w) = \langle p, K_n(w; \cdot) \rangle, \quad p \in \mathcal{P}_n, w = (x, y) \in [-1, 1]^2.$$

It can be shown that the FLIP corresponding to $a = (x_a, y_a) \in \mathbf{P}_n$ is given by

$$l_a(x, y) = w_a \{K_n(a; (x, y)) - T_n(y)T_n(y_a)\}$$

where w_a is constant and T_n is the ordinary Chebyshev polynomial of degree n . The proof uses certain quadrature formulas for the tensor product of two arcsine measures using the points of \mathbf{P}_n . Next, it can be shown that the kernels K_n , hence the FLIP's for \mathbf{P}_n , are expressible as a linear combination of quotients of classical trigonometric polynomials. A careful analysis leads to the following result.

THEOREM 15 ([17]). *The Lebesgue constants A_n for the Padua points \mathbf{P}_n and $K = [-1, 1]^2$ satisfy*

$$A_n = O(\log^2 n), \quad n \rightarrow \infty.$$

In particular, $L_n f \rightrightarrows f$ on K for each f which is Hölder continuous on a neighborhood of K .

The last sentence follows, e.g., from Theorem 1 in [3]. Lagrange interpolants at Padua points can be easily computed (cf. [22, 23]). Unfortunately, it is not clear what might be the analogues of Padua points in higher dimensions ($n > 2$).

We present another construction of good points based on a different idea. These points will only satisfy the weaker condition (H3) but there is a simple and efficient way of going from dimension 1 to dimension k for every k . We start with a classical algebraic formula giving multivariate interpolation points starting with univariate points. Given d sets of $(n + 1)$ -tuples

$$\mathbf{A}_s = (a_{0s}, \dots, a_{ns}), \quad s = 1, \dots, d,$$

consisting of distinct points in \mathbb{C} , we intertwine these tuples:

$$\mathbf{A}_1 \oplus \dots \oplus \mathbf{A}_d := \{(a_{i_1 1}, \dots, a_{i_d d}) : i_1 + \dots + i_d \leq d\}.$$

Changing the ordering of the points would provide a different set of points in \mathbb{C}^d . The set of points we obtain is unisolvent of degree n in \mathbb{C}^d . Such points were studied by Siciak [40] and used in [9, Theorem 4.8] to prove the existence of points satisfying (2), (3) and (4) in Definition 4. We want to construct interpolation points by intertwining well-chosen—and well-ordered—univariate interpolation points which satisfy (H3). The obvious strategy is to try to relate the Lebesgue constants of the multivariate interpolation points to the Lebesgue constants of the univariate points. Such a relation is given in the following theorem. For simplicity, we state only the case $d = 2$.

THEOREM 16 ([24]). *Let K be a compact set in \mathbb{C}^2 containing $\mathbf{A}_1 \oplus \mathbf{A}_2$. We let K_1 (resp. K_2) denote the projection of K on the z_1 (resp. z_2) axis. Then*

$$\Lambda_n(\mathbf{A}_1 \oplus \mathbf{A}_2|K) \leq 4 \binom{n+2}{n} \sum_{i+j \leq n} \Lambda_n(\mathbf{A}_1^{[i]}|K_1) \cdot \Lambda_n(\mathbf{A}_2^{[j]}|K_2)$$

where $\mathbf{A}_1^{[i]} = (a_0, \dots, a_i)$ and $\Lambda_n(\mathbf{A}_1^{[i]}|K_1)$ denotes its Lebesgue constant with respect to the compact set K_1 (likewise for $\mathbf{A}_2^{[j]}$).

In order to estimate the Lebesgue constant $\Lambda_n(\mathbf{A}_1 \oplus \mathbf{A}_2|K)$, bounds on $\Lambda_n(\mathbf{A}_1|K_1)$ and on $\Lambda_n(\mathbf{A}_2|K_2)$ do not suffice. We must have bounds on the Lebesgue constants of every subset $\mathbf{A}_1^{[i]}$ and $\mathbf{A}_2^{[j]}$ for $i + j \leq n$. The only practical way of using the theorem is to start with univariate points given by a sequence of interpolation points $\mathbf{A}_s^n = (a_{0s}, \dots, a_{ns})$, so that for every $i \leq n$, $\mathbf{A}_s^{n[i]} = A_s^i$. Then the search for good multivariate interpolation points via the intertwining process is reduced to the problem of finding univariate interpolation points given by a sequence and satisfying (H3). Surprisingly, such sequences did not seem to be known until recently.

We now discuss the construction of such univariate sequences. All examples currently available are constructed with the help of Leja sequences for the closed unit disk. Recall that a *Leja sequence* for a compact set $K \subset \mathbb{C}$ is a sequence $\{a_n\}$ in K such that

$$\max_{z \in K} \prod_{i=0}^d |z - a_i| = \prod_{i=0}^d |a_{d+1} - a_i|, \quad d \geq 0.$$

If we are to produce *explicit* points we must restrict to $K = D = \{|z| \leq 1\}$. In this case, the structure of Leja sequences is given by the following result. We always assume that the first term equals 1.

THEOREM 17 ([6]). *Leja sequences for the unit disk D satisfy:*

- (1) *A 2^n -Leja section is formed by the 2^n th roots of unity.*

- (2) If $E_{2^{n+1}}$ is a 2^{n+1} -Leja section then there exist a 2^n th root ρ of -1 and a 2^n -Leja section U_{2^n} such that $E_{2^{n+1}} = (E_{2^n}, \rho U_{2^n})$.

Using this result, the following estimates were recently established.

THEOREM 18 ([26]). *Let $\{e_j\}$ be a Leja sequence for D . As $n \rightarrow \infty$, $\Lambda_n = O(n \log n)$ where Λ_n is the Lebesgue constant for $\{e_0, \dots, e_{n-1}\}$.*

A similar estimate holds for the image of Leja sequences under external conformal mappings $\overline{\mathbb{C}} \setminus D \rightarrow \overline{\mathbb{C}} \setminus K$ for K sufficiently regular, e.g., bounded by a C^2 Jordan curve [26]. It is also proved in [26] that a Leja sequence for D cannot satisfy (H4), thus proving that, in general, (H3) does not imply (H4).

For practical applications, real points are more useful. A simple idea to construct such points is to project a Leja sequence for D onto the real axis. Since any Leja sequence for D is symmetric with respect the real axis, complex conjugate points provide the same real point. Eliminating this redundancy we obtain a so-called Re-Leja sequence [27]. One can specify the n th entry of a Re-Leja sequence in terms of the real part of a certain entry of the Leja sequence used in its construction.

THEOREM 19 ([27]). *Let $X = \{x_j\} \subset [-1, 1]$ be a Re-Leja sequence. The Lebesgue constants Λ_n for the points x_0, \dots, x_{n-1} satisfy*

$$(7.2) \quad \Lambda_n = O(n^3 \log n), \quad n \rightarrow \infty.$$

By combining the above two results and the general version of Theorem 16 we obtain the following result.

THEOREM 20 ([26, 27]). *Intertwining k Leja sequences for D and $d - k$ Re-Leja sequences for $[-1, 1]$ yields unisolvent sets on the Cartesian product of these sets in \mathbb{C}^d whose Lebesgue constants Λ_n satisfy (H3).*

Moreover, the degree of the polynomial growth of Λ_n can be estimated. One can give an explicit expression for the n th element of certain simple Leja (or Re-Leja) sequences that depends only on the binary expansion of the index n . Details can be found in [26, 27]. In view of Theorems 8 and 16, the intertwining of Leja sequences for many compact sets provides further examples of multivariate interpolation points satisfying property (H2). Goncharov [29] has constructed a sequence in $[-1, 1]$ satisfying (H2) but not (H3) by arranging the classical Chebyshev points in a certain manner.

8. The quest for good points in the real disk. We describe a natural strategy for finding good points in the real disk $B = B_2 = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1\} \subset \mathbb{C}^2$. Using Proposition 12 with $d = 2$, one can calculate the transfinite diameter of B to find

$$\delta(B) = \frac{1}{\sqrt{2e}};$$

and its equilibrium measure is well-known (see (5.3)),

$$d\mu_B = \frac{r}{2\pi\sqrt{1-r^2}} dr d\theta$$

in polar coordinates in \mathbb{R}^2 , i.e., $d\mu_B$ is absolutely continuous with respect to Lebesgue measure on B with density $1/(2\pi\sqrt{1-r^2})$.

It was shown in [9] that, in Definition 4, (3) \nRightarrow (1), (2), (4). The set B was used in construction of *Bos arrays* satisfying (3) but not (4)—hence (3) but not (1) by Proposition 3—and (3) but not (2). The points at the n th stage in a Bos array are formed by taking a union of equally spaced points on concentric circles centered at the origin. Precisely, if $n = 2s$ is even, one chooses $s+1$ radii $R_{s0} < \dots < R_{ss} = 1$ and $4j+1$ equally spaced points on the circle of radius R_{sj} . The Vandermonde determinant $|\text{VDM}(A_{n1}, \dots, A_{nN})|$ depends only on the radii R_{s0}, \dots, R_{ss} , and if the asymptotic distribution of the radii on $[0, 1]$ is given by a function $G : [0, 1] \rightarrow [0, 1]$, i.e., if $G(\frac{j}{s+1}) = R_{sj}^2$, then

$$\lim_{n \rightarrow \infty} |\text{VDM}(A_{n1}, \dots, A_{nN})|^{1/n} = \frac{1}{\sqrt{2}} \exp \frac{3}{4L(G)}$$

where

$$L(G) = \int_0^1 x^2 \log G(x) dx + 2 \int_0^1 \int_0^1 x \log[G(y) - G(x)] dy dx.$$

Thus, if one could construct a Bos array with $L(G) = -2/3$, then this array would satisfy (2).

Taking $G(x) = (1 - \cos \pi x)/2$, the radii distribute asymptotically like the Chebyshev distribution on $[0, 1]$ and this is a necessary condition (see [9]) that such an array satisfies (4).

We state without proof an interesting calculation.

LEMMA 21. For $G(x) = (1 - \cos(\pi x))/2 = \sin^2(\pi x/2)$,

$$L(G) = -\frac{4}{3} \log(2) + \frac{2}{\pi^2} \zeta(3) \approx -0.6806085842 \dots$$

where $\zeta(x)$ is the classical zeta function.

In particular, with this G , $L(G) \neq -2/3$ so such a Bos array does not satisfy (2).

Taking $G(x) = 1 - (x^2 - 1)^2$, the arrays satisfy (3) and we obtain μ_B as the limiting measure. Elementary but nontrivial calculations yield

LEMMA 22. For $G(x) = 1 - (x^2 - 1)^2$,

$$L(G) = -\frac{26}{9} - 4 \log(2) + 4\sqrt{2} \log(\sqrt{2} + 1) \approx -0.675675691 \dots$$

Thus again, with this G , $L(G) \neq -2/3$ so such a Bos array does not satisfy (2). Indeed, if one *could* find a Bos array with $L(G) = -2/3$, then, by Theorem 7, the array would satisfy (3) and hence, a posteriori, $G(x) = 1 - (x^2 - 1)^2$, a contradiction. Thus, unfortunately, Bos arrays on B *never* satisfy (2), giving a negative answer to question (5.9) of [9].

9. Algorithms. It is clear that one can expect to have lists of explicit good interpolation points only for a very limited class of compact sets, even in the univariate case. If we have to produce good points for a more or less arbitrary compact set, they must be produced algorithmically. We now discuss some recent work in this direction.

In a series of papers, Bos, De Marchi, Sommariva and Vianello (cf. [43] and [19]) have introduced the notion of *approximate Fekete points*. For $K \subset \mathbb{C}^d$ compact, a basis $\{P_1, \dots, P_N\}$ for \mathcal{P}_n , and a set of $M \geq N$ points $\{a_1, \dots, a_M\}$ of K , we consider the $N \times M$ matrix whose columns are of the form

$$\vec{V}(a_j) := \begin{bmatrix} P_1(a_j) \\ \vdots \\ P_N(a_j) \end{bmatrix}.$$

Selecting a subset of columns is then equivalent to selecting a subset of points. We choose the first point $x_1 \in \{a_1, \dots, a_M\}$ to maximize $\|\vec{V}(a_j)\|_2$. Having chosen $x_1, \dots, x_k \in \{a_1, \dots, a_M\}$ we choose the $(k+1)$ st point $x_{k+1} \in \{a_1, \dots, a_M\}$ so that the volume generated by the columns $\vec{V}(x_{k+1})$ and $\vec{V}(x_1), \dots, \vec{V}(x_k)$ is as large as possible. To be precise, one is performing the well-known QR factorization from linear algebra (with column pivoting) of this $N \times M$ matrix. Suppose K is determining for $\bigcup \mathcal{P}_n$. If for each $n = 1, 2, \dots$ one chooses a set of $M(n) \geq N$ points $A_{M(n)} = \{a_1^{(n)}, \dots, a_{M(n)}^{(n)}\}$ of K so that $\bigcup_n A_{M(n)}$ forms a *weakly admissible mesh* (WAM) for K , then the corresponding array of approximate Fekete points satisfies (2) and hence (3) (this is Theorem 1 of [18], which was stated for L -regular K). The mesh $\bigcup_n A_{M(n)}$ is weakly admissible, according to [25], if $\#A_{M(n)}$ grows polynomially in n and

$$\|p\|_K \leq C_n \|p\|_{A_{M(n)}} \quad \text{for all } p \in \mathcal{P}_n$$

where C_n grows polynomially in n . All L -regular compact sets K admit a weakly admissible mesh; cf. Theorem 16 of [25].

Using Fekete points, we can say much more. A WAM is called *admissible* (AM) if one can take $C_n = C$, a constant independent of n .

PROPOSITION 23. *Let $K \subset \mathbb{C}^d$ be compact and determining for $\bigcup \mathcal{P}_n$. Then there exists an AM for K with $\#A_{M(n)} = O((n \log n)^d)$.*

Proof. We choose $A_{M(n)}$ to be a set of Fekete points of order $\lfloor \log n \rfloor n$ where $\lfloor \cdot \rfloor$ denotes integer part. For a polynomial $p \in \mathcal{P}_n$ we have $p^{\lfloor \log n \rfloor} \in \mathcal{P}_{\lfloor \log n \rfloor n}$ and hence

$$\|p^{\lfloor \log n \rfloor}\|_K \leq \#A_{M(n)} \cdot \|p^{\lfloor \log n \rfloor}\|_{A_{M(n)}}.$$

Taking $\lfloor \log n \rfloor$ roots, we have

$$\|p\|_K \leq \#A_{M(n)}^{1/\lfloor \log n \rfloor} \cdot \|p\|_{A_{M(n)}}.$$

We have

$$\#A_{M(n)}^{1/\lfloor \log n \rfloor} = O((n \log n)^{d/\log n}) = O(e^d \cdot (\log n)^{d/\log n}),$$

which is bounded. We get an admissible mesh with

$$\#A_{M(n)} = O((n \log n)^d). \blacksquare$$

If K is determining for $\bigcup \mathcal{P}_n$, we call an AM for K *optimal* if $\#A_{M(n)} = O(n^d)$ (cf. [30]); thus Proposition 23 proves the existence of “nearly” optimal meshes for such K (see also [35]).

There is also an algorithmic notion of *discrete Leja points*; as with approximate Fekete points, constructing discrete Leja points from a weakly admissible mesh $\bigcup_n A_{M(n)}$ gives an array satisfying (2) and hence (3). Here one performs an *LU* factorization of an appropriate matrix with row pivoting. The interested reader is referred to [19] for details of the algorithm.

10. Kergin interpolation. Of the many polynomial interpolation alternatives to Lagrange interpolation, one of the most productive ones utilized for interpolating holomorphic functions in \mathbb{C}^d , $d > 1$, is *Kergin interpolation*.

In this section, we give a new presentation of Kergin interpolation which highlights its canonical character. We let $\mathcal{O}(\mathbb{C}^d)$ denote the space of entire functions and $\mathcal{L}(\mathcal{O}(\mathbb{C}^d), \mathcal{P}_n)$ the space of continuous linear maps from $\mathcal{O}(\mathbb{C}^d)$ to \mathcal{P}_n .

THEOREM 24. *There exists a unique map*

$$(10.1) \quad \mathcal{K} : (\mathbb{C}^d)^{n+1} \ni \mathbf{A} = (a_0, \dots, a_n) \mapsto \mathcal{K}[\mathbf{A}] \in \mathcal{L}(\mathcal{O}(\mathbb{C}^d), \mathcal{P}_n)$$

such that

- (K1) for every $f \in \mathcal{O}(\mathbb{C}^d)$, $\mathcal{K}[\mathbf{A}](f)(a_j) = f(a_j)$, $j = 0, \dots, n$;
- (K2) for every $f \in \mathcal{O}(\mathbb{C}^d)$, the map $\mathbf{A} \mapsto \mathcal{K}[\mathbf{A}](f)$ is continuous;
- (K3) \mathcal{K} is coordinate-free.

The map \mathcal{K} is defined by

$$(10.2) \quad \mathcal{K}[\mathbf{A}](f)(x) = \sum_{k=0}^n \int_{\Sigma_{k+1}} D^k f \left(\sum_{j=0}^k t_j a_j \right) (x - a_0, \dots, x - a_{k-1}) dm_k(t),$$

where $D^k f$ is the k th total derivative of f , $\Sigma_{k+1} = \{t = (t_0, \dots, t_k) \in [0, 1]^{k+1} : \sum_{i=0}^k t_i = 1\}$ and dm_k is Lebesgue measure on Σ_{k+1} .

That $\mathcal{K}[\mathbf{A}]$ is *coordinate-free* means that for every invertible linear map m on \mathbb{C}^d ,

$$\mathcal{K}[\mathbf{A}](\cdot \circ m) = \mathcal{K}[m\mathbf{A}](\cdot) \circ m,$$

where $m\mathbf{A} := (m(a_0), \dots, m(a_d))$.

Condition (K1) is relatively weak (e.g., if $a_i = a$ for $i = 0, \dots, d$, there is only one condition). We shall see later that, together with (K2) and (K3), it implies much stronger properties. The operator $\mathcal{K}[\mathbf{A}]$ is called the *Kergin interpolating operator* with respect to \mathbf{A} . In contrast with multivariate Lagrange interpolation, the number of points $n + 1$ is independent of the dimension d of \mathbb{C}^d .

Proof of Theorem 24. We first prove that there exists at most one map

$$\Pi : \mathbf{A} \in (\mathbb{C}^d)^{n+1} \rightarrow \Pi[\mathbf{A}] \in \mathcal{L}(\mathcal{O}(\mathbb{C}^d), \mathcal{P}_n)$$

satisfying (K1)–(K3). Suppose Π_1 and Π_2 are two such maps. We prove that for every $\mathbf{A} \in U := \{\mathbf{A} \in (\mathbb{C}^d)^{n+1} : a_l \neq a_j \text{ for } l \neq j\}$ and every $f \in \mathcal{O}(\mathbb{C}^d)$, $\Pi_1[\mathbf{A}](f) = \Pi_2[\mathbf{A}](f)$. Since U is dense in $(\mathbb{C}^d)^{n+1}$ and $\mathbf{A} \mapsto \Pi_i[\mathbf{A}](f)$ is continuous, this suffices to prove our claim.

We can reduce the problem as follows. Since $\Pi_i[\mathbf{A}]$ is continuous on $\mathcal{O}(\mathbb{C}^d)$ and the space V spanned by *ridge entire functions*—functions of the form $z \mapsto h(\langle \lambda, z \rangle)$, where $h \in \mathcal{O}(\mathbb{C})$ and $\lambda \in \mathbb{C}^d$ —is dense in $\mathcal{O}(\mathbb{C}^d)$, it suffices to prove that $\Pi_1[\mathbf{A}] = \Pi_2[\mathbf{A}]$ on V . Further, since $\Pi_i[\mathbf{A}]$ is linear we simply need to prove $\Pi_1[\mathbf{A}](f) = \Pi_2[\mathbf{A}](f)$ for $f = h(\langle \lambda, \cdot \rangle)$, with $h \in \mathcal{O}(\mathbb{C})$ and $\lambda \in \mathbb{C}^d$, $\lambda \neq 0$.

Fixing such an f , let $H = \{\langle \lambda, \cdot \rangle = 0\}$ be the hyperplane orthogonal to λ . For $\epsilon > 0$ we define a linear map m_ϵ by $m_\epsilon(\lambda) = \lambda$ and $m_\epsilon|_H = \epsilon \text{Id}$ where Id denotes the identity on H . Clearly m_ϵ is invertible. Moreover, since $m_\epsilon x - x \in H$, we have

$$(f \circ m_\epsilon)(x) = h(\langle \lambda, m_\epsilon x \rangle) = h(\langle \lambda, x \rangle) = f(x).$$

Since Π_i is coordinate-free, we deduce that

$$\Pi_i[\mathbf{A}](f) = \Pi_i[\mathbf{A}](f \circ m_\epsilon) = \Pi_i[m_\epsilon \mathbf{A}](f) \circ m_\epsilon, \quad \epsilon > 0.$$

We have $m_\epsilon(x) \rightarrow \langle \lambda, x \rangle \lambda / \|\lambda\|^2$ as $\epsilon \rightarrow 0$, thus by (K2),

$$(10.3) \quad \Pi_i[\mathbf{A}](f)(x) = \Pi_i \left[\langle \lambda \mathbf{A} \rangle \cdot \frac{\lambda}{\|\lambda\|^2} \right] (f) \left(\langle \lambda, x \rangle \cdot \frac{\lambda}{\|\lambda\|^2} \right)$$

where $\langle \lambda \mathbf{A} \rangle \cdot \lambda / \|\lambda\|^2 = (\langle \lambda a_i \rangle \cdot \lambda / \|\lambda\|^2 : i = 0, \dots, n)$. Since Π_i takes values in \mathcal{P}_n , (10.3) implies that there exists a univariate polynomial p of degree at most n depending on f , \mathbf{A} and λ such that

$$\Pi_i[\mathbf{A}](f)(x) = p(\langle \lambda, x \rangle).$$

We specialize to the case where the $\langle \lambda, a_i \rangle$ are distinct. Since the a_i themselves are distinct, the set \tilde{U} of all such λ is dense in \mathbb{C}^d . It remains to use assumption (K1). We have

$$h(\langle \lambda, a_i \rangle) = f(a_i) = \Pi_i[\mathbf{A}](f)(a_i) = p(\langle \lambda, a_i \rangle), \quad i = 0, \dots, n.$$

Hence p is a polynomial of degree at most n that interpolates h at these $n + 1$ points, i.e., p is the univariate LIP of h at these points which we write as

$$(10.4) \quad \Pi_i[\mathbf{A}](f) = L[\langle \lambda, a_0 \rangle, \dots, \langle \lambda, a_n \rangle; h](\langle \lambda, \cdot \rangle), \quad \lambda \in \tilde{U}.$$

In particular, $\Pi_1[\mathbf{A}](f) = \Pi_2[\mathbf{A}](f)$. We now use the density of \tilde{U} and the continuity of $f \rightarrow \Pi_i[\mathbf{A}](f)$ to extend the identity to the case where $\lambda \notin \tilde{U}$. This finishes the proof of the uniqueness.

Identity (10.4) shows that if a map Π with the required properties exists then it should come as a natural multivariate generalization of one of the many available expressions of univariate Lagrange–Hermite interpolation. Formula (10.2) is the natural multivariate version of the classical Hermite–Genocchi formula. The proof that this map satisfies the required properties is a simple calculation; cf. [34]. ■

It is not difficult to show that the map $\mathcal{K}[\mathbf{A}]$ interpolates in the Hermite sense, i.e., if a point a appears k times in \mathbf{A} then $D^j \mathcal{K}[\mathbf{A}](f)(a) = D^j f(a)$, $j = 0, \dots, k - 1$. Kergin interpolating operators enjoy many interesting algebraic properties, including the following:

- (1) $\mathcal{K}[\mathbf{A}]$ is independent of the ordering of the points in \mathbf{A} ;
- (2) $\mathcal{K}[\mathbf{B}] \circ \mathcal{K}[\mathbf{A}] = \mathcal{K}[\mathbf{B}]$ for every $\mathbf{B} \subset \mathbf{A}$.

In Theorem 24, Kergin operators are defined only for entire functions. Andersson and Passare [1, 2], showed that Kergin operators \mathcal{K}_Ω can actually be defined on $\mathcal{O}(\Omega)$ where Ω is a \mathbb{C} -convex domain in \mathbb{C}^d , i.e., the intersection of Ω with any complex line is connected and simply connected. In \mathbb{R}^d this is simply ordinary convexity if we replace “complex line” by “real line.”

There are many results on the approximation of holomorphic functions by Kergin polynomials. We offer a brief sample.

Let $K \subset \Omega$ be compact and set $\mathcal{K}_n := \mathcal{K}_\Omega[\mathbf{A}_n]$ where, for $n = 1, 2, \dots$, $\mathbf{A}_n = [A_{n0}, \dots, A_{nn}] \subset K$. For Ω with C^2 -boundary, Bloom and Calvi [11] gave conditions on the array $\{\mathbf{A}_n\}_{n=1,2,\dots}$ so that $\mathcal{K}_n(f)$ converges to f uniformly on K as $n \rightarrow \infty$ for every function f holomorphic in some neighborhood of $\overline{\Omega}$. They utilized an integral representation formula for the remainder $f - \mathcal{K}_n(f)$ proved by Andersson and Passare [1].

More in line with the ideas in this work, we call an array $\{\mathbf{A}_n\}_{n=1,2,\dots}$ *extremal* for a compact set K if $\mathcal{K}_n(f)$ converges to f uniformly on K for

each f holomorphic in a neighborhood of K . For $K \subset \mathbb{R}^d$, Bloom and Calvi [12] proved the following striking result.

THEOREM 25. *Let $K \subset \mathbb{R}^d$, $d \geq 2$, be a compact, convex set with nonempty interior. Then K admits extremal arrays for Kergin interpolation if and only if $d = 2$ and K is the region bounded by an ellipse.*

Thus, for example, the real disk

$$B = B_2 = \{(z_1, z_2) \in \mathbb{C}^2 : \operatorname{Im} z_1 = \operatorname{Im} z_2 = 0, (\operatorname{Re} z_1)^2 + (\operatorname{Re} z_2)^2 \leq 1\}$$

admits extremal arrays for Kergin interpolation.

11. Open problems. We conclude with some open questions, a subset of which comes from [9].

- (1) Is the converse of Proposition 6 true?
- (2) Does (2) imply (4)?
- (3) If an array lies in the Shilov boundary S_K of K , does (4) imply (3)?
The Chebyshev-radii Bos array in B_2 described in Section 8 might give a counterexample.
- (4) Construct an explicit array in the ball B_2 in Section 8 satisfying (2), or, even better, (1).
- (5) Find an example of a compact set $K \subset \mathbb{C}^d$, $d > 1$, for which one can explicitly construct Fekete points.
- (6) Do multivariate Leja sequences satisfy (1) or (4)?
- (7) One can define multivariate *weighted* Leja sequences; starting with any point $x_1 \in K$, having chosen $x_1, \dots, x_m \in K$ we choose $x_{m+1} \in K$ so that

$$|W(x_1, \dots, x_m, x_{m+1})| = \max_{x \in K} |W(x_1, \dots, x_m, x)|.$$

Do these yield asymptotic weighted Fekete arrays?

- (8) For $K \subset \mathbb{C}^d$ compact and L -regular, does there exist $c = c(K) > 1$ such that Fekete arrays of order cn , $n = 1, 2, \dots$, form an admissible mesh (AM) for K ? There is a result for classical Chebyshev nodes on $[-1, 1]$ in [28].
- (9) For $K \subset \mathbb{C}^d$ compact, L -regular, and polynomially convex, if a *triangular* array satisfying $\{G_\alpha\}$ is θ -aCh for K , is (4) satisfied? Is the converse true? Note that if $d = 1$, this equivalence is (essentially) Theorem 2.
- (10) Let $K \subset \mathbb{C}^d$, $d > 1$, be L -regular. If one takes *asymptotic* Fekete points, can the corresponding polynomials be used to recover the pluricomplex Green function V_K as in Theorem 10?

Acknowledgements. The research of T. Bloom was supported in part by an NSERC of Canada grant.

References

- [1] M. Andersson and M. Passare, *Complex Kergin interpolation*, J. Approx. Theory 64 (1991), 214–225.
- [2] M. Andersson, M. Passare and R. Sigurdsson, *Complex Convexity and Analytic Functionals*, Progr. Math. 225, Birkhäuser, Basel, 2004.
- [3] T. Bagby, L. Bos and N. Levenberg, *Multivariate simultaneous approximation*, Constr. Approx. 18 (2002), 569–577.
- [4] R. Berman and S. Boucksom, *Growth of balls of holomorphic sections and energy at equilibrium*, Invent. Math. 181 (2010), 337–394.
- [5] R. Berman, S. Boucksom and D. Witt Nyström, *Fekete points and convergence towards equilibrium measures on complex manifolds*, Acta Math. 207 (2011), 1–27.
- [6] L. Białas-Cieź and J.-P. Calvi, *Pseudo Leja sequences*, Ann. Mat. Pura Appl. 91 (2012), 53–75.
- [7] T. Bloom, *On families of polynomials which approximate the pluricomplex Green function*, Indiana Univ. Math. J. 50 (2001), 1545–1566.
- [8] T. Bloom, *Weighted polynomials and weighted pluripotential theory*, Trans. Amer. Math. Soc. 361 (2009), 2163–2179.
- [9] T. Bloom, L. Bos, C. Christensen and N. Levenberg, *Polynomial interpolation of holomorphic functions in \mathbb{C} and \mathbb{C}^n* , Rocky Mountain J. Math. 22 (1992), 441–470.
- [10] T. Bloom, L. Bos and N. Levenberg, *The transfinite diameter of the real ball and simplex*, this volume, 83–96.
- [11] T. Bloom and J.-P. Calvi, *Kergin interpolants of holomorphic functions*, Constr. Approx. 13 (1997), 569–583.
- [12] T. Bloom and J.-P. Calvi, *The distribution of extremal points for Kergin interpolation: real case*, Ann. Inst. Fourier (Grenoble) 48 (1998), 205–222.
- [13] T. Bloom and N. Levenberg, *Distribution of nodes on algebraic curves in \mathbb{C}^N* , Ann. Inst. Fourier (Grenoble) 53 (2003), 1365–1385.
- [14] T. Bloom and N. Levenberg, *Weighted pluripotential theory in \mathbb{C}^N* , Amer. J. Math. 125 (2003), 57–103.
- [15] T. Bloom and N. Levenberg, *Transfinite diameter notions in \mathbb{C}^N and integrals of Vandermonde determinants*, Ark. Mat. 48 (2010), 17–40.
- [16] T. Bloom and N. Levenberg, *Pluripotential energy and large deviation*, arXiv:1110.6593.
- [17] L. Bos, M. Caliari, S. De Marchi, M. Vianello and Y. Xu, *Bivariate Lagrange interpolation at the Padua points: the generating curve approach*, J. Approx. Theory 143 (2006), 15–25.
- [18] L. Bos, J.-P. Calvi, N. Levenberg, A. Sommariva and M. Vianello, *Geometric weakly admissible meshes, discrete least squares approximations and approximate Fekete points*, Math. Comp. 80 (2011), 1623–1638.
- [19] L. Bos, S. De Marchi, A. Sommariva and M. Vianello, *Computing multivariate Fekete and Leja points by numerical linear algebra*, SIAM J. Numer. Anal. 48 (2010), 1984–1999.
- [20] L. Bos, S. De Marchi, M. Vianello and Y. Xu, *Bivariate Lagrange interpolation at the Padua points: the ideal theory approach*, Numer. Math. 108 (2007), 43–57.
- [21] L. Bos, B. Della Vecchia and G. Mastroianni, *On the asymptotics of Christoffel functions for centrally symmetric weight functions on the ball in \mathbb{R}^d* , Rend. Circ. Mat. Palermo Suppl. (2) 52 (1998), 277–290.
- [22] M. Caliari, S. De Marchi and M. Vianello, *Bivariate Lagrange interpolation at the Padua points: computational aspects*, J. Comput. Appl. Math. 221 (2008), 284–292.

- [23] M. Caliari, S. De Marchi and M. Vianello, *Algorithm 886: Padua2D—Lagrange interpolation at Padua points on bivariate domains*, ACM Trans. Math. Software 35 (2008), no. 3, art. 21, 11 pp.
- [24] J.-P. Calvi, *Intertwining unisolvent arrays for multivariate Lagrange interpolation*, Adv. Comput. Math. 23 (2005), 393–414.
- [25] J.-P. Calvi and N. Levenberg, *Uniform approximation by discrete least squares polynomials*, J. Approx. Theory 152 (2008), 82–100.
- [26] J.-P. Calvi and Phung Van Manh, *On the Lebesgue constant of Leja sequences for the disk and applications to multivariate interpolation*, J. Approx. Theory 163 (2011), 608–622.
- [27] J.-P. Calvi and Phung Van Manh, *Lagrange interpolation at real projections of Leja sequences for the unit disk*, Proc. Amer. Math. Soc. 140 (2012), 4271–4284.
- [28] H. Ehlich und K. Zeller, *Schwankung von Polynomen zwischen Gitterpunkten*, Math. Z. 86 (1964), 41–44.
- [29] A. P. Goncharov, *On growth of norms of Newton interpolating operators*, Acta Math. Hungar. 125 (2009), 299–326.
- [30] A. Kroó, *On optimal polynomial meshes*, J. Approx. Theory 163 (2011), 1107–1124.
- [31] A. Kroó and D. Lubinsky, *Christoffel functions and universality in the bulk for multivariate orthogonal polynomials*, Canad. J. Math., to appear; DOI: 10.4153/CJM-2012-016-x.
- [32] N. Levenberg, *Weighted pluripotential theory results of Berman–Boucksom*, arXiv: 1010.4035.
- [33] N. Levenberg and B. A. Taylor, *Comparison of capacities in \mathbb{C}^n* , in: Complex Analysis (Toulouse, 1983), Lecture Notes in Math. 1094, Springer, Berlin, 1984, 162–172.
- [34] C. A. Micchelli, *A constructive approach to Kergin interpolation in \mathbb{R}^k : multivariate B-splines and Lagrange interpolation*, Rocky Mountain J. Math. 10 (1980), 485–497.
- [35] W. Pleśniak, *Nearly optimal meshes in subanalytic sets*, Numer. Algorithms 60 (2012), 545–553.
- [36] T. J. Rivlin, *An Introduction to the Approximation of Functions*, Dover, New York, 1981.
- [37] A. Sadullaev, *An estimate for polynomials on analytic sets*, Math. USSR-Izv. 20 (1983), 493–502; translation of: Izv. Akad. Nauk SSSR Ser. Mat. 46 (1982), 524–534.
- [38] E. B. Saff and V. Totik, *Logarithmic Potentials with External Fields*, Springer, Berlin, 1997.
- [39] N. A. Shirokov, *Jackson–Bernstein theorem in strictly pseudoconvex domains in \mathbb{C}^n* , Constr. Approx. 5 (1989), 455–461.
- [40] J. Siciak, *On some extremal functions and their applications in the theory of analytic functions of several complex variables*, Trans. Amer. Math. Soc. 105 (1962), 322–357.
- [41] J. Siciak, *Extremal plurisubharmonic functions in \mathbb{C}^N* , Ann. Polon. Math. 39 (1981), 175–211.
- [42] J. Siciak, *A remark on Tchebysheff polynomials in \mathbb{C}^N* , Univ. Iagel. Acta Math. 35 (1997), 37–45.
- [43] A. Sommariva and M. Vianello, *Computing approximate Fekete points by QR factorizations of Vandermonde matrices*, Comput. Math. Appl. 57 (2009), 1324–1336.
- [44] R. Taylor and V. Totik, *Lebesgue constants for Leja points*, IMA J. Numer. Anal. 30 (2010), 462–486.
- [45] V. Totik, *Asymptotics for Christoffel functions for general measures on the real line*, J. Anal. Math. 81 (2000), 283–303.
- [46] Y. Xu, *Minimal cubature rules and polynomial interpolation in two variables*, J. Approx. Theory 164 (2012), 6–30.

- [47] V. P. Zaharjuta [V. P. Zakharyuta], *Transfinite diameter, Chebyshev constants and capacity for a compactum in \mathbb{C}^n* , Math. USSR-Sb. 25 (1975), 350–364.

T. Bloom
University of Toronto
Toronto, Ontario, Canada M5S 2E4
E-mail: bloom@math.toronto.edu

L. P. Bos
University of Verona
Verona, Italy
E-mail: leonardpeter.bos@univr.it

J.-P. Calvi
Université de Toulouse (III)
Toulouse, France
E-mail: jean-paul@calvi.org.uk

N. Levenberg
Indiana University
Bloomington, IN 47405, U.S.A.
E-mail: nlevenbe@indiana.edu

*Received 28.11.2011
and in final form 18.5.2012*

(2614)

