

Graphs with multiple sheeted pluripolar hulls

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Abstract. We study the pluripolar hulls of analytic sets. In particular, we show that hulls of graphs of analytic functions can be multiple sheeted and sheets can be separated by a set of zero dimension.

1. Introduction. One of the oldest interesting topics in complex analysis is the problem of analytic extensions: find the maximal analytic object containing a given one. For example, if f is an analytic function we are looking for its analytic continuation and if A is an irreducible analytic set we try to find another one of the same dimension containing A .

The counterpart of an analytic extension in pluripotential theory is the so-called *pluripolar hull*. There are two types of pluripolar hulls of a set A in a domain $D \subset \mathbb{C}^n$. Let $\text{PSH}(D)$ be the set of all plurisubharmonic functions on D and $\text{PSH}_0(D)$ the set of all negative functions from $\text{PSH}(D)$. Define

$$A_D^* = \{z \in D : \forall h \in \text{PSH}(D) \ h|_A = -\infty \Rightarrow h(z) = -\infty\},$$
$$A_D^- = \{z \in D : \forall h \in \text{PSH}_0(D) \ h|_A = -\infty \Rightarrow h(z) = -\infty\}.$$

For example, if A is an analytic set in a pseudoconvex domain D , then every point of A has a neighborhood V where $A \cap V = \{h_1 = \dots = h_k = 0\}$ and the functions h_k are holomorphic on this neighborhood. Hence $A \cap V = \{\log \max\{|h_1|, \dots, |h_k|\} = -\infty\}$. In fact, $A_D^* = A$, because by [2, Cor. 1] there even exists $v \in \text{PSH}(D)$ such that $A = \{v = -\infty\}$.

If such a $v \in \text{PSH}(D)$ exists for A , we call A *pluricomplete* in D . In general, an analytic extension of A is contained in A_D^* .

In the case when $A = \Gamma_f$ is the graph of an analytic function f it was conjectured in [9] that the closure of the analytic extension of A coincides

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with A_D^* . However, A. Edigarian and the second author found in [3] an analytic function f on the unit disk \mathbb{D} that does not extend analytically while the pluripolar hull of its graph is the graph of an analytic function defined on almost the whole plane (cf. [11]). Thus pluripolar hulls and analytic extensions may differ by large sets.

The pluripolar hull of the graph Γ_f of a holomorphic function $f(z)$ on a domain D may well be multi-sheeted over D . The principal value of \sqrt{z} on $\{\Re z > 0\}$ provides the easiest example. Only recently did Zwonek [12], and, independently, Edlund and Jöricke [6], give examples of holomorphic functions f on their *domain of existence* D with the property that the pluripolar hull $(\Gamma_f)_{\mathbb{C}^2}^*$ is multi-sheeted over (parts of) D . In all these examples the topological codimension of ∂D is 1.

As we show in Section 2, this is an intrinsic property of analytic extensions: Let E be a closed subset of \mathbb{C}^{n+1} and A be an analytic set of pure dimension n in $\mathbb{C}^{n+1} \setminus E$. If the set $A_{\mathbb{C}^{n+1}}^*$ is analytic, then $\dim E \geq 2n - 1$. However, as we also show, there is a Cantor type set K and a holomorphic function $f(z)$ on $D = \mathbb{C} \setminus K$ such that $(\Gamma_f)_{\mathbb{C}^2}^*$ is 2-sheeted over D . So for pluripolar extensions sheets can be separated by a 0-dimensional cut and this demonstrates another principal difference between analytic extensions and pluripolar hulls.

As a by-product we obtain an example of a uniformly convergent sequence of holomorphic functions such that their pluripolar hulls do *not* converge to the pluripolar hull of the limit.

The set K should be sufficiently fat. Edigarian and the second author showed that if $D = \mathbb{C} \setminus K$, with K a polar compact set in \mathbb{C} , and if f is not extendible over K , then $(\Gamma_f)_{\mathbb{C}^2}^* \cap D \times \mathbb{C} = \Gamma_f$; see [4], and [5] for the fact that also over K the hull is at most single sheeted.

2. Pluripolar extensions. Let E be a closed set in a pseudoconvex domain $D \subset \mathbb{C}^n$. If $A \subset D \setminus E$ then, in general, $A_{D \setminus E}^*$ is a proper subset of $A_D^* \setminus E$. However, as the following statement shows, these sets coincide when E is pluripolar.

PROPOSITION 2.1. *If E is a closed pluripolar set in a pseudoconvex domain $D \subset \mathbb{C}^n$ and $A \subset D \setminus E$, then $A_D^* \setminus E = A_{D \setminus E}^*$.*

Proof. Let $\{D_j\}$ be an increasing sequence of relatively compact subdomains with $\bigcup_j D_j = D$. By [10, Thm. 2.4], $A_D^* = \bigcup_j (A \cap D_j)_{D_j}^-$. If $u \in \text{PSH}_0(D_j \setminus E)$, then u extends as a negative plurisubharmonic function to D_j (see [8, Thm. 2.9.22]). Therefore, $(A \cap D_j)_{D_j}^- \setminus E = (A \cap D_j)_{D_j \setminus E}^-$. Since $(A \cap D_j)_{D_j \setminus E}^- \subset A_{D \setminus E}^*$, we see that $A_D^* \setminus E \subset A_{D \setminus E}^*$ and, consequently, $A_D^* \setminus E = A_{D \setminus E}^*$. ■

The proposition below describes the situation when E is a closed set in a pseudoconvex domain $D \subset \mathbb{C}^n$, $A \subset D \setminus E$ is an analytic set and A_D^* is also analytic.

PROPOSITION 2.2. *Suppose that E is a closed set in a pseudoconvex domain $D \subset \mathbb{C}^n$ and $A \subset D \setminus E$ is an analytic set. If the set A_D^* is analytic then every irreducible component of A_D^* contains a component of A of the same dimension.*

Proof. Let X be an irreducible component of A_D^* . We represent A_D^* as $X \cup Y$, where Y is another analytic set in D and $\dim X \cap Y < \dim X$. As indicated in Section 1, the set Y is pluricomplete and $Y_D^* = Y$. It is easy to check that if $F, G \subset D$, then $(F \cup G)_D^* = F_D^* \cup G_D^*$. So if $B = A \cap (X \setminus Y)$ then $X \setminus Y \subset B_D^*$.

Suppose that $\dim X > \dim B$ and let R be the set of regular points of X . The set of singular points of X is analytic and, consequently, pluricomplete. By the argument above $X \setminus Y$ belongs to the pluripolar hull of the set $B' = B \cap R$.

We may assume that $0 \in R$ and let T be the tangent plane to R at 0. If p is a projection of \mathbb{C}^n on T , then the set $p(B')$ is pluripolar in T and, consequently, there is a plurisubharmonic function u on T equal to $-\infty$ on $p(B')$. The set $p(R)$ has a non-empty interior in T and, therefore, there is a point $z_0 \in R$ such that $u(p(z_0)) \neq -\infty$. Then the function $v = u \circ p$ is plurisubharmonic on \mathbb{C}^n , equal to $-\infty$ on B' and $v(z_0) > -\infty$. Thus R does not belong to the pluripolar hull of the set B' . This contradiction proves the proposition. ■

Suppose that A is a pluricomplete analytic set of pure dimension m in $D \setminus E$. If A_D^* is an analytic set in D and A is a proper subset of $A_D^* \setminus E$, then the set $E \cap A_D^*$ cuts A_D^* into several pieces and, therefore, its topological dimension must be at least $2m - 1$.

For example, let $D = \{(z, w) \in \mathbb{C}^2\}$ and $E = \{\Im z = 0, \Re z \geq 0\}$. Take a branch $w = f(z)$ of the function $w = \sqrt{z}$ over $\mathbb{C} \setminus E$ and let $A = \{(z, f(z)) : z \in \mathbb{C}\}$. The pluripolar hull of A in $\mathbb{C}^2 \setminus E$ is A because the function $\log |f(z) - z|$ is equal to $-\infty$ exactly on A . But $A_D^* = \{(z, w) : z = w^2\}$. In this example A_D^* is an analytic set and the set $A_D^* \cap E$ is the real curve $\{(x^2, x) : x \in \mathbb{R}\}$ which projects 2 to 1 except at 0 and its projection has dimension 1.

As the following statement shows, this is the minimal possible dimension.

PROPOSITION 2.3. *Let E be a closed set in a pseudoconvex domain $D \subset \mathbb{C}^n$ and let A be an irreducible analytic set of dimension m in $D \setminus E$ such that A_D^* is also analytic. If p is a projection of \mathbb{C}^n onto $\mathbb{C}^m \subset \mathbb{C}^n$ such that*

$p(A)$ has a non-empty interior in \mathbb{C}^m and the topological dimension of $p(E)$ is less than $2m - 1$, then $A_D^* \setminus E = A$.

Proof. By Proposition 2.2 every irreducible component of A_D^* contains a component of A of the same dimension. Thus A_D^* is also irreducible and has dimension m . We denote by X the set of singular points of A_D^* . This set is analytic and its dimension is at most $m - 1$. Hence the set $Y = A_D^* \setminus X$ is an irreducible complex submanifold of $D \setminus X$. Let $X' \subset Y$ be the set where the restriction of the projection p to Y has rank less than m . Since $p(A)$ has non-empty interior in \mathbb{C}^m , the set $Y' = Y \setminus X'$ is non-empty and relatively open in Y . The set X' is analytic and, therefore, its dimension is at most $m - 1$. Hence the set Y' is an irreducible complex submanifold of $D \setminus (X \cup X')$ and the set $A' = A \cap Y'$ is a non-empty submanifold of $Y' \setminus E$ of dimension m .

Suppose that the topological dimension of $p(E)$ is at most $2m - 2$. The projection p is locally homeomorphic on Y' and, therefore, the set $E \cap Y'$ also has dimension at most $2m - 2$. Since E is closed the set A' is relatively open in Y' . The set $Y'' = Y' \setminus (A' \cup E)$ is also relatively open in Y' . Thus Y' is a topological manifold of dimension $2m$ and equals the union of two open sets A' and Y'' and a closed set E of dimension at most $2m - 2$. By the Urysohn–Menger Theorem a set of dimension $2m - 2$ cannot disconnect a $2m$ -dimensional manifold (see [7, Ch. IV.5, Cor. 1]). Hence either A' or Y'' is empty. Since A' is not empty, $Y' \setminus E = A'$.

Since A is closed in $A_D^* \setminus E$, it contains $X \setminus E$ and $X' \setminus E$. So $A_D^* \setminus E = A$. ■

When A is an analytic set in $D \setminus E$ we denote by A_E the intersection of E and the closure of A in D . If $A_D^* \setminus E \neq A$, we will say that A has a *non-trivial pluripolar extension* through E in D .

The following theorem lists some limitations on the set A_E when a non-trivial pluripolar extension takes place. Following [1] we call a set G in a domain $Y \subset \mathbb{C}^p$ *locally removable* if G is closed and for every open set V in Y every bounded holomorphic function f on $V \setminus G$ extends holomorphically to V .

THEOREM 2.4. *Suppose that D is a pseudoconvex domain in \mathbb{C}^n , E is a closed set in D and A is an analytic set of pure dimension m in $D \setminus E$ with a non-trivial pluripolar extension through E in D . Then the $(2m - 1)$ -Hausdorff measure of the set A_E is not equal to zero and if, additionally, $p : \mathbb{C}^n \rightarrow \mathbb{C}^m$ is a projection such that the restriction $p|_{A_E}$ is proper and $A \cap p^{-1}(z)$ is empty for all $z \in p(A_E)$, then $p(A_E)$ is not locally removable in \mathbb{C}^m .*

Proof. The set A is analytic in $D \setminus A_E$. If the $(2m - 1)$ -Hausdorff measure of E is zero, then by Shiffman’s theorem (see [1, 4.4]) the closure \bar{A} of A in D is an analytic set in D . Since the domain D is pseudoconvex there is

a holomorphic function f on D such that $\bar{A} = \{f = 0\}$. Thus $A_D^* = \bar{A}$ and this contradicts the assumption that the extension is non-trivial.

In the second case, if $p(A_E)$ is locally removable in \mathbb{C}^m , then by the Proposition in [1, 18.1] the closure \bar{A} of A is an analytic set in D as before and the same argument leads to a contradiction. ■

In our main example, $n = 2, m = 1$. In this case Theorem 2.4 can be reformulated as follows:

COROLLARY 2.5. *If in the assumptions of Theorem 2.4, $n = 2$ and $m = 1$, then the first Hausdorff measure of A_E is not zero and, under additional assumptions, the first Hausdorff measure of $p(A_E)$ is not zero.*

3. A holomorphic function on the complement of a Cantor type set with 2-sheeted hull

DEFINITION 3.1. A *Cantor type set* K is a compact perfect subset of \mathbb{R} with empty interior.

It is a well known fact from elementary point set topology that such a K is homeomorphic to Cantor’s middle thirds set. It is of the form $[a_0, b_0] \setminus \bigcup_{j=1}^\infty I_j$ where I_j are open intervals in $[a_0, b_0]$, $\bar{I}_j \cap \bar{I}_k = \emptyset$ if $j \neq k$ and $\bigcup_{j=1}^\infty I_j$ is dense in $[a, b]$. We can assume that the length of $I_j = (a_j, b_j)$ decreases with j .

It is useful to enumerate the set $\{a_j, b_j : j = 0, \dots, n\}$ as $\{\alpha_{jn}, \beta_{jn}\}$ so that $\alpha_{0n} = a_0, \alpha_{jn} < \beta_{jn} < \alpha_{j+1,n}$ and $\beta_{nn} = b_0$. Note that $[a_0, b_0] \setminus \bigcup_{j=1}^n I_j = \bigcup_{j=0}^n [\alpha_{jn}, \beta_{jn}]$ and that $I_m \cap [\alpha_{jn}, \beta_{jn}] \neq \emptyset$ implies $I_m \subset [\alpha_{jn}, \beta_{jn}] \neq \emptyset$.

Let

$$g_n(z) = \frac{z - a_0}{z - b_0} \frac{z - b_1}{z - a_1} \dots \frac{z - b_n}{z - a_n}.$$

Then

$$g_n(z) = \frac{z - \alpha_{0n}}{z - \beta_{0n}} \frac{z - \alpha_{1n}}{z - \beta_{1n}} \dots \frac{z - \alpha_{nn}}{z - \beta_{nn}}.$$

Each fraction $\frac{z - \alpha_{jn}}{z - \beta_{jn}}, j = 0, 1, \dots, n$, has a holomorphic branch $\sqrt{\frac{z - \alpha_{jn}}{z - \beta_{jn}}}$ of its square root outside $[\alpha_{jn}, \beta_{jn}]$ that equals 1 at infinity. Let

$$(3.1) \quad f_n(z) = \sqrt{\frac{z - \alpha_{0n}}{z - \beta_{0n}}} \sqrt{\frac{z - \alpha_{1n}}{z - \beta_{1n}}} \dots \sqrt{\frac{z - \alpha_{nn}}{z - \beta_{nn}}} = \sqrt{g_n(z)}.$$

Then $f_n(\infty) = 1, f_n^2 = g_n$ and f_n is holomorphic on $G_n = (\mathbb{C} \setminus [a_0, b_0]) \cup \bigcup_{j=1}^n I_j$.

The maximal analytic extension of f_n is a branched 2-sheeted cover $X_n = \{(z, w) : w^2 = g_n(z)\}$ of \mathbb{C} that branches over $\{a_j, b_j : j = 0, 1, \dots, n\}$. The pluripolar hull $(\Gamma_{f_n})_{\mathbb{C}^2}^*$ equals X_n .

LEMMA 3.2. *Keeping the above notation, the sequence $\{g_n\}$ converges normally to an analytic function g on $\mathbb{C} \setminus K$. Moreover, the function g extends analytically over a point $x \in K$ if and only if for some $\alpha < x < \beta$ the length of the set $K \cap (\alpha, \beta)$ is zero.*

Proof. Let L be a compact set in $\mathbb{C} \setminus K$. Let us show that

$$(3.2) \quad \frac{z - a_0}{z - b_0} \prod_{j=1}^{\infty} \frac{z - b_j}{z - a_j} = \lim_{n \rightarrow \infty} g_n(z)$$

is uniformly convergent on L . There exists n_0 such that $L \subset G_n$ for $n > n_0$ and moreover, for some $\delta > 0$,

$$L \subset \{z : |z - a_j| > \delta, j = 0, 1, \dots\}.$$

Hence, for $z \in L$,

$$\left| \frac{z - b_j}{z - a_j} - 1 \right| = \left| \frac{b_j - a_j}{z - a_j} \right| \leq \frac{b_j - a_j}{\delta}.$$

Since $\sum(b_j - a_j)$ is finite, the product in (3.2) converges uniformly on L to a function g that is holomorphic on $\mathbb{C} \setminus K$.

Suppose that g extends analytically over a point $x \in K$ so that g is analytic on $(\mathbb{C} \setminus K) \cup (\alpha, \beta)$. We may assume that $\alpha \in I_k$, $b_k \leq x$, and $\beta \in I_m$, $a_m \geq x$, and

$$g_{1n}(z) = \prod \frac{z - \alpha_{jn}}{z - \beta_{jn}},$$

where the product runs over all j such that either $\beta_{jn} < \alpha$ or $\alpha_{jn} > \beta$. Let

$$g_{2n}(z) = \prod \frac{z - \alpha_{jn}}{z - \beta_{jn}},$$

where the product runs over all j such that $\alpha < \alpha_{jn}$ and $\beta_{jn} < \beta$. Then $g_n = g_{1n}g_{2n}$ and by the argument above the sequences $\{g_{1n}\}$ and $\{g_{2n}\}$ converge uniformly on compacta in $\mathbb{C} \setminus (K \setminus (\alpha, \beta))$ and $\mathbb{C} \setminus (K \cap (\alpha, \beta))$ respectively. We denote their respective limits by g_1 and g_2 .

For the derivative of g_{2n} we find $g'_{2n}(\infty) = \sum(\beta_{jn} - \alpha_{jn}) = l_n$, where the sum runs over all j such that $\alpha < \alpha_{jn}$ and $\beta_{jn} < \beta$. Thus $g_{2n}(\infty)$ is equal to the length l_n of the intervals $(\alpha_{jn}, \beta_{jn})$ lying in (α, β) and $g'_2(\infty)$ is the length of the set $K \cap (\alpha, \beta)$. If this length is positive, then the function g_2 is not constant and, therefore, does not extend to $K \cap (\alpha, \beta)$.

If this length is 0 then for $z \in \mathbb{C}$ such that $|z - y| \geq 1$ for all $y \in (\alpha, \beta)$ we have

$$|1 - g_{2n}(z)| = \left| 1 - \prod \left(1 + \frac{\beta_{jn} - \alpha_{jn}}{z - \beta_{jn}} \right) \right| \leq e^{l_n} - 1.$$

Hence the sequence $\{g_{2n}\}$ converges to 1 near ∞ , $g_2 \equiv 1$ and g extends analytically over (α, β) . ■

LEMMA 3.3. *If $f = f_K$ and the length of K is positive, then the union $\overline{\Gamma}_f \cup \overline{\Gamma}_{-f}$ of the closures of the graphs of f and $-f$ is not an analytic set.*

Proof. If $A = \overline{\Gamma}_f \cup \overline{\Gamma}_{-f}$ is an analytic set, then there is a holomorphic function $h = h(z, w)$ on \mathbb{C}^2 such that $h \equiv 0$ on A . We have $A = \Gamma_f \cup \Gamma_{-f} \cup E$, where $E \subset K \times \mathbb{C}$.

Let us show that for every $z_0 \in K$ the analytic set $E_{z_0} = \{w : (z_0, w) \in E\} = A \cap \{z_0\} \times \mathbb{C}$ consists of at most two points. If it contains three points, then at least two of them belong to, say, $\overline{\Gamma}_f$. Thus there are sequences $\{z_j\}$ and $\{z'_j\}$ converging to z_0 such that the sequences $\{f(z_j)\}$ and $\{f(z'_j)\}$ have distinct limits. Connecting each z_j and z'_j by small curves in $\mathbb{C} \setminus K$ and looking at their limits we see that the cluster set of f at z_0 contains a continuum. Hence, $E_{z_0} = \mathbb{C}$ and $h(z_0, w) \equiv 0$.

From the Taylor expansion of h we immediately derive that $h(z, w) = (z - z_0)^n h_1(z_0, w)$, where h_1 is holomorphic on \mathbb{C}^2 and $h_1(z_0, w) \not\equiv 0$. But for every point $w \in \mathbb{C}$ there is a sequence of z_j converging to z_0 such that, say, $f(z_j)$ converges to w . Since $h(z_j, f(z_j)) = 0$ we see that $h_1(z_0, w) = 0$. This contradiction shows that E_{z_0} has at most two points and the intersection of $\overline{\Gamma}_f$ or $\overline{\Gamma}_{-f}$ with E consists of at most one point.

It follows that f extends continuously to K . Since K lies on the real line, f extends holomorphically to \mathbb{C} ; but this impossible by Lemma 3.2. ■

EXAMPLE 3.4. If the set K has Lebesgue measure 0, then

$$\lim_{n \rightarrow \infty} g_n(z) = 1$$

uniformly on any compact set L not meeting K . It follows that $f \equiv 1$ and $(\Gamma_f)_{\mathbb{C}^2}^* = \{(z, 1)\}$. But the Hausdorff limit of the sets X_n over D equals $\{(z, w) : w = 1 \text{ or } -1\}$.

We will need the next lemma, whose proof is similar to the proof of Theorem 2.1 in [4].

LEMMA 3.5. *Let f be a holomorphic function on a domain $V \subset \mathbb{C}^N$ containing a closed ball B and let $\{r_n\}_n$ be a sequence of rational functions of degree n with poles outside V and such that the sup-norm $\|f - r_n\|_B^{1/n}$ tends to 0 as $n \rightarrow \infty$. Then there is a plurisubharmonic function v on \mathbb{C}^{N+1} such that $\{v = -\infty\} \cap (V \times \mathbb{C}) = \Gamma_f$. Thus, $(\Gamma_f)_{\mathbb{C}^{N+1}}^* \cap (V \times \mathbb{C}) = \Gamma_f$.*

Proof. The functions r_n are ratios of polynomials p_n and q_n of degree n . We may assume that B is the closed unit ball centered at the origin and $\|q_n\|_B = 1$. Then $|q_n(z)| \leq \max\{1, |z|^n\}$, $\|p_n\|_B$ does not exceed some constant C and $|p_n(z)| \leq C \max\{1, |z|^n\}$.

Consider the plurisubharmonic functions

$$u_n(z, w) = \frac{1}{n} \log |q_n(z)w - p_n(z)|$$

on \mathbb{C}^{N+1} . From the estimates on p_n and q_n there is a constant C_1 such that $u_n(z, w) \leq 2 \log |z| + \log |w| + C_1$ when $|z| \geq 1$ and $u_n(z, w) \leq \log |w| + C_1$ when $|z| \leq 1$.

We take $z_n \in B$ such that $q_n(z_n) = a_n, |a_n| = 1$. Let $w_n = (p_n(z_n)+1)/a_n$. Then $|w_n| \leq C + 1$ and $u_n(z_n, w_n) = 0$. If B_n is a ball in \mathbb{C}^{N+1} centered at (z_n, w_n) and of radius $r_n = C + 5$, then B_n contains the unit ball B' centered at the origin and

$$\int_{B_n} u_n dV \geq cu_n(z_n, w_n) = 0.$$

It is immediate from the upper estimates on u_n that there is a constant C_2 such that

$$\int_{B'} u_n dV \geq C_2.$$

By our assumption there is a sequence $\{d_n\}$ converging to ∞ such that

$$u_n(z, f_n(z)) = \frac{1}{n} \log |q_n(z)| + \frac{1}{n} \log \left| f(z) - \frac{p_n(z)}{q_n(z)} \right| \leq -d_n$$

when $|z| \leq 1$.

Let us take a sequence $\{c_n\}$ of positive reals such that $\sum c_n = 1$ while $\sum c_n d_n = \infty$. Let

$$v(z, w) = \sum_{n=1}^{\infty} c_n \max\{u_n(z, w), d_n\}.$$

Since

$$\int_B v dV \geq C_2,$$

we see that $v \not\equiv -\infty$ and, therefore, it is a plurisubharmonic function on \mathbb{C}^{N+1} . Clearly, $v(z, f(z)) = -\infty$ when $|z| \leq 1$. Therefore, $v = -\infty$ on Γ_f .

The zeros of the polynomials q_n are in $\mathbb{C}^N \setminus V$. Hence the functions $h_n(z) = n^{-1} \log |q_n(z)|$ are harmonic on V and uniformly bounded above on compacta. So if $z \in V$ and $\liminf h_n(z) = -\infty$, then there is a subsequence $\{n_k\}$ such that $\lim h_{n_k}(z) = -\infty$. Therefore, the functions h_{n_k} converge to $-\infty$ uniformly on compacta in V . But $h_{n_k}(z_{n_k}) = 0$, and this contradiction tells us that $\liminf h_n(z) > -\infty$. So if $w \neq f(z)$, then

$$v(z, w) \geq \sum_{n=1}^{\infty} c_n h_n(z) + \sum_{n=1}^{\infty} \frac{c_n}{n} \log \left| w - \frac{p_n(z)}{q_n(z)} \right| > -\infty.$$

Hence $\{v = -\infty\} \cap (V \times \mathbb{C}) = \Gamma_f$ and $(\Gamma_f)_{\mathbb{C}^{N+1}}^* \cap (V \times \mathbb{C}) = \Gamma_f$. ■

Now we can present our main example.

THEOREM 3.6. *There exists a Cantor type set K , obtained by deleting intervals $I_i = (a_i, b_i)$ from $[-1, 1]$, such that the function $f = f_K$ given by*

Lemma 3.3 has the following property:

$$(\Gamma_f)_{\mathbb{C}^2}^* \cap (\mathbb{C} \setminus K) \times \mathbb{C} = \Gamma_f \cup \Gamma_{-f}.$$

Proof. We will construct K by deleting a sequence of open intervals (a_i, b_i) from the interval $[-1, 1]$. For convenience, set $a_0 = 1, b_0 = -1$. In order to choose the intervals appropriately, we have to construct certain subdomains D_n in the open unit disk \mathbb{D} in the process. The domains D_n will contain the set $\mathbb{D} \cap \{|\Im z| > 1/2\}$. Thus the closed discs $S = \{|z + 3i/4| \leq 1/8\}$ and $X = \{|z - 3i/4| \leq 1/8\}$ will be contained in D_n .

For a compact set F in a domain $D \subset \mathbb{C}$ let

$$\omega(z, F, D) = -\sup\{h(z) : h \in \text{PSH}_0(D), \limsup_{w \rightarrow K} h(w) \leq -1\}$$

be the harmonic measure of F in D . We set $D_0 = \mathbb{D}$ and observe that $\omega(z, S, D_0) > c_0$ for some positive c_0 .

Let $\{c_n\}$ be a sequence of positive real numbers converging to ∞ . Suppose that the intervals I_1, \dots, I_n have been chosen. We take as a_{n+1} the mid-point of the largest interval in their complement. Next take $b_{n+1} > a_{n+1}$ so small that the interval $[a_{n+1}, b_{n+1}]$ does not intersect the intervals I_1, \dots, I_n , $d_{n+1} = b_{n+1} - a_{n+1} < 4^{-(n+1)c_{n+1}}$ and, moreover,

$$\omega(z, S, D_{n+1}) > c_0, \quad z \in X.$$

Here we define $D_{n+1} = D_n \setminus \mathbb{D}(a_{n+1}, (b_{n+1} - a_{n+1})2^{n+1})$, where $\mathbb{D}(a, r)$ is the open disk centered at a and of radius r .

Observe that for $j \leq n$,

$$\left| \frac{z - b_j}{z - a_j} - 1 \right| = \left| \frac{d_j}{z - a_j} \right| < \frac{1}{2^j}$$

on D_n . It follows that

$$\prod_{j=1}^n \frac{z - b_j}{z - a_j}$$

is bounded on D_n independently of n .

Let $z_0 \in X$. We will show that $(z_0, -f(z_0)) \in (\Gamma_f)_{\mathbb{C}^2}^*$. Then Γ_{-f} is also in the hull and we are done. Consider the function \tilde{f}_n defined on $\mathbb{D} \setminus \bigcup_{j=1}^n I_j$ by

$$\tilde{f}_n(z) = \begin{cases} f_n(z) & \text{if } \Im z < 0, \\ -f_n(z) & \text{if } \Im z > 0, \\ \lim_{y \uparrow 0} f(x + iy) & \text{if } x \in [-1, 1] \setminus \bigcup I_j. \end{cases}$$

The function \tilde{f}_n is holomorphic. Let $c_n = \tilde{f}_n(z_0) + f_K(z_0)$. Then $c_n \rightarrow 0$ as $n \rightarrow \infty$. The functions $h_n = \tilde{f}_n - c_n$ tend to f_K uniformly on compact sets in $\mathbb{D} \cap \{\Im z < 0\}$ and $h_n(z_0) = -f_K(z_0)$.

Now let u be a plurisubharmonic function on \mathbb{C}^2 that equals $-\infty$ on Γ_f . The function $u(z, h_n(z))$ is subharmonic on the domain D_n , and because $h_n(z)$ is bounded on D_n independently of n , $u(z, h_n(z))$ is bounded by a constant M independently of n .

Next we apply the two constants theorem and find

$$(3.3) \quad u(z_0, -f_K(z_0)) \leq M(1 - \omega(z_0, S, D_n)) + \max_{z \in S} u(z, h_n(z))\omega(z_0, S, D_n) \rightarrow -\infty \quad \text{as } n \rightarrow \infty.$$

Hence, $(\Gamma_f)_{\mathbb{C}^2}^* \supset \Gamma_f \cup \Gamma_{-f}$.

To get the equality we will show that the sup-norm satisfies

$$\|g - g_n\|_L^{1/n} \rightarrow 0, \quad n \rightarrow \infty,$$

on compacta L outside K . For this we write

$$|g - g_n| = |g_n| \left| \prod_{k=n+1}^{\infty} \frac{z - a_k}{z - b_k} - 1 \right|.$$

The first factor is bounded by a constant C depending on L . To estimate the second factor we let δ be the distance from L to K and write the factor as

$$\left| \prod_{k=n+1}^{\infty} \left(1 + \frac{d_k}{z - b_k} \right) - 1 \right| \leq \prod_{k=n+1}^{\infty} \left(1 + \frac{d_k}{\delta} \right) - 1 \leq \exp \left(\sum_{k=n+1}^{\infty} \frac{d_k}{\delta} \right) - 1.$$

Since $d_k < 4^{-kc_k}$ we see that

$$\left| \prod_{k=n+1}^{\infty} \frac{z - a_k}{z - b_k} - 1 \right| \leq \exp \left(\frac{4^{-nc_n}}{(1 - 4^{-c_n})\delta} \right) - 1.$$

Hence

$$\|g - g_n\|_L^{1/n} \leq 2 \left(\frac{C_L}{\delta} \right)^{1/n} 4^{-c_n}$$

when n is sufficiently large and

$$\|g - g_n\|_L^{1/n} \rightarrow 0, \quad n \rightarrow \infty.$$

By Lemma 3.5, $\Gamma_g^* = \Gamma_g$ in $\mathbb{C}^2 \setminus (K \times \mathbb{C})$. Thus for any (z_0, w_0) with $z_0 \in \mathbb{C} \setminus K$ and $w_0 \neq g(z_0)$, there is a function $u \in \text{PSH}(\mathbb{C}^2)$ such that $u|_{\Gamma_g} = -\infty$ and $u(z_0, w_0) \neq -\infty$. Then the function $v(z, w) = u(z, w^2)$ is equal to $-\infty$ on $\Gamma_f \cup \Gamma_{-f}$ and $v(z_0, \pm\sqrt{w_0}) \neq -\infty$. Hence $(\Gamma_f)_{\mathbb{C}^2}^* \cap (\mathbb{C} \setminus K) \times \mathbb{C} = \Gamma_f \cup \Gamma_{-f}$. ■

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